



QUARTERLY STATEMENT

As of September 30, 2014
of the Condition and Affairs of the

WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO

NAIC Group Code.....0468, 0468 (Current Period) (Prior Period)	NAIC Company Code..... 91413	Employer's ID Number..... 43-1162657
Organized under the Laws of OHIO	State of Domicile or Port of Entry OHIO	Country of Domicile US
Incorporated/Organized..... May 14, 1979	Commenced Business..... June 17, 1980	
Statutory Home Office	366 E. Broad St. C/O Andrew J. Federico..... Columbus OH US 43215 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	
Main Administrative Office	570 Carillon Parkway..... St Petersburg FL US 33716-1202 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	727-299-1800 <i>(Area Code) (Telephone Number)</i>
Mail Address	P.O. Box 5068..... Clearwater FL US 33758-5068 <i>(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)</i>	
Primary Location of Books and Records	570 Carillon Parkway..... St Petersburg FL US 33716-1202 <i>(Street and Number) (City or Town, State, Country and Zip Code)</i>	727-299-1800 <i>(Area Code) (Telephone Number)</i>
Internet Web Site Address	www.transamerica.com	
Statutory Statement Contact	Brenda Kay Kraemer <i>(Name)</i> brenda.kraemer@transamerica.com <i>(E-Mail Address)</i>	319-355-8549 <i>(Area Code) (Telephone Number) (Extension)</i> 319-355-2210 <i>(Fax Number)</i>

OFFICERS

Name	Title	Name	Title
1. Brenda Kay Clancy	President & Chairman of the Board	2. William Harold Geiger	Secretary, Group Vice President, Compliance & Corp Counsel
3. Eric John Martin	Senior Vice President & Corporate Controller	4.	

OTHER

DIRECTORS OR TRUSTEES

Arthur Carl Schneider	Brenda Kay Clancy	C. Michiel van Katwijk	Scott W. Ham
John Roger Hunter			

State of..... Iowa
County of..... Linn

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature) Brenda Kay Clancy 1. (Printed Name) President & Chairman of the Board (Title)	(Signature) William Harold Geiger 2. (Printed Name) Secretary, Group Vice President, Compliance & Corp Counsel (Title)	(Signature) Eric John Martin 3. (Printed Name) Senior Vice President & Corporate Controller (Title)
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Subscribed and sworn to before me
This 12th day of November, 2014

a. Is this an original filing? Yes [X] No []
b. If no: 1. State the amendment number _____
2. Date filed _____
3. Number of pages attached _____

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	1,506,661,462	0	1,506,661,462	1,448,053,453
2. Stocks:				
2.1 Preferred stocks.....	0	0	0	0
2.2 Common stocks.....	47,822,941	0	47,822,941	35,347,839
3. Mortgage loans on real estate:				
3.1 First liens.....	184,541,922	0	184,541,922	77,804,931
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	26,928,395	0	26,928,395	27,382,074
4.2 Properties held for the production of income (less \$.....0 encumbrances).....	0	0	0	0
4.3 Properties held for sale (less \$.....0 encumbrances).....	6,259,139	0	6,259,139	6,259,139
5. Cash (\$.....158,930,538), cash equivalents (\$.....173,745) and short-term investments (\$.....4,750).....	159,109,033	0	159,109,033	110,546,907
6. Contract loans (including \$.....0 premium notes).....	462,965,903	0	462,965,903	442,799,902
7. Derivatives.....	1,347,320	0	1,347,320	0
8. Other invested assets.....	2,431,329	138,575	2,292,754	3,011,913
9. Receivables for securities.....	0	0	0	0
10. Securities lending reinvested collateral assets.....	66,454,389	0	66,454,389	88,265,219
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	2,464,521,833	138,575	2,464,383,258	2,239,471,377
13. Title plants less \$.....0 charged off (for Title insurers only).....	0	0	0	0
14. Investment income due and accrued.....	18,800,634	0	18,800,634	17,360,751
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	146,736	22,815	123,921	102,285
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	2,780,249	0	2,780,249	2,662,663
15.3 Accrued retrospective premiums.....	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	2,641,506	0	2,641,506	1,909,962
16.2 Funds held by or deposited with reinsured companies.....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts.....	330,663	0	330,663	0
17. Amounts receivable relating to uninsured plans.....	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon.....	0	0	0	0
18.2 Net deferred tax asset.....	192,096,857	118,370,692	73,726,165	86,384,460
19. Guaranty funds receivable or on deposit.....	921,698	0	921,698	943,953
20. Electronic data processing equipment and software.....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$.....0).....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates.....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates.....	0	0	0	19,858,988
24. Health care (\$.....0) and other amounts receivable.....	60,859,385	60,859,385	0	0
25. Aggregate write-ins for other than invested assets.....	115,232,600	500,390	114,732,210	82,148,235
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	2,858,332,161	179,891,857	2,678,440,304	2,450,842,674
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	6,631,704,832	0	6,631,704,832	6,969,476,743
28. Total (Lines 26 and 27).....	9,490,036,993	179,891,857	9,310,145,136	9,420,319,417

DETAILS OF WRITE-INS

1101.....	0	0	0	0
1102.....	0	0	0	0
1103.....	0	0	0	0
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Company owned life insurance.....	77,218,481	0	77,218,481	75,881,155
2502. Accounts receivable.....	37,791,834	500,390	37,291,444	5,846,847
2503. Estimated premium tax offset on the provision for future GFA.....	222,285	0	222,285	222,285
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	197,948
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	115,232,600	500,390	114,732,210	82,148,235

WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$.....2,074,325,331 less \$.....0 included in Line 6.3 (including \$.....205,852,566 Modco Reserve).....	2,074,325,331	1,909,589,432
2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....	1,883,933	1,157,547
3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....	22,663,589	21,519,616
4. Contract claims:		
4.1 Life.....	27,742,515	25,085,544
4.2 Accident and health.....	0	0
5. Policyholders' dividends \$.....0 and coupons \$.....0 due and unpaid.....	0	0
6. Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1 Dividends apportioned for payment (including \$.....0 Modco).....	0	0
6.2 Dividends not yet apportioned (including \$.....0 Modco).....	0	0
6.3 Coupons and similar benefits (including \$.....0 Modco).....	0	0
7. Amount provisionally held for deferred dividend policies not included in Line 6.....	0	0
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....0 accident and health premiums.....	37,701	34,996
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts.....	0	0
9.2 Provision for experience rating refunds, including the liability of \$.....0 accident and health experience rating refunds of which \$.....0 is for medical loss ratio rebate per the Public Health Service Act.....	0	0
9.3 Other amounts payable on reinsurance, including \$.....0 assumed and \$.....2,999,874 ceded.....	2,999,874	2,408,262
9.4 Interest Maintenance Reserve.....	26,011,470	25,813,207
10. Commissions to agents due or accrued - life and annuity contracts \$....43,617, accident and health \$.....0 and deposit-type contract funds \$.....0.....	43,617	74,671
11. Commissions and expense allowances payable on reinsurance assumed.....	0	0
12. General expenses due or accrued.....	5,064,674	6,446,238
13. Transfers to Separate Accounts due or accrued (net) (including \$....(164,539,154) accrued for expense allowances recognized in reserves, net of reinsured allowances).....	(164,539,154)	(212,707,836)
14. Taxes, licenses and fees due or accrued, excluding federal income taxes.....	4,772,857	4,350,885
15.1 Current federal and foreign income taxes, including \$....5,942 on realized capital gains (losses).....	3,415,699	17,160,329
15.2 Net deferred tax liability.....	0	0
16. Unearned investment income.....	9,878,350	9,736,113
17. Amounts withheld or retained by company as agent or trustee.....	3,991,673	944,020
18. Amounts held for agents' account, including \$.....0 agents' credit balances.....	117,035	120,119
19. Remittances and items not allocated.....	13,171,992	10,776,233
20. Net adjustment in assets and liabilities due to foreign exchange rates.....	0	0
21. Liability for benefits for employees and agents if not included above.....	0	0
22. Borrowed money \$....4,659,230 and interest thereon \$....13,965.....	4,673,195	26,717,773
23. Dividends to stockholders declared and unpaid.....	0	0
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve.....	21,702,721	17,642,203
24.02 Reinsurance in unauthorized and certified (\$.....0) companies.....	0	0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers.....	91,473,818	78,160,850
24.04 Payable to parent, subsidiaries and affiliates.....	22,112,838	0
24.05 Drafts outstanding.....	0	0
24.06 Liability for amounts held under uninsured plans.....	0	0
24.07 Funds held under coinsurance.....	0	0
24.08 Derivatives.....	4,031,312	4,100,401
24.09 Payable for securities.....	0	8,000,000
24.10 Payable for securities lending.....	66,454,389	88,265,219
24.11 Capital notes \$.....0 and interest thereon \$.....0.....	0	0
25. Aggregate write-ins for liabilities.....	0	0
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25).....	2,242,029,429	2,045,395,822
27. From Separate Accounts statement.....	6,631,704,832	6,969,476,743
28. Total liabilities (Lines 26 and 27).....	8,873,734,261	9,014,872,565
29. Common capital stock.....	2,500,000	2,500,000
30. Preferred capital stock.....	0	0
31. Aggregate write-ins for other than special surplus funds.....	0	0
32. Surplus notes.....	0	0
33. Gross paid in and contributed surplus.....	149,627,109	149,627,109
34. Aggregate write-ins for special surplus funds.....	0	0
35. Unassigned funds (surplus).....	284,283,766	253,319,743
36. Less treasury stock, at cost:		
36.10.000 shares common (value included in Line 29 \$.....0).....	0	0
36.20.000 shares preferred (value included in Line 30 \$.....0).....	0	0
37. Surplus (Total Lines 31 + 32 + 33 + 34 + 35 - 36) (including \$.....0 in Separate Accounts Statement).....	433,910,875	402,946,852
38. Totals of Lines 29, 30 and 37.....	436,410,875	405,446,852
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3).....	9,310,145,136	9,420,319,417

DETAILS OF WRITE-INS

2501.	0	0
2502.	0	0
2503.	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0
3101.	0	0
3102.	0	0
3103.	0	0
3198. Summary of remaining write-ins for Line 31 from overflow page.....	0	0
3199. Totals (Lines 3101 thru 3103 plus 3198) (Line 31 above).....	0	0
3401.	0	0
3402.	0	0
3403.	0	0
3498. Summary of remaining write-ins for Line 34 from overflow page.....	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34 above).....	0	0

SUMMARY OF OPERATIONS

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts.....	458,916,741	397,373,030	538,421,190
2. Considerations for supplementary contracts with life contingencies.....	(2,737,483)	(5,569,766)	2,669,815
3. Net investment income.....	66,239,851	55,678,075	92,490,364
4. Amortization of Interest Maintenance Reserve (IMR).....	452,437	1,283,274	767,365
5. Separate Accounts net gain from operations excluding unrealized gains or losses.....	0	0	0
6. Commissions and expense allowances on reinsurance ceded.....	(7,863,451)	(9,124,014)	(15,256,488)
7. Reserve adjustments on reinsurance ceded.....	(9,147,850)	(12,851,555)	(13,389,610)
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....	211,350,679	218,881,236	291,415,707
8.2 Charges and fees for deposit-type contracts.....	0	0	0
8.3 Aggregate write-ins for miscellaneous income.....	16,081,527	15,141,905	23,275,893
9. Totals (Lines 1 to 8.3).....	733,292,451	660,812,185	920,394,236
10. Death benefits.....	60,717,823	51,357,300	70,180,365
11. Matured endowments (excluding guaranteed annual pure endowments).....	18,360	15,065	20,665
12. Annuity benefits.....	13,153,355	15,966,765	19,270,127
13. Disability benefits and benefits under accident and health contracts.....	1,041,872	1,182,050	1,580,884
14. Coupons, guaranteed annual pure endowments and similar benefits.....	0	0	0
15. Surrender benefits and withdrawals for life contracts.....	288,609,703	439,048,980	541,358,940
16. Group conversions.....	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds.....	831,572	338,956	475,650
18. Payments on supplementary contracts with life contingencies.....	2,985,091	2,377,425	3,276,454
19. Increase in aggregate reserves for life and accident and health contracts.....	165,462,285	82,787,723	127,763,858
20. Totals (Lines 10 to 19).....	532,820,061	593,074,264	763,926,943
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....	143,250,540	113,326,366	155,583,798
22. Commissions and expense allowances on reinsurance assumed.....	0	0	0
23. General insurance expenses.....	60,829,393	56,260,546	72,084,952
24. Insurance taxes, licenses and fees, excluding federal income taxes.....	13,381,859	12,682,229	18,954,377
25. Increase in loading on deferred and uncollected premiums.....	(158,591)	(131,913)	77,142
26. Net transfers to or (from) Separate Accounts net of reinsurance.....	(103,819,908)	(245,942,260)	(280,807,082)
27. Aggregate write-ins for deductions.....	10,919,354	10,372,488	16,255,075
28. Totals (Lines 20 to 27).....	657,222,708	539,641,720	746,075,205
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28).....	76,069,743	121,170,465	174,319,031
30. Dividends to policyholders.....	16,101	17,051	20,746
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30).....	76,053,642	121,153,414	174,298,285
32. Federal and foreign income taxes incurred (excluding tax on capital gains).....	20,577,204	17,371,826	25,591,702
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32).....	55,476,438	103,781,588	148,706,583
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$....(344,435) (excluding taxes of \$....350,377 transferred to the IMR).....	15,587,253	2,687,036	11,102,563
35. Net income (Line 33 plus Line 34).....	71,063,691	106,468,624	159,809,146
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year.....	405,446,852	319,235,174	338,415,864
37. Net income (Line 35).....	71,063,691	106,468,624	159,809,146
38. Change in net unrealized capital gains (losses) less capital gains tax of \$....1,052,935.....	14,430,554	11,022,907	4,188,807
39. Change in net unrealized foreign exchange capital gain (loss).....	0	0	0
40. Change in net deferred income tax.....	8,669,198	(13,954,621)	(14,828,899)
41. Change in nonadmitted assets.....	(42,701,034)	(3,948,582)	(11,137,898)
42. Change in liability for reinsurance in unauthorized and certified companies.....	0	264,898	264,898
43. Change in reserve on account of change in valuation basis, (increase) or decrease.....	0	0	0
44. Change in asset valuation reserve.....	(4,060,518)	(7,079,494)	(5,603,238)
45. Change in treasury stock.....	0	0	0
46. Surplus (contributed to) withdrawn from Separate Accounts during period.....	0	0	0
47. Other changes in surplus in Separate Accounts Statement.....	0	0	0
48. Change in surplus notes.....	0	0	0
49. Cumulative effect of changes in accounting principles.....	0	0	0
50. Capital changes:			
50.1 Paid in.....	0	0	0
50.2 Transferred from surplus (Stock Dividend).....	0	0	0
50.3 Transferred to surplus.....	0	0	0
51. Surplus adjustment:			
51.1 Paid in.....	0	0	0
51.2 Transferred to capital (Stock Dividend).....	0	0	0
51.3 Transferred from capital.....	0	0	0
51.4 Change in surplus as a result of reinsurance.....	(16,437,868)	(21,814,595)	(15,661,828)
52. Dividends to stockholders.....	0	0	(50,000,000)
53. Aggregate write-ins for gains and losses in surplus.....	0	0	0
54. Net change in capital and surplus (Lines 37 through 53).....	30,964,023	70,959,137	67,030,988
55. Capital and surplus as of statement date (Lines 36 + 54).....	436,410,875	390,194,311	405,446,852
DETAILS OF WRITE-INS			
08.301. Miscellaneous income.....	14,643,797	10,955,305	18,494,295
08.302. Income earned on company owned life insurance.....	1,337,326	3,019,780	3,607,401
08.303. Consideration on reinsurance recaptured.....	100,404	1,166,820	1,174,197
08.398. Summary of remaining write-ins for Line 8.3 from overflow page.....	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	16,081,527	15,141,905	23,275,893
2701. Investment interest expense on funds withheld.....	10,919,460	10,372,096	16,253,707
2702. Fines and penalties.....	77	948	1,948
2703. Experience refunds.....	(183)	(556)	(580)
2798. Summary of remaining write-ins for Line 27 from overflow page.....	0	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above).....	10,919,354	10,372,488	16,255,075
5301.	0	0	0
5302.	0	0	0
5303.	0	0	0
5398. Summary of remaining write-ins for Line 53 from overflow page.....	0	0	0
5399. Totals (Lines 5301 thru 5303 plus 5398) (Line 53 above).....	0	0	0

CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....	456,250,284	391,760,053	540,933,328
2. Net investment income.....	71,800,232	58,661,643	96,249,240
3. Miscellaneous income.....	194,044,151	190,272,299	278,155,850
4. Total (Lines 1 through 3).....	722,094,667	640,693,995	915,338,418
5. Benefit and loss related payments.....	369,105,506	515,527,359	636,087,644
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	(152,105,005)	(302,907,825)	(354,274,274)
7. Commissions, expenses paid and aggregate write-ins for deductions.....	228,605,237	193,292,840	262,110,622
8. Dividends paid to policyholders.....	16,101	17,051	20,746
9. Federal and foreign income taxes paid (recovered) net of \$.....5,942 tax on capital gains (losses).....	34,327,776	22,863,612	25,415,281
10. Total (Lines 5 through 9).....	479,949,615	428,793,037	569,360,019
11. Net cash from operations (Line 4 minus Line 10).....	242,145,052	211,900,958	345,978,399
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	193,167,623	241,469,507	321,363,324
12.2 Stocks.....	0	0	116,999
12.3 Mortgage loans.....	3,928,752	2,728,546	3,846,409
12.4 Real estate.....	0	0	0
12.5 Other invested assets.....	1,580	5,916	5,916
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	378	21	494
12.7 Miscellaneous proceeds.....	37,488,591	30,120,125	21,511,750
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	234,586,924	274,324,115	346,844,892
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	255,384,419	502,273,472	657,368,470
13.2 Stocks.....	0	0	824,902
13.3 Mortgage loans.....	111,050,000	24,984,000	31,484,000
13.4 Real estate.....	313,842	0	235,150
13.5 Other invested assets.....	0	650,955	650,955
13.6 Miscellaneous applications.....	8,000,000	0	3,399,290
13.7 Total investments acquired (Lines 13.1 to 13.6).....	374,748,261	527,908,427	693,962,768
14. Net increase or (decrease) in contract loans and premium notes.....	20,166,001	21,706,285	31,698,878
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(160,327,337)	(275,290,597)	(378,816,753)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds.....	(21,964,793)	9,958,399	331,054
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	5,111,531	7,039,996	(1,416,890)
16.5 Dividends to stockholders.....	0	0	50,000,000
16.6 Other cash provided (applied).....	(16,402,327)	3,034,974	10,236,899
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(33,255,589)	20,033,369	(40,848,937)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	48,562,126	(43,356,269)	(73,687,291)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	110,546,907	184,234,199	184,234,199
19.2 End of period (Line 18 plus Line 19.1).....	159,109,033	140,877,929	110,546,907
Note: Supplemental disclosures of cash flow information for non-cash transactions:			
20.0001	0	0	0

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life.....	0	0	0
2. Ordinary life insurance.....	560,200,039	498,275,674	672,327,838
3. Ordinary individual annuities.....	16,102,171	19,528,534	25,253,498
4. Credit life (group and individual).....	0	0	0
5. Group life insurance.....	3,247,724	7,948,268	10,479,259
6. Group annuities.....	971,830	1,411,674	1,699,171
7. A&H - group.....	16,520,282	13,316,998	17,559,833
8. A&H - credit (group and individual).....	0	0	0
9. A&H - other.....	0	0	0
10. Aggregate of all other lines of business.....	0	0	0
11. Subtotal.....	597,042,046	540,481,147	727,319,598
12. Deposit-type contracts.....	299,780	334,905	430,254
13. Total.....	597,341,826	540,816,052	727,749,852

DETAILS OF WRITE-INS

1001.	0	0	0
1002.	0	0	0
1003.	0	0	0
1098. Summary of remaining write-ins for Line 10 from overflow page.....	0	0	0
1099. Total (Lines 1001 thru 1003 plus 1098) (Line 10 above).....	0	0	0

NOTES TO FINANCIAL STATEMENTS**1. Summary of Significant Accounting Policies****A. Accounting Practices**

The financial statements of the Company are presented on the basis of accounting practices prescribed by the Ohio Department of Insurance. The Ohio Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operation of an insurance company for determining its solvency under Ohio Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio. While the Commissioner of Insurance has the right to permit specific practices that deviate from prescribed practices, none are included within this financial statement.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	State of Domicile	September 30, 2014	December 31, 2013
Net Income, State of Ohio Basis	OH	\$ 71,063,691	\$ 159,809,146
State Prescribed Practices	OH	-	-
State Permitted Practices	OH	-	-
Net Income, NAIC SAP	OH	<u>\$ 71,063,691</u>	<u>\$ 159,809,146</u>
Statutory Surplus, State of Ohio Basis	OH	\$ 436,410,875	\$ 405,446,852
State Prescribed Practices	OH	-	-
State Permitted Practices	OH	-	-
Statutory Surplus, NAIC SAP	OH	<u>\$ 436,410,875</u>	<u>\$ 405,446,852</u>

2. Accounting Changes and Corrections of Errors

Effective January 1, 2014, the Company adopted Statutory Statement of Accounting Principles (SSAP) No. 105, *Working Capital Finance Investments*, which allows working capital finance investments to be admitted assets if certain criteria are met. The adoption of this standard had no impact to the financial position or results of operations of the Company.

3. Business Combinations and Goodwill

No significant change.

4. Discontinued Operations

No significant change.

5. Investments**D. Loan-Backed Securities**

- Prepayment assumptions were obtained from BlackRock or Bloomberg prepayment models. If assumptions were not available from these sources, internal estimates were used.
- There were no loan-backed securities with a recognized other-than-temporary impairment due to intent to sell or lack of intent and ability to hold during the nine months ended September 30, 2014.
- The following loan-backed and structured securities were held at September 30, 2014, for which an other-than-temporary impairment (OTTI) had been recognized during the current reporting period:

1	2	3	4	5	6	7
CUSIP	Book/Adj Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized Other-Than-Temporary Impairment	Amortized Cost After Other-Than-Temporary Impairment	Fair Value at Time of OTTI	Date of Financial Statement Where Reported
12668WAC1	\$ 780,381	\$ 736,388	\$ 43,993	\$ 736,388	\$ 747,610	1Q 2014
000759BP4	186,587	184,170	2,418	184,170	286,505	2Q 2014
759950FJ2	179,691	163,275	16,417	163,275	193,475	2Q 2014
000759BP4	179,726	154,062	25,664	154,062	306,825	3Q 2014
05948KL31	293,393	279,994	13,399	279,994	300,606	3Q 2014
12668WAC1	719,303	713,894	5,409	713,894	772,638	3Q 2014
576435AT8	126,407	81,054	45,353	81,054	122,391	3Q 2014
759950FH6	1,520,334	1,276,661	243,673	1,276,661	1,138,396	3Q 2014
Total	xxx	xxx	\$ 396,326	xxx	xxx	xxx

- The unrealized losses of loan-backed and structured securities where fair value is less than cost or amortized cost for which an other-than-temporary impairment has not been recognized in earnings as of September 30, 2014 are as follows:

a. The aggregate amount of unrealized losses:			
1.	Less than 12 Months	\$	702,089
2.	12 Months or Longer	\$	2,311,521
b. The aggregate related fair value of securities with unrealized losses:			
1.	Less than 12 Months	\$	52,199,161
2.	12 Months or Longer	\$	69,481,026

E. Repurchase Agreements and/or Securities Lending Transactions

- For mortgage-backed securities dollar repurchase agreements, 100% of the fair market value of the securities is transferred by the counterparty to the Company as collateral. Cash received as collateral will be invested as needed or used for general corporate purposes of the Company. At September 30, 2014, securities with a book value of \$4,636,235 and a fair value of \$4,644,033 were subject to dollar repurchase agreements. These securities have maturity dates that range from November 1, 2043 to July 1, 2044.

(3) Collateral Received

- The fair value of that collateral and of the portion of that collateral that it has sold or repledged

\$ 67,852,665

NOTES TO FINANCIAL STATEMENTS

- I. Working Capital Finance Investments - Not applicable.
6. Joint Ventures, Partnerships, and Limited Liability Companies
No significant change.
7. Investment Income
No significant change.
8. Derivative Instruments
No significant change.
9. Income Taxes
No significant change.
10. Information Concerning Parent, Subsidiaries and Affiliates
No significant change.
11. Debt
 - A. The Company has an outstanding liability for borrowed money in the amount of \$4,673,195, which includes accrued interest, due to participation in dollar repurchase agreements.
 - B. Federal Home Loan Bank Agreements - Not applicable.
12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans
 - A. Defined Benefit Plan - Not applicable.
13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations
No significant change.
14. Contingencies
No significant change.
15. Leases
No significant change.
16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk
No significant change.
17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities
 - B. Transfer and Servicing of Financial Assets
 - (2) Not applicable.
 - (4) a. and b. Not applicable.
 - C. Wash Sales - None.
18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans
No significant change.
19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators
No significant change.

NOTES TO FINANCIAL STATEMENTS

20. Fair Value Measurement

A. (1) Fair Value Measurements at Reporting Date

The following table provides information about the Company's financial assets and liabilities measured at fair value as of September 30, 2014:

(1) Description	(2) (Level 1)	(3) (Level 2)	(4) (Level 3)	(5) Total
a. Assets at fair value				
Bonds				
Industrial and misc	\$ -	\$ 1,502,746	\$ 545,617	\$ 2,048,363
Total bonds	\$ -	\$ 1,502,746	\$ 545,617	\$ 2,048,363
Short-term				
Government	\$ -	\$ 4,750	\$ -	\$ 4,750
Sweep accounts	-	173,745	-	173,745
Total short-term	\$ -	\$ 178,495	\$ -	\$ 178,495
Securities lending reinvested collateral	\$ -	\$ 66,454,389	\$ -	\$ 66,454,389
Derivative assets	\$ -	\$ 1,347,320	\$ -	\$ 1,347,320
Separate account assets	\$ 6,631,704,832	\$ -	\$ -	\$ 6,631,704,832
Total assets at fair value	<u>\$ 6,631,704,832</u>	<u>\$ 69,482,950</u>	<u>\$ 545,617</u>	<u>\$ 6,701,733,399</u>
b. Liabilities at fair value				
Derivative liabilities	\$ -	\$ 4,031,312	\$ -	\$ 4,031,312
Total liabilities at fair value	<u>\$ -</u>	<u>\$ 4,031,312</u>	<u>\$ -</u>	<u>\$ 4,031,312</u>

(2) Fair Value Measurements in Level 3 of the Fair Value Hierarchy

The following table summarizes the change in assets classified in Level 3 during the quarter ended September 30, 2014:

	Beginning Balance at 07/01/2014	Transfers in (Level 3)	Transfers out (Level 3)	Total Gains and (Losses) Included in Net Income	Total Gains and (Losses) Included in Surplus
Bonds					
RMBS	\$ -	\$ -	\$ -	\$ 1,497	\$ -
Other	548,997	-	-	22,933	(5,067)
Total	\$ 548,997	\$ -	\$ -	\$ 24,430	\$ (5,067)

	Purchases	Issuances	Sales	Settlements	Ending Balance at 09/30/2014
Bonds					
RMBS	\$ -	\$ -	\$ -	\$ 1,497	\$ -
Other	-	-	-	21,246	545,617
Total	\$ -	\$ -	\$ -	\$ 22,743	\$ 545,617

(3) The Company's policy is to recognize transfers in and out of Level 3 as of the beginning of the reporting period.

(4) Bonds classified in Level 2 are valued using inputs from third party pricing services or broker quotes. Level 3 measurements for bonds are primarily those valued using non-binding broker quotes, which cannot be corroborated by other market observable data, or internal modeling which utilize inputs that are not market observable.

Securities lending reinvested collateral is valued and classified in the same way as the underlying collateral, which is primarily composed of short-term investments.

Short-term investments are classified as Level 2 as they are carried at amortized cost, which approximates fair value.

Derivatives classified as Level 2 represent over-the-counter (OTC) contracts valued using pricing models based on the net present value of estimated future cash flows, directly observed prices from exchange-traded derivatives, other OTC trades or external pricing services.

NOTES TO FINANCIAL STATEMENTS

C. Fair Value Hierarchy by Type of Financial Instrument

The following table sets forth a comparison of the estimated fair values and carrying amounts of the Company's financial instruments, including those not measured at fair value in the balance sheets, as of September 30, 2014:

(1)	(2)	(3)	(4)	(5)	(6)	(7)
Type of Financial Instrument	Aggregate Fair Value	Admitted Assets / Liabilities	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Admitted assets:						
Cash equivalents & short-term investments, other than affiliates	\$ 178,495	\$ 178,495	\$ -	\$ 178,495	\$ -	\$ -
Bonds	1,578,262,609	1,506,661,462	92,003,470	1,439,119,272	47,139,867	-
Mortgage loans on real estate	189,936,741	184,541,922	-	-	189,936,741	-
Other long term	500,000	500,000	-	500,000	-	-
Equity swaps	1,347,320	1,347,320	-	1,347,320	-	-
Policy loans	462,965,903	462,965,903	-	462,965,903	-	-
Securities lending reinvested collateral	66,454,389	66,454,389	-	66,454,389	-	-
Separate accounts assets	6,631,704,832	6,631,704,832	6,631,704,832	-	-	-
Liabilities:						
Investment contract liabilities	410,042,966	402,711,299	-	3,435,178	406,607,788	-
Equity swaps	4,031,312	4,031,312	-	4,031,312	-	-
Payable to parent, subsidiaries and affiliates	22,112,838	22,112,838	-	22,112,838	-	-
Separate account annuity liabilities	3,101,672,743	3,101,672,743	-	3,101,672,743	-	-

D. Not Practicable to Estimate Fair Value - Not applicable.

21. Other Items

H. Offsetting and Netting of Assets and Liabilities - Not applicable.

J. Risk Sharing Provisions of the Affordable Care Act

The Company did not write any accident and health insurance premiums which are subject to the Affordable Care Act (ACA) risk sharing provisions. No amounts are included in the financial statements for any of the risk sharing programs for the period ending September 30, 2014.

