



# QUARTERLY STATEMENT

As of September 30, 2015  
of the Condition and Affairs of the

## PROGRESSIVE NORTHWESTERN INSURANCE COMPANY

NAIC Group Code.....155, 155 (Current Period) (Prior Period)	NAIC Company Code..... 42919	Employer's ID Number..... 91-1187829
Organized under the Laws of OH	State of Domicile or Port of Entry OH	Country of Domicile US
Incorporated/Organized..... September 24, 1982	Commenced Business..... September 26, 1983	
Statutory Home Office	6300 WILSON MILLS ROAD, W33..... CLEVELAND ..... OH ..... US ..... 44143-2182 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	
Main Administrative Office	6300 WILSON MILLS ROAD, W33..... CLEVELAND ..... OH ..... US ..... 44143-2182 440-461-5000 <i>(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)</i>	
Mail Address	P.O. BOX 89490..... CLEVELAND ..... OH ..... US ..... 44101-6490 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>	
Primary Location of Books and Records	6300 WILSON MILLS ROAD, W33..... CLEVELAND ..... OH ..... US ..... 44143-2182 440-395-4460 <i>(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)</i>	
Internet Web Site Address	PROGRESSIVE.COM	
Statutory Statement Contact	MARY BETH ANDREANO <i>(Name)</i> FINANCIAL_REPORTING@PROGRESSIVE.COM <i>(E-Mail Address)</i>	440-395-4460 <i>(Area Code) (Telephone Number) (Extension)</i> 440-603-5500 <i>(Fax Number)</i>

**POLICYHOLDER SERVICES AND CLAIMS REPORTING -- 1-800-PROGRESSIVE (1-800-776-4737)**

### OFFICERS

<b>Name</b>	<b>Title</b>	<b>Name</b>	<b>Title</b>
MARK DONALD NIEHAUS	PRESIDENT	PETER JAMES ALBERT	SECRETARY
THOMAS ALFRED KING	TREASURER		

### OTHER

PETER JAMES ALBERT	(VICE PRESIDENT)	MARY BETH ANDREANO	(VICE PRESIDENT)
KAREN BARONE BAILO	(VICE PRESIDENT)	KATHLEEN MARY CERNY	(ASST. SECRETARY)
JAMES LEE KUSMER	(ASST. TREASURER)		

### DIRECTORS OR TRUSTEES

THOMAS HUDSON HOLLYER	KATHRYN MARGARET LEMIEUX	MARK DONALD NIEHAUS	DAVID LLOYD PRATT
DAVID JAMES SKOVE			

State of..... OHIO  
County of..... CUYAHOGA

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) MARK DONALD NIEHAUS _____ 1. (Printed Name) PRESIDENT _____ (Title)	_____ (Signature) PETER JAMES ALBERT _____ 2. (Printed Name) SECRETARY _____ (Title)	_____ (Signature) THOMAS ALFRED KING _____ 3. (Printed Name) TREASURER _____ (Title)
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Subscribed and sworn to before me  
This 10TH day of NOVEMBER, 2015

a. Is this an original filing? Yes [ X ] No [ ]  
b. If no: 1. State the amendment number \_\_\_\_\_  
2. Date filed \_\_\_\_\_  
3. Number of pages attached \_\_\_\_\_

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	1,046,684,510		1,046,684,510	1,008,717,805
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....	169,614,357		169,614,357	186,012,968
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....0), cash equivalents (\$.....89,494,891) and short-term investments (\$.....6,488,047).....	95,982,938		95,982,938	25,714,950
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives.....			0	
8. Other invested assets.....			0	
9. Receivables for securities.....	4,822		4,822	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	1,312,286,627	0	1,312,286,627	1,220,445,723
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	4,983,661		4,983,661	4,998,936
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	23,155,219	2,142,219	21,013,000	3,361,738
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	68,211,863		68,211,863	62,460,642
15.3 Accrued retrospective premiums.....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	(1,086,590)		(1,086,590)	3,018,535
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0	
18.2 Net deferred tax asset.....	10,073,502		10,073,502	
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	25,871,849		25,871,849	18,906,682
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	565,729	282,789	282,940	340,725
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	1,444,061,860	2,425,008	1,441,636,852	1,313,532,981
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	1,444,061,860	2,425,008	1,441,636,852	1,313,532,981

**DETAILS OF WRITE-INS**

1101.....			0	
1102.....			0	
1103.....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. STATE TAX CREDITS.....	282,940		282,940	340,725
2502. PREPAID EXPENSES.....	275,059	275,059	0	
2503. MISCELLANEOUS OTHER ASSETS.....	7,730	7,730	0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	565,729	282,789	282,940	340,725

# PROGRESSIVE NORTHWESTERN INSURANCE COMPANY

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$....212,470,630).....	417,220,495	400,722,262
2. Reinsurance payable on paid losses and loss adjustment expenses.....	5,186,203	6,562,778
3. Loss adjustment expenses.....	87,055,920	82,233,083
4. Commissions payable, contingent commissions and other similar charges.....	1,308,494	1,506,818
5. Other expenses (excluding taxes, licenses and fees).....	60,806,930	46,902,328
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	6,556,397	8,700,462
7.1 Current federal and foreign income taxes (including \$....133,163 on realized capital gains (losses)).....	11,273,155	12,057,395
7.2 Net deferred tax liability.....		566,707
8. Borrowed money \$.....0 and interest thereon \$.....0.....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$....160,793,650 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act.....	390,477,018	342,137,812
10. Advance premium.....	3,914,673	3,205,883
11. Dividends declared and unpaid:		
11.1 Stockholders.....		
11.2 Policyholders.....		
12. Ceded reinsurance premiums payable (net of ceding commissions).....	25,839	19,954
13. Funds held by company under reinsurance treaties.....		
14. Amounts withheld or retained by company for account of others.....		
15. Remittances and items not allocated.....		
16. Provision for reinsurance (including \$.....0 certified).....		
17. Net adjustments in assets and liabilities due to foreign exchange rates.....		
18. Drafts outstanding.....	17,875,631	19,065,003
19. Payable to parent, subsidiaries and affiliates.....		
20. Derivatives.....		
21. Payable for securities.....	3,170,586	
22. Payable for securities lending.....		
23. Liability for amounts held under uninsured plans.....		
24. Capital notes \$.....0 and interest thereon \$.....0.....		
25. Aggregate write-ins for liabilities.....	2,621,619	2,259,573
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	1,007,492,960	925,940,058
27. Protected cell liabilities.....		
28. Total liabilities (Lines 26 and 27).....	1,007,492,960	925,940,058
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	3,000,025	3,000,025
31. Preferred capital stock.....		
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....		
34. Gross paid in and contributed surplus.....	146,299,975	146,299,975
35. Unassigned funds (surplus).....	284,843,892	238,292,923
36. Less treasury stock, at cost:		
36.1 .....0.000 shares common (value included in Line 30 \$.....0).....		
36.2 .....0.000 shares preferred (value included in Line 31 \$.....0).....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	434,143,892	387,592,923
38. Totals (Page 2, Line 28, Col. 3).....	1,441,636,852	1,313,532,981

### DETAILS OF WRITE-INS

2501. STATE PLAN LIABILITY.....	2,133,714	2,064,303
2502. ESCHEATABLE PROPERTY.....	407,610	103,146
2503. OTHER LIABILITIES.....	80,295	83,576
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	8,548
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	2,621,619	2,259,573
2901. ....		
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

**PROGRESSIVE NORTHWESTERN INSURANCE COMPANY  
STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct..... (written \$.....372,794,628).....	357,221,540	357,870,274	486,432,840
1.2 Assumed..... (written \$.....949,152,083).....	900,812,877	874,782,933	1,191,365,224
1.3 Ceded..... (written \$.....372,794,628).....	357,221,540	357,870,274	486,432,840
1.4 Net..... (written \$.....949,152,083).....	900,812,877	874,782,933	1,191,365,224
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....566,682,403):			
2.1 Direct.....	207,471,124	215,358,008	289,300,387
2.2 Assumed.....	552,631,506	542,841,279	734,552,238
2.3 Ceded.....	207,471,124	215,358,008	289,300,387
2.4 Net.....	552,631,506	542,841,279	734,552,238
3. Loss adjustment expenses incurred.....	93,706,403	90,214,739	120,911,256
4. Other underwriting expenses incurred.....	190,751,667	186,105,339	247,025,605
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	837,089,576	819,161,357	1,102,489,099
7. Net income of protected cells.....			
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	63,723,301	55,621,576	88,876,125
<b>INVESTMENT INCOME</b>			
9. Net investment income earned.....	20,079,283	19,166,974	25,425,341
10. Net realized capital gains (losses) less capital gains tax of \$.....1,351,837.....	2,227,684	10,667,300	10,708,532
11. Net investment gain (loss) (Lines 9 + 10).....	22,306,967	29,834,274	36,133,873
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....253,749 amount charged off \$.....3,265,628).....	(3,011,879)	(3,086,968)	(4,135,216)
13. Finance and service charges not included in premiums.....	6,763,440	7,130,399	9,534,212
14. Aggregate write-ins for miscellaneous income.....	1,105,571	861,418	2,092,763
15. Total other income (Lines 12 through 14).....	4,857,132	4,904,849	7,491,759
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	90,887,400	90,360,699	132,501,757
17. Dividends to policyholders.....			
18. Net income after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	90,887,400	90,360,699	132,501,757
19. Federal and foreign income taxes incurred.....	32,913,504	27,603,696	39,619,240
20. Net income (Line 18 minus Line 19) (to Line 22).....	57,973,896	62,757,003	92,882,517
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year.....	387,592,923	371,568,997	371,568,997
22. Net income (from Line 20).....	57,973,896	62,757,003	92,882,517
23. Net transfers (to) from Protected Cell accounts.....			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....(7,823,917).....	(14,530,132)	(579,739)	5,548,899
25. Change in net unrealized foreign exchange capital gain (loss).....			
26. Change in net deferred income tax.....	2,816,292	295,214	(2,010,206)
27. Change in nonadmitted assets.....	290,913	(126,053)	(197,284)
28. Change in provision for reinsurance.....			
29. Change in surplus notes.....			
30. Surplus (contributed to) withdrawn from protected cells.....			
31. Cumulative effect of changes in accounting principles.....			
32. Capital changes:			
32.1 Paid in.....			
32.2 Transferred from surplus (Stock Dividend).....			
32.3 Transferred to surplus.....			
33. Surplus adjustments:			
33.1 Paid in.....			
33.2 Transferred to capital (Stock Dividend).....			
33.3 Transferred from capital.....			
34. Net remittances from or (to) Home Office.....			
35. Dividends to stockholders.....			(80,200,000)
36. Change in treasury stock.....			
37. Aggregate write-ins for gains and losses in surplus.....	0	0	0
38. Change in surplus as regards policyholders (Lines 22 through 37).....	46,550,969	62,346,425	16,023,926
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	434,143,892	433,915,422	387,592,923
<b>DETAILS OF WRITE-INS</b>			
0501. ....			
0502. ....			
0503. ....			
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. MISCELLANEOUS INCOME.....	1,078,059	799,169	2,013,381
1402. SERVICE BUSINESS REVENUE.....	22,712	54,758	70,567
1403. INTEREST INCOME ON INTERCOMPANY BALANCES.....	4,800	7,491	8,815
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	1,105,571	861,418	2,092,763
3701. ....			
3702. ....			
3703. ....			
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	0	0	0

