



# QUARTERLY STATEMENT

As of September 30, 2015  
of the Condition and Affairs of the

## United Transportation Union Insurance Association

NAIC Group Code..... 0, 0 <small>(Current Period) (Prior Period)</small>	NAIC Company Code..... 56413	Employer's ID Number..... 23-7131460
Organized under the Laws of Ohio	State of Domicile or Port of Entry Ohio	Country of Domicile US
Incorporated/Organized..... November 16, 1970	Commenced Business..... March 10, 1971	
Statutory Home Office	24950 Country Club Blvd Ste 340..... North Olmsted ..... OH ..... US ..... 44070-5333 <small>(Street and Number) (City or Town, State, Country and Zip Code)</small>	
Main Administrative Office	24950 Country Club Blvd Ste 340..... North Olmsted ..... OH ..... US ..... 44070-5333 216-228-9400 <small>(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)</small>	
Mail Address	24950 Country Club Blvd Ste 340..... North Olmsted ..... OH ..... US ..... 44070-5333 <small>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</small>	
Primary Location of Books and Records	24950 Country Club Blvd Ste 340..... North Olmsted ..... OH ..... US ..... 44070-5333 216-228-9400 <small>(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)</small>	
Internet Web Site Address	utuia.org	
Statutory Statement Contact	Richard A Kusnic Sr <small>(Name)</small> Rkusnic@utuia.org <small>(E-Mail Address)</small>	216-228-9400 <small>(Area Code) (Telephone Number) (Extension)</small> 216-228-0411 <small>(Fax Number)</small>

### OFFICERS

Name	Title	Name	Title
1. John Previsich	President	2. John England	Secretary
3. John England	Treasurer	4.	

### OTHER

### DIRECTORS OR TRUSTEES

John Lesniewski	John Previsich	John England	Frank James Riha
Nicholas J Diccico Jr	John J Risch III	William Jennings Thompson	William B Ryan

State of.....  
County of.....

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) John Previsich 1. (Printed Name) _____ President (Title)	_____ (Signature) John England 2. (Printed Name) _____ Secretary (Title)	_____ (Signature) John England 3. (Printed Name) _____ Treasurer (Title)
--	--	--

Subscribed and sworn to before me  
This \_\_\_\_\_ day of \_\_\_\_\_

a. Is this an original filing? Yes [ X ] No [ ]  
b. If no: 1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	198,636,550		198,636,550	196,151,602
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....	16,314,956		16,314,956	17,401,965
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....	1,572,157		1,572,157	1,603,392
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....193,591), cash equivalents (\$.....1,050,038) and short-term investments (\$.....0).....	1,243,629		1,243,629	3,987,821
6. Contract loans (including \$.....0 premium notes).....	5,514,437		5,514,437	6,066,977
7. Derivatives.....			0	
8. Other invested assets.....			0	
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	223,281,729	0	223,281,729	225,211,757
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	2,249,426		2,249,426	2,251,716
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	183,926		183,926	103,197
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	50,101		50,101	28,269
15.3 Accrued retrospective premiums.....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....			0	
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0	
18.2 Net deferred tax asset.....			0	
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	60,641		60,641	
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	225,825,823	0	225,825,823	227,594,939
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	225,825,823	0	225,825,823	227,594,939

**DETAILS OF WRITE-INS**

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501.....			0	
2502.....			0	
2503.....			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0	0	0

## United Transportation Union Insurance Association LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts (including \$.....0 Modco Reserve).....	161,171,581	162,004,647
2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....	4,977,648	5,466,324
3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....	5,386,874	5,649,282
4. Contract claims:		
4.1 Life.....	1,216,787	1,153,061
4.2 Accident and health.....	131,936	172,696
5. Refunds due and unpaid.....	9,398	6,299
6. Provisions for refunds payable in following calendar year - estimated amounts:		
6.1 Apportioned for payment.....		
6.2 Not yet apportioned.....		
7. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....175,477 accident and health premiums.....	436,072	380,189
8. Certificate and contract liabilities not included elsewhere:		
8.1 Surrender values on canceled contracts.....	78,585	222,433
8.2 Other amounts payable on reinsurance including \$.....0 assumed and \$.....0 ceded.....		
8.3 Interest maintenance reserve (IMR).....	5,848,056	6,178,959
9. Commissions to fieldworkers due or accrued - life and annuity contracts \$.....22,173, accident and health \$.....42,148 and deposit-type contract funds \$.....0.....	64,321	64,329
10. Commissions and expense allowances payable on reinsurance assumed.....		
11. General expenses due or accrued.....	308,122	408,960
12. Transfers to Separate Accounts due or accrued (net) (including \$.....0 accrued for expense allowances recognized in reserves).....		
13. Taxes, licenses and fees due or accrued.....	9,042	33,097
14. Unearned investment income.....		
15. Amounts withheld or retained by Society as agent or trustee.....		
16. Amounts held for fieldworkers' account, including \$.....0 fieldworkers' credit balances.....		
17. Remittances and items not allocated.....		
18. Net adjustment in assets and liabilities due to foreign exchange rates.....		
19. Liability for benefits for employees and fieldworkers if not included above.....		
20. Borrowed money \$.....0 and interest thereon \$.....0.....		
21. Miscellaneous liabilities:		
21.1 Asset valuation reserve.....	2,123,696	2,874,673
21.2 Reinsurance in unauthorized and certified (\$.....0) companies.....		
21.3 Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers.....		
21.4 Payable to subsidiaries and affiliates.....		506,255
21.5 Drafts outstanding.....		
21.6 Funds held under coinsurance.....		
21.7 Derivatives.....		
21.8 Payable for securities.....		
21.9 Payable for securities lending.....		
22. Aggregate write-ins for liabilities.....	451,747	30,275
23. Total liabilities excluding Separate Accounts business (Lines 1 to 22).....	182,213,865	185,151,479
24. From Separate Accounts Statement.....		
25. Total liabilities (Lines 23 to 24).....	182,213,865	185,151,479
26. Aggregate write-ins for other than liabilities and surplus funds.....	0	0
27. Surplus notes.....		
28. Aggregate write-ins for surplus funds.....	0	0
29. Unassigned funds.....	43,611,958	42,443,460
30. Total (Lines 26 through 29) (including \$.....0 in Separate Accounts Statement).....	43,611,958	42,443,460
31. Totals (Lines 25 + 30) (Page 2, Line 28, Col. 3).....	225,825,823	227,594,939

### DETAILS OF WRITE-INS

2201. Liability for uncashed checks.....	30,275	30,275
2202. Ceded Reinsurance Due.....	421,472	
2203. ....		
2298. Summary of remaining write-ins for Line 22 from overflow page.....	0	0
2299. Totals (Lines 2201 thru 2203 plus 2298) (Line 22 above).....	451,747	30,275
2601. ....		
2602. ....		
2603. ....		
2698. Summary of remaining write-ins for Line 26 from overflow page.....	0	0
2699. Totals (Lines 2601 thru 2603 plus 2698) (Line 26 above).....	0	0
2801. ....		
2802. ....		
2803. ....		
2898. Summary of remaining write-ins for Line 28 from overflow page.....	0	0
2899. Totals (Lines 2801 thru 2803 plus 2898) (Line 28 above).....	0	0

