



**QUARTERLY STATEMENT**

AS OF SEPTEMBER 30, 2015

OF THE CONDITION AND AFFAIRS OF THE

**Mount Carmel Health Plan, Inc**

NAIC Group Code 2838 , 2838 NAIC Company Code 95655 Employer's ID Number 31-1471229  
(Current Period) (Prior Period)

Organized under the Laws of Ohio , State of Domicile or Port of Entry Ohio

Country of Domicile US

Licensed as business type:

Life, Accident and Health [ ] Property/Casualty [ ] Hospital, Medical and Dental Service or Indemnity [ ]  
Dental Service Corporation [ ] Vision Service Corporation [ ] Other [ ]  
Health Maintenance Organization [ X ] Is HMO Federally Qualified? Yes ( ) No ( X )

Incorporated/Organized August 6, 1996 Commenced Business April 1, 1997

Statutory Home Office 6150 East Broad Street, EE320, Columbus, Ohio 43213  
(Street and Number, City or Town, State, Country and Zip Code)

Main Administrative Office 6150 East Broad Street, EE320, Columbus, Ohio 43213 (614) 546-3211  
(Street and Number, City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 6150 East Broad Street, EE320, Columbus, Ohio 43213  
(Street and Number or P. O. Box, City or Town, State, Country and Zip Code)

Primary Location of Books and Records 6150 East Broad Street, EE320, Columbus, Ohio 43213  
(Street and Number, City or Town, State, Country and Zip Code)  
(614) 546-3211  
(Area Code) (Telephone Number)

Internet Website Address www.medigold.com

Statutory Statement Contact Robert S. Watson (614) 546-3211  
(Name) (Area Code) (Telephone Number) (Extension)  
robert.watson@mchs.com (E-Mail Address) (Fax Number)

**OFFICERS**

Keith Coleman (Chairperson) Hugh Jones (Treasurer)  
Sister Barbara Hahl (Secretary) Robert Paskowski (President and Chief Executive Officer)

**OTHER OFFICERS**

**DIRECTORS OR TRUSTEES**

Robert Paskowski  
Claus von Zychlin  
Daniel Wendorff, MD  
Robert Griffith, MD  
Keith Coleman  
Hugh Jones  
Sister Barbara Hahl

State of Ohio }  
County of Franklin } SS

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

\_\_\_\_\_  
Keith Coleman Chairperson Robert Paskowski President and Chief Executive Officer Hugh Jones Treasurer

Subscribed and sworn to before me this 16 day of November, 2015  
a. Is this an original filing? Yes (X) No ( )  
b. If no: 1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

## ASSETS

	Current Statement Date			4 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Col. 1 minus Col. 2)	
1. Bonds	115,036,000		115,036,000	116,529,604
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks	47,024,491		47,024,491	48,138,750
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less \$ ..... encumbrances)				
4.2 Properties held for the production of income (less \$ ..... encumbrances)				
4.3 Properties held for sale (less \$ ..... encumbrances)				
5. Cash (\$ ..... (3,471,822) ), cash equivalents (\$ ..... 62,380,239 ) and short-term investments (\$ ..... 28,056,721 )	86,965,137		86,965,137	64,139,819
6. Contract loans (including \$ ..... premium notes)				
7. Derivatives				
8. Other invested assets				
9. Receivables for securities				
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Line 1 through Line 11)	249,025,628		249,025,628	228,808,173
13. Title plants less \$ ..... charged off (for Title insurers only)				
14. Investment income due and accrued	819,697		819,697	1,279,220
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	10,035,800		10,035,800	10,330,271
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums)				934,733
15.3 Accrued retrospective premiums				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	188,403		188,403	169,667
16.2 Funds held by or deposited with reinsured companies				
16.3 Other amounts receivable under reinsurance contracts	233,942		233,942	125,948
17. Amounts receivable relating to uninsured plans	7,979,219		7,979,219	4,808,597
18.1 Current federal and foreign income tax recoverable and interest thereon				
18.2 Net deferred tax asset				
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software				
21. Furniture and equipment, including health care delivery assets (\$ ..... )	40,951	40,951		
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				147,157
24. Health care (\$ ..... 13,321,913 ) and other amounts receivable	13,321,913	9,116,030	4,205,883	2,774,134
25. Aggregate write-ins for other-than-invested assets	5,521,933	4,619,757	902,176	1,896,259
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Line 12 to Line 25)	287,167,486	13,776,738	273,390,748	251,274,159
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Totals (Line 26 and Line 27)	287,167,486	13,776,738	273,390,748	251,274,159
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Line 1101 through Line 1103 plus Line 1198) (Line 11 above)				
2501. Miscellaneous receivable related to Coverage Gap Discount Program	902,176		902,176	1,896,259
2502. Prepaid expenses	4,619,757	4,619,757		
2503. Miscellaneous receivable				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Line 2501 through Line 2503 plus Line 2598) (Line 25 above)	5,521,933	4,619,757	902,176	1,896,259

**LIABILITIES, CAPITAL AND SURPLUS**

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ ..... reinsurance ceded) .....	38,870,414		38,870,414	31,511,786
2. Accrued medical incentive pool and bonus amounts .....	2,254,198		2,254,198	
3. Unpaid claims adjustment expenses .....	445,588		445,588	359,658
4. Aggregate health policy reserves, including the liability of \$ ..... for medical loss ratio rebate per the Public Health Service Act .....				
5. Aggregate life policy reserves .....				
6. Property/casualty unearned premium reserve .....				
7. Aggregate health claim reserves .....				
8. Premiums received in advance .....	268,262		268,262	108,429
9. General expenses due or accrued .....	3,516,840		3,516,840	4,563,833
10.1 Current federal and foreign income tax payable and interest thereon (including \$ ..... on realized gains (losses)) .....				
10.2 Net deferred tax liability .....				
11. Ceded reinsurance premiums payable .....				
12. Amounts withheld or retained for the account of others .....				
13. Remittances and items not allocated .....				
14. Borrowed money (including \$ ..... current) and interest thereon \$ ..... (including \$ ..... current) .....				
15. Amounts due to parent, subsidiaries and affiliates .....	4,806,104		4,806,104	4,154,530
16. Derivatives .....				
17. Payable for securities .....				
18. Payable for securities lending .....				
19. Funds held under reinsurance treaties (with \$ ..... authorized reinsurers, \$ ..... unauthorized reinsurers and \$ ..... certified reinsurers) .....				
20. Reinsurance in unauthorized and certified (\$ ..... ) companies .....				
21. Net adjustments in assets and liabilities due to foreign exchange rates .....				
22. Liability for amounts held under uninsured plans .....				
23. Aggregate write-ins for other liabilities (including \$ ..... 3,751,068 current) .....	3,751,068		3,751,068	
24. Total liabilities (Line 1 to Line 23) .....	53,912,474		53,912,474	40,698,236
25. Aggregate write-ins for special surplus funds .....	X X X	X X X		
26. Common capital stock .....	X X X	X X X		100
27. Preferred capital stock .....	X X X	X X X		
28. Gross paid in and contributed surplus .....	X X X	X X X	42,422,534	42,422,434
29. Surplus notes .....	X X X	X X X		
30. Aggregate write-ins for other-than-special surplus funds .....	X X X	X X X		
31. Unassigned funds (surplus) .....	X X X	X X X	177,055,740	168,153,389
32. Less treasury stock, at cost:				
32.1 ..... shares common (value included in Line 26 \$ ..... ) .....	X X X	X X X		
32.2 ..... shares preferred (value included in Line 27 \$ ..... ) .....	X X X	X X X		
33. Total capital and surplus (Line 25 to Line 31 minus Line 32) .....	X X X	X X X	219,478,274	210,575,923
34. Total Liabilities, capital and surplus (Line 24 and Line 33) .....	X X X	X X X	273,390,748	251,274,159
<b>DETAILS OF WRITE-INS</b>				
2301. 2014 Part D Risk Corridor Adjustment Liability .....	638,568		638,568	
2302. 2015 Part D Risk Corridor Adjustment Liability .....	3,112,500		3,112,500	
2303. ....				
2398. Summary of remaining write-ins for Line 23 from overflow page .....				
2399. Totals (Line 2301 through Line 2303 plus Line 2398) (Line 23 above) .....	3,751,068		3,751,068	
2501. ....	X X X	X X X		
2502. ....	X X X	X X X		
2503. ....	X X X	X X X		
2598. Summary of remaining write-ins for Line 25 from overflow page .....	X X X	X X X		
2599. Totals (Line 2501 through Line 2503 plus Line 2598) (Line 25 above) .....	X X X	X X X		
3001. ....	X X X	X X X		
3002. ....	X X X	X X X		
3003. ....	X X X	X X X		
3098. Summary of remaining write-ins for Line 30 from overflow page .....	X X X	X X X		
3099. Totals (Line 3001 through Line 3003 plus Line 3098) (Line 30 above) .....	X X X	X X X		

## STATEMENT OF REVENUE AND EXPENSES

	Current Year to Date		Prior Year to Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	X X X	441,574	411,830	549,475
2. Net premium income (including \$ non-health premium income)	X X X	389,311,693	373,500,279	466,995,878
3. Change in unearned premium reserves and reserve for rate credits	X X X	159,833	701,132	(6,919,458)
4. Fee-for-service (net of \$ medical expenses)	X X X			
5. Risk revenue	X X X			
6. Aggregate write-ins for other health care related revenues	X X X	1,534,415		3,035,084
7. Aggregate write-ins for other non-health revenues	X X X			
8. Total revenues (Line 2 to Line 7)	X X X	391,005,941	374,201,411	463,111,504
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits		191,370,703	176,247,446	237,297,814
10. Other professional services		116,203,673	95,057,469	133,823,089
11. Outside referrals				
12. Emergency room and out-of-area				
13. Prescription drugs		38,732,235	56,267,137	54,467,457
14. Aggregate write-ins for other hospital and medical				
15. Incentive pool, withhold adjustments and bonus amounts				
16. Subtotal (Line 9 to Line 15)		346,306,611	327,572,052	425,588,360
<b>Less:</b>				
17. Net reinsurance recoveries		367,158		355,087
18. Total hospital and medical (Line 16 minus Line 17)		345,939,453	327,572,052	425,233,273
19. Non-health claims (net)			2,306,770	
20. Claims adjustment expenses, including \$ 2,714,036 cost containment expenses		7,484,726		9,262,457
21. General administrative expenses		26,574,592	27,597,808	32,886,438
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only)				
23. Total underwriting deductions (Line 18 through Line 22)		379,998,771	357,476,630	467,382,168
24. Net underwriting gain or (loss) (Line 8 minus Line 23)	X X X	11,007,170	16,724,781	(4,270,664)
25. Net investment income earned		2,287,542	3,973,870	4,649,867
26. Net realized capital gains (losses) less capital gains tax of \$		1,669,006		6,424,779
27. Net investment gains (losses) (Line 25 plus Line 26)		3,956,548	3,973,870	11,074,646
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ (amount charged off \$ )]				
29. Aggregate write-ins for other income or expenses			2,556,975	590
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Line 24 plus Line 27 plus Line 28 plus Line 29)	X X X	14,963,718	23,255,626	6,804,572
31. Federal and foreign income taxes incurred	X X X			
32. Net income (loss) (Line 30 minus Line 31)	X X X	14,963,718	23,255,626	6,804,572
<b>DETAILS OF WRITE-INS</b>				
0601. Population management fees	X X X	269,424		329,126
0602. Provider group risk sharing	X X X	1,264,991		2,705,958
0603. Summary of remaining write-ins for Line 6 from overflow page	X X X			
0698. Summary of remaining write-ins for Line 6 from overflow page	X X X			
0699. Totals (Line 0601 through Line 0603 plus Line 0698) (Line 6 above)	X X X	1,534,415		3,035,084
0701. Summary of remaining write-ins for Line 7 from overflow page	X X X			
0702. Summary of remaining write-ins for Line 7 from overflow page	X X X			
0703. Summary of remaining write-ins for Line 7 from overflow page	X X X			
0798. Summary of remaining write-ins for Line 7 from overflow page	X X X			
0799. Totals (Line 0701 through Line 0703 plus Line 0798) (Line 7 above)	X X X			
1401. Summary of remaining write-ins for Line 14 from overflow page				
1402. Summary of remaining write-ins for Line 14 from overflow page				
1403. Summary of remaining write-ins for Line 14 from overflow page				
1498. Summary of remaining write-ins for Line 14 from overflow page				
1499. Totals (Line 1401 through Line 1403 plus Line 1498) (Line 14 above)				
2901. Other revenue			822,854	
2902. Other income			1,734,121	590
2903. Summary of remaining write-ins for Line 29 from overflow page				
2998. Summary of remaining write-ins for Line 29 from overflow page				
2999. Totals (Line 2901 through Line 2903 plus Line 2998) (Line 29 above)			2,556,975	590

**STATEMENT OF REVENUE AND EXPENSES (continued)**

CAPITAL AND SURPLUS ACCOUNT	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
33. Capital and surplus prior reporting year .....	210,575,923	291,422,225	291,422,225
34. Net income or (loss) from Line 32 .....	14,963,718	23,255,626	6,804,572
35. Change in valuation basis of aggregate policy and claims reserves .....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....	(3,745,972)	1,121,565	(3,403,203)
37. Change in net unrealized foreign exchange capital gain or (loss) .....			
38. Change in net deferred income tax .....			
39. Change in nonadmitted assets .....	(2,315,395)	(8,578,535)	(7,247,670)
40. Change in unauthorized and certified reinsurance .....			
41. Change in treasury stock .....			
42. Change in surplus notes .....			
43. Cumulative effect of changes in accounting principles .....			
44. Capital Changes:			
44.1 Paid in .....			
44.2 Transferred from surplus (Stock Dividend) .....			
44.3 Transferred to surplus .....			
45. Surplus adjustments:			
45.1 Paid in .....			
45.2 Transferred to capital (Stock Dividend) .....			
45.3 Transferred from capital .....			
46. Dividends to stockholders .....		(77,000,000)	(77,000,000)
47. Aggregate write-ins for gains or (losses) in surplus .....			(1)
48. Net change in capital and surplus (Line 34 to Line 47) .....	8,902,351	(61,201,344)	(80,846,302)
49. Capital and surplus end of reporting period (Line 33 plus Line 48) .....	219,478,274	230,220,881	210,575,923
<b>DETAILS OF WRITE-INS</b>			
4701. Rounding .....			(1)
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page .....			
4799. Totals (Line 4701 through Line 4703 plus Line 4798) (Line 47 above) .....			(1)

