



QUARTERLY STATEMENT AS OF SEPTEMBER 30, 2015 OF THE CONDITION AND AFFAIRS OF THE HEALTHCARE UNDERWRITERS GROUP, INC.

NAIC Group Code 0000 , 0000 NAIC Company Code 12233 Employer's ID Number 74-3129288
(Current Period) (Prior Period)

Organized under the Laws of Ohio , State of Domicile or Port of Entry Ohio

Country of Domicile United States of America

Incorporated/Organized 11/30/2004 Commenced Business 12/14/2004

Statutory Home Office 1900 Polaris Parkway, Suite 450 , Columbus, OH, US 43240-4064
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1250 South Pine Island Road, Suite 300
(Street and Number)

Plantation, FL, US 33324-4402 (866)484-5715
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 1250 South Pine Island Road, Suite 300 , Plantation, FL, US 33324-4402
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1250 South Pine Island Road, Suite 300
(Street and Number)

Plantation, FL, US 33324-4402 (866)484-5715
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.hugroupinc.com

Statutory Statement Contact Thomas William Mueller, CPA, CGMA (866)484-5716
(Name) (Area Code)(Telephone Number)(Extension)

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(E-Mail Address) (Fax Number)

OFFICERS

Name	Title	#
Joshua Marc Salman	CEO & President	#
David Wayne Lester CPA, CGMA	Treasurer, VP, CFO	#
Thomas William Mueller CPA, CGMA	Secretary, VP Finance & Controller	#
Morton Caldwell Bell	VP, Chief Underwriting Officer	#
William Carl Ludwig JD	VP, Chief Claims Officer	#
Ronald Joseph Goff	VP, Chief Sales & Marketing Officer	#

OTHERS

DIRECTORS OR TRUSTEES

Morton Caldwell Bell #	Ronald Joseph Goff #
David Wayne Lester CPA, CGMA #	William Carl Ludwig JD #
Thomas William Mueller CPA, CGMA #	Joshua Marc Salman #

State of Ohio
 County of Franklin ss

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of the said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)
 Joshua Marc Salman
(Printed Name)
 1.
 CEO & President
(Title)

(Signature)
 David Wayne Lester, CPA, CGMA
(Printed Name)
 2.
 Treasurer, VP, CFO
(Title)

(Signature)
 Thomas William Mueller, CPA, CGMA
(Printed Name)
 3.
 Secretary, VP Finance & Controller
(Title)

Subscribed and sworn to before me this _____ day of _____, 2015

- a. Is this an original filing? _____
 b. If no, 1. State the amendment number _____
 2. Date filed _____
 3. Number of pages attached _____

Yes[X] No []

(Notary Public Signature)

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	76,106,023		76,106,023	75,355,147
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks	8,000,810		8,000,810	8,130,669
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances)				
4.2 Properties held for the production of income (less \$.....0 encumbrances)				
4.3 Properties held for sale (less \$.....0 encumbrances)				
5. Cash (\$.....228,195), cash equivalents (\$.....906,686) and short-term investments (\$.....663,833)	1,798,714		1,798,714	4,251,996
6. Contract loans (including \$.....0 premium notes)				
7. Derivatives				
8. Other invested assets				
9. Receivables for securities				
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets				
12. Subtotals, cash and invested assets (Lines 1 to 11)	85,905,547		85,905,547	87,737,813
13. Title plants less \$.....0 charged off (for Title insurers only)				
14. Investment income due and accrued	478,377		478,377	502,964
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	1,548,895		1,548,895	
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums)				
15.3 Accrued retrospective premiums				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	49,759		49,759	313,576
16.2 Funds held by or deposited with reinsured companies	100,000		100,000	
16.3 Other amounts receivable under reinsurance contracts				
17. Amounts receivable relating to uninsured plans				
18.1 Current federal and foreign income tax recoverable and interest thereon	1,245,984		1,245,984	
18.2 Net deferred tax asset	2,015,272	107,904	1,907,368	1,041,201
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software	2,953	2,953		
21. Furniture and equipment, including health care delivery assets (\$.....0)				
22. Net adjustments in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				1,967,975
24. Health care (\$.....0) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	400,041	400,041		
26. TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	91,746,828	510,898	91,235,930	91,563,529
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. TOTAL (Lines 26 and 27)	91,746,828	510,898	91,235,930	91,563,529
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501. Other Prepaid Expenses	400,041	400,041		
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	400,041	400,041		

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$.....3,615,024)	20,141,205	19,903,181
2. Reinsurance payable on paid losses and loss adjustment expenses		
3. Loss adjustment expenses	13,117,841	13,824,365
4. Commissions payable, contingent commissions and other similar charges	706,282	54,298
5. Other expenses (excluding taxes, licenses and fees)	321,041	580,291
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	121,357	85,863
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses))		83,887
7.2 Net deferred tax liability		
8. Borrowed money \$.....0 and interest thereon \$.....0		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....610,124 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act)	9,639,673	6,708,116
10. Advance premium	572,691	1,555,221
11. Dividends declared and unpaid:		
11.1 Stockholders		
11.2 Policyholders		
12. Ceded reinsurance premiums payable (net of ceding commissions)	1,677,913	3,170,218
13. Funds held by company under reinsurance treaties		
14. Amounts withheld or retained by company for account of others		
15. Remittances and items not allocated		
16. Provision for reinsurance (including \$.....0 certified)		
17. Net adjustments in assets and liabilities due to foreign exchange rates		
18. Drafts outstanding		
19. Payable to parent, subsidiaries and affiliates	391,041	
20. Derivatives		
21. Payable for securities		
22. Payable for securities lending		
23. Liability for amounts held under uninsured plans		
24. Capital notes \$.....0 and interest thereon \$.....0		
25. Aggregate write-ins for liabilities		
26. TOTAL liabilities excluding protected cell liabilities (Lines 1 through 25)	46,689,044	45,965,440
27. Protected cell liabilities		
28. TOTAL liabilities (Lines 26 and 27)	46,689,044	45,965,440
29. Aggregate write-ins for special surplus funds		
30. Common capital stock	8,186,047	8,186,047
31. Preferred capital stock		
32. Aggregate write-ins for other than special surplus funds		
33. Surplus notes		
34. Gross paid in and contributed surplus	31,680,780	31,680,780
35. Unassigned funds (surplus)	4,680,059	5,731,262
36. Less treasury stock, at cost:		
36.10 shares common (value included in Line 30 \$.....0)		
36.20 shares preferred (value included in Line 31 \$.....0)		
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	44,546,886	45,598,089
38. TOTALS (Page 2, Line 28, Col. 3)	91,235,930	91,563,529
DETAILS OF WRITE-INS		
2501.		
2502.		
2503.		
2598. Summary of remaining write-ins for Line 25 from overflow page		
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)		
2901.		
2902.		
2903.		
2998. Summary of remaining write-ins for Line 29 from overflow page		
2999. TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above)		
3201.		
3202.		
3203.		
3298. Summary of remaining write-ins for Line 32 from overflow page		
3299. TOTALS (Lines 3201 through 3203 plus 3298) (Line 32 above)		

STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned			
1.1 Direct (written \$.....10,885,154)	10,020,330	10,790,447	14,836,237
1.2 Assumed (written \$.....4,197,720)	2,126,427		
1.3 Ceded (written \$.....1,568,704)	1,564,144	2,350,408	3,295,439
1.4 Net (written \$.....13,514,170)	10,582,613	8,440,039	11,540,798
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....3,615,024)			
2.1 Direct	1,203,278	2,471,837	4,155,243
2.2 Assumed	1,102,151		
2.3 Ceded	287,405	326,441	1,816,775
2.4 Net	2,018,024	2,145,396	2,338,468
3. Loss adjustment expenses incurred	3,801,926	4,711,464	6,405,046
4. Other underwriting expenses incurred	6,261,094	4,553,034	5,897,303
5. Aggregate write-ins for underwriting deductions			
6. TOTAL underwriting deductions (Lines 2 through 5)	12,081,044	11,409,894	14,640,817
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	(1,498,431)	(2,969,855)	(3,100,019)
INVESTMENT INCOME			
9. Net investment income earned	1,379,085	1,349,186	1,756,775
10. Net realized capital gains (losses) less capital gains tax of \$.....0	221,609	258,741	207,075
11. Net investment gain (loss) (Lines 9 + 10)	1,600,694	1,607,927	1,963,850
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0)			
13. Finance and service charges not included in premiums			
14. Aggregate write-ins for miscellaneous income	18,985	21,532	25,057
15. TOTAL other income (Lines 12 through 14)	18,985	21,532	25,057
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	121,248	(1,340,396)	(1,111,112)
17. Dividends to policyholders			
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	121,248	(1,340,396)	(1,111,112)
19. Federal and foreign income taxes incurred	(26,013)	70,038	272,297
20. Net income (Line 18 minus Line 19) (to Line 22)	147,261	(1,410,434)	(1,383,409)
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year	45,598,089	47,578,681	47,578,681
22. Net income (from Line 20)	147,261	(1,410,434)	(1,383,409)
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....0	(603,033)	283,234	495,970
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	(121,850)	160,700	(167,239)
27. Change in nonadmitted assets	2,066,000	(360,785)	(925,914)
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from Protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			8,186,047
32.2 Transferred from surplus (Stock Dividend)		8,186,047	
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in			(8,186,047)
33.2 Transferred to capital (Stock Dividend)		(8,186,047)	
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus	(2,539,581)		
38. Change in surplus as regards policyholders (Lines 22 through 37)	(1,051,203)	(1,327,285)	(1,980,592)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	44,546,886	46,251,396	45,598,089
DETAILS OF WRITE-INS			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page			
0599. TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)			
1401. Municipal Tax Collection Fee Income	18,985	21,532	25,057
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page			
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	18,985	21,532	25,057
3701. Merger adjustments	(2,539,581)		
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page			
3799. TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above)	(2,539,581)		

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	9,390,440	9,680,111	13,023,481
2. Net investment income	1,730,998	1,828,332	2,366,358
3. Miscellaneous income	18,985	21,532	25,057
4. TOTAL (Lines 1 to 3)	11,140,423	11,529,975	15,414,896
5. Benefit and loss related payments	1,516,183	3,783,020	5,439,950
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	10,568,647	11,357,658	14,709,850
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses)	(4,410)	93,499	58,178
10. TOTAL (Lines 5 through 9)	12,080,420	15,234,177	20,207,978
11. Net cash from operations (Line 4 minus Line 10)	(939,997)	(3,704,202)	(4,793,082)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	18,908,945	17,301,093	23,415,924
12.2 Stocks	2,246,107	2,650,414	4,265,667
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds			
12.8 TOTAL investment proceeds (Lines 12.1 to 12.7)	21,155,052	19,951,507	27,681,591
13. Cost of investments acquired (long-term only):			
13.1 Bonds	19,661,511	9,083,523	13,555,443
13.2 Stocks	2,823,308	10,097,077	10,993,756
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications			
13.7 TOTAL investments acquired (Lines 13.1 to 13.6)	22,484,819	19,180,600	24,549,199
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(1,329,767)	770,907	3,132,392
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	(183,518)	(696,683)	(733,847)
17. Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	(183,518)	(696,683)	(733,847)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(2,453,282)	(3,629,978)	(2,394,537)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	4,251,996	6,646,533	6,646,533
19.2 End of period (Line 18 plus Line 19.1)	1,798,714	3,016,555	4,251,996

Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:

20.0001				
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Notes to Financial Statement**1 Summary of Significant Accounting Policies**

A. The accompanying financial statements of Healthcare Underwriters Group, Inc. (HU or the Company) have been completed in accordance with the *Accounting Practices and Procedures Manual*.