## United Transportation Union Insurance Association SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts.....	10,836,042	11,457,557	15,749,299
2. Considerations for supplementary contracts with life contingencies.....			
3. Net investment income.....	7,156,497	7,211,920	8,956,316
4. Amortization of Interest Maintenance Reserve (IMR).....	821,970	889,389	1,181,038
5. Separate Accounts net gain from operations excluding unrealized gains and losses.....			
6. Commissions and expense allowances on reinsurance ceded.....			
7. Reserve adjustments on reinsurance ceded.....			
8. Miscellaneous income:			
8.1 Income from fees associated with investment management, administration and contract guaranties from Separate Accounts.....			
8.2 Charges and fees for deposit-type contracts.....			
8.3 Aggregate write-ins for miscellaneous income.....	23,115	11,009	44,194
9. Totals (Lines 1 to 8.3).....	18,837,624	19,569,875	25,930,847
10. Death benefits.....	3,286,380	2,638,955	3,454,419
11. Matured endowments (excluding guaranteed annual pure endowments).....	142,305	69,851	103,453
12. Annuity benefits.....	6,003,237	8,423,956	11,639,456
13. Disability benefits and benefits under accident and health contracts including premiums waived \$.....0.....	916,977	1,034,497	1,270,835
14. Surrender benefits and withdrawals for life contracts.....	1,913,848	1,521,423	2,226,170
15. Interest and adjustments on contract or deposit-type contract funds.....	4,320	4,499	5,415
16. Payments on supplementary contracts with life contingencies.....			
17. Increase in aggregate reserve for life and accident and health contracts.....	(1,160,499)	(2,540,185)	(3,055,086)
18. Totals (Lines 10 to 17).....	11,106,568	11,152,996	15,644,662
19. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....	531,472	750,509	779,489
20. Commissions and expense allowances on reinsurance assumed.....			
21. General insurance expenses and fraternal expenses.....	5,028,792	4,719,213	6,215,400
22. Insurance taxes, licenses and fees.....	404,855	451,507	493,999
23. Increase in loading on deferred and uncollected premiums.....			
24. Net transfers to or (from) Separate Accounts net of reinsurance.....			
25. Aggregate write-ins for deductions.....	0	0	0
26. Totals (Lines 18 to 25).....	17,071,687	17,074,225	23,133,550
27. Net gain from operations before refunds to members (Line 9 minus Line 26).....	1,765,937	2,495,650	2,797,297
28. Refunds to members.....	(2,104)	(12,473)	(15,449)
29. Net gain from operations after refunds to members and before realized capital gains (losses) (Line 27 minus Line 28).....	1,768,041	2,508,123	2,812,746
30. Net realized capital gains (losses) less capital gains tax of .....0 (excluding \$.....0 transferred to the IMR).....	(31,629)	786,111	2,239,628
31. Net income (Lines 29 + 30).....	1,736,412	3,294,234	5,052,374
<b>SURPLUS ACCOUNT</b>			
32. Surplus, December 31, prior year.....	42,443,460	37,367,562	37,367,562
33. Net income from operations (Line 31).....	1,736,412	3,294,234	5,052,374
34. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0.....	(1,318,889)	649,102	(786,907)
35. Change in net unrealized foreign exchange capital gain (loss).....			
36. Change in nonadmitted assets.....		(20,000)	50,000
37. Change in liability for reinsurance in unauthorized and certified companies.....			
38. Change in reserve on account of change in valuation basis (increase) or decrease.....			
39. Change in asset valuation reserve.....	750,975	211,974	760,431
40. Surplus (contributed to) withdrawn from Separate Accounts during period.....			
41. Other changes in surplus in Separate Accounts Statement.....			
42. Change in surplus notes.....			
43. Cumulative effect of changes in accounting principles.....			
44. Change in surplus as a result of reinsurance.....			
45. Aggregate write-ins for gains and losses in surplus.....	0	0	0
46. Net change in surplus for the year (Lines 33 through 45).....	1,168,498	4,135,310	5,075,898
47. Surplus as of statement date (Lines 32 + 46).....	43,611,958	41,502,872	42,443,460
<b>DETAILS OF WRITE-INS</b>			
08.301. Miscellaneous income.....	14,623	(6,521)	24,801
08.302. Penalty on early withdrawal.....	8,492	17,530	19,393
08.303. ....			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page.....	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	23,115	11,009	44,194
2501. ....			
2502. ....			
2503. ....			
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0	0
4501. ....			
4502. ....			
4503. ....			
4598. Summary of remaining write-ins for Line 45 from overflow page.....	0	0	0
4599. Totals (Lines 4501 thru 4503 plus 4598) (Line 45 above).....	0	0	0

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	10,789,364	11,497,570	15,787,867
2. Net investment income.....	7,505,414	7,262,959	9,211,247
3. Miscellaneous income.....	23,115	11,009	44,194
4. Total (Lines 1 through 3).....	18,317,893	18,771,538	25,043,308
5. Benefit and loss related payments.....	12,387,949	13,544,629	18,559,393
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	5,668,548	5,876,515	7,468,175
8. Dividends paid to policyholders.....	(5,203)	(2,366)	(1,225)
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....			
10. Total (Lines 5 through 9).....	18,051,294	19,418,778	26,026,343
11. Net cash from operations (Line 4 minus Line 10).....	266,599	(647,240)	(983,035)
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	20,740,795	27,659,126	35,719,729
12.2 Stocks.....	2	3,753,534	14,604,763
12.3 Mortgage loans.....			
12.4 Real estate.....			1,173,708
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....			
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	20,740,797	31,412,660	51,498,200
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	23,081,699	33,288,940	50,715,597
13.2 Stocks.....	231,879	249,198	5,031,997
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....		400,005	
13.7 Total investments acquired (Lines 13.1 to 13.6).....	23,313,578	33,938,143	55,747,593
14. Net increase or (decrease) in contract loans and premium notes.....	(552,540)	(106,517)	(302,254)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(2,020,241)	(2,418,967)	(3,947,140)
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			(663,841)
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	(990,549)	(370,452)	602,072
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(990,549)	(370,452)	(61,769)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(2,744,192)	(3,436,659)	(4,991,944)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	3,987,822	8,979,766	8,979,766
19.2 End of period (Line 18 plus Line 19.1).....	1,243,631	5,543,107	3,987,822

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....			
---------------	--	--	--

**EXHIBIT 1**

**DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Life Insurance.....	4,326,872	4,492,091	5,838,141
2. Individual annuities.....	3,473,343	3,653,871	5,504,087
3. Accident and Health.....	2,692,072	2,714,719	3,548,698
4. Aggregate of all other lines of business.....	635,451	884,065	1,198,611
5. Subtotal (Lines 1 through 4).....	11,127,738	11,744,746	16,089,537
6. Fraternal.....			
7. Expenses.....			
8. Subtotal (Lines 5 through 7).....	11,127,738	11,744,746	16,089,537
9. Deposit-type contracts.....	635,451	884,065	1,198,611
10. Total.....	11,763,189	12,628,811	17,288,148

**DETAILS OF WRITE-INS**

0401. Supplenentry Contracts.....	635,451	884,065	1,198,611
0402. ....			
0403. ....			
0498. Summary of remaining write-ins for Line 4 from overflow page.....	0	0	0
0499. Total (Lines 0401 thru 0403 plus 0498) (Line 4 above).....	635,451	884,065	1,198,611