**CASH FLOW**

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance	391,999,573	373,500,279	465,782,454
2. Net investment income	6,223,232	(2,986,286)	89,928
3. Miscellaneous income	395,405	2,556,975	3,035,674
4. Total (Line 1 through Line 3)	398,618,210	373,070,968	468,908,056
5. Benefit and loss related payments	350,365,703	328,066,622	422,518,025
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	21,832,608	(37,980,213)	43,966,029
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)			
10. Total (Line 5 through Line 9)	372,198,311	290,086,409	466,484,054
11. Net cash from operations (Line 4 minus Line 10)	26,419,899	82,984,559	2,424,002
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	26,427,718	4,452,200	33,034,960
12.2 Stocks	13,745,429	4,109,937	42,270,634
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds			
12.8 Total investment proceeds (Line 12.1 through Line 12.7)	40,173,147	8,562,137	75,305,594
13. Cost of investments acquired (long-term only):			
13.1 Bonds	25,029,650		20,088,266
13.2 Stocks	14,685,564	1,473,440	19,511,718
13.3 Mortgage loans		4,261,793	
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications			
13.7 Total investments acquired (Line 13.1 through Line 13.6)	39,715,214	5,735,233	39,599,984
14. Net increase or (decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	457,933	2,826,904	35,705,610
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders		77,000,000	77,000,000
16.6 Other cash provided (applied)	(4,052,514)	(5,328,118)	(3,939,380)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(4,052,514)	(82,328,118)	(80,939,380)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)	22,825,318	3,483,345	(42,809,768)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	64,139,819	106,949,587	106,949,587
19.2 End of period (Line 18 plus Line 19.1)	86,965,137	110,432,932	64,139,819

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
20.0002			
20.0003			
20.0004			
20.0005			
20.0006			
20.0007			
20.0008			
20.0009			
20.0010			

**EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

	1 Total	Comprehensive (Hospital and Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefits Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year .....	45,901							45,901		
2. First Quarter .....	49,074							49,074		
3. Second Quarter .....	49,016							49,016		
4. Third Quarter .....	49,080							49,080		
5. Current Year .....										
6. Current Year Member Months .....	441,574							441,574		
Total Member Ambulatory Encounters for Period:										
7. Physician .....	383,589							383,589		
8. Non-Physician .....	116,031							116,031		
9. Total .....	499,620							499,620		
10. Hospital Patient Days Incurred .....	106,170							106,170		
11. Number of Inpatient Admissions .....	7,040							7,040		
12. Health Premiums Written (a) .....	265,963,150							265,963,150		
13. Life Premiums Direct .....										
14. Property/Casualty Premiums Written .....										
15. Health Premiums Earned .....	389,471,526							389,471,526		
16. Property/Casualty Premiums Earned .....										
17. Amount Paid for Provision of Health Care Services .....	354,583,597							354,583,597		
18. Amount Incurred for Provision of Health Care Services .....	346,306,611							346,306,611		

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ . . . . . 265,963,150

**CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)**

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
0599999 - Unreported claims and other claim reserves .....						38,870,414
0799999 - Total claims unpaid .....						38,870,414
0899999 - Accrued medical incentive pool and bonus amounts .....						2,254,198

**UNDERWRITING AND INVESTMENT EXHIBIT**

**ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE**

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid December 31 of Prior Year	4 On Claims Incurred During the Year	Claims Incurred in Prior Years (Columns 1 plus 3)	Estimated Claim Reserve and Claim Liability December 31 of Prior Year
1. Comprehensive (hospital and medical) .....						
2. Medicare Supplement .....						
3. Dental only .....						
4. Vision only .....						
5. Federal Employees Health Benefits Plan .....						
6. Title XVIII - Medicare .....	34,583,435	301,743,193	227,404	38,643,010	34,810,839	31,511,786
7. Title XIX - Medicaid .....						
8. Other health .....						
9. Health subtotal (Line 1 to Line 8) .....	34,583,435	301,743,193	227,404	38,643,010	34,810,839	31,511,786
10. Health care receivables (a) .....						
11. Other non-health .....						
12. Medical incentive pools and bonus amounts .....				2,254,198		
13. Totals (Line 9 minus Line 10 plus Line 11 plus Line 12) .....	34,583,435	301,743,193	227,404	40,897,208	34,810,839	31,511,786

(a) Excludes \$ ..... loans or advances to providers not yet expensed.

## NOTES TO FINANCIAL STATEMENTS

### 1. Summary of Significant Accounting Policies

#### A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance ("ODI").

The ODI Regulation recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual* ("NAIC SAP") has been adopted as a component of prescribed or permitted practices by the state of Ohio. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. No deviations exist.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio is shown below:

State Prescribed Practices	State of Domicile	Current	Prior
01A01 - Net Income, state basis (Page 4, Line 32, Columns 2 and 4)	OH	14,963,718	6,804,572
01A04 - Net Income, NAIC SAP (Line 1 - Line 2 - Line 3)	OH	14,963,718	6,804,572
01A05 - Surplus, state basis (Page 3, Line 33, Columns 3 and 4)	OH	219,478,274	210,575,923
01A08 - Surplus, NAIC SAP (Line 5 - Line 6 - Line 7)	OH	219,478,274	210,575,923

#### B. Use of Estimates in the Preparation of the Financial Statements

No change.

#### C. Accounting Policy

No change.

### 2. Accounting Changes and Corrections of Errors

No change.

### 3. Business Combinations and Goodwill

No change.

### 4. Discontinued Operations

No change.

### 5. Investments

No change.

### 6. Joint Ventures, Partnerships and Limited Liability Companies

No change.

### 7. Investment Income

No change.

### 8. Derivative Instruments

No change.

### 9. Income Taxes

No change.

### 10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No change.

### 11. Debt

No change.

### 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No change.

### 13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No change.

### 14. Liabilities, Contingencies and Assessments

The Company recently discovered certain errors in data previously submitted to the Centers for Medicare and Medicaid Services ("CMS") in connection with the CMS risk adjustment process for the years 2009 through 2015. The Company has initiated the process for the submission of corrected data and repayment of amounts resulting from the data submission errors for each affected year in accordance with CMS instructions. Although the impact has not yet been fully quantified, Company management believes the adjustments, could be expected to be material to the Company's financial statements, but will not affect the Company's compliance with statutory reserve requirements.

**NOTES TO FINANCIAL STATEMENTS**

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15. Leases  
No change.
16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk  
No change.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities  
No change.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans  
No change.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators  
No change.

20. Fair Value Measurement  
No change.

21. Other Items  
No change.

22. Events Subsequent

Type I - Recognized Subsequent Events:

Subsequent events have been considered through November 13, 2015 for the statutory statement issued on November 16, 2015.

The Company is not aware of any events or transactions that provide additional evidence with respect to conditions that existed at September 30, 2015, which would have a material effect on its financial condition.

Type II - Nonrecognized Subsequent Events:

Subsequent events have been considered through November 13, 2015 for the statutory statement issued on November 16, 2015.

The Company is not aware of any events or transactions that provide evidence with respect to conditions that did not exist at September 30, 2015 but arose after that date, which would have a material effect on its financial condition.

23. Reinsurance  
No change.
24. Retrospectively Rated Contracts & Contracts Subject to Redetermination  
No change.

25. Change in Incurred Claims and Claim Adjustment Expenses  
No change.

26. Intercompany Pooling Arrangements  
No change.

27. Structured Settlements  
No change.

28. Health Care Receivables  
No change.

29. Participating Policies  
No change.

30. Premium Deficiency Reserves  
No change.

31. Anticipated Salvage and Subrogation  
No change.

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes ( ) No (X)
- 1.2 If yes, has the report been filed with the domiciliary state? Yes ( ) No ( )
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes ( ) No (X)
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes (X) No ( )  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes ( ) No (X)
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
.....
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes ( ) No (X)
- 4.2 If the response to 4.1 is yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
---------------------	------------------------	------------------------

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes ( ) No (X) N/A ( )
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2013
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 03/09/2015
- 6.4 By what department or departments?  
Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes ( ) No ( ) N/A (X)
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes (X) No ( ) N/A ( )
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes ( ) No (X)
- 7.2 If yes, give full information  
.....
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes ( ) No (X)
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes ( ) No (X)
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
---------------------	-----------------------------	----------	----------	-----------	----------

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes (X) No ( )  
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
(c) Compliance with applicable governmental laws, rules and regulations;  
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
(e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:  
.....  
.....
- 9.2 Has the code of ethics for senior managers been amended? Yes ( ) No (X)
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).  
.....  
.....
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes ( ) No (X)
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).  
.....  
.....

**GENERAL INTERROGATORIES**  
**PART 1 - COMMON INTERROGATORIES**

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes ( ) No (X)
- 10.2 If yes, indicate the amounts receivable from parent included in the Page 2 amount: \$ .....

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes ( ) No (X)
- 11.2 If yes, give full and complete information relating thereto:  
.....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ .....
13. Amount of real estate and mortgages held in short-term investments: \$ .....

- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes ( ) No (X)

14.2 If yes, please complete the following:

	<sup>1</sup> Prior Year-End Book/ Adjusted Carrying Value	<sup>2</sup> Current Quarter Book/ Adjusted Carrying Value
14.21 Bonds .....	\$ .....	\$ .....
14.22 Preferred Stock .....	\$ .....	\$ .....
14.23 Common Stock .....	\$ .....	\$ .....
14.24 Short-Term Investments .....	\$ .....	\$ .....
14.25 Mortgage Loans or Real Estate .....	\$ .....	\$ .....
14.26 All Other .....	\$ .....	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Line 14.21 to Line 14.26) .....	\$ .....	\$ .....
14.28 Total Investment in Parent included in Line 14.21 to Line 14.26 above .....	\$ .....	\$ .....

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes ( ) No (X)

- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes ( ) No ( )  
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ .....
- 16.2 Total book adusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ .....
- 16.3 Total payable for securities lending reported on the liability page \$ .....

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes (X) No ( )

- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

<sup>1</sup> Name of Custodian (s)	<sup>2</sup> Custodian Address
---------------------------------------	-----------------------------------

PNC Bank, N.A. .... 249 Fifth Avenue, One PNC Plaza, Pittsburgh, PA 15222 .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

<sup>1</sup> Name(s)	<sup>2</sup> Location(s)	<sup>3</sup> Complete Explanation(s)
-------------------------	-----------------------------	---

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes ( ) No (X)

- 17.4 If yes, give full and complete information relating thereto:

<sup>1</sup> Old Custodian	<sup>2</sup> New Custodian	<sup>3</sup> Date of Change	<sup>4</sup> Reason
-------------------------------	-------------------------------	--------------------------------	------------------------

- 17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

<sup>1</sup> Central Registration Depository	<sup>2</sup> Name(s)	<sup>3</sup> Address
--	-------------------------	-------------------------

151829 ..... PNC Capital Advisors, LLC ..... 1 East Pratt Street, Baltimore, MD 21202 .....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes (X) No ( )

- 18.2 If no, list exceptions:  
.....

**GENERAL INTERROGATORIES**

**PART 2 - HEALTH INTERROGATORIES**

---

1. Operating Percentages:

1.1 A&H loss percent ..... 89.6 %

1.2 A&H cost containment percent ..... 0.7 %

1.3 A&H expense percent excluding cost containment expenses ..... 8.0 %

2.1 Do you act as a custodian for health savings accounts? Yes ( ) No (X)

2.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$.....

2.3 Do you act as an administrator for health savings accounts? Yes ( ) No (X)

2.4 If yes, please provide the balance of the funds administered as of the reporting date. \$.....

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
0199998 - Life and Annuity - Affiliates								
0299998 - Life and Annuity - Non-Affiliates								
0399998 - Accident and Health - Affiliates								
Accident and Health - Non-Affiliates								
11835	04-1590940	01/01/2015	PARTNERRE AMER INS CO	DE	SSL/A/I	Authorized		
0499998 - Accident and Health - Non-Affiliates								
0599998 - Property/Casualty - Affiliates								
0699998 - Property/Casualty - Non-Affiliates								

**SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**

Current Year to Date - Allocated by States and Territories

States, Etc.	1		Direct Business Only Year to Date							
	Active Status		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums and Other Considerations	7 Property/Casualty Premiums	8 Total Column 2 Through Column 7	9 Deposit-Type Contracts
1. Alabama	AL	N								
2. Alaska	AK	N								
3. Arizona	AZ	N								
4. Arkansas	AR	N								
5. California	CA	N								
6. Colorado	CO	N								
7. Connecticut	CT	N								
8. Delaware	DE	N								
9. District of Columbia	DC	N								
10. Florida	FL	N								
11. Georgia	GA	N								
12. Hawaii	HI	N								
13. Idaho	ID	N								
14. Illinois	IL	N								
15. Indiana	IN	N								
16. Iowa	IA	N								
17. Kansas	KS	N								
18. Kentucky	KY	N								
19. Louisiana	LA	N								
20. Maine	ME	N								
21. Maryland	MD	N								
22. Massachusetts	MA	N								
23. Michigan	MI	N								
24. Minnesota	MN	N								
25. Mississippi	MS	N								
26. Missouri	MO	N								
27. Montana	MT	N								
28. Nebraska	NE	N								
29. Nevada	NV	N								
30. New Hampshire	NH	N								
31. New Jersey	NJ	N								
32. New Mexico	NM	N								
33. New York	NY	N								
34. North Carolina	NC	N								
35. North Dakota	ND	N								
36. Ohio	OH	L		389,311,693				389,311,693		
37. Oklahoma	OK	N								
38. Oregon	OR	N								
39. Pennsylvania	PA	N								
40. Rhode Island	RI	N								
41. South Carolina	SC	N								
42. South Dakota	SD	N								
43. Tennessee	TN	N								
44. Texas	TX	N								
45. Utah	UT	N								
46. Vermont	VT	N								
47. Virginia	VA	N								
48. Washington	WA	N								
49. West Virginia	WV	N								
50. Wisconsin	WI	N								
51. Wyoming	WY	N								
52. American Samoa	AS	N								
53. Guam	GU	N								
54. Puerto Rico	PR	N								
55. U. S. Virgin Islands	VI	N								
56. Northern Mariana Islands	MP	N								
57. Canada	CAN	N								
58. Aggregate Other Alien	OT	X X X								
59. Subtotal		X X X		389,311,693				389,311,693		
60. Reporting entity contributions for Employee Benefit Plans		X X X								
61. Total (Direct Business)	(a)	1		389,311,693				389,311,693		
<b>DETAILS OF WRITE-INS</b>										
58001.										
58002.										
58003.										
58998.	Summary of remaining write-ins for Line 58 from overflow page									
58999.	Total (Line 58001 through Line 58003 plus Line 58998) (Line 58 above)									

Active Status Codes (Column 1):

- (L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG
- (R) Registered - Non-domiciled RRGs
- (Q) Qualified - Qualified or Accredited Reinsurer
- (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state
- (N) None of the above - Not allowed to write business in the state

(a) Insert the number of "L" responses except for Canada and Other Alien.

