



# HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2015  
OF THE CONDITION AND AFFAIRS OF THE

## Humana Health Plan of Ohio, Inc.

NAIC Group Code 0119 0119 NAIC Company Code 95348 Employer's ID Number 31-1154200  
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry OH

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [ X ] No [ ]

Incorporated/Organized 08/19/1985 Commenced Business 03/10/1979

Statutory Home Office 640 Eden Park Drive, Cincinnati, OH, US 45202-6056  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 640 Eden Park Drive  
(Street and Number)  
Cincinnati, OH, US 45202-6056, 513-784-5320  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 740036, Louisville, KY, US 40201-7436  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 640 Eden Park Drive  
(Street and Number)  
Cincinnati, OH, US 45202-6056, 513-784-5320  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.humana.com

Statutory Statement Contact Amanda Nethery, 502-580-3026  
(Name) (Area Code) (Telephone Number)  
DOIINQUIRIES@humana.com, 502-580-2099  
(E-mail Address) (FAX Number)

### OFFICERS

President & CEO Bruce Dale Broussard Sr. VP & CFO Brian Andrew Kane  
VP & Corporate Secretary Joan Olliges Lenahan VP & Appointed Actuary & Interim Chief Actuary Jonathan Albert Canine

### OTHER

<u>Stephen Michael Arnhold # Vice President</u>	<u>Alan James Bailey VP &amp; Treasurer</u>	<u>Elizabeth Diane Bierbower Pres, Group Segment</u>
<u>Renee Jacqueline Buckingham VP &amp; Division Leader-Eastern Division</u>	<u>John Gregory Catron VP &amp; Chief Compliance Officer</u>	<u>Michael Lester Cotton Vice President</u>
<u>Steven James DeRaleau President, HumanaOne</u>	<u>Brian Phillip LeClaire Sr VP &amp; Chief Info Officer</u>	<u>Heidi Suzanne Margulis Sr. Vice President</u>
<u>Mark Matthew Matzke # VP, Group Segment Leadership</u>	<u>Steven Edward McCulley SVP, Medicare Operations</u>	<u>Bruno Roger Piquin VP &amp; Division Leader-Northern Division</u>
<u>William Mark Preston VP-Investment Management</u>	<u>Tamara Lynn Quiram Seg. VP &amp; Pres., Small Business &amp; Large Group</u>	<u>Richard Donald Remmers VP, Group Segment</u>
<u>George Renaudin Seg. VP, Medicare: East</u>	<u>Donald Hank Robinson Vice President-Tax</u>	<u>Joseph Christopher Ventura Assistant Corporate Secretary</u>
<u>Timothy Alan Wheatley President, Retail Segment</u>	<u>Ralph Martin Wilson Vice President</u>	<u>Cynthia Hillebrand Zipperle # VP &amp; Chief Accounting Officer</u>

### DIRECTORS OR TRUSTEES

Bruce Dale Broussard Brian Andrew Kane # James Elmer Murray

State of Kentucky SS:  
County of Jefferson

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Bruce Dale Broussard  
President & CEO

Joan Olliges Lenahan  
VP & Corporate Secretary

Alan James Bailey  
VP & Treasurer

Subscribed and sworn to before me this  
10th day of November, 2015

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....

Michele Sizemore  
Notary Public  
January 3, 2019

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan of Ohio, Inc.

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	94,383,774	0	94,383,774	80,671,315
2. Stocks:				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens	0	0	0	0
3.2 Other than first liens	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ 0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$ 0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$ 0 encumbrances)	0	0	0	0
5. Cash (\$ (214,958) ), cash equivalents (\$ 9,999,633 ) and short-term investments (\$ 5,938,045 )	15,722,720	0	15,722,720	27,731,880
6. Contract loans (including \$ 0 premium notes)	0	0	0	0
7. Derivatives	0	0	0	0
8. Other invested assets	304,479	0	304,479	466,332
9. Receivables for securities	272,634	0	272,634	0
10. Securities lending reinvested collateral assets	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	110,683,607	0	110,683,607	108,869,527
13. Title plants less \$ 0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	699,485	0	699,485	597,878
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	1,550,196	240,067	1,310,129	1,199,042
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ 0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums	12,480,464	11,152,530	1,327,934	5,137,169
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	3,925,902	0	3,925,902	8,282,701
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	0
17. Amounts receivable relating to uninsured plans	8,882,924	0	8,882,924	5,186,270
18.1 Current federal and foreign income tax recoverable and interest thereon	1,220,855	0	1,220,855	2,504,862
18.2 Net deferred tax asset	5,956,656	0	5,956,656	2,053,270
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	1,325	275	1,050	2,768
21. Furniture and equipment, including health care delivery assets (\$ 0 )	2,562,315	2,562,315	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	1,518,651	0	1,518,651	0
24. Health care (\$ 6,043,903 ) and other amounts receivable	8,617,989	2,461,708	6,156,281	3,115,873
25. Aggregate write-ins for other than invested assets	6,896,755	2,744,967	4,151,788	3,983,457
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	164,997,124	19,161,862	145,835,262	140,932,817
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	164,997,124	19,161,862	145,835,262	140,932,817
<b>DETAILS OF WRITE-INS</b>				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Risk Adjustment Premium Receivables	4,108,273	0	4,108,273	3,983,457
2502. Prepaid Commissions	1,704,657	1,704,657	0	0
2503. Prepaid Expenses	547,885	547,885	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	535,940	492,425	43,515	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	6,896,755	2,744,967	4,151,788	3,983,457

**LIABILITIES, CAPITAL AND SURPLUS**

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ 565,188 reinsurance ceded)	51,280,544	3,585,049	54,865,593	44,093,005
2. Accrued medical incentive pool and bonus amounts	0	0	0	0
3. Unpaid claims adjustment expenses	1,709,361	0	1,709,361	1,563,172
4. Aggregate health policy reserves, including the liability of \$ 85,492 for medical loss ratio rebate per the Public Health Service Act	16,853,277	0	16,853,277	16,806,012
5. Aggregate life policy reserves	0	0	0	0
6. Property/casualty unearned premium reserve	0	0	0	0
7. Aggregate health claim reserves	85,988	6,012	92,000	92,000
8. Premiums received in advance	5,062,798	0	5,062,798	4,618,545
9. General expenses due or accrued	5,140,029	0	5,140,029	4,257,528
10.1 Current federal and foreign income tax payable and interest thereon (including \$ 0 on realized gains (losses))	0	0	0	0
10.2 Net deferred tax liability	0	0	0	0
11. Ceded reinsurance premiums payable	301,396	0	301,396	103,018
12. Amounts withheld or retained for the account of others	28	0	28	6
13. Remittances and items not allocated	3,601	0	3,601	156,154
14. Borrowed money (including \$ 0 current) and interest thereon \$ 0 (including \$ 0 current)	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates	0	0	0	1,517,318
16. Derivatives	0	0	0	0
17. Payable for securities	0	0	0	0
18. Payable for securities lending	0	0	0	0
19. Funds held under reinsurance treaties (with \$ 0 authorized reinsurers, \$ 0 unauthorized reinsurers and \$ 0 certified reinsurers)	0	0	0	0
20. Reinsurance in unauthorized and certified (\$ 0 ) companies	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates	0	0	0	0
22. Liability for amounts held under uninsured plans	99,985	0	99,985	22,198
23. Aggregate write-ins for other liabilities (including \$ 2,793,150 current)	2,793,150	0	2,793,150	4,174,644
24. Total liabilities (Lines 1 to 23)	83,330,157	3,591,061	86,921,218	77,403,600
25. Aggregate write-ins for special surplus funds	XXX	XXX	7,803,418	9,635,453
26. Common capital stock	XXX	XXX	1,000	1,000
27. Preferred capital stock	XXX	XXX	0	0
28. Gross paid in and contributed surplus	XXX	XXX	102,601,893	82,601,893
29. Surplus notes	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	(51,492,267)	(28,709,129)
32. Less treasury stock, at cost:				
32.1 0 shares common (value included in Line 26 \$ 0 )	XXX	XXX	0	0
32.2 0 shares preferred (value included in Line 27 \$ 0 )	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	58,914,044	63,529,217
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	145,835,262	140,932,817
<b>DETAILS OF WRITE-INS</b>				
2301. Risk Adjustment Premium Payables	2,793,150	0	2,793,150	4,174,644
2302. ....				
2303. ....				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	2,793,150	0	2,793,150	4,174,644
2501. Special Surplus - Projected HCRL Assessment for the Upcoming Year	XXX	XXX	7,803,418	9,635,453
2502. ....	XXX	XXX		
2503. ....	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	7,803,418	9,635,453
3001. ....	XXX	XXX		
3002. ....	XXX	XXX		
3003. ....	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

**STATEMENT OF REVENUE AND EXPENSES**

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	846,251	805,269	1,072,064
2. Net premium income ( including \$ .....0 non-health premium income)	XXX	442,464,880	372,172,641	496,910,972
3. Change in unearned premium reserves and reserve for rate credits	XXX	(9,236)	(106,148)	54,113
4. Fee-for-service (net of \$ .....0 medical expenses)	XXX	0	0	0
5. Risk revenue	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	442,455,644	372,066,493	496,965,085
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits	23,576,667	322,148,781	267,646,289	362,965,710
10. Other professional services	0	8,106,223	4,987,054	7,094,921
11. Outside referrals	0	0	0	0
12. Emergency room and out-of-area	2,207,060	17,701,983	11,368,940	16,850,752
13. Prescription drugs	0	48,844,072	39,298,498	52,959,264
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts	0	0	0	0
16. Subtotal (Lines 9 to 15)	25,783,727	396,801,059	323,300,781	439,870,647
<b>Less:</b>				
17. Net reinsurance recoveries	0	2,206,903	6,538,700	10,516,602
18. Total hospital and medical (Lines 16 minus 17)	25,783,727	394,594,156	316,762,081	429,354,045
19. Non-health claims (net)	0	0	0	0
20. Claims adjustment expenses, including \$ .....15,695,016 cost containment expenses	0	18,142,420	14,295,229	19,450,262
21. General administrative expenses	0	50,465,428	45,930,617	60,893,700
22. Increase in reserves for life and accident and health contracts (including \$ .....0 increase in reserves for life only)	0	0	0	15,656,000
23. Total underwriting deductions (Lines 18 through 22)	25,783,727	463,202,004	376,987,927	525,354,007
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	(20,746,360)	(4,921,434)	(28,388,922)
25. Net investment income earned	0	2,197,790	1,858,184	2,638,993
26. Net realized capital gains (losses) less capital gains tax of \$ .....232,291	0	431,398	150,307	148,527
27. Net investment gains (losses) (Lines 25 plus 26)	0	2,629,188	2,008,491	2,787,520
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ .....0 ) (amount charged off \$ .....0 )]	0	0	0	0
29. Aggregate write-ins for other income or expenses	0	7	38	(196,224)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	(18,117,165)	(2,912,905)	(25,797,626)
31. Federal and foreign income taxes incurred	XXX	(2,711,730)	2,289,849	(264,852)
32. Net income (loss) (Lines 30 minus 31)	XXX	(15,405,435)	(5,202,754)	(25,532,774)
<b>DETAILS OF WRITE-INS</b>				
0601.	XXX			
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901. Miscellaneous Income (Loss)	0	7	38	(196,224)
2902.				
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	7	38	(196,224)

**STATEMENT OF REVENUE AND EXPENSES (Continued)**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year.....	63,529,217	48,599,849	48,599,849
34. Net income or (loss) from Line 32.....	(15,405,435)	(5,202,754)	(25,532,774)
35. Change in valuation basis of aggregate policy and claim reserves.....	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ ..... 0	0	1,503	(60,343)
37. Change in net unrealized foreign exchange capital gain or (loss).....	0	0	0
38. Change in net deferred income tax.....	3,903,386	0	(1,190,758)
39. Change in nonadmitted assets.....	(13,113,124)	1,047,190	1,713,243
40. Change in unauthorized and certified reinsurance.....	0	0	0
41. Change in treasury stock.....	0	0	0
42. Change in surplus notes.....	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in.....	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in.....	20,000,000	0	40,000,000
45.2 Transferred to capital (Stock Dividend).....	0	0	0
45.3 Transferred from capital.....	0	0	0
46. Dividends to stockholders.....	0	0	0
47. Aggregate write-ins for gains or (losses) in surplus.....	0	0	0
48. Net change in capital & surplus (Lines 34 to 47).....	(4,615,173)	(4,154,061)	14,929,368
49. Capital and surplus end of reporting period (Line 33 plus 48)	58,914,044	44,445,788	63,529,217
<b>DETAILS OF WRITE-INS</b>			
4701. ....			
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	434,235,647	373,395,322	512,540,717
2. Net investment income .....	2,732,859	2,053,200	2,888,940
3. Miscellaneous income .....	0	0	0
4. Total (Lines 1 to 3) .....	436,968,506	375,448,522	515,429,657
5. Benefit and loss related payments .....	384,968,395	307,509,201	441,877,024
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	71,198,018	58,828,033	84,776,030
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ .....183,883 tax on capital gains (losses) .....	(3,763,446)	(4,994)	(319,410)
10. Total (Lines 5 through 9) .....	452,402,967	366,332,240	526,333,644
11. Net cash from operations (Line 4 minus Line 10) .....	(15,434,461)	9,116,282	(10,903,987)
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	39,512,064	21,033,362	27,761,057
12.2 Stocks .....	0	0	0
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	2,920	47	(1)
12.7 Miscellaneous proceeds .....	0	682,104	77,034
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	39,514,984	21,715,513	27,838,090
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	53,038,576	25,365,189	35,482,009
13.2 Stocks .....	0	0	0
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	272,634	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	53,311,210	25,365,189	35,482,009
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(13,796,226)	(3,649,676)	(7,643,919)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock .....	20,000,000	0	40,000,000
16.3 Borrowed funds .....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied) .....	(2,778,473)	3,448,698	3,173,064
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6) .....	17,221,527	3,448,698	43,173,064
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(12,009,160)	8,915,304	24,625,158
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	27,731,880	3,106,722	3,106,722
19.2 End of period (Line 18 plus Line 19.1) .....	15,722,720	12,022,026	27,731,880

Note: Supplemental disclosures of cash flow information for non-cash transactions:

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan of Ohio, Inc.

**EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
<b>Total Members at end of:</b>										
1. Prior Year .....	90,884	12,708	45,605	0	1,047	584	964	29,976	0	0
2. First Quarter .....	93,553	10,548	41,790	0	0	0	1,074	40,141	0	0
3. Second Quarter .....	93,905	9,673	42,090	0	0	0	1,106	41,036	0	0
4. Third Quarter .....	93,980	8,893	42,051	0	0	0	1,136	41,900	0	0
5. Current Year	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months	846,251	87,625	381,872	0	0	0	9,885	366,869	0	0
<b>Total Member Ambulatory Encounters for Period:</b>										
7. Physician .....	796,740	47,630	183,714	0	0	0	6,527	558,869	0	0
8. Non-Physician .....	404,738	21,474	68,869	0	0	0	3,125	311,270	0	0
9. Total	1,201,478	69,104	252,583	0	0	0	9,652	870,139	0	0
10. Hospital Patient Days Incurred	99,000	2,740	7,503	0	0	0	344	88,413	0	0
11. Number of Inpatient Admissions	12,056	511	1,507	0	0	0	47	9,991	0	0
12. Health Premiums Written (a) .....	442,981,097	32,858,303	127,852,697	0	0	0	3,986,284	278,283,813	0	0
13. Life Premiums Direct .....	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written .....	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned .....	442,971,862	32,858,303	127,843,462	0	0	0	3,986,284	278,283,813	0	0
16. Property/Casualty Premiums Earned .....	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services.....	390,775,806	30,615,677	98,204,681	0	10,836	5,645	4,855,967	257,083,000	0	0
18. Amount Incurred for Provision of Health Care Services	396,801,059	27,084,863	98,525,614	0	0	0	4,950,241	266,240,341	0	0

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ .....278,283,813



## UNDERWRITING AND INVESTMENT EXHIBIT

### ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....	18,011,427	106,933,315	756,496	16,684,010	18,767,923	18,778,237
2. Medicare Supplement .....	0	0	0	0	0	0
3. Dental Only .....	5,645	0	0	0	5,645	5,645
4. Vision Only .....	10,836	0	0	0	10,836	10,836
5. Federal Employees Health Benefits Plan .....	623,450	4,232,516	21,437	468,784	644,887	342,371
6. Title XVIII - Medicare .....	22,181,357	234,901,643	623,239	36,403,627	22,804,596	25,047,916
7. Title XIX - Medicaid .....	0	0	0	0	0	0
8. Other health .....	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8) .....	40,832,715	346,067,474	1,401,172	53,556,421	42,233,887	44,185,005
10. Healthcare receivables (a) .....	0	6,080,468	0	0	0	3,001,847
11. Other non-health .....	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts .....	0	0	0	0	0	0
13. Totals (Lines 9-10+11+12)	40,832,715	339,987,006	1,401,172	53,556,421	42,233,887	41,183,158

(a) Excludes \$ .....0 loans or advances to providers not yet expensed.

**STATEMENT AS OF September 30, 2015 OF Humana Health Plan of Ohio, Inc.**

**NOTES TO THE FINANCIAL STATEMENTS**

1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance.

The Ohio Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. No deviations exist.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	State of Domicile	2015	2014
Net Loss			
1. Humana Health Plan of Ohio, Inc. Ohio basis	OH	\$ (15,405,435)	\$ (25,532,774)
2. State Prescribed Practices that increase/(decrease) NAIC SAP	OH	-	-
3. State Permitted Practices that increase/(decrease) NAIC SAP	OH	-	-
4. NAIC SAP	OH	\$ <u>(15,405,435)</u>	\$ <u>(25,532,774)</u>
Surplus			
5. Humana Health Plan of Ohio, Inc. Ohio basis	OH	\$ 58,914,044	\$ 63,529,217
6. State Prescribed Practices that increase/(decrease) NAIC SAP	OH	-	-
7. State Permitted Practices that increase/(decrease) NAIC SAP	OH	-	-
8. NAIC SAP	OH	\$ <u>58,914,044</u>	\$ <u>63,529,217</u>

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. These estimates are based on knowledge of current events and anticipated future events, and accordingly, actual results could differ from those estimates.

C. Accounting Policy

Premiums are reported as earned in the period in which members are entitled to receive services, and are net of retroactive membership adjustments. Retroactive membership adjustments result from enrollment changes not yet processed, or not yet reported by an employer group or the government. Premiums received prior to such period are recorded as advance premiums.

Benefits incurred and loss adjustment expenses include claim payments, capitation payments, pharmacy costs net of rebates, allocations of certain centralized expenses, legal and administrative costs to settle claims, and various other costs incurred to provide health insurance coverage to members, as well as estimates of future payments to hospitals and others for medical care provided prior to the date of the statements of admitted assets, liabilities and surplus. Capitation payments represent monthly contractual fees disbursed to participating primary care physicians, and other providers who are responsible for providing medical care to members. Pharmacy costs represent payments for members' prescription drug benefits, net of rebates from drug manufacturers.

In addition, the Company uses the following accounting policies:

- (1) Short-term investments include investments mainly in U.S. Government obligations with a maturity of twelve months or less from the date of purchase. Short-term investments are recorded at amortized cost. The carrying value of short-term investments approximates fair value due to the short-term maturities of the investments.
- (2-4) Investments are valued and classified in accordance with methods prescribed by the NAIC. Bonds with an NAIC rating of 1 or 2 are carried at amortized cost, with all other bonds being recorded at the lower of amortized cost or fair value; redeemable preferred stocks are carried at amortized cost; and non-redeemable preferred stocks are carried at fair value. Common stocks are carried at fair value.

The Company regularly evaluates investment securities for impairment. For all securities other than loan-backed and structured securities, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value, the near term prospects for recovery to carrying value, and the Company's intent and ability to hold the investment until maturity or market recovery is realized. If and when a determination is made that a decline in fair value below the cost basis is other-than-temporary, the related investment is written down to its estimated fair value through earnings.

Amortization of bond premium or discount is computed using the scientific interest method.

Income from investments is recorded on an accrual basis. For the purpose of determining realized gains and losses, the cost of securities sold is based upon specific identification. Investment income due and accrued over 90 days past due is nonadmitted.

NOTES TO THE FINANCIAL STATEMENTS

- (5) Not Applicable.
- (6) For loan backed and structured securities where the securities fair value is less than the amortized cost, the Company considers several factors to determine if the security's impairment is other-than-temporary. If the Company has the intent to sell the security or if the Company does not have the intent and ability to retain the security until recovery of its fair value, the related investment is written down to its estimated fair value through earnings. If, however, the Company has the intent and ability to retain the security until recovery of its fair value, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value and the near term prospects for recovery to carrying value. If the determination is made, based on these factors, that the Company does expect to recover the entire amortized cost of the security, then an other-than-temporary impairment has not occurred. If, however, the determination is made that the Company does not expect to recover the entire amortized cost of the security based on the factors noted above, the Company recognizes a realized loss in earnings for the non-interest related decline. No loss is recognized for the interest impairment.
- (7) Not Applicable.
- (8) Not Applicable.
- (9) Not Applicable.
- (10-11) The estimates of future medical benefit payments are developed using actuarial methods and assumptions based upon claim payment patterns, medical cost inflation, historical development such as claim inventory levels and claim receipt patterns, and other relevant factors. Corresponding administrative costs to process outstanding claims are estimated and accrued. Estimates of future payments relating to services incurred in the current and prior periods are continually reviewed by management and adjusted as necessary.

The Company assesses the profitability of its contracts for providing health insurance coverage to its members when current operating results or forecasts indicate probable future losses. The Company records a premium deficiency liability in current operations to the extent that the sum of expected future medical costs, claim adjustment expenses and maintenance costs exceed related future premiums. Investment income is not contemplated in the calculation of the premium deficiency liability.

Management believes the Company's benefits payable and loss adjustment expense are adequate to cover future claims and loss adjustment expense payments required, however, such estimates are based on knowledge of current events and anticipated future events and, therefore, the actual liability could differ from the amounts provided.

- (12) The Company has not modified its capitalization policy from the prior period.  

Equipment is stated at cost less accumulated depreciation. Depreciation expense is computed using the straight-line method over estimated useful lives generally ranging from three to five years. Improvements to leased facilities are depreciated over the shorter of the remaining lease term or the anticipated life of the improvement.

The Company recognizes an asset or liability for the deferred tax consequences of temporary differences between the tax bases of assets or liabilities and their reported amounts in the financial statements. The temporary differences will result in taxable or deductible amounts in future years when the reported amounts of the assets or liabilities are recovered or settled.
- (13) The Company estimates anticipated Pharmacy Rebate Receivables using the analysis of historical recovery patterns.
- (14) Not Applicable.
- (15) Not Applicable.

2. Accounting Changes and Corrections of Errors

Not Applicable.

3. Business Combinations and Goodwill

- A. Statutory Purchase Method  
Not Applicable.
- B. Statutory Merger  
Not Applicable.
- C. Assumption Reinsurance  
Not Applicable.
- D. Impairment Loss  
Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

4. Discontinued Operations

Not Applicable.

5. Investments

A. Mortgage Loans, Including Mezzanine Real Estate Loans

Not Applicable.

B. Debt Restructuring

Not Applicable.

C. Reverse Mortgages

Not Applicable.

D. Loan-Backed Securities

(1) Not Applicable.

(2) Not Applicable.

(3) Not Applicable.

(4) The Company does not have any investments in an other-than-temporary impairment position at September 30, 2015.

Gross unrealized losses and related fair value of temporarily impaired securities that have been in a continuous unrealized loss position were as follows at September 30, 2015:

(a) The aggregate amount of unrealized losses:		
1. Less than Twelve Months	\$	(84,537)
2. Twelve Months or Longer	\$	(370,095)
(b) The aggregate related fair value of securities with unrealized losses:		
1. Less than Twelve Months	\$	20,439,615
2. Twelve Months or Longer	\$	6,394,111

The unrealized losses at September 30, 2015 were primarily due to increases in market interest rates and tighter liquidity conditions in the current markets than when the securities were purchased. All issuers of securities trading at an unrealized loss remain current on all contractual payments and the Company believes it is probable that all amounts due according to the contractual terms of the debt securities are collectible. After taking into account these and other factors, including the severity of the decline and the Company's ability and intent to hold these securities until recovery or maturity, the Company determined the unrealized losses on these investment securities were temporary and, as such, no impairment was required.

(5) Not Applicable.

E. Repurchase Agreements and/or Securities Lending Transactions

(1) The Company has no repurchase agreements or securities lending transactions.

(2) The Company has not pledged any of its assets as collateral.

(3-7) Not Applicable.

F. Real Estate

Not Applicable.

G. Low-Income Housing Tax Credits (LIHTC)

Not Applicable.

**STATEMENT AS OF September 30, 2015 OF Humana Health Plan of Ohio, Inc.**

**NOTES TO THE FINANCIAL STATEMENTS**

H. Restricted Assets

(1) Restricted Assets (Including Pledged)

Restricted Asset Category	Total Gross Restricted from Current Year	Total Gross Restricted from Prior Year	Increase/ (Decrease)	Total Current Year Admitted Restricted	Percentage Gross Restricted to Total Assets	Percentage Admitted Restricted to Total Admitted Assets
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	-%	-%
b. Collateral held under security lending agreements	-	-	-	-	-	-
c. Subject to repurchase agreements	-	-	-	-	-	-
d. Subject to reverse repurchase agreements	-	-	-	-	-	-
e. Subject to dollar repurchase agreements	-	-	-	-	-	-
f. Subject to dollar reverse repurchase agreements	-	-	-	-	-	-
g. Placed under option contracts	-	-	-	-	-	-
h. Letter stock or securities restricted to sale – excluding FHLB capital stock	-	-	-	-	-	-
i. FHLB capital stock	-	-	-	-	-	-
j. On deposit with states	754,027	760,414	(6,387)	754,027	0.47%	0.53%
k. On deposit with other regulatory bodies	-	-	-	-	-	-
l. Pledged collateral to FHLB (including assets backing funding agreements)	-	-	-	-	-	-
m. Pledged as collateral not captured in other categories	-	-	-	-	-	-
n. Other restricted assets	-	-	-	-	-	-
<b>o. Total Restricted Assets</b>	<b>\$ 754,027</b>	<b>\$ 760,414</b>	<b>\$ (6,387)</b>	<b>\$ 754,027</b>	<b>0.47%</b>	<b>0.53%</b>

(2) Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

(3) Detail of Other Restricted Assets Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

I. Working Capital Finance Investments

Not Applicable.

J. Offsetting and Netting of Assets and Liabilities

Not Applicable.

K. Structured Notes

Not Applicable.

6. Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10.0 percent of its admitted assets.

B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Limited Liability Companies during the statement periods.

7. Investment Income

A. Due and accrued income was excluded from surplus on the following basis:

All investment income due and accrued with amounts that are over 90 days past due with the exception of mortgage loans in default.

B. The total amount excluded was \$0.

8. Derivative Instruments

Not Applicable.

STATEMENT AS OF September 30, 2015 OF Humana Health Plan of Ohio, Inc.

NOTES TO THE FINANCIAL STATEMENTS

9. Income Taxes

The Company has recorded a Deferred Tax Asset in the amount of \$3,903,386 due to the non admission of the Risk Corridor Receivable per INT 15-01, ACA Risk Corridors Collectability. No other material changes have occurred since December 31, 2014.