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	926,860,254	884,291,403	1,194,142,281
2. Net investment income.....	23,417,703	23,438,420	31,042,859
3. Miscellaneous income.....	4,745,914	4,921,152	7,463,234
4. Total (Lines 1 through 3).....	955,023,871	912,650,975	1,232,648,374
5. Benefit and loss related payments.....	533,404,723	540,794,694	730,649,484
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	268,073,020	255,094,942	357,184,197
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$..... 1,260,493 tax on capital gains (losses).....	35,049,581	31,860,518	41,131,459
10. Total (Lines 5 through 9).....	836,527,324	827,750,154	1,128,965,140
11. Net cash from operations (Line 4 minus Line 10).....	118,496,547	84,900,821	103,683,234
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	384,581,186	361,627,900	538,535,825
12.2 Stocks.....	4,006,579	38,577,507	39,882,672
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....	3,170,586		
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	391,758,351	400,205,407	578,418,497
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	425,121,276	439,294,119	589,794,708
13.2 Stocks.....	7,132,254	4,048,749	6,195,870
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....	4,822		
13.7 Total investments acquired (Lines 13.1 to 13.6).....	432,258,352	443,342,868	595,990,578
14. Net increase or (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(40,500,001)	(43,137,461)	(17,572,081)
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			80,200,000
16.6 Other cash provided (applied).....	(7,728,558)	(4,933,321)	3,932,528
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(7,728,558)	(4,933,321)	(76,267,472)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	70,267,988	36,830,039	9,843,681
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	25,714,950	15,871,269	15,871,269
19.2 End of period (Line 18 plus Line 19.1).....	95,982,938	52,701,308	25,714,950

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
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**NOTES TO FINANCIAL STATEMENTS**

## 1. Summary of Significant Accounting Policies

## A. Accounting Practices

The accompanying statutory-basis financial statements of Progressive Northwestern Insurance Company (the "Company") were prepared on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance ("DOI").

The Ohio DOI requires insurance companies domiciled in the state of Ohio to prepare their statutory-basis financial statements in accordance with the National Association of Insurance Commissioners' ("NAIC") *Accounting Practices and Procedures Manual*, subject to any deviations prescribed or permitted by the Ohio DOI. No deviations from NAIC statutory accounting practices ("NAIC SAP") were used in preparing these statutory-basis financial statements as illustrated in the table below:

Description	State of Domicile	September 30, 2015	December 31, 2014
<b>Net income</b>			
(1) Net income, state basis	OH	\$ 57,973,896	\$ 92,882,517
(2) Effect of state prescribed practices		--	--
(3) Effect of state permitted practices		--	--
(4) Net income, NAIC SAP basis (1-2-3=4)	OH	\$ 57,973,896	\$ 92,882,517
<b>Surplus</b>			
(5) Policyholders' surplus, state basis	OH	\$ 434,143,892	\$ 387,592,923
(6) Effect of state prescribed practices		--	--
(7) Effect of state permitted practices		--	--
(8) Policyholders' surplus, NAIC SAP basis(5-6-7=8)	OH	\$ 434,143,892	\$ 387,592,923

## C. Accounting Policies

## 6. Loan-backed securities

Loan-backed and structured securities are accounted for as prescribed by Statement of Statutory Accounting Principles No. 43R, *Loan-backed and Structured Securities*. These securities are generally stated at amortized cost as determined by the estimated value of future cash flows. Prepayment assumptions for loan-backed and structured debt securities are obtained from available market data, broker/dealers, and/or internal estimates, and are consistent with current interest rate and economic trends. See Note 5.D.

## 2. Accounting Changes and Corrections of Errors

No significant change

## 3. Business Combinations and Goodwill

No significant change

## 4. Discontinued Operations

No significant change

## 5. Investments

## D. Loan-Backed Securities

- The sources used to determine prepayment assumptions are derived from updated cash flows from widely utilized reputable industry sources. The Company's portfolio managers review the available cash flow data and prepayment assumptions and make adjustments based on current performance indicators on the underlying assets (e.g., delinquency rates, foreclosure rates, and default rates), credit support (via current levels of subordination), and historical credit ratings.
- Intent to Sell or Inability to Hold Securities with a Recognized Other-Than-Temporary Impairment  
Not applicable
- As of September 30, 2015, the Company has not recorded an other-than-temporary impairment for loan-backed and structured debt securities during the current year.
- As of September 30, 2015, the Company had \$2,260,649 of gross unrealized losses in the Company's loan-backed and structured debt securities. The Company currently does not intend to sell the loan-backed and structured debt securities and determined that it is more likely than not that the Company will not be required to sell these securities for the period of time necessary to recover their cost basis. If the Company's strategy was to change and these securities were determined to be other-than-temporarily impaired, the Company would recognize a write-down in accordance with the Company's stated policy.

As of September 30, 2015, the composition of fair value and gross unrealized losses on loan-backed and structured debt securities by the length of time that individual securities have been in a continuous unrealized loss position is as follows:

Description	Amount
a. Aggregate amount of unrealized losses	
1. Less than twelve months	\$ 905,149
2. Twelve months or longer	1,355,500
Total	\$ 2,260,649
b. Aggregate fair value of securities with unrealized losses	
1. Less than twelve months	\$ 193,458,454
2. Twelve months or longer	44,525,672
Total	\$ 237,984,126

- Under SSAP No. 43R, the Company analyzes its structured debt securities to determine if the Company intends to sell, or if it is more likely than not that the Company will be required to sell, the security prior to recovery and, if so, the Company writes down the security to its current fair market value with the entire amount of the write-down recorded as a realized loss. To the extent that it is more likely than not that the Company will hold the debt security until recovery (which could be maturity), the Company determines if any of the decline in value is due to a credit loss (i.e., where the present value of cash flows expected to be collected is lower than the amortized cost basis of the security) and, if so, the Company recognizes that portion of the impairment as a realized loss.

**NOTES TO FINANCIAL STATEMENTS**

- E. Repurchase Agreements and/or Securities Lending Transactions  
Not applicable
- I. Working Capital Finance Investments  
Not applicable
- J. Offsetting and Netting of Assets and Liabilities  
Not applicable
6. Joint Ventures, Partnerships and Limited Liability Companies  
No significant change
7. Investment Income  
No significant change
8. Derivative Instruments  
No significant change
9. Income Taxes  
No significant change
10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties  
No significant change
11. Debt
- B. Federal Home Loan Bank Agreements  
Not applicable
12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans
- A. Defined Benefit Plan  
Not applicable
13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations  
No significant change
14. Contingencies
- G. All Other Contingencies
- The Company routinely assesses the collectibility of premiums and agents' balances receivable and records a bad debt reserve for amounts exceeding the nonadmitted balance that the Company believes are uncollectible.
- The Company is named as defendant in various lawsuits arising out of its insurance operations. All legal actions relating to claims made under insurance policies are considered by the Company in establishing its loss and loss adjustment expense ("LAE") reserves. The Company also has, on a net basis, potential exposure relating to lawsuits due to its participation in a 100% pooling reinsurance agreement for which it is allocated litigation expenses.
- The following is a discussion of potentially significant pending cases at September 30, 2015. The Company does not consider a loss from these cases to be probable and is unable to estimate a range of loss, if any, at this time.
- As of September 30, 2015, there was one putative class action lawsuit challenging the Company's use of certain automated database vendors or software to assist in the adjustment of bodily injury claims. Plaintiffs allege that these databases or software systematically undervalue the claims.
- As of September 30, 2015, there were three cases consolidated into multi-district proceedings alleging that the Company improperly steers automobile repair work to certain auto body repair shops and challenging the labor rates the Company pays to auto body repair shops.
15. Leases  
No significant change
16. Information about Financial Instruments with Off-Balance Sheet Risk and with Concentrations of Credit Risk  
No significant change
17. Sale, Transfer, and Servicing of Financial Assets and Extinguishments of Liabilities
- B. Transfer and Servicing of Financial Assets  
Not applicable
- C. Wash Sales  
The Company had no wash sales of securities with a NAIC rating of 3 or below during the reporting period.
18. Gain or Loss from Uninsured Plans and the Uninsured Portion of Partially Insured Plans  
No significant change
19. Direct Premiums Written / Produced by Managing General Agents / Third Party Administrators  
No significant change

## NOTES TO FINANCIAL STATEMENTS

## 20. Fair Value Measurements

## A. Inputs Used for Assets and Liabilities Measured at Fair Value in the Company's Financial Statements

## 1. Fair Value Measurements by Levels 1, 2 and 3

The Company categorizes its financial instruments, based on the degree of subjectivity inherent in the method by which they are valued, into a fair value hierarchy of three levels, as follows:

Level 1 - Inputs are unadjusted, quoted prices in active markets for identical instruments at the measurement date (e.g., active exchange-traded equity securities).