B. - No change.

C. - Loan-backed securities are stated at amortized cost.

2 Accounting Changes and Corrections of Errors - No change.

3 Business Combinations and Goodwill - On January 8, 2015, a merger was completed among Healthcare Underwriters Group of Florida, Inc., Healthcare Underwriters Group Insurance Company of Kentucky, Inc. and Healthcare Underwriters Group of Ohio, Inc. and their respective management companies to form a combined medical professional liability insurance company. The combined company, Healthcare Underwriters Group, Inc. (HU), is wholly owned by a holding company, Global Insurance Management Company, Inc. (GIMC), which is owned by the former owners of all of the companies. For all future financial reporting purposes, the combination will be treated as though it occurred on January 1, 2015.

Because of the change in the relationship of the companies, management identified certain post merger adjustments that were required to recognize the merged relationship. Historical accounting resulted in a non-admitted "prepaid management fee" and an admitted "prepaid advance to affiliate" for the unallocated loss adjustment expense (ULAE) portion on HU's financial statements.

The adjustments mentioned above have no effect on post merger operating results and therefore are not reflected in the 2015 operating results of the company but as adjustments to HU's surplus on the Balance Sheet. The adjustments total \$2,539,581, net of tax of \$1,308,269, and are shown on the Statement of Income on line 37 as a reduction in surplus.

4 Discontinued Operations - No change.**5 Investments**

A. Mortgage Loans - No change.

B. Debt Restructuring - No change.

C. Reverse Mortgages - No change.

D. Loan-Backed Securities

(1) The Company's loan-backed securities consist entirely of U.S. Government residential mortgage-backed securities. Any prepayment assumptions are provided by the Company's investment advisors, PNC Capital Advisors, LLC and Regions Investment Management.

(2) & (3) None of the Company's loan-backed securities have a recognized other-than-temporary impairment.

(4) All impaired securities (fair value is less than amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss:

a. The aggregate amount of unrealized losses:

1. Less than 12 Months	\$	11,280
2. 12 Months or Longer	\$	295,336

b. The aggregate related fair value of securities with unrealized losses:

1. Less than 12 Months	\$	2,324,011
2. 12 Months or Longer	\$	5,077,622

(5) Advice from the Company's investment managers was considered in reaching the conclusion that the impairments are not other-than-temporary.

E. Repurchase Agreements and/or Securities Lending Transactions

(1) - (2) No change.

(3) a. No change.

(3) b. The Company has not accepted collateral that it is permitted by contract or custom to sell or repledge. Therefore, there is no aggregate fair value of securities acquired from the sale, trade or use of collateral.

(3) c. No change.

(4) - (5) No change.

F. Real Estate - No change.**G. Investments in low-income housing tax credits - No change.**

H. Restricted Assets - At Sept. 30, 2015, U.S. Treasury securities and a short-term investment having an amortized cost of \$1,016,869 and a fair value of \$1,022,739 were pledged with the Commonwealth of Kentucky as a statutory deposit as required by Kentucky Insurance Statutes. A U. S. Treasury security and a short-term investment having an amortized cost of \$101,788 and a fair value of \$103,047 were pledged with the State of Indiana as a statutory deposit as required by Indiana Insurance Statutes. (HU was granted authority to transact medical professional liability insurance business by the State of Indiana on April 28, 2015.) A short-term investment having an amortized cost and fair value of \$250,000 was held by the State of Florida as a statutory deposit required by Florida Insurance Statutes.

HU has a deposit of \$100,000 in a Claim Fund with a reinsured for use in paying losses in conjunction with the quota share reinsurance treaty discussed in Note 23. The Claim Fund is maintained in a segregated, interest-bearing account. The deposit is classified as "funds held by or deposited with reinsured companies" on HU's Assets, page 2. Also, HU has established a Trust Account for the sole use and benefit of the reinsured as collateral. HU has control over the investment of the collateral subject to the reinsured's approval. The Trust Account had an amortized cost of \$1,134,646 and a fair value of \$1,138,973 as of September 30, 2015.

I. Working Capital Finance Investments - No change.**6 Joint Ventures, Partnerships and Limited Liability Companies - No change.**

Notes to Financial Statement

- 7 Investment Income - No change.
- 8 Derivative Instruments - No change.
- 9 Income Taxes - No change.
- 10 Information Concerning Parent, Subsidiaries and Affiliates - No change.
- 11 Debt - No change.
- 12 Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans
The Company does not sponsor a defined benefit plan or any other defined benefit postretirement plan.
- 13 Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations
The Company was organized as a non-assessable mutual insurance company with no capital stock. Prior to the merger mentioned above, the Company converted to a stock insurance company effective August 25, 2014. In connection with the merger, each outstanding share of HU's common stock was exchanged for 1 share of GIMC's common stock. As a result, GIMC holds 8,186,047 shares of common stock in HU.
- 14 Contingencies - No change.
- 15 Leases - No change.
- 16 Information About Financial Instruments With Off-Balance Sheet Risk & Financial Instruments With Concentrations of Credit Risk - No change
- 17 Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities
- A. Transfers of Receivables Reported as Sales - No change
- B. Transfer and Servicing of Financial Assets
- (1) - No change.
- (2) a. - Since the Company has no servicing assets and servicing liabilities, there are no instruments used to mitigate the income statement effect of changes in fair value to the servicing assets and servicing liabilities.
- (2) b. - Since the Company has no servicing assets and servicing liabilities, there are no contractually specified servicing fees.
- (2) c. - Since the Company has no servicing assets and servicing liabilities, there is no quantitative and qualitative information about the assumptions used to estimate the fair value.
- (3) - No change.
- (4) a. - Since the Company has no securitizations, asset-backed financing arrangements and similar transfers accounted for as sales when the transferor has continuing involvement with the transferred financial assets, this section is not applicable.
- (4) b. - Since the Company has no securitizations, asset-backed financing arrangements and similar transfers accounted for as sales when the transferor has continuing involvement with the transferred financial assets, this section is not applicable.
- (4) c. - d. - No change.
- (5) - (6) - No change.
- C. Wash Sales - The Company has no wash sales.
- 18 Gain or Loss to the Reporting Entity from Uninsured Plans & the Uninsured Portion of Partially Insured Plans (N/A - Title) - No change.
- 19 Direct Premium Written/Produced by Managing General Agents/Third Party Administrators (N/A - Title) - No change.
- 20 Fair Value Measurements
- A. The Company's estimates of fair value for financial assets and financial liabilities are based on the framework established in the Fair Value Measurements and Disclosures accounting guidance. The framework is based on the inputs used in valuation and requires that observable inputs be used in the valuations when available. The disclosure of fair value estimates in the fair value accounting guidance includes a hierarchy based on whether significant valuation inputs are observable. In determining the level of the hierarchy in which the estimate is disclosed, the highest priority is given to unadjusted quoted prices in active markets and the lowest priority to unobservable inputs that reflect HU's significant market assumptions. The only assets and liabilities measured and reported at fair value are common stocks. The three levels of the hierarchy are as follows:
- Level 1 - Quoted prices in active markets for identical securities.
Level 2 - Quoted prices in active markets for similar securities.
Level 3 - Inputs to the valuation methodology are unobservable for the asset or liability and are significant to the fair value measurement.
- (1) Fair Value Measurements at September 30, 2015:
- | | (Level 1) | (Level 2) | (Level 3) | Total |
|-------------------------------------|---------------------|-------------|-------------|---------------------|
| Assets at fair value: | | | | |
| Common Stocks - Industrial and Misc | <u>\$ 8,000,810</u> | <u>\$ -</u> | <u>\$ -</u> | <u>\$ 8,000,810</u> |
- B. Combination of fair value information disclosed under SSAP No. 100, Fair Value Measurements, with the fair value information disclosed under other accounting pronouncements - Not applicable.
- C. Aggregate value and level of hierarchy:
- | Type of Financial Instrument: | Aggregate Fair Value | Admitted Assets | (Level 1) | (Level 2) | (Level 3) | Not Practicable (Carrying Value) |
|-------------------------------|----------------------|---------------------|---------------------|-------------|-------------|----------------------------------|
| Common Stocks | <u>\$ 8,000,810</u> | <u>\$ 8,000,810</u> | <u>\$ 8,000,810</u> | <u>\$ -</u> | <u>\$ -</u> | <u>-</u> |
- D. Not Practicable to Estimate Fair Value - Not applicable.

Notes to Financial Statement

- 21 Other Items - Agents' Balances Certification, Section 625.012(5), Florida Statutes:
1. Agents' Balances or Uncollected Premiums as reported on Page 2, 15.1 - \$1,548,895.
 2. Amount of Agents' Balances or Uncollected Premiums from Page 2, Line 15.1 that is due from "controlled" or "controlling" persons - None.
 3. Amount reported in #2 above and secured by a: Trust Fund, Letter of Credit, and Financial Guaranty Bond as required by Section 625.012, Florida Statutes - None.
- 22 Events Subsequent - Subsequent events have been considered through November 11, 2015 for the quarterly statement issued on November 12, 2015. There have been no material recognized or nonrecognized subsequent events.
- 23 Reinsurance - Ceded reinsurance premiums payable as of Sept. 30, 2015 related to insured events of prior years were increased by \$500,000 as a result of re-estimation of unpaid reinsured claims. Management determined to increase ceded reinsurance premiums payable based on management's judgment and evaluation of the payable at Sept. 30, 2015 and in part on advice received from its independent consulting actuary.
- Effective April 1, 2015, HU entered into a quota share reinsurance treaty with another carrier whereby HU will assume 75% of its medical professional liability policies' unearned premiums and related losses at April 1, 2015 and on policies' premiums and losses incepting on and after April 1, 2015.
- 24 Retrospectively Rated Contracts & Contract Subject to Redetermination (N/A – Title) - No change.
- 25 Change in Incurred Losses and Loss Adjustment Expenses - Net reserves for losses and loss adjustment expenses as of Sept. 30, 2015 related to insured events of prior years were decreased by \$2,525,000 year to date as a result of re-estimation of unpaid claims. Management determined to decrease prior year reserves based on management's judgment and evaluation of loss and loss adjustment expense reserves at Sept. 30, 2015 and in part on advice received from its independent consulting actuary. No return premiums have been accrued as a result of the prior-year effects.
- 26 Intercompany Pooling Arrangements - No change.
- 27 Structured Settlements - No change.
- 28 Health Care Receivables - No change.
- 29 Participating Policies - No change.
- 30 Premium Deficiency Reserves - No change.
- 31 High Deductibles - No change.
- 32 Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses - No change.
- 33 Asbestos/Environmental Reserves - No change.
- 34 Subscriber Savings Accounts - No change.
- 35 Multi Peril Crop Insurance - No change.
- 36 Financial Guaranty Insurance
- A. - No change
 - B. (1) - (9) - Since the Company writes no financial guaranty insurance, these sections are not applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes[X] No[]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes[X] No[] N/A[]

- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes[] No[X]
- 2.2 If yes, date of change:

- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes[X] No[]
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes[] No[X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes:

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes[X] No[]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
Healthcare Underwriters Group of Florida, Inc.	11966	FL
Healthcare Underwriters Group Insurance Company of Kentucky, Inc.	11854	KY

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes[X] No[] N/A[]
If yes, attach an explanation.