## NOTES TO FINANCIAL STATEMENTS

### Note 1 - Summary of Significant Accounting Policies

#### A. Accounting Practices

	State of Domicile	2015	2014
<b>NET INCOME</b>			
(1) United Transportation Union Insurance Association state basis (Page 4, Line 31, Columns 1 & 2)	OH	1,736,412	5,052,374
(2) State Prescribed Practices that increase/decrease NAIC SAP			
(3) State Permitted Practices that increase/decrease NAIC SAP			
(4) NAIC SAP (1 – 2 – 3 = 4)	OH	1,736,412	5,052,374
<b>SURPLUS</b>			
(5) United Transportation Union Insurance Association state basis (Page 3, line 30, Columns 1 & 2)	OH	43,611,958	42,443,460
(6) State Prescribed Practices that increase/decrease NAIC SAP			
(7) State Permitted Practices that increase/decrease NAIC SAP			
(8) NAIC SAP (5 – 6 – 7 = 8)	OH	43,611,958	42,443,460

#### C. Accounting Policies

### Note 2 - Accounting Changes and Corrections of Errors

No significant change.

### Note 3 - Business Combinations and Goodwill

No significant change.

### Note 4 - Discontinued Operations

No significant change.

### Note 5 - Investments

#### D. Loan-Backed Securities

(1)

	1	2a	2b	c
(2)	Amortized Cost Basis Before Other-than-Temporary Impairment	Other-than-Temporary Impairment Recognized in Loss		Fair Value 1 – (2a + 2b)
		Interest	Non-Interest	
OTTI recognized 1 <sup>st</sup> Quarter				
a.	Intent to sell			
b.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
c.	Total 1 <sup>st</sup> Quarter			
OTTI recognized 2 <sup>nd</sup> Quarter				
d.	Intent to sell			
e.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
f.	Total 2 <sup>nd</sup> Quarter			
OTTI recognized 3 <sup>rd</sup> Quarter				
g.	Intent to sell			
h.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
i.	Total 3 <sup>rd</sup> Quarter			
OTTI recognized 4 <sup>th</sup> Quarter				
j.	Intent to sell			
k.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis			
l.	Total 4 <sup>th</sup> Quarter			
m.	Annual aggregate total	XXX		XXX

(3) Recognized OTTI securities

## NOTES TO FINANCIAL STATEMENTS

CUSIP	Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized Other-Than-Temporary Impairment	Amortized Cost After Other-Than-Temporary Impairment	Fair Value at Time of OTTI	Date of Financial Statement Where Reported
Total						

- (4) All impaired securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

a.	The aggregate amount of unrealized losses:	1.	Less than 12 Months	
		2.	12 Months or Longer	
b.	The aggregate related fair value of securities with unrealized losses:	1.	Less than 12 Months	
		2.	12 Months or Longer	

(5)

E. Repurchase Agreements and/or Securities Lending Transactions

(3) Collateral Received

- b. The fair value of that collateral and of the portion of that collateral that it has sold or repledged

I. Working Capital Finance Investments

(2) Aggregate Maturity Distribution on the Underlying Working Capital Finance Programs

	Book/Adjusted Carrying Value
(a) Up to 180 Days	
(b) 181 to 365 Days	
(c) Total	

(3)

J. Offsetting and Netting of Assets and Liabilities

(1) Assets	Gross Amount Recognized	Amount Offset*	Net Amount Presented on Financial Statements
(2) Liabilities			

\* For derivative assets and derivative liabilities, the amount offset shall agree to Schedule DB, Part D-Section 1.

**Note 6 - Joint Ventures, Partnerships and Limited Liability Companies**

No significant change.

**Note 7 - Investment Income**

No significant change.

**Note 8 - Derivative Instruments**

No significant change.

**Note 9 - Income Taxes**

No significant change.

**Note 10 - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

No significant change.

**Note 11 - Debt**

B. FHLB (Federal Home Loan Bank) Agreements

(1)

(2) a. FHLB Capital Stock – Aggregate Totals

1. Current Year

	1 Total 2 + 3	2 General Account	3 Separate Accounts
(a) Membership Stock – Class A			
(b) Membership Stock – Class B			
(c) Activity Stock			

**NOTES TO FINANCIAL STATEMENTS**

		1 Total 2 + 3	2 General Account	3 Separate Accounts
(d)	Excess Stock			
(e)	Aggregate Total			
(f)	Actual or estimated borrowing capacity as determined by the insurer		XXX	XXX

2. Prior Year End

		1 Total 2 + 3	2 General Account	3 Separate Accounts
(a)	Membership Stock – Class A			
(b)	Membership Stock – Class B			
(c)	Activity Stock			
(d)	Excess Stock			
(e)	Aggregate Total			
(f)	Actual or estimated borrowing capacity as determined by the insurer		XXX	XXX

b. Membership Stock (Class A and B) Eligible for Redemption

		Current Year Total	Not Eligible for Redemption	Less Than 6 Months	6 Months to Less than 1 Year	1 to Less than 3 Years	3 to 5 Years
1.	Class A						
2.	Class B						

(3) Collateral Pledged to FHLB

a. Amount Pledged as of Reporting Date

1. Current Year Total General and Separate Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged			

2. Current Year General Account

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged			

3. Current Year Separate Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged			

4. Prior Year End Total General and Separate Accounts

	Fair Value	Carrying Value	Aggregate Total Borrowing
Total Collateral Pledged			

b. Maximum Amount Pledged During Reporting Period

1. Current Year Total General and Separate Accounts

	Fair Value	Carrying Value	Amount of Borrowed at Time of Maximum Collateral
Maximum Collateral Pledged			

2. Current Year General Account

	Fair Value	Carrying Value	Amount of Borrowed at Time of Maximum Collateral
Maximum Collateral Pledged			

3. Current Year Separate Accounts

	Fair Value	Carrying Value	Amount of Borrowed at Time of Maximum Collateral
Maximum Collateral Pledged			

4. Prior Year End Total General Separate Accounts

	Fair Value	Carrying Value	Amount of Borrowed at Time of Maximum Collateral
Maximum Collateral Pledged			

(4) Borrowing from FHLB

a. Amount as of the Reporting Date

1. Current Year

		1 Total 2 + 3	2 General Account	3 Separate Account	4 Funding Agreements Established
(a)	Debt				XXX
(b)	Funding Agreements				
(c)	Other				XXX
(d)	Aggregate Total				

2. Prior Year End

## NOTES TO FINANCIAL STATEMENTS

		1 Total 2 + 3	2 General Account	3 Separate Account	4 Funding Agreements Established
(a)	Debt				XXX
(b)	Funding Agreements				
(c)	Other				XXX
(d)	Aggregate Total				

## b. Maximum Amount During Reporting Period (Current Year)

		1 Total 2 + 3	2 General Account	3 Separate Account
1.	Debt			
2.	Funding Agreements			
3.	Other			
4.	Aggregate Total			

## c. FHLB Prepayment Obligations

		Does the company have prepayment obligations under the following arrangements?
1.	Debt	
2.	Funding Agreements	
3.	Other	

### **Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

## A. Defined Benefit Plan

(4)	Components of net periodic benefit cost	Pension Benefits		Postretirement Benefits		Postemployment	
		2015	2014	2015	2014	2015	2014
a.	Service cost						
b.	Interest cost						
c.	Expected return on plan assets						
d.	Transition asset or obligation						
e.	Gains and losses						
f.	Prior service cost or credit						
g.	Gain or loss recognized due to a settlements curtailment						
h.	Total net periodic benefit cost						

### **Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**

No significant change.