**Trinity Health Corporation (an Indiana nonprofit)**

**Mount Carmel Health System [Ohio]**

Mount Carmel Health System Foundation  
Mount Carmel Health Plan Inc (HMO) 31-1471229  
Mount Carmel Health Insurance Company (PPO) 25-1912781  
Mount Carmel College of Nursing  
Patient Transport Services of Columbus LLC (50% ownership)  
Cornerstone Medical Services of Columbus LLC (50% ownership)  
Trinity Home Care LLC (50% ownership)  
OSU/Mount Carmel Health Alliance (50% ownership)  
    Madison County Community Hospital (40% ownership)  
Diley Ridge Medical Center (70% ownership)  
Mount Carmel Health Partners  
Central Ohio Medical Textiles Inc (50% ownership)  
Surgery Center Financing Corp  
St Ann's Medical Office Building III, LLC (44.77% ownership)  
Mount Carmel HealthProviders Inc.  
    Mount Carmel HealthProviders Two, LLC  
    Mount Carmel HealthProviders III, LLC  
Big Run Medical Office Building Limited Partnership (50% ownership)  
    MCHS Big Run Condominium Association (50% ownership)  
Big Run Urgent Care Ltd  
Central Ohio Sleep Medicine  
Highfield MRI Ltd (50% ownership)  
Concord Radiation Therapy LLC  
Taylor Station Surgical Center Ltd (40% ownership)  
Columbus Cyberknife LLC (35% ownership)  
Green Street Surgery Center LLC (20% ownership)  
Canal Winchester MOB LLC (22% ownership)  
Eye Center of Columbus LLC (2.952% ownership)  
Health Innovations Ohio LLC (25% ownership)  
New Albany Surgery Center LLC (40% ownership)  
MCE MOB IV Limited Partnership (49.6% ownership)  
St Ann's Medical Office Building II Limited Partnership (46.75% ownership)  
Mount Carmel East Professional Office Building III Limited Partnership (27.5% ownership)  
Medilucet MOB I Limited Partnership (25% ownership)

**Holy Cross Health Inc [Maryland]**

Holy Cross Health Network  
Maryland Care Group, Inc  
Surgery Center of Maryland LLC (12.5% ownership)  
Holy Cross Private Home Services Corporation  
Holy Cross Health Foundation, Inc  
Chesapeake Potomac Regional Cancer Center LLC (20% ownership)  
Doctors' Regional Cancer Center LLC (20% ownership)

**Mercy Health Network, Inc. (50% ownership) [Iowa/Nebraska]**

**Mercy Health Services - Iowa Corp [Iowa/Nebraska]**

Mercy Medical Center - Clinton Inc.  
    Mercy-Clinton Anesthesia Group, LLC  
    Clinton Imaging Services LLC (65% ownership)  
    Stereotactic Biopsy Services LC (11.1% ownership)  
Hospice of North Iowa  
Mercy Care Connections  
United Clinical Laboratories Inc (33.3% ownership)

Preferred Health Choices LLC (50% ownership)  
Health Management Services LLC (50% ownership)  
Forest Park Imaging LLC (52.89% ownership)  
Surgical Center Building Associates LLC (35% ownership)  
YMCA and Rehabilitation Center MMC North Iowa (50% ownership)  
Magnetic Resonance Services LLC (49% ownership)  
Mason City Ambulatory Surgery Center LLC dba Mason City Surgery Center (51% ownership)  
Mercy Heart Center Outpatient Services LLC (51% ownership)  
Iowa Falls Clinic MMC North Iowa (50% ownership)  
Mercy Medical Center Foundation - North Iowa  
North Iowa Community Healthcare LLC MMC - North Iowa (19.25% ownership)  
Hawarden Regional Healthcare Clinic, LLC (50% ownership)  
Mercy Medical Services, Inc.  
Mercy Medical Center - Sioux City Foundation  
Health Incorporated (50% ownership)  
    Siouxland Paramedics Inc.  
    Siouxland PACE, Inc.  
    Siouxland Regional Cancer Center dba June E. Nylan Cancer Center  
    Hospice of Siouxland  
Mercy/USP Health Ventures (55.71% ownership)  
Siouxland Surgery Center LLP (55.54% ownership)  
Oakland Mercy Hospital  
Baum Harmon Mercy Hospital

**Saint Joseph Regional Medical Center, Inc. [Indiana]**

The Foundation of Saint Joseph Regional Medical Center Inc  
Saint Joseph Regional Medical Center Mishawaka Auxiliary Inc.  
Saint Joseph Regional Medical Center Plymouth Auxiliary Inc.  
Alick's Home Medical Equipment Inc (15% ownership)  
Saint Joseph Regional Medical Center - Health Insurance Services LLC  
Northern Indiana Magnetic Resonance Center, LLP (25% ownership)  
Select Health Network Inc (50% ownership)  
Michiana Health Information Network LLC (53% ownership)  
Edison Lakes Inc (23.84% ownership)  
Advantage Health Solutions, Inc (15.5% ownership)  
Community Health Partners of South Bend, Inc (50% ownership)  
Edison Lakes Imaging LLC (75% ownership)  
Edison Lakes ROC LLC (30% ownership)  
Saint Joseph Regional Medical Center - South Bend Campus Inc  
Saint Joseph Regional Medical Center - Plymouth Campus Inc.

**Saint Alphonsus Health System, Inc. [Idaho/Oregon]**

Saint Alphonsus Medical Center - Nampa Inc.  
    MedNow Inc.  
        MedNow Infusion LLC (49% ownership)  
    Treasure Valley Healthnet, Inc (50% ownership)  
    Saint Alphonsus Medical Center Nampa Health Foundation, Inc.  
Saint Alphonsus Regional Medical Center, Inc.  
    Saint Alphonsus Diversified Care Inc.  
        Southern Idaho Regional Laboratory LLC dba Treasure Valley Lab (50% ownership)  
        Idaho Cytogenetics Diagnostic Laboratory LLC (50% ownership)  
        Intermountain Medical Imaging LLC (50% ownership)  
        Saint Alphonsus Caldwell Cancer Treatment Center LLC (80% ownership)  
        Eagle ED Real Estate LLC (50% ownership)  
        Life Flight Network LLC (32% ownership)

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Mount Carmel Health Plan, Inc  
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART

---

MRI LP Interest MRI Center LP (14.175% ownership)  
Saint Alphonsus Home Health & Hospice LLC (26.4% ownership)  
Saint Alphonsus Health Alliance Inc  
Saint Alphonsus Professional Medical Services LLC  
Saint Alphonsus Building Company Inc.  
Saint Alphonsus Specialty Services Inc.  
Idaho ASC Holding, LLC (51% ownership)  
Saint Alphonsus Medical Center - Ontario Inc  
Saint Alphonsus Medical Center - Baker City Inc.  
Saint Alphonsus Foundation, Baker City, Inc.

**Trinity Health - Michigan [Michigan]**

Mercy Health Partners  
Westshore Health Network dba Lakeshore Health Network dba Lakeshore Health Network  
MRI Mobile Services of West Michigan  
Muskegon Community Health Project  
Muskegon SC LLC (35.7% ownership)  
West Shore Professional Building Condominium Association (70% ownership)  
HPC Co-Owners Association  
Professional Med Team  
    Mobile Health Resources LLC (14.3% ownership)  
Hackley Life Counseling dba Mercy Health Partners - Life Counseling and dba Mercy Health Partners Work Life Services  
HPCN  
PACE Program dba Life Circles (25.5% ownership)  
Mercy Health Clinically Integrated Network LLC  
Western Michigan Associates JV  
Western Michigan Shared Hospital Laundry (9.82% ownership)  
Hackley Health Ventures Inc  
    H.E.F. Inc  
    Hackley Health Management Inc. dba Mercy Health Partners-Health Management Inc  
    Hackley Healthcare Equipment Corp dba Mercy Healthcare Equipment Corp  
    Hackley Healthcare Equipment and Pharmacy dba Mercy Health Partners-Healthcare Equipment and Pharmacy  
    Hackley Healthcare Equipment Corp dba Axiom Health (Grand Rapids)  
    Hackley Professional Center Inc.  
    Hackley Professional Pharmacy Inc dba Mercy Health Partners-Pharmacy Inc.  
    Workplace Health of Grand Haven Inc.  
Together Health (50% owned)  
Catherine McAuley Health Services  
Center for Digestive Care, LLC (51% ownership)  
Huron Arbor Corporation  
IHA Health Services Corporation  
Midwest Medflight  
SJ-UM Eldercare LLC (50% ownership)  
Washtenaw Livingston Medical Control Authority (52% ownership)  
Woodland Imaging Center, LLC (51% ownership)  
CLR Investments, LLC  
Northern Michigan Supply Alliance (50% ownership)  
Advantage Health St. Mary's Care Network (50% ownership)  
Advantage Health St. Mary's Medical Group  
Health Park Central Limited Partnership (10.55% ownership)  
Tri Hospital EMC (33% ownership)  
Tri Hospital MRI (55% ownership)  
Michigan Athletic Club (90% ownership)  
Pennant Health Alliance (27% ownership)

Advent Rehabilitation (50% ownership)  
Saint Mary's Foundation  
Saint Mary's Health Management  
Sixty Fourth Street LLC (51% ownership)  
West Michigan Shared Laundry (25% ownership)  
Advanced MRI (50% ownership)  
Mercy Physician Community PHO (50% ownership)  
Partners at Heart (50% ownership)  
Port Huron Family Care  
Lakeshore Surgery Centert (50% ownership)  
Mission Health Corporation (50% ownership)

**Loyola University Health System [Illinois]**

Loyola Ambulatory Centers LLC  
Loyola Physicians Partners ACO, LLC  
Gottlieb Memorial Hospital  
    Gottlieb/west Towns PHO Inc (50% ownership)  
    Gottlieb Community Health Services Corporation  
    Gottlieb Management Services Inc.  
Loyola University Medical Center  
    Loyola Ambulatory Centers LLC  
        Loyola Amulatory Surgery Center at Oakbrook LP (45% ownership)  
    RMLHP Corporation (50% ownership)  
    RML Health Providers Limited Partnership (49.5% ownership)

**Mercy Health System of Chicago [Illinois]**

Mercy Hospital and Medical Center  
    Mercy Advanced MRI LLC (65% ownership)  
Mercy Foundation Inc.  
Mercy Services Corporation

**St Agnes Medical Center [California]**

Greater Central Valley Healthcare LLC  
St Agnes Health Partners LLC (50% ownership)  
Professional Office Corporation  
    BSV Medical Office Building LLC (50% ownership)

**Priority Plus of California dba Priority Heath Services SAMC (63.6% ownership) [California]**

**Pittsburgh Mercy Health System, Inc. [Pennsylvania]**

Mercy Life Center Corporation  
McAuley Ministries  
LIFE Pittsburgh JV (50% ownership)

**Trinity Continuing Care Services (multistate operation - incorporated in Michigan)**

Catholic Health East Senior Services Management, Incorporated  
Holy Cross CareNet Inc.  
Marycrest Heights  
Mary Free Bed Sub-Acute Rehabilitation (50% ownership)  
Mercy Services for Aging Corporation  
Trinity Continuing Care Services - Indiana  
    Saint Joseph's Tower Inc.

**Trinity Home Heath Services (multistate operation - incorporated in Michigan)**

Amicare Hospice Services Inc.  
Cranbrook Hospice Care  
Mercy Amicare Home Healthcare, Oakland  
Mercy Amicare Home Healthcare, Port Huron  
Mercy General Health Partners, Amicare Homecare  
Mercy Homecare and Hospice

Mount Carmel Home Care LLC (50% ownership)  
Hospice of Washtenaw  
Saint Mary's Amicare Home Healthcare

**Trinity Health PACE (multistate operation - incorporated in Michigan)**

St Joseph PACE

**Mercy Health System of Southeastern Pennsylvania [Pennsylvania]**

Mercy Health Foundation of Southeastern Pennsylvania  
Mercy Catholic Medical Center of Southeastern Pennsylvania  
Nazareth Hospital  
    Nazareth Health Care Foundation  
    Nazareth Medical Office Building Associates LP  
Mercy Suburban Hospital, Inc.  
St. Agnes Continuing Care Center  
    St Agnes Continuing Care Foundation  
    Mercy Accountable Care ,LLC  
Mercy Health Plan  
    Gateway Health Plan, LP (50% ownership)  
        Gateway Health Plan, Inc.  
        Gateway of Ohio, Inc.  
Mercy Home Health Services  
    Mercy Home Health  
    Mercy Family Support  
Mercy Physician Network  
    Nazareth Physician Services, Inc.  
    N.E. Physician Services, Inc.  
    East Norriton Physicians Services, Inc.  
    Mercy Management of Southeastern Pennsylvania  
        Mercy/Manor Partnership (50% ownership)  
Mercy Eastwick, Inc.

**St. Mary Medical Center [Pennsylvania]**

Langhorne Physician Services  
St. Mary Medical Center Foundation  
LIFE St Mary  
St. Mary Emergency Medical Services  
St. Mary Building and Development  
Langhorne Services, Inc.  
Langhorne Services II, Inc.  
Langhorne MRI, Inc.  
Langhorne MOB Partners, LP  
The Ambulatory Surgery Center at St. Mary LLC  
St. Mary Medical Center MOB II, LP  
Quality Health Alliance, LLC  
Quality Health Alliance - ACO, LLC  
Endoscopy Center at St. Mary

**St. Mary's Health Care System, Inc. [Georgia]**

St. Mary's Foundation, Inc.  
St. Mary's Sacred Heart Hospital  
Good Samaritan Hospital, Inc.  
St. Mary's Highland Hills Village, Inc.  
St. Mary's Medical Group, Inc.  
St. Mary's Highland Hills, Inc.