Level 2 - Inputs (other than quoted prices included within Level 1) that are observable for the instrument either directly or indirectly. This includes: (i) quoted prices for similar instruments in active markets, (ii) quoted prices for identical or similar instruments in markets that are not active, (iii) inputs other than quoted prices that are observable for the instruments, and (iv) inputs that are derived principally from or corroborated by observable market data by correlation or other means.

Level 3 - Inputs that are unobservable. Unobservable inputs reflect the Company's subjective evaluation about the assumptions market participants would use in pricing the financial instrument.

The Company's management evaluated whether the market was distressed or inactive in determining the fair value of the Company's securities and reviewed certain market level inputs to evaluate whether sufficient activity, volume, and new issuances existed to create an active market. Based on this evaluation, management concluded that there was sufficient activity in determining the fair market value of the Company's securities.

The valuations classified as either Level 1 or Level 2 in the table below are priced exclusively by external sources, including: pricing vendors, dealers/market makers, and exchange-quoted prices. The Company did not have any transfers between Level 1 and Level 2. At the end of each reporting period, the Company evaluates whether or not any event has occurred or circumstances have changed that would cause an instrument to be transferred into or out of Level 3.

Fair Value Measurements at September 30, 2015:

Asset Description	Level 1	Level 2	Level 3	Total
a. Assets on balance sheet at fair value				
Bonds Industrial & Miscellaneous	\$ --	\$ 43,076,496	\$ --	\$ 43,076,496
Common stock Industrial & Miscellaneous	169,614,357	--	--	169,614,357
Total assets at fair value	\$ 169,614,357	\$ 43,076,496	\$ --	\$ 212,690,853
b. Liabilities on balance sheet at fair value				
Derivative liabilities	\$ --	\$ --	\$ --	\$ --
Total liabilities at fair value	\$ --	\$ --	\$ --	\$ --

## 2. Roll forward of Level 3 Items

Not applicable

## 3. Policy on Transfers Into and Out of Level 3

At the end of each reporting period, the Company evaluates whether or not any event has occurred or circumstances have changed that would cause an instrument to be transferred into or out of Level 3.

## 4. Inputs and Techniques Used for Level 2 and Level 3 Fair Values

See Note 20.A above.

## 5. Derivative Fair Values

Not applicable

## B. Other Fair Value Disclosures

Not applicable

## C. Fair Values for all Financial Instruments by Levels 1, 2, and 3

The table below represents the fair value of all financial instruments at September 30, 2015, however, not all financial instruments are reported at fair value in the Company's financial statements.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
Bonds	\$ 1,052,691,817	\$ 1,046,684,510	\$ 111,738,653	\$ 940,953,164	\$ --	\$ --
Cash equivalents	89,494,891	89,494,891	89,494,891	--	--	--
Common stock	169,614,357	169,614,357	169,614,357	--	--	--
Preferred stock	--	--	--	--	--	--
Short-term investments	6,488,897	6,488,047	6,488,897	--	--	--
Total	\$ 1,318,289,962	\$ 1,312,281,805	\$ 377,336,798	\$ 940,953,164	\$ --	\$ --

## D. Financial Instruments for Which it is Not Practicable to Estimate Fair Values

Not applicable

## 21. Other Items

No significant change

## **NOTES TO FINANCIAL STATEMENTS**

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22. Events Subsequent

Subsequent events have been considered through November 6, 2015 for the statutory statement that was available for issuance by November 15, 2015. There were no subsequent events to report.

23. Reinsurance

No significant change

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

F. Risk-Sharing Provisions of the Affordable Care Act

The Company does not write health insurance.

25. Changes in Incurred Losses and Loss Adjustment Expenses

Incurred losses and LAE attributable to insured events of prior accident years decreased by \$14,831,160 in 2015, which is 3.1% of the total prior year net unpaid losses and LAE of \$482,955,345. The favorable development is primarily due to private passenger auto liability originally anticipated severity for accident year 2014 decreasing less than 1% and less late reported losses than anticipated for auto physical damage. The LAE reserves developed favorably primarily due to favorable adjusting and other expense reserve development.

26. Intercompany Pooling Arrangements

No significant change

27. Structured Settlements

No significant change

28. Health Care Receivables

No significant change

29. Participating Accident and Health Policies

No significant change

30. Premium Deficiency Reserves

No significant change

31. High Deductibles

No significant change

32. Discounting of Liabilities for Unpaid Losses and Unpaid Loss Adjustment Expenses

No significant change

33. Asbestos and Environmental Reserves

No significant change

34. Subscriber Savings Accounts

No significant change

35. Multiple Peril Crop Insurance

No significant change

36. Financial Guaranty Insurance

B. Schedule of Insured Financial Obligations at the End of the Period

Not applicable

**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES**

**GENERAL**

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]
- 2.2 If yes, date of change: \_\_\_\_\_

- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [X] No [ ]
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
	0	

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [ ] No [X] N/A [ ]

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2012
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2012
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 08/06/2013

- 6.4 By what department or departments?

OHIO

- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with the Department? Yes [ ] No [ ] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated with the Federal Reserve Board? Yes [ ] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]

- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.

- 9.11 If the response to 9.1 is No, please explain:

- 9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]  
 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

**FINANCIAL**

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No [ ]  
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

**INVESTMENT**

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]  
 11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0  
 13. Amount of real estate and mortgages held in short-term investments: \$ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]

14.2 If yes, please complete the following:

14.21 Bonds  
 14.22 Preferred Stock  
 14.23 Common Stock  
 14.24 Short-Term Investments  
 14.25 Mortgage Loans on Real Estate  
 14.26 All Other  
 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)  
 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
\$	0	\$ 0
	0	0
	0	0
	0	0
	0	0
	0	0
\$	0	\$ 0
\$	0	\$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]  
 If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0  
 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$ 0  
 16.3 Total payable for securities lending reported on the liability page: \$ 0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
CITIBANK, N.A.	338 GREENWICH STREET NEW YORK, NY 10013
STATE STREET	801 PENNSYLVANIA AVE KANSAS CITY, MO 64105

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
NONE		

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
NONE			

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
30107	STATE STREET GLOBAL MARKETS, LLC	225 FRANKLIN ST BOSTON, MA 02110

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Securities Valuation Office* been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

## GENERAL INTERROGATORIES (continued)

## PART 2 – PROPERTY &amp; CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [X] N/A [ ]  
If yes, attach an explanation.
2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [X]  
If yes, attach an explanation.
- 3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [X]
- 3.2 If yes, give full and complete information thereto:
- 4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes [ ] No [X]
- 4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Disc. Rate	Total Discount				Discount Taken During Period			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.000	0.000	0	0	0	0	0	0	0	0
Total	XXX	XXX	0	0	0	0	0	0	0	0

- 5.1 Operating Percentages:
- 5.1 A&H loss percent 0.000%
- 5.2 A&H cost containment percent 0.000%
- 5.3 A&H expense percent excluding cost containment expenses 0.000%
- 6.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]
- 6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0
- 6.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]
- 6.4 If yes, please provide the amount of funds administered as of the reporting date. \$ 0

**SCHEDULE F - CEDED REINSURANCE**

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
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**NONE**

**PROGRESSIVE NORTHWESTERN INSURANCE COMPANY**  
**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1. Alabama.....AL	N						
2. Alaska.....AK	L	3,176,093	3,379,047	1,034,916	1,250,979	878,674	1,612,793
3. Arizona.....AZ	L	1,497,549	1,739,872	861,861	906,471	328,129	710,485
4. Arkansas.....AR	L	52,574,582	50,788,571	28,566,944	29,207,792	14,477,383	13,543,246
5. California.....CA	L						
6. Colorado.....CO	L						
7. Connecticut.....CT	L	23,486,150	27,495,599	13,442,954	13,397,025	19,652,813	21,608,211
8. Delaware.....DE	L			(913)	(1,869)		
9. District of Columbia.....DC	L						
10. Florida.....FL	N						
11. Georgia.....GA	L			(1,696)	(4,496)		
12. Hawaii.....HI	L	256,254	288,614	158,920	188,271	58,488	113,245
13. Idaho.....ID	L	33,262,544	31,990,125	20,533,704	18,984,330	14,201,415	13,370,663
14. Illinois.....IL	N						
15. Indiana.....IN	L	2,217,015	2,547,628	1,517,938	1,833,284	688,349	1,223,850
16. Iowa.....IA	L						
17. Kansas.....KS	L	76,763,493	74,567,501	47,055,043	45,504,055	20,462,524	21,386,279
18. Kentucky.....KY	L						
19. Louisiana.....LA	L						
20. Maine.....ME	L	43,713,577	44,350,355	25,086,594	24,044,950	20,252,948	21,190,976
21. Maryland.....MD	L						
22. Massachusetts.....MA	N						
23. Michigan.....MI	Q						
24. Minnesota.....MN	L			31,654	24,797		10,229
25. Mississippi.....MS	L						
26. Missouri.....MO	L	18,398,563	19,125,377	8,002,896	10,576,656	6,410,514	6,472,267
27. Montana.....MT	L	35,003,693	33,800,069	20,850,891	23,320,926	12,786,966	12,924,345
28. Nebraska.....NE	L						
29. Nevada.....NV	L	546,247	636,860	313,545	194,552	241,950	195,619
30. New Hampshire.....NH	N						
31. New Jersey.....NJ	L						
32. New Mexico.....NM	L			(4,037)	896,397	145,310	123,436
33. New York.....NY	L	30,733,428	30,195,969	13,041,389	14,569,694	11,609,539	12,049,226
34. North Carolina.....NC	L						
35. North Dakota.....ND	L	34,931,644	34,230,591	18,130,433	19,657,028	10,712,463	11,309,152
36. Ohio.....OH	L						
37. Oklahoma.....OK	L						
38. Oregon.....OR	L		(25)	(750)	(3,651)		
39. Pennsylvania.....PA	N						
40. Rhode Island.....RI	L	335,377	471,644	273,516	552,329	260,213	376,695
41. South Carolina.....SC	L						
42. South Dakota.....SD	L						
43. Tennessee.....TN	L			(2,067)	(1,021)		
44. Texas.....TX	L						
45. Utah.....UT	L			(966)	(180)		
46. Vermont.....VT	N						
47. Virginia.....VA	L	2,850,020	3,153,582	1,430,594	1,912,200	1,421,011	2,290,156
48. Washington.....WA	L	13,048,398	15,519,497	9,585,239	11,461,633	10,855,659	12,933,518
49. West Virginia.....WV	L						
50. Wisconsin.....WI	L						
51. Wyoming.....WY	N						
52. American Samoa.....AS	N						
53. Guam.....GU	N						
54. Puerto Rico.....PR	N						
55. US Virgin Islands.....VI	N						
56. Northern Mariana Islands.....MP	N						
57. Canada.....CAN	N						
58. Aggregate Other Alien.....OT	XXX	0	0	0	0	0	0
59. Totals.....(a) 42		372,794,628	374,280,875	209,908,602	218,472,151	145,444,346	153,444,393