Program	Amounts
Permanent ACA Risk Adjustment Program	
Premium adjustments receivable due to ACA Risk Adjustment	\$ -
Risk adjustment user fees payable for ACA Risk Adjustment	-
Premium adjustments payable due to ACA Risk Adjustment	-
Reported as revenue in premium for A&H contracts due to ACA Risk Adjustment	-
Reported in expenses as ACA Risk Adjustment user fees	-
Transitional ACA Reinsurance Program	
Amounts recoverable for claims paid due to ACA Reinsurance	-
Amounts recoverable for claims unpaid due to ACA Reinsurance	-
Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	-
Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	-
Ceded reinsurance premiums payable due to ACA Reinsurance	-
Liabilities for amounts held under uninsured plans contributions for ACA Reinsurance	-
Ceded reinsurance premiums due to ACA Reinsurance	-
Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	-
ACA Reinsurance contributions - not reported as ceded premium	-
Temporary ACA Risk Corridors Program	
Accrued retrospective premium due to ACA Risk Corridor	-
Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridor	-
Effect of ACA Risk Corridors on net premium income (paid/received)	-
Effect of ACA Risk Corridors on change in reserves for rate credits	-

NOTES TO FINANCIAL STATEMENTS

22. Events Subsequent

The Company is not aware of any Type I Recognized or Type II Non-recognized events subsequent to September 30, 2014, that may have a material effect upon the Company's financial statements. Subsequent events were evaluated through November 12, 2014, the date these statutory financial statements were available to be issued.

Effective October 1, 2014, the Company was merged into an affiliated Iowa-domiciled life insurer, Transamerica Premier Life Insurance Company (TPLIC), NAIC Company Code 66281. This was accounted for under the statutory merger method. In accordance with the Plan of Merger, TPLIC was the surviving corporation. The Company's stock was deemed cancelled by operation of law.

Consolidated unaudited proforma results of the merged company as of September 30, 2014 would have been as follows:

Consolidated Admitted Assets	\$	41,358,637,797
Consolidated Liabilities		40,223,011,536
Consolidated Capital and Surplus		1,135,626,261
Consolidated Net Income		(42,002,244)

23. Reinsurance

No significant change.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change.

25. Change in Incurred Losses and Loss Adjustment Expenses

Reserves on accident and health products for incurred losses and loss adjustment expenses attributable to insured events of prior years have been adjusted during the past year as a result of re-estimation of unpaid losses and loss adjustment expenses. This change is the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. As of September 30, 2014 the reserves were insufficient to cover the sum of the claims and claim reserves incurred on prior years' claims by \$53,517.

26. Intercompany Pooling Arrangements

No significant change.

27. Structured Settlements

No significant change.

28. Health Care Receivables

No significant change.

29. Participating Policies

No significant change.

30. Premium Deficiency Reserves

No significant change.

31. Reserves for Life Contracts and Annuity Contracts

No significant change.

32. Analysis of Annuity Actuarial Reserves and Deposit Type Liabilities by Withdrawal Characteristics

No significant change.

33. Premiums and Annuity Considerations Deferred and Uncollected

No significant change.

34. Separate Accounts

No significant change.

35. Loss/Claim Adjustment Expenses

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES - GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.12/31/2009.....
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.12/31/2009.....
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).6/14/2011.....
- 6.4 By what department or departments?
Ohio, Iowa, New York, Vermont, Arkansas

- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [X] No [] N/A []
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
 - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.

- 9.11 If the response to 9.1 is No, please explain:

- 9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES - GENERAL

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

PART 1 - FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$.....0

PART 1 - INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [X] No []

11.2 If yes, give full and complete information relating thereto:
There are assets subject to Dollar Repurchase Agreements & Pledged as Collateral.

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$.....1,792,754

13. Amount of real estate and mortgages held in short-term investments: \$.....0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No []

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds.....	\$0	\$0
14.22 Preferred Stock.....	\$0	\$0
14.23 Common Stock.....	\$35,347,837	\$47,822,939
14.24 Short-Term Investments.....	\$0	\$0
14.25 Mortgage Loans on Real Estate.....	\$0	\$0
14.26 All Other.....	\$0	\$0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$35,347,837	\$47,822,939
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above.....	\$0	\$0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [X] No []

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? If no, attach a description with this statement. Yes [X] No []

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:	\$.....66,454,389
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2:	\$.....66,454,389
16.3 Total payable for securities lending reported on the liability page:	\$.....66,454,389

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Citibank	New York, NY

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
114537	AEGON USA Investment Management, LLC	Cedar Rapids, IA

PART 1 - INVESTMENT

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [] No [X]

18.2 If no, list exceptions:
CUSIP Q4975*AB1 - Investa Listed Funds Management has a 6/30 fiscal year end. Annual financial reports were not available as of 9/30.

GENERAL INTERROGATORIES (continued)

PART 2 - LIFE & HEALTH

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:

		Amount
1.1 Long-term mortgages in good standing		
1.11 Farm mortgages.....	\$.....	0
1.12 Residential mortgages.....	\$.....	0
1.13 Commercial mortgages.....	\$.....	184,541,922
1.14 Total mortgages in good standing.....	\$.....	184,541,922
1.2 Long-term mortgages in good standing with restructured terms		
1.21 Total mortgages in good standing with restructured terms.....	\$.....	0
1.3 Long-term mortgage loans upon which interest is overdue more than three months		
1.31 Farm mortgages.....	\$.....	0
1.32 Residential mortgages.....	\$.....	0
1.33 Commercial mortgages.....	\$.....	0
1.34 Total mortgages with interest overdue more than three months.....	\$.....	0
1.4 Long-term mortgage loans in process of foreclosure		
1.41 Farm mortgages.....	\$.....	0
1.42 Residential mortgages.....	\$.....	0
1.43 Commercial mortgages.....	\$.....	0
1.44 Total mortgages in process of foreclosure.....	\$.....	0
1.5 Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	\$.....	184,541,922
1.6 Long-term mortgages foreclosed, properties transferred to real estate in current quarter		
1.61 Farm mortgages.....	\$.....	0
1.62 Residential mortgages.....	\$.....	0
1.63 Commercial mortgages.....	\$.....	0
1.64 Total mortgages foreclosed and transferred to real estate.....	\$.....	0
2. Operating Percentages:		
2.1 A&H loss percent.....	41.0
2.2 A&H cost containment percent.....	0.0
2.3 A&H expense percent excluding cost containment expenses.....	(1.0)
3.1 Do you act as a custodian for health savings accounts?.....	Yes [] No [X]	
3.2 If yes, please provide the amount of custodial funds held as of the reporting date.....	\$.....	0
3.3 Do you act as an administrator for health savings accounts?.....	Yes [] No [X]	
3.4 If yes, please provide the balance of the funds administered as of the reporting date.....	\$.....	0

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
Life Non-Affiliates								
80659.....	38-0397420.....	02/01/2014	U.S. BUSINESS OF CANADA LIFE ASSURANCE COMPANY.....	MI.....	YRT.....	AUTHORIZED....0.....
88340.....	59-2859797.....	02/01/2014	HANNOVER LIFE REASSURANCE COMPANY OF AMERICA.....	DE.....	YRT.....	AUTHORIZED....0.....
0.....	AA1560092.....	02/01/2014	STANDARD LIFE OF CANADA (BERMUDA).....	BMU.....	YRT.....	UNAUTHORIZED0.....
82627.....	06-0839705.....	02/01/2014	SWISS RE LIFE AND HEALTH AMERICA INC.....	CT.....	YRT.....	AUTHORIZED....0.....

WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

			Direct Business Only					
			Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Mem- bership and Other Fees	5 Other Considerations	6 Total Columns 2 through 5	7 Deposit-Type Contracts
			2 Life Insurance Premiums	3 Annuity Considerations				
States, Etc.	Active Status	1	2	3	4	5	6	7
1. Alabama.....	AL	L	3,037,197	147,873	84,117	0	3,269,187	1,424
2. Alaska.....	AK	L	502,271	11,565	2,243,281	0	2,757,117	0
3. Arizona.....	AZ	L	6,383,459	58,335	2,615,792	0	9,057,586	1,202
4. Arkansas.....	AR	L	595,613	3,825	0	0	599,438	1,483
5. California.....	CA	L	221,858,119	3,069,048	1,220,474	136,040	226,283,681	1,059
6. Colorado.....	CO	L	8,356,997	351,114	97,897	0	8,806,008	92
7. Connecticut.....	CT	L	3,774,440	502,119	0	0	4,276,559	10,713
8. Delaware.....	DE	L	947,047	1,800	0	0	948,847	988
9. District of Columbia.....	DC	L	819,546	2,020	0	0	821,566	860
10. Florida.....	FL	L	20,445,219	1,297,182	127,994	164,571	22,034,966	33,819
11. Georgia.....	GA	L	22,968,655	541,069	0	0	23,509,724	28,168
12. Hawaii.....	HI	L	7,229,969	30,087	0	0	7,260,056	10
13. Idaho.....	ID	L	2,082,970	16,181	0	0	2,099,151	0
14. Illinois.....	IL	L	21,672,538	355,895	1,136,243	0	23,164,676	10,474
15. Indiana.....	IN	L	5,364,663	461,683	483,251	0	6,309,597	1,757
16. Iowa.....	IA	L	1,859,675	242,998	90,189	30	2,192,892	3,242
17. Kansas.....	KS	L	1,758,647	134,158	1,122,792	0	3,015,597	652
18. Kentucky.....	KY	L	1,354,369	21,675	0	0	1,376,044	0
19. Louisiana.....	LA	L	5,287,969	318,147	476,202	70,039	6,152,357	870
20. Maine.....	ME	L	572,489	51,111	0	0	623,600	1,637
21. Maryland.....	MD	L	16,687,660	1,274,625	364,478	49,974	18,376,737	12,748
22. Massachusetts.....	MA	L	15,230,217	67,039	0	0	15,297,256	47,266
23. Michigan.....	MI	L	5,366,587	260,494	105,470	0	5,732,551	15
24. Minnesota.....	MN	L	4,694,508	149,167	0	26,130	4,869,805	17
25. Mississippi.....	MS	L	1,183,514	33,066	364,510	0	1,581,090	1,284
26. Missouri.....	MO	L	2,802,194	137,934	217,632	0	3,157,760	2,539
27. Montana.....	MT	L	220,971	7,200	66,400	0	294,571	0
28. Nebraska.....	NE	L	1,233,564	232,544	440,985	13,366	1,920,459	3,022
29. Nevada.....	NV	L	7,193,814	26,291	676,102	0	7,896,207	544
30. New Hampshire.....	NH	L	624,434	56,074	0	0	680,508	721
31. New Jersey.....	NJ	L	18,921,425	50,740	0	0	18,972,165	12,543
32. New Mexico.....	NM	L	673,944	30,826	205,495	0	910,265	1
33. New York.....	NY	N	10,612,550	39,031	0	0	10,651,581	518
34. North Carolina.....	NC	L	8,418,368	79,445	110,154	69,128	8,677,095	26,199
35. North Dakota.....	ND	L	653,290	34,277	0	0	687,567	0
36. Ohio.....	OH	L	9,957,376	919,781	89,560	0	10,966,717	5,525
37. Oklahoma.....	OK	L	1,112,504	58,452	122,860	28,122	1,321,938	33
38. Oregon.....	OR	L	5,134,743	276,044	147,289	0	5,558,076	422
39. Pennsylvania.....	PA	L	12,734,532	658,334	0	90,324	13,483,190	10,108
40. Rhode Island.....	RI	L	508,387	11,661	0	0	520,048	677
41. South Carolina.....	SC	L	3,552,439	125,293	0	0	3,677,732	2,067
42. South Dakota.....	SD	L	414,017	32,225	196,004	0	642,246	2,339
43. Tennessee.....	TN	L	3,346,277	248,035	0	18,724	3,613,036	7,804
44. Texas.....	TX	L	48,019,187	2,327,625	2,927,824	175,990	53,450,626	38,838
45. Utah.....	UT	L	11,906,978	150,970	0	0	12,057,948	332
46. Vermont.....	VT	L	149,943	13,761	0	0	163,704	0
47. Virginia.....	VA	L	13,917,241	708,145	77,902	30,191	14,733,479	23,587
48. Washington.....	WA	L	10,940,827	41,610	0	0	10,982,437	1,155
49. West Virginia.....	WV	L	238,123	18,201	329,589	0	585,913	450
50. Wisconsin.....	WI	L	5,241,714	194,012	379,796	14,937	5,830,459	29
51. Wyoming.....	WY	L	343,665	2,000	0	0	345,665	494
52. American Samoa.....	AS	N	1,800	0	0	0	1,800	0
53. Guam.....	GU	L	174,646	2,394	0	0	177,040	0
54. Puerto Rico.....	PR	L	2,618,075	57,675	0	0	2,675,750	0
55. US Virgin Islands.....	VI	N	13,306	0	0	0	13,306	0
56. Northern Mariana Islands.....	MP	N	150	0	0	0	150	0
57. Canada.....	CAN	N	94,024	0	0	0	94,024	0
58. Aggregate Other Alien.....	OT	XXX	1,467,898	243,578	0	0	1,711,476	53
59. Subtotal.....	(a)	52	563,276,744	16,186,434	16,520,282	887,566	596,871,026	299,780
90. Reporting entity contributions for employee benefit plans.....	XXX		0	0	0	0	0	0
91. Dividends or refunds applied to purchase paid-up additions and annuities.....	XXX		0	0	0	0	0	0
92. Dividends or refunds applied to shorten endowment or premium paying period.....	XXX		0	0	0	0	0	0
93. Premium or annuity considerations waived under disability or other contract provisions.....	XXX		171,019	0	0	0	171,019	0
94. Aggregate other amounts not allocable by State.....	XXX		0	0	0	0	0	0
95. Totals (Direct Business).....	XXX		563,447,763	16,186,434	16,520,282	887,566	597,042,045	299,780
96. Plus Reinsurance Assumed.....	XXX		0	0	0	0	0	0
97. Totals (All Business).....	XXX		563,447,763	16,186,434	16,520,282	887,566	597,042,045	299,780
98. Less Reinsurance Ceded.....	XXX		114,282,209	9,767,620	14,004,452	0	138,054,281	0
99. Totals (All Business) less Reinsurance Ceded.....	XXX		449,165,554	6,418,814	2,515,830	887,566	458,987,764	299,780

DETAILS OF WRITE-INS

58001. ALB- ALBANIA.....	XXX		34	0	0	0	34	0
58002. ANT-NETHERLANDS ANTILLES.....	XXX		1,350	0	0	0	1,350	0
58003. ARE- UNITED ARAB EMIRATES.....	XXX		3,551	0	0	0	3,551	0
58998. Summary of remaining write-ins for line 58 from overflow page.....	XXX		1,462,963	243,578	0	0	1,706,541	53
58999. Total (Lines 58001 thru 58003 plus 58998) (Line 58 above).....	XXX		1,467,898	243,578	0	0	1,711,476	53
9401.	XXX		0	0	0	0	0	0
9402.	XXX		0	0	0	0	0	0
9403.	XXX		0	0	0	0	0	0
9498. Summary of remaining write-ins for line 94 from overflow page.....	XXX		0	0	0	0	0	0
9499. Total (Lines 9401 thru 9403 plus 9498) (Line 94 above).....	XXX		0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer; (E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