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.11/30/2013
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.11/30/2013
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).01/30/2014
- 6.4 By what department or departments?
Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes[] No[] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes[] No[] N/A[X]

- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes[] No[X]
- 7.2 If yes, give full information

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes[] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes[] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
.....	Yes[] No[X]	Yes[] No[X]	Yes[] No[X]	Yes[] No[X]

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes[X] No[]
 - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes[X] No[]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
The code of ethics has been changed to reflect the merger previously mentioned and ownership of HU by Global Insurance Management Company, Inc.
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes[] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes[] No[X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$..... 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes[] No[X]
- 11.2 If yes, give full and complete information relating thereto:

- 12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$..... 0

GENERAL INTERROGATORIES (Continued)

INVESTMENT

13. Amount of real estate and mortgages held in short-term investments: \$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No [X]
- 14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds		
14.22 Preferred Stock		
14.23 Common Stock		
14.24 Short-Term Investments		
14.25 Mortgages Loans on Real Estate		
14.26 All Other		
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)		
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above		

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]
- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement. Yes [] No [] N/A [X]
16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ 0
- 16.3 Total payable for securities lending reported on the liability page \$ 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
PNC Bank	1900 E. 9th Street, Cleveland, OH 44114
Regions Bank	1511 N. Westshore Blvd., Ste. 850, Tampa, FL 33607

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....

- 17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
151829	PNC Capital Advisors, LLC	1900 E. 9th Street, Cleveland, OH 44114
111715	Regions Investment Management	1511 N. Westshore Blvd., Ste. 850, Tampa, FL 33607

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

General Interrogatories Part 1 Attachments

Response to General Interrogatories 5:

Prior to the merger, Healthcare Underwriters Group of Ohio, Inc. (HUOH) had a multiple year management agreement with Global Insurance Management Company of Ohio, L.L.C. (GIMCOH). GIMCOH was compensated for its services based on a percentage of HUOH's direct premiums written. Subsequent to the merger, Healthcare Underwriters Group, Inc. (HU) has a multiple year administrative services agreement with its parent company, Global Insurance Management Company, Inc. (GIMC) whereby HU reimburses GIMC for its actual costs incurred for managing the daily operations of HU.

GENERAL INTERROGATORIES

PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change?
If yes, attach an explanation. Yes[] No[] N/A[X]
2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?
If yes, attach an explanation. Yes[] No[X]
- 3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes[] No[X]
3.2 If yes, give full and complete information thereto
- 4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see annual statement instructions pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes[] No[X]
4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
04.2999 Total										

5. Operating Percentages:
 5.1 A&H loss percent 0.000%
 5.2 A&H cost containment percent 0.000%
 5.3 A&H expense percent excluding cost containment expenses 0.000%
- 6.1 Do you act as a custodian for health savings accounts? Yes[] No[X]
 6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0
 6.3 Do you act as an administrator for health savings accounts? Yes[] No[X]
 6.4 If yes, please provide the balance of the funds administered as of the reporting date. \$ 0

SCHEDULE F - CEDED REINSURANCE

Showing all new reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
All other insurers						
00000	AA-1340125	Hannover Rueck SE	DEU	Authorized		
00000	AA-1126033	LLOYD'S SYNDICATE NUMBER 33	GBR	Authorized		

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama (AL)	N						
2. Alaska (AK)	N						
3. Arizona (AZ)	N						
4. Arkansas (AR)	N						
5. California (CA)	N						
6. Colorado (CO)	N						
7. Connecticut (CT)	N						
8. Delaware (DE)	N						
9. District of Columbia (DC)	N						
10. Florida (FL)	L	4,616,510	4,609,947	1,290,000	2,679	7,157,392	8,889,554
11. Georgia (GA)	N						
12. Hawaii (HI)	N						
13. Idaho (ID)	N						
14. Illinois (IL)	N						
15. Indiana (IN)	L						
16. Iowa (IA)	N						
17. Kansas (KS)	N						
18. Kentucky (KY)	L	2,360,144	2,835,185	1,250,000	873,000	7,898,078	7,912,390
19. Louisiana (LA)	N						
20. Maine (ME)	N						
21. Maryland (MD)	N						
22. Massachusetts (MA)	N						
23. Michigan (MI)	N						
24. Minnesota (MN)	N						
25. Mississippi (MS)	N						
26. Missouri (MO)	N						
27. Montana (MT)	N						
28. Nebraska (NE)	N						
29. Nevada (NV)	N						
30. New Hampshire (NH)	N						
31. New Jersey (NJ)	N						
32. New Mexico (NM)	N						
33. New York (NY)	N						
34. North Carolina (NC)	N						
35. North Dakota (ND)	N						
36. Ohio (OH)	L	3,908,500	4,436,834	60,000	142,448	6,939,301	6,650,123
37. Oklahoma (OK)	N						
38. Oregon (OR)	N						
39. Pennsylvania (PA)	N						
40. Rhode Island (RI)	N						
41. South Carolina (SC)	N						
42. South Dakota (SD)	N						
43. Tennessee (TN)	N						
44. Texas (TX)	N						
45. Utah (UT)	N						
46. Vermont (VT)	N						
47. Virginia (VA)	N						
48. Washington (WA)	N						
49. West Virginia (WV)	N						
50. Wisconsin (WI)	N						
51. Wyoming (WY)	N						
52. American Samoa (AS)	N						
53. Guam (GU)	N						
54. Puerto Rico (PR)	N						
55. U.S. Virgin Islands (VI)	N						
56. Northern Mariana Islands (MP)	N						
57. Canada (CAN)	N						
58. Aggregate other alien (OT)	X X X						
59. Totals	(a) 4	10,885,154	11,881,966	2,600,000	1,018,127	21,994,771	23,452,067
DETAILS OF WRITE-INS							
58001	X X X						
58002	X X X						
58003	X X X						
58998 Summary of remaining write-ins for Line 58 from overflow page	X X X						
58999 TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	X X X						

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER

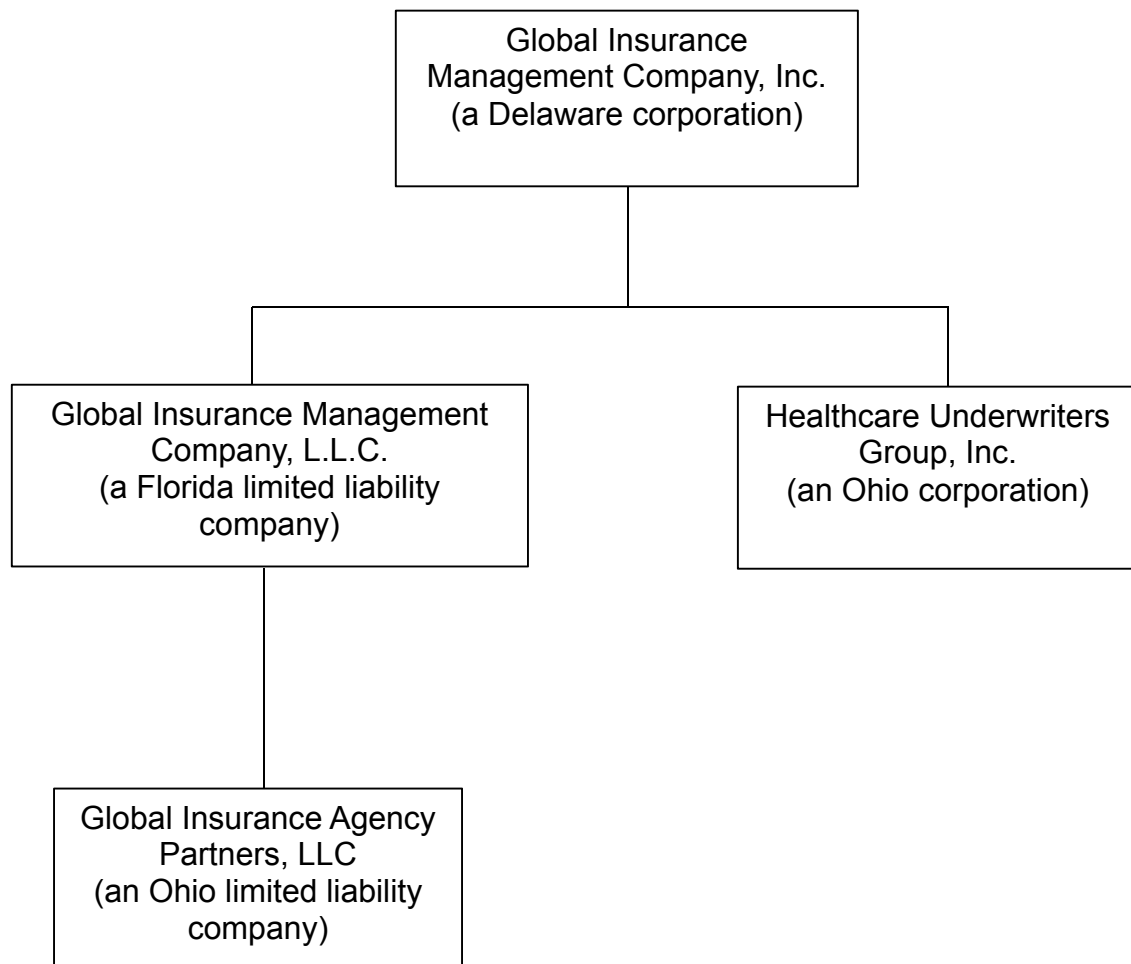
MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Global Insurance Management Company, Inc.

Organizational Chart

September 30, 2015



SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
.....	00000	47-1971933	Global Insurance Management Company, Inc.	DE	UDP	Shareholders	Ownership	100.0
.....	12233	74-3129288	Healthcare Underwriters Group, Inc.	OH	DS	Global Insurance Management Company, Inc.	Ownership	100.0	Global Insurance Management Company, Inc.
.....	00000	16-1647591	Global Insurance Management Co., LLC	FL	DS	Global Insurance Management Company, Inc.	Ownership	100.0	Global Insurance Management Company, Inc.
.....	00000	46-1740386	Global Insurance Agency Partners, LLC	OH	DS	Global Insurance Management Co., LLC	Ownership	100.0	Global Insurance Management Company, Inc.