10. Information Concerning Parent, Subsidiaries and Affiliates

A.-F. The Company has several management contracts with Humana Inc. and other related parties whereby the Company is provided with medical and executive management, information systems, claims processing, billing and enrollment, and telemarketing and other services as required by the Company. Management fees charged to operations for the years ended December 31, 2014 and 2013 were \$42,067,637 and \$36,593,716, respectively. As a part of this agreement, Humana Inc. makes cash disbursements on behalf of the Company which includes, but is not limited to, medical related items, general and administrative expenses, commissions and payroll. Humana Inc. is reimbursed by the Company weekly, based upon historical pattern of amounts and timing. Each month, these estimates are adjusted to ultimately settle upon actual disbursements made on behalf of the Company. The Company continues to be primarily liable for any outstanding payments made on behalf of the Company, should Humana Inc. not be able to fulfill its obligations.

No dividends were paid by the Company as of September 30, 2015.

The Company received a \$20,000,000 capital contribution from Humana, Inc. on July 13, 2015.

At September 30, 2015, the Company reported \$1,518,651 due from Humana Inc. Amounts due to or from parent are generally settled within 30 days.

G. All outstanding shares of the Company are owned by the Parent Company.

H. Not Applicable.

I. Not Applicable.

J. Not Applicable.

K. Not Applicable.

L. Not Applicable.

11. Debt

A. Debt Including Capital Notes

The Company has no debentures outstanding.

The Company has no capital notes outstanding.

The Company does not have any reverse repurchase agreements.

B. Federal Home Loan Bank (FHLB) Agreements

The Company does not have any FHLB agreements.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A.-D. Defined Benefit Plans

Not Applicable.

E. Defined Contribution Plans

Not Applicable.

F. Multiemployer Plans

Not Applicable.

G. Consolidated/Holding Company Plans

No material change since year-end December 31, 2014.

H. Postemployment Benefits and Compensated Absences

Not Applicable.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

Not Applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) The Company has \$0 par value common stock with 1,000 shares authorized and 200 shares issued and 200 outstanding. All shares are common stock shares.

NOTES TO THE FINANCIAL STATEMENTS

- (2) The Company has no preferred stock outstanding.
- (3-5) Dividends are noncumulative and are paid as determined by the Board of Directors. Dividends are subject to the approval of the Ohio Department of Insurance if such dividend distribution exceeds the lesser of the Company's prior year net operating profits or 10 percent of policyholders surplus funds derived from realized net operating profits.

Within the limitations above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

No dividends were paid by the Company as of September 30, 2015.

- (6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.
- (7) Not Applicable.
- (8) Not Applicable.
- (9) Changes in balances of special surplus funds from the prior year is due to the estimated health insurance industry fee that will be payable on September 30, 2016.
- (10) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is \$0.
- (11) Not Applicable.
- (12) Not Applicable.
- (13) Not Applicable.

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

Not Applicable.

B. Assessments

Not Applicable.

C. Gain Contingencies

Not Applicable.

D. Claims related extra contractual obligation and bad faith losses stemming from lawsuits

Not Applicable.

E. Joint and Several Liabilities

Not Applicable.

F. All Other Contingencies

During the ordinary course of business, the Company is subject to pending and threatened legal actions. Management of the Company does not believe that any of these actions will have a material adverse effect on the Company's surplus, results of operations or cash flows. However, the likelihood or outcome of current or future legal proceedings cannot be accurately predicted, and they could adversely affect the Company's surplus, results of operations and cash flows.

The Company is not aware of any other material contingent liabilities as of September 30, 2015.

15. Leases

No material change since year-end December 31, 2014.

16. Information about Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company has no investment in Financial Instruments with Off-Balance Sheet Risk or Concentrations of Credit Risk.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not Applicable.

B. Transfer and Servicing of Financial Assets

Not Applicable.

C. Wash Sales

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans

Not Applicable.

B. ASC Plans

Not Applicable.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

- (1) The Company records no revenue explicitly attributable to the cost share and reinsurance components of its Medicare or other similarly structured cost based reimbursement contracts.
- (2) As of September 30, 2015, the Company has recorded a receivable from CMS of \$8,239,629 related to the cost share and reinsurance components of administered Medicare products and a receivable from HHS of \$643,296 related to the cost share and reinsurance components of administered commercial ACA products. The Company does not have any additional receivables greater than 10% of the Company's accounts receivable from uninsured accident and health plans or \$10,000.
- (3) As no revenue is recorded in connection with the cost share and reinsurance components of the Company's Medicare or other similarly structured cost based reimbursement contracts, the Company has recorded no allowances and reserves for adjustment of recorded revenues and receivables.
- (4) The Company has made no adjustment to revenue resulting from audit of receivables related to revenues recorded in the prior period.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable.

20. Fair Value Measurements

A. (1) The Company did not have any financial assets carried at fair value at September 30, 2015.

The Company reports transfers between Level 1 and Level 2 of the fair value hierarchy levels at the end of the reporting period. There were no transfers between Level 1 and Level 2 of the fair value hierarchy between December 31, 2014 and September 30, 2015.

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

Not Applicable.

(3) The Company reports transfers into or out of Level 3 of the fair value hierarchy levels at the end of the reporting period. There were no transfers into or out of Level 3 of the fair value hierarchy levels between December 31, 2014 and September 30, 2015.

(4) Fair value of actively traded debt securities are based on quoted market prices. Fair value of other debt securities are based on quoted market prices of identical or similar securities or based on observable inputs like interest rates generally using a market valuation approach, or, less frequently, an income valuation approach and are generally classified as Level 2. The Company generally obtains one quoted price for each security from a third party pricing service. These prices are generally derived from recently reported trades for identical or similar securities, including adjustments through the reporting date based upon observable market information. When quoted prices are not available, the third party pricing service may use quoted market prices of comparable securities or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include benchmark yields, reported trades, credit spreads, broker quotes, default rates and prepayment speeds. The Company is responsible for the determination of fair value and as such, the Company performs analysis on the prices received from the third party pricing service to determine whether the prices are reasonable estimates of fair value. The Company's analysis includes a review of monthly price fluctuations as well as a quarterly comparison of the prices received from the pricing service to prices reported by the Company's third party investment advisor. Based on the Company's internal price verification procedures and review of fair value methodology documentation provided by the third party pricing service, there were no material adjustments to the prices obtained from the third party pricing service during the quarter ended September 30, 2015.

(5) Derivative Fair Values

Not Applicable.

B. Other Fair Value Disclosures

Not Applicable.

C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

Not Applicable.

D. Financial Instruments for which Not Practicable to Estimate Fair Values

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

21. Other Items

A. Extraordinary Items

Not Applicable.

B. Troubled Debt Restructuring: Debtors

Not Applicable.

C. Other Disclosures and Unusual Items

Not Applicable.

D. Business Interruption Insurance Recoveries

Not Applicable.

E. State Transferable and Non-transferable Tax Credits

Not Applicable.

F. Subprime Mortgage Related Risk Exposure

(1) The Company consults with its external investment managers to assess its subprime mortgage related risk exposure. Certain characteristics are utilized to determine if a mortgage-backed security has subprime exposure. The main characteristics reviewed when determining this are the collateral and structure of the security, the loan purpose, loan documentation, occupancy, geographical location, loan size and type. Subprime mortgage borrowers typically have lower credit scores, lower loan balances and higher loan-to-values than other conforming loans. Management's practices include reviewing quantitative and qualitative credit models that analyze loan-level collateral composition, historical underwriter performance trends, the impact of macroeconomic factors, and issuer risks; as well as reviewing the estimation of security cash flows and monthly model calibrations.

(2) Direct exposure through investments in sub-prime mortgage loans.

The Company has no direct exposure through investment to sub-prime mortgage loans.

(3) Direct exposure through other investments:

- a. Residential mortgage backed securities – No substantial exposure noted.
- b. Commercial mortgage backed securities – No substantial exposure noted.
- c. Collateralized debt obligations – No substantial exposure noted.
- d. Structured securities – No substantial exposure noted.
- e. Equity investment in SCAs – No substantial exposure noted.
- f. Other assets – No substantial exposure noted.
- g. Total – No substantial exposure noted.

(4) Underwriting exposure to sub-prime mortgage risk through Mortgage Guaranty coverage, Financial Guaranty coverage, Directors and Officers liability coverage, or Errors and Omissions liability coverage.

Not Applicable.

Classification of mortgage related securities is primarily based on information from outside data services, including rating agency actions. When considering our exposure, the Company evaluated the percentage of full documentation loans, percent of owner occupied properties, FICO scores, average margin for ARM loans, percent of loans with prepayment penalties, the existence of non-traditional underwriting standards, among other factors.

G. Retained Assets

Not Applicable.

22. Events Subsequent

The Company implemented INT 15-01, ACA Risk Corridors Collectability adopted by the Emerging Accounting Issues Working Group (E) Committee on November 6, 2015. As such, the Company non admitted all risk corridor receivables above 12.6% of the 2014 proration amount allocated within the HHS notice dated October 1, 2015. In addition, the Company non-admitted the entire 2015 receivable in the current period, consistent with INT 15-01. This transaction has been treated as a Type I subsequent event and has been recorded in the accompanying September 30, 2015 Statutory Statement. The amount of risk corridor receivables non-admitted at September 30, 2015 was \$11,152,530.

The Company is not aware of any other events or transactions occurring subsequent to the close of the books for this statement which may have a material effect on its financial condition. Subsequent events have been considered through November 11, 2015 for the Statutory Statement issued on November 11, 2015.

NOTES TO THE FINANCIAL STATEMENTS

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10.0 percent or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company?

Yes ( ) No (X)

- (2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) that is owned in excess of 10.0 percent or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business?

Yes ( ) No (X)

Section 2 – Ceded Reinsurance Report – Part A

- (1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?

Yes ( ) No (X)

- (2) Does the reporting entity have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

Yes ( ) No (X)

Section 3 – Ceded Reinsurance Report – Part B

- (1) What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of ALL reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. \$0

- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the company as of the effective date of the agreement?

Yes ( ) No (X)

B. Uncollectible Reinsurance

Not Applicable.

C. Commutation of Ceded Reinsurance

Not Applicable.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not Applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

- A. The Company estimates accrued retrospective premium adjustments for its Medicare business through a mathematical approach using an algorithm based upon settlement procedures defined by contracts with CMS.

The Company estimates accrued retrospective premium adjustments for its Commercial business based on experience to date, knowledge of the marketplace, and the terms of the risk corridors program with HHS.

- B. The Company records accrued retrospective premium as an adjustment to earned premiums.

- C. The amount of net premiums written by the Company at September 30, 2015 that are subject to retrospective rating features was \$442,455,645, or 100.00% of the total net premiums written. No other net premiums written by the Company are subject to retrospective rating features.

**STATEMENT AS OF September 30, 2015 OF Humana Health Plan of Ohio, Inc.**

**NOTES TO THE FINANCIAL STATEMENTS**

D. Medical loss ratio rebates required pursuant to the Public Health Service Act

	Individual	Small Group Employer	Large Group Employer	Other Categories with rebates	Total
Prior Reporting Year:					
Medical loss ratio rebates incurred	\$ -	\$ 347,854	\$ (95,022)	\$ -	\$ 252,832
Medical loss ratio rebates paid	-	306,945	-	-	306,945
Medical loss rebates unpaid	-	76,257	-	-	76,257
Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	\$ 76,257
Current Reporting Year-to-date:					
Medical loss ratio rebates incurred	\$ -	\$ 892,751	\$ -	\$ -	\$ 892,751
Medical loss ratio rebates paid	-	883,515	-	-	883,515
Medical loss rebates unpaid	-	85,492	-	-	85,492
Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	\$ 85,492

E. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk-sharing provisions (YES/NO) Yes ( X ) No ( )

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities, and Revenue for the Current Year

a. Permanent ACA Risk Adjustment Program

Assets	
1. Premium adjustments receivable due to ACA Risk Adjustment	\$ 298,371
Liabilities	
2. Risk adjustment user fees payable for ACA Risk Adjustment	\$ 13,288
3. Premium adjustments payable due to ACA Risk Adjustment	\$ 2,588,817
Operations (Revenue & Expenses)	
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	\$ (4,191,593)
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	\$ 14,295

b. Transitional ACA Reinsurance Program

Assets	
1. Amounts recoverable for claims paid due to ACA Reinsurance	\$ 3,925,902
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)	\$ 565,188
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	\$ -
Liabilities	
4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	\$ 2,079,080
5. Ceded reinsurance premiums payable due to ACA Reinsurance	\$ 240,776
6. Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	\$ -
Operations (Revenues & Expenses)	
7. Ceded reinsurance premiums due to ACA Reinsurance	\$ 240,776
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	\$ 2,206,903
9. ACA Reinsurance contributions – not reported as ceded premiums	\$ 1,522,985

c. Temporary ACA Risk Corridors Program

Assets	
1. Accrued retrospective premium due to ACA Risk Corridors	\$ 12,322,004
Liabilities	
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	\$ -
Operations (Revenues & Expenses)	
3. Effect of ACA Risk Corridors on net premium income	\$ 7,184,836
4. Effect of ACA Risk Corridors on change in reserves for rate credits	\$ -

**STATEMENT AS OF September 30, 2015 OF Humana Health Plan of Ohio, Inc.**

**NOTES TO THE FINANCIAL STATEMENTS**

- (3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date		
	1	2	3	4	Prior Year Accrued Less Payments (Col 1-3)	Prior Year Accrued Less Payments (Col 2-4)	To Prior Year Balances	To Prior Year Balances	Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)	
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Ref	Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium adjustments receivable	-		-		-		298,371		A.	298,371	
2. Premium adjustments (payables)		(4,110,395)		(5,994,462)		1,884,067		(1,884,067)	B.		-
3. Subtotal ACA Permanent Risk Adjustment Program	-	(4,110,395)	-	(5,994,462)	-	1,884,067	298,371	(1,884,067)		298,371	-
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	8,282,701		8,232,415		50,286		611,509		C.	661,795	
2. Amounts recoverable for claims unpaid (contra liability)	2,233,901		-		2,233,901		(2,233,901)		D.		-
3. Amounts receivable relating to uninsured plans	-		-		-		-				-
4. Liabilities for contributions payable due to ACA Reinsurance- not reported as ceded premium		(556,095)		-		(556,095)		-			(556,095)
5. Ceded reinsurance premiums payable		-		-		-		-			-
6. Liability for amounts held under uninsured plans		-		-		-		-			-
7. Subtotal ACA Transitional Reinsurance Program	10,516,602	(556,095)	8,232,415	-	2,284,187	(556,095)	(1,622,392)	-		661,795	(556,095)
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	5,137,169		-		5,137,169		4,131,230		E.	9,268,399	
2. Reserve for rate credits or policy experience rating refunds		-		-		-		-			-
3. Subtotal ACA Risk Corridors Program	5,137,169	-	-	-	5,137,169	-	4,131,230	-		9,268,399	-
d. Total for ACA Risk Sharing Provisions	15,653,771	(4,666,490)	8,232,415	(5,994,462)	7,421,356	1,327,972	2,807,209	(1,884,067)		10,228,565	(556,095)

Explanation for adjustments

- A. Adjusted to CMS 2014 Final CRA amounts.
- B. Adjusted to CMS 2014 Final CRA amounts.
- C. Adjusted to CMS 2014 final reinsurance recovery amounts.
- D. Adjusted to CMS 2014 final reinsurance recovery amounts.
- E. Adjusted to final amounts filed with CMS.