**St. Francis Hospital, Inc. [Delaware]**

St. Francis Foundation

LIFE at St. Francis Healthcare, Inc.  
Franciscan Eldercare Corporation  
Delaware Care Collaboration

**St. Francis Medical Center, a New Jersey Nonprofit Corporation [New Jersey]**

St. Francis Medical Center Foundation, Inc.  
LIFE St Francis, a New Jersey Nonprofit Corporation (PACE)  
Life Care Physicians LLC (Managed and Controlled but not Owned by St. Francis Medical Center)  
St. Francis Community Health Services, LLC  
Renal Center of Trenton, LLC  
Central New Jersey Heart Services, LLC

**Mercy Community Health, Inc. - [Connecticut]**

Saint Mary Home, Incorporated  
McAuley Center, Incorporated

**Our Lady of Lourdes Health Care Services, Inc. [New Jersey]**

Our Lady of Lourdes Health Foundation, Inc.  
    Our Lady of Lourdes Hospital Auxiliary  
Lourdes Medical Center of Burlington County, a New Jersey Nonprofit Corporation  
Our Lady of Lourdes Medical Center, Inc.  
    The Osborn Family Health Center, Our Lady of Lourdes Medical Center  
    Our Lady of Lourdes School of Nursing, Inc.  
    Lourdes Cardiac Surgery, LLC  
    Lourdes Cardiology Services, PC  
Lourdes Ancillary Services, Inc.  
    Health Management Services Organization, Inc.  
        Lourdes Medical Associates, PA  
LIFE at Lourdes, Inc.  
Lourdes Urgent Care Services, PC  
LHS Health Network, LLC  
Lourdes Health Services, Inc.  
Renal Center of Sewell, LLC JV (49% ownership)  
South Jersey Vascular Management, LLC JV (50% ownership)  
Lourdes Specialty Hospital of Southern New Jersey LLC JV (20% ownership)  
Tyler Dialysis, LLC JV (19% ownership)  
Centennial Surgical Unit, LLC JV (51% ownership)

**Saint Michael's Medical Center, Inc. [New Jersey]**

Saint James Care, Inc., a New Jersey Nonprofit Corporation  
Columbus Acquisition Corp  
LIFE at Saint Michael's, Inc.  
Saint Michael's Physician Services, PC  
Saint Michael's Foundation, Inc.  
University Heights Property Company, Inc., a NJ Nonprofit Corp.  
Chestnut Risk Services Ltd

**St. Peter's Health Partners [New York]**

Innovative Health Alliance of New York, LLC (SPHP owns 50%; Ellis Hospital owns 50%)  
Manning Medical, PLLC (Nominally owned by SPHP Physician in accordance with NY law; SPHP exercises control through an Agreement and Reserve Powers  
    Albany Advanced Imaging, PLLC dba St. Peter's Health Partners Imaging (Manning Medical PLLC owns 46%; Albany Radiology Partners, PLLC owns 54%)  
St. Peter's Health Partners Medical Associates, PC  
St. Peter's Health Care Services  
    St. Peter's Hospital Foundation, Inc.  
    St. Peter's Auxiliary  
    St. Peter's Hospital of the City of Albany dba St. Peter's Hospital

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Mount Carmel Health Plan, Inc  
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART

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Villa Mary Immaculate d/b/a St Peter's Nursing & Rehabilitation Center  
St. Peter's Ambulatory Surgery Center LLC (St. Peter's Hospital 50%; AGC Associates, Inc. 50%)  
Our Lady of Mercy Life Center  
The Community Hospice, Inc.  
The Community Hospice Foundation, Inc.  
Northeast Health, Inc.  
Samaritan Hospital of Troy, New York dba Samaritan Hospital  
Alliance for Better Care, LLC ( JV Samaritan Hospital 20%; Ellis Hospital 20%; Hometown Health 20%;  
St. Mary Hospital of Amsterdam 20%; Whitney M. Young Health Center 20%)  
Samaritan Medical Office Building, Inc.  
Memorial Hospital, Albany, NY dba Albany Memorial Hospital  
The Northeast Health Foundation, Inc.  
Samaritan Child Care Center, Inc.  
Sunnyview Hospital and Rehabilitation Center, Inc.  
Sunnyview Hospital and Rehabilitation Foundation, Inc.  
LTC (Eddy), Inc. dba The Eddy  
The James A. Eddy Memorial Geriatric Center, Inc. dba Eddy Memorial Geriatric Center  
The Capital Region Geriatric Center, Inc. dba Eddy Village Green at Cohoes  
Heritage House Nursing Center, Inc. dba Eddy Heritage House  
Senior Care Connection, Inc. dba Eddy Senior Care  
Home Aide Service of Eastern New York, Inc. dba Eddy Visiting Nurse Association  
Beverwyck, Inc. dba Eddy Village Green at Beverwyck  
Glen Eddy, Inc.  
Glen at Highland Meadows, Inc.  
Hawthorne Ridge, Inc. dba Eddy Hawthorne Ridge  
The Marjorie Doyle Rockwell Center, Inc.  
Beechwood, Inc. dba Eddy Property Services  
Eddy Licensed Home Care Agency, Inc.  
Empire Home Infusion Services, Inc. dba Northeast Home Medical Equipment  
Seton Health System, Inc. dba St. Mary's Hospital  
Affiliated Management Services, Corp.  
Seton Health at Schuyler Ridge Residential Healthcare dba Schuyler Ridge Nursing Home  
Seton Health Foundation, Inc.  
Seton Auxiliary, Inc.  
Seton IPA, LLC  
Seton Real Property Holdings, LLC  
**St. James Mercy Health System (New York)**  
SJM Properties, Inc.  
**Catholic Health System, Inc. (33.3% ownership) [New York]**  
Sisters of Charity Hospital of Buffalo NY  
Sisters Hospital Foundation  
Kenmore Mercy Hospital  
Kenmore Mercy Foundation  
KMH Homes, Inc.  
Catholic Health System Continuing Care Foundation  
Mercy Hospital of Buffalo  
Orchard Park Mercy Corp.  
Alsace Abbott Corporation  
Aurora Mercy Corp.  
Mercy Hospital Foundation, Inc.  
Mount St. Mary's of Niagara Falls  
Mount St. Mary's Hospital Foundation  
Mount St. Mary's Hospital Child Care Center

Nazareth, Inc.  
Father Baker Manor  
Niagara Homemaker Services  
St. Vincent's Home for the Aged  
St. Elizabeth's Home of Lancaster, New York  
McAuley-Seton Home Care Corporation  
St. Francis Buffalo  
    St. Clare Apartments (50% ownership)  
Catholic Health System Program of All-Inclusive Care for the Elderly, Inc.  
Catholic Health System Infusion Pharmacy, Inc.  
    Catholic Health Home Respiratory, LLC (50% ownership)  
Our Lady of Victory Renaissance Corporation  
Our Lady of Victory Community Housing Development Organization, Inc.  
    Our Lady of Victory Housing Development Fund Corp.  
    Smithtown GP, LLC  
        Victory Ridge Apartments, LP  
McAuley Mercy Corporation

**Baycare Health System (50.4% ownership in the JOA, not all facilities owned) [Florida]**

Baycare Physician Partners  
Community Health Alliance, Inc.  
    St Joseph's Baptist Hospital  
        St Joseph's Hospital, Inc.  
            St. Joseph's Hospital of Tampa Foundation, Inc.  
        St. Joseph's Health Care Center, Inc.  
        St Joseph's Children's Hospital  
        St Joseph's Womens Hospital  
        St Joseph's North Hospital  
        St Joseph's Behavioral Health Center  
        South Florida Baptist Hospital  
        HealthPoint Managemt Group & MSO  
        John Knox Village  
Morton Plan Mease  
    Morton Plant Hospital  
    Mease Countryside Hospital  
    Mease Dunedin Hospital  
    Morton Plant North Bay Hospital  
    Morton Plant North Bay Recovery Center  
    Morton Plant Mease Primary Care  
    Morton Plant Rehab Center  
St. Anthony's Hospital, Inc.  
    St. Anthony's Health Care Foundation, Inc.  
    St. Anthony's Primary Care, Inc.  
    St. Anthony's Specialists, LLC  
    St. Anthony's Physician Services

**Allegany Franciscan Ministries, Inc. (Florida)**

**Sisters of Providence Health System, Inc. [Massachusetts]**

Acone LLC  
Brightside, Inc.  
The Mercy Hospital, Inc.  
    Providence HomeCare, Inc.  
        System Coordinated Services, Inc. dba Life Laboratories  
        Mercy Inpatient Medical Associates, Inc.  
        MRI - PT/CT (JV with Alliance Imaging - 50% Ownership)

Physicians Medical Office Building Condominium Trust (Management Agreement)  
The Life Path Partners, LLC (JV with NEPA 50% Ownership)  
Catherine Horan Building Corporation  
Sisters of Providence Care Centers, Inc.  
    Providence Place (Management Agreement)  
    Mary's Meadow (Management Agreement)  
    Mercy Life, Inc.  
Pioneer Valley Cardiology Associates, Inc.  
Mercy Specialist Physicians, Inc.  
Mercy Medical Group, Inc.  
Farren Care Center, Inc.  
MercyCare Alliance, LLC  
Physician Practice Partners, LLC (JV with Riverbend 50%)

**Saint Joseph's Health System, Inc. [Georgia]**

Saint Joseph's Mercy Care Services, Inc.  
Mercy Senior Care, Inc.  
Saint Joseph's Mercy Foundation, Inc.  
Mercy Services Downtown, Inc.  
SJHS/JOC Holdings, Inc.  
    Emory/Saint Joseph's, Inc. (JOC - 49% owned by SJHS/JOC Holdings, Inc.)

**Saint Joseph of the Pines, Inc. [North Carolina]**

LIFE St. Joseph of the Pines, Inc.

**Holy Cross Hospital, Inc. [Florida]**

Nursing Network, Inc.  
Holy Cross Medical Properties, Inc.  
Holy Cross Outpatient Services, Inc.  
Holy Cross Physician Partners, LLC  
Holy Cross Physician Partners ACO, LLC  
Physicians Outpatient Surgery Center, LLC (JV with Physician Members - HCH ownership 71%)  
Atlantic Coast Health Network, Inc. (JV with Atlantic Coast Holdings, Inc. - HCH ownership 50%)

**Mercy Medical, A Corporation [Alabama]**

Mercy LIFE of Alabama  
Mercy LIFE of Birmingham

**St. Joseph's Health, Inc. [New York]**

St. Joseph's Hospital Health Center  
    S.J. Management Company of Syracuse, Inc.  
        SJLS, LLC (51% SJMCS, 34% Fresenius, 15% Physicians)  
    St. Joseph's College of Nursing at St. Joseph's Hospital Health Center  
    SJPE Practice Management Services, Inc.  
    The Auxiliary of St. Joseph's Hospital Health Center  
    To Be Removed: Liverpool Dialysis Center, LLC (Sale Pending to NYDS, Inc.)  
    MDR MRI Technical Services, LLC (40% SJHHC, 60% Magnetic Diagnostic Resources of Central New York)  
    Plaza Corporation of Central New York, Inc. (50% SJHHC, 50% Crouse Hospital  
        Iroquois Nursing Home  
        Plaza Nursing Home Company, Inc.  
            Mandorla Gardens Development Company (50% PNH, 50% Loretto Geriatric)  
        Enriched Resources for Independent Elderly, Inc.  
        Plaza Foundation of Central New York  
    Laboratory Alliance of Central New York, LLC (33.3% SJHHC, 33% State University of New York Upstate  
        Medical University, 33.33% Crouse Health Hospital, Inc.)  
    Loretto Independent Living Services, Inc.  
St. Joseph's Hospital Health Center Foundation, Inc.  
St. Joseph's Health Center Properties, Inc.

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Mount Carmel Health Plan, Inc  
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 - ORGANIZATIONAL CHART

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Radisson SJH Properties, LLC (50% Properties, 50% Radisson Partners, LLC)

Franciscan Associates, Inc.

Cedar Bay Properties, LLC (44%Franciscan Associates; 11% Cashflo, LLC; 11% FJP Properties, LLC,;  
34% Burdick Street Properties, LLC)

FHS Services, Inc. d/b/a Oneida Lifeline , Franciscan Lifeline

Franciscan Management Services, Inc.

St. Elizabeth Health Support Services, Inc. (60% FMS, 40% St. Elizabeth Medical Center)

Lourdes Health Support, LLC (40% FMS, 60% Lourdes Health System)

CNY Infusion Services, LLC (20% FMS, 80% Infusion Services, Inc.)

Kinney-Franciscan Pharmacy, LLC (49% FMS, 51% Kinney Drugs)

Loretto Health Support, LLC (Inactive - 100% FMS)

Franciscan Health Support, Inc.

Franciscan Health Support Services, LLC (d/b/a Oneida Health Support, Auburn Health Support,  
Mountain Lakes Health Support)

Health Care Management Administrators, Inc.

Embracing Age, Inc.

Oswego Home Health, LLC (49% Embracing Age and 60% Oswego Health)

St. Joseph's Physician Health, PC

St. Joseph's Medical, PC

St. Joseph's MASH Urgent Care, PLLC

St. Joseph's Imaging, PLLC (60% Prospect Hill Radiology Group, 40% SJMPC)

**Saint Francis Care, Inc. (Connecticut) - Effective October 1, 2015**

St. Francis Hospital and Medical Center

Saint Francis Indemnity Company, LLC

One Thousand Corporation

Collaborative Laboratory Services, LLC

Mout Sinai Hospital Foundation, Inc.

Women's Auxiliary of Saint Francis Hospital and Medical Center, Inc.

Saint Francis GI Endoscopy, LLC (49% SFHMC)

Greater Hartford Lithotripsy, LLC (31.8% SFHMC)

Medworks, LLC (51% SFHMC)

Masonicare Partners Home Health and Hospice, Inc. (35% SFHMC)

Total Laundry Collaborative, LLC (86% SFHMC)

New Directions, Inc. of North Central Connecticut (50% SFHMC)

Saint Francis Hospital and Medical Center Foundation, Inc.

Saint Francis Behavioral Health Group, P.C. (Nominee Shareholder - Director of Behavioral Health)

Saint Francis Care Medical Group, PC (Nominee Shareholder, SVP Medical Affairs)

Collins Medical Associates, 2, P.C. (25% SFMG)

Mt. Sinai Rehabilitation Hospital, Inc.

Saint Francis Medical Group, Inc.

SFH/FF, LLC (49% MSRH)

Saint Francis Emergency Medical Group, Inc.

Total Health Connecticut, LLC (40% SFC)

Asylum Hill Family Medicine Center, Inc.

Saint Francis HealthCare Partners, Inc. (50% SFC)

Saint Francis Healthcare Partners ACO, Inc.

Saint Francis PHO Foundation, Inc.

Connecticut Occupational Medicine Partners, LLC (One-Third SFC)

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
2838	Mount Carmel Health System	13123	25-1912781				Mount Carmel Health Insurance Company	OH	IA	Mount Carmel Health System	Ownership	100.000	Trinity Health Corporation	
2838	Mount Carmel Health System	95655	31-1471229				Mount Carmel Health Plan, Inc.	OH	RE	Mount Carmel Health System	Ownership	100.000	Trinity Health Corporation	

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Asterisk	Explanation
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**NONE**

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATIONS and provide an explanation following the interrogatory questions.