**DETAILS OF WRITE-INS**

58001.....	XXX						
58002.....	XXX						
58003.....	XXX						
58998. Summary of remaining write-ins for Line 58 from overflow page....	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....	XXX	0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;

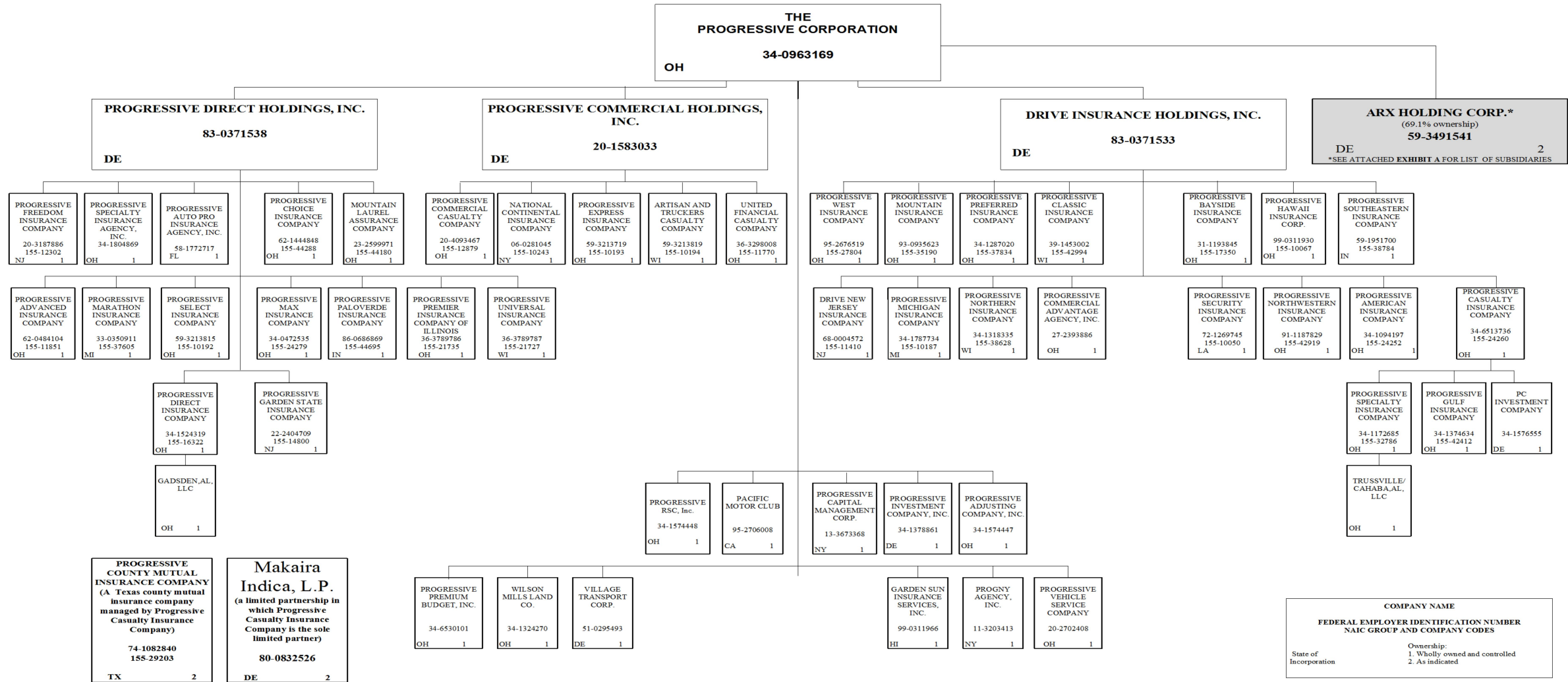
(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

**PART 1 – ORGANIZATIONAL CHART**

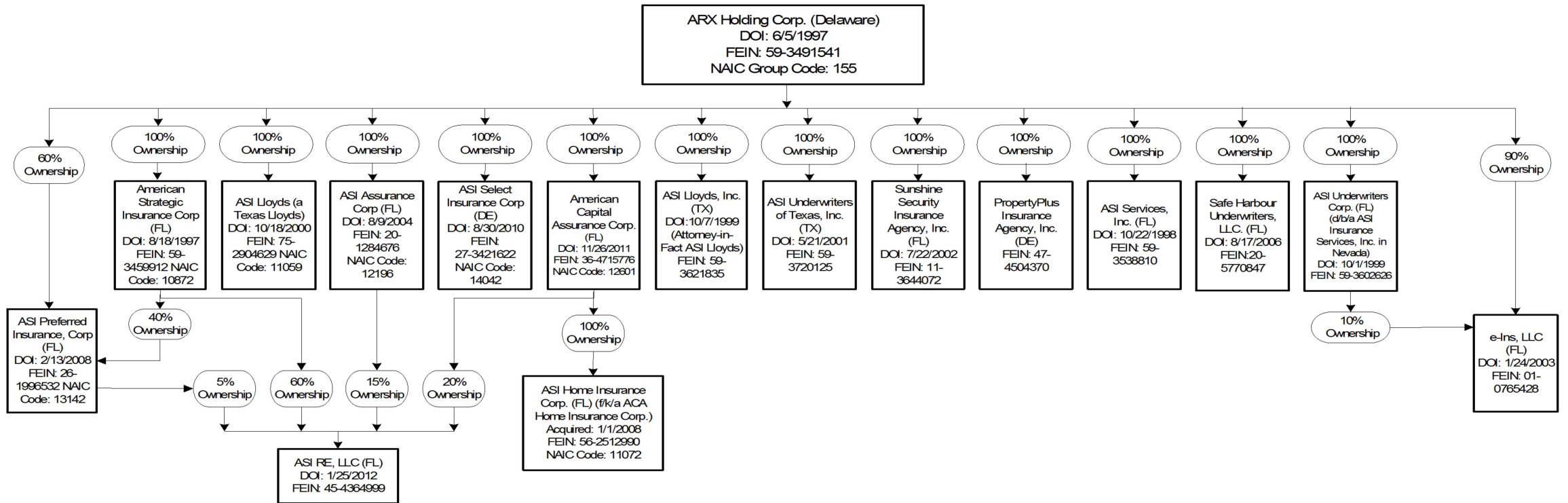
Q11



**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

PART 1 – ORGANIZATIONAL CHART

Q11.1



**EXHIBIT A**

**PROGRESSIVE NORTHWESTERN INSURANCE COMPANY**

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
<b>Members</b>														
	The Progressive Corporation.....	00000..	34-0963169..		0000080661	NYSE.....	The Progressive Corporation.....	OH.....	UIP.....	Board, Management.....	Board.....		The Progressive Corporation.....	1, 3.....
	The Progressive Corporation.....	00000..	83-0371533..				Drive Insurance Holdings, Inc.....	DE.....	UDP.....	The Progressive Corporation.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	11410..	68-0004572..				Drive New Jersey Insurance Company.....	NJ.....	IA.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	24252..	34-1094197..				Progressive American Insurance Company.....	OH.....	IA.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	17350..	31-1193845..				Progressive Bayside Insurance Company.....	OH.....	IA.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	24260..	34-6513736..				Progressive Casualty Insurance Company.....	OH.....	IA.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
	The Progressive Corporation.....	00000..	34-1576555..				PC Investment Company.....	DE.....	NIA.....	Progressive Casualty Insurance Company.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	29203..	74-1082840..				Progressive County Mutual Insurance Company.....	TX.....	IA.....	Progressive Casualty Insurance Company.....	Management.....		The Progressive Corporation.....	2, 3.....
0155.....	The Progressive Corporation.....	42412..	34-1374634..				Progressive Gulf Insurance Company.....	OH.....	IA.....	Progressive Casualty Insurance Company.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	32786..	34-1172685..				Progressive Specialty Insurance Company.....	OH.....	IA.....	Progressive Casualty Insurance Company.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
	The Progressive Corporation.....	00000..					Trussville/Cahaba, AL , LLC.....	OH.....	NIA.....	Progressive Specialty Insurance Company.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	42994..	39-1453002..				Progressive Classic Insurance Company.....	WI.....	IA.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	10067..	99-0311930..				Progressive Hawaii Insurance Corp.....	OH.....	IA.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	10187..	34-1787734..				Progressive Michigan Insurance Company.....	MI.....	IA.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	35190..	93-0935623..				Progressive Mountain Insurance Company.....	OH.....	IA.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	38628..	34-1318335..				Progressive Northern insurance Company.....	WI.....	IA.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	42919..	91-1187829..				Progressive Northwestern Insurance Company.....	OH.....	RE.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	37834..	34-1287020..				Progressive Preferred Insurance Company.....	OH.....	IA.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	10050..	72-1269745..				Progressive Security Insurance Company.....	LA.....	IA.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	38784..	59-1951700..				Progressive Southeastern Insurance Company.....	IN.....	IA.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	27804..	95-2676519..				Progressive West Insurance Company.....	OH.....	IA.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
	The Progressive Corporation.....	00000..	27-2393886..				Progressive Commercial Advantage Agency, Inc.....	OH.....	NIA.....	Drive Insurance Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
	The Progressive Corporation.....	00000..	20-1583033..				Progressive Commercial Holdings, Inc.....	DE.....	NIA.....	The Progressive Corporation.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	10194..	59-3213819..				Artisan and Truckers Casualty Company.....	WI.....	IA.....	Progressive Commercial Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	10243..	06-0281045..				National Continental Insurance Company.....	NY.....	IA.....	Progressive Commercial Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	12879..	20-4093467..				Progressive Commercial Casualty Company.....	OH.....	IA.....	Progressive Commercial Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	10193..	59-3213719..				Progressive Express Insurance Company.....	OH.....	IA.....	Progressive Commercial Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	11770..	36-3298008..				United Financial Casualty Company.....	OH.....	IA.....	Progressive Commercial Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
	The Progressive Corporation.....	00000..	83-0371538..				Progressive Direct Holdings, Inc.....	DE.....	NIA.....	The Progressive Corporation.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	44180..	23-2599971..				Mountain Laurel Assurance Company.....	OH.....	IA.....	Progressive Direct Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	11851..	62-0484104..				Progressive Advanced Insurance Company.....	OH.....	IA.....	Progressive Direct Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
	The Progressive Corporation.....	00000..	58-1772717..				Progressive Auto Pro Insurance Agency, Inc.....	FL.....	NIA.....	Progressive Direct Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	44288..	62-1444848..				Progressive Choice Insurance Company.....	OH.....	IA.....	Progressive Direct Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	16322..	34-1524319..				Progressive Direct Insurance Company.....	OH.....	IA.....	Progressive Direct Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
	The Progressive Corporation.....	00000..					Gadsden, AL, LLC.....	OH.....	NIA.....	Progressive Direct Insurance Company.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	12302..	20-3187886..				Progressive Freedom Insurance Company.....	NJ.....	IA.....	Progressive Direct Holdings, Inc.....	Ownership.....	100.000	The Progressive Corporation.....	1, 3.....