25. Change in Incurred Claims and Claim Adjustment Expenses

Reserves as of December 31, 2014 were \$44,605,204. As of September 30, 2015, \$44,263,296 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$1,414,636 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$1,072,727 unfavorable prior-year development since December 31, 2014. The increase is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. The Company did not experience any material prior year claim development on retrospectively rated policies.

26. Intercompany Pooling Arrangements

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

27. Structured Settlements

The Company has no structured settlements.

28. Health Care Receivables

A. Pharmaceutical Rebate Receivables

Quarter	Estimate Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More than 181 Days after Billing
12/31/2015	\$ -	\$ -	\$ -	\$ -	\$ -
9/30/2015	5,980,791	5,980,791	-	-	-
6/30/2015	5,347,204	5,347,204	5,337,539	-	-
3/31/2015	4,549,880	4,549,880	4,548,438	-	-
12/31/2014	2,965,565	2,965,565	2,901,843	58,869	-
9/30/2014	2,735,582	2,735,582	2,721,833	-	353
6/30/2014	2,869,234	2,869,234	2,822,085	1,081	38,720
3/31/2014	2,195,560	2,195,560	1,996,280	85,223	114,057
12/31/2013	1,654,676	1,654,676	1,542,441	94,316	17,919
9/30/2013	2,002,152	2,002,152	2,000,267	-	1,885
6/30/2013	1,555,535	1,555,535	1,555,498	-	37
3/31/2013	1,511,696	1,511,696	1,439,503	47,864	24,329

B. Risk Sharing Receivables

Not Applicable.

29. Participating Policies

The Company has no participating policies.

30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves \$ 16,682,000
2. Date of the most recent evaluation of this liability December 31, 2014
3. Was anticipated investment income utilized in the calculation? Yes ( ) No ( X )

The Company did recognize the time value of money by discounting future losses at an annual interest rate of 0.10%.

31. Anticipated Salvage and Subrogation

Not Applicable.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ X ] No [ ]
- 1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ X ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? ..... Yes [ X ] No [ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [ X ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [ X ]
- 4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? ..... Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .... 12/31/2010
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .... 12/31/2010
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .... 04/16/2012
- 6.4 By what department or departments?  
Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ X ] No [ ] N/A [ ]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [ X ] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [ X ]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

## GENERAL INTERROGATORIES

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes  No
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? ..... Yes  No
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).  
Revised based on general policy and regulatory changes
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes  No
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes  No
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ 1,518,651

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes  No
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ 0
13. Amount of real estate and mortgages held in short-term investments: ..... \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes  No
- 14.2 If yes, please complete the following:
- |   | 1<br>Prior Year-End<br>Book/Adjusted<br>Carrying Value | 2<br>Current Quarter<br>Book/Adjusted<br>Carrying Value |
|---|--|---|
| 14.21 Bonds .....   | \$ 0   | \$ 0  |
| 14.22 Preferred Stock .....   | \$ 0   | \$ 0  |
| 14.23 Common Stock .....  | \$ 0   | \$ 0  |
| 14.24 Short-Term Investments .....  | \$ 0   | \$ 0  |
| 14.25 Mortgage Loans on Real Estate .....   | \$ 0   | \$ 0  |
| 14.26 All Other .....   | \$ 0   | \$ 0  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ 0   | \$ 0  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ 0   | \$ 0  |
- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes  No
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes  No
- If no, attach a description with this statement.

**GENERAL INTERROGATORIES**

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....\$ .....0
  - 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....\$ .....0
  - 16.3 Total payable for securities lending reported on the liability page .....\$ .....0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase .....	4 Metro Tech Center, 16th Floor Mail Code: NY1-C512, Brooklyn, NY 11245, Attn: Barbara J. Walsh .....

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
107105.00 .....	Blackrock, Inc. ....	55 East 52nd Street, New York, NY 10055 .....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? ..... Yes [ X ] No [ ]
- 18.2 If no, list exceptions:

# GENERAL INTERROGATORIES

## PART 2 - HEALTH

1. Operating Percentages:

1.1 A&H loss percent .....92.7 %  
1.2 A&H cost containment percent .....3.6 %  
1.3 A&H expense percent excluding cost containment expenses .....12.0 %

2.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

2.2 If yes, please provide the amount of custodial funds held as of the reporting date .....\$.....0

2.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

2.4 If yes, please provide the balance of the funds administered as of the reporting date .....\$.....0

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
<b>NONE</b>								

**SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**

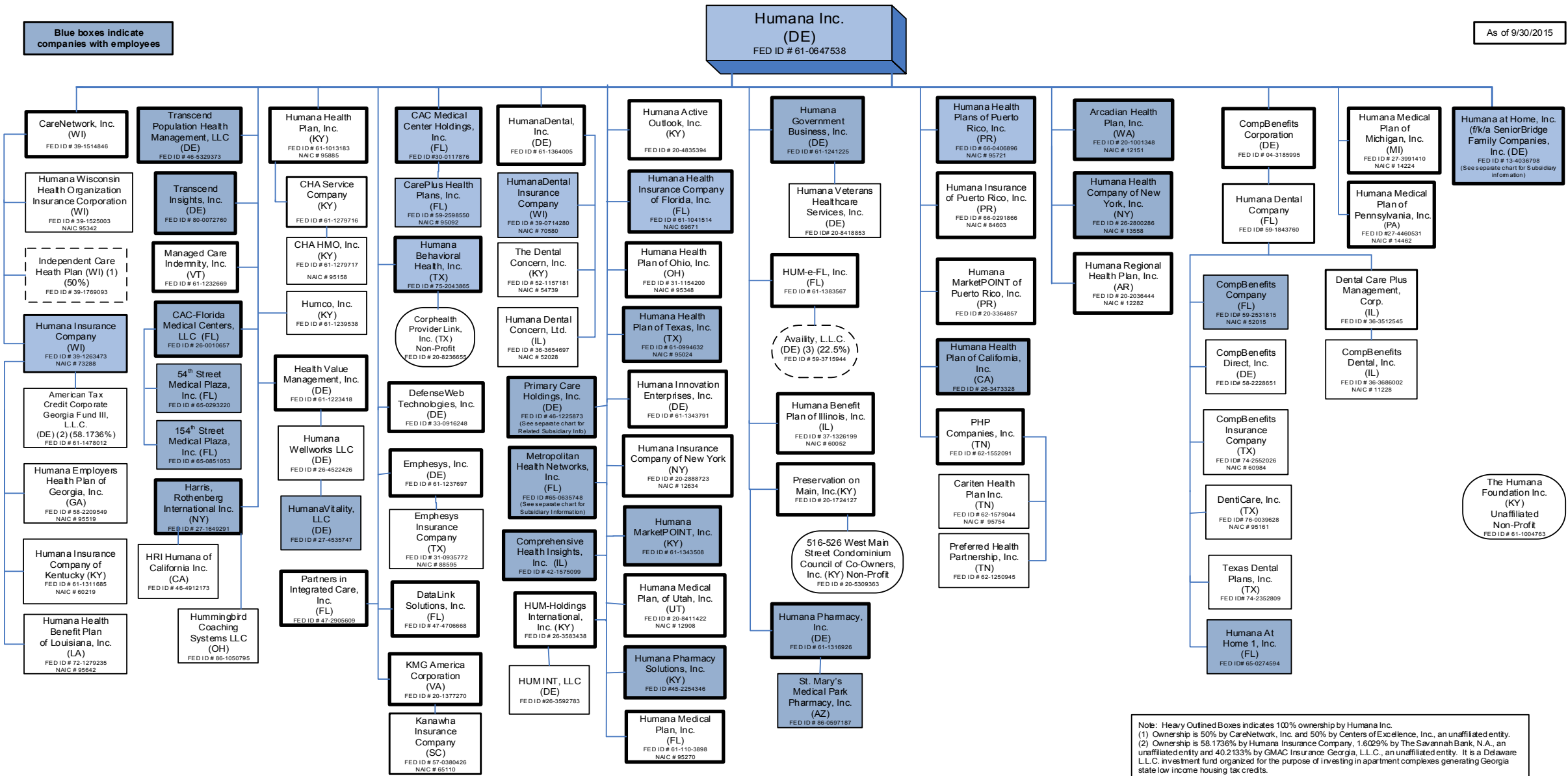
Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status	Direct Business Only							9 Deposit-Type Contracts	
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7		
1. Alabama AL	N	0	0	0	0	0	0	0	0	
2. Alaska AK	N	0	0	0	0	0	0	0	0	
3. Arizona AZ	N	0	0	0	0	0	0	0	0	
4. Arkansas AR	N	0	0	0	0	0	0	0	0	
5. California CA	N	0	0	0	0	0	0	0	0	
6. Colorado CO	N	0	0	0	0	0	0	0	0	
7. Connecticut CT	N	0	0	0	0	0	0	0	0	
8. Delaware DE	N	0	0	0	0	0	0	0	0	
9. District of Columbia DC	N	0	0	0	0	0	0	0	0	
10. Florida FL	N	0	0	0	0	0	0	0	0	
11. Georgia GA	N	0	0	0	0	0	0	0	0	
12. Hawaii HI	N	0	0	0	0	0	0	0	0	
13. Idaho ID	N	0	0	0	0	0	0	0	0	
14. Illinois IL	N	0	0	0	0	0	0	0	0	
15. Indiana IN	L	0	0	0	0	0	0	0	0	
16. Iowa IA	N	0	0	0	0	0	0	0	0	
17. Kansas KS	N	0	0	0	0	0	0	0	0	
18. Kentucky KY	L	0	60,734,255	0	0	0	60,734,255	0	0	
19. Louisiana LA	N	0	0	0	0	0	0	0	0	
20. Maine ME	N	0	0	0	0	0	0	0	0	
21. Maryland MD	N	0	0	0	0	0	0	0	0	
22. Massachusetts MA	N	0	0	0	0	0	0	0	0	
23. Michigan MI	N	0	0	0	0	0	0	0	0	
24. Minnesota MN	N	0	0	0	0	0	0	0	0	
25. Mississippi MS	N	0	0	0	0	0	0	0	0	
26. Missouri MO	N	0	0	0	0	0	0	0	0	
27. Montana MT	N	0	0	0	0	0	0	0	0	
28. Nebraska NE	N	0	0	0	0	0	0	0	0	
29. Nevada NV	N	0	0	0	0	0	0	0	0	
30. New Hampshire NH	N	0	0	0	0	0	0	0	0	
31. New Jersey NJ	N	0	0	0	0	0	0	0	0	
32. New Mexico NM	N	0	0	0	0	0	0	0	0	
33. New York NY	N	0	0	0	0	0	0	0	0	
34. North Carolina NC	N	0	0	0	0	0	0	0	0	
35. North Dakota ND	N	0	0	0	0	0	0	0	0	
36. Ohio OH	L	160,711,000	217,549,558	0	3,986,284	0	382,246,842	0	0	
37. Oklahoma OK	N	0	0	0	0	0	0	0	0	
38. Oregon OR	N	0	0	0	0	0	0	0	0	
39. Pennsylvania PA	N	0	0	0	0	0	0	0	0	
40. Rhode Island RI	N	0	0	0	0	0	0	0	0	
41. South Carolina SC	N	0	0	0	0	0	0	0	0	
42. South Dakota SD	N	0	0	0	0	0	0	0	0	
43. Tennessee TN	N	0	0	0	0	0	0	0	0	
44. Texas TX	N	0	0	0	0	0	0	0	0	
45. Utah UT	N	0	0	0	0	0	0	0	0	
46. Vermont VT	N	0	0	0	0	0	0	0	0	
47. Virginia VA	N	0	0	0	0	0	0	0	0	
48. Washington WA	N	0	0	0	0	0	0	0	0	
49. West Virginia WV	N	0	0	0	0	0	0	0	0	
50. Wisconsin WI	N	0	0	0	0	0	0	0	0	
51. Wyoming WY	N	0	0	0	0	0	0	0	0	
52. American Samoa AS	N	0	0	0	0	0	0	0	0	
53. Guam GU	N	0	0	0	0	0	0	0	0	
54. Puerto Rico PR	N	0	0	0	0	0	0	0	0	
55. U.S. Virgin Islands VI	N	0	0	0	0	0	0	0	0	
56. Northern Mariana Islands MP	N	0	0	0	0	0	0	0	0	
57. Canada CAN	N	0	0	0	0	0	0	0	0	
58. Aggregate Other Aliens OT	XXX	0	0	0	0	0	0	0	0	
59. Subtotal	XXX	160,711,000	278,283,813	0	3,986,284	0	442,981,097	0	0	
60. Reporting Entity Contributions for Employee Benefit Plans	XXX	0	0	0	0	0	0	0	0	
61. Totals (Direct Business)	(a) 3	160,711,000	278,283,813	0	3,986,284	0	442,981,097	0	0	
DETAILS OF WRITE-INS										
58001.	XXX									
58002.	XXX									
58003.	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0	
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0	