Asterisk	Explanation
0000001

PART 1 - LOSS EXPERIENCE

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire				
2. Allied lines				
3. Farmowners multiple peril				
4. Homeowners multiple peril				
5. Commercial multiple peril				
6. Mortgage guaranty				
8. Ocean marine				
9. Inland marine				
10. Financial guaranty				
11.1 Medical professional liability - occurrence	676,523	201,905	29.845	26.375
11.2 Medical professional liability - claims made	9,343,807	1,001,373	10.717	22.715
12. Earthquake				
13. Group accident and health				
14. Credit accident and health				
15. Other accident and health				
16. Workers' compensation				
17.1 Other liability - occurrence				
17.2 Other liability - claims made				
17.3 Excess Workers' Compensation				
18.1 Products liability - occurrence				
18.2 Products liability - claims made				
19.1 19.2 Private passenger auto liability				
19.3 19.4 Commercial auto liability				
21. Auto physical damage				
22. Aircraft (all perils)				
23. Fidelity				
24. Surety				
26. Burglary and theft				
27. Boiler and machinery				
28. Credit				
29. International				
30. Warranty				
31. Reinsurance-Nonproportional Assumed Property	X X X	X X X	X X X	X X X
32. Reinsurance-Nonproportional Assumed Liability	X X X	X X X	X X X	X X X
33. Reinsurance-Nonproportional Assumed Financial Lines	X X X	X X X	X X X	X X X
34. Aggregate write-ins for other lines of business				
35. TOTALS	10,020,330	1,203,278	12.008	22.908
DETAILS OF WRITE-INS				
3401.				
3402.				
3403.				
3498. Summary of remaining write-ins for Line 34 from overflow page				
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)				

PART 2 - DIRECT PREMIUMS WRITTEN

Line of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire			
2. Allied lines			
3. Farmowners multiple peril			
4. Homeowners multiple peril			
5. Commercial multiple peril			
6. Mortgage guaranty			
8. Ocean marine			
9. Inland marine			
10. Financial guaranty			
11.1 Medical professional liability - occurrence	207,930	676,523	568,730
11.2 Medical professional liability - claims made	4,230,053	10,208,631	11,313,236
12. Earthquake			
13. Group accident and health			
14. Credit accident and health			
15. Other accident and health			
16. Workers' compensation			
17.1 Other liability - occurrence			
17.2 Other liability - claims made			
17.3 Excess Workers' Compensation			
18.1 Products liability - occurrence			
18.2 Products liability - claims made			
19.1 19.2 Private passenger auto liability			
19.3 19.4 Commercial auto liability			
21. Auto physical damage			
22. Aircraft (all perils)			
23. Fidelity			
24. Surety			
26. Burglary and theft			
27. Boiler and machinery			
28. Credit			
29. International			
30. Warranty			
31. Reinsurance-Nonproportional Assumed Property	X X X	X X X	X X X
32. Reinsurance-Nonproportional Assumed Liability	X X X	X X X	X X X
33. Reinsurance-Nonproportional Assumed Financial Lines	X X X	X X X	X X X
34. Aggregate write-ins for other lines of business			
35. TOTALS	4,437,983	10,885,154	11,881,966
DETAILS OF WRITE-INS			
3401.			
3402.			
3403.			
3498. Summary of remaining write-ins for Line 34 from overflow page			
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)			

PART 3 (000 omitted)
LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2015 Loss and LAE Payments on Claims Reported as of Prior Year-End	2015 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2015 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 11 + 12)
1. 2012 + Prior	8,788	6,411	15,199	3,070		3,070	6,887		4,067	10,954	1,169	(2,344)	(1,175)
2. 2013	4,381	5,707	10,088	2,074		2,074	3,706		3,933	7,639	1,399	(1,774)	(375)
3. Subtotals 2013 + Prior	13,169	12,118	25,287	5,144		5,144	10,593		8,000	18,593	2,568	(4,118)	(1,550)
4. 2014	3,334	5,107	8,441	784		784	2,746		3,936	6,682	196	(1,171)	(975)
5. Subtotals 2014 + Prior	16,503	17,225	33,728	5,928		5,928	13,339		11,936	25,275	2,764	(5,289)	(2,525)
6. 2015	X X X	X X X	X X X	X X X	360	360	X X X	3,757	4,227	7,984	X X X	X X X	X X X
7. Totals	16,503	17,225	33,728	5,928	360	6,288	13,339	3,757	16,163	33,259	2,764	(5,289)	(2,525)
8. Prior Year-End Surplus As Regards Policyholders	45,598										Col. 11, Line 7 As % of Col. 1 Line 7 1..... 16.749	Col. 12, Line 7 As % of Col. 2 Line 7 2..... (30.705)	Col. 13, Line 7 As % of Col. 3 Line 7 3..... (7.486)
													Col. 13, Line 7 Line 8 4..... (5.538)

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	RESPONSES
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	No
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	Yes
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	No
4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	No

Explanations:

Bar Codes:

Trusteed Surplus Statement



12233201549000003 2015 Document Code: 490

Medicare Part D Coverage Supplement



12233201536500003 2015 Document Code: 365

Director and Officer Supplement



12233201550500003 2015 Document Code: 505

STATEMENT AS OF **September 30, 2015** OF THE **HEALTHCARE UNDERWRITERS GROUP, INC.**
SCHEDULE A - VERIFICATION

Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points		
9. Total foreign exchange change in book value/recorded investment		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	83,485,816	86,324,734
2. Cost of bonds and stocks acquired	22,484,819	24,549,199
3. Accrual of discount	25,736	31,013
4. Unrealized valuation increase (decrease)	(603,032)	495,969
5. Total gain (loss) on disposals	221,609	313,749
6. Deduct consideration for bonds and stocks disposed of	21,155,052	27,681,591
7. Deduct amortization of premium	353,063	547,259
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	84,106,833	83,485,816
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	84,106,833	83,485,816

SCHEDULE D - PART 1B

**Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation**

	1	2	3	4	5	6	7	8
NAIC Designation	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	64,246,377	7,682,222	4,743,839	(96,941)	67,574,781	64,246,377	67,087,819	68,368,288
2. NAIC 2 (a)	10,035,874	611,289	991,454		9,436,967	10,035,874	9,655,709	9,561,391
3. NAIC 3 (a)								
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	74,282,251	8,293,511	5,735,293	(96,941)	77,011,748	74,282,251	76,743,528	77,929,679
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds & Preferred Stock	74,282,251	8,293,511	5,735,293	(96,941)	77,011,748	74,282,251	76,743,528	77,929,679

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

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SCHEDULE DA - PART 1**Short - Term Investments**

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999. Totals	663,833	X X X	662,833	103	

SCHEDULE DA - Verification**Short-Term Investments**

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	2,575,802	4,929,104
2. Cost of short-term investments acquired	10,085,136	9,953,834
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	11,997,105	12,307,136
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	663,833	2,575,802
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	663,833	2,575,802

SI04 Schedule DB - Part A Verification NONE

SI04 Schedule DB - Part B Verification NONE

SI05 Schedule DB Part C Section 1 NONE

SI06 Schedule DB Part C Section 2 NONE

SI07 Schedule DB - Verification NONE

SCHEDULE E - Verification
(Cash Equivalents)

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	1,626,634	1,827,581
2.	Cost of cash equivalents acquired	12,783,404	11,148,236
3.	Accrual of discount		
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	13,503,352	11,349,183
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	906,686	1,626,634
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	906,686	1,626,634

E01 Schedule A Part 2 NONE

E01 Schedule A Part 3 NONE

E02 Schedule B Part 2 NONE

E02 Schedule B Part 3 NONE

E03 Schedule BA Part 2 NONE

E03 Schedule BA Part 3 NONE

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments									
3128MJTL4	FHLMC Pool #G08554		08/05/2015	Chase Securities	X X X	579,047	559,634	653	1FE
3138YAGT6	FNMA Pool #AX8309		08/05/2015	Chase Securities	X X X	595,309	574,657	814	1FE
3138WPG24	FNMA Pool #AT2016		08/12/2015	SG Americas Securities	X X X	594,439	587,917	784	1FE
31417Y5Z6	FNMA Pool #MA0863		08/12/2015	Clarke G.X. & Co.	X X X	256,923	235,979	472	1FE
3128PT3P8	FHLMC Pool #J14406		08/14/2015	Tahoe Fixed Income	X X X	319,960	303,595	502	1FE
3138A8RD0	FNMA Pool #AH6783		08/14/2015	Clarke G.X. & Co.	X X X	713,684	668,948	1,338	1FE
3138LWCJ8	FNMA Pool #AO5472		08/14/2015	Clarke G.X. & Co.	X X X	300,683	289,510	506	1FE
3138WCNP4	FNMA Pool #AS3097		08/14/2015	Barclays Capital Inc	X X X	294,986	283,726	496	1FE
31410LHC9	FNMA Pool #890427		08/14/2015	Clarke G.X. & Co.	X X X	407,360	391,928	686	1FE
3138WE6X2	FNMA Pool #AS5385		09/15/2015	Banc/America Sec	X X X	1,005,012	942,843	1,781	1FE
3128MJAW0	FHLMC Pool #G08020		08/07/2015	Cantor Fitzgerald and Co.	X X X	9,973	9,006	15	1FE
3128MMTS2	FHLMC Pool #G18560		08/07/2015	FTN Financial Securities	X X X	20,173	19,881	23	1FE
3128PWBW7	FHLMC Pool #J16353		08/07/2015	Cantor Fitzgerald and Co.	X X X	20,002	18,973	31	1FE
31292K4Q7	FHLMC Pool #C03531		08/07/2015	Suntrust Robinson Humphrey Inc	X X X	10,000	9,383	13	1FE
31335AFV5	FHLMC Pool #G60180		08/07/2015	Chase Securities, Inc.	X X X	19,142	17,607	26	1FE
31359MH89	Federal National Mortgage Association		08/06/2015	Pershing LLC	X X X	51,418	50,000	1,014	1FE
3138A7GY8	FNMA Pool #AH5614		08/07/2015	Suntrust Robinson Humphrey Inc	X X X	20,018	18,944	31	1FE
3138ABBY4	FNMA Pool #AH9054		08/07/2015	G. X. Clarke and Company	X X X	20,032	18,417	28	1FE
3138EHBB7	FNMA Pool #AL0933		08/07/2015	RBC Dain Rauscher Inc	X X X	20,154	18,157	30	1FE
3138WPJG0	FNMA Pool #AT2062		08/07/2015	Chase Securities, Inc.	X X X	9,435	9,233	11	1FE
3138X3EH1	FNMA Pool #AU3735		08/07/2015	FTN Financial Securities	X X X	19,747	19,584	20	1FE
31402RDD8	FNMA Pool #735500		08/10/2015	G. X. Clarke and Company	X X X	9,983	8,871	16	1FE
31417ARG6	FNMA Pool #AB4086		08/07/2015	G. X. Clarke and Company	X X X	10,708	10,283	15	1FE
31417CVM4	FNMA Pool #AB6019		08/07/2015	Suntrust Robinson Humphrey Inc	X X X	19,711	18,955	22	1FE
31419AG27	FNMA Pool #AE0216		08/07/2015	G. X. Clarke and Company	X X X	19,867	18,611	25	1FE
912828H52	United States Treasury		08/06/2015	BMO Nesbitt Burns Corp (Bonds)	X X X	29,605	30,000	11	1
912828J27	United States Treasury		08/06/2015	Morgan Stanley	X X X	48,914	50,000	489	1
912828NR7	United States Treasury		08/06/2015	BMO Nesbitt Burns Corp (Bonds)	X X X	154,863	150,000	106	1
912828PC8	United States Treasury		08/06/2015	Jefferies and Co - Bonds Direct Division	X X X	52,229	50,000	314	1
912828PJ3	United States Treasury		08/06/2015	Morgan Stanley	X X X	50,189	50,000	135	1
912828VB3	United States Treasury		08/06/2015	Wells Fargo Securities LLC	X X X	48,725	50,000	209	1
912828VL1	United States Treasury		08/06/2015	BMO Nesbitt Burns Corp (Bonds)	X X X	30,067	30,000	14	1
3128MJTH3	FHLMC Pool #G08551		09/08/2015	Credit Suisse First Boston LLC	X X X	24,668	23,214	34	1FE
3128MMQT3	FHLMC Pool #G18465		09/08/2015	Nomura Securities/Fix Income	X X X	24,943	24,383	27	1FE
3128PTGQ2	FHLMC Pool #J13807		09/08/2015	G. X. Clarke and Company	X X X	24,966	23,619	37	1FE
312936NA1	FHLMC Pool #A89385		09/08/2015	Nomura Securities/Fix Income	X X X	25,416	23,418	38	1FE
912828H52	United States Treasury		09/21/2015	BMO Nesbitt Burns Corp (Bonds)	X X X	19,883	20,000	36	1
912828NR7	United States Treasury		09/21/2015	BMO Nesbitt Burns Corp (Bonds)	X X X	25,775	25,000	86	1
912828VL1	United States Treasury		09/21/2015	Chase Securities, Inc.	X X X	20,045	20,000	23	1
0599999 Subtotal - Bonds - U.S. Governments					X X X	5,928,054	5,674,276	10,911	X X X
Bonds - Industrial and Miscellaneous (Unaffiliated)									
91324PCK6	United Health Group		07/20/2015	Chase Securities	X X X	104,980	105,000		1FE
91324PCK6	United Health Group		07/21/2015	UBS Financial Services	X X X	53,085	53,000	2	1FE
91324PCK6	United Health Group		07/21/2015	Barclays Capital	X X X	81,138	81,000	3	1FE
91324PCK6	United Health Group		07/21/2015	Suntrust Robinson Humphrey	X X X	104,233	104,000	4	1FE
91324PCK6	United Health Group		07/21/2015	Robert Baird	X X X	32,068	32,000	1	1FE
427866AP3	Hershey Company Callable		07/30/2015	Clearview Correspondent Services	X X X	157,680	150,000	3,474	1FE
427866AP3	Hershey Company Callable		08/20/2015	RBC Dain Rauscher	X X X	252,387	250,000	89	1FE
539830AX7	Lockheed Martin Corp		07/30/2015	Clearview Correspondent Services	X X X	506,125	500,000	4,103	2FE
89114QAM0	Toronto Dominion Bank Series MTN		08/27/2015	Bony/Toronto Dominion Securities	X X X	409,594	400,000	4,988	1FE
161571HA5	Chase Issuance Trust Series		07/22/2015	Mitsubishi UF J Sec	X X X	399,891	400,000		1FE