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**PROGRESSIVE NORTHWESTERN INSURANCE COMPANY**

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0155.....	The Progressive Corporation.....	14800..	22-2404709..				Progressive Garden State Insurance Company.....	NJ.....	IA.....	Progressive Direct Holdings, Inc.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	37605..	33-0350911..				Progressive Marathon Insurance Company.....	MI.....	IA.....	Progressive Direct Holdings, Inc.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	24279..	34-0472535..				Progressive Max Insurance Company.....	OH.....	IA.....	Progressive Direct Holdings, Inc.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	44695..	86-0686869..				Progressive Paloverde Insurance Company.....	IN.....	IA.....	Progressive Direct Holdings, Inc.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	21735..	36-3789786..				Progressive Premier Insurance Company of Illinois.....	OH.....	IA.....	Progressive Direct Holdings, Inc.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	10192..	59-3213815..				Progressive Select Insurance Company.....	OH.....	IA.....	Progressive Direct Holdings, Inc.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
.....	The Progressive Corporation.....	00000..	34-1804869..				Progressive Specialty Insurance Agency, Inc.....	OH.....	NIA.....	Progressive Direct Holdings, Inc.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
0155.....	The Progressive Corporation.....	21727..	36-3789787..				Progressive Universal Insurance Company.....	WI.....	IA.....	Progressive Direct Holdings, Inc.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
.....	The Progressive Corporation.....	00000..	99-0311966..				Garden Sun Insurance Services, LLC.....	HI.....	NIA.....	The Progressive Corporation.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
.....	The Progressive Corporation.....	00000..	95-2706008..				Pacific Motor Club.....	CA.....	NIA.....	The Progressive Corporation.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
.....	The Progressive Corporation.....	00000..	11-3203413..				PROGNY Agency, Inc.....	NY.....	NIA.....	The Progressive Corporation.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
.....	The Progressive Corporation.....	00000..	34-1574447..				Progressive Adjusting Company, Inc.....	OH.....	NIA.....	The Progressive Corporation.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
.....	The Progressive Corporation.....	00000..	13-3673368..				Progressive Capital Management Corp.....	NY.....	NIA.....	The Progressive Corporation.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
.....	The Progressive Corporation.....	00000..	34-1378861..				Progressive Investment Company, Inc.....	DE.....	NIA.....	The Progressive Corporation.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
.....	The Progressive Corporation.....	00000..	34-6530101..				Progressive Premium Budget, Inc.....	OH.....	NIA.....	The Progressive Corporation.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
.....	The Progressive Corporation.....	00000..	34-1574448..				Progressive RSC, Inc.....	OH.....	NIA.....	The Progressive Corporation.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
.....	The Progressive Corporation.....	00000..	20-2702408..				Progressive Vehicle Service Company.....	OH.....	NIA.....	The Progressive Corporation.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
.....	The Progressive Corporation.....	00000..	51-0295493..				Village Transport Corp.....	DE.....	NIA.....	The Progressive Corporation.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
.....	The Progressive Corporation.....	00000..	34-1324270..				Wilson Mills Land Co.....	OH.....	NIA.....	The Progressive Corporation.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3.....
.....	The Progressive Corporation.....	00000..	80-0832526..				Makaira Indica, LP.....	CA.....	NIA.....	Progressive Casualty Insurance Company.....	Other.....	.....	The Progressive Corporation.....	1, 3, 4..
.....	The Progressive Corporation.....	00000..	59-3491541..				ARX Holding Corp.....	DE.....	NIA.....	The Progressive Corporation.....	Ownership.....	...69.100	The Progressive Corporation.....	5.....
0155.....	The Progressive Corporation, ARX Interest...	12601..	36-4715776..				American Capital Assurance Corp.....	FL.....	IA.....	ARX Holding Corp.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3, 5..
0155.....	The Progressive Corporation, ARX Interest...	11072..	56-2512990..				ASI Home Insurance Corp.....	FL.....	IA.....	American Capital Assurance Corp.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3, 5..
.....	The Progressive Corporation, ARX Interest...	00000..	45-4364999..				ASI RE, LLC.....	FL.....	NIA.....	American Capital Assurance Corp.....	Ownership.....	...20.000	The Progressive Corporation.....	1, 3, 5..
.....	The Progressive Corporation, ARX Interest...	00000..	45-4364999..				ASI RE, LLC.....	FL.....	NIA.....	ASI Preferred Insurance Corp.....	Ownership.....	...5.000	The Progressive Corporation.....	1, 3, 5..
.....	The Progressive Corporation, ARX Interest...	00000..	45-4364999..				ASI RE, LLC.....	FL.....	NIA.....	American Strategic Insurance Corp.....	Ownership.....	...60.000	The Progressive Corporation.....	1, 3, 5..
.....	The Progressive Corporation, ARX Interest...	00000..	45-4364999..				ASI RE, LLC.....	FL.....	NIA.....	ASI Assurance Corp.....	Ownership.....	...15.000	The Progressive Corporation.....	1, 3, 5..
0155.....	The Progressive Corporation, ARX Interest...	13142..	26-1996532..				ASI Preferred Insurance Corp.....	FL.....	IA.....	American Strategic Insurance Corp.....	Ownership.....	...40.000	The Progressive Corporation.....	1, 3, 5..
0155.....	The Progressive Corporation, ARX Interest...	13142..	26-1996532..				ASI Preferred Insurance Corp.....	FL.....	IA.....	ARX Holding Corp.....	Ownership.....	...60.000	The Progressive Corporation.....	1, 3, 5..
0155.....	The Progressive Corporation, ARX Interest...	10872..	59-3459912..				American Strategic Insurance Corp.....	FL.....	IA.....	ARX Holding Corp.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3, 5..
0155.....	The Progressive Corporation, ARX Interest...	11059..	75-2904629..				ASI Lloyds.....	TX.....	IA.....	ARX Holding Corp.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3, 5..
0155.....	The Progressive Corporation, ARX Interest...	12196..	20-1284676..				ASI Assurance Corp.....	FL.....	IA.....	ARX Holding Corp.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3, 5..
0155.....	The Progressive Corporation, ARX Interest...	14042..	27-3421622..				ASI Select Insurance Corp.....	DE.....	IA.....	ARX Holding Corp.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3, 5..
.....	The Progressive Corporation, ARX Interest...	00000..	59-3538810..				ASI Services Inc.....	FL.....	NIA.....	ARX Holding Corp.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3, 5..
.....	The Progressive Corporation, ARX Interest...	00000..	20-5770847..				Safe Harbour Underwriters, LLC.....	FL.....	NIA.....	ARX Holding Corp.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3, 5..
.....	The Progressive Corporation, ARX Interest...	00000..	59-3621835..				ASI Lloyds, Inc.....	TX.....	NIA.....	ARX Holding Corp.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3, 5..
.....	The Progressive Corporation, ARX Interest...	00000..	59-3720125..				ASI Underwriters of Texas, Inc.....	TX.....	NIA.....	ARX Holding Corp.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3, 5..

Q12.1

**SCHEDULE Y**

**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
.....	The Progressive Corporation, ARX Interest...	00000...	11-3644072..	.....	.....	.....	Sunshine Security Insurance Agency, Inc.....	FL.....	NIA.....	ARX Holding Corp.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3, 5...
.....	The Progressive Corporation, ARX Interest...	00000...	59-3602626..	.....	.....	.....	ASI Underwriters Corp.....	FL.....	NIA.....	ARX Holding Corp.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3, 5...
.....	The Progressive Corporation, ARX Interest...	00000...	01-0765428..	.....	.....	.....	e-Ins, LLC.....	FL.....	NIA.....	ARX Holding Corp.....	Ownership.....	...90.000	The Progressive Corporation.....	1, 3, 5...
.....	The Progressive Corporation, ARX Interest...	00000...	47-4504370..	.....	.....	.....	PropertyPlus Insurance Agency, Inc.....	DE.....	NIA.....	ARX Holding Corp.....	Ownership.....	...100.000	The Progressive Corporation.....	1, 3, 5...
.....	The Progressive Corporation, ARX Interest...	00000...	01-0765428..	.....	.....	.....	e-Ins, LLC.....	FL.....	NIA.....	ASI Underwriters Corp.....	Ownership.....	...10.000	The Progressive Corporation.....	1, 3, 5...