	RESPONSE
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

EXPLANATIONS:

N/A

BAR CODE:

Document Identifier 365:



**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December		
2. Cost of acquired:		
2.1. Actual cost at time of acquisition		
2.2. Additional investment made after ac		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/ac		
7. Deduct current year's other-than-temporal		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at end of current period (Line 1 plus Line 2 plus Line 3 plus Line 4 minus Line 5 plus Line 6 minus Line 7 minus Line 8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding		
2. Cost of acquired:		
2.1. Actual cost at time of acquisition		
2.2. Additional investment made after a		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mort		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Line 1 plus Line 2 plus Line 3 plus Line 4 plus Line 5 plus Line 6 minus Line 7 minus Line 8 plus Line 9 minus Line 10)		
12. Total Valuation Allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December		
2. Cost of acquired:		
2.1. Actual cost at time of acquisition		
2.2. Additional investment made after a		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other-than-temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Line 1 plus Line 2 plus Line 3 plus Line 4 plus Line 5 plus Line 6 minus Line 7 minus Line 8 plus Line 9 minus Line 10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

NONE

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	164,668,356	197,311,517
2. Cost of bonds and stocks acquired	39,715,214	39,599,987
3. Accrual of discount	62,154	83,504
4. Unrealized valuation increase (decrease)	(4,166,676)	(3,044,701)
5. Total gain (loss) on disposals	2,209,677	8,684,221
6. Deduct consideration for bonds and stocks disposed of	40,173,147	75,305,601
7. Deduct amortization of premium	255,085	401,114
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other-than-temporary impairment recognized		2,259,457
10. Book/adjusted carrying value at end of current period (Line 1 plus Line 2 plus Line 3 plus Line 4 plus Line 5 minus Line 6 minus Line 7 plus Line 8 minus Line 9)	162,060,493	164,668,356
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	162,060,493	164,668,356

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
 During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	174,162,509	240,912,299	228,210,757	(622,307)	181,565,438	174,162,509	186,241,744	170,568,599
2. NAIC 2 (a) .....	18,669,919			561,296	18,079,366	18,669,919	19,231,215	15,847,108
3. NAIC 3 (a) .....								
4. NAIC 4 (a) .....								
5. NAIC 5 (a) .....								
6. NAIC 6 (a) .....								
7. Total Bonds .....	192,832,428	240,912,299	228,210,757	(61,011)	199,644,804	192,832,428	205,472,959	186,415,707
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....								
9. NAIC 2 .....								
10. NAIC 3 .....								
11. NAIC 4 .....								
12. NAIC 5 .....								
13. NAIC 6 .....								
14. Total Preferred Stock .....								
15. Total Bonds and Preferred Stock .....	192,832,428	240,912,299	228,210,757	(61,011)	199,644,804	192,832,428	205,472,959	186,415,707

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:  
 NAIC 1 \$ 49,138,783 ; NAIC 2 \$ ; NAIC 3 \$ ; NAIC 4 \$ ; NAIC 5 \$ ; NAIC 6 \$

SI02

**SCHEDULE DA - PART 1**

## Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999 .....	28,056,720	X X X	28,058,842	6,224	3,189

**SCHEDULE DA - VERIFICATION**

## Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book / adjusted carrying value, December 31 of prior year .....	26,460,724	50,229,856
2. Cost of short-term investments acquired .....	65,989,922	130,816,395
3. Accrual of discount .....	306	123
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		234
6. Deduct consideration received on disposals .....	64,391,538	154,446,534
7. Deduct amortization of premium .....	2,693	139,350
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other-than-temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Line 1 + Line 2 + Line 3 + Line 4 + Line 5 - Line 6 - Line 7 + Line 8 - Line 9) .....	28,056,721	26,460,724
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11) .....	28,056,721	26,460,724

**SCHEDULE DB - PART A - VERIFICATION**

Options, Caps, Floors, Collars, Swaps and Forwards

1. Book/Adjusted Carrying Value, December 31, prior year (Line 9, prior year) .....	
2. Cost Paid/ (Consideration Received) on additions .....	
3. Unrealized Valuation increase/ (decrease) .....	
4. Total gain (loss) on termination recognized .....	<b>NONE</b>
5. Considerations received/ (paid) on terminations .....	
6. Amortization .....	
7. Adjustment to the Book/Adjusted Carrying Valu .....	
8. Total foreign exchange change in Book/Adjusted carrying value .....	
9. Book/Adjusted Carrying Value at End of Current Period (Line 1 plus Line 2 plus Line 3 plus Line 4 minus Line 5 plus Line 6 plus Line 7 plus Line 8) .....	
10. Deduct nonadmitted assets .....	
11. Statement value at end of current period (Line 9 minus Line 10) .....	

**SCHEDULE DB - PART B - VERIFICATION**

Futures Contracts

1. Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year) .....	
2. Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column) .....	
3.1 Add:	
Change in variation margin on open contracts - Highly Effective Hedges	
3.11 Section 1, Column 15, current year to date minus .....	
3.12 Section 1, Column 15, prior year .....	
Change in variation margin on open contracts - All Other	
3.13 Section 1, Column 18, current year to date minus .....	
3.14 Section 1, Column 18, prior year .....	
3.2 Add:	<b>NONE</b>
Change in adjustment to basis of hedged i	
3.21 Section 1, Column 17, current year to c	
3.22 Section 1, Column 17, prior year .....	
Change in amount recognized	
3.23 Section 1, Column 19, current year to date minus .....	
3.24 Section 1, Column 19, prior year .....	
3.3 Subtotal (Line 3.1 minus Line 3.2) .....	
4.1 Cumulative variation margin on terminated contracts during the year .....	
4.2 Less:	
4.21 Amount used to adjust basis of hedged item .....	
4.22 Amount recognized .....	
4.3 Subtotal (Line 4.1 minus Line 4.2) .....	
5. Dispositions gains (losses) on contracts terminated in prior year:	
5.1 Total gain (loss) recognized for terminations in prior year .....	
5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year .....	
6. Book/Adjusted carrying value at end of current period (Line 1 plus Line 2 plus Line 3.3 minus Line 4.3 minus Line 5.1 minus Line 5.2) .....	
7. Deduct total nonadmitted amounts .....	
8. Statement value at end of current period (Line 6 minus Line 7) .....	

**Page SI05**

Schedule DB, Pt. C, Section 1, Replicated (Synthetic Assets) Open

**NONE**

**Page SI06**

Sch DB, Pt C, Sn 2, Replication (Syn Assets) Transactions Open

**NONE**

### SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

Book/Adjusted Carrying Value Check

1.	Part A, Section 1, Column 14 .....		
2.	Part B, Section 1, Column 15 plus Part B, Section 1 .....		
3.	Total (Line 1 plus Line 2) .....	<b>NONE</b>	
4.	Part D, Section 1, Column 5 .....		
5.	Part D, Section 1, Column 6 .....		
6.	Total (Line 3 minus Line 4 minus Line 5) .....		

Fair Value Check

7.	Part A, Section 1, Column 16 .....	
8.	Part B, Section 1, Column 13 .....	
9.	Total (Line 7 plus Line 8) .....	
10.	Part D, Section 1, Column 8 .....	
11.	Part D, Section 1, Column 9 .....	
12.	Total (Line 9 minus Line 10 minus Line 11) .....	

Potential Exposure Check

13.	Part A, Section 1, Column 21 .....	
14.	Part B, Section 1, Column 20 .....	
15.	Part D, Section 1, Column 11 .....	
16.	Total (Line 13 plus Line 14 minus Line 15) .....	

**SCHEDULE E - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	43,425,380	50,836,492
2. Cost of cash equivalents acquired .....	519,303,030	659,120,965
3. Accrual of discount .....		
4. Unrealized valuation increase (decrease) .....		
5. Total gain (loss) on disposals .....		(67)
6. Deduct consideration received on disposals .....	500,348,171	666,530,868
7. Deduct amortization of premium .....		1,142
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other-than-temporary impairment recognized .....		
10. Book/adjusted carrying value at end of current period (Line 1 + Line 2 + Line 3 + Line 4 + Line 5 - Line 6 - Line 7 + Line 8 - Line 9) .....	62,380,239	43,425,380
11. Deduct total nonadmitted amounts .....		
12. Statement value at end of current period (Line 10 minus Line 11) .....	62,380,239	43,425,380

**Page E01**

Sch. A, Pt. 2, Real Estate Acquired

**NONE**

Sch. A, Pt. 3, Real Estate Disposed

**NONE**

**Page E02**

Schedule B, Part 2, Mortgage Loans Acquired

**NONE**

Schedule B, Part 3, Mortgage Loans Disposed

**NONE**

**Page E03**

Sch. BA, Pt. 2, Other Long-Term Invested Assets Acquired

**NONE**

Sch. BA, Pt. 3, Other Long-Term Invested Assets Disposed

**NONE**

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Mount Carmel Health Plan, Inc

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Bonds - U. S. Governments									
912828-J2-7	UNITED STATES TREAS NTS		09/15/2015	Deutsche Bank Fixed Income		1,475,156	1,500,000.00	2,609	1
912828-J9-2	UNITED STATES TREAS NTS		09/15/2015	Barclays Capital Le		998,203	1,000,000.00	2,309	1
912828-RT-9	UNITED STATES TREAS NTS		09/15/2015	Morgan Stanley		1,512,832	1,500,000.00	6,086	1
912828-VW-7	UNITED STATES TREAS NTS		09/15/2015	CitiGroup Global Markets Inc		1,004,063	1,000,000.00	24	1
0599999	- Subtotal - Bonds - U. S. Governments					4,990,254	5,000,000.00	11,028	
Bonds - U. S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions									
3138M5-LN-7	FNMA PASS-THRU LNG 30 YEAR		09/15/2015	TAHOE FIXED INCOME LLC		2,161,621	2,084,745.78	3,446	1
3138WE-6X-2	FNMA PASS-THRU LNG 30 YEAR		09/15/2015	Bank/America Sec Lic Montgomery		2,433,186	2,282,672.33	4,312	1
3199999	- Subtotal - Bonds - U. S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions					4,594,807	4,367,418.11	7,757	
Bonds - Industrial and Miscellaneous (Unaffiliated)									
161571-HA-5	CHASE ISSUANCE TR 2015-7A		07/22/2015	NETBANK SECURITIES (USA)		499,864	500,000.00		1FE
34530Y-AA-1	FORD CREDIT AUTO OWN TR 2015-C		09/15/2015	Bank/America Sec Lic Montgomery		700,000	700,000.00		1FE
44918L-AA-0	HYUNDAI AUTO RECV TR 2015-C		09/10/2015	SG Americas Securities		500,000	500,000.00		1FE
58772P-AA-6	MERC-BENZ AUTO RECV TR 2015-1		07/15/2015	NETBANK SECURITIES (USA)		700,000	700,000.00		1FE
90290X-AA-5	USAA AUTO OWNER TR 2015-1		07/21/2015	RBC Cap Mkts Corp		350,000	350,000.00		1FE
3899999	- Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					2,749,864	2,750,000.00		
8399997	- Subtotal - Bonds - Part 3						12,334,924	12,117,418.11	18,785
8399999	- Subtotal - Bonds						12,334,924	12,117,418.11	18,785
Common Stocks - Industrial and Miscellaneous (Unaffiliated)									
002824-10-0	ABBOTT LABORATORIES		09/18/2015	Citation Group	56.000	2,427			L
00507V-10-9	ACTIVISON BLIZZARD INC		09/18/2015	Citation Group	645.000	20,066			L
00508Y-10-2	ACUITY BRANDS INC		08/20/2015	J. P. Morgan Securities	392.000	79,015			L
00751Y-10-6	ADVANCE AUTO PARTS INC		07/08/2015	Citation Group	98.000	15,680			L
001031-10-3	AEP INDS INC		08/20/2015	J. P. Morgan Securities	3,582.000	205,583			L
007800-10-5	AEROJET ROCKETDYNE HLDGS INC		08/20/2015	MKM Partners LLC	9,404.000	199,506			L
00817Y-10-8	AETNA INC NEW		09/18/2015	Citation Group	23.000	2,672			L
015351-10-9	ALEXION PHARMACEUTICALS INC		09/18/2015	Citation Group	47.000	7,830			L
023135-10-6	AMAZON COM INC		09/18/2015	Citation Group	12.000	6,483			L
024061-10-3	AMERICAN AXLE & MFG HLDGS INC		07/14/2015	BNY Convergenx	7,912.000	164,358			L
031162-10-0	AMGEN INC		09/18/2015	Citation Group	41.000	6,175			L
03283P-10-6	ANCHOR BANCORP WIS INC DEL		09/15/2015	Investment Technology Group	5,697.000	233,961			L
037833-10-0	APPLE INC		09/18/2015	Citation Group	159.000	18,040			L
038336-10-3	APTARGROUP INC		09/28/2015	Investment Technology Group	2,674.000	173,048			L
04013V-10-8	ARES COML REAL ESTATE CORP		09/28/2015	Investment Technology Group	14,974.000	180,092			L
00206R-10-2	AT&T INC		09/18/2015	VARIOUS	1,558.610	53,026			L
05329W-10-2	AUTONATION INC		08/20/2015	J. P. Morgan Securities	1,312.000	80,144			L
060505-10-4	BANK OF AMERICA CORP		09/18/2015	Citation Group	348.000	5,418			L
07177M-10-3	BAXALTA INC COM		07/01/2015	VARIOUS	704.000	21,020			L
054937-10-7	BB&T CORP		09/18/2015	Citation Group	157.000	5,544			L
084670-70-2	BERKSHIRE HATHAWAY INC DEL		09/18/2015	Citation Group	162.000	20,916			L
086516-10-1	BEST BUY INC		09/18/2015	Citation Group	75.000	2,816			L
109194-10-0	BRIGHT HORIZONS FAM SOL IN DEL		09/28/2015	Investment Technology Group	2,685.000	174,272			L
12685J-10-5	CABLE ONE INC COM		07/01/2015	Barclays Capital Le	199.000	50,839			L
141624-10-6	CARE CAP PPTYS INC COM		08/18/2015	VARIOUS	107.000	3,195			L

(continues)