### **Note 14 - Contingencies**

No significant change.

### **Note 15 - Leases**

No significant change.

### **Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

No significant change.

### **Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

## B. Transfer and Servicing of Financial Assets

(2)

- a.
- b.
- c.

(4)

- a.
- b.

## C. Wash Sales

## NOTES TO FINANCIAL STATEMENTS

(1)

(2) The details by NAIC designation 3 or below, or unrated of securities sold during current quarter, 2015 and reacquired within 30 days of the sale date are:

Description	NAIC Designation	Number of Transactions	Book Value of Securities Sold	Cost of Securities Repurchased	Gain/(Loss)

**Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

No significant change.

**Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No significant change.

**Note 20 - Fair Value**

A.

(1) Fair Value Measurements at Reporting Date

Assets at Fair Value	Level 1	Level 2	Level 3	Total
Total				

Liabilities at Fair Value	Level 1	Level 2	Level 3	Total
Total				

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

a. Assets	Beginning Balance at Quarter	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at Quarter
Total										

b. Liabilities	Beginning Balance at Quarter	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus	Purchases	Issuances	Sales	Settlements	Ending Balance at Quarter
Total										

(3)

(4)

(5)

B.

C.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)

D. Not Practicable to Estimate Fair Value

Type of Class or Financial Instrument	Carrying Value	Effective Interest Rate	Maturity Date	Explanation
		0.000		

**Note 21 - Other Items**

No significant change.

**Note 22 - Events Subsequent**

No significant change.

**Note 23 - Reinsurance**

No significant change.

**Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination**

**NOTES TO FINANCIAL STATEMENTS**

E. Risk Sharing Provisions of the Affordable Care Act

(1) Did the reporting entity write accident and health insurance premium which is subject to the Affordable Care Act risk sharing provisions

(2) Impact of Risk Sharing Provisions of the Affordable Care Act on admitted assets, liabilities and revenue for the current year:

a. Permanent ACA Risk Adjustment Program		AMOUNT
Assets		
1.	Premium adjustments receivable due to ACA Risk Adjustment	
Liabilities		
2.	Risk adjustment user fees payable for ACA Risk Adjustment	
3.	Premium adjustments payable due to ACA Risk Adjustment	
Operations (Revenue & Expenses)		
4.	Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	
5.	Reported in expenses as ACA Risk Adjustment user fees (incurred/paid)	
b. Transitional ACA Reinsurance Program		
Assets		
1.	Amounts recoverable for claims paid due to ACA Reinsurance	
2.	Amounts recoverable for claims unpaid due to ACA Reinsurance (contra liability)	
3.	Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	
Liabilities		
4.	Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premium	
5.	Ceded reinsurance premiums payable due to ACA Reinsurance	
6.	Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	
Operations (Revenue & Expenses)		
7.	Ceded reinsurance premiums due to ACA Reinsurance	
8.	Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	
9.	ACA Reinsurance contributions – not reported as ceded premium	
c. Temporary ACA Risk Corridors Program		
Assets		
1.	Accrued retrospective premium due to ACA Risk Corridors	
Liabilities		
2.	Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	
Operations (Revenue & Expenses)		
3.	Effect of ACA Risk Corridors on net premium income (paid/received)	
4.	Effect of ACA Risk Corridors on change in reserves for rate credits	

(3) Roll forward of prior year ACA Risk Sharing Provisions for the following asset (gross of any nonadmission) and liability balances along with the reasons for adjustments to prior year balance:

		Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date		
		1	2	3	4	Prior Year Accrued Less Payments (Col. 1-3)	Prior Year Accrued Less Payments (Col. 2-4)	To Prior Year Balances	To Prior Year Balances	Cumulative Balance from Prior Years (Col. 1-3+7)	Cumulative Balance from Prior Years (Col. 2-4+8)	
		Receivable	(Payable)	Receivable	(Payable)	5	6	7	8	9	10	11
a.	Permanent ACA Risk Adjustment Program											
	1. Premium adjustments receivable									A		
	2. Premium adjustments (payable)									B		
	3. Subtotal ACA Permanent Risk Adjustment Program											
b.	Transitional ACA Reinsurance Program											
	1. Amounts recoverable for claims paid									C		
	2. Amounts recoverable for claims unpaid (contra liability)									D		
	3. Amounts receivable relating to uninsured plans									E		
	4. Liabilities for contributions payable due to ACA Reinsurance – not reported as ceded premiums									F		
	5. Ceded reinsurance premiums payable									G		
	6. Liability for amounts held under uninsured plans									H		
	7. Subtotal ACA Transitional Reinsurance Program											
c.	Temporary ACA Risk Corridors Program											
	1. Accrued retrospective premium									I		
	2. Reserve for rate credits or policy experience rating refunds									J		
	3. Subtotal ACA Risk Corridors Program											
d.	Total for ACA Risk Sharing Provisions											

Explanations of Adjustments

- A.
- B.
- C.
- D.

---

**NOTES TO FINANCIAL STATEMENTS**

---

E.  
F.  
G.  
H.  
I.  
J.

**Note 25 - Change in Incurred Losses and Loss Adjustment Expenses**

**NOTE: This disclosure is required in every filing for the Quarterly Statement.**

**Note 26 - Intercompany Pooling Arrangements**

No significant change.

**Note 27 - Structured Settlements**

No significant change.

**Note 28 - Health Care Receivables**

No significant change.

**Note 29 - Participating Policies**

No significant change.

**Note 30 - Premium Deficiency Reserves**

No significant change.

**Note 31 - Reserves for Life Contracts and Annuity Contracts**

No significant change.

**Note 32 - Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics**

No significant change.

**Note 33 - Premiums and Annuity Considerations Deferred and Uncollected**

No significant change.

**Note 34 - Separate Accounts**

No significant change.

**Note 35 - Loss/Claim Adjustment Expenses**

No significant change.

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]
- 2.2 If yes, date of change: \_\_\_\_\_

- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [ ] No [X]
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [X] No [ ]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.  
UTUIA had it's convention in 2015 where a provision for appointment of new officers was approved. New officers have not yet been appointed at the time of this filing.

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
	0	

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [ ] No [ ] N/A [X]

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12-31-2012/12/31/2012
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12-31.2012/12/31/2012
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 9-23-2013/09/23/2013
- 6.4 By what department or departments?

- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with the Department? Yes [ ] No [ ] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.

- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

**INVESTMENT**

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]
- 11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
13. Amount of real estate and mortgages held in short-term investments: \$ 0

- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]

14.2 If yes, please complete the following:

- 14.21 Bonds
- 14.22 Preferred Stock
- 14.23 Common Stock
- 14.24 Short-Term Investments
- 14.25 Mortgage Loans on Real Estate
- 14.26 All Other
- 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)
- 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
\$	0	\$ 0
	0	0
	0	0
	0	0
	0	0
	0	0
\$	0	\$ 0
\$	0	\$ 0

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]  
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0
- 16.3 Total payable for securities lending reported on the liability page: \$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank	1350 Euclid Ave. Cleveland, Ohio 441151350 Euclid Ave. Cleveland, OH 44115

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Securities Valuation Office* been followed? Yes [X] No [ ]
- 18.2 If no, list exceptions:

**United Transportation Union Insurance Association  
GENERAL INTERROGATORIES (continued)**

**PART 2 - FRATERNAL**

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:

		Reponses
1.1 Long-term mortgages in good standing:		
1.11 Farm mortgages.....		.....
1.12 Residential mortgages.....		.....
1.13 Commercial mortgages.....		.....
1.14 Total mortgages in good standing.....	\$.....	.....0
1.2 Long-term mortgages in good standing with restructured terms:		
1.21 Total mortgages in good standing with restructured terms.....		.....
1.3 Long-term mortgage loans upon which interest is overdue more than three months:		
1.31 Farm mortgages.....		.....
1.32 Residential mortgages.....		.....
1.33 Commercial mortgages.....		.....
1.34 Total mortgages with interest overdue more than three months.....	\$.....	.....0
1.4 Long-term mortgage loans in process of foreclosure:		
1.41 Farm mortgages.....		.....
1.42 Residential mortgages.....		.....
1.43 Commercial mortgages.....		.....
1.44 Total mortgages in process of foreclosure.....	\$.....	.....0
1.5 Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2).....	\$.....	.....0
1.6 Long-term mortgages foreclosed, properties transferred to real estate in current quarter:		
1.61 Farm mortgages.....		.....
1.62 Residential mortgages.....		.....
1.63 Commercial mortgages.....		.....
1.64 Total mortgages foreclosed and transferred to real estate.....	\$.....	.....0

2.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [ ] No [X]

2.2 If no, explain.....  
 \_\_\_\_\_  
 \_\_\_\_\_

3. Operating Percentages:		
3.1 A&H loss percent.....	.....	38.0
3.2 A&H cost containment percent.....	.....	.....
3.3 A&H expense percent excluding cost containment expenses.....	.....	52.8

4.1 Do you act as a custodian for health savings accounts?.....	Yes [ ] No [X]
4.2 If yes, please provide the amount of custodial funds held as of the reporting date.....	\$.....
4.3 Do you act as an administrator for health savings accounts?.....	Yes [ ] No [X]
4.4 If yes, please provide the balance of the funds administered as of the reporting date.....	\$.....

5.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?..... Yes [ ] No [X]

5.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amounts

**SCHEDULE S - CEDED REINSURANCE**

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
------------------------------	-------------------	------------------------	------------------------	----------------------------------	--------------------------------------	---------------------------	---	---

**NONE**

# United Transportation Union Insurance Association SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

State, Etc.	1	Direct Business Only					
		Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 through 5	7 Deposit-Type Contracts
		2 Life Insurance Premiums	3 Annuity Considerations				
1. Alabama.....AL	N	70,760	4,877	24,969		100,606	
2. Alaska.....AK	N		1,199	47		1,246	
3. Arizona.....AZ	N	58,237	10,680	54,329		123,246	
4. Arkansas.....AR	N	115,784	3,300	35,671		154,755	
5. California.....CA	L	552,444	326,193	238,375		1,117,012	134,078
6. Colorado.....CO	L	81,161	101,283	56,312		238,756	
7. Connecticut.....CT	N	2,775	16,231	3,753		22,759	
8. Delaware.....DE	N	6,713		2,520		9,233	
9. District of Columbia.....DC	L	7,459		5,962		13,421	
10. Florida.....FL	N	72,414	390,990	26,739		490,143	
11. Georgia.....GA	N	181,368	6,462	108,717		296,547	
12. Hawaii.....HI	N					0	
13. Idaho.....ID	N	28,512	1,451	44,714		74,677	
14. Illinois.....IL	N	421,010	777,560	312,640		1,511,210	
15. Indiana.....IN	N	175,104	13,419	115,402		303,925	
16. Iowa.....IA	N	76,796	8,683	34,503		119,982	7,715
17. Kansas.....KS	N	146,690	406,814	73,580		627,084	44,846
18. Kentucky.....KY	N	139,215	5,869	35,309		180,393	11,975
19. Louisiana.....LA	N	91,645	6,977	83,482		182,104	
20. Maine.....ME	N	2,504		492		2,996	
21. Maryland.....MD	N	56,101	8,975	11,214		76,290	
22. Massachusetts.....MA	N	9,178		9,516		18,694	
23. Michigan.....MI	N	52,300	106,332	23,397		182,029	
24. Minnesota.....MN	N	59,840	117,865	15,830		193,535	107,069
25. Mississippi.....MS	N	22,541	3,155	16,434		42,130	
26. Missouri.....MO	N	186,029	43,053	104,624		333,706	
27. Montana.....MT	N	23,039	568	21,453		45,060	
28. Nebraska.....NE	N	141,826	33,380	117,234		292,440	
29. Nevada.....NV	N	10,279	12,072	4,505		26,856	
30. New Hampshire.....NH	N			150		150	
31. New Jersey.....NJ	N	112,546	26,567	255,431		394,544	41,078
32. New Mexico.....NM	L	17,248	1,641	14,091		32,980	
33. New York.....NY	N	138,881	59,982	90,215		289,078	15,829
34. North Carolina.....NC	N	78,989	103,730	32,128		214,847	21,564
35. North Dakota.....ND	N	69,332	9,360	32,331		111,023	
36. Ohio.....OH	L	212,258	429,895	97,048		739,201	160,425
37. Oklahoma.....OK	N	42,382	252	10,388		53,022	
38. Oregon.....OR	N	13,871		12,491		26,362	
39. Pennsylvania.....PA	L	124,211	267,073	141,931		533,215	60,672
40. Rhode Island.....RI	N					0	
41. South Carolina.....SC	N	57,377	4,102	38,593		100,072	
42. South Dakota.....SD	N	5,982		5,223		11,205	
43. Tennessee.....TN	N	91,561	22,549	39,861		153,971	
44. Texas.....TX	N	205,759	27,313	141,749		374,821	5,302
45. Utah.....UT	N	28,267		10,956		39,223	
46. Vermont.....VT	N	354		145		499	
47. Virginia.....VA	N	93,123	52,181	39,589		184,893	
48. Washington.....WA	N	22,793	5,553	19,306		47,652	
49. West Virginia.....WV	L	61,657	37,513	37,888		137,058	8,484
50. Wisconsin.....WI	N	61,126	9,281	27,829		98,236	16,414
51. Wyoming.....WY	N	97,431	8,963	63,006		169,400	
52. American Samoa.....AS	N					0	
53. Guam.....GU	N					0	
54. Puerto Rico.....PR	N					0	
55. US Virgin Islands.....VI	N					0	
56. Northern Mariana Islands.....MP	N					0	
57. Canada.....CAN	N					0	
58. Aggregate Other Alien.....OT	XXX	0	0	0	0	0	0
59. Subtotals.....(a).....7		4,326,872	3,473,343	2,692,072	0	10,492,287	635,451
90. Reporting entity contributions for employee benefit plans.....XXX						0	
91. Dividends or refunds applied to purchase paid-up additions and annuities.....XXX						0	
92. Dividends or refunds applied to shorten endowment or premium paying period.....XXX						0	
93. Premium or annuity considerations waived under disability or other contract provisions.....XXX						0	
94. Aggregate other amounts not allocable by state.....XXX		0	0	0	0	0	0
95. Totals (Direct Business).....XXX		4,326,872	3,473,343	2,692,072	0	10,492,287	635,451
96. Plus reinsurance assumed.....XXX						0	
97. Totals (All Business).....XXX		4,326,872	3,473,343	2,692,072	0	10,492,287	635,451
98. Less reinsurance ceded.....XXX		219,189		72,508		291,697	
99. Totals (All Business) less reinsurance ceded.....XXX		4,107,683	3,473,343	2,619,564	0	10,200,590	635,451