Asterisk	Explanation
1	Schedule Y Part 1A is a common schedule for all companies of The Progressive Corporation, however column 10 requires specific relationship information relative to the reporting entity.
2	Progressive County Mutual Insurance Company is a Texas county mutual insurance company that is controlled, but not owned by Progressive Casualty Insurance Company.
3	None of the companies that are part of The Progressive Corporation are Federally chartered or insured institutions and therefore, do not have Federal RSSD numbers.
4	Makaira Indica, LP is a limited partnership in which Progressive Casualty Insurance Company is the sole limited partner.
5	Effective April 1, 2015, The Progressive Corporation purchased a majority ownership share in the ARX Holding Corp., which now consists of ARX Holding Corp. and 16 subsidiaries.

## PROGRESSIVE NORTHWESTERN INSURANCE COMPANY PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....			0.0	
2. Allied lines.....			0.0	
3. Farmowners multiple peril.....			0.0	
4. Homeowners multiple peril.....			0.0	
5. Commercial multiple peril.....			0.0	
6. Mortgage guaranty.....			0.0	
8. Ocean marine.....			0.0	
9. Inland marine.....	10,061,394	4,969,452	49.4	53.7
10. Financial guaranty.....			0.0	
11.1. Medical professional liability - occurrence.....			0.0	
11.2. Medical professional liability - claims-made.....			0.0	
12. Earthquake.....			0.0	
13. Group accident and health.....			0.0	
14. Credit accident and health.....			0.0	
15. Other accident and health.....			0.0	
16. Workers' compensation.....			0.0	
17.1 Other liability-occurrence.....	5,343,062	506,127	9.5	16.4
17.2 Other liability-claims made.....		(8,120)	0.0	
17.3 Excess workers' compensation.....			0.0	
18.1 Products liability-occurrence.....			0.0	
18.2 Products liability-claims made.....			0.0	
19.1, 19.2 Private passenger auto liability.....	182,604,090	108,168,021	59.2	60.7
19.3, 19.4 Commercial auto liability.....	21,533,955	9,626,170	44.7	57.8
21. Auto physical damage.....	137,679,039	84,209,475	61.2	62.0
22. Aircraft (all perils).....			0.0	
23. Fidelity.....			0.0	
24. Surety.....			0.0	
26. Burglary and theft.....			0.0	
27. Boiler and machinery.....			0.0	
28. Credit.....			0.0	
29. International.....			0.0	
30. Warranty.....			0.0	
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0.0	
35. Totals.....	357,221,540	207,471,124	58.1	60.2
<b>DETAILS OF WRITE-INS</b>				
3401.....			0.0	
3402.....			0.0	
3403.....			0.0	
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.0	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0.0	

## PART 2 - DIRECT PREMIUMS WRITTEN

Lines of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire.....			
2. Allied lines.....			
3. Farmowners multiple peril.....			
4. Homeowners multiple peril.....			
5. Commercial multiple peril.....			
6. Mortgage guaranty.....			
8. Ocean marine.....			
9. Inland marine.....	3,529,978	11,756,583	11,284,032
10. Financial guaranty.....			
11.1. Medical professional liability - occurrence.....			
11.2. Medical professional liability - claims made.....			
12. Earthquake.....			
13. Group accident and health.....			
14. Credit accident and health.....			
15. Other accident and health.....			
16. Workers' compensation.....			
17.1 Other liability-occurrence.....	1,532,282	5,800,128	5,714,724
17.2 Other liability-claims made.....			
17.3 Excess workers' compensation.....			
18.1 Products liability-occurrence.....			
18.2 Products liability-claims made.....			
19.1 19.2 Private passenger auto liability.....	61,870,568	189,739,335	190,395,541
19.3 19.4 Commercial auto liability.....	4,888,651	20,093,111	23,691,703
21. Auto physical damage.....	47,352,594	145,405,471	143,194,876
22. Aircraft (all perils).....			
23. Fidelity.....			
24. Surety.....			
26. Burglary and theft.....			
27. Boiler and machinery.....			
28. Credit.....			
29. International.....			
30. Warranty.....			
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	0	0	0
35. Totals.....	119,174,072	372,794,628	374,280,875
<b>DETAILS OF WRITE-INS</b>			
3401.....			
3402.....			
3403.....			
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	0	0	0

**PART 3 (000 omitted)**

**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2015 Loss and LAE Payments on Claims Reported as of Prior Year-End	2015 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2015 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2012 + Prior.....	73,916	15,503	89,419	35,119	1,821	36,940	39,371	1,774	10,061	51,206	574	(1,848)	(1,274)
2. 2013.....	89,216	20,812	110,028	42,710	3,863	46,573	46,910	6,539	9,972	63,421	404	(438)	(34)
3. Subtotals 2013 + Prior.....	163,132	36,315	199,447	77,829	5,684	83,513	86,281	8,313	20,033	114,627	978	(2,286)	(1,308)
4. 2014.....	216,655	66,853	283,508	116,665	14,231	130,896	92,102	20,020	26,967	139,089	(7,888)	(5,635)	(13,523)
5. Subtotals 2014 + Prior.....	379,787	103,168	482,955	194,494	19,915	214,409	178,383	28,333	47,000	253,715	(6,910)	(7,921)	(14,831)
6. 2015.....	XXX	XXX	XXX	XXX	410,608	410,608	XXX	195,346	55,215	250,561	XXX	XXX	XXX
7. Totals.....	379,787	103,168	482,955	194,494	430,523	625,017	178,383	223,679	102,215	504,276	(6,910)	(7,921)	(14,831)
8. Prior Year-End's Surplus As Regards Policyholders	387,593										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1. ....(1.8)%	2. ....(7.7)%	3. ....(3.1)%
													Col. 13, Line 7 Line 8
													4. ....(3.8)%

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# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<b>Response</b>
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Supplement be filed with the state of domicile and the NAIC with this statement?	NO

**Explanation:**

- 1.
- 2.
- 3.
- 4.

**Bar Code:**



**Overflow Page for Write-Ins**

**Additional Write-ins for Liabilities:**

	1 Current Statement Date	2 December 31, Prior Year
2504. UNEARNED FEE RESERVE.....		8,548
2597. Summary of remaining write-ins for Line 25.....	0	8,548

**PROGRESSIVE NORTHWESTERN INSURANCE COMPANY  
SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other than temporary impairment recognized.....		
8. Deduct current year's depreciation.....		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other than temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other than temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	0	0

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	1,194,730,773	1,157,641,299
2. Cost of bonds and stocks acquired.....	432,253,528	595,990,578
3. Accrual of discount.....	1,750,167	2,531,375
4. Unrealized valuation increase (decrease).....	(22,354,048)	8,536,766
5. Total gain (loss) on disposals.....	3,789,897	16,087,132
6. Deduct consideration for bonds and stocks disposed of.....	388,587,762	578,418,497
7. Deduct amortization of premium.....	5,073,312	7,637,880
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other than temporary impairment recognized.....	210,376	
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	1,216,298,867	1,194,730,773
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	1,216,298,867	1,194,730,773

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	866,446,530	171,625,113	114,542,404	(708,408)	794,465,797	866,446,530	922,820,831	807,273,845
2. NAIC 2 (a).....	181,548,816	17,974,559	23,466,863	(132,452)	204,194,891	181,548,816	175,924,060	202,369,358
3. NAIC 3 (a).....	32,024,601	2,977,500	2,142,123	(484,412)	46,057,084	32,024,601	32,375,566	13,886,901
4. NAIC 4 (a).....	12,035,466			(488,475)	12,325,860	12,035,466	11,546,991	10,835,135
5. NAIC 5 (a).....							0	
6. NAIC 6 (a).....	1,424			(1,424)	16,202	1,424	0	47,188
7. Total Bonds.....	1,092,056,837	192,577,172	140,151,390	(1,815,171)	1,057,059,834	1,092,056,837	1,142,667,448	1,034,412,427
<b>PREFERRED STOCK</b>								
8. NAIC 1.....							0	
9. NAIC 2.....							0	
10. NAIC 3.....							0	
11. NAIC 4.....							0	
12. NAIC 5.....							0	
13. NAIC 6.....							0	
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	1,092,056,837	192,577,172	140,151,390	(1,815,171)	1,057,059,834	1,092,056,837	1,142,667,448	1,034,412,427

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(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**SCHEDULE DA - PART 1**

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....	6,488,047	XXX.....	6,488,508	7	3,210

**SCHEDULE DA - VERIFICATION**

Short-Term Investments

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	195,049	171,792
2. Cost of short-term investments acquired.....	10,664,675	4,795,265
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	4,371,217	4,772,008
7. Deduct amortization of premium.....	460	
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other than temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	6,488,047	195,049
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	6,488,047	195,049

**Sch. DB-Pt A-Verification  
NONE**

**Sch. DB-Pt B-Verification  
NONE**

**Sch. DB-Pt C-Sn 1  
NONE**

**Sch. DB-Pt C-Sn 2  
NONE**

**Sch. DB-Verification  
NONE**

**SCHEDULE E- VERIFICATION**

## Cash Equivalents

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	25,499,572	15,699,477
2. Cost of cash equivalents acquired.....	101,888,453	306,189,540
3. Accrual of discount.....	6,866	10,555
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	37,900,000	296,400,000
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other than temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	89,494,891	25,499,572
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	89,494,891	25,499,572