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

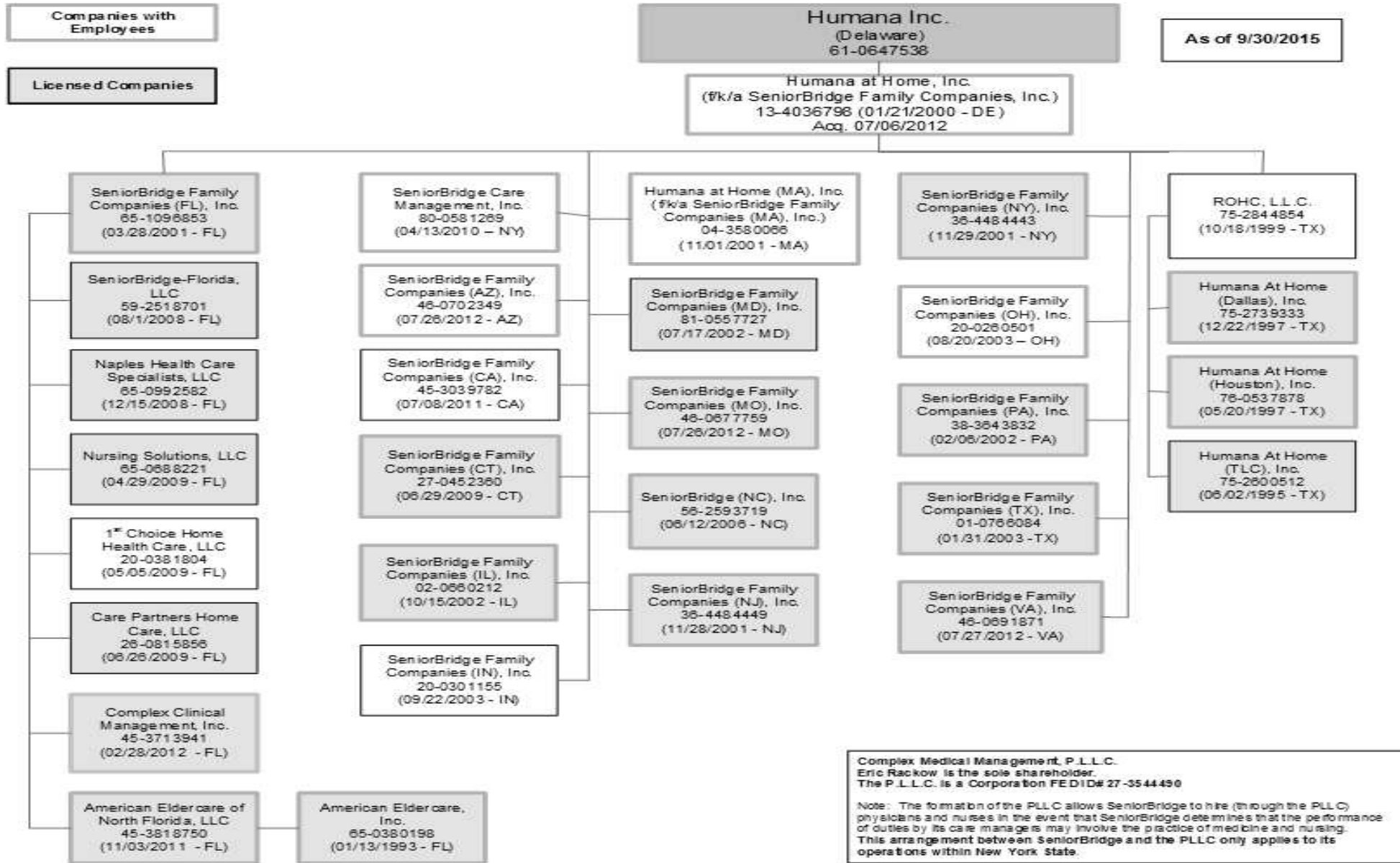
(a) Insert the number of L responses except for Canada and Other Alien.

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan of Ohio, Inc.



Note: Heavy Outlined Boxes indicates 100% ownership by Humana Inc.  
 (1) Ownership is 50% by CareNetwork, Inc. and 50% by Centers of Excellence, Inc., an unaffiliated entity.  
 (2) Ownership is 58.1736% by Humana Insurance Company, 1.6029% by The Savannah Bank, N.A., an unaffiliated entity and 40.2133% by GMAC Insurance Georgia, L.L.C., an unaffiliated entity. It is a Delaware L.L.C. investment fund organized for the purpose of investing in apartment complexes generating Georgia state low income housing tax credits.  
 (3) Ownership is 22.5% by HUM-e-FL, Inc., 33.75% by Navigy, Inc., an unaffiliated entity, 33.75% by Health Care Service Corporation, an unaffiliated entity and 10% by Sellcore, Inc., an unaffiliated entity.

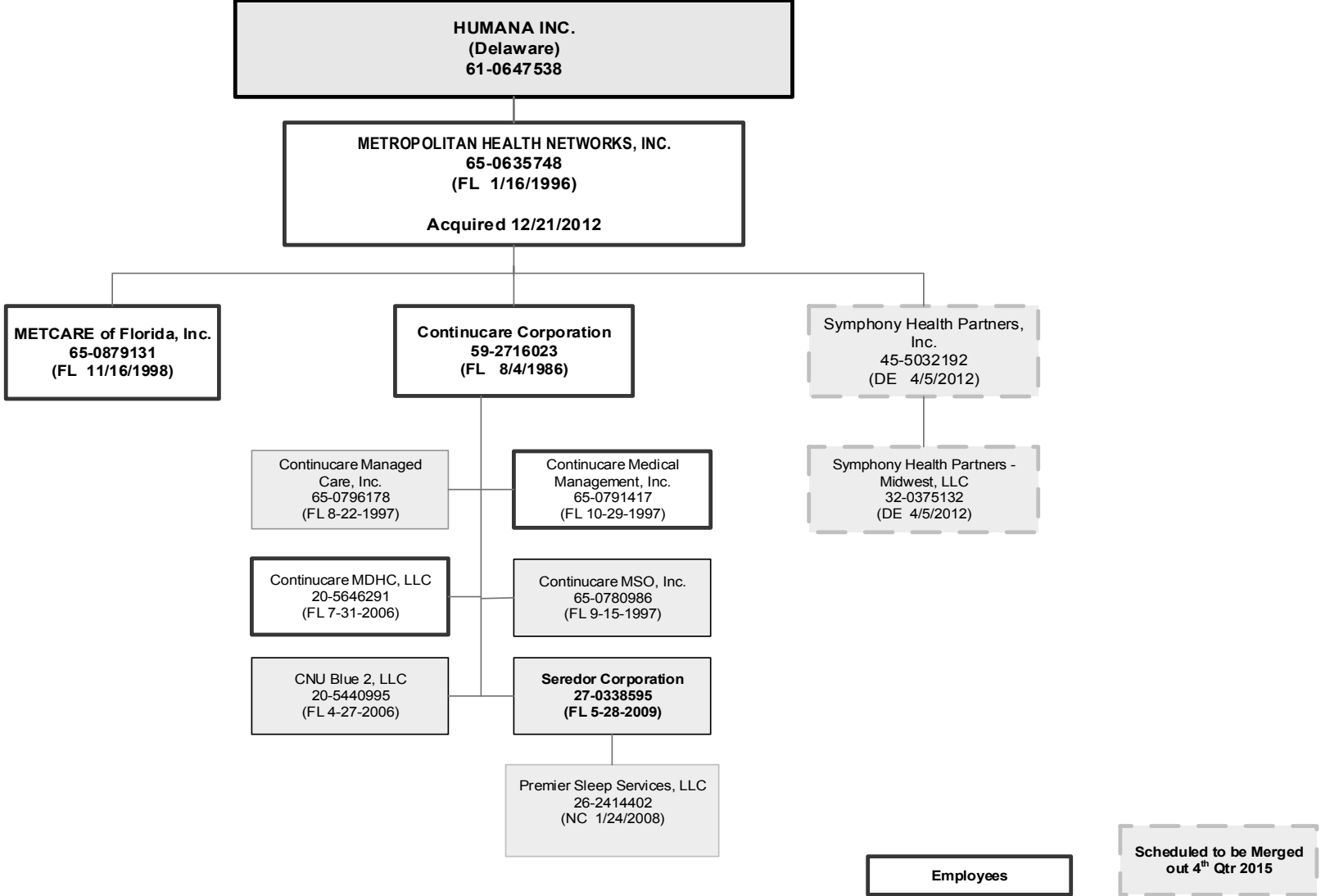
STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan of Ohio, Inc.



15.1

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan of Ohio, Inc.

As of 9-30-2015



STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan of Ohio, Inc.

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0119	Humana Inc.	00000	65-0851053				154th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-0381804				1st Choice Home Health Care, LLC	FL	NIA		Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-5309363				515-526W MainSt CondoCouncil of Co-Owners	KY	NIA	Preservation on Main, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0293220				54th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	45-3818750				American Eldercare of North Florida, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0380198				American Eldercare, Inc.	FL	NIA		Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	12151	20-1001348				Arcadian Health Plan, Inc.	WA	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	86-0836599				Arcadian Management Services, Inc.	DE	NIA	Arcadian Management Services, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	59-3715944				Availity, L.L.C.	DE	OTH	See Footnote 1	Board of Directors	0.000	Humana Inc.	1
0119	Humana Inc.	00000	30-0117876				CAC Medical Center Holdings, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-0010657				CAC-Florida Medical Centers, LLC	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-0815856				Care Partners Home Care, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	39-1514846				CareNetwork, Inc.	WI	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95092	59-2598550				CarePlus Health Plans, Inc.	FL	IA	CPHP Holdings, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95754	62-1579044				Cariten Health Plan Inc.	TN	IA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95158	61-1279717				CHA HMO, Inc.	KY	IA	CHA Service Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1279716				CHA Service Company	KY	NIA	Humana Health Plan, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-5440995				CNU Blue 2, LLC	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	52015	59-2531815				CompBenefits Company	FL	IA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	04-3185995				CompBenefits Corporation	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	11228	36-3686002				CompBenefits Dental, Inc.	IL	IA	Dental Care Plus Management Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	58-2228851				CompBenefits Direct, Inc.	DE	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	60984	74-2552026				CompBenefits Insurance Company	TX	IA	Humana Dental Company SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	45-3713941				Complex Clinical Management, Inc.	FL	NIA		Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	42-1575099				Comprehensive Health Insights, Inc.	IL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	59-2716023				Continucare Corporation	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0796178				Continucare Managed Care, Inc.	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-5646291				Continucare MDHC, LLC	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0791417				Continucare Medical Management, Inc.	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0780986				Continucare MSO, Inc.	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-8236655				Corphealth Provider Link, Inc.	TX	NIA	Corphealth, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	75-2043865				Humana Behavioral Health, Inc.	TX	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	33-0916248				DefenseWeb Technologies, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	36-3512545				Dental Care Plus Management Corp.	IL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95161	76-0039628				DentCare, Inc.	TX	IA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	88595	31-0935772				EmpheSys Insurance Company	TX	IA	EmpheSys, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1237697				EmpheSys, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	27-1649291				Harris, Rothenberg International Inc.	NY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1223418				Health Value Management, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	46-4912173				HRI Humana of California Inc.	CA	NIA	Harris, Rothenberg International Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-3592783				HUM INT, LLC	DE	NIA	HUM-Holdings International, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-4835394				Humana Active Outlook, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	75-2739333				Humana At Home (Dallas), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	76-0537878				Humana At Home (Houston), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	04-3580066				Humana at Home (MA), Inc.	MA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0274594				Humana At Home 1, Inc.	FL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	0

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan of Ohio, Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0119	Humana Inc.	00000	13-4036798				Humana at Home, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	60052	37-1326199				Humana Benefit Plan of Illinois, Inc.	IL	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	59-1843760				Humana Dental Company	FL	NIA	CompBenefits Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	52028	36-3654697				Humana Dental Concern, Ltd.	IL	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95519	58-2209549				Humana Employers Health Plan of GA, Inc.	GA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1241225				Humana Government Business, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95642	72-1279235				Humana Health Benefit Plan of LA, Inc.	LA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	13558	26-2800286				Humana Health Company of New York, Inc.	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	69671	61-1041514				Humana Health Ins. Co. of Florida, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-3473328				Humana Health Plan of California, Inc.	CA	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95348	31-1154200				Humana Health Plan of Ohio, Inc.	OH	RE	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95024	61-0994632				Humana Health Plan of Texas, Inc.	TX	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95885	61-1013183				Humana Health Plan, Inc.	KY	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95721	66-0406896				Humana Health Plans of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-0647538			NYSE	Humana Inc.	DE	UDP		Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1343791				Humana Innovation Enterprises, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	73288	39-1263473				Humana Insurance Company	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	60219	61-1311685				Humana Insurance Company of Kentucky	KY	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	12634	20-2888723				Humana Insurance Company of New York	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	84603	66-0291866				Humana Insurance of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-3364857				Humana MarketPOINT of Puerto Rico, Inc.	PR	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1343508				Humana MarketPOINT, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	14224	27-3991410				Humana Medical Plan of Michigan, Inc.	MI	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	14462	27-4660531				Humana Medical Plan of Pennsylvania, Inc.	PA	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	12908	20-8411422				Humana Medical Plan of Utah, Inc.	UT	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95270	61-1103898				Humana Medical Plan, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	45-2254346				Humana Pharmacy Solutions, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1316926				Humana Pharmacy, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	12282	20-2036444				Humana Regional Health Plan, Inc.	AR	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-8418853				Humana Veterans Healthcare Services, Inc.	DE	NIA	Humana Government Business, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-4522426				Humana WellWorks LLC	DE	NIA	Health Value Management, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	95342	39-1525003				Humana Wisc. Health Org. Ins. Corp.	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	70580	39-0714280				HumanaDental Insurance Company	WI	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1364005				HumanaDental, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	27-4535747				HumanaVitality, LLC	DE	NIA	HumanaWellworks LLC	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1239538				Humco, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1383567				HUM-e-FL, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	26-3583438				HUM-Holdings International, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	86-1050795				Hummingbird Coaching Systems LLC	OH	NIA	Corphealth, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	39-1769093				Independent Care Health Plan	WI	OTH	See Footnote 2	Other	100.000	Humana Inc.	2
0119	Humana Inc.	65110	57-0380426				Kanawha Insurance Company	SC	IA	KMG America Corporation	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	20-1377270				KMG America Corporation	VA	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	61-1232669				Managed Care Indemnity, Inc.	VT	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0879131				METCARE of Florida, Inc.	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0635728				Metropolitan Health Networks, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0992582				Naples Health Care Specialists, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	65-0688221				Nursing Solutions, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	62-1552091				PHP Companies, Inc.	TN	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
0119	Humana Inc.	00000	62-1250945				Preferred Health Partnership, Inc.	TN	NIA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.	0

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan of Ohio, Inc.