**DETAILS OF WRITE-INS**

58001.....XXX						0	
58002.....XXX						0	
58003.....XXX						0	
58998. Summary of remaining write-ins for Line 58 from overflow page.....XXX		0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003 plus 58998) (Line 58 above).....XXX		0	0	0	0	0	0
9401.....XXX						0	
9402.....XXX						0	
9403.....XXX						0	
9498. Summary of remaining write-ins for Line 94 from overflow page.....XXX		0	0	0	0	0	0
9499. Totals (Lines 9401 thru 9403 plus 9498) (Line 94 above).....XXX		0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer; (E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

**Sch. Y-Part 1**  
**NONE**

**Schedule Y-Part 1A**  
**NONE**

**SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES**

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<b>Response</b>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

**Explanations:**

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

**Bar Code:**



**NONE**

## United Transportation Union Insurance Association SCHEDULE A - VERIFICATION

### Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	1,603,392	2,232,115
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		479,213
5. Deduct amounts received on disposals.....		1,173,708
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other than temporary impairment recognized.....		
8. Deduct current year's depreciation.....	31,235	(65,772)
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	1,572,157	1,603,392
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	1,572,157	1,603,392

## SCHEDULE B - VERIFICATION

### Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other than temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

NONE

## SCHEDULE BA - VERIFICATION

### Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other than temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	0	0

NONE

## SCHEDULE D - VERIFICATION

### Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	213,553,565	207,468,952
2. Cost of bonds and stocks acquired.....	23,313,578	55,747,593
3. Accrual of discount.....	53,703	189,849
4. Unrealized valuation increase (decrease).....	(1,318,889)	(786,907)
5. Total gain (loss) on disposals.....	459,438	1,835,953
6. Deduct consideration for bonds and stocks disposed of.....	20,740,797	50,324,492
7. Deduct amortization of premium.....	369,095	577,384
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other than temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	214,951,503	213,553,565
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	214,951,503	213,553,565

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	150,959,982	713,362	1,495,631	(2,013,664)	151,864,133	150,959,982	148,164,050	154,731,281
2. NAIC 2 (a).....	46,300,855	4,328,983	2,059,188	1,901,850	45,459,943	46,300,855	50,472,500	41,420,321
3. NAIC 3 (a).....							0	
4. NAIC 4 (a).....							0	
5. NAIC 5 (a).....							0	
6. NAIC 6 (a).....							0	
7. Total Bonds.....	197,260,837	5,042,345	3,554,819	(111,813)	197,324,075	197,260,837	198,636,550	196,151,602
<b>PREFERRED STOCK</b>								
8. NAIC 1.....							0	
9. NAIC 2.....							0	
10. NAIC 3.....							0	
11. NAIC 4.....							0	
12. NAIC 5.....							0	
13. NAIC 6.....							0	
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	197,260,837	5,042,345	3,554,819	(111,813)	197,324,075	197,260,837	198,636,550	196,151,602

QS102

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**Sch. DA-Part 1  
NONE**

**Sch. DA-Verification  
NONE**

**Sch. DB-Pt A-Verification  
NONE**

**Sch. DB-Pt B-Verification  
NONE**

**Sch. DB-Pt C-Sn 1  
NONE**

**Sch. DB-Pt C-Sn 2  
NONE**

**Sch. DB-Verification  
NONE**

**SCHEDULE E- VERIFICATION**

Cash Equivalents

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	3,329,109	8,558,305
2. Cost of cash equivalents acquired.....	28,034,663	60,249,781
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	30,313,736	65,478,977
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other than temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	1,050,036	3,329,109
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	1,050,036	3,329,109

**Sch. A-Pt 2  
NONE**

**Sch. A-Pt 3  
NONE**

**Sch. B-Pt 2  
NONE**

**Sch. B-Pt 3  
NONE**

**Sch. BA-Pt 2  
NONE**

**Sch. BA-Pt 3  
NONE**

## SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
<b>Bonds - Industrial and Miscellaneous</b>									
04650N AB 0	AT&T INC.....		09/03/2015	Credit Suisse Securities USA.....		450,236	455,000	135	2FE.....
151020 AU 8	CELGENE CORP 5.000% 8/15/.....		08/12/2015	VARIOUS.....		947,857	951,000		2FE.....
126650 CJ 7	CVS HEALTH CORP 2.800% 7/20/.....		07/20/2015	Barclays Capital Inc.....		389,711	390,000		2FE.....
126650 CN 8	CVS HEALTH CORP 5.125% 7/20/.....		07/20/2015	VARIOUS.....		1,195,663	1,195,000		2FE.....
235851 AR 3	DANAHER CORP DEL.....		09/15/2015	Citigroup Global Mkts.....		553,801	555,000		1.....
423074 AZ 6	HEINZ H J CO.....		07/02/2015	Citigroup Global Mkts.....		498,865	500,000		2FE.....
423074 AV 5	HJ HEINZ CO 5.200% 7/15/.....		07/02/2015	Barclays Capital Inc.....		846,651	850,000		2FE.....
949746 JM 4	Wells Fargo & Co.....		09/03/2015	US Bancorp.....		159,561	140,000	543	1FE.....
3899999	Total Bonds - Industrial and Miscellaneous.....					5,042,345	5,036,000	.679	XXX
8399997	Total Bonds - Part 3.....					5,042,345	5,036,000	.679	XXX
8399999	Total Bonds.....					5,042,345	5,036,000	.679	XXX
<b>Common Stocks - Industrial and Miscellaneous</b>									
744336 50 4	PRUDENTIAL INVT PORTFOLIO 12.....		07/20/2015	VENDOR CODE 000 NOT IN TABLE.....	532.843	13,092	XXX		L.....
74439V 80 0	PRUDENTIAL INVT PORTFOLIOS INC.....		09/02/2015	Dividend Reinvestment.....	2.133	.21	XXX		L.....
922040 10 0	VANGUARD INSTL INDEX FD.....		09/22/2015	Dividend Reinvestment.....	251.240	44,919	XXX		L.....
9099999	Total Common Stocks - Industrial and Miscellaneous.....					58,032	XXX	.0	XXX
<b>Common Stocks - Mutual Funds</b>									
722005 62 6	Pimco All Asset Fund - Instl.....		09/18/2015	Dividend Reinvestment.....	724.746	7,719	XXX		L.....
922908 64 5	Vanguard Index TR.....		09/25/2015	Dividend Reinvestment.....	51.475	7,593	XXX		L.....
9299999	Total Common Stocks - Mutual Funds.....					15,311	XXX	.0	XXX
9799997	Total Common Stocks - Part 3.....					73,344	XXX	.0	XXX
9799999	Total Common Stocks.....					73,344	XXX	.0	XXX
9899999	Total Preferred and Common Stocks.....					73,344	XXX	.0	XXX
9999999	Total Bonds, Preferred and Common Stocks.....					5,115,688	XXX	.679	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