<u>NAME</u>	<u>FEDERAL ID NUMBER</u>	<u>NAIC CO. CODE</u>	<u>DOMICILE</u>	<u>FOOTNOTE</u>
VERENIGING AEGON, NETHERLANDS MEMBERSHIP ASSOCIATION				
├ AEGON N.V.	Foreign		NLD	1
├ AEGON NEDERLAND N.V.	Foreign		NLD	
├ AEGON NEVAK HOLDING B.V.	Foreign		NLD	
├ BLUE SQUARE RE N.V.	Foreign		NLD	
├ AEGON DERIVATIVES N.V.	Foreign		NLD	
├ AEGON INTERNATIONAL B.V.	Foreign		NLD	
├ THE AEGON TRUST	51-6513848		DE	
├ └ TRANSAMERICA CORPORATION	42-1484983		DE	
├ └ AEGON U.S. HOLDING CORPORATION	13-3350744		DE	
├ └ └ AEGON MANAGEMENT COMPANY	35-1113520		IN	
├ └ └ └ RIVER RIDGE INSURANCE COMPANY	20-0877184		VT	
├ └ └ └ SHORT HILLS MANAGEMENT COMPANY	42-1338496		NJ	
├ └ └ └ AEGON USA, LLC	42-1310237		IA	
├ └ └ └ AEGON FUNDING COMPANY LLC	42-1489646		DE	
├ └ └ └ AUSA HOLDING COMPANY	52-1549874		MD	
├ └ └ └ AUSA PROPERTIES, INC.	27-1275705		IA	
├ └ └ └ AEGON USA ASSET MANAGEMENT HOLDING, LLC	52-1549874		IA	
├ └ └ └ AEGON USA INVESTMENT MANAGEMENT, LLC	52-1549874		IA	
├ └ └ └ PEARL HOLDINGS, INC. I	20-1063558		DE	
├ └ └ └ PEARL HOLDINGS, INC. II	20-1063571		DE	
├ └ └ └ TRANSAMERICA INVESTMENT MANAGEMENT, LLC	06-1564377		DE	
├ └ └ └ AEGON USA REALTY ADVISORS, LLC	42-1205796		IA	
├ └ └ └ └ AXA EQUITABLE AGRIFINANCE, LLC	32-0342677		DE	2
├ └ └ └ └ AEGON USA REAL ESTATE SERVICES, INC.	61-1098396		DE	
├ └ └ └ └ AEGON USA REALTY ADVISORS OF CALIFORNIA, INC.	20-5023693		IA	
├ └ └ └ AEGON ASSET MANAGEMENT SERVICES, INC.	39-1884868		DE	
├ └ └ └ └ WORLD FINANCIAL GROUP, INC.	42-1518386		DE	
├ └ └ └ └ WFG CHINA HOLDINGS, INC.	20-2541057		DE	
├ └ └ └ └ WFG PROPERTIES HOLDINGS, LLC	20-2133638		GA	
├ └ └ └ └ WFG REINSURANCE LIMITED	Foreign		BMU	3
├ └ └ └ AEGON REALTY SERVICES, LLC	94-3026780		DE	
├ └ └ └ AEGON AFFORDABLE HOUSING, INC.	94-3252196		CA	
├ └ └ └ CREDITOR RESOURCES, INC.	42-1079584		MI	
├ └ └ └ └ CRI SOLUTIONS, INC.	52-1363611		MD	
├ └ └ └ └ 44764 YUKON INC.	Foreign		CAN	
├ └ └ └ └ CRI CANADA LTD.	Foreign		CAN	
├ └ └ └ TRANSAMERICA RETIREMENT SOLUTIONS CORPORATION	13-3689044		DE	
├ └ └ └ TRANSAMERICA RETIREMENT ADVISORS, INC.	13-3689044		DE	
├ └ └ └ TRANSAMERICA RETIREMENT INSURANCE AGENCY, INC.	46-2720367		DE	
├ └ └ └ TRANSAMERICA INVESTORS SECURITIES CORPORATION	13-3696753		DE	
├ └ └ └ CLARK, LLC	52-2103926		DE	
├ └ └ └ └ CLARK CONSULTING, LLC	50-2103928		DE	
├ └ └ └ └ CBC INSURANCE REVENUE SECURITIZATION, LLC	73-1652928		DE	
├ └ └ └ └ CLARK INVESTMENT STRATEGIES, INC.	38-3768457		DE	
├ └ └ └ └ CLARK SECURITIES, INC.	95-4295824		CA	
├ └ └ └ └ CRG INSURANCE AGENCY, INC.	95-4123166		CA	
├ └ └ └ nVISION FINANCIAL, INC	35-2283987		IA	
├ └ └ └ INVESTORS WARRANTY OF AMERICA, INC.	42-1154276		IA	
├ └ └ └ FONG LCS ASSOCIATES, LLC	20-8736609		DE	
├ └ └ └ INTERSTATE NORTH OFFICE PARK OWNER, LLC	42-1154276		DE	
├ └ └ └ LCS ASSOCIATES, LLC	20-8687142		DE	
├ └ └ └ TRADITION LAND COMPANY, LLC.	42-1154276		IA	
├ └ └ └ PSL ACQUISITIONS OPERATING, LLC	42-1154276		IA	
├ └ └ └ THH ACQUISITIONS, LLC	42-1154276		IA	
├ └ └ └ TRANSAMERICA AGENCY NETWORK, INC.	61-1513662		IA	
├ └ └ └ ONCOR INSURANCE SERVICES, LLC	26-2311888		IA	
├ └ └ └ MASSACHUSETTS FIDELITY TRUST COMPANY	42-0947998		IA	
├ └ └ └ MONEY SERVICES, INC.	42-1079580		DE	
├ └ └ └ TRANSAMERICA TRAVEL AND CONFERENCE SERVICES, LLC	42-1079580		IA	
├ └ └ └ AEGON DIRECT MARKETING SERVICES INTERNATIONAL, INC.	52-1291367		MD	
├ └ └ └ MONUMENTAL GENERAL ADMINISTRATORS, INC.	52-1243288		MD	
├ └ └ └ └ TRANSAMERICA RESOURCES, INC.	52-1525601		MD	
├ └ └ └ TRANSAMERICA CAPITAL, INC.	95-3141953		CA	
├ └ └ └ TRANSAMERICA FINANCIAL ADVISORS, INC.	59-2476008		DE	4
├ └ └ └ TRANSAMERICA FUND SERVICES, INC.	59-3403587		FL	5
├ └ └ └ TRANSAMERICA VENTURES, LLC			DE	
├ └ └ └ TRANSAMERICA VENTURES FUND, LLC			DE	
├ └ └ └ UNIVERSAL BENEFITS, LLC	42-1334744		IA	
├ └ └ └ ZAHORIK COMPANY, INC.	95-2775959		CA	
├ └ └ └ GLOBAL PREFERRED RE LIMITED	98-0164807		BMU	
├ └ └ └ IRONWOOD RE CORP.	47-1703149		HI	

**WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

PART 1 – ORGANIZATIONAL CHART

<u>NAME</u>	<u>FEDERAL ID NUMBER</u>	<u>NAIC CO. CODE</u>	<u>DOMICILE</u>	<u>FOOTNOTE</u>
AEGON N.V.			NLD	
AEGON INTERNATIONAL B.V.			NLD	
THE AEGON TRUST	51-6513848		DE	
TRANSAMERICA CORPORATION	42-1484983		DE	
AEGON U.S. HOLDING CORPORATION	13-3350744		DE	
AEGON USA, LLC	42-1310237		IA	
TRANSAMERICA ADVISORS LIFE INSURANCE COMPANY	91-1325756	79022	AR	
RCC NORTH AMERICA LLC	13-3256226		DE	6
SOUTHWEST EQUITY LIFE INSURANCE COMPANY	86-0455577	98426	AZ	7
TRANSAMERICA CASUALTY INSURANCE COMPANY	31-4423946	10952	OH	
TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	36-6071399	70688	NY	8
TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	94-2873401		DE	
TIHI CANADA HOLDING, LLC			IA	
TRANSAMERICA ANNUITY SERVICE CORPORATION	85-0325648		NM	
TRANSAMERICA LIFE INSURANCE COMPANY	39-0989781	86231	IA	9
TLIC OAKBROOK REINSURANCE, INC.	47-1026613	15549	IA	
TLIC RIVERWOOD REINSURANCE, INC.	45-3193055	14146	IA	
REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC	20-1627078		DE	10
FD TLIC, LIMITED LIABILITY COMPANY	01-0969916		NY	
FD TLIC LTD.			GBR	
MALIBU LOAN FUND, LTD			DE	11
ASIA INVESTMENT HOLDING LIMITED			HKG	
TRANSAMERICA LIFE (BERMUDA) LTD.	98-0481010		BMU	
ZERO BETA FUND, LLC	26-1298094		DE	12
AEGON FINANCIAL SERVICES GROUP, INC.	41-1479568		MN	
AEGON ASSIGNMENT CORPORATION	42-1477359		IL	
AEGON ASSIGNMENT CORPORATION OF KENTUCKY	61-1314968		KY	
AEGON MANAGED ENHANCED CASH, LLC	30-0445264		DE	13
PRIMUS GUARANTY, LTD.			BMU	14
REAL ESTATE ALTERNATIVES PORTFOLIO 1, LLC	75-2980951		DE	15
REAL ESTATE ALTERNATIVES PORTFOLIO 2, LLC	02-0685017		DE	16
REAL ESTATE ALTERNATIVES PORTFOLIO 3, LLC	20-1378364		DE	17
REAL ESTATE ALTERNATIVES PORTFOLIO 4 HR, LLC	20-3878375		DE	18
REAL ESTATE ALTERNATIVES PORTFOLIO 4 MR, LLC	20-3878417		DE	18
YARRA RAPIDS, LLC	42-1205796		DE	19
BAY AREA COMMUNITY INVESTMENTS I LP	94-3392750		CA	20
CEDAR FUNDING, LTD	98-1055613		CYM	21
LIFE INVESTORS ALLIANCE LLC	42-1483973		DE	
LIICA HOLDINGS, LLC	42-0191090		DE	
LIICA RE I, INC	20-5984601	12863	VT	
LIICA RE II, INC	20-5927773	12864	VT	
CUPPLES STATE LIHTC INVESTORS, LLC	20-4498171		DE	
TRANSAMERICA OAKMONT CORPORATION	94-2993381		CA	
WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA INC.			Foreign	
TRANSAMERICA SECURITIES INC.			Foreign	
WORLD FINANCIAL GROUP CANADA INC.			Foreign	
WORLD FINANCIAL GROUP SUBHOLDING COMPANY OF CANADA INC.			Foreign	
WORLD FINANCIAL GROUP INSURANCE AGENCY OF CANADA INC			Foreign	22
TRANSAMERICA INTERNATIONAL RE (BERMUDA) LTD.	98-0199561		BMU	
TRANSAMERICA INT'L RE ESCRITORIO DE REPRESENTACAO NO BRASIL LTD			Foreign	23
UNITED FINANCIAL SERVICES, INC.	52-1263786		MD	24
WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	43-1162657	91413	OH	
TRANSAMERICA ASSET MANAGEMENT, INC.	59-3403585		FL	25
WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	95-3809372		CA	
WFG INSURANCE AGENCY OF PUERTO RICO, INC.	66-0621281		PR	
WORLD FINANCIAL GROUP INSURANCE AGENCY OF HAWAII, INC.	99-0277127		HI	
WORLD FINANCIAL GROUP INSURANCE AGENCY OF MASSACHUSETTS, INC.	04-3182849		MA	
WORLD FINANCIAL GROUP INSURANCE AGENCY OF WYOMING, INC	42-1519076		WY	
INTERSECURITIES INSURANCE AGENCY, INC	42-1517005		CA	
COMMONWEALTH GENERAL CORPORATION	51-0108922		DE	
TRANSAMERICA PACIFIC INSURANCE COMPANY, LTD.	94-3304740		HI	26
TRANSAMERICA STABLE VALUE SOLUTIONS INC.	27-0648897		DE	
AEGON INSTITUTIONAL MARKETS, INC.	61-1085329		DE	
NEW MARKETS COMMUNITY INVESTMENT FUND, LLC	20-3318246		IA	27
AEGON STRUCTURED SETTLEMENTS, INC.	61-1068209		KY	
AFSG SECURITIES CORPORATION	23-2421076		PA	
FINANCIAL PLANNING SERVICES, INC.	23-2130174		DC	
GARNET ASSURANCE CORPORATION II	14-1893533		IA	
HARBOR VIEW RE CORP.	46-4393942		HI	
AEGON ALLIANCES, INC.	56-1358257		VA	
TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	52-0419790	66281	IA	28
AEGON DIRECT MARKETING SERVICES, INC.	42-1470697		MD	29
TRANSAMERICA AFFINITY SERVICES, INC.	42-1523438		MD	
TRANSAMERICA INTERNATIONAL DIRECT MARKETING CONSULTANTS, LLC	42-1470697		MD	30
AEGON DIRECT & AFFINITY MARKETING SERVICES (THAILAND) LIMITED			Foreign	31
BAY STATE COMMUNITY INVESTMENTS I, LLC	52-0419790		DE	
BAY STATE COMMUNITY INVESTMENTS II, LLC	52-0419790		DE	
MCDONALD CORPORATE TAX CREDIT FUND IV LIMITED PARTNERSHIP	34-1843466		DE	32
TRANSAMERICA PYRAMID PROPERTIES, LLC	52-0419790		IA	
TRANSAMERICA REALTY INVESTMENT PROPERTIES, LLC	52-0419790		DE	
STONEBRIDGE BENEFIT SERVICES, INC.	75-2548428		DE	
STONEBRIDGE LIFE INSURANCE COMPANY	03-0164230	65021	VT	
MLIC RE I, INC.	01-0930908	13712	VT	
PEOPLES BENEFIT SERVICES, LLC	23-1705984		PA	
PINE FALLS RE, INC.	26-1552330	13096	VT	
SB FRAZER OWNER, LLC			DE	
STONEBRIDGE REINSURANCE COMPANY	61-1497252	12705	VT	

**WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

PART 1 – ORGANIZATIONAL CHART

<u>NAME</u>	<u>FEDERAL ID NUMBER</u>	<u>NAIC CO. CODE</u>	<u>DOMICILE</u>	<u>FOOTNOTE</u>
AEGON N.V.	Foreign		NLD	
└ AEGON INTERNATIONAL B.V.	Foreign		NLD	
└ THE AEGON TRUST	51-6513848		DE	
└└ TRANSAMERICA CORPORATION	42-1484983		DE	
└└└ PYRAMID INSURANCE COMPANY, LTD.	98-0087891		HI	
└└└ TRANSAMERICA CORPORATION (OR)	98-6021219		OR	
└└└ TRANSAMERICA FINANCE CORPORATION	95-1077235		DE	
└└└└ TRANSAMERICA LEASING HOLDINGS INC.	13-3452993		DE	
└└└└└ TRANSAMERICA FUNDING LP	Foreign		GBR	33
└└└└└ TRANSAMERICA COMMERCIAL FINANCE CORPORATION, I	94-3054228		DE	
└└└└└└ TCFC AIR HOLDINGS, INC.	32-0092333		DE	
└└└└└└└ TRANSAMERICA AVIATION LLC			DE	
└└└└└└└ TCFC ASSET HOLDINGS, INC.	32-0092334		DE	
└└└└└└└└ TCF ASSET MANAGEMENT CORPORATION	84-0642550		CO	
└└└└└└└└└ TRANSAMERICA ACCOUNTS HOLDING CORPORATION	36-4162154		DE	
└└└└└└└└└ TRANSAMERICA CONSUMER FINANCE HOLDING COMPANY	95-4631538		DE	
└└└└└└└└└└ TRANSAMERICA HOME LOAN	95-4390993		CA	
└└└└└└└└└└└ TRANSAMERICA DISTRIBUTION FINANCE - OVERSEAS, INC.	36-4254366		DE	
└└└└└└└└└└└ TRANSAMERICA SMALL BUSINESS CAPITAL, INC.	36-4251204		DE	
└└└└└└└└└└└└ TRANSAMERICA VENDOR FINANCIAL SERVICES CORPORATION	36-4134790		DE	
└ AEGON DMS HOLDING B.V.	Foreign		NLD	
└└ AEGON DIRECT & AFFINITY MARKETING SERVICES LIMITED	Foreign		HKG	
└└└ AEGON DIRECT MARKETING SERVICES INSURANCE BROKER (HK) LIMITED	Foreign		HKG	
└└└ CORNERSTONE INTERNATIONAL HOLDINGS LTD	Foreign		GBR	
└└└└ AEGON DIRECT MARKETING SERVICES EUROPE LTD.	Foreign		GBR	
└└└└└ ERFABHRUNGSSCHATZ GMBH	Foreign		DEU	
└└└└└ STONEBRIDGE INTERNATIONAL INSURANCE LTD	Foreign		GBR	
└└└└└ AEGON DIRECT MARKETING SERVICES KOREA CO., LTD.	Foreign		KOR	
└└└└└└ TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY LTD	08-2507515		AUS	
└└└└└└└ TRANSAMERICA INSURANCE MARKETING ASIA PACIFIC PTY LTD	08-2524730		AUS	
└└└└└└└ AEGON DIRECT & AFFINITY MARKETING SERVICES AUSTRALIA PTY LIMITED	08-2524785		AUS	
└└└└└└└ AEGON DIRECT MARKETING SERVICES MEXICO S.A. DE C.V.	Foreign		MEX	34
└└└└└└└ AEGON DIRECT MARKETING SERVICES MEXICO SERVICIOS S.A. DE C.V.	Foreign		MEX	34
└└└└└└└ AEGON DIRECT & AFFINITY MARKETING SERVICES CO., LTD.	Foreign		JPN	
└└└└└└└ AEGON DIRECT MARKETING SERVICES, INC.	Foreign		TWN	
└└└└└└└└ AEGON LIFE INSURANCE AGENCY, INC.	Foreign		TWN	
└└└└└└└└└ TRANSAMERICA AFFINITY MARKETING CORRECTORA DE SEGUROS LTDA	Foreign		BRA	35
└└└└└└└└└ TRANSAMERICA DIRECT MARKETING CONSULTANTS PRIVATE LIMITED	Foreign		IND	36
└ AEGON IRELAND HOLDING B.V.	Foreign		NLD	
└└ TRANSAMERICA LIFE INTERNATIONAL (BERMUDA) LTD.	Foreign		BMU	
└└ TRANSAMERICA (BERMUDA) SERVICES CENTER, LTD.	Foreign		BMU	
└ AEGON ASSET MANAGEMENT (CANADA) B.V.	Foreign		NLD	
└└ AEGON CAPITAL MANAGEMENT INC.	Foreign		CAN	
└└ AEGON FUND MANAGEMENT INC.	Foreign		CAN	
└ AEGON CANADA HOLDING B.V.	Foreign		NLD	
└└ AEGON CANADA ULC	Foreign		CAN	37
└└└ CONSUMER MEMBERSHIP SERVICES CANADA INC.	Foreign		CAN	
└└└ LEGACY GENERAL INSURANCE COMPANY	Foreign		CAN	
└└└ SELIENT INC.	Foreign		CAN	
└└└ TRANSAMERICA LIFE CANADA	Foreign		CAN	
└└└└ CANADIAN PREMIER LIFE INSURANCE COMPANY	Foreign		CAN	

WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Organizational Chart Footnotes:

Ownership is 100% unless otherwise noted. Inclusion of an entity does not necessarily signify control.

- 1) Vereniging AEGON holds voting power in the common, preferred A and preferred B stock of Aegon N.V. totaling 32.045%.
- 2) Members: AEGON USA Realty Advisors, LLC (50%); non-affiliate of Aegon (50%)
- 3) 51% owned by World Financial Group, Inc.; remaining 49% is annually offered to independent contractors associated with WFG Reinsurance Limited
- 4) AUSA Holding Company owns 51.60%; AEGON Asset Management Services, Inc. owns 37.62%; Transamerica International Holdings, Inc. owns 10.78%
- 5) AUSA Holding Company owns 56%; Western Reserve Life Assurance Co. of Ohio owns 44%
- 6) RCC Group: FGH USA, LLC; ALH Properties Eight, LLC; ALH Properties Eleven, LLC; ALH Properties Four, LLC; ALH Properties Nine, LLC; ALH Properties Seven, LLC; ALH Properties Seventeen, LLC; ALH Properties Sixteen, LLC; ALH Properties Ten, LLC; ALH Properties Twelve, LLC; ALH Properties Two, LLC; FGH Realty Credit, LLC; FGP West Street Two, LLC; FGP 90 West Street, LLC; FGP West Mezzanine, LLC; FGP West Street, LLC; Fifth FGP, LLC; First FGP, LLC; Fourth FGP, LLC; Second FGP, LLC; Seventh FGP, LLC; The RCC Group, Inc.
- 7) 100% of the voting common stock owned by AEGON USA, LLC is allocated 75% of total cumulative vote. Participating common stock (100% owned by non-Aegon shareholders) is allocated 25% of the total cumulative vote.
- 8) Ownership: 87.9% AEGON USA, LLC (15,067 shares of common stock and 40,415 shares of preferred stock); 12.1% Transamerica Life Insurance Company (2,075 shares of common stock and 5,566 shares of preferred stock)
- 9) 676,190 shares common stock owned by Transamerica International Holdings, Inc.; 86,590 shares of preferred stock owned by Transamerica Corporation; 30,564 shares of preferred stock owned by AEGON USA, LLC

Garnet Subsidiaries: Garnet Assurance Corporation, Garnet Assurance Corporation III, Garnet Community Investments III, LLC, Garnet Community Investments XVIII, LLC, Garnet Community Investments XX, LLC, Garnet Community Investments XXIV, LLC, Garnet Community Investments XXV, LLC, Garnet Community Investments XXVI, LLC, Garnet Community Investments XXVII, LLC, Garnet Community Investments XXVIII, LLC, Garnet Community Investments XXIX, LLC, Garnet Community Investments XXX, LLC, Garnet Community Investments XXXI, LLC, Garnet Community Investments XXXII, LLC, Garnet Community Investments XXXIII, LLC, Garnet Community Investments XXXIV, LLC, Garnet Community Investments XXXV, LLC, Garnet Community Investments XXXVI, LLC, Garnet Community Investments XXXVII, LLC, Garnet Community Investments XXXVIII, LLC, Garnet Community Investments XXXIX, LLC, Garnet Community Investments XL, LLC, Garnet Community Investments XLI, LLC, Garnet Community Investments XLII, LLC, Garnet Community Investments XLIV, LLC
- 10) Members: Transamerica Life Insurance Company (52.6%); Transamerica Premier Life Insurance Company (37.0%); Transamerica Financial Life Insurance Company (9.4%); Stonebridge Life Insurance Company (1%)
- 11) This is a Fund that Transamerica Life Insurance Company and Transamerica Premier Life Insurance Company are investors in.
- 12) Members: Transamerica Life Insurance Company (82.35%); Transamerica Premier Life Insurance Company (16.16%); Transamerica Financial Life Insurance Company (1.49%). Manager: AEGON USA Investment Management, LLC
- 13) Members: Transamerica Life Insurance Company (88.02%); Transamerica Premier Life Insurance Company (11.98%)
- 14) Members: Transamerica Life Insurance Company (20%); non-affiliates of Aegon (80%)
- 15) Members: Transamerica Life Insurance Company (90.96%); Transamerica Premier Life Insurance Company (6.30%); Transamerica Financial Life Insurance Company (2.74%). Manager: AEGON USA Realty Advisors, LLC
- 16) Members: Transamerica Life Insurance Company (90.25%); Transamerica Financial Life Insurance Company (7.5%); Stonebridge Life Insurance Company (2.25%). Manager: AEGON USA Realty Advisors, LLC
- 17) Members: Transamerica Life Insurance Company (73.4%); Transamerica Premier Life Insurance Company (25.6%); Stonebridge Life Insurance Company (1%). Manager: AEGON USA Realty Advisors, LLC
- 18) Members: Transamerica Life Insurance Company (64%); Transamerica Premier Life Insurance Company (32%); Transamerica Financial Life Insurance Company (4%). Manager: AEGON USA Realty Advisors, LLC
- 19) Members: Real Estate Alternatives Portfolio 4MR, LLC (49%); non-affiliate of Aegon (51%)
- 20) Partners: Transamerica Life Insurance Company (70%); Transamerica Premier Life Insurance Company (30%)
- 21) Charitable trust
- 22) 50% owned by World Financial Group Holding Company of Canada Inc.; 50% owned by World Financial Group Subholding Company of Canada Inc.
- 23) Quotaholders: Transamerica International Re (Bermuda) Ltd. (95%) and Transamerica International Holdings, Inc. (5%)
- 24) United Financial Services, Inc. is doing business in the state of West Virginia as Monumental Financial Services, Inc.
- 25) Western Reserve Life Assurance Co. of Ohio owns 77%; AUSA Holding Company owns 23%
- 26) 26,000 shares of common stock owned by Commonwealth General Corporation; 1,000 shares of common stock owned by Transamerica International Holdings, Inc.
- 27) Ownership: 50% AEGON Institutional Markets, Inc.; 50% AEGON USA Realty Advisors, LLC
- 28) Garnet Subsidiaries: Garnet Community Investments, LLC, Garnet Community Investments II, LLC, Garnet Community Investments IV, LLC, Garnet Community Investments V, LLC, Garnet Community Investments VI, LLC, Garnet Community Investments VII, LLC, Garnet Community Investments VIII, LLC, Garnet Community Investments IX, LLC, Garnet Community Investments X, LLC, Garnet Community Investments XI, LLC, Garnet Community Investments XII, LLC.
- 29) Transamerica Premier Life Insurance Company owns 103,324 shares; Commonwealth General Corporation owns 37,161 shares
- 30) Members: ADMS representative (51%); AEGON Direct Marketing Services, Inc. (49%)
- 31) Members: Transamerica International Direct Marketing Consultants, LLC (97%); various ADMS representatives (3%)
- 32) Partners: Transamerica Premier Life Insurance Company (99.9%); General Partner: TAH-McD IV, LLC (0.10%)
- 33) 99% owned by Transamerica Leasing Holdings Inc.; 1% owned by Transamerica Commercial Finance Corporation, I
- 34) AEGON Mexico Holding B.V. owns 49,999 shares; AEGON DMS Holding B.V. owns 1 share
- 35) AEGON DMS Holding B.V. owns 749,000 quota shares; AEGON International B.V. owns 1 quota share
- 36) AEGON DMS Holding B.V. owns 99.95%; ADMS representative owns .05%
- 37) AEGON Canada Holding B.V. owns 174,588,712 shares of common stock; 1,500 shares of Series II preferred stock; 2 shares of Series III preferred stock. TIHI Canada Holding, LLC owns 1,441,941.26 shares of Class B - Series I preferred stock

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
Members														
0		00000		0	0		44764 YUKON INC.....	CAN.....	NIA.....	CREDITOR RESOURCES, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000	56-1358257	0	0		AEGON ALLIANCES, INC.....	VA.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000		0	0		AEGON ASSET MANAGEMENT (CANADA) B.V...	NLD.....	NIA.....	AEGON INTERNATIONAL B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000	39-1884868	0	0		AEGON ASSET MANAGEMENT SERVICES, INC.	DE.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000	61-1314968	0	0		AEGON ASSIGNMENT CORPORATION OF KENTUCKY	KY.....	NIA.....	AEGON FINANCIAL SERVICES GROUP, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000	42-1477359	0	0		AEGON ASSIGNMENT CORPORATION.....	IL.....	NIA.....	AEGON FINANCIAL SERVICES GROUP, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000		0	0		AEGON CANADA HOLDING B.V.....	NLD.....	NIA.....	AEGON INTERNATIONAL B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000		0	0		AEGON CANADA ULC.....	CAN.....	NIA.....	AEGON CANADA HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000		0	0		AEGON CAPITAL MANAGEMENT, INC.....	CAN.....	NIA.....	AEGON ASSET MANAGEMENT (CANADA) B.V.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000		0	0		AEGON DERIVATIVES N.V.....	NLD.....	NIA.....	AEGON N.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000		0	0		AEGON DIRECT & AFFINITY MARKETING SERVICES LIMITED	HKG.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000		0	0		AEGON DIRECT & AFFINITY MARKETING SERVICES (THAILAND), LTD.	THA.....	NIA.....	TRANSAMERICA INTERNATIONAL DIRECT MARKETING CONSULTANTS, LLC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000	08-2524785	0	0		AEGON DIRECT & AFFINITY MARKETING SERVICES AUSTRALIA PTY., LTD.	AUS.....	NIA.....	TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY, LTD.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000		0	0		AEGON DIRECT MARKETING SERVICES EUROPE, LTD.	GBR.....	NIA.....	CORNERSTONE INTERNATIONAL HOLDINGS, LTD.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000		0	0		AEGON DIRECT MARKETING SERCVES INSURANCE BROKER (HK), LTD.	HKG.....	NIA.....	AEGON DIRECT & AFFINITY MARKETING SERVICES LIMITED	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000	52-1291367	0	0		AEGON DIRECT MARKETING SERVICES INTERNATIONAL, INC.	MD.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000		0	0		AEGON DIRECT & AFFINITY MARKETING SERCVES CO., LTD.	JPN.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000		0	0		AEGON DIRECT MARKETING SERVICES KOREA CO., LTD.	KOR.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0		00000		0	0		AEGON DIRECT MARKETING SERVICES MEXICO SERVICIOS, S.A. DE C.V.	MEX.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