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
.0119	Humana Inc.	.00000	20-1724127				Preservation on Main, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	46-1225873				Primary Care Holdings, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	75-2844854				ROHC, L.L.C.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	56-2593719				SeniorBridge (NC), Inc.	NC	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	80-0581269				SeniorBridge Care Management, Inc.	NY	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	46-0702349				SeniorBridge Family Companies (AZ), Inc.	AZ	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	45-3039782				SeniorBridge Family Companies (CA), Inc.	CA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	27-0452360				SeniorBridge Family Companies (CT), Inc.	CT	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	65-1096853				SeniorBridge Family Companies (FL), Inc.	FL	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	02-0660212				SeniorBridge Family Companies (IL), Inc.	IL	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	20-0301155				SeniorBridge Family Companies (IN), Inc.	IN	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	81-0557727				SeniorBridge Family Companies (MD), Inc.	MD	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	46-0677759				SeniorBridge Family Companies (MO), Inc.	MO	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	36-4484449				SeniorBridge Family Companies (NJ), Inc.	NJ	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	36-4484443				SeniorBridge Family Companies (NY), Inc.	NY	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	20-0260501				SeniorBridge Family Companies (OH), Inc.	OH	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	38-3643832				SeniorBridge Family Companies (PA), Inc.	PA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	01-0766084				SeniorBridge Family Companies (TX), Inc.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	46-0691871				SeniorBridge Family Companies (VA), Inc.	VA	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	59-2518701				SeniorBridge-Florida, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	27-0338595				Seredor Corporation	FL	NIA	Continuicare Corporation	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	86-0597187				St. Mary's Medical Park Pharmacy, Inc.	AZ	NIA	Humana Pharmacy, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	32-0375132				Symphony Health Partners - Midwest, LLC	DE	NIA	See Footnote 3	Ownership	0.000	Humana Inc.	3
.0119	Humana Inc.	.00000	45-5032192				Symphony Health Partners, Inc.	DE	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	74-2352809				Texas Dental Plans, Inc.	TX	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.54739	52-1157181				The Dental Concern, Inc.	KY	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	75-2600512				Humana at Home (TLC), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	80-0072760				Transcend Insights, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	46-5329373				Transcend, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.	0
.0119	Humana Inc.	.00000	75-2043865				Humana Behavioral Health, Inc.	TX	IA	Humana Inc.	Ownership	100.000	Humana Inc.	0

Asterisk	Explanation
1	Availity, L.L.C., a Delaware limited liability company, was formed by affiliates of Humana Inc. and Blue Cross and Blue Shield of Florida, Inc. to develop and operate an Internet site on the World Wide Web to permit health plans to communicate and engage in electronic transactions with health care service providers initially in the State of Florida. HUM-e-FL, Inc., a subsidiary of Humana Inc., is a Member with a 22.5% ownership interest. Navigy, Inc., a subsidiary of Blue Cross and Blue Shield of Florida, Inc., is a Member with a 33.75% ownership interest, Health Care Service Corporation, a Member, has a 33.75% ownership interest, and Sellcore, Inc., a subsidiary of WellPoint and a Member, has a 10% ownership interest.
2	Independent Care Health Plan, a Wisconsin corporation licensed as an HMO, operates an integrated, coordinated medical and social service managed care program for chronically disabled Medicaid recipients in Milwaukee, Wisconsin. CareNetwork, Inc. owns 50% of the company's stock. Centers of Excellence, Inc. owns the other 50%.
3	Ownership is 80% Symphony Health Partners, Inc. and 20% Humana Inc. of Symphony Health Partners Midwest, LLC.

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....	NO

Explanation:

- 1. This type of business is not written.

Bar Code:

- 1. Medicare Part D Coverage Supplement [Document Identifier 365]



**OVERFLOW PAGE FOR WRITE-INS**

Additional Write-ins for Assets Line 25

	Current Statement Date			4 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Deposits .....	492,425	492,425	0	0
2505. Federal Contingency Reserves .....	43,515	0	43,515	0
2597. Summary of remaining write-ins for Line 25 from overflow page	535,940	492,425	43,515	0

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other than temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

**NONE****SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest and commitment fees .....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		
10. Deduct current year's other than temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

**NONE****SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	466,332	560,670
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	0	0
2.2 Additional investment made after acquisition .....	0	0
3. Capitalized deferred interest and other .....	0	0
4. Accrual of discount .....	0	0
5. Unrealized valuation increase (decrease) .....	(161,853)	(94,338)
6. Total gain (loss) on disposals .....	0	0
7. Deduct amounts received on disposals .....	0	0
8. Deduct amortization of premium and depreciation .....	0	0
9. Total foreign exchange change in book/adjusted carrying value .....	0	0
10. Deduct current year's other than temporary impairment recognized .....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	304,479	466,332
12. Deduct total nonadmitted amounts .....	0	0
13. Statement value at end of current period (Line 11 minus Line 12) .....	304,479	466,332

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	80,671,315	73,137,456
2. Cost of bonds and stocks acquired .....	53,038,576	35,482,009
3. Accrual of discount .....	6,522	194,635
4. Unrealized valuation increase (decrease) .....	0	1,502
5. Total gain (loss) on disposals .....	660,770	151,470
6. Deduct consideration for bonds and stocks disposed of .....	39,512,064	27,761,057
7. Deduct amortization of premium .....	481,345	534,700
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	94,383,774	80,671,315
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11) .....	94,383,774	80,671,315

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan of Ohio, Inc.

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	79,350,760	313,885,413	293,080,657	(127,615)	86,974,368	79,350,760	100,027,901	67,721,168
2. NAIC 2 (a) .....	13,581,917	743,381	4,160,600	(46,481)	14,422,444	13,581,917	10,118,217	16,076,832
3. NAIC 3 (a) .....	175,713	0	0	(378)	1,175,635	175,713	175,335	176,410
4. NAIC 4 (a) .....	0	0	0	0	0	0	0	0
5. NAIC 5 (a) .....	0	0	0	0	0	0	0	0
6. NAIC 6 (a) .....	0	0	0	0	0	0	0	0
7. Total Bonds	93,108,390	314,628,794	297,241,257	(174,474)	102,572,447	93,108,390	110,321,453	83,974,410
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	0	0	0	0	0	0	0	0
9. NAIC 2 .....	0	0	0	0	0	0	0	0
10. NAIC 3 .....	0	0	0	0	0	0	0	0
11. NAIC 4 .....	0	0	0	0	0	0	0	0
12. NAIC 5 .....	0	0	0	0	0	0	0	0
13. NAIC 6 .....	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	93,108,390	314,628,794	297,241,257	(174,474)	102,572,447	93,108,390	110,321,453	83,974,410

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ .....15,937,678 ; NAIC 2 \$ .....0 ; NAIC 3 \$ .....0 ; NAIC 4 \$ .....0 ; NAIC 5 \$ .....0 ; NAIC 6 \$ .....0

**SCHEDULE DA - PART 1**

## Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	5,938,045	XXX	5,942,885	10,384	6,757

**SCHEDULE DA - VERIFICATION**

## Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	3,303,094	1,351,406
2. Cost of short-term investments acquired .....	514,436,944	346,916,230
3. Accrual of discount .....	0	0
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	0	0
6. Deduct consideration received on disposals .....	511,797,153	344,964,542
7. Deduct amortization of premium .....	4,840	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	5,938,045	3,303,094
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	5,938,045	3,303,094

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

**SCHEDULE E - VERIFICATION**

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	0
2. Cost of cash equivalents acquired .....	280,991,819	258,495,425
3. Accrual of discount .....	4,041	4,362
4. Unrealized valuation increase (decrease) .....	0	0
5. Total gain (loss) on disposals .....	2,920	(1)
6. Deduct consideration received on disposals .....	270,999,147	258,499,786
7. Deduct amortization of premium .....	0	0
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	9,999,633	0
11. Deduct total nonadmitted amounts .....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	9,999,633	0

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan of Ohio, Inc.