QE04

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For eig n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Design- ation or Market Indicator (a)
<b>Bonds - U.S. Government</b>																					
3128P7	X9 9 FHLMC PC GOLD CASH 20.....		09/15/2015	PRINCIPAL RECEIPT.....		83,585	83,585	86,242	86,192		(2,607)		(2,607)		83,585			0	1,201	01/01/2033	1.....
31292S	AQ 3 FHLMC PC GOLD CASH 30.....		09/15/2015	PRINCIPAL RECEIPT.....		36,781	36,781	38,373	38,362		(1,581)		(1,581)		36,781			0	645	10/01/2042	1.....
31292S	AU 4 FHLMC PC GOLD CASH 30.....		09/15/2015	PRINCIPAL RECEIPT.....		41,131	41,131	43,142	43,136		(2,005)		(2,005)		41,131			0	724	12/01/2042	1.....
312946	N9 3 FHLMC PC GOLD COMB 30.....		09/15/2015	PRINCIPAL RECEIPT.....		32,955	32,955	35,942	35,937		(2,982)		(2,982)		32,955			0	865	03/01/2041	1.....
3132HM	K2 6 FHLMC PC GOLD PC 30YR.....		09/15/2015	PRINCIPAL RECEIPT.....		44,940	44,940	47,005	47,002		(2,062)		(2,062)		44,940			0	791	09/01/2042	1.....
31417E	SS 1 FNMA PASS-THRU LNG 30 YEAR.....		09/25/2015	PRINCIPAL RECEIPT.....		13,587	13,587	13,464	13,464		123		123		13,587			0	197	01/01/2043	1.....
31417F	ML 9 FNMA PASS-THRU LNG 30 YEAR.....		09/25/2015	PRINCIPAL RECEIPT.....		8,353	8,353	7,795	7,797		557		557		8,353			0	98	01/01/2043	1.....
31417H	CR 3 FNMA PASS-THRU LNG LP SF30YR.....		09/25/2015	PRINCIPAL RECEIPT.....		67,482	67,482	70,656	70,625		(3,143)		(3,143)		67,482			0	1,676	07/01/2043	1.....
36202D	YY 1 GNMA II Pool 3427.....		09/20/2015	PRINCIPAL RECEIPT.....		14,299	14,299	14,013	14,029		270		270		14,299			0	380	08/20/2033	1.....
36290S	5M 9 GNMA II Pool 616552.....		09/20/2015	PRINCIPAL RECEIPT.....		17,814	17,814	19,083	18,982		(1,168)		(1,168)		17,814			0	632	08/20/2034	1.....
36225A	KR 0 GNMA PASS-THRU 780304.....		09/15/2015	PRINCIPAL RECEIPT.....		10,278	10,278	11,820	11,231		(953)		(953)		10,278			0	662	07/15/2021	1.....
36225B	4C 9 GNMA PASS-THRU 781719.....		09/15/2015	PRINCIPAL RECEIPT.....		9,955	9,955	10,468	10,460		(506)		(506)		9,955			0	363	02/15/2034	1.....
911759	GL 6 Housing Urban Development.....		08/01/2015	Bank Of Nova Scotia.....		36,000	36,000	34,380	35,359		97		97		35,456		544	544	968	08/01/2018	1.....
0599999. Total Bonds - U.S. Government.....						417,160	417,160	432,383	432,576	0	(15,960)	0	(15,960)	0	416,616	0	544	544	9,202	XXX	XXX

QE05

<b>Bonds - U.S. Special Revenue and Special Assessment</b>																					
3128M4	JF 1 FG G02662.....		09/15/2015	PRINCIPAL RECEIPT.....		5,835	5,835	6,214	6,152		(317)		(317)		5,835			0	259	07/01/2034	1.....
31292H	NC 4 FGC 01287.....		09/15/2015	PRINCIPAL RECEIPT.....		3,480	3,480	3,532	3,524		(44)		(44)		3,480			0	139	01/01/2032	1.....
3128M7	VT 0 FGG 05726.....		09/15/2015	PRINCIPAL RECEIPT.....		19,408	19,408	20,596	20,558		(1,150)		(1,150)		19,408			0	548	08/01/2039	1.....
312935	H8 5 FHLMC PC A8-8355.....		09/15/2015	PRINCIPAL RECEIPT.....		23,044	23,044	23,282	23,279		(235)		(235)		23,044			0	596	09/01/2039	1.....
3128M7	LX 2 FHLMC PC 5442.....		09/15/2015	PRINCIPAL RECEIPT.....		13,613	13,613	15,332	14,927		(1,314)		(1,314)		13,613			0	703	07/01/2032	1.....
3128M8	3G 7 FHLMC PC G06799 GOLD COMB 30.....		09/15/2015	PRINCIPAL RECEIPT.....		45,295	45,295	46,371	46,335		(1,039)		(1,039)		45,295			0	930	11/01/2041	1.....
3137B4	HD 1 FHLMC REMIC SERIES 4247.....		09/15/2015	PRINCIPAL RECEIPT.....		61,030	61,030	67,056	66,105		(5,076)		(5,076)		61,030			0	1,695	12/15/2042	1FE.....
3138EB	PJ 8 FN AK6724.....		09/25/2015	PRINCIPAL RECEIPT.....		13,084	13,084	13,369	13,356		(272)		(272)		13,084			0	227	03/01/2042	1.....
31371K	Y7 0 FN 254634.....		09/25/2015	PRINCIPAL RECEIPT.....		4,477	4,477	4,498	4,485		(8)		(8)		4,477			0	148	02/01/2023	1.....
31402C	U6 7 FN 725205.....		09/25/2015	PRINCIPAL RECEIPT.....		10,213	10,213	10,219	10,214		(1)		(1)		10,213			0	303	03/01/2034	1.....
31404V	TS 7 FN 780061.....		09/25/2015	PRINCIPAL RECEIPT.....		34,207	34,207	34,191	34,178		29		29		34,207			0	994	05/01/2034	1.....
31407F	GC 8 FN 829195.....		09/25/2015	PRINCIPAL RECEIPT.....		49	49	47	47		2		2		49			0	2	07/01/2035	1.....
31408F	GA 1 FN 849893.....		09/25/2015	PRINCIPAL RECEIPT.....		1,968	1,968	1,835	1,878		91		91		1,968			0	46	11/01/2023	1.....
31408G	Y2 7 FN 851329.....		09/25/2015	PRINCIPAL RECEIPT.....		8,994	8,994	8,883	8,892		103		103		8,994			0	281	02/01/2036	1.....
31419A	KZ 9 FN Pool AE0311.....		09/25/2015	PRINCIPAL RECEIPT.....		21,220	21,220	21,239	21,236		(17)		(17)		21,220			0	443	08/01/2040	1.....
31371K	7E 5 FNMA PASS-THRU 254793.....		09/25/2015	PRINCIPAL RECEIPT.....		11,602	11,602	11,631	11,629		(27)		(27)		11,602			0	345	07/01/2033	1.....
31381D	2J 3 FNMA PASS-THRU 458077.....		09/25/2015	PRINCIPAL RECEIPT.....		3,615	3,615	3,922	3,873		(258)		(258)		3,615			0	149	08/15/2027	1.....
31385J	DJ 4 FNMA PASS-THRU 545605.....		09/25/2015	PRINCIPAL RECEIPT.....		5,347	5,347	5,868	5,843		(496)		(496)		5,347			0	223	05/01/2032	1.....
31403D	T8 2 FNMA PASS-THRU 745875.....		09/25/2015	PRINCIPAL RECEIPT.....		11,381	11,381	12,215	12,206		(825)		(825)		11,381			0	440	09/01/2036	1.....
31403U	PF 2 FNMA PASS-THRU 758322.....		09/25/2015	PRINCIPAL RECEIPT.....		7,622	7,622	7,961	7,957		(336)		(336)		7,622			0	250	12/01/2033	1.....
31416C	FS 0 FNMA PASS-THRU 995777.....		09/25/2015	PRINCIPAL RECEIPT.....		82,210	82,210	89,403	89,062		(6,852)		(6,852)		82,210			0	3,143	04/01/2033	1.....