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

## SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Common Stocks - Industrial and Miscellaneous (Unaffiliated) (continued)									
151020-10-4	CELGENE CORP		09/18/2015	Citation Group	38.000	4,660			L
163851-10-8	CHEMOURS CO COM		07/01/2015	VARIOUS	236.200	3,673			L
125509-10-9	CIGNA CORPORATION		09/18/2015	Citation Group	42.000	5,948			L
17275R-10-2	CISCO SYSTEMS INC		09/18/2015	Citation Group	302.000	7,716			L
12572Q-10-5	CME GROUP INC		09/18/2015	Citation Group	64.000	5,786			L
192446-10-2	COGNIZANT TECHNOLOGY SOLUTIONS		09/18/2015	Citation Group	48.000	2,968			L
194162-10-3	COLGATE-PALMOLIVE CO		09/18/2015	Citation Group	61.000	3,825			L
198280-10-9	COLUMBIA PIPELINE GROUP INC COM		07/02/2015	VARIOUS	395.000	7,861			L
199908-10-4	COMFORT SYS USA INC		07/14/2015	BNY Convergenx	7,059.000	167,334			L
22160K-10-5	COSTCO WHSL CORP NEW		09/18/2015	Citation Group	25.000	3,546			L
22822V-10-1	CROWN CASTLE INTL CORP NEW COM		09/18/2015	Citation Group	31.000	2,400			L
126650-10-0	CVS CAREMARK CORP		09/18/2015	Citation Group	37.000	3,664			L
23331A-10-9	D R HORTON INC COM		09/18/2015	Citation Group	74.000	2,340			L
254687-10-6	DISNEY WALT CO		09/18/2015	Citation Group	102.000	10,491			L
256746-10-8	DOLLAR TREE INC		07/06/2015	VARIOUS	30.800	2,454			L
25746U-10-9	DOMINION RES INC VA NEW		09/18/2015	Citation Group	40.000	2,760			L
260543-10-3	DOW CHEM CO		09/18/2015	Citation Group	165.000	7,148			L
263534-10-9	DU PONT E I DE NEMOURS & CO		09/18/2015	Citation Group	60.000	2,852			L
30231G-10-2	EXXON MOBIL CORP		09/18/2015	Citation Group	72.000	5,234			L
30303M-10-2	FACEBOOK INC		09/18/2015	Citation Group	246.000	23,225			L
369604-10-3	GENERAL ELECTRIC CO		09/18/2015	Citation Group	109.000	2,704			L
37045V-10-0	GENERAL MTRS CO		09/18/2015	Citation Group	102.000	3,113			L
375558-10-3	GILEAD SCIENCES INC		09/18/2015	Citation Group	64.000	6,941			L
38259P-50-8	GOOGLE INC		09/18/2015	Citation Group	11.000	7,270			L
38259P-70-6	GOOGLE INC CL C		09/18/2015	Citation Group	13.000	8,180			L
413875-10-5	HARRIS CORP DEL		09/18/2015	Citation Group	32.000	2,342			L
40412C-10-1	HCA HOLDINGS INC		09/18/2015	Citation Group	43.000	3,547			L
42222G-10-8	HEALTH NET INC		08/20/2015	J.P. Morgan Securities	2,922.000	198,051			L
438516-10-6	HONEYWELL INTL INC		09/18/2015	Citation Group	41.000	4,020			L
445658-10-7	HUNT J B TRANS SVCS INC		09/18/2015	Citation Group	123.000	9,512			L
45329R-10-9	INC RESH HLDGS INC		08/04/2015	Instinet	3,096.000	157,035			L
458140-10-0	INTEL CORP		09/18/2015	Citation Group	313.000	9,086			L
478160-10-4	JOHNSON & JOHNSON		09/18/2015	Citation Group	81.000	7,565			L
46625H-10-0	JPMORGAN CHASE & CO		09/18/2015	Citation Group	127.000	7,741			L
49456B-10-1	KINDER MORGAN INC DEL		09/18/2015	Citation Group	135.000	4,103			L
500754-10-6	KRAFT HEINZ CO COM		07/02/2015	Merrill Lynch	772.000	55,345			L
501797-10-4	L BRANDS INC		09/18/2015	Citation Group	35.000	3,218			L
50540R-40-9	LABORATORY CORP AMER HLDGS		09/18/2015	Citation Group	21.000	2,508			L
505597-10-4	LACLEDE GROUP INC		08/20/2015	J.P. Morgan Securities	3,670.000	203,203			L
521865-20-4	LEAR CORP		08/04/2015	Instinet	1,996.000	209,418			L
539830-10-9	LOCKHEED MARTIN CORP		09/18/2015	Citation Group	13.000	2,643			L
57636Q-10-4	MASTERCARD INC CL A		09/18/2015	Citation Group	71.000	6,543			L
577128-10-1	MATTHEWS INTERNATIONAL CORP CL A		08/20/2015	J.P. Morgan Securities	3,839.000	202,810			L
58501N-10-1	MEDIVATION INC		07/14/2015	BNY Convergenx	858.000	93,064			L
59156R-10-8	METLIFE INC		09/18/2015	Citation Group	85.000	3,955			L
594918-10-4	MICROSOFT CORP		09/18/2015	Citation Group	106.000	4,610			L
60855R-10-0	MOLINA HEALTHCARE INC		08/20/2015	J.P. Morgan Securities	2,585.000	194,306			L
61174X-10-9	MONSTER BEVERAGE CORP		09/18/2015	Citation Group	28.000	3,769			L
55354G-10-0	MSCI INC		08/20/2015	J.P. Morgan Securities	1,095.000	69,009			L
637215-10-4	NATIONAL PRESTO INDUSTRIES INC		08/20/2015	VARIOUS	2,931.000	236,083			L
64125C-10-9	NEUROCRINE BIOSCIENCES INC		07/14/2015	BNY Convergenx	3,278.000	173,278			L

(continues)

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Mount Carmel Health Plan, Inc

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Common Stocks - Industrial and Miscellaneous (Unaffiliated) (continued)									
65339F-10-1	NEXTERA ENERGY INC COM		09/18/2015	Citation Group	30.000	2,919			L
68389X-10-5	ORACLE CORP		09/18/2015	Citation Group	168.000	6,114			L
690742-10-1	OWENS CORNING NEW		09/15/2015	Investment Technology Group	4,315.000	199,476			L
70450Y-10-3	PAYPAL HLDGS INC COM		07/17/2015	VARIOUS	1,455.000	34,151			L
713448-10-8	PEPSICO INC		09/18/2015	Citation Group	29.000	2,699			L
717081-10-3	PFIZER INC		09/18/2015	Citation Group	161.000	5,290			L
742718-10-9	PROCTER & GAMBLE CO		09/18/2015	Citation Group	49.000	3,428			L
75886F-10-7	REGENERON PHARMACEUTICALS		09/18/2015	Citation Group	8.000	4,403			L
79466L-30-2	SALESFORCE COM INC		09/18/2015	Citation Group	36.000	2,571			L
831865-20-9	SMITH A O		08/04/2015	Instinet	2,165.000	155,607			L
855244-10-9	STARBUCKS CORP		09/18/2015	Citation Group	81.000	4,605			L
863667-10-1	STRYKER CORP		09/18/2015	Citation Group	43.000	4,267			L
87612E-10-6	TARGET CORP		09/18/2015	Citation Group	36.000	2,759			L
89055F-10-3	TOPBUILD CORP COM		07/01/2015	VARIOUS	50.110	987			L
902494-10-3	TYSON FOODS INC		09/18/2015	Citation Group	61.000	2,617			L
910047-10-9	UNITED CONTL HLDGS INC		09/18/2015	Citation Group	475.000	28,652			L
910187-10-3	UNITED DEV FDG IV		08/04/2015	Instinet	10,856.000	196,792			L
91324P-10-2	UNITED HEALTH GROUP INC CORP		09/18/2015	Citation Group	23.000	2,817			L
91913Y-10-0	VALERO ENERGY CORP		09/18/2015	Citation Group	42.000	2,517			L
918194-10-1	VCA INC COM		09/15/2015	Investment Technology Group	3,338.000	187,741			L
92343V-10-4	VERIZON COMMUNICATIONS INC		09/18/2015	Citation Group	228.000	10,164			L
92532F-10-0	VERTEX PHARMACEUTICALS INC		09/18/2015	Citation Group	22.000	2,732			L
92826C-83-9	VISA INC CL A		09/18/2015	Citation Group	77.000	5,375			L
931142-10-3	WAL-MART STORES INC		09/18/2015	Citation Group	37.000	2,344			L
931427-10-8	WALGREENS BOOTS ALLIANCE INC COM		09/18/2015	Citation Group	74.000	6,401			L
949746-10-1	WELLS FARGO & CO		09/18/2015	Citation Group	239.000	12,201			L
958102-10-5	WESTERN DIGITAL CORP		09/18/2015	Citation Group	40.000	3,035			L
96145D-10-5	WESTROCK CO COM		09/18/2015	VARIOUS	363.500	21,341			L
G1151C-10-1	ACCENTURE PLC CLASS A	R	09/18/2015	Citation Group	40.000	3,902			L
G0177J-10-8	ALLERGAN PLC	R	09/18/2015	Citation Group	10.000	2,953			L
Y0486S-10-4	AVAGO TECHNOLOGIES LTD	R	09/18/2015	Citation Group	28.000	3,573			L
G16252-10-1	BROOKFIELD INFRASTR PARTNERS	R	08/20/2015	VARIOUS	5,744.000	239,424			L
143658-30-0	CARNIVAL CORP	R	09/18/2015	Citation Group	47.000	2,448			L
G30397-10-6	ENDURANCE SPECIALTY HOLDINGS LTD	R	08/03/2015	Investment Technology Group	2,705.500	244,470			L
G4388N-10-6	HELEN OF TROY CORP LTD	R	07/14/2015	BNY Convergenx	1,860.000	165,664			L
G4705A-10-0	ICON PLC	R	09/28/2015	Investment Technology Group	2,099.000	148,767			L
G5753U-11-2	MAIDEN HOLDINGS LTD	R	08/20/2015	J.P. Morgan Securities	12,453.000	203,135			L
G5960L-10-3	MEDTRONIC INC	R	09/18/2015	Citation Group	39.000	2,743			L
N7716A-15-1	SAPIENS INTL CORP N V	R	09/15/2015	Investment Technology Group	13,200.000	160,224			L
G81276-10-0	SIGNET JEWELERS LTD	R	09/18/2015	Citation Group	109.000	14,920			L
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					6,009,207			
9799997	Subtotal - Common Stocks - Part 3					6,009,207			
9799999	Subtotal - Common Stocks					6,009,207			
9899999	Subtotal - Preferred and Common Stocks					6,009,207			
9999999	TOTALS					18,344,131		18,785	

E04.2

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Mount Carmel Health Plan, Inc