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SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
00206RAM4	AT&T Inc		08/06/2015	Clearview Correspondent Services LLC	X X X	10,984	10,000	134	2FE
12673PAC9	CA Inc		08/06/2015	Clearview Correspondent Services LLC	X X X	10,909	10,000	105	2FE
149123BQ3	Caterpillar Inc		08/06/2015	Cantor Clearing Serv	X X X	11,965	10,000	123	1FE
166764AA8	Chevron Corp Callable		08/06/2015	Cantor Clearing Serv	X X X	9,955	10,000	20	1FE
20030NAZ4	Comcast Corp		08/06/2015	Clearview Correspondent Services LLC	X X X	11,360	10,000	63	1FE
24422ESS9	John Deere Capital Corp		08/06/2015	Robert Baird	X X X	10,053	10,000	93	1FE
25152RYD9	Deutsche Bank AG London		08/06/2015	Clearview Correspondent Services LLC	X X X	9,975	10,000	93	1FE
345397WR0	Ford Motor Credit		08/06/2015	Clearview Correspondent Services LLC	X X X	9,943	10,000	31	2FE
369622SM8	General Electric Capital Corp		08/06/2015	Cantor Clearing Serv	X X X	11,260	10,000		1FE
38141EA25	Goldman Sachs Group Inc		08/06/2015	Cantor Clearing Serv	X X X	11,752	10,000	367	1FE
42809HAB3	Hess Corporation		08/06/2015	Cantor Clearing Serv	X X X	11,787	10,000	397	2FE
46625HHL7	J P Morgan Chase & Co		08/06/2015	Clearview Correspondent Services LLC	X X X	11,402	10,000	189	1FE
59018YN64	Merrill Lynch & Co		08/06/2015	National Financial Services Corp	X X X	11,245	10,000	202	2FE
60871RAC4	Molson Coors Brewing Co		08/07/2015	J. P. Morgan Securities Inc.	X X X	10,150	10,000	98	2FE
61761JVL0	Morgan Stanley		08/06/2015	Cantor Clearing Serv	X X X	10,029	10,000	111	1FE
74432QBP9	Prudential Financial		08/06/2015	Cantor Clearing Serv	X X X	10,932	10,000	107	2FE
867914BE2	Suntrust Banks Inc		08/06/2015	Clearview Correspondent Services LLC	X X X	10,281	10,000	20	2FE
87612EAP1	Target Corp		08/06/2015	RBC Dain Rauscher Inc	X X X	10,739	10,000	149	1FE
89233P5F9	Toyota Motor Credit Crop Series MTN		08/06/2015	Clearview Correspondent Services LLC	X X X	10,392	10,000	138	1FE
931427AH1	Walgreens Boots Alliance		08/06/2015	RBC Dain Rauscher Inc	X X X	9,791	10,000	88	2FE
0258MODX4	American Express Credit Corp		09/09/2015	Citigroup Global Markets Inc.	X X X	4,996	5,000		1FE
0258MODX4	American Express Credit Corp		09/09/2015	Citigroup Global Markets Inc.	X X X	2,997	3,000		1FE
0258MODX4	American Express Credit Corp		09/09/2015	Citigroup Global Markets Inc.	X X X	1,999	2,000		1FE
375558BF9	Gilead Sciences Inc		09/09/2015	J. P. Morgan Securities Inc.	X X X	1,992	2,000		1FE
375558BF9	Gilead Sciences Inc		09/10/2015	Suntrust Robinson Humphrey Inc	X X X	2,991	3,000		1FE
375558BF9	Gilead Sciences Inc		09/10/2015	Barclays Capital Inc Fixed Income	X X X	2,995	3,000		1FE
375558BF9	Gilead Sciences Inc		09/11/2015	Citigroup Global Markets Inc.	X X X	2,000	2,000		1FE
565849AL0	Marathon Oil Corp		09/15/2015	UBS Financial Srvc Inc/Paine Webber	X X X	4,566	5,000	52	2FE
565849AL0	Marathon Oil Corp		09/17/2015	J. P. Morgan Securities Inc.	X X X	4,576	5,000	55	2FE
89114QAM0	Toronto-Dominion Bank	A	08/27/2015	Bony/Toronto Dominion Securities Inc	X X X	10,240	10,000	125	1FE
91324PCK6	United Health Group, Inc.		08/06/2015	Cantor Clearing Serv	X X X	10,020	10,000	7	1FE
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	2,365,457	2,325,000	15,431	X X X
8399997 Subtotal - Bonds - Part 3					X X X	8,293,511	7,999,276	26,342	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	8,293,511	7,999,276	26,342	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
Common Stocks - Industrial and Miscellaneous (Unaffiliated)									
88579Y101	3M Co		07/31/2015	Convergex	50.000	7,596	X X X		L
025816109	American Express Co		07/31/2015	Convergex	30.000	2,295	X X X		L
037833100	Apple Inc		07/31/2015	Convergex	60.000	7,303	X X X		L
052769106	Auto Desk		07/31/2015	Convergex	130.000	6,615	X X X		L
09247X101	Blackrock Inc		07/31/2015	Convergex	10.000	3,377	X X X		L
278865100	Ecolab Inc		07/31/2015	Convergex	10.000	1,163	X X X		L
30231G102	Exxon Mobil Corp		07/31/2015	Convergex	70.000	5,615	X X X		L
31428X106	FedEx Corp		07/31/2015	Convergex	10.000	1,725	X X X		L
345370860	Ford Motor Company		07/31/2015	Convergex	500.000	7,490	X X X		L
369604103	General Electric Co		07/31/2015	Convergex	10.000	262	X X X		L
427866108	Hershey Company		07/31/2015	Convergex	80.000	7,439	X X X		L
438516106	Honeywell International Inc		07/31/2015	Convergex	10.000	1,054	X X X		L
458140100	Intel Corp		07/31/2015	Convergex	290.000	8,398	X X X		L
G491BT108	Invesco Ltd		07/31/2015	Convergex	90.000	3,494	X X X		L
58155Q103	McKesson Corp		07/31/2015	Convergex	10.000	2,200	X X X		L

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SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
582839106	Mead Johnson Nutrition Company		07/31/2015	Convergex	70.000	6,202	X X X		L
655664100	Nordstrom Inc		07/31/2015	Convergex	100.000	7,667	X X X		L
68389X105	Oracle Corp		07/31/2015	Convergex	170.000	6,808	X X X		L
713448108	Pepsico Inc		07/31/2015	Convergex	30.000	2,895	X X X		L
717081103	Pfizer Inc		07/31/2015	Convergex	2,190.000	79,693	X X X		L
718546104	Phillips 66		07/31/2015	Convergex	30.000	2,399	X X X		L
747525103	Qualcomm Inc		07/31/2015	Convergex	90.000	5,838	X X X		L
758766109	Regal Entmt Group CL A		07/31/2015	Convergex	470.000	9,691	X X X		L
806857108	Schlumberger Ltd		07/31/2015	Convergex	100.000	8,318	X X X		L
907818108	Union Pac Corp		07/31/2015	Convergex	140.000	13,724	X X X		L
913017109	United Technologies Corp		07/31/2015	Convergex	150.000	15,118	X X X		L
92343V104	Verizon Communications		07/31/2015	Convergex	70.000	3,301	X X X		L
94106L109	Waste Management Inc		07/31/2015	Convergex	70.000	3,588	X X X		L
00287Y109	Abbvie Inc		09/08/2015	Convergex	70.000	4,205	X X X		L
052769106	Auto Desk		09/08/2015	Convergex	10.000	462	X X X		L
09247X101	Blackrock Inc		09/08/2015	Convergex	10.000	2,977	X X X		L
110122108	Bristol Myers Squibb Co		09/08/2015	Convergex	20.000	1,152	X X X		L
172967424	Citigroup Inc		09/08/2015	Convergex	60.000	3,070	X X X		L
177376100	Citrix System Inc		09/08/2015	Convergex	10.000	687	X X X		L
203668108	Community Health Sys		09/08/2015	Convergex	40.000	2,114	X X X		L
126650100	CVS/Caremark Corp		09/08/2015	Convergex	20.000	2,018	X X X		L
31428X106	FedEx Corp		09/08/2015	Convergex	20.000	3,033	X X X		L
38259P508	Google Inc CL A		09/08/2015	Convergex	30.000	19,178	X X X		L
38259P706	Google Inc CL C - W/I		09/08/2015	Convergex	30.000	18,334	X X X		L
436106108	Hollyfrontier Corp		09/08/2015	Convergex	720.000	34,220	X X X		L
461202103	Intuit Inc		09/08/2015	Convergex	470.000	40,777	X X X		L
G491BT108	Invesco Ltd		09/08/2015	Convergex	190.000	6,158	X X X		L
46625H100	J P Morgan Chase & Co		09/08/2015	Convergex	10.000	625	X X X		L
N53745100	Lyondellbasell Industries		09/08/2015	Convergex	20.000	1,665	X X X		L
58155Q103	McKesson Corp		09/08/2015	Convergex	10.000	1,946	X X X		L
582839106	Mead Johnson Nutrition Company		09/08/2015	Convergex	30.000	2,280	X X X		L
G5960L103	Medtronic Plc		09/08/2015	Convergex	10.000	698	X X X		L
595017104	Microchip Technology		09/08/2015	Convergex	830.000	36,633	X X X		L
609207105	Mondelez International		09/08/2015	Convergex	860.000	36,530	X X X		L
68389X105	Oracle Corp		09/08/2015	Convergex	940.000	34,957	X X X		L
717081103	Pfizer Inc		09/08/2015	Convergex	80.000	2,563	X X X		L
747525103	Qualcomm Inc		09/08/2015	Convergex	90.000	4,963	X X X		L
758766109	Regal Entmt Group CL A		09/08/2015	Convergex	10.000	189	X X X		L
806857108	Schlumberger Ltd		09/08/2015	Convergex	10.000	753	X X X		L
867914103	Suntrust Bank		09/08/2015	Convergex	60.000	2,372	X X X		L
883556102	Thermo Fisher Scientific Inc		09/08/2015	Convergex	30.000	3,673	X X X		L
907818108	Union Pac Corp		09/08/2015	Convergex	30.000	2,610	X X X		L
254687106	Walt Disney Co		09/08/2015	Convergex	40.000	4,125	X X X		L
949746101	Wells Fargo & Co		09/08/2015	Convergex	50.000	2,605	X X X		L
88579Y101	3M Co		09/16/2015	Convergex	60.000	8,630	X X X		L
00287Y109	Abbvie Inc		09/16/2015	Convergex	200.000	11,806	X X X		L
025816109	American Express Co		09/16/2015	Convergex	60.000	4,601	X X X		L
026874784	American International Group Inc		09/16/2015	Convergex	100.000	5,879	X X X		L
037833100	Apple Inc		09/16/2015	Convergex	120.000	13,914	X X X		L
00206R102	AT&T Inc		09/16/2015	Convergex	330.000	10,847	X X X		L
052769106	Auto Desk		09/16/2015	Convergex	110.000	5,145	X X X		L
09247X101	Blackrock Inc		09/16/2015	Convergex	10.000	3,101	X X X		L
110122108	Bristol Myers Squibb Co		09/16/2015	Convergex	150.000	9,074	X X X		L
151020104	Celgene Corp		09/16/2015	Convergex	70.000	8,661	X X X		L