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
36179R-LP-2	GOVERNMENT NATIONAL MORTGAGE GNMA		.08/25/2015	CREDIT SUISSE FIRST BOSTON CORP.		963,674	923,108	1,795	1
<b>0599999. Subtotal - Bonds - U.S. Governments</b>						963,674	923,108	1,795	XXX
246381-DH-7	DELAWARE STATE MUNI		.08/18/2015	BARCLAYS CAPITAL		261,809	230,000	5,431	1FE
246381-ET-0	DELAWARE STATE MUNI		.08/03/2015	BARCLAYS CAPITAL		155,336	135,000	656	1FE
246381-FA-0	DELAWARE STATE MUNI		.09/24/2015	BARCLAYS CAPITAL		769,419	690,000	8,433	1FE
349425-SW-4	FORT WORTH TEX MUNI		.08/04/2015	CITIGROUP GLOBAL MARKETS INC		188,957	155,000	0	1FE
373384-X9-2	GEORGIA ST MUNI		.08/05/2015	WELLS FARGO		351,790	325,000	1,399	1FE
467578-H6-8	JACKSON CNTY MO SPL OBLIG MUNI		.08/03/2015	WELLS FARGO		127,039	110,000	993	1FE
56052A-YE-0	MAINE ST MUNI		.09/01/2015	LOOP CAPITAL MARKETS		91,531	85,000	815	1FE
574193-GT-9	MARYLAND ST MUNI		.08/18/2015	CITIGROUP GLOBAL MARKETS INC		180,062	155,000	3,660	1FE
57583P-GD-5	MASSACHUSETTS ST FED HWY MUNI		.08/04/2015	BARCLAYS CAPITAL		192,438	160,000	1,156	1FE
646066-GY-0	NEW JERSEY ST EDL FACS AUTH RE MUNI		.08/03/2015	MERRILL LYNCH, PIERCE, FENNER & SM		134,358	120,000	1,350	1FE
64966L-UB-2	NEW YORK NY MUNI		.08/03/2015	MERRILL LYNCH, PIERCE, FENNER & SM		271,113	250,000	0	1FE
662903-PT-4	NORTH TEX MUN WTR DIST TEX WTR MUNI		.08/04/2015	BARCLAYS CAPITAL		234,107	195,000	3,033	1FE
677521-PJ-9	OHIO ST MUNI		.08/04/2015	WELLS FARGO		78,922	75,000	1,479	1FE
688443-S7-6	OSSEO MINN INDPT SCH DIST NO 2 MUNI		.08/03/2015	CITIGROUP GLOBAL MARKETS INC		90,730	80,000	1,000	1FE
840610-HP-6	SOUTH WASHINGTON CNTY INDPT SC MUNI		.08/03/2015	WELLS FARGO		73,694	65,000	822	1FE
914378-HW-7	UNIVERSITY KY GEN RCPTS MUNI		.08/03/2015	CITIGROUP GLOBAL MARKETS INC		98,082	85,000	1,310	1FE
917542-TK-8	UTAH ST MUNI		.08/11/2015	BARCLAYS CAPITAL		97,663	85,000	508	1FE
928172-K9-5	VIRGINIA ST PUB BLDG AUTH PUB MUNI		.09/02/2015	LOOP CAPITAL MARKETS		173,259	160,000	1,978	1FE
93978H-ME-2	WASHINGTON ST HEALTH CARE FAC MUNI		.08/07/2015	MORGAN STANLEY		173,736	155,000	2,820	1FE
<b>1799999. Subtotal - Bonds - U.S. States, Territories and Possessions</b>						3,744,045	3,315,000	36,843	XXX
239019-P4-8	DAVIS CNTY UTAH SCH DIST MUNI		.08/12/2015	HUTCH SHOCKLY ERLEY		81,707	70,000	0	1FE
239019-P7-1	DAVIS CNTY UTAH SCH DIST MUNI		.08/12/2015	HUTCH SHOCKLY ERLEY		90,858	75,000	0	1FE
239019-P8-9	DAVIS CNTY UTAH SCH DIST MUNI		.08/12/2015	HUTCH SHOCKLY ERLEY		104,095	85,000	0	1FE
254764-JW-4	DISTRICT COLUMBIA MUNI		.08/14/2015	GOLDMAN SACHS		84,261	75,000	0	1FE
254764-JX-2	DISTRICT COLUMBIA MUNI		.08/14/2015	GOLDMAN SACHS		83,917	75,000	0	1FE
259327-K9-4	DOUGLAS CNTY SCH DIST MUNI		.09/01/2015	LOOP CAPITAL MARKETS		95,432	90,000	790	1FE
3138EK-GU-3	FED NTL MTG ASSO FNMA		.07/30/2015	MERRILL LYNCH		197,557	181,766	273	1
3138EN-Y9-4	FED NTL MTG ASSO FNMA		.07/30/2015	GOLDMAN SACHS		923,724	862,286	1,150	1
3138EP-AH-7	FED NTL MTG ASSO FNMA		.07/31/2015	J.P. MORGAN		894,931	824,583	1,237	1
3138EP-LC-6	FED NTL MTG ASSO FNMA		.07/30/2015	MERRILL LYNCH		719,678	662,153	993	1
3138EP-S4-7	FED NTL MTG ASSO FNMA		.08/04/2015	GOLDMAN SACHS		460,608	431,798	576	1
3138EP-TZ-7	FED NTL MTG ASSO FNMA		.08/25/2015	CREDIT SUISSE FIRST BOSTON CORP.		1,185,930	1,135,540	1,514	1
3138W9-KQ-2	FED NTL MTG ASSO FNMA		.07/30/2015	J.P. MORGAN		63,953	63,684	64	1
3138WE-CO-0	FED NTL MTG ASSO FNMA		.08/24/2015	GOLDMAN SACHS		1,245,558	1,150,299	1,662	1
31418A-VS-4	FED NTL MTG ASSO FNMA		.07/30/2015	J.P. MORGAN		849,558	845,989	846	1
349515-TG-1	FORT WORTH TEX WTR & SWR REV MUNI		.08/04/2015	BARCLAYS CAPITAL		122,100	100,000	0	1FE
349515-TH-9	FORT WORTH TEX WTR & SWR REV MUNI		.08/04/2015	BARCLAYS CAPITAL		205,168	170,000	0	1FE
349515-TJ-5	FORT WORTH TEX WTR & SWR REV MUNI		.08/04/2015	BARCLAYS CAPITAL		119,849	100,000	0	1FE
373384-Y6-7	GEORGIA ST MUNI		.09/01/2015	LOOP CAPITAL MARKETS		74,476	70,000	535	1FE
495289-SY-7	KING COUNTY SWEER REV MUNI		.08/26/2015	LOOP CAPITAL MARKETS		150,857	135,000	1,208	1FE
508248-BC-4	LAKE CNTY FLA CAP IMPT REV MUNI		.08/13/2015	J.P. MORGAN		323,355	300,000	3,208	1FE
508248-BD-2	LAKE CNTY FLA CAP IMPT REV MUNI		.08/13/2015	J.P. MORGAN		328,744	305,000	3,262	1FE
58259Y-3Q-0	METROPOLITAN TRANSN AUTH NY REV F		.08/07/2015	BARCLAYS CAPITAL		149,842	135,000	1,631	1FE
60412A-CZ-4	MINNESOTA ST FOR PREVIOUS ISSU		.08/11/2015	MERRILL LYNCH		132,000	115,000	0	1FE
60412A-DT-7	MINNESOTA ST FOR PREVIOUS ISSU		.08/05/2015	CITIGROUP GLOBAL MARKETS INC		227,457	210,000	0	1FE
60412A-DV-2	MINNESOTA ST FOR PREVIOUS ISSU		.08/25/2015	WELLS FARGO		172,410	150,000	188	1FE
60412A-EA-7	MINNESOTA ST FOR PREVIOUS ISSU		.09/17/2015	CITIGROUP GLOBAL MARKETS INC		250,410	205,000	940	1FE
60412A-EB-5	MINNESOTA ST FOR PREVIOUS ISSU		.08/11/2015	CITIGROUP GLOBAL MARKETS INC		241,779	195,000	0	1FE
646066-GZ-7	NEW JERSEY ST EDL FACS AUTH RE MUNI		.08/10/2015	CITIGROUP GLOBAL MARKETS INC		126,399	110,000	1,344	1FE
64966L-MX-6	NEW YORK NY MUNI		.08/19/2015	MERRILL LYNCH		211,212	195,000	623	1FE
64966L-RC-7	NEW YORK NY MUNI		.08/19/2015	MERRILL LYNCH		189,621	175,000	559	1FE
64990A-DQ-5	NEW YORK ST DORM AUTH SALES TA		.09/17/2015	MORGAN STANLEY		345,936	285,000	277	1FE
64990B-JU-8	NEW YORK ST DORM AUTH REVS MUNI		.08/10/2015	CITIGROUP GLOBAL MARKETS INC		101,396	85,000	744	1FE
658196-SH-9	NORTH CAROLINA ESATN MUN PIWR A		.08/26/2015	BARCLAYS CAPITAL		111,256	105,000	875	1FE
662903-PU-1	NORTH TEX MUN WTR DIST TEX WTR MUNI		.08/04/2015	BARCLAYS CAPITAL		89,531	75,000	1,167	1FE
667825-UJ-0	NORTHWEST TEX INDPT SCH DIST MUNI		.08/26/2015	WELLS FARGO		106,567	100,000	167	1FE
796253-X4-9	SAN ANTONIO TEX ELEC & GAS RE MUNI		.09/01/2015	WELLS FARGO		207,262	195,000	1,029	1FE
860758-RD-5	STILLWATER MINN INDPT SCH DIST		.08/03/2015	PIPER JAFFREY		75,378	65,000	0	1FE
860758-RF-0	STILLWATER MINN INDPT SCH DIST		.08/11/2015	WELLS FARGO		89,012	75,000	0	1FE
882723-QE-2	TEXAS ST MUNI		.07/31/2015	WELLS		320,352	320,000	4,405	1

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STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan of Ohio, Inc.

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Desig- nation or Market Indicator (a)
88283L-JX-5	TX TRANSN COMMN ST HWY FD R MUNI		.08/13/2015	CITIGROUP GLOBAL MARKETS INC		476,399	385,000	1,390	1
91514A-BK-0	UNIVERSITY TEX UNIV REVS MUNI		.08/04/2015	LOOP CAPITAL MARKETS		185,007	150,000	3,583	1FE
917567-AY-5	UTAH TRAN AUTHORITY SALES TAX MUNI		.09/01/2015	BARCLAYS CAPITAL		178,545	155,000	1,701	1FE
924214-JX-5	VERMONT ST MUNI BOND BANK MUNI		.08/04/2015	CITIGROUP GLOBAL MARKETS INC		101,874	100,000	0	1FE
92778V-BR-8	VIRGINIA COLLEGE BLDG AUTH MUNI		.08/10/2015	WELLS FARGO		260,057	225,000	0	1FE
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>						12,756,018	11,618,098	37,941	XXX
09062X-AF-0	BILOGEN INC CORPORATE		.09/10/2015	MERRILL LYNCH		124,705	125,000	0	2FE
12189L-AY-7	BURLINGTON NORTH CORPORATE		.08/14/2015	VARIOUS		244,284	245,000	0	2FE
151020-AQ-7	CELGENE CORPORATION CORPORATE		.08/12/2015	VARIOUS		374,392	375,000	20	2FE
235851-AQ-5	DANAHER CORPORATION CORPORATE		.09/10/2015	CITIGROUP GLOBAL MARKETS INC		54,921	55,000	0	1FE
25468P-DF-0	THE WALT DISNEY COMPANY CORPORATE		.09/14/2015	GOLDMAN SACHS		294,298	295,000	0	1FE
26442U-AA-2	DUKE ENERGY PROGRESS INC CORPORATE		.08/10/2015	WELLS FARGO		438,658	440,000	0	1FE
375558-BF-9	GILEAD SCIENCES INC CORPORATE		.09/09/2015	J.P. MORGAN		79,677	80,000	0	1FE
40428H-PV-8	HSBC BANK USA CORPORATE		.08/04/2015	HSBC SECURITIES INC		924,186	925,000	0	1FE
665772-CN-7	NORTHERN STATES POWER CORPORATE		.08/04/2015	MORGAN STANLEY		108,204	110,000	0	1FE
828807-CU-9	SIMON PROPERTY GROUP LP CORPORATE		.08/10/2015	CITIGROUP GLOBAL MARKETS INC		289,835	290,000	0	1FE
87165L-AT-8	SYNCT 15-4:A ABS F1ST		.09/25/2015	BARCLAYS CAPITAL		249,998	250,000	0	1FE
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>						3,183,158	3,190,000	20	XXX
<b>8399997. Total - Bonds - Part 3</b>						20,646,895	19,046,206	76,599	XXX
<b>8399998. Total - Bonds - Part 5</b>						XXX	XXX	XXX	XXX
<b>8399999. Total - Bonds</b>						20,646,895	19,046,206	76,599	XXX
<b>8999997. Total - Preferred Stocks - Part 3</b>						0	XXX	0	XXX
<b>8999998. Total - Preferred Stocks - Part 5</b>						XXX	XXX	XXX	XXX
<b>8999999. Total - Preferred Stocks</b>						0	XXX	0	XXX
<b>9799997. Total - Common Stocks - Part 3</b>						0	XXX	0	XXX
<b>9799998. Total - Common Stocks - Part 5</b>						XXX	XXX	XXX	XXX
<b>9799999. Total - Common Stocks</b>						0	XXX	0	XXX
<b>9899999. Total - Preferred and Common Stocks</b>						0	XXX	0	XXX
<b>9999999 - Totals</b>						20,646,895	XXX	76,599	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....0