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
Bonds - U. S. Governments																						
3620AA-TY-4	GNMA 30YR POOL #724267		09/15/2015	PRINCIPAL RECEIPT		45,724	45,724.36	47,553	47,505		(1,781)		(1,781)		45,724				1,523	09/15/2039	1	
912828-NP-1	UNITED STATES TREASURY NOTE		07/31/2015	MATURITY		1,325,000	1,325,000.00	1,377,039	1,334,452		(9,452)		(9,452)		1,325,000				23,188	07/31/2015	1	
912828-NV-8	UNITED STATES TREASURY NOTE		08/31/2015	MATURITY		2,555,000	2,555,000.00	2,618,632	2,570,114		(15,114)		(15,114)		2,555,000				31,938	08/31/2015	1	
912828-TP-5	UNITED STATES TREASURY NOTE		09/15/2015	MATURITY		6,950,000	6,950,000.00	6,932,662	6,945,785		4,215		4,215		6,950,000				17,375	09/15/2015	1	
0599999 - Subtotal - Bonds - U. S. Governments						10,875,724	10,875,724.36	10,975,887	10,897,857		(22,133)		(22,133)		10,875,724				74,023			
Bonds - U. S. Special Revenue and Special Assessment and All Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions																						
312935-M2-2	FHLMC 30YR (GOLD) POOL #A8847		09/15/2015	PRINCIPAL RECEIPT		53,070	53,070.02	55,359	55,326		(2,256)		(2,256)		53,070				1,736	09/01/2039	1	
3128PL-A2-8	FHLMC PC GOLD 15 YR		09/15/2015	PRINCIPAL RECEIPT		5,657	5,656.72	5,704	5,694		(37)		(37)		5,657				158	06/01/2023	1	
31416N-3J-9	FNMA 15YR POOL #AA5300		09/25/2015	PRINCIPAL RECEIPT		3,676	3,675.97	3,878	3,794		(118)		(118)		3,676				109	09/01/2020	1	
31371L-6G-9	FNMA 30YR POOL #255671		09/25/2015	PRINCIPAL RECEIPT		15,884	15,884.24	15,862	15,862						15,862		22	22	593	04/01/2035	1	
31402Q-WA-5	FNMA 30YR POOL #735141		09/25/2015	PRINCIPAL RECEIPT		16,608	16,607.63	16,255	16,255		342		342		16,608				612	01/01/2035	1	
31408F-6B-0	FNMA 30YR POOL #850566		09/25/2015	PRINCIPAL RECEIPT		11,041	11,041.09	10,470	10,488		553		553		11,041				357	01/01/2036	1	
31413V-UA-3	FNMA 30YR POOL #956977		09/25/2015	PRINCIPAL RECEIPT		1,022	1,022.05	1,024	1,024		(2)		(2)		1,022				41	12/01/2037	1	
31416T-L5-6	FNMA 30YR POOL #AA9347		09/25/2015	PRINCIPAL RECEIPT		27,219	27,219.06	28,108	28,083		(864)		(864)		27,219				889	08/01/2039	1	
3138AB-YR-4	FNMA PASS-THRU LONG 30 YEAR		09/25/2015	PRINCIPAL RECEIPT		20,512	20,511.74	21,214	21,200		(689)		(689)		20,512				624	04/01/2041	1	
3138AK-QW-2	FNMA PASS-THRU LONG 30 YEAR		09/25/2015	PRINCIPAL RECEIPT		58,674	58,673.78	61,039	61,171		(2,497)		(2,497)		58,674				1,658	07/01/2041	1	
3138E2-GH-2	FNMA PASS-THRU LONG 30 YEAR		09/25/2015	PRINCIPAL RECEIPT		59,978	59,977.56	64,335	64,259		(4,281)		(4,281)		59,978				1,545	01/01/2042	1	
3138EG-HX-5	FNMA PASS-THRU LONG 30 YEAR		09/25/2015	PRINCIPAL RECEIPT		26,017	26,016.96	26,269	26,340		(323)		(323)		26,017				684	04/01/2041	1	
31403C-6L-0	FNMA PASS-THRU LONG 30 YEAR		09/25/2015	PRINCIPAL RECEIPT		12,495	12,494.51	12,941	12,925		(431)		(431)		12,495				413	02/01/2036	1	
31403D-WU-9	FNMA PASS-THRU LONG 30 YEAR		09/25/2015	PRINCIPAL RECEIPT		16,508	16,508.11	17,493	17,488		(980)		(980)		16,508				603	11/01/2036	1	
31409W-LB-5	FNMA PASS-THRU LONG 30 YEAR		09/25/2015	PRINCIPAL RECEIPT		13,496	13,496.43	13,073	13,085		411		411		13,496				458	04/01/2036	1	
31411E-2C-0	FNMA PASS-THRU LONG 30 YEAR		09/25/2015	PRINCIPAL RECEIPT		35,513	35,512.99	35,068	35,068						35,068		445	445	1,342	01/01/2037	1	
31411E-YD-3	FNMA PASS-THRU LONG 30 YEAR		09/25/2015	PRINCIPAL RECEIPT		18,680	18,679.70	18,436	18,436						18,436		244	244	607	01/01/2037	1	
31412P-6K-2	FNMA PASS-THRU LONG 30 YEAR		09/25/2015	PRINCIPAL RECEIPT		12,465	12,464.85	12,738	12,729		(264)		(264)		12,465				404	02/01/2035	1	
31412W-N2-8	FNMA PASS-THRU LONG 30 YEAR		09/25/2015	PRINCIPAL RECEIPT		45,986	45,985.81	45,813	45,813		173		173		45,986				1,901	06/01/2037	1	
3199999 - Subtotal - Bonds - U. S. Special Revenue and Special Assessment and All Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						454,499	454,499.22	465,079	465,052		(11,263)		(11,263)		453,789		710	710	14,735			
Bonds - Industrial and Miscellaneous (Unaffiliated)																						
14313W-AA-0	CARMAX AUTO OWNER TR 2015-1		09/15/2015	PRINCIPAL RECEIPT		135,728	135,728.05	135,728						135,728					150	03/15/2016	1FE	
19416Q-DN-7	COLGATE PALMOLIVE CO MTN BE SR NT		08/05/2015	MATURITY		400,000	400,000.00	399,436	399,947		53		53		400,000				12,600	08/05/2015	1FE	
34530T-AA-2	FORD CREDIT AUTO LEASE 2015-A 144A		09/15/2015	PRINCIPAL RECEIPT		301,093	301,092.54	301,093						301,093					269	05/15/2016	1FE	
44614U-AA-7	HUNTINGTON AUTO TR 2015-1		09/15/2015	PRINCIPAL RECEIPT		554,052	554,051.80	554,052						554,052					274	06/15/2016	1FE	
44890X-AA-6	HYUNDAI AUTO LEASE TR 2015-A 144A		09/15/2015	PRINCIPAL RECEIPT		269,875	269,874.70	269,875						269,875					309	03/15/2016	1FE	
44890W-AA-8	HYUNDAI AUTO RECV TR 2015-A		08/17/2015	PRINCIPAL RECEIPT		41,242	41,242.38	41,242						41,242					49	01/15/2016	1FE	
44890Y-AA-4	HYUNDAI AUTO RECV TR 2015-B		09/15/2015	PRINCIPAL RECEIPT		268,583	268,583.29	268,583						268,583					260	04/15/2016	1FE	
58768L-AA-1	MERC-BENZ AUTO LEASE TR 2015-A		08/17/2015	PRINCIPAL RECEIPT		344,776	344,776.07	344,740			36		36		344,776				209	01/15/2016	1FE	
58772P-AA-6	MERC-BENZ AUTO RECV TR 2015-1		09/15/2015	PRINCIPAL RECEIPT		279,906	279,905.75	279,906						279,906					79	08/15/2016	1FE	
594918-AG-9	MICROSOFT CORP		09/25/2015	MATURITY		1,300,000	1,300,000.00	1,307,893	1,301,664		(1,664)		(1,664)		1,300,000				21,125	09/25/2015	1FE	
90290X-AA-5	USAA AUTO OWNER TR 2015-1		09/15/2015	PRINCIPAL RECEIPT		105,329	105,328.55	105,329						105,329					21	08/15/2016	1FE	
98161J-AA-5	WORLD OMNI AUTO TR 2015-A		08/17/2015	PRINCIPAL RECEIPT		76,159	76,159.41	76,159						76,159					74	03/15/2016	1FE	
3899999 - Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						4,076,743	4,076,742.54	4,084,036	1,701,611		(1,575)		(1,575)		4,076,743				35,420			
8399997 - Subtotal - Bonds - Part 4						15,406,966	15,406,966.12	15,525,002	13,064,520		(34,970)		(34,970)		15,406,256			710	710	124,177		
8399999 - Subtotal - Bonds						15,406,966	15,406,966.12	15,525,002	13,064,520		(34,970)		(34,970)		15,406,256			710	710	124,177		

(a) For all common stock bearing the NAIC market indicator 'U' provide: the number of such issues

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Mount Carmel Health Plan, Inc

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A. C. V. (11+12-13)	Total Foreign Exchange Change in B./A. C. V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Common Stocks - Industrial and Miscellaneous (Unaffiliated)																					
01741R-10-2	ALLEGHENY TECHNOLOGIES INC		09/18/2015	Citation Group	137,000	2,362		4,306	4,763	(458)			(458)		4,306		(1,944)	(1,944)	74		L
03232P-40-5	AMSURG CORP		08/20/2015	J. P. Morgan Securities	531,000	43,430		12,089	29,062	(16,972)			(16,972)		12,089		31,341	31,341			L
00206R-10-2	AT&T INC		07/30/2015	Fractional Shares	0.610	21		20	17	(1)			(1)		20		1	1			L
071813-10-9	BAXTER INTERNATIONAL INC		07/01/2015	COST ADJ		21,020		21,020	20,480						21,020						L
115637-20-9	BROWN FORMAN CORP		09/18/2015	Citation Group	68,000	6,714		5,043	5,832	(940)			(940)		5,043		1,671	1,671	42		L
141624-10-6	CARE CAP PPTYS INC COM		09/18/2015	Citation Group	107,000	3,474		3,195	3,195						3,195		279	279	61		L
149205-10-6	CATO CORP NEW		09/28/2015	VARIOUS	3,119,000	112,954		135,072							135,072		(22,117)	(22,117)	2,485		L
156504-30-0	CENTURY CMNTYS INC		08/20/2015	J. P. Morgan Securities	2,268,000	50,295		43,235							43,235		7,060	7,060			L
163851-10-8	CHEMOURS CO COM		09/18/2015	VARIOUS	236,200	2,067		3,673							3,673		(1,606)	(1,606)	130		L
166764-10-0	CHEVRON CORP		09/18/2015	Citation Group	48,000	3,731		4,438	5,167	(927)			(927)		4,438		(707)	(707)	150		L
20030N-10-1	COMCAST CORP NEW CL A		09/18/2015	Citation Group	444,000	25,490		16,949	25,097	(8,823)			(8,823)		16,949		8,541	8,541	316		L
20825C-10-4	CONOCOPHILLIPS		09/18/2015	Citation Group	69,000	3,336		4,471	4,428	(264)			(264)		4,471		(1,135)	(1,135)	145		L
229669-10-6	CUBIC CORP		09/15/2015	Investment Technology Group	2,726,000	113,479		143,495							143,495		(30,015)	(30,015)	736		L
231561-10-1	CURTISS WRIGHT CORP		09/28/2015	Investment Technology Group	3,428,000	221,892		166,384	241,983	(75,599)			(75,599)		166,384		55,508	55,508	891		L
244199-10-5	DEERE & CO		09/18/2015	Citation Group	44,000	3,499		3,622	3,792	(278)			(278)		3,622		(122)	(122)	78		L
25490A-30-9	DIRECTV		07/24/2015	J. P. Morgan Securities	661,000	61,836		44,721	55,922	(12,668)			(12,668)		44,721		17,116	17,116			L
256746-10-8	DOLLAR TREE INC		07/10/2015	Fractional Shares	0.800	65		43	49	(14)			(14)		43		21	21			L
263534-10-9	DJ PONT E I DE NEMOURS & CO		07/01/2015	COST ADJ		3,673		3,565	3,565						3,673						L
268948-10-6	EAGLE BANCORP INC		08/04/2015	Instinet	862,000	38,961		12,342	30,618	(18,276)			(18,276)		12,342		26,619	26,619			L
278642-10-3	EBAY INC		07/17/2015	COST ADJ		34,151		34,151	31,511						34,151						L
532457-10-8	ELI LILLY & CO		09/18/2015	Citation Group	110,000	9,733		5,733	6,927	(1,968)			(1,968)		5,733		4,000	4,000	155		L
26885B-10-0	EQT MIDSTREAM PARTNER LP		09/28/2015	Investment Technology Group	438,000	27,399		26,082	17,538	(11,550)			(11,550)		26,082		1,317	1,317	517		L
30219G-10-8	EXPRESS SCRIPTS HLDG CO		09/18/2015	Citation Group	56,000	4,699		3,762	4,620	(987)			(987)		3,762		937	937			L
303726-10-3	FAIRCHILD SEMICONDUCTOR INTL		08/20/2015	VARIOUS	15,931,000	226,785		244,541	268,915	(24,374)			(24,374)		244,541		(17,756)	(17,756)			L
307000-10-9	FAMILY DOLLAR STORES INC		07/06/2015	J. P. Morgan Securities	124,000	9,844		7,193	9,822	(2,629)			(2,629)		7,193		2,651	2,651			L
31430F-10-1	FELCOR LODGING TR INC		07/14/2015	BNY Convergenx	14,415,000	151,356		149,177	155,970	(6,794)			(6,794)		149,177		2,180	2,180	1,730		L
316394-10-5	FIDELITY SOUTHERN CORP NEW		09/15/2015	VARIOUS	3,990,000	80,432		62,905	64,279	(1,374)			(1,374)		62,905		17,527	17,527	1,027		L
345370-86-0	FORD MOTOR CO		09/18/2015	Citation Group	299,000	4,267		4,226	4,517	(407)			(407)		4,226		40	40	132		L
34552U-10-4	FORESIGHT ENERGY LP		07/14/2015	BNY Convergenx	9,791,000	93,679		150,684							150,684		(57,005)	(57,005)	3,623		L
36473H-10-4	GANNETT CO INC COM		09/18/2015	VARIOUS	2,736,500	34,507		34,050	34,050						34,050		457	457	23		L
37253A-10-3	GENTHERM INC		09/28/2015	Investment Technology Group	2,193,000	94,592		36,689	80,308	(43,619)			(43,619)		36,689		57,903	57,903			L
384637-10-4	GRAHAM HLDGS CO COM		08/04/2015	VARIOUS	199,000	186,983		139,218	171,878	(32,660)			(32,660)		139,218		47,765	47,765	1,582		L
441060-10-0	HOSPIRA INC		09/03/2015	MERGER	209,000	18,810		12,801	12,801	(4,348)			(4,348)		12,801		8,453	8,453			L
447462-10-2	HURON CONSULTING GROUP INC		07/14/2015	BNY Convergenx	1,212,000	86,267		60,708	82,889	(22,180)			(22,180)		60,708		25,558	25,558			L
45167R-10-4	IDEX CORP		08/04/2015	Instinet	1,028,000	76,844		74,396	80,020	(5,623)			(5,623)		74,396		2,447	2,447	946		L
45169U-10-5	IGATE CORP COM		07/02/2015	MERGER	2,128,000	69,038		69,038	84,013	(14,976)			(14,976)		69,038		33,106	33,106			L
452308-10-9	ILLINOIS TOOL WKS INC		09/18/2015	Citation Group	31,000	2,628		2,451	2,854	(486)			(486)		2,451		177	177	44		L
457030-10-4	INGLES MKTS INC		07/14/2015	BNY Convergenx	808,000	40,163		20,224	29,969	(9,745)			(9,745)		20,224		19,939	19,939	267		L
450911-20-1	ITT CORP		08/04/2015	Instinet	2,032,000	75,338		35,763	82,215	(46,452)			(46,452)		35,763		39,574	39,574	481		L
48562P-10-3	KAPSTONE PAPER & PACKAGING CRP		09/15/2015	Investment Technology Group	3,114,000	60,415		24,040	91,271	(67,231)			(67,231)		24,040		36,375	36,375	934		L
50076Q-10-6	KRAFT FOODS GROUP INC		07/02/2015	Merrill Lynch	772,000	68,083		41,014	47,183	(7,771)			(7,771)		41,014		27,069	27,069	828		L
50420D-10-8	LA QUINTA HLDGS INC		09/14/2015	VARIOUS	9,219,000	172,782		222,674							222,674		(49,892)	(49,892)			L
562750-10-9	MANHATTAN ASSOCS INC		07/14/2015	BNY Convergenx	573,000	35,633		6,548	23,333	(16,785)			(16,785)		6,548		29,085	29,085			L
574599-10-6	MASCO CORP		07/01/2015	COST ADJ		987		987	987						987						L
583334-10-7	MEADWESTVACO CORP		07/01/2015	J. P. Morgan Securities	225,000	10,868		7,872	9,988	(2,116)			(2,116)		7,872		2,995	2,995	113		L
603158-10-6	MINERALS TECHNOLOGIES INC		09/28/2015	VARIOUS	2,870,000	146,549		206,598							206,598		(60,048)	(60,048)	242		L
609207-10-5	MONDELEZ INTL INC		09/18/2015	Citation Group	56,000	2,399		1,845	1,982	(195)			(195)		1,845		554	554	25		L
G62185-10-6	MONTPELLIER RE HOLDINGS LTD		08/03/2015	Investment Technology Group	5,732,000	244,470		186,748	205,320	(18,572)			(18,572)		186,748		57,722	57,722	60,129		L
64110L-10-6	NETFLIX INC		09/18/2015	Citation Group	38,000	3,899		1,849	1,810	(43)			(43)		1,849		2,050	2,050			L

(continues)

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STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Mount Carmel Health Plan, Inc