**Sch. A-Pt 2  
NONE**

**Sch. A-Pt 3  
NONE**

**Sch. B-Pt 2  
NONE**

**Sch. B-Pt 3  
NONE**

**Sch. BA-Pt 2  
NONE**

**Sch. BA-Pt 3  
NONE**

**PROGRESSIVE NORTHWESTERN INSURANCE COMPANY**

**SCHEDULE D - PART 3**

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1	2		3	4	5		6	7	8	9	10
Identification	Description		Foreign	Date Acquired	Name of Vendor		Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
<b>Bonds - U.S. Special Revenue and Special Assessment</b>											
45505R	BN	4	INDIANA ST	0.600%	05/01/34	JP Morgan Securities		9,580,000	9,580,000		2FE
60416S	KD	1	MINNESOTA ST HSG FIN AGY	4.000%	01/01/41	Royal Bank of Canada		8,223,428	7,620,000		1FE
3199999	Total Bonds - U.S. Special Revenue and Special Assessment							17,803,428	17,200,000	0	XXX
<b>Bonds - Industrial and Miscellaneous</b>											
00764M	FB	8	AABST 2005-2 M1	0.614%	06/25/35	Citicorp Securities Inc.		1,834,140	1,846,835	159	1FM
05367A	AG	8	AVIATION CAPITAL GROUP	2.875%	09/17/18	Deutsche Bank		5,973,180	6,000,000		2FE
14987B	AD	5	CC HOLDINGS GS V LLC/CRO	2.381%	12/15/17	Societe Generale		553,619	550,000	3,638	2FE
29372E	BL	1	EFF 2015-2 A2	1.590%	02/22/21	JP Morgan Securities		11,999,011	12,000,000		1FE
46634G	AF	8	JPMCC 2009-IWST D	7.445%	12/05/27	Bank of America Corp.		2,341,875	2,000,000	11,540	1FM
46636D	AE	6	JPMCC 2011-C4 A3	4.106%	07/15/46	Barclays Capital		2,061,820	1,950,000	1,557	1FM
553514	AA	8	MSBAM 2012-CKSV A1	2.117%	10/15/30	JP Morgan Securities		801,098	814,848	96	1FM
608190	AJ	3	MOHAWK INDUSTRIES INC	3.850%	02/01/23	Cantor Fitzgerald		1,867,760	1,850,000	10,684	2FE
61764P	BA	9	MSBAM 2014-C19 LNC1	3.989%	12/15/46	CSFBdirect		5,549,900	5,651,000	4,383	1FM
74824D	AL	4	QCMT 2013-QCA D	3.474%	01/11/37	Morgan Stanley		2,666,672	2,835,000	6,785	1FM
790849	AL	7	ST JUDE MEDICAL INC	2.000%	09/15/18	Bank of America Corp.		14,963,850	15,000,000		1FE
89236T	CP	8	TOYOTA MOTOR CREDIT CORP	1.550%	07/13/18	JP Morgan Securities		9,991,500	10,000,000		1FE
90290X	AB	3	USAOT 2015-1 A2	0.820%	03/15/18	Royal Bank of Canada		4,999,814	5,000,000		1FE
91324P	CB	6	UNITEDHEALTH GRP INC	1.625%	03/15/19	Various		8,457,212	8,577,000	65,042	1FE
91830C	AL	2	VNDO 2012-6AVE D	3.337%	11/15/30	Morgan Stanley		1,935,313	2,000,000	5,173	1FM
929160	AS	8	VULCAN MATERIALS CO	4.500%	04/01/25	Bank of America Corp.		2,977,500	3,000,000	47,250	3FE
3899999	Total Bonds - Industrial and Miscellaneous							78,974,264	79,074,683	156,307	XXX
8399997	Total Bonds - Part 3							96,777,692	96,274,683	156,307	XXX
8399999	Total Bonds							96,777,692	96,274,683	156,307	XXX
<b>Common Stocks - Industrial and Miscellaneous</b>											
30303M	10	2	FACEBOOK INC A		09/29/2015	State Street Bank		7,700,000	680,166	XXX	L
45337C	10	2	INCYTE GENOMICS INC		09/29/2015	State Street Bank		2,700,000	269,630	XXX	L
500754	10	6	KRAFT HEINZ CO/THE		07/06/2015	State Street Bank		17,716,000	556,087	XXX	L
70450Y	10	3	PAYPAL HOLDINGS INC-W/I		07/20/2015	Spin Off		10,000,000	296,752	XXX	L
92343V	10	4	VERIZON COMMUNICATIONS INC		09/29/2015	State Street Bank		8,800,000	384,446	XXX	L
9099999	Total Common Stocks - Industrial and Miscellaneous							2,187,081	XXX	0	XXX
9799997	Total Common Stocks - Part 3							2,187,081	XXX	0	XXX
9799999	Total Common Stocks							2,187,081	XXX	0	XXX
9899999	Total Preferred and Common Stocks							2,187,081	XXX	0	XXX
9999999	Total Bonds, Preferred and Common Stocks							98,964,773	XXX	156,307	XXX

QE04

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
<b>Bonds - U.S. Government</b>																					
912828	PM 6 US TREASURY NOTE 2.125% 12/31/15		08/25/2015	CSFBdirect		38,942,903	38,680,000	38,689,066	38,681,894		(2,153)		(2,153)		38,679,740		263,163	263,163	538,288	12/31/2015	1
0599999 Total Bonds - U.S. Government						38,942,903	38,680,000	38,689,066	38,681,894	0	(2,153)	0	(2,153)	0	38,679,740	0	263,163	263,163	538,288	XXX	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>																					
3137AH	6D 5 FHMS 2011-K015 X1 IO 1.633% 07/25/21		09/01/2015	Paydown				78,028	468,147		(468,147)		(468,147)					0	(205,039)	07/25/2021	1
31392C	MS 0 FNW 2002-W1 2A 6.391% 02/25/42		09/01/2015	Paydown		13,282	13,282	13,958	13,495		(213)		(213)		13,282			0	582	02/25/2042	1
34073N	SZ 9 FLORIDA HSG FIN CORP 6.450% 01/01/39		07/01/2015	Call 100.0000		695,000	695,000	746,597	744,441		(49,441)		(49,441)		695,000			0	44,828	07/01/2037	1FE
34074M	BP 5 FLORIDA HSG FIN CORP REV 5.500% 01/01/41		07/01/2015	Call 100.0000		410,000	410,000	440,258	420,052		(10,052)		(10,052)		410,000			0	22,550	01/01/2018	1FE
462467	AP 6 IOWA FIN AUTH SF MTG 5.750% 07/01/36		07/02/2015	Call 100.0000		455,000	455,000	479,816	460,344		(5,344)		(5,344)		455,000			0	26,235	07/01/2016	1FE
462467	NS 6 IOWA FIN AUTH SF MTG 4.500% 07/01/28		08/14/2015	Call 100.0000		100,000	100,000	107,610	104,054		(4,054)		(4,054)		100,000			0	4,893	01/01/2021	1FE
647200	TH 7 NEW MEXICO MTG FIN AGY 5.600% 01/01/38		07/01/2015	Call 100.0000		240,000	240,000	258,600	251,804		(11,804)		(11,804)		240,000			0	13,440	07/01/2022	1FE
676907	KV 9 OHIO HSG FIN AGY 5.500% 03/01/36		09/01/2015	Call 100.0000		95,000	95,000	101,393	95,920		(920)		(920)		95,000			0	5,225	09/01/2016	1FE
67886M	NN 5 OKLAHOMA HSG FIN SF 4.500% 09/01/27		09/01/2015	Call 100.0000		110,000	110,000	118,441	114,953		(4,953)		(4,953)		110,000			0	4,538	09/01/2021	1FE
83712D	QV 1 SOUTH CAROLINA HSG 6.000% 07/01/38		07/01/2015	Call 100.0000		135,000	135,000	146,372	138,893		(3,893)		(3,893)		135,000			0	8,100	01/01/2017	1FE
3199999 Total Bonds - U.S. Special Revenue and Special Assessment						2,253,282	2,253,282	2,491,073	2,812,103	0	(558,821)	0	(558,821)	0	2,253,282	0	0	0	(74,648)	XXX	XXX
<b>Bonds - Industrial and Miscellaneous</b>																					
00764M	FB 8 AABST 2005-2 M1 0.614% 06/25/35		09/25/2015	Paydown		677,346	677,346	611,691	660,672		16,674		16,674		677,346			0	2,793	06/25/2035	1FM
02582J	GD 6 AMXCA 2012-2 A 0.680% 03/15/18		08/15/2015	Paydown		16,000,000	16,000,000	16,027,500	16,018,680		(18,680)		(18,680)		16,000,000			0	72,533	03/15/2018	1FE
09658U	AB 5 BMWOT 2014-A A2 0.530% 04/25/17		09/25/2015	Paydown		4,152,342	4,152,342	4,152,202	4,152,230		112		112		4,152,342			0	14,616	04/25/2017	1FE
12648G	AU 1 CSMC 2014-3R 3A1 2.250% 09/27/35		09/01/2015	Paydown		483,719	483,719	467,998	469,553		14,166		14,166		483,719			0	7,534	09/27/2035	1FM
126670	AW 8 CWL 2005-10 MV2 0.674% 02/25/36		09/25/2015	Paydown		816,303	816,303	743,856	786,556		29,747		29,747		816,303			0	3,805	02/25/2036	1FM
17307G	WR 5 CMLTI 2005-HE3 M1 0.654% 09/25/35		09/25/2015	Paydown		604,064	604,064	588,208	600,521		3,544		3,544		604,064			0	2,976	09/25/2035	1FM
20046F	AS 9 COMM 2001-J2A X IO 0.350% 07/01/34		09/01/2015	Paydown		928	928	75	75		(75)		(75)		928			0	41	07/01/2034	3FE
22540V	G6 3 CSFB 2002-9 1A1 7.000% 03/25/40		09/01/2015	Paydown		6,618	6,618	6,710	6,617	201	(200)		1		6,618			0	320	03/25/2040	1FM
278865	AN 0 ECOLAB INC 1.000% 08/09/15		08/09/2015	Maturity		7,000,000	7,000,000	6,996,500	6,999,270		730		730		7,000,000			0	70,000	08/09/2015	2FE
33736X	AH 2 FUNBC 2000-C1 IO 1.724% 05/01/32		09/01/2015	Paydown		1,135	1,135	267	267		(267)		(267)		1,135			0	669	05/01/2032	6FE
33736X	CR 8 FUNBC 2001-C2 IO 1.842% 01/12/43		07/01/2015	Paydown		371	371	0	0		0		0		371			0	54	01/12/2043	6FE
34530D	AC 3 FORDO 2013-C A3 0.820% 12/15/17		09/15/2015	Paydown		888,925	888,925	888,775	888,872		53		53		888,925			0	4,848	12/15/2017	1FE
35802X	AF 0 FRESENIUS MED CARE II 5.875% 01/31/22		08/26/2015	Royal Bank of Canada		2,165,000	2,000,000	2,160,000	2,154,614		(12,492)		(12,492)		2,142,123		22,877	22,877	127,292	01/31/2022	3FE
3622N6	AG 4 GSR 2007-AR2 4A1 2.814% 02/25/51		09/01/2015	Paydown		213,512	213,512	207,827	207,827		5,685		5,685		213,512			0	3,830	02/25/2051	1FM
36830L	AC 7 GEEST 2013-1A A3 1.020% 02/24/17		09/24/2015	Paydown		4,019,683	4,019,683	4,019,183	4,019,406		277		277		4,019,683			0	27,232	02/24/2017	1FE
43814C	AC 3 HAROT 2013-1 A3 0.480% 11/21/16		09/21/2015	Paydown		1,078,128	1,078,128	1,078,213	1,078,196		(68)		(68)		1,078,128			0	3,435	11/21/2016	1FE
44890P	AC 9 HALST 2013-B A3 0.980% 10/17/16		09/15/2015	Paydown		10,009,238	10,009,238	10,009,030	10,009,167		71		71		10,009,238			0	65,434	10/17/2016	1FE
44921S	AG 7 HALST 2013-A A4 0.770% 10/17/16		09/15/2015	Paydown		14,000,000	14,000,000	14,022,969	14,015,263		(15,263)		(15,263)		14,000,000			0	74,684	10/17/2016	1FE
46628K	AT 7 JPMMT 2006-A3 6A1 2.741% 08/25/34		09/01/2015	Paydown		41,204	41,204	39,989	43,774		(2,570)		(2,570)		41,204			0	711	08/25/2034	1FM
46628K	AV 2 JPMMT 2006-A3 7A1 2.618% 12/25/48		09/01/2015	Paydown		109,495	109,495	105,914	105,914		3,580		3,580		109,495			0	1,867	12/25/2048	1FM
502413	AZ 0 L-3 COMMUNICATIONS CORP 4.750% 07/15/20		09/16/2015	Various		16,156,315	15,408,000	16,910,222	16,599,292		(132,429)		(132,429)		16,466,863		(310,549)	(310,549)	824,418	07/15/2020	2FE