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
31396Y S2 9	FNR 2008-26 ZK.....		09/25/2015	PRINCIPAL RECEIPT.....		93,641	93,641	79,404	92,568		1,072		1,072		93,641			0	511	04/25/2038	1.....
60415N E7 3	MNSHSG 6.51.....		09/01/2015	Call.....		10,000	10,000	10,300	10,041		(24)		(24)		10,017		(17)	(17)	660	01/01/2032	1FE.....
3199999. Total Bonds - U.S. Special Revenue and Special Assessment.....						491,333	491,333	497,370	508,344	0	(16,994)	0	(16,994)	0	491,350	0	(17)	(17)	13,036	XXX	XXX
<b>Bonds - Industrial and Miscellaneous</b>																					
039483 AU 6	Archer Daniels.....		07/01/2015	tender offer.....		590,710	500,000	465,703	470,566		364		364		470,930		119,780	119,780	21,351	09/15/2035	1FE.....
075887 AX 7	Becton Dickinson.....		08/10/2015	Goldman Sachs.....		514,140	500,000	497,995	498,095		14		14		498,109		16,031	16,031	18,611	11/12/2040	2FE.....
151020 AN 4	CELGENE CORP.....		07/17/2015	Southwest Securities.....		104,860	105,000	104,241	104,258		88		88		104,346		515	515	1,588	05/15/2019	2FE.....
294751 AV 4	EQABS 2002 - 3 M1.....		09/25/2015	PRINCIPAL RECEIPT.....		97	97	98	97		0		0		97			0	4	11/25/2032	1FE.....
26884A BC 6	ERP OPER LTD PARTNERSHIP.....		07/17/2015	Goldman Sachs.....		100,181	100,000	100,081	100,081		(10)		(10)		100,071		110	110	2,560	07/01/2019	1FE.....
609207 AB 1	MONDELEZ INTL INC.....		08/10/2015	MLPFS Inc.....		426,769	415,000	414,552	414,583		30		30		414,613		12,156	12,156	17,015	02/01/2024	2FE.....
61754K AC 9	MORGAN STANLEY CAP 2007-IQ14.....		09/15/2015	PRINCIPAL RECEIPT.....		6,764	6,764	7,017	6,916		(152)		(152)		6,764			0	198	04/15/2049	1FE.....
61755B AC 8	MSC 2007 - HQ12 A2.....		08/18/2015	PRINCIPAL RECEIPT.....		180,920	180,920	186,291	181,207		(287)		(287)		180,920			0	8,160	04/12/2049	2FE.....
78355H JW 0	RYDER SYS MTN BE.....		07/17/2015	Millennium Advisors LLC.....		94,696	95,000	95,503	95,490		(58)		(58)		95,432		(736)	(736)	1,521	06/01/2019	2FE.....
900212 AA 9	TURLOCK CORP.....		07/17/2015	Wells Fargo.....		641,412	675,000	672,894	673,006		39		39		673,044		(31,632)	(31,632)	19,125	11/02/2032	2FE.....
90783W AA 1	Union Pacific RR Co.....		07/03/2015	Sink PMT @ 100.0000000.....		9,624	9,624	9,624	9,624		0		0		9,624			0	290	07/02/2030	1FE.....
929227 ZC 3	WAMU 2002 - AR18 A.....		09/25/2015	PRINCIPAL RECEIPT.....		179	179	178	178		1		1		179			0	2	01/25/2033	1FE.....
98389B AM 2	XCEL ENERGY INC.....		07/17/2015	Goldman Sachs.....		92,375	85,000	93,622	93,535		(811)		(811)		92,724		(349)	(349)	2,686	05/15/2020	2.....
3899999. Total Bonds - Industrial and Miscellaneous.....						2,762,728	2,672,584	2,647,799	2,647,634	0	(782)	0	(782)	0	2,646,852	0	115,876	115,876	93,111	XXX	XXX
8399997. Total Bonds - Part 4.....						3,671,222	3,581,077	3,577,551	3,588,554	0	(33,735)	0	(33,735)	0	3,554,819	0	116,403	116,403	115,348	XXX	XXX
8399999. Total Bonds.....						3,671,222	3,581,077	3,577,551	3,588,554	0	(33,735)	0	(33,735)	0	3,554,819	0	116,403	116,403	115,348	XXX	XXX
9999999. Total Bonds, Preferred and Common Stocks.....						3,671,222	XXX	3,577,551	3,588,554	0	(33,735)	0	(33,735)	0	3,554,819	0	116,403	116,403	115,348	XXX	XXX

QE05.1

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

**Sch. DB-Pt A-Sn 1  
NONE**

**Sch. DB-Pt B-Sn 1  
NONE**

**Sch. DB-Pt D-Sn 1  
NONE**

**Sch. DB-Pt D-Sn 2  
NONE**

**Sch. DL-Pt. 1  
NONE**

**Sch. DL-Pt. 2  
NONE**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
Fifth Third Bank..... Cleveland, Ohio.....					.....306,575	.....922,671	.....193,591	XXX
0199999. Total Open Depositories.....	XXX	XXX	.....0	.....0	.....306,575	.....922,671	.....193,591	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	.....0	.....0	.....306,575	.....922,671	.....193,591	XXX
0599999. Total Cash.....	XXX	XXX	.....0	.....0	.....306,575	.....922,671	.....193,591	XXX

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
<b>U.S. Government Bonds - Issuer Obligations</b>							
First Amer Prime Oblig Fund CI Y.....		Various.....			1,050,038		224
0199999. U.S. Government Bonds - Issuer Obligations.....					1,050,038	0	224
0599999. Total - U.S. Government Bonds.....					1,050,038	0	224
<b>Total Bonds</b>							
7799999. Subtotals - Issuer Obligations.....					1,050,038	0	224
8399999. Subtotals - Bonds.....					1,050,038	0	224
8699999. Total - Cash Equivalents.....					1,050,038	0	224