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan of Ohio, Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
36183II-5Y-4	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		18,936	18,936	20,073	0	0	(28)	0	(28)	0	18,936	0	0	0	296	01/01/2045	1
0599999	Subtotal - Bonds - U.S. Governments					18,936	18,936	20,073	0	0	(28)	0	(28)	0	18,936	0	0	0	296	XXX	XXX
041042-ZH-8	ARKANSAS ST	MUNI	08/25/2015	MERRILL LYNCH		412,723	340,000	415,524	415,524	(4,543)	0	0	(4,543)	0	410,560	0	2,163	2,163	12,608	10/01/2026	1FE
419791-6F-0	HAWAII ST	MUNI	07/28/2015	WELLS FARGO		362,181	300,000	365,526	364,834	(8,076)	0	0	(8,076)	0	356,758	0	5,423	5,423	10,250	08/01/2023	1FE
574192-6C-9	MARYLAND ST	MUNI	08/01/2015	MATURITY		135,000	135,000	156,724	137,780	(2,780)	0	0	(2,780)	0	135,000	0	0	0	6,750	08/01/2015	1FE
575827-6R-6	MASSACHUSETTS ST	MUNI	07/30/2015	UBS SECURITIES		28,589	25,000	31,109	28,351	(502)	0	0	(502)	0	27,849	0	740	740	1,043	11/01/2018	1FE
604129-4F-0	MINNESOTA ST	MUNI	08/03/2015	CITIGROUP GLOBAL MARKETS INC		1,202,270	1,000,000	1,217,060	1,210,085	(15,411)	0	0	(15,411)	0	1,194,674	0	7,596	7,596	47,917	08/01/2022	1FE
604129-4J-2	MINNESOTA ST	MUNI	08/03/2015	SIEBURT, BRANDFORD, SHANK & CO		2,090,800	1,710,000	2,114,860	2,101,677	(21,944)	0	0	(21,944)	0	2,079,733	0	11,067	11,067	81,938	08/01/2025	1FE
92817L-PF-4	VIRGINIA ST RES AUTH CLEAN WTR		07/30/2015	CITIGROUP GLOBAL MARKETS INC		360,513	300,000	365,754	363,825	(4,529)	0	0	(4,529)	0	359,296	0	1,217	1,217	12,667	10/01/2022	1FE
97705M-AH-8	WISC ST FOR ISSUES DTD PR	MUNI	07/30/2015	RBC CAPITAL MARKETS		513,137	440,000	510,233	0	(1,328)	0	0	(1,328)	0	508,905	0	4,232	4,232	2,078	05/01/2020	1FE
97705M-AJ-4	WISC ST FOR ISSUES DTD PR	MUNI	07/29/2015	J.P. MORGAN		289,896	245,000	288,243	0	(649)	0	0	(649)	0	287,594	0	2,303	2,303	1,123	05/01/2021	1FE
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions					5,395,109	4,495,000	5,465,033	4,621,654	0	(59,762)	0	(59,762)	0	5,360,369	0	34,741	34,741	176,374	XXX	XXX
3128DV-X2-4	FG 092497 - 20 YEAR		09/01/2015	MBS PAYDOWN		490	490	493	490	0	0	0	0	0	490	0	0	0	21	09/01/2018	1
3128M8-RT-3	FGOLD 30YR GIANY	FHLMC	09/01/2015	MBS PAYDOWN		5,880	5,880	6,316	5,922	(42)	0	0	(42)	0	5,880	0	0	0	158	04/01/2041	1
3132GK-Z0-2	FGOLD 30 YR	FHLMC	09/01/2015	MBS PAYDOWN		4,802	4,802	5,157	4,835	(34)	0	0	(34)	0	4,802	0	0	0	127	11/01/2041	1
3132GU-KH-6	FEDERAL HOME LOAN MTGE CO	FHLMC	09/01/2015	MBS PAYDOWN		32,363	32,363	34,225	32,518	(154)	0	0	(154)	0	32,363	0	0	0	752	06/01/2042	1
31335H-KH-0	FG C90235 - 20 YEAR		09/01/2015	MBS PAYDOWN		1,665	1,665	1,673	1,666	(1)	0	0	(1)	0	1,665	0	0	0	74	10/01/2018	1
31368H-M2-6	FED NTL MTG ASSO	FNMA	08/10/2015	VARIOUS		1,568,309	1,423,043	1,490,193	1,490,250	(12,432)	0	0	(12,432)	0	1,477,818	0	90,491	90,491	49,520	11/01/2036	1
31371K-NX-5	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		5,397	5,397	5,586	5,420	(23)	0	0	(23)	0	5,397	0	0	0	197	05/01/2017	1
3138EK-GU-3	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		4,303	4,303	4,677	0	0	0	0	0	4,303	0	0	0	0	16	03/01/2042	1
3138EN-Y9-4	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		8,544	8,544	9,153	0	0	0	0	0	8,544	0	0	0	0	28	12/01/2044	1
3138EP-AH-7	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		19,810	19,810	21,500	0	(1)	0	0	(1)	0	19,810	0	0	0	74	02/01/2045	1
3138EP-LC-6	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		12,824	12,824	13,938	0	(1)	0	0	(1)	0	12,824	0	0	0	48	01/01/2043	1
3138EP-S4-7	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		7,556	7,556	8,060	0	0	0	0	0	7,556	0	0	0	25	07/01/2045	1	
3138LV-CV-3	FED NTL MTG ASSO	30YR	09/01/2015	MBS PAYDOWN		62,588	62,588	66,157	62,953	(365)	0	0	(365)	0	62,588	0	0	0	1,434	06/01/2042	1
3138LV-TP-6	FED NTL MTG ASSO	30YR	09/01/2015	MBS PAYDOWN		13,037	13,037	13,783	13,096	(59)	0	0	(59)	0	13,037	0	0	0	298	06/01/2042	1
3138M0-SE-1	FED NTL MTG ASSO	FNMA 30YR	09/01/2015	MBS PAYDOWN		77,308	77,308	81,735	77,762	(453)	0	0	(453)	0	77,308	0	0	0	1,846	07/01/2042	1
3138M1-4Q-7	FED NTL MTG ASSO	30YR	09/01/2015	MBS PAYDOWN		56,992	56,992	59,001	57,111	(119)	0	0	(119)	0	56,992	0	0	0	1,102	03/01/2043	1
3138W9-KQ-2	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		484	484	486	0	0	0	0	0	484	0	0	0	0	1	08/01/2043	1
3138WD-6X-4	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		1,582	1,582	1,633	0	(2)	0	0	(2)	0	1,582	0	0	0	14	02/01/2030	1
3138WE-AC-3	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		91,976	91,976	96,561	0	(261)	0	0	(261)	0	91,976	0	0	0	1,182	03/01/2030	1
3138WE-AJ-8	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		6,042	6,042	6,339	0	(17)	0	0	(17)	0	6,042	0	0	0	80	02/01/2030	1
3138WE-AM-1	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		13,904	13,904	14,597	0	(42)	0	0	(42)	0	13,904	0	0	0	189	02/01/2030	1
3138WE-H4-4	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		17,016	17,016	17,561	0	(17)	0	0	(17)	0	17,016	0	0	0	138	04/01/2030	1
3138XQ-BE-0	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		22,343	22,343	23,457	0	(80)	0	0	(80)	0	22,343	0	0	0	281	04/01/2029	1
3138YF-E3-4	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		2,311	2,311	2,426	0	(5)	0	0	(5)	0	2,311	0	0	0	29	02/01/2030	1
31392C-AV-6	FED NTL MTG ASSO	FNMA	09/01/2015	MBS PAYDOWN		3,371	3,371	3,490	3,379	(8)	0	0	(8)	0	3,371	0	0	0	159	02/25/2032	1
31418A-VS-4	FED NTL MTG ASSO	FNMA	09/30/2015	VARIOUS		858,406	845,989	849,558	0	(31)	0	0	(31)	0	849,527	0	8,879	8,879	3,026	08/01/2043	1
373384-Y3-4	GA ST	MUNI	07/29/2015	MERRILL LYNCH		488,733	425,000	485,231	0	(888)	0	0	(888)	0	484,243	0	4,490	4,490	1,417	07/01/2019	1FE
494890-A2-2	KING COUNTY WA	MUNI	07/28/2015	INC		194,304	165,000	192,491	0	(666)	0	0	(666)	0	191,824	0	2,480	2,480	2,246	12/01/2020	1FE
68607V-P3-3	OREGON ST DEPT ADMINISTRATIVE	MUNI	07/28/2015	RAYMOND JAMES		139,842	120,000	138,917	0	(527)	0	0	(527)	0	138,390	0	1,452	1,452	2,000	04/01/2020	1FE
70914P-YH-9	PENNSYLVANIA ST	MUNI	08/13/2015	MERRILL LYNCH		340,981	315,000	340,981	0	(1,102)	0	0	(1,102)	0	339,879	0	1,102	1,102	2,713	08/15/2017	1FE
882723-QE-2	TEXAS ST	MUNI	08/31/2015	MATURITY		320,000	320,000	320,352	0	(352)	0	0	(352)	0	320,000	0	0	0	4,761	08/31/2015	1
88283L-JX-5	TX TRANSN COMMN ST HWY FD R	MUNI	08/25/2015	CITIGROUP GLOBAL MARKETS INC		477,359	385,000	476,399	0	(211)	0	0	(211)	0	476,188	0	1,172	1,172	1,893	10/01/2025	1
3199999	Subtotal - Bonds - U.S. Special Revenues					4,860,522	4,471,620	4,792,126	1,755,402	0	(17,993)	0	(17,993)	0	4,750,457	0	110,066	110,066	75,849	XXX	XXX
023767-AA-4	AMERICAN AIRLINES INC	CORPORATE	07/31/2015	CALLED SECURITY at 100.000		3,006	3,006	3,006	3,006	0	0	0	0	3,006	0	0	0	0	158	07/31/2021	1FE
037411-AS-4	APACHE CORP	CORPORATE	09/01/2015	CALLED SECURITY at 107.484		107,484	100,000	100,305	100,073	0	7,410	0	7,410	0	107,484	0	0	0	5,625	01/15/2017	2FE
05946X-BV-4	BANK OF AMERICA FDG CO 2003-1		09/21/2015	100.000		95	95	97	97	0	(2)	0	(2)	0	95	0	0	0	4	05/20/2033	1FM
07388R-AF-4	BEAR STEARNS CO.	CMBS	09/11/2015	VARIOUS		283	283	313	302	0	(19)	0	(19)	0	283	0	0	0	14	02/01/2044	1FM

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STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan of Ohio, Inc.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Ident-ification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Design-ation or Market In-dicator (a)	
14309U-AA-0	CARLYLE HOLDINGS FINANCE LLC CORPORATE		07/27/2015	BARCLAYS CAPITAL		50,947	50,000	49,983	49,985	0	2	0	2	0	49,987	0	959	959	1,932	02/01/2023	1FE	
186108-CH-7	CLEVELAND ELECTRIC ILLUMINATING		08/11/2015	WELLS FARGO		958,544	800,000	1,018,320	920,246	0	(17,880)	0	(17,880)	0	902,366	0	56,178	56,178	53,053	11/15/2018	2FE	
20173W-AF-5	CMLTI CMBS		09/10/2015	VARIOUS		920	920	1,045	1,028	0	(107)	0	(107)	0	920	0	0	0	37	12/01/2049	1FM	
345397-WA-7	FUEL TRUST CORPORATE		07/27/2015	J.P. MORGAN		1,022,800	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	22,800	22,800	24,900	12/15/2022	2FE	
36253G-AK-8	GSMS CMBS		08/25/2015	BARCLAYS CAPITAL		2,768,270	2,660,000	2,739,732	2,739,452	0	(741)	0	(741)	0	2,738,711	0	29,559	29,559	90,073	09/01/2047	1FM	
42217K-AY-2	HEALTH CARE REIT INC CORPORATE		07/29/2015	WELLS FARGO		1,160,170	1,100,000	1,096,634	1,097,780	0	285	0	285	0	1,098,065	0	62,105	62,105	38,065	04/01/2019	2FE	
428236-BQ-5	HEWLETT-PACKARD COMPANY CORPORATE		08/11/2015	MORGAN STANLEY CALLED SECURITY at		135,408	130,000	129,761	129,820	0	17	0	17	0	129,837	0	5,571	5,571	5,198	09/15/2021	2FE	
46629G-AE-8	JP MORGAN CDM MTG 2006-C1BC16		09/14/2015	100.000		175,119	175,119	175,989	175,917	0	(799)	0	(799)	0	175,119	0	0	0	7,092	05/01/2045	1FM	
46632H-AG-6	JPMCC 07-LD12 CMBS		09/15/2015	VARIOUS		219	219	249	239	0	(20)	0	(20)	0	219	0	0	0	9	02/01/2051	1FM	
50180J-AD-7	LBUBS 07-C2 CMBS		09/17/2015	VARIOUS		266	266	290	282	0	(16)	0	(16)	0	266	0	0	0	10	02/15/2040	1FM	
60687V-AF-2	MLCFC 06-3 CMBS		09/14/2015	VARIOUS		423	423	467	452	0	(28)	0	(28)	0	423	0	0	0	16	07/01/2046	1FM	
61751N-AB-8	MSC 07-HQ11		09/14/2015	VARIOUS		409	409	447	433	0	(24)	0	(24)	0	409	0	0	0	15	02/01/2044	1FM	
61756U-AE-1	MSC-99 CMBS		09/14/2015	VARIOUS		29,389	29,389	34,806	34,084	0	(4,695)	0	(4,695)	0	29,389	0	0	0	1,189	12/01/2049	1FM	
709599-AL-8	PENSKE TRUCK LEASING COMPANY LP		07/24/2015	U.S. BANCORP INVESTMENTS, INC CALLED SECURITY at		25,370	25,000	24,946	24,964	0	6	0	6	0	24,970	0	401	401	743	07/17/2018	2FE	
78478Y-AA-2	STRIP 12 CMBS		09/25/2015	100.000		58,778	58,778	58,091	58,125	0	652	0	652	0	58,778	0	0	0	598	12/25/2044	1FE	
92978P-AF-6	WBCMT 03 CMBS		09/17/2015	VARIOUS		10,955	10,955	12,065	10,972	0	(17)	0	(17)	0	10,955	0	0	0	434	11/01/2048	1FM	
13643E-AG-0	CANADIAN OIL SANDS LTD CORPORATE	A	09/29/2015	CANTOR FITZGERALD		272,594	325,000	322,468	323,035	0	194	0	194	0	323,229	0	(50,635)	(50,635)	7,353	04/01/2022	2FE	
45326Y-AA-5	INCOITEC PIVOT LTD CORPORATE	F	08/11/2015	BARCLAYS CAPITAL		579,313	575,000	570,400	574,076	0	574	0	574	0	574,650	0	4,662	4,662	15,781	11/29/2015	2FE	
85235X-AC-9	STADSHYPOTEK AB CORPORATE	F	08/24/2015	RBS SECURITIES INC		250,750	250,000	248,973	249,285	0	96	0	96	0	249,380	0	1,370	1,370	4,232	10/02/2019	1FE	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					7,611,512	7,294,862	7,588,387	7,493,653	0	(15,112)	0	(15,112)	0	7,478,541	0	132,970	132,970	256,531	XXX	XXX	
8399997	Total - Bonds - Part 4					17,886,079	16,280,418	17,865,619	13,870,709	0	(92,895)	0	(92,895)	0	17,608,303	0	277,777	277,777	509,050	XXX	XXX	
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999	Total - Bonds					17,886,079	16,280,418	17,865,619	13,870,709	0	(92,895)	0	(92,895)	0	17,608,303	0	277,777	277,777	509,050	XXX	XXX	
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999	Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999	Totals					17,886,079	XXX	17,865,619	13,870,709	0	(92,895)	0	(92,895)	0	17,608,303	0	277,777	277,777	509,050	XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....0

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Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open  
**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open  
**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made  
**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By  
**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To  
**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned  
**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned  
**N O N E**

STATEMENT AS OF SEPTEMBER 30, 2015 OF THE Humana Health Plan of Ohio, Inc.

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
CITIBANK New Castle, DE		0.000	0	0	637,531	1,680,396	1,084,280	.XXX.
WACHOVIA Atlanta, GA		0.000	0	0	(1,642,341)	(1,465,939)	(2,309,652)	.XXX.
JP MORGAN CHASE New York, NY		0.000	0	0	14,044	27,568	10,414	.XXX.
COMMUNITY T & B Co CERTIFICATE OF DEPOSIT		0.250	0	0	250,000	250,000	0	.XXX.
Kentucky Bank CERTIFICATE OF DEPOSIT		0.350	0	0	250,000	250,000	0	.XXX.
CENTRAL BANK CERTIFICATE OF DEPOSIT		0.250	0	0	250,000	250,000	0	.XXX.
COMMONWEALTH BANK & TRUST CERTIFICATE OF DEPOSIT		0.150	0	0	250,000	250,000	0	.XXX.
Kentucky Bank CERTIFICATE OF DEPOSIT		0.350	0	74	0	0	250,000	.XXX.
COMMUNITY T & B Co CERTIFICATE OF DEPOSIT		0.300	0	31	0	0	250,000	.XXX.
CENTRAL BANK CERTIFICATE OF DEPOSIT		0.200	0	8	0	0	250,000	.XXX.
COMMONWEALTH BANK & TRUST CERTIFICATE OF DEPOSIT		0.150	0	3	0	0	250,000	.XXX.
Interest Received During Quarter on Disposed Holdings		0.000	621	0	0	0	0	.XXX.
0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	0	.XXX.
0199999. Totals - Open Depositories	XXX	XXX	621	116	9,233	1,242,026	(214,958)	.XXX.
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	.XXX.
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	.XXX.
0399999. Total Cash on Deposit	XXX	XXX	621	116	9,233	1,242,026	(214,958)	.XXX.
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	.XXX.
0599999. Total - Cash	XXX	XXX	621	116	9,233	1,242,026	(214,958)	.XXX.