QE05

**SCHEDULE D - PART 4**

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
55292L AC 8	MTBAT 2013-1A A3 1.060% 11/15/17		09/15/2015	Paydown		1,277,861	1,277,861	1,277,844	1,277,855		6		6		1,277,861			0	8,970	11/15/2017	1FE
55313U AD 1	MMAF 2009-AA A4 3.510% 01/15/30		09/15/2015	Paydown		663,420	663,420	690,787	666,826		(3,406)		(3,406)		663,420			0	15,365	01/15/2030	1FE
553514 AA 8	MSBAM 2012-CKSV A1 2.117% 10/15/30		09/01/2015	Paydown		7,897	7,897	7,764			133		133		7,897			0	14	10/15/2030	1FE
587680 AD 1	MBART 2012-1 A4 0.610% 12/17/18		09/15/2015	Paydown		1,129,873	1,129,873	1,129,917	1,129,914		(41)		(41)		1,129,873			0	5,169	12/17/2018	1FE
60689L AB 1	MMAF 2013-AA A2 0.690% 05/09/16		08/09/2015	Paydown		927,399	927,399	927,302	927,380		19		19		927,399			0	3,831	05/09/2016	1FE
68389F KP 8	OOMLT 2006-1 2A3 0.384% 01/25/36		09/25/2015	Paydown		122,283	122,283	103,885	112,601		9,683		9,683		122,283			0	298	01/25/2036	1FM
73329A AB 4	PILOT 2014-1 A2 0.650% 01/20/17		09/20/2015	Paydown		2,470,519	2,470,519	2,470,515	2,470,517		3		3		2,470,519			0	10,751	01/20/2017	1FE
78444V AA 9	SLCLT 2010-B A1 4.000% 07/15/42		09/15/2015	Paydown		282,946	282,946	286,120	285,884		(2,938)		(2,938)		282,946			0	7,651	07/15/2042	1FE
78447X AA 2	SLMA 2013-C A1 1.057% 02/15/22		09/15/2015	Paydown		685,080	685,080	685,080	685,117		(37)		(37)		685,080			0	4,766	02/15/2022	1FE
83611M GG 7	SVHE 2005-OPT2 A6 0.574% 08/25/35		09/25/2015	Paydown		3,159,206	3,159,206	3,096,022	3,151,406		7,800		7,800		3,159,206			0	11,888	08/25/2035	1FM
949802 AA 0	WFMB 2003-1 A1 2.609% 09/25/33		09/01/2015	Paydown		97,298	97,298	97,005	99,262		(1,964)		(1,964)		97,298			0	1,518	09/25/2033	1FM
98158L AB 3	WOART 2014-A A2 0.430% 05/15/17		09/15/2015	Paydown		3,804,573	3,804,573	3,804,331	3,804,473		100		100		3,804,573			0	10,847	05/15/2017	1FE
98160L AD 5	WOLS 2013-A A3 1.100% 12/15/16		09/15/2015	Paydown		980,450	980,450	980,293	980,383		67		67		980,450			0	7,944	12/15/2016	1FE
3899999	Total Bonds - Industrial and Miscellaneous					94,030,697	93,117,382	94,596,086	94,408,384	201	(97,980)	0	(97,779)	0	94,318,368	0	(287,672)	(287,672)	1,398,104	XXX	XXX
8399997	Total Bonds - Part 4					135,226,882	134,050,664	135,776,225	135,902,381	201	(658,954)	0	(658,753)	0	135,251,390	0	(24,509)	(24,509)	1,861,744	XXX	XXX
8399999	Total Bonds					135,226,882	134,050,664	135,776,225	135,902,381	201	(658,954)	0	(658,753)	0	135,251,390	0	(24,509)	(24,509)	1,861,744	XXX	XXX
<b>Common Stocks - Industrial and Miscellaneous</b>																					
060505 10 4	BANK OF AMERICA		07/01/2015	Class Action Litigation		78,066	XXX						0				78,066	78,066		XXX	L
278642 10 3	EBAY INC		07/20/2015	Spin Off		296,752	XXX	296,752	325,003	(28,251)			(28,251)		296,752			0		XXX	L
441060 10 0	HOSPIRA		09/04/2015	Class Action Litigation		9,794	XXX						0				9,794	9,794		XXX	L
50076Q 10 6	KRAFT FOODS INC		07/06/2015	State Street Bank	17,716,000	848,401	XXX	556,087	1,110,085	(553,997)			(553,997)		556,087		292,314	292,314	19,488	XXX	L
571748 10 2	MARSH & MCLENNAN		07/01/2015	Class Action Litigation		95	XXX						0				95	95		XXX	L
969455 10 4	WILLIAMS		09/04/2015	Class Action Litigation		366	XXX						0				366	366		XXX	L
9099999	Total Common Stocks - Industrial and Miscellaneous					1,233,474	XXX	852,839	1,435,088	(582,248)	0	0	(582,248)	0	852,839	0	380,635	380,635	19,488	XXX	XXX
9799997	Total Common Stocks - Part 4					1,233,474	XXX	852,839	1,435,088	(582,248)	0	0	(582,248)	0	852,839	0	380,635	380,635	19,488	XXX	XXX
9799999	Total Common Stocks					1,233,474	XXX	852,839	1,435,088	(582,248)	0	0	(582,248)	0	852,839	0	380,635	380,635	19,488	XXX	XXX
9899999	Total Preferred and Common Stocks					1,233,474	XXX	852,839	1,435,088	(582,248)	0	0	(582,248)	0	852,839	0	380,635	380,635	19,488	XXX	XXX
9999999	Total Bonds, Preferred and Common Stocks					136,460,356	XXX	136,629,064	137,337,469	(582,047)	(658,954)	0	(1,241,001)	0	136,104,229	0	356,126	356,126	1,881,232	XXX	XXX

QE05.1

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

**Sch. DB-Pt A-Sn 1  
NONE**

**Sch. DB-Pt B-Sn 1  
NONE**

**Sch. DB-Pt D-Sn 1  
NONE**

**Sch. DB-Pt D-Sn 2  
NONE**

**Sch. DL-Pt. 1  
NONE**

**Sch. DL-Pt. 2  
NONE**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
CITIBANK..... NEW YORK, NY.....								XXX
STATE STREET BANK..... KANSAS CITY, MO.....								XXX
0199999. Total Open Depositories.....	XXX	XXX	.....0	.....0	.....0	.....0	.....0	XXX
0399999. Total Cash on Deposit.....	XXX	XXX	.....0	.....0	.....0	.....0	.....0	XXX
0599999. Total Cash.....	XXX	XXX	.....0	.....0	.....0	.....0	.....0	XXX

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
<b>Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations</b>							
BANK NOVA SCOTIA.....		08/21/2015	0.100	10/22/2015	19,998,833		2,278
CHEVRON FUNDING CORP.....		09/02/2015	0.070	10/22/2015	4,999,796		282
WALT DISNEY COMPANY.....		09/01/2015	0.080	10/06/2015	4,999,944		333
METLIFE ST FDG.....		08/27/2015	0.100	10/28/2015	14,998,875		1,458
METLIFE ST FDG.....		08/26/2015	0.100	10/28/2015	4,799,640		480
CPPIB CAPITAL INC.....		09/29/2015	0.100	11/09/2015	12,498,646		69
ONTARIO (PROVINCE OF).....		09/29/2015	0.080	10/23/2015	16,999,169		76
QUEBEC PROVINCE.....		08/31/2015	0.070	10/01/2015	10,000,000		603
WAL-MART STORES INC.....		09/30/2015	0.080	10/29/2015	199,988		
3299999. Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations.....					89,494,891	0	5,579
3899999. Total - Industrial and Miscellaneous (Unaffiliated).....					89,494,891	0	5,579
<b>Total Bonds</b>							
7799999. Subtotals - Issuer Obligations.....					89,494,891	0	5,579
8399999. Subtotals - Bonds.....					89,494,891	0	5,579
8699999. Total - Cash Equivalents.....					89,494,891	0	5,579

QE13