Q13

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0		00000		0	0		AEGON DIRECT MARKETING SERVICES MEXICO, S.A. DE C.V.	MEX	NIA	AEGON DMS HOLDING B.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		AEGON DIRECT MARKETING SERVICES, INC...	TWN	NIA	AEGON DMS HOLDING B.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1470697	0	0		AEGON DIRECT MARKETING SERVICES, INC...	MD	NIA	TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	OWNERSHIP BOARD	73.500	AEGON N.V.	0
0		00000	42-1470697	0	0		AEGON DIRECT MARKETING SERVICES, INC...	MD	NIA	COMMONWEALTH GENERAL CORPORARION	OWNERSHIP BOARD	26.500	AEGON N.V.	0
0		00000		0	0		AEGON DMS HOLDING B.V.	NLD	NIA	AEGON INTERNATIONAL B.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	41-1479568	0	0		AEGON FINANCIAL SERVICES GROUP, INC.....	MN	NIA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		AEGON FUND MANAGEMENT, INC.....	CAN	NIA	AEGON ASSET MANAGEMENT (CANADA) B.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1489646	0	0001160709		AEGON FUNDING COMPANY, LLC.....	DE	NIA	AEGON USA, LLC.....	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	61-1085329	0	0		AEGON INSTITUTIONAL MARKETS, INC.....	DE	NIA	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		AEGON INTERNATIONAL B.V.	NLD	UIP	AEGON N.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		AEGON IRELAND HOLDING B.V.	NLD	NIA	AEGON INTERNATIONAL B.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		AEGON LIFE INSURANCE AGENCY, INC.....	TWN	NIA	AEGON DIRECT MARKETING SERVICES INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	30-0445264	0	0		AEGON MANAGED ENHANCED CASH, LLC.....	DE	NIA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	88.020	AEGON N.V.	0
0		00000	30-0445264	0	0		AEGON MANAGED ENHANCED CASH, LLC.....	DE	NIA	TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	OWNERSHIP BOARD	11.980	AEGON N.V.	0
0		00000	35-1113520	0	0		AEGON MANAGEMENT COMPANY.....	IN	NIA	AEGON U.S. HOLDING CORPORATION.....	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0000769218	NYSE	AEGON N.V.	NLD	UIP		OWNERSHIP BOARD	32.045		0
0		00000		0	0		AEGON NEDERLAND N.V.	NLD	NIA	AEGON N.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		AEGON NEVAK HOLDING B.V.	NLD	NIA	AEGON N.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0

Q13.1

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0		00000	61-1068209	0	0		AEGON STRUCTURED SETTLEMENTS, INC.....	KY.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000	13-3350744	0	0		AEGON U.S. HOLDING CORPORATION.....	DE.....	UIP.....	TRANSAMERICA CORPORATION.....	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000	52-1549874	0	0		AEGON USA ASSET MANAGEMENT HOLDING, LLC.	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000	52-1549874	0	0001454937		AEGON USA INVESTMENT MANAGEMENT, LLC.	IA.....	NIA.....	AEGON USA ASSET MANAGEMENT HOLDING, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000	61-1098396	0	0		AEGON USA REAL ESTATE SERVICES, INC.....	DE.....	NIA.....	AEGON USA REALTY ADVISORS, LLC.....	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000	20-5023693	0	0		AEGON USA REALTY ADVISORS OF CALIFORNIA, INC.	IA.....	NIA.....	AEGON USA REALTY ADVISORS, LLC.....	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000	42-1205796	0	0		AEGON USA REALTY ADVISORS, LLC.....	IA.....	NIA.....	AEGON USA ASSET MANAGEMENT HOLDING, LLC.	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000	42-1310237	0	0		AEGON USA, LLC.....	IA.....	UDP.....	AEGON U.S. HOLDING CORPORATION.....	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000	23-2421076	0	0000799183		AFSG SECURITIES CORPORATION.....	PA.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000		0	0		ASIA INVESTMENT HOLDING, LIMITED.....	HKG.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000	52-1549874	0	0		AUSA HOLDING COMPANY.....	MD.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000	27-1275705	0	0		AUSA PROPERTIES, INC.....	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000	32-0342677	0	0		AXA EQUITABLE AGRIFINANCE, LLC.....	DE.....	NIA.....	AEGON USA REALTY ADVISORS, LLC.....	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000	94-3392750	0	0		BAY AREA COMMUNITY INVESTMENTS I, LP....	CA.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	70.000	AEGON N.V.....	0
0		00000	94-3392750	0	0		BAY AREA COMMUNITY INVESTMENTS I, LP....	CA.....	NIA.....	TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	OWNERSHIP BOARD	30.000	AEGON N.V.....	0
0		00000	52-0419790	0	0		BAY STATE COMMUNITY INVESTMENTS I, LLC.	DE.....	NIA.....	TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000	52-0419790	0	0		BAY STATE COMMUNITY INVESTMENTS II, LLC.	DE.....	NIA.....	TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000		0	0		BLUE SQUARE RE N.V.....	NLD.....	NIA.....	AEGON N.V.....	OWNERSHIP BOARD	100.000	AEGON N.V.....	0
0		00000		0	0		CANADIAN PREMIER LIFE INSURANCE COMPANY	CAN.....	IA.....	TRANSAMERICA LIFE CANADA.....	OWNERSHIP BOARD	100.000	AEGON N.V.....	0

Q13.2

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0		00000	73-1652928	0	0		CBC INSURANCE REVENUE SECURITIZATION, LLC.	DE	NIA	CLARK CONSULTING, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	98-1055613	0	0		CEDAR FUNDING, LTD.	CYM	NIA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	50-2103928	3578760	0		CLARK CONSULTING, LLC	DE	NIA	CLARK, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	38-3768457	0	0		CLARK INVESTMENT STRATEGIES, INC.	DE	NIA	CLARK CONSULTING, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	95-4295824	0	0		CLARK SECURITIES, INC.	CA	NIA	CLARK CONSULTING, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		CLARK, LLC	DE	NIA	TRANSAMERICA RETIREMENT SOLUTIONS CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	51-0108922	0	0		COMMONWEALTH GENERAL CORPORATION..	DE	NIA	AEGON USA, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		CONSUMER MEMBERSHIP SERVICES CANADA, INC.	CAN	NIA	AEGON CANADA ULC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		CORNERSTONE INTERNATIONAL HOLDINGS, LTD.	GBR	NIA	AEGON DMS HOLDING B.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1079584	0	0		CREDITOR RESOURCES, INC.	MI	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	95-4123166	0	0		CRG INSURANCE AGENCY, INC.	CA	NIA	CLARK CONSULTING, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		CRI CANADA LTD.	CAN	NIA	44764 YUKON, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	52-1363611	0	0		CRI SOLUTIONS, INC.	MD	NIA	CREDITOR RESOURCES, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	20-4498171	0	0		CUPPLES STATE LIHTC INVESTORS, LLC.	DE	NIA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		ERFAHRUNGSSCHATZ GMBH	DEU	NIA	CORNERSTONE INTERNATIONAL HOLDINGS, LTD.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		FD TLIC, LTD.	GBR	NIA	FD TLIC, LIMITED LIABILITY COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	01-0969916	0	0001494550		FD TLIC, LIMITED LIABILITY COMPANY	NY	NIA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	23-2130174	0	0		FINANCIAL PLANNING SERVICES, INC.	DC	NIA	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	20-8736609	0	0		FONG LCS ASSOCIATES, LLC	DE	NIA	INVESTORS WARRANTY OF AMERICA, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0

Q13.3

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0		00000	14-1893533	0	0		GARNET ASSURANCE CORPORATION II	IA	NIA	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	98-0164807	0	0		GLOBAL PREFERRED RE LIMITED	BMU	IA	AEGON USA, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	46-4393942	0	0		HARBOR VIEW RE CORP	HI	IA	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1517005	0	0		INTERSECURITIES INSURANCE AGENCY, INC.	CA	DS	WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1154276	0	0		INTERSTATE NORTH OFFICE PARK OWNER, LLC	DE	NIA	INVESTORS WARRANTY OF AMERICA, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1154276	0	0		INVESTORS WARRANTY OF AMERICA, INC.	IA	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	47-1703149	0	0		IRONWOOD RE CORP	HI	IA	AEGON USA, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	20-8687142	0	0		LCS ASSOCIATES, LLC	DE	NIA	INVESTORS WARRANTY OF AMERICA, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		LEGACY GENERAL INSURANCE COMPANY	CAN	IA	AEGON CANADA ULC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1483973	0	0		LIFE INVESTORS ALLIANCE, LLC	DE	NIA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-0191090	0	0		LIICA HOLDINGS, LLC	DE	NIA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	20-5984601	0	0		LIICA RE I, INC	VT	IA	LIICA HOLDINGS, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	20-5927773	0	0		LIICA RE II, INC	VT	IA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-0947998	0	0		MASSACHUSETTS FIDELITY TRUST COMPANY	IA	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	34-1843466	0	0		MCDONALD CORPORATE TAX CREDIT FUND IV LIMITED PARTNERSHIP	DE	NIA	TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	OWNERSHIP BOARD	99.900	AEGON N.V.	0
0		00000		0	0		MCDONALD CORPORATE TAX CREDIT FUND IV LIMITED PARTNERSHIP	DE	NIA	TAH-MCd IV, LLC	OWNERSHIP BOARD	0.100	AEGON N.V.	0
0		00000	01-0930908	0	0		MLIC RE I, INC	VT	IA	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1079580	0	0		MONEY SERVICES, INC	DE	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0

Q13.4

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0		00000	52-1243288	0	0		MONUMENTAL GENERAL ADMINISTRATORS, INC.	MD	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	20-3318246	0	0		NEW MARKETS COMMUNITY INVESTMENT FUND, LLC.	IA	NIA	AEGON INSTITUTIONAL MARKETS, INC.	OWNERSHIP BOARD	50.000	AEGON N.V.	0
0		00000	20-3318246	0	0		NEW MARKETS COMMUNITY INVESTMENT FUND, LLC.	IA	NIA	AEGON USA REALTY ADVISORS, LLC	OWNERSHIP BOARD	50.000	AEGON N.V.	0
0		00000	35-2283987	0	0		nVISION FINANCIAL, INC.	IA	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	26-2311888	0	0		ONCOR INSURANCE SERVICES, LLC	IA	NIA	TRANSAMERICA AGENCY NETWORK, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	20-1063558	0	0		PEARL HOLDINGS, INC. I	DE	NIA	AEGON USA ASSET MANAGEMENT HOLDING, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	20-1063571	0	0		PEARL HOLDINGS, INC. II	DE	NIA	AEGON USA ASSET MANAGEMENT HOLDING, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	23-1705984	0	0		PEOPLES BENEFIT SERVICES, LLC	PA	NIA	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	26-1552330	0	0		PINE FALLS RE, INC.	VT	IA	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0001170593		PRIMUS GUARANTY, LTD.	BMU	NIA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	20.000	AEGON N.V.	0
0		00000	42-1154276	0	0		PSL ACQUISITIONS OPERATING, LLC	IA	NIA	INVESTORS WARRANTY OF AMERICA, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	98-0087891	0	0		PYRAMID INSURANCE COMPANY, LTD.	HI	IA	TRANSAMERICA CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	13-3256226	0	0		RCC NORTH AMERICA, LLC	DE	NIA	AEGON USA, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	75-2980951	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 1, LLC.	DE	NIA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	90.960	AEGON N.V.	0
0		00000	75-2980951	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 1, LLC.	DE	NIA	TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	OWNERSHIP BOARD	6.300	AEGON N.V.	0
0		00000	75-2980951	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 1, LLC.	DE	NIA	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	2.740	AEGON N.V.	0
0		00000	02-0685017	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 2, LLC.	DE	NIA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	90.250	AEGON N.V.	0
0		00000	02-0685017	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 2, LLC.	DE	NIA	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	7.500	AEGON N.V.	0
0		00000	02-0685017	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 2, LLC.	DE	NIA	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	22.500	AEGON N.V.	0

Q13.5

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0		00000	20-1378364	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 3, LLC.	DE	NIA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	73.400	AEGON N.V.	0
0		00000	20-1378364	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 3, LLC.	DE	NIA	TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	OWNERSHIP BOARD	25.600	AEGON N.V.	0
0		00000	20-1378364	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 3, LLC.	DE	NIA	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	1.000	AEGON N.V.	0
0		00000	20-1627078	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC.	DE	NIA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	52.600	AEGON N.V.	0
0		00000	20-1627078	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC.	DE	NIA	TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	OWNERSHIP BOARD	37.000	AEGON N.V.	0
0		00000	20-1627078	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC.	DE	NIA	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	9.400	AEGON N.V.	0
0		00000	20-1627078	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC.	DE	NIA	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	1.000	AEGON N.V.	0
0		00000	20-3878375	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 4HR, LLC.	DE	NIA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	64.000	AEGON N.V.	0
0		00000	20-3878375	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 4HR, LLC.	DE	NIA	TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	OWNERSHIP BOARD	32.000	AEGON N.V.	0
0		00000	20-3878375	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 4HR, LLC.	DE	NIA	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	4.000	AEGON N.V.	0
0		00000	20-3878417	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 4MR, LLC.	DE	NIA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	64.000	AEGON N.V.	0
0		00000	20-3878417	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 4MR, LLC.	DE	NIA	TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	OWNERSHIP BOARD	32.000	AEGON N.V.	0
0		00000	20-3878417	0	0		REAL ESTATE ALTERNATIVES PORTFOLIO 4MR, LLC.	DE	NIA	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	4.000	AEGON N.V.	0
0		00000	20-0877184	0	0		RIVER RIDGE INSURANCE COMPANY	VT	IA	AEGON MANAGEMENT COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		SB FRAZER OWNER, LLC	DE	NIA	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		SELIENT INC	CAN	NIA	AEGON CANADA ULC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1338496	0	0		SHORT HILLS MANAGEMENT COMPANY	NJ	NIA	AEGON U.S. HOLDING CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0468	AEGON US HOLDING GRP	98426	86-0455577	0	0		SOUTHWEST EQUITY LIFE INSURANCE COMPANY	AZ	IA	AEGON USA, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	75-2548428	0	0		STONEBRIDGE BENEFIT SERVICES, INC	DE	NIA	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0

Q13.6

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0		00000		0	0		STONEBRIDGE INTERNATIONAL INSURANCE, LTD.	GBR	NIA	CORNERSTONE INTERNATIONAL HOLDINGS, LTD.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0468	AEGON US HOLDING GRP	65021	03-0164230	0	0		STONEBRIDGE LIFE INSURANCE COMPANY	VT	IA	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	61-1497252	0	0		STONEBRIDGE REINSURANCE COMPANY	VT	IA	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	84-0642550	0	0		TCF ASSET MANAGEMENT CORPORATION	CO	NIA	TCFC ASSET HOLDINGS, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	32-0092333	0	0		TCFC AIR HOLDINGS, INC.	DE	NIA	TRANSAMERICA COMMERCIAL FINANCE CORPORATION, I	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	32-0092334	0	0		TCFC ASSET HOLDINGS, INC.	DE	NIA	TRANSAMERICA COMMERCIAL FINANCE CORPORATION, I	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	51-6513848	0	0		THE AEGON TRUST	DE	UIP	AEGON INTERNATIONAL B.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1154276	0	0		THH ACQUISITIONS, LLC	IA	NIA	INVESTORS WARRANTY OF AMERICA, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		TIHI CANADA HOLDING, LLC	IA	NIA	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0468	AEGON US HOLDING GRP	15549	47-1026613	0	0		TLIC OAKBROOK REINSURANCE, INC.	IA	IA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0468	AEGON US HOLDING GRP	14146	45-3193055	0	0		TLIC RIVERWOOD REINSURANCE, INC.	IA	IA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1154276	0	0		TRADITION LAND COMPANY, LLC	IA	NIA	INVESTORS WARRANTY OF AMERICA, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	36-4162154	0	0		TRANSAMERICA ACCOUNTS HOLDING CORPORATION	DE	NIA	TCFC ASSET HOLDINGS, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0468	AEGON US HOLDING GRP	79022	91-1325756	0	0000845091		TRANSAMERICA ADVISORS LIFE INSURANCE COMPANY	AR	IA	AEGON USA, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		TRANSAMERICA AFFINITY MARKETING CORRETORA DE SEGUROS LTDA	BRA	NIA	AEGON DMS HOLDING B.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1523438	0	0		TRANSAMERICA AFFINITY SERVICES, INC.	MD	NIA	AEGON DIRECT MARKETING SERVICES, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	94-3252196	0	0		TRANSAMERICA AFFORDABLE HOUSING, INC.	CA	NIA	TRANSAMERICA REALTY SERVICES, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	61-1513662	0	0		TRANSAMERICA AGENCY NETWORK, INC.	IA	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	1,000.000	AEGON N.V.	0