QE04.2

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
17275R102	Cisco Systems Inc		09/16/2015	Convergex	200.000	5,216	X X X		L
172967424	Citigroup Inc		09/16/2015	Convergex	180.000	9,378	X X X		L
177376100	Citrix System Inc		09/16/2015	Convergex	130.000	9,409	X X X		L
192446102	Cognizant Technology Solutions		09/16/2015	Convergex	70.000	4,411	X X X		L
203668108	Community Health Sys		09/16/2015	Convergex	240.000	12,226	X X X		L
126650100	CVS/Caremark Corp		09/16/2015	Convergex	100.000	10,131	X X X		L
278865100	Ecolab Inc		09/16/2015	Convergex	80.000	8,908	X X X		L
30231G102	Exxon Mobil Corp		09/16/2015	Convergex	140.000	10,346	X X X		L
30303M102	Facebook Inc		09/16/2015	Convergex	50.000	4,642	X X X		L
31428X106	FedEx Corp		09/16/2015	Convergex	70.000	10,465	X X X		L
345370860	Ford Motor Company		09/16/2015	Convergex	320.000	4,662	X X X		L
369604103	General Electric Co		09/16/2015	Convergex	180.000	4,635	X X X		L
38259P508	Google Inc CL A		09/16/2015	Convergex	10.000	6,640	X X X		L
38259P706	Google Inc CL C - W/I		09/16/2015	Convergex	10.000	6,342	X X X		L
427866108	Hershey Company		09/16/2015	Convergex	100.000	9,276	X X X		L
436106108	Hollyfrontier Corp		09/16/2015	Convergex	260.000	11,838	X X X		L
438516106	Honeywell International Inc		09/16/2015	Convergex	90.000	9,057	X X X		L
458140100	Intel Corp		09/16/2015	Convergex	360.000	10,652	X X X		L
461202103	Intuit Inc		09/16/2015	Convergex	110.000	9,768	X X X		L
G491BT108	Invesco Ltd		09/16/2015	Convergex	280.000	9,372	X X X		L
46625H100	J P Morgan Chase & Co		09/16/2015	Convergex	140.000	8,905	X X X		L
N53745100	Lyondellbasell Industries		09/16/2015	Convergex	120.000	10,231	X X X		L
58155Q103	McKesson Corp		09/16/2015	Convergex	40.000	8,120	X X X		L
582839106	Mead Johnson Nutrition Company		09/16/2015	Convergex	70.000	5,261	X X X		L
G5960L103	Medtronic Plc		09/16/2015	Convergex	80.000	5,603	X X X		L
58933Y105	Merck & Co		09/16/2015	Convergex	90.000	4,825	X X X		L
595017104	Microchip Technology		09/16/2015	Convergex	120.000	5,274	X X X		L
609207105	Mondelez International		09/16/2015	Convergex	100.000	4,321	X X X		L
65339F101	NextEra Energy Inc		09/16/2015	Convergex	90.000	8,706	X X X		L
654106103	Nike Inc CL B		09/16/2015	Convergex	80.000	9,127	X X X		L
655664100	Nordstrom Inc		09/16/2015	Convergex	120.000	8,831	X X X		L
68389X105	Oracle Corp		09/16/2015	Convergex	370.000	14,171	X X X		L
713448108	Pepsico Inc		09/16/2015	Convergex	60.000	5,552	X X X		L
717081103	Pfizer Inc		09/16/2015	Convergex	270.000	8,921	X X X		L
718546104	Phillips 66		09/16/2015	Convergex	60.000	4,750	X X X		L
744320102	Prudential Financial Inc		09/16/2015	Convergex	50.000	4,017	X X X		L
747525103	Qualcomm Inc		09/16/2015	Convergex	200.000	10,974	X X X		L
758766109	Regal Entmt Group CL A		09/16/2015	Convergex	690.000	12,710	X X X		L
806857108	Schlumberger Ltd		09/16/2015	Convergex	250.000	18,777	X X X		L
855244109	Starbucks Corp		09/16/2015	Convergex	80.000	4,524	X X X		L
863667101	Stryker Corp		09/16/2015	Convergex	50.000	4,996	X X X		L
867914103	Suntrust Bank		09/16/2015	Convergex	230.000	9,147	X X X		L
883556102	Thermo Fisher Scientific Inc		09/16/2015	Convergex	40.000	5,004	X X X		L
907818108	Union Pac Corp		09/16/2015	Convergex	110.000	9,797	X X X		L
913017109	United Technologies Corp		09/16/2015	Convergex	120.000	11,172	X X X		L
92343V104	Verizon Communications		09/16/2015	Convergex	200.000	9,259	X X X		L
92532F100	Vertex Pharmaceuticals Inc		09/16/2015	Convergex	30.000	4,049	X X X		L
254687106	Walt Disney Co		09/16/2015	Convergex	110.000	11,318	X X X		L
94106L109	Waste Management Inc		09/16/2015	Convergex	90.000	4,522	X X X		L
949746101	Wells Fargo & Co		09/16/2015	Convergex	260.000	13,798	X X X		L
G1151C101	Accenture Plc		07/01/2015	Convergex	630.000	61,857	X X X		L
H0023R105	ACE Limited		07/14/2015	Convergex	20.000	2,082	X X X		L
G1151C101	Accenture Plc		07/14/2015	Convergex	30.000	3,023	X X X		L
438516106	Honeywell Intl Inc		07/14/2015	Convergex	30.000	3,125	X X X		L

QE04.3

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
89417E109	Travelers Cos Inc		07/14/2015	Convergex	30.000	3,072	X X X		L
478160104	Johnson & Johnson		07/14/2015	Convergex	40.000	3,989	X X X		L
344849104	Foot Locker Inc		07/14/2015	Convergex	50.000	3,509	X X X		L
30231G102	Exxon Mobil Corp		07/14/2015	Convergex	60.000	4,991	X X X		L
58933Y105	Merck & Co Inc		07/14/2015	Convergex	70.000	4,075	X X X		L
054937107	BB&T Corp		07/14/2015	Convergex	100.000	4,119	X X X		L
369604103	General Electric Co		07/14/2015	Convergex	100.000	2,670	X X X		L
949746101	Wells Fargo & Company		07/14/2015	Convergex	120.000	6,880	X X X		L
446150104	Huntington Bancshares Inc		07/14/2015	Convergex	200.000	2,286	X X X		L
717081103	Pfizer Inc		07/14/2015	Convergex	200.000	7,020	X X X		L
98310W108	Wyndham Worldwide Corp		08/07/2015	Deutsche Morg Grenfell	110.000	8,617	X X X		L
00206R102	AT&T Inc		08/07/2015	MKM Partners LLC	210.000	7,185	X X X		L
345370860	Ford Motor Company		08/07/2015	Keefe Bruyette and Woods Inc	550.000	8,157	X X X		L
89151E109	Total S A		08/07/2015	Citation Group/Equity & Munis	1,030.000	51,679	X X X		L
92276F100	Ventas Inc		08/26/2015	Morgan Stanley and Co, Inc	120.000	6,668	X X X		L
806857108	Schlumberger Ltd		09/09/2015	MKM Partners LLC	390.000	29,351	X X X		L
89151E109	Total S A		09/09/2015	Morgan Stanley and Co, Inc	960.000	43,570	X X X		L
91913Y100	Valero Energy Corp		09/09/2015	Barclays Capital LE	240.000	14,855	X X X		L
9099999 Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					X X X	1,287,314	X X X		X X X
9799997 Subtotal - Common Stocks - Part 3					X X X	1,287,314	X X X		X X X
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
9799999 Subtotal - Common Stocks					X X X	1,287,314	X X X		X X X
9899999 Subtotal - Preferred and Common Stocks					X X X	1,287,314	X X X		X X X
9999999 Total - Bonds, Preferred and Common Stocks					X X X	9,580,825	X X X	26,342	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