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Common Stocks - Industrial and Miscellaneous (Unaffiliated) (continued)																					
654106-10-3	NIKE INC		09/18/2015	Citation Group	31,000	3,566		1,741	2,907	(1,244)			(1,245)		1,741		1,825	1,825	26		L
65473P-10-5	NISOURCE INC		07/02/2015	COST ADJ		7,861		7,861	7,861						7,861						L
675232-10-2	OCEANEERING INTERNATIONAL INC		08/04/2015	Instinet	2,068,000	83,710		112,971	112,971						112,971		(29,262)	(29,262)	1,412		L
681904-10-8	OMNICARE INC		08/18/2015	MERGER	3,247,000	318,206		91,864	236,804	(144,940)			(144,940)		91,864		226,342	226,342	1,539		L
69014Q-10-1	OVASCIENCE INC		08/04/2015	Instinet	3,352,000	93,966		146,688	146,688						146,688		(52,722)	(52,722)			L
695156-10-9	PACKAGING CORP AMER COM		07/14/2015	BNY Convergenx	1,128,000	72,724		71,989	88,040	(16,051)			(16,051)		71,989		735	735	1,072		L
696429-30-7	PALL CORP		08/31/2015	MERGER	141,000	17,935		10,923	14,271	(3,347)			(3,347)		10,923		7,012	7,012	86		L
718546-10-4	PHILLIPS 66 COM		09/18/2015	Citation Group	51,000	4,008		3,565	3,565	(13)			(13)		3,565		354	354	81		L
718549-20-7	PHILLIPS 66 PARTNERS LP		08/20/2015	J. P. Morgan Securities	1,560,000	90,174		61,148	107,531	(46,383)			(46,383)		61,148		29,026	29,026	1,732		L
69349H-10-7	PNM RES INC		07/14/2015	BNY Convergenx	5,005,000	128,552		105,452	148,298	(42,847)			(42,847)		105,452		23,100	23,100	2,286		L
74733V-10-0	QEP RES INC		09/18/2015	Citation Group	226,000	2,940		4,570	4,570						4,570		(1,630)	(1,630)	14		L
747525-10-3	QUALCOMM INC		09/18/2015	Citation Group	56,000	3,049		3,229	4,058	(929)			(929)		3,229		(180)	(180)	50		L
750469-20-7	RADIUS HEALTH INC		09/28/2015	VARIOUS	2,416,000	158,255		103,832	103,832						103,832		54,423	54,423			L
754730-10-9	RAYMOND JAMES FINANCIAL INC		08/20/2015	J. P. Morgan Securities	1,845,000	102,904		89,298	105,700	(16,402)			(16,402)		89,298		13,606	13,606	996		L
79546E-10-4	SALLY BEAUTY HLDGS INC		09/28/2015	VARIOUS	9,496,000	240,406		279,620	220,682	(10,986)			(10,986)		279,620		(39,215)	(39,215)			L
80283M-10-1	SANTANDER CONSUMER USA HDG INC		08/20/2015	J. P. Morgan Securities	3,882,000	87,175		95,315	95,315						95,315		(8,140)	(8,140)			L
806857-10-8	SCHLUMBERGER LTD		09/18/2015	Citation Group	32,000	2,321		2,739	2,739						2,739		(418)	(418)	43		L
82735Q-10-2	SILVER BAY RLTY TR CORP		07/14/2015	BNY Convergenx	6,287,000	103,514		104,113	104,113						104,113		(599)	(599)	1,697		L
83088M-10-2	SKYWORKS SOLUTIONS INC		08/20/2015	J. P. Morgan Securities	1,528,000	126,986		40,094	111,101	(71,007)			(71,007)		40,094		86,892	86,892	434		L
87151Q-10-6	SYMETRA FINANCIAL CORP		08/20/2015	J. P. Morgan Securities	1,781,000	56,241		36,700	41,052	(4,352)			(4,352)		36,700		19,540	19,540	392		L
87901J-10-5	TEGNA INC		08/20/2015	Morgan Stanley	5,183,000	125,271		146,275	146,275						146,275		(21,004)	(21,004)	1,037		L
89055F-10-3	TOPBUILD CORP COM		09/18/2015	VARIOUS	50,110	1,740		987	987						987		754	754			L
894648-10-4	TRECOR RES COM		09/28/2015	Investment Technology Group	9,765,000	117,017		102,044	143,546	(41,501)			(41,501)		102,044		14,972	14,972			L
90130A-10-1	TWENTY FIRST CENTY FOX INC		09/18/2015	Citation Group	735,000	19,330		23,324	27,515	(4,825)			(4,825)		23,324		(3,994)	(3,994)	113		L
902973-30-4	US BANCORP		09/18/2015	Citation Group	154,000	6,339		6,067	6,747	(851)			(851)		6,067		271	271	113		L
92240G-10-1	VECTREN CORP COM		07/14/2015	BNY Convergenx	2,341,000	94,844		93,128	108,224	(15,096)			(15,096)		93,128		1,715	1,715	1,779		L
92276F-10-0	VENTAS INC		08/18/2015	COST ADJ		3,195		3,195	2,659						3,195						L
92343E-10-2	VERISIGN INC		09/18/2015	Citation Group	38,000	2,658		1,793	2,166	(373)			(373)		1,793		865	865			L
92939U-10-6	WEC ENERGY GROUP INC		07/10/2015	Fractional Shares	0,930	44		39	35	(8)			(8)		39		5	5	1		L
96145D-10-5	WESTROCK CO COM		07/10/2015	Fractional Shares	0,500	31		31	31						31						L
981419-10-4	WORLD ACCEP CORP DEL		08/20/2015	J. P. Morgan Securities	2,357,000	80,061		185,037	185,037						185,037		(104,976)	(104,976)			L
148887-10-2	CATAMARAN CORP	I	07/24/2015	MERGER	2,000,000	123,000		82,769	103,500	(20,731)			(20,731)		82,769		40,231	40,231			L
36191C-20-5	GSI GROUP INC CDA NEW	I	09/15/2015	Investment Technology Group	10,672,000	135,622		122,621	157,092	(34,471)			(34,471)		122,621		13,001	13,001			L
G02602-10-3	AMDOCS LTD	R	08/20/2015	J. P. Morgan Securities	644,000	38,097		17,465	30,046	(12,581)			(12,581)		17,465		20,632	20,632	319		L
M20598-10-4	CAESARSTONE SDOT-YAM LTD	R	08/20/2015	J. P. Morgan Securities	3,418,000	147,302		148,204	204,465	(56,260)			(56,260)		148,204		(902)	(902)			L
G30397-10-6	ENDURANCE SPECIALTY HOLDINGS LTD	R	08/20/2015	VARIOUS	2,705,500	181,142		244,470	244,470						244,470		(63,327)	(63,327)			L
G65431-10-1	NOBLE CORP PLC	R	07/17/2015	Citation Group	321,000	4,285		5,319	5,319						5,319		(1,034)	(1,034)	241		L
G6852T-10-5	PARTNERRE LTD COM	R	08/20/2015	VARIOUS	855,000	118,580		89,228	97,581	(8,353)			(8,353)		89,228		29,352	29,352	1,197		L
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					5,728,051		5,143,205	4,095,737	(1,031,653)			(1,031,653)		5,143,205		584,846	584,846	94,564		
9799997	Subtotal - Common Stocks - Part 4						5,728,051		5,143,205	4,095,737	(1,031,653)		(1,031,653)		5,143,205		584,846	584,846	94,564		
9799999	Subtotal - Common Stocks						5,728,051		5,143,205	4,095,737	(1,031,653)		(1,031,653)		5,143,205		584,846	584,846	94,564		
9899999	Subtotal - Preferred and Common Stocks						5,728,051		5,143,205	4,095,737	(1,031,653)		(1,031,653)		5,143,205		584,846	584,846	94,564		
9999999	TOTALS						21,135,017		20,668,207	17,160,256	(1,031,653)	(34,970)	(1,066,624)		20,549,461		585,556	585,556	218,741		

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Schedule DB, Part A, Section 1

**NONE**

Description of Hedged Risk(s)

**NONE**

Financial or Economic Impact of the Hedge

**NONE**

**Page E07**

Schedule DB, Part B, Section 1

**NONE**

Schedule DB, Part B, Section 1, Broker Name

**NONE**

Schedule DB, Part B, Description of Hedged Risk(s)

**NONE**

Schedule DB, Part B, Financial or Economic Impact of the Hedge

**NONE**

**Page E08**

Schedule DB, Part D, Section 1

**NONE**

**Page E09**

Schedule DB, Part D, Section 2, By Reporting Entity

**NONE**

Schedule DB, Part D, Section 2, To Reporting Entity

**NONE**

**Page E10**

Schedule DL, Part 1

**NONE**

**Page E11**

Schedule DL, Part 2

**NONE**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository		2	3	4	5	Book Balance at End of Each Month During Current Quarter			9 *
						6	7	8	
Name	Location and Supplemental Information	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	
Open Depositories									
PNC Bank	Columbus, OH					(63,120,380)	(7,167,531)	(4,471,822)	
CANADIAN IMP BK COMM CD	5879 Mt Carmel Short Term & Cash Equiv		0.200		461	1,000,000	1,000,000	1,000,000	
0199998 - Deposits in 3 depositories that do not exceed the allowable limit in any one depository (See Instructions) - Open Depositories									
				1,159		2,500,004	750,000		
0199999 - TOTAL - Open Depositories				1,159	461	(59,620,376)	(5,417,531)	(3,471,822)	
0399999 - TOTAL Cash on Deposit				1,159	461	(59,620,376)	(5,417,531)	(3,471,822)	
0599999 - TOTALS				1,159	461	(59,620,376)	(5,417,531)	(3,471,822)	

## STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Mount Carmel Health Plan, Inc

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book / Adjusted Carrying Value	7 Amount of Interest Due and Accrued	8 Amount Received During Year
Bonds: U. S. Government - Issuer Obligations							
REPURCHASE AGREEMENT - PNC BANK		09/30/2015	0.150	10/01/2015	27,923,319	3,443	
0199999 - Bonds: U. S. Government - Issuer Obligations					27,923,319	3,443	
0599999 - Bonds: Subtotals - U. S. Government Bonds							
Bonds: U. S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions - Issuer Obligations							
FEDL HOME LOAN BK CONS DISC NT		09/10/2015		10/30/2015	908,811	80	
FEDL HOME LOAN BK CONS DISC NT		08/07/2015		11/03/2015	1,299,524	297	
FEDL HOME LOAN BK CONS DISC NT		09/04/2015		11/27/2015	2,247,043	314	
FEDL HOME LN MTG CORP DISC NT		08/21/2015		10/14/2015	399,910	68	
FEDERAL NATL MTG ASSN DISC NT		08/07/2015		10/21/2015	549,857	105	
2599999 - Bonds: U. S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions - Issuer Obligations					5,405,145	864	
3199999 - Bonds: Subtotals - U. S. Special Revenue and Special Assessment Obligations and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions							
Bonds: Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations							
ABBOTT LABORATORIES Disc C/P		08/28/2015		10/22/2015	749,817	113	
ABBOTT LABORATORIES CP Disc C/P		08/03/2015		10/27/2015	749,717	197	
AMERICAN HONDA FINANCE Disc C/P		09/10/2015		11/05/2015	1,199,594	210	
AMERICAN HONDA FINANCE Disc C/P		08/17/2015		11/06/2015	599,798	112	
APPLE INC Disc C/P		09/01/2015		10/14/2015	999,845	108	
APPLE INC Disc C/P		09/10/2015		10/29/2015	599,861	59	
APPLE INC Disc C/P		08/26/2015		11/09/2015	749,734	127	
BMW US CAPITAL LLC Disc C/P		09/01/2015		10/01/2015	1,249,795	205	
BANK OF TOKYO-MITSUBISHI Disc C/P		09/22/2015		11/23/2015	399,835	24	
BNP PARIBAS Disc C/P		09/15/2015		11/06/2015	444,775	69	
BNP PARIBAS Disc C/P		09/29/2015		12/21/2015	999,431	14	
CAFCO LLC Disc C/P		09/02/2015		11/05/2015	599,733	121	
CPPIB CAPITAL INC Disc C/P		09/28/2015		10/29/2015	524,950	5	
CHEVRON CORP Disc C/P		09/14/2015		10/06/2015	640,941	45	
CHEVRON CORP Disc C/P		09/16/2015		10/28/2015	499,895	37	
COCA-COLA COMPANY Disc C/P		09/14/2015		10/08/2015	999,900	71	
DNB BANK ASA Disc C/P		09/28/2015		11/09/2015	799,813	13	
EUROPEAN INVT BNK Disc C/P		09/21/2015		11/18/2015	339,890	19	
GOOGLE INC Disc C/P		08/28/2015		10/28/2015	749,797	113	
JUPITER SECURITIZATION CO Disc C/P		09/14/2015		11/05/2015	599,844	51	
LIBERTY FUNDING LLC Disc C/P		09/17/2015		10/19/2015	1,199,776	98	
LIBERTY FUNDING LLC Disc C/P		09/18/2015		11/17/2015	599,770	50	
METLIFE SHORT TERM FNDG Disc C/P		09/14/2015		10/19/2015	749,869	64	
METLIFE SHORT TERM FDG Disc C/P		09/18/2015		10/26/2015	299,953	16	
METLIFE SHORT TERM FNDG Disc C/P		09/16/2015		10/28/2015	749,825	62	
NEW YORK LIFE CAP CORP Disc C/P		09/01/2015		10/01/2015	999,900	100	
NIEUW AMSTERDAM RCVBLS Disc C/P		08/03/2015		10/06/2015	714,746	234	
NIEUW AMSTERDAM RCVBLS Disc C/P		09/01/2015		10/08/2015	599,889	90	
NIEUW AMSTERDAM RCVBLS Disc C/P		09/10/2015		10/16/2015	1,084,805	114	
PACCAR FINANCIAL CORP Disc C/P		09/10/2015		10/13/2015	799,890	70	
REGENCY MRKTS NO 1 Disc C/P		09/28/2015		10/20/2015	999,895	14	
SVENSKA HANDELSBANKEN Disc C/P		08/03/2015		10/27/2015	574,732	186	
(continues)							

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book / Adjusted Carrying Value	7 Amount of Interest Due and Accrued	8 Amount Received During Year
Bonds: Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations (continued)							
TOYOTA MOTOR CREDIT CO Disc C/P		08/11/2015		10/21/2015	249,903	70	
TOYOTA MOTOR CREDIT Disc C/P		09/21/2015		11/30/2015	999,533	67	
USAA CAPITAL CORP Disc C/P		09/11/2015		10/08/2015	666,935	48	
USAA CAPITAL CORP Disc C/P		09/29/2015		11/02/2015	1,099,886	7	
VICTORY RECEIVABLES Disc C/P		09/01/2015		10/02/2015	614,894	102	
VICTORY RECEIVABLES CORP Disc C/P		09/10/2015		11/02/2015	784,746	101	
WAL-MART STORES INC Disc C/P		09/28/2015		10/29/2015	365,956	4	
WAL-MART STORES INC Disc C/P		09/22/2015		11/16/2015	399,908	15	
3299999 - Bonds: Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations					29,051,774	3,228	
3899999 - Bonds: Subtotals - Industrial and Miscellaneous (Unaffiliated)					29,051,774	3,228	
7799999 - Total Bonds - Subtotals - Issuer Obligations					62,380,239	7,535	
8399999 - Total Bonds - Subtotals - Bonds					62,380,239	7,535	
8699999 - Total Cash Equivalents					62,380,239	7,535	