Q13.7

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0		00000	85-0325648	0	0		TRANSAMERICA ANNUITY SERVICE CORPORATION	NM	NIA	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	59-3403585	0	0001191808		TRANSAMERICA ASSET MANAGEMENT, INC...	FL	DS	WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OWNERSHIP BOARD	77.000	AEGON N.V.	0
0		00000	59-3403585	0	0001191808		TRANSAMERICA ASSET MANAGEMENT, INC...	FL	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	23.000	AEGON N.V.	0
0		00000		0	0		TRANSAMERICA AVIATION LLC	DE	NIA	TCFC AIR HOLDINGS, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		TRANSAMERICA (BERMUDA) SERVICES CENTER, LTD	BMU	NIA	AEGON INTERNATIONAL B.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	95-3141953	0	0000316561		TRANSAMERICA CAPITAL, INC.	CA	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0468	AEGON US HOLDING GRP	10952	31-4423946	0	0		TRANSAMERICA CASUALTY INSURANCE COMPANY	OH	IA	AEGON USA, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	94-3054228	0	0		TRANSAMERICA COMMERCIAL FINANCE CORPORATION, I.	DE	NIA	TRANSAMERICA FINANCE CORPORATION...	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	95-4631538	0	0		TRANSAMERICA CONSUMER FINANCE HOLDING COMPANY	DE	NIA	TCFC ASSET HOLDINGS, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	98-6021219	0	0		TRANSAMERICA CORPORATION (OR)	OR	NIA	TRANSAMERICA CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1484983	0	0000099189		TRANSAMERICA CORPORATION	DE	UIP	THE AEGON TRUST	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	08-2507515	0	0		TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY, LTD.	AUS	NIA	AEGON DMS HOLDING B.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		TRANSAMERICA DIRECT MARKETING CONSULTANTS PRIVATE LIMITED	IND	NIA	AEGON DMS HOLDING B.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	36-4254366	0	0		TRANSAMERICA DISTRIBUTION FINANCE-OVERSEAS, INC.	DE	NIA	TCFC ASSET HOLDINGS, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	95-1077235	0	0000099193		TRANSAMERICA FINANCE CORPORATION	DE	NIA	TRANSAMERICA CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	59-2476008	0	0		TRANSAMERICA FINANCIAL ADVISORS, INC...	DE	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	51.600	AEGON N.V.	0
0		00000	59-2476008	0	0		TRANSAMERICA FINANCIAL ADVISORS, INC...	DE	NIA	AEGON ASSET MANAGEMENT SERVICES, INC.	OWNERSHIP BOARD	37.620	AEGON N.V.	0
0		00000	59-2476008	0	0		TRANSAMERICA FINANCIAL ADVISORS, INC...	DE	NIA	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	10.780	AEGON N.V.	0
0468	AEGON US HOLDING GRP	70688	36-6071399	0	0		TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	NY	IA	AEGON USA, LLC	OWNERSHIP BOARD	87.900	AEGON N.V.	0

Q13.8

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0468	AEGON US HOLDING GRP	70688	36-6071399	0	0		TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	NY	IA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	12.100	AEGON N.V.	0
0		00000	59-3403587	0	0001308973		TRANSAMERICA FUND SERVICES, INC.	FL	DS	WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OWNERSHIP BOARD	44.000	AEGON N.V.	0
0		00000	59-3403587	0	0001308973		TRANSAMERICA FUND SERVICES, INC.	FL	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	56.000	AEGON N.V.	0
0		00000		0	0		TRANSAMERICA FUNDING LP	GBR	NIA	TRANSAMERICA LEASING HOLDINGS, INC.	OWNERSHIP BOARD	99.000	AEGON N.V.	0
0		00000		0	0		TRANSAMERICA FUNDING LP	GBR	NIA	TRANSAMERICA COMMERCIAL FINANCE CORPORATION	OWNERSHIP BOARD	1.000	AEGON N.V.	0
0		00000	95-4390993	0	0		TRANSAMERICA HOME LOAN	CA	NIA	TRANSAMERICA CONSUMER FINANCE HOLDING COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		TRANSAMERICA INSURANCE MARKETING ASIA PACIFIC PTY, LTD.	AUS	NIA	TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY, LTD.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1470697	0	0		TRANSAMERICA INTERNATIONAL DIRECT MARKETING CONSULTANTS, LLC.	MD	NIA	AEGON DIRECT MARKETING SERVICES, INC.	OWNERSHIP BOARD	49.000	AEGON N.V.	0
0		00000	94-2873401	0	0		TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	DE	NIA	AEGON USA, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	98-0199561	0	0		TRANSAMERICA INTERNATIONAL RE (BERMUDA), LTD.	BMU	IA	AEGON USA, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		TRANSAMERICA INTERNATIONAL RE ESCRITORIO DE REPRESENTACAO NO BRASIL, LTD.	BRA	NIA	TRANSAMERICA INTERNATIONAL RE (BERMUDA), LTD.	OWNERSHIP BOARD	95.000	AEGON N.V.	0
0		00000		0	0		TRANSAMERICA INTERNATIONAL RE ESCRITORIO DE REPRESENTACAO NO BRASIL, LTD.	BRA	NIA	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	5.000	AEGON N.V.	0
0		00000	06-1564377	0	0000099201		TRANSAMERICA INVESTMENT MANAGEMENT, LLC.	DE	NIA	AEGON USA ASSET MANAGEMENT HOLDING, LLC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	13-3696753	0	0		TRANSAMERICA INVESTORS SECURITIES CORPORATION	DE	NIA	TRANSAMERICA RETIREMENT SOLUTIONS CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	13-3452993	0	0		TRANSAMERICA LEASING HOLDINGS INC.	DE	NIA	TRANSAMERICA FINANCE CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	98-0481010	0	0		TRANSAMERICA LIFE (BERMUDA), LTD.	BMU	IA	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		TRANSAMERICA LIFE CANADA	CAN	IA	AEGON CANADA ULC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0468	AEGON US HOLDING GRP	86231	39-0989781	0	0		TRANSAMERICA LIFE INSURANCE COMPANY	IA	IA	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0

Q13.9

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0468	AEGON US HOLDING GRP	86231	39-0989781	0	0		TRANSAMERICA LIFE INSURANCE COMPANY	IA	IA	TRANSAMERICA CORPORATION	OWNERSHIP BOARD	74.000	AEGON N.V.	0
0468	AEGON US HOLDING GRP	86231	39-0989781	0	0		TRANSAMERICA LIFE INSURANCE COMPANY	IA	IA	AEGON USA, LLC	OWNERSHIP BOARD	26.000	AEGON N.V.	0
0		00000		0	0		TRANSAMERICA LIFE INTERNATIONAL (BERMUDA), LTD.	BMU	IA	AEGON IRELAND HOLDING B.V.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	94-2993381	0	0		TRANSAMERICA OAKMONT CORPORATION	CA	NIA	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	94-3304740	0	0		TRANSAMERICA PACIFIC INSURANCE COMPANY, LTD.	HI	IA	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	96.300	AEGON N.V.	0
0		00000	94-3304740	0	0		TRANSAMERICA PACIFIC INSURANCE COMPANY, LTD.	HI	IA	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	3.700	AEGON N.V.	0
0468	AEGON US HOLDING GRP	66281	52-0419790	0	0		TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	IA	IA	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	52-0419790	0	0		TRANSAMERICA PYRAMID PROPERTIES, LLC	IA	NIA	TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	52-0419790	0	0		TRANSAMERICA REALTY INVESTMENT PROPERTIES, LLC	DE	NIA	TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	52-1549874	0	0		TRANSAMERICA REALTY SERVICES, LLC	DE	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	52-1525601	0	0		TRANSAMERICA RESOURCES, INC.	MD	NIA	MONUMENTAL GENERAL ADMINISTRATORS, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	45-2892702	0	0		TRANSAMERICA RETIREMENT ADVISORS, INC.	DE	NIA	TRANSAMERICA RETIREMENT SOLUTIONS CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	46-2720367	0	0		TRANSAMERICA RETIREMENT INSURANCE AGENCY, INC.	DE	NIA	TRANSAMERICA RETIREMENT SOLUTIONS CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	13-3689044	0	0		TRANSAMERICA RETIREMENT SOLUTIONS CORPORATION	DE	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		TRANSAMERICA SECURITIES, INC.	CAN	NIA	WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	36-4251204	0	0		TRANSAMERICA SMALL BUSINESS CAPITAL, INC.	DE	NIA	TCFC ASSET HOLDINGS, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	27-0648897	0	0		TRANSAMERICA STABLE VALUE SOLUTIONS, INC.	DE	NIA	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1079580	0	0		TRANSAMERICA TRAVEL AND CONFERENCE SERVICES, LLC.	IA	NIA	MONEY SERVICES, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0

Q13.10

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0		00000	36-4134790	0	0		TRANSAMERICA VENDOR FINANCIAL SERVICES CORPORATION	DE	NIA	TCFC ASSET HOLDINGS, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		TRANSAMERICA VENTURES, LLC	DE	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		TRANSAMERICA VENTURES FUNDS, LLC	DE	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	52-1263786	0	0		UNITED FINANCIAL SERVICES, INC.	MD	NIA	AEGON USA, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1334744	0	0		UNIVERSAL BENEFITS, LLC	IA	NIA	AUSA HOLDING COMPANY	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		VERENIGING AEGON NETHERLANDS MEMBERSHIP ASSOCIATION	NLD	NIA		OWNERSHIP BOARD	100.000	AEGON N.V.	0
0468	AEGON US HOLDING GRP	91413	43-1162657	0	0		WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OH	RE	AEGON USA, LLC	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	20-2541057	0	0		WFG CHINA HOLDINGS, INC.	DE	NIA	WORLD FINANCIAL GROUP, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	66-0621281	0	0		WFG INSURANCE AGENCY OF PUERTO RICO, INC.	PR	DS	WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	20-2133638	0	0		WFG PROPERTIES HOLDINGS, LLC	GA	NIA	WORLD FINANCIAL GROUP, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		WFG REINSURANCE LIMITED	BMU	NIA	WORLD FINANCIAL GROUP, INC.	OWNERSHIP BOARD	51.000	AEGON N.V.	0
0		00000		0	0		WORLD FINANCIAL GROUP CANADA INC.	CAN	NIA	WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC.	CAN	NIA	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000		0	0		WORLD FINANCIAL GROUP INSURANCE AGENCY OF CANADA, INC.	CAN	NIA	WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC.	OWNERSHIP BOARD	50.000	AEGON N.V.	0
0		00000		0	0		WORLD FINANCIAL GROUP INSURANCE AGENCY OF CANADA, INC.	CAN	NIA	WORLD FINANCIAL GROUP SUBHOLDING COMPANY OF CANADA, INC.	OWNERSHIP BOARD	50.000	AEGON N.V.	0
0		00000	99-0277127	0	0		WORLD FINANCIAL GROUP INSURANCE AGENCY OF HAWAII, INC.	HI	DS	WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	04-3182849	0	0		WORLD FINANCIAL GROUP INSURANCE AGENCY OF MASSACHUSETTS, INC.	MA	DS	WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	42-1519076	0	0		WORLD FINANCIAL GROUP INSURANCE AGENCY OF WYOMING, INC.	WY	DS	WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	OWNERSHIP BOARD	100.000	AEGON N.V.	0
0		00000	95-3809372	0	0		WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	CA	DS	WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OWNERSHIP BOARD	100.000	AEGON N.V.	0

Q13.11

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 Federal ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
0.....		00000.....		0.....	0.....		WORLD FINANCIAL GROUP SUBHOLDING COMPANY OF CANADA, INC.	CAN.....	NIA.....	WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1518386	0.....	0.....		WORLD FINANCIAL GROUP, INC.....	DE.....	NIA.....	AEGON ASSET MANAGEMENT SERVICES, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		YARRA RAPIDS, LLC.....	DE.....	NIA.....	REAL ESTATE ALTERNATIVES PORTFOLIO 4 MR, LLC	OWNERSHIP BOARD49.000	AEGON N.V.....	0.....
0.....		00000.....	95-2775959	0.....	0000109152		ZAHORIK COMPANY, INC.....	CA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	26-1298094	0.....	0.....		ZERO BETA FUND, LLC.....	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD82.350	AEGON N.V.....	0.....
0.....		00000.....	26-1298094	0.....	0.....		ZERO BETA FUND, LLC.....	DE.....	NIA.....	TRANSAMERICA PREMIER LIFE INSURANCE COMPANY	OWNERSHIP BOARD16.160	AEGON N.V.....	0.....
0.....		00000.....	26-1298094	0.....	0.....		ZERO BETA FUND, LLC.....	DE.....	NIA.....	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD1.490	AEGON N.V.....	0.....