QE04.4

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC or Market Indicator (a)
Bonds - U.S. Governments																					
912828NP1	USA Treasury Notes		07/31/2015	Matured	XXX	1,300,000	1,300,000	1,351,055	1,309,456				(9,456)		1,300,000				22,750	07/31/2015	1FE
912828EE6	USA Treasury Notes		08/15/2015	Matured	XXX	500,000	500,000	562,541	505,955				(5,955)		500,000				21,250	08/15/2015	1FE
31402Q3J8	FNMA POOL #735301		09/25/2015	Called	XXX	14,820	14,820	14,820	14,820						14,820					03/01/2020	1FE
31371LBA6	FNMA POOL #254833		09/25/2015	Called	XXX	55,879	55,879	55,879	55,879						55,879					08/01/2018	1FE
3128MBM79	FNMA POOL #G12882		09/25/2015	Called	XXX	12,830	12,830	12,830	12,830						12,830					11/01/2019	1FE
3138A4Y58	FNMA POOL #AH3431		09/25/2015	Called	XXX	42,038	42,038	42,038	42,038						42,038					01/01/2026	1FE
3129AKTD7	FNMA POOL #E01448		09/25/2015	Called	XXX	17,110	17,110	17,110	17,110						17,110					09/01/2018	1FE
3128MCYM1	FNMA POOL #G14116		09/25/2015	Called	XXX	32,337	32,337	32,337	32,337						32,337					04/02/2026	1FE
3128PTJX4	FNMA POOL #J13878		09/25/2015	Called	XXX	34,012	34,012	34,012	34,012						34,012					01/01/2026	1FE
3138E2JY2	FNMA POOL #AJ9278		09/25/2015	Called	XXX	15,142	15,142	15,142	15,142						15,142					12/01/2041	1FE
3138MBMB9	FNMA POOL #AP7553		09/25/2015	Called	XXX	24,042	24,042	24,042	24,042						24,042					09/01/2042	1FE
3128MJSS0	FNMA POOL #G08528		09/25/2015	Called	XXX	12,384	12,384	12,384	12,384						12,384					04/01/2043	1FE
3128MJT26	FNMA POOL #G08568		09/25/2015	Called	XXX	21,961	21,961	21,961	21,961						21,961					01/01/2044	1FE
3138A2GR4	FNMA POOL #AH1107		09/25/2015	Called	XXX	33,185	33,185	33,185	33,185						33,185					12/01/2040	1FE
31410LHW5	FNMA POOL #890445		09/25/2015	Called	XXX	17,588	17,588	17,588	17,588						17,588					07/01/2042	1FE
3138X3EJ7	FNMA POOL #AU3736		09/25/2015	Called	XXX	16,280	16,280	16,280	16,280						16,280					08/01/2043	1FE
3128M9T05	FHLMC POOL #G07459		09/25/2015	Called	XXX	25,037	25,037	25,037	25,037						25,037					08/01/2043	1FE
3138EHTN2	FNMA POOL #AL1456		09/25/2015	Called	XXX	45,724	45,724	45,724	45,724						45,724					01/01/2042	1FE
3128MAFA2	FHLMC POOL #G07961		09/25/2015	Called	XXX	5,169	5,169	5,169	5,169						5,169					03/01/2045	1FE
3138YAGT6	FNMA POOL #AX8309		09/25/2015	Called	XXX	8,255	8,255	8,255	8,255						8,255					11/01/2029	1FE
3128MJL4	FHLMC POOL #G08554		09/25/2015	Called	XXX	5,554	5,554	5,554	5,554						5,554					10/01/2043	1FE
31416WL91	FNMA POOL # AB1251		09/25/2015	Called	XXX	11,444	11,444	11,444	11,444						11,444					07/01/2040	1FE
31402RP29	FNMA POOL # 735841		09/25/2015	Called	XXX	12,865	12,865	12,865	12,865						12,865					11/01/2019	1FE
31416BTH1	FNMA POOL # 995252		09/25/2015	Called	XXX	1,647	1,647	1,647	1,647						1,647					12/01/2023	1FE
31417TNK0	FNMA POOL # AC6693		09/25/2015	Called	XXX	5,774	5,774	5,774	5,774						5,774					01/01/2040	1FE
31417Y2Y2	FNMA POOL # MA0790		09/25/2015	Called	XXX	10,270	10,270	10,270	10,270						10,270					06/01/2041	1FE
31416XBP4	FNMA POOL # AB1845		09/25/2015	Called	XXX	8,900	8,900	8,900	8,900						8,900					11/01/2040	1FE
31417YQQ3	FNMA POOL # MA0462		09/25/2015	Called	XXX	5,054	5,054	5,054	5,054						5,054					07/01/2025	1FE
31418VUT7	FNMA POOL # AD7793		09/25/2015	Called	XXX	8,876	8,876	8,876	8,876						8,876					07/01/2040	1FE
31419FFW1	FNMA POOL # AE4680		09/25/2015	Called	XXX	4,414	4,414	4,414	4,414						4,414					11/01/2040	1FE
3138E1K48	FNMA POOL # AJ8414		09/25/2015	Called	XXX	17,331	17,331	17,331	17,331						17,331					02/01/2042	1FE
3138E2GH2	FNMA POOL # AJ9199		09/25/2015	Called	XXX	11,996	11,996	11,996	11,996						11,996					01/01/2042	1FE
3129387E7	FNMA POOL # A90893		09/25/2015	Called	XXX	11,136	11,136	11,136	11,136						11,136					01/01/2040	1FE
31416B7W8	FNMA POOL # 995265		09/25/2015	Called	XXX	2,170	2,170	2,170	2,170						2,170					01/01/2024	1FE
31416XBP4	FNMA POOL # AB1845		09/25/2015	Called	XXX	3,423	3,423	3,423	3,423						3,423					11/01/2040	1FE
31416YLG1	FNMA POOL # AB3026		09/25/2015	Called	XXX	6,603	6,603	6,603	6,603						6,603					05/01/2041	1FE
31417Y2Y2	FNMA POOL # MA0790		09/25/2015	Called	XXX	10,270	10,270	10,270	10,270						10,270					06/01/2041	1FE
31416WL91	FNMA POOL # AB1251		09/25/2015	Called	XXX	10,491	10,491	10,491	10,491						10,491					07/01/2040	1FE
31417TNK0	FNMA POOL # AC6693		09/25/2015	Called	XXX	11,548	11,548	11,548	11,548						11,548					01/01/2040	1FE
31418QPK3	FNMA POOL # AD3125		09/25/2015	Called	XXX	8,087	8,087	8,087	8,087						8,087					05/01/2040	1FE
3138E1K48	FNMA POOL # AJ8414		09/25/2015	Called	XXX	17,010	17,010	17,010	17,010						17,010					02/01/2042	1FE
3138E2GH2	FNMA POOL # AJ9199		09/25/2015	Called	XXX	11,107	11,107	11,107	11,107						11,107					01/01/2042	1FE
3138WPG24	FNMA POOL # AT2016		09/25/2015	Called	XXX	6,127	6,127	6,127	6,127						6,127					04/01/2043	1FE
31417Y5Z6	FNMA POOL # MA0863		09/25/2015	Called	XXX	4,632	4,632	4,632	4,632						4,632					10/01/2041	1FE
3128PT3P8	FNMA POOL # J14406		09/25/2015	Called	XXX	3,169	3,169	3,169	3,169						3,169					02/01/2026	1FE
3138A8RD0	FNMA POOL # AH6783		09/25/2015	Called	XXX	10,557	10,557	10,557	10,557						10,557					03/01/2041	1FE
3138LWCJ8	FNMA POOL # A05472		09/25/2015	Called	XXX	4,669	4,669	4,669	4,669						4,669					07/01/2042	1FE
3138WCPN4	FNMA POOL # AS3097		09/25/2015	Called	XXX	747	747	747	747						747					08/01/2044	1FE
31410LHC9	FNMA POOL # 890427		09/25/2015	Called	XXX	3,466	3,466	3,466	3,466						3,466					04/01/2042	1FE
3128MJAW0	FHLMC Pool #G08020		09/15/2015	Called	XXX	251	251	251	251						251					11/01/2034	1FE
3128MMTS2	FHLMC Pool #G18560		09/15/2015	Called	XXX	178	178	178	178						178					07/01/2030	1FE
3128PWBW7	FHLMC Pool #J16353		09/15/2015	Called	XXX	299	299	299	299						299					08/01/2026	1FE
31292K4Q7	FHLMC Pool #C03531		09/15/2015	Called	XXX	173	173	173	173						173					10/01/2040	1FE
31335AFV5	FHLMC Pool #G60180		09/15/2015	Called	XXX	455	455	455	455						455					05/01/2042	1FE
3138A7GY8	FNMA Pool #AH5614		09/15/2015	Called	XXX	367	367	367	367						367					02/01/2026	1FE
3138ABBY4	FNMA Pool #AH9054		09/15/2015	Called	XXX	223	223	223	223						223					04/01/2041	1FE
3138EHBB7	FNMA Pool #AL0933		09/15/2015	Called	XXX	428	428	428	428						428					10/01/2041	1FE
3138WVPJ0	FNMA Pool #AT2062		09/15/2015	Called	XXX	107	107	107	107						107					04/01/2028	1FE
3138X3EH1	FNMA Pool #AU3735		09/15/2015	Called	XXX	127	127	127	127												

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Forfeiture	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)	
0599999 Subtotal - Bonds - U.S. Governments					XXX	2,466,694	2,466,694	2,580,290	2,478,541		(15,411)		(15,411)		2,466,694					44,000	XXX	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)																						
44890QAC7	Hyundai Auto Rec Trust		07/15/2015		XXX	33,363	33,363	33,357	33,359		1		1		33,360		4	4	197	02/15/2018	1FE	
05578XAC2	BMW Vehicle Owner Trust		07/25/2015		XXX	19,380	19,380	19,380	19,380						19,379				74	11/27/2017	1FE	
071813BF5	Baxter International Inc.		07/21/2015		XXX	487,988	485,000	486,324	486,041		(76)		(76)		485,966		2,022	2,022	10,864	08/15/2022	2FE	
44890QAC7	Hyundai Auto Rec Trust		08/15/2015		XXX	33,126	33,127	33,120	33,122		1		1		33,123		3	3	280	02/15/2018	1FE	
05578XAC2	BMW Vehicle Owner Trust		08/25/2015		XXX	18,518	18,518	18,518	18,518						18,518				84	11/27/2017	1FE	
44890QAC7	Hyundai Auto Rec Trust		09/15/2015		XXX	30,521	30,521	30,515	30,517		1		1		30,518		3	3	223	02/15/2018	1FE	
05578XAC2	BMW Vehicle Owner Trust		09/25/2015		XXX	16,843	16,843	16,843	16,843						16,843				118	11/27/2017	1FE	
882508AT1	Texas Instruments Inc		08/03/2015		XXX	277,000	277,000	275,745	276,753		247		247		277,000				1,246	08/03/2015	1FE	
882508AT1	Texas Instruments Inc		08/03/2015		XXX	223,000	223,000	221,780	222,760		240		240		223,000				1,004	08/03/2015	1FE	
25459HAY1	DirectTV Holdings		09/14/2015		XXX	507,013	500,000	533,316	513,881		(8,394)		(8,394)		505,487		1,525	1,525	18,132	09/14/2015	2FE	
19458LAU4	Collegiate Funding Svcs		09/28/2015		XXX	25,817	25,817	25,817	25,817						25,817					09/28/2026	1FE	
78443BAE6	SLMA Student Loan		07/27/2015		XXX	36,942	36,942	36,942	36,942						36,942					07/25/2023	1FE	
64031QCR0	NELNET Student Loan		08/24/2015		XXX	35,944	35,944	35,944	35,944						35,944					11/23/2022	1FE	
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					XXX	1,745,455	1,735,455	1,767,601	1,749,877		(7,980)		(7,980)		1,741,897		3,557	3,557	32,222	XXX	XXX	
8399997 Subtotal - Bonds - Part 4					XXX	4,212,149	4,202,149	4,347,891	4,228,418		(23,391)		(23,391)		4,208,591		3,557	3,557	76,222	XXX	XXX	
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999 Subtotal - Bonds					XXX	4,212,149	4,202,149	4,347,891	4,228,418		(23,391)		(23,391)		4,208,591		3,557	3,557	76,222	XXX	XXX	
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
Common Stocks - Industrial and Miscellaneous (Unaffiliated)																						
00287Y109	Abbvie Inc		07/31/2015	Sanford Bernstein and Co	130,000	9,092	XXX	8,163						8,163		929	929		XXX	L		
026874784	American Intl Group		07/31/2015	Merrill Lynch, Pierce, Fenner	75,000	4,832	XXX	4,065						4,065		767	767		XXX	L		
126650100	CVS Health Corp		07/31/2015	Merrill Lynch, Pierce, Fenner	50,000	5,648	XXX	3,872						3,872		1,776	1,776		XXX	L		
151020104	Celgene Corp		07/31/2015	Renaissance Macro Securities LLC	50,000	6,626	XXX	4,212						4,212		2,414	2,414		XXX	L		
172967424	Citigroup Inc		07/31/2015	Morgan Securities	150,000	8,778	XXX	7,427						7,427		1,351	1,351		XXX	L		
177376100	Citrix System		07/31/2015	Merrill Lynch, Pierce, Fenner	200,000	15,124	XXX	12,516						12,516		2,608	2,608		XXX	L		
203668108	Community Health Sys Inc		07/31/2015	Merrill Lynch, Pierce, Fenner	240,000	14,165	XXX	11,709						11,709		2,456	2,456		XXX	L		
254687106	Walt Disney Co		07/31/2015	Instinet Corporatio	80,000	9,636	XXX	6,845						6,845		2,791	2,791		XXX	L		
30303M102	Facebook Inc		07/31/2015	Instinet Corporatio	70,000	6,711	XXX	5,492						5,492		1,218	1,218		XXX	L		
38259P706	GOOGLE INC CL C - W/		07/31/2015	Goldman Sachs	10,000	6,294	XXX	5,918						5,918		376	376		XXX	L		
436106108	Hollyfrontier Corp		07/31/2015	Merrill Lynch, Pierce, Fenner	230,000	11,034	XXX	8,684						8,684		2,350	2,350		XXX	L		
461202103	Intuit Inc		07/31/2015	Goldman Sachs	50,000	5,316	XXX	4,061						4,061		1,255	1,255		XXX	L		
46625H100	J P Morgan Chase & Co		07/31/2015	Renaissance Macro Securities LLC	130,000	8,934	XXX	7,474						7,474		1,459	1,459		XXX	L		
654106103	Nike Inc CL B		07/31/2015	Renaissance Macro Securities LLC	120,000	13,850	XXX	11,419						11,419		2,431	2,431		XXX	L		
742718109	Procter & Gamble Co		07/31/2015	Convergex	370,000	28,607	XXX	29,907						29,907		(1,301)	(1,301)		XXX	L		
744320102	Prudential Financial Inc		07/31/2015	Goldman Sachs	25,000	2,214	XXX	2,270						2,270		(56)	(56)		XXX	L		
855244109	Starbucks Corp		07/31/2015	Sanford Bernstein and Co	290,000	16,853	XXX	11,223						11,223		5,630	5,630		XXX	L		
863667101	Stryker Corp		07/31/2015	Renaissance Macro Securities LLC	25,000	2,566	XXX	2,126						2,126		440	440		XXX	L		
867914103	Suntrust Bks Inc		07/31/2015	Merrill Lynch, Pierce, Fenner	100,000	4,449	XXX	4,144						4,144		305	305		XXX	L		
883556102	Thermo Fisher Scientific Inc		07/31/2015	Goldman Sachs	25,000	3,494	XXX	3,139						3,139		355	355		XXX	L		
92532F100	Vertex Pharmaceuticals Inc.		07/31/2015	Goldman Sachs	25,000	3,381	XXX	3,203						3,203		178	178		XXX	L		
949746101	Wells Fargo & Co		07/31/2015	Renaissance Macro Securities LLC	125,000	7,251	XXX	6,512						6,512		739	739		XXX	L		
N59465109	Mylan NV		07/31/2015	Convergex	563,000	31,368	XXX	32,488						32,488		(1,120)	(1,120)		XXX	L		
00206R102	AT&T INC		09/08/2015	Renaissance Macro Securities LLC	180,000	5,904	XXX	6,461						6,461		(557)	(557)		XXX	L		
345370860	Ford Motor Company		09/08/2015	Convergex	2,670,000	36,311	XXX	43,478						43,478		(7,166)	(7,166)		XXX	L		
458140100	Intel Corp		09/08/2015	Merrill Lynch, Pierce, Fenner	300,000	8,793	XXX	8,051						8,051		743	743		XXX	L		
58933Y105	Merck & Co. Inc		09/08/2015	Convergex	670,000	35,142	XXX	38,122						38,122		(2,979)	(2,979)		XXX	L		
713448108	Pepsico Inc		09/08/2015	Convergex	430,000	39,423	XXX	37,224						37,224		2,199	2,199		XXX	L		
742718109	Procter & Gamble Co		09/08/2015	Convergex	1,036,000	72,052	XXX	82,784						82,784		(10,732)	(10,732)		XXX	L		
855244109	Starbucks Corp		09/08/2015	Convergex	710,000	38,747	XXX	26,522						26,522		12,225	12,225		XXX	L		
532457108	Lilly Eli & Co		07/01/2015	Investment Technology Group	470,000	39,691	XXX	27,827						27,827		11,864	11,864		XXX	L		
717081103	Pfizer Inc		07/01/2015	Credit Suisse First Boston	758,000	25,616	XXX	22,844						22,844		2,772	2,772		XXX	L		
717081103	Pfizer Inc		07/01/2015	Blair William and Company	102,000	3,443	XXX	3,074						3,074		369	369		XXX	L		

QE05.1

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.							
20825C104	Conocophillips		08/07/2015	UBS Securities LLC	1,565,000	76,672	XXX	119,261						119,261		(42,589)	(42,589)	XXX	L		
141624106	Care Capital Properties Inc		08/24/2015	Cash in Lieu of Shares	0.500	16	XXX	16						16		1	1	XXX	L		
141624106	Care Capital Properties Inc		08/26/2015	Citigroup Global Mkts Inc	232,000	7,252	XXX	7,333						7,333		(81)	(81)	XXX	L		
166764100	Chevron Corp		09/09/2015	Barclays Capital	1,135,000	85,928	XXX	139,075						139,075		(53,147)	(53,147)	XXX	L		
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)				XXX	701,213	XXX	758,941						758,941		(57,727)	(57,727)	XXX	XXX		
9799997	Subtotal - Common Stocks - Part 4				XXX	701,213	XXX	758,941						758,941		(57,727)	(57,727)	XXX	XXX		
9799998	Summary Item from Part 5 for Common Stocks (N/A to Quarterly)				XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
9799999	Subtotal - Common Stocks				XXX	701,213	XXX	758,941						758,941		(57,727)	(57,727)	XXX	XXX		
9899999	Subtotal - Preferred and Common Stocks				XXX	701,213	XXX	758,941						758,941		(57,727)	(57,727)	XXX	XXX		
9999999	Total - Bonds, Preferred and Common Stocks				XXX	4,913,362	XXX	5,106,832	4,228,418	(23,391)		(23,391)		4,967,532		(54,170)	(54,170)	76,222	XXX		

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

E06 Schedule DB Part A Section 1 NONE

E07 Schedule DB Part B Section 1 NONE

E08 Schedule DB Part D Section 1 NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity NONE

E09 Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity NONE

E10 Schedule DL - Part 1 - Securities Lending Collateral Assets NONE

E11 Schedule DL - Part 2 - Securities Lending Collateral Assets NONE

SCHEDULE E - PART 1 - CASH**Month End Depository Balances**

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6	7	8	
					First Month	Second Month	Third Month	
open depositories								
PNC Bank					(108,474)	720,226	(47,121)	X X X
Regions Bank					25,316	25,316	25,316	X X X
Florida Treasury Dept. Trust Fund			779		250,000	250,000	250,000	X X X
0199998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - open depositories	X X X	X X X						X X X
0199999 Totals - Open Depositories	X X X	X X X	779		166,842	995,542	228,195	X X X
0299998 Deposits in0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - suspended depositories	X X X	X X X						X X X
0299999 Totals - Suspended Depositories	X X X	X X X						X X X
0399999 Total Cash On Deposit	X X X	X X X	779		166,842	995,542	228,195	X X X
0499999 Cash in Company's Office	X X X	X X X	X X X	X X X				X X X
0599999 Total Cash	X X X	X X X	779		166,842	995,542	228,195	X X X

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
7799999 Subtotals - Bonds - Total Bonds - Issuer Obligations							
7899999 Subtotals - Bonds - Total Bonds - Residential Mortgage-Backed Securities							
7999999 Subtotals - Bonds - Total Bonds - Commercial Mortgage-Backed Securities							
8099999 Subtotals - Bonds - Total Bonds - Other Loan-Backed and Structured Securities							
8399999 Subtotals - Bonds - Total Bonds							
Sweep Accounts							
PNC Premium Business Money Market			0.000		891,777		1,477
Regions Trust Cash Sweep			0.000		14,909		9
8499999 Sweep Accounts					906,686		1,486
8599999 Other Cash Equivalents							
8699999 Total - Cash Equivalents					906,686		1,486



Designate the type of health care providers reported on this page:

Physicians, including surgeons and osteopaths

**SUPPLEMENT "A" TO SCHEDULE T
EXHIBIT OF MEDICAL PROFESSIONAL LIABILITY PREMIUMS WRITTEN
ALLOCATED BY STATES AND TERRITORIES**

	1	2	Direct Losses Paid		5	Direct Losses Unpaid		8
			3	4		6	7	
States, Etc.	Direct Premiums Written	Direct Premiums Earned	Amount	Number of Claims	Direct Losses Incurred	Amount Reported	Number of Claims	Direct Losses Incurred but not Reported
1. Alabama (AL)								
2. Alaska (AK)								
3. Arizona (AZ)								
4. Arkansas (AR)								
5. California (CA)								
6. Colorado (CO)								
7. Connecticut (CT)								
8. Delaware (DE)								
9. District of Columbia (DC)								
10. Florida (FL)	4,616,510	3,996,922	1,290,000	7	470,083	3,795,500	86	3,361,892
11. Georgia (GA)								
12. Hawaii (HI)								
13. Idaho (ID)								
14. Illinois (IL)								
15. Indiana (IN)								
16. Iowa (IA)								
17. Kansas (KS)								
18. Kentucky (KY)	2,360,144	2,155,692	1,250,000	6	577,849	5,952,000	70	1,946,078
19. Louisiana (LA)								
20. Maine (ME)								
21. Maryland (MD)								
22. Massachusetts (MA)								
23. Michigan (MI)								
24. Minnesota (MN)								
25. Mississippi (MS)								
26. Missouri (MO)								
27. Montana (MT)								
28. Nebraska (NE)								
29. Nevada (NV)								
30. New Hampshire (NH)								
31. New Jersey (NJ)								
32. New Mexico (NM)								
33. New York (NY)								
34. North Carolina (NC)								
35. North Dakota (ND)								
36. Ohio (OH)	3,908,500	3,867,716	60,000	1	155,346	4,380,000	51	2,559,301
37. Oklahoma (OK)								
38. Oregon (OR)								
39. Pennsylvania (PA)								
40. Rhode Island (RI)								
41. South Carolina (SC)								
42. South Dakota (SD)								
43. Tennessee (TN)								
44. Texas (TX)								
45. Utah (UT)								
46. Vermont (VT)								
47. Virginia (VA)								
48. Washington (WA)								
49. West Virginia (WV)								
50. Wisconsin (WI)								
51. Wyoming (WY)								
52. American Samoa (AS)								
53. Guam (GU)								
54. Puerto Rico (PR)								
55. U.S. Virgin Islands (VI)								
56. Northern Mariana Islands (MP)								
57. Canada (CAN)								
58. Aggregate other alien (OT)								
59. Totals	10,885,154	10,020,330	2,600,000	14	1,203,278	14,127,500	207	7,867,271
DETAILS OF WRITE-INS								
58001.								
58002.								
58003.								
58998.	Summary of remaining write-ins for Line 58 from overflow page							
58999.	TOTALS (Lines 5801 through 5803 plus 5898) (Line 58 above)							

Supp5 Sup. A To T - Hospitals NONE

Supp5 Sup. A To T - Other Healthcare Professionals NONE

Supp5 Sup. A To T - Other Healthcare Facilities NONE

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