Q13.12

Asterisk	Explanation
0	

NONE

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	YES
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	YES
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanations:

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

Bar Code:



Overflow Page for Write-Ins

Additional Write-ins for Assets:

	Current Statement Date			4 December 31, Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. State transferable tax credits.....	0	0	0	160,465
2505. Investment Receivable.....	0	0	0	37,483
2597. Summary of remaining write-ins for Line 25.....	0	0	0	197,948

Additional Write-Ins for Schedule T:

States, Etc.	1 Active Status	Direct Business Only					7 Deposit-Type Contracts
		Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Mem- bership and Other Fees	5 Other Considerations	6 Total Columns 2 through 5	
		2 Life Insurance Premiums	3 Annuity Considerations				
58004. ARG- ARGENTINA.....	XXX	0	3,162	0	0	3,162	0
58005. AUS- AUSTRALIA.....	XXX	4,814	225	0	0	5,039	0
58006. AUT- AUSTRIA.....	XXX	6,921	0	0	0	6,921	0
58007. BEL- BELGIUM.....	XXX	54,592	0	0	0	54,592	0
58008. BGD- BANGLADESH.....	XXX	0	19,473	0	0	19,473	0
58009. BMU- BERMUDA.....	XXX	206,761	0	0	0	206,761	0
58010. CHE- SWITZERLAND.....	XXX	22,170	0	0	0	22,170	0
58011. CHL- CHILE.....	XXX	1,350	4,683	0	0	6,033	0
58012. CHN- CHINA.....	XXX	23,238	4,500	0	0	27,738	0
58013. COL-COLOMBIA.....	XXX	1,040	0	0	0	1,040	0
58014. CZE- CZECH REPUBLIC.....	XXX	3,470	0	0	0	3,470	0
58015. Department of defense.....	XXX	373,275	43,675	0	0	416,950	0
58016. DEU- GERMANY.....	XXX	148,897	0	0	0	148,897	53
58017. ETH- ETHIOPIA.....	XXX	1,000	0	0	0	1,000	0
58018. FIN- FINLAND.....	XXX	2,000	0	0	0	2,000	0
58019. FJI-FIJI.....	XXX	0	0	0	0	0	0
58020. FRA- FRANCE.....	XXX	7,389	0	0	0	7,389	0
58021. GBR- ENGLAND.....	XXX	136,382	0	0	0	136,382	0
58022. GBR- SCOTLAND.....	XXX	0	0	0	0	0	0
58023. GBR- UNITED KINGDOM.....	XXX	115,275	61,911	0	0	177,186	0
58024. HKG- HONG KONG.....	XXX	30,162	0	0	0	30,162	0
58025. HUN- HUNGARY.....	XXX	0	4,500	0	0	4,500	0
58026. IDN- INDONESIA.....	XXX	0	8,875	0	0	8,875	0
58027. IND- INDIA.....	XXX	9,326	0	0	0	9,326	0
58028. IRL- IRELAND.....	XXX	61,165	0	0	0	61,165	0
58029. ISR-ISREAL.....	XXX	860	0	0	0	860	0
58030. ITA- ITALY.....	XXX	816	0	0	0	816	0
58031. JOR- JORDAN.....	XXX	1,800	0	0	0	1,800	0
58032. JPN- JAPAN.....	XXX	81,652	0	0	0	81,652	0
58033. KHM- CAMBODIA.....	XXX	2,340	4,200	0	0	6,540	0
58034. KOR- SOUTH KOREA.....	XXX	1,977	0	0	0	1,977	0
58035. LUX- LUXEMBOURG.....	XXX	23,095	0	0	0	23,095	0
58036. MAC- MACAU.....	XXX	1,382	0	0	0	1,382	0
58037. MCO-MONACO.....	XXX	12,010	0	0	0	12,010	0
58038. MEX-MEXICO.....	XXX	1,800	0	0	0	1,800	0
58039. MYS- MALAYSIA.....	XXX	5,070	1,054	0	0	6,124	0
58040. NLD- NETHERLANDS.....	XXX	3,237	28,382	0	0	31,619	0
58041. NZL- NEW ZEALAND.....	XXX	730	0	0	0	730	0
58042. PHL- PHILIPPINES.....	XXX	14,975	0	0	0	14,975	0
58043. POL- POLAND.....	XXX	0	6,750	0	0	6,750	0
58044. PRY- PARAGUAY.....	XXX	1,800	0	0	0	1,800	0
58045. SAU- SAUDI ARABIA.....	XXX	3,150	0	0	0	3,150	0
58046. SGP- SINGAPORE.....	XXX	6,611	10,601	0	0	17,212	0
58047. SWE- SWEDEN.....	XXX	680	0	0	0	680	0
58048. SWZ- SWAZILAND.....	XXX	450	1,113	0	0	1,563	0
58049. THA- THAILAND.....	XXX	300	0	0	0	300	0
58050. TWN- TAIWAN.....	XXX	87,331	38,224	0	0	125,555	0
58051. UGA-UGANDA.....	XXX	0	2,250	0	0	2,250	0
58052. URY-URUGUAY.....	XXX	1,200	0	0	0	1,200	0
58053. VEN-VENEZUELA.....	XXX	470	0	0	0	470	0
58997. Summary of remaining write-ins for line 58.....	XXX	1,462,963	243,578	0	0	1,706,541	53

WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	33,641,213	35,208,984
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	313,842	235,150
3. Current year change in encumbrances.....	0	0
4. Total gain (loss) on disposals.....	0	0
5. Deduct amounts received on disposals.....	0	0
6. Total foreign exchange change in book/adjusted carrying value.....	0	0
7. Deduct current year's other than temporary impairment recognized.....	0	829,662
8. Deduct current year's depreciation.....	767,521	973,260
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8).....	33,187,534	33,641,213
10. Deduct total nonadmitted amounts.....	0	0
11. Statement value at end of current period (Line 9 minus Line 10).....	33,187,534	33,641,213

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	77,804,929	50,713,672
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	111,050,000	31,500,000
2.2 Additional investment made after acquisition.....	0	(16,000)
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	7,744	36,375
5. Unrealized valuation increase (decrease).....	0	0
6. Total gain (loss) on disposals.....	0	0
7. Deduct amounts received on disposals.....	3,928,752	3,846,409
8. Deduct amortization of premium and mortgage interest points and commitment fees.....	391,999	582,709
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....	0	0
10. Deduct current year's other than temporary impairment recognized.....	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	184,541,922	77,804,929
12. Total valuation allowance.....	0	0
13. Subtotal (Line 11 plus Line 12).....	184,541,922	77,804,929
14. Deduct total nonadmitted amounts.....	0	0
15. Statement value at end of current period (Line 13 minus Line 14).....	184,541,922	77,804,929

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	3,158,814	3,293,307
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	650,955
2.2 Additional investment made after acquisition.....	0	0
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	1	0
5. Unrealized valuation increase (decrease).....	(1)	0
6. Total gain (loss) on disposals.....	1	0
7. Deduct amounts received on disposals.....	1,580	5,916
8. Deduct amortization of premium and depreciation.....	725,907	779,533
9. Total foreign exchange change in book/adjusted carrying value.....	0	0
10. Deduct current year's other than temporary impairment recognized.....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	2,431,328	3,158,814
12. Deduct total nonadmitted amounts.....	138,575	146,901
13. Statement value at end of current period (Line 11 minus Line 12).....	2,292,753	3,011,913

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	1,483,401,280	1,148,190,039
2. Cost of bonds and stocks acquired.....	255,384,419	658,193,366
3. Accrual of discount.....	2,386,989	3,070,225
4. Unrealized valuation increase (decrease).....	12,814,617	7,261,226
5. Total gain (loss) on disposals.....	1,003,245	(3,503,639)
6. Deduct consideration for bonds and stocks disposed of.....	193,167,600	321,480,315
7. Deduct amortization of premium.....	6,942,227	7,407,079
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other than temporary impairment recognized.....	396,324	922,543
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	1,554,484,397	1,483,401,280
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	1,554,484,397	1,483,401,280

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	939,236,119	403,947,011	553,506,988	14,924,550	953,716,261	939,236,119	804,600,692	905,448,295
2. NAIC 2 (a).....	654,504,462	35,390,277	26,015,321	(19,291,481)	619,247,347	654,504,462	644,587,937	599,690,428
3. NAIC 3 (a).....	23,681,339	0	196,678	(3,203,366)	17,488,120	23,681,339	20,281,295	16,992,592
4. NAIC 4 (a).....	19,433,193	1,327,625	75,182	(1,123,356)	19,977,257	19,433,193	19,562,280	21,701,658
5. NAIC 5 (a).....	5,759,740	0	20,454	1,837,333	4,780,812	5,759,740	7,576,619	5,003,769
6. NAIC 6 (a).....	5,407,115	0	392,835	5,043,108	5,453,019	5,407,115	10,057,388	5,903,341
7. Total Bonds.....	1,648,021,968	440,664,913	580,207,458	(1,813,212)	1,620,662,816	1,648,021,968	1,506,666,211	1,554,740,083
PREFERRED STOCK								
8. NAIC 1.....	0	0	0	0	0	0	0	0
9. NAIC 2.....	0	0	0	0	0	0	0	0
10. NAIC 3.....	0	0	0	0	0	0	0	0
11. NAIC 4.....	0	0	0	0	0	0	0	0
12. NAIC 5.....	0	0	0	0	0	0	0	0
13. NAIC 6.....	0	0	0	0	0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	1,648,021,968	440,664,913	580,207,458	(1,813,212)	1,620,662,816	1,648,021,968	1,506,666,211	1,554,740,083

QS102

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....	4,750	XXX.....	4,750	158	0

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	45,197,104	47,262,962
2. Cost of short-term investments acquired.....	640,761,794	835,220,296
3. Accrual of discount.....	4,231	29,666
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals.....	361	473
6. Deduct consideration received on disposals.....	685,958,740	837,316,293
7. Deduct amortization of premium.....	0	0
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other than temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	4,750	45,197,104
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	4,750	45,197,104

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1. Book/adjusted carrying value, December 31, prior year (Line 9, prior year).....	(4,100,401)
2. Cost paid/(consideration received) on additions.....	(1,318,966)
3. Unrealized valuation increase (decrease).....	2,668,872
4. Total gain (loss) on termination recognized.....	(4,837,705)
5. Considerations received (paid) on terminations.....	(4,837,704)
6. Amortization.....	66,502
7. Adjustment to the book/adjusted carrying value of hedge item.....	0
8. Total foreign exchange change in book/adjusted carrying value.....	0
9. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 + 7 + 8).....	(2,683,993)
10. Deduct nonadmitted assets.....	0
11. Statement value at end of current period (Line 9 minus Line 10).....	<u>(2,683,993)</u>

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1. Book/adjusted carrying value, December 31, prior year (Line 6, prior year).....	(3)
2. Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column).....	0
3.1 Add:	
Change in variation margin on open contracts - Highly Effective Hedges:	
3.11 Section 1, Column 15, current year to date minus.....	0
3.12 Section 1, Column 15, prior year.....	0
Change in variation margin on open contracts - All Other:	
3.13 Section 1, Column 18, current year to date minus.....	(4,019,840)
3.14 Section 1, Column 18, prior year.....	6,545,269
	<u>(10,565,109)</u>
3.2 Add:	
Change in adjustment to basis of hedged item:	
3.21 Section 1, Column 17, current year to date minus.....	0
3.22 Section 1, Column 17, prior year.....	0
Change in amount recognized:	
3.23 Section 1, Column 19, current year to date minus.....	(4,019,840)
3.24 Section 1, Column 19, prior year.....	6,545,272
	<u>(10,565,112)</u>
3.3 Subtotal (Line 3.1 minus Line 3.2).....	3
4.1 Cumulative variation margin on terminated contracts during the year.....	24,435,578
4.2 Less:	
4.21 Amount used to adjust basis of hedged item.....	0
4.22 Amount recognized.....	24,435,578
	<u>24,435,578</u>
4.3 Subtotal (Line 4.1 minus Line 4.2).....	0
5. Dispositions gains (losses) on contracts terminated in prior year:	
5.1 Total gain (loss) recognized for terminations in prior year.....	0
5.2 Total gain (loss) adjusted into the hedged item(s) for the terminations in prior year.....	0
6. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3.3 - 4.3 - 5.1 - 5.2).....	(0)
7. Deduct nonadmitted assets.....	0
8. Statement value at end of current period (Line 6 minus Line 7).....	<u>(0)</u>

Sch. DB-Pt C-Sn 1
NONE

Sch. DB-Pt C-Sn 2
NONE

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

	Book/Adjusted Carrying Value Check
1. Part A, Section 1, Column 14.....	(2,683,992)
2. Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	<u>0</u>
3. Total (Line 1 plus Line 2).....	<u>(2,683,992)</u>
4. Part D, Section 1, Column 5.....	<u>1,347,320</u>
5. Part D, Section 1, Column 6.....	<u>(4,031,314)</u>
6. Total (Line 3 minus Line 4 minus Line 5).....	<u>2</u>
	Fair Value Check
7. Part A, Section 1, Column 16.....	(2,683,992)
8. Part B, Section 1, Column 13.....	<u>0</u>
9. Total (Line 7 plus Line 8).....	<u>(2,683,992)</u>
10. Part D, Section 1, Column 8.....	<u>1,347,320</u>
11. Part D, Section 1, Column 9.....	<u>(4,031,314)</u>
12. Total (Line 9 minus Line 10 minus Line 11).....	<u>2</u>
	Potential Exposure Check
13. Part A, Section 1, Column 21.....	<u>86,260</u>
14. Part B, Section 1, Column 20.....	<u>24,763,124</u>
15. Part D, Section 1, Column 11.....	<u>24,849,384</u>
16. Total (Line 13 plus Line 14 minus Line 15).....	<u>(0)</u>

SCHEDULE E- VERIFICATION

Cash Equivalents

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.61,583,913	135,226,038
2. Cost of cash equivalents acquired.....	.288,156,169	.638,014,261
3. Accrual of discount.....	.57,629	.128,436
4. Unrealized valuation increase (decrease).....	.0	.0
5. Total gain (loss) on disposals.....	.378	.21
6. Deduct consideration received on disposals.....	.349,624,343	.711,784,842
7. Deduct amortization of premium.....	.0	.0
8. Total foreign exchange change in book/ adjusted carrying value.....	.0	.0
9. Deduct current year's other than temporary impairment recognized.....	.0	.0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	.173,745	.61,583,913
11. Deduct total nonadmitted amounts.....	.0	.0
12. Statement value at end of current period (Line 10 minus Line 11).....	.173,745	.61,583,913

SCHEDULE A - PART 2

Showing all Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
Acquired by Purchase								
FL-ST. PETERSBURG 570 CARILLON.....	ST PETERSBURG.....	FL.....	3Q 2014	VARIOUS.....	0	0	0	267,730
0199999. Totals.....					0	0	0	267,730
0399999. Totals.....					0	0	0	267,730

QE01

SCHEDULE A - PART 3

Showing all Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract "

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs, and Expenses Incurred
	2 City	3 State						9 Current Year's Depreciation	10 Current Year's Other Than Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in B./A.C.V. (11 - 9 - 10)	13 Total Foreign Exchange Change in B./A.C.V.							
NONE																			

SCHEDULE B - PART 2

Showing all Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Rate of Interest	7 Actual Cost at Time of Acquisition	8 Additional Investment Made After Acquisition	9 Value of Land and Buildings
	City	3 State						
Mortgages in Good Standing								
Commercial Mortgages - All Other								
10514154.....	Dallas.....	TX.....		09/09/2014.....	4.380.....	12,800,000.....	0.....	20,195,000.....
10514181.....	Ballston Spa.....	NY.....		09/18/2014.....	3.830.....	17,200,000.....	0.....	26,652,893.....
0599999. Total - Mortgages in Good Standing - Commercial Mortgages - All Other.....				XXX.....	XXX.....	30,000,000.....	0.....	46,847,893.....
0899999. Total - Mortgages in Good Standing.....				XXX.....	XXX.....	30,000,000.....	0.....	46,847,893.....
3399999. Total Mortgages.....				XXX.....	XXX.....	30,000,000.....	0.....	46,847,893.....

SCHEDULE B - PART 3

Showing all Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1 Loan Number	2 Location		4 Loan Type	5 Date Acquired	6 Disposal Date	7 Book Value/Recorded Investment Excluding Accrued Interest Prior Year	8 Change in Book Value/Recorded Investment						14 Book Value/Recorded Investment Excluding Accrued Interest on Disposal	15 Consideration	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal
	City	3 State					8 Unrealized Valuation Increase (Decrease)	9 Current Year's (Amortization)/ Accretion	10 Current Year's Other Than Temporary Impairment Recognized	11 Capitalized Deferred Interest and Other	12 Total Change in Book Value (8+9-10+11)	13 Total Foreign Exchange Change in Book Value					
Mortgages With Partial Repayments																	
10512130.....	El Paso.....	TX.....		10/17/2012.....		5,271,323.....	0.....	0.....	0.....	0.....	0.....	0.....	0.....	127,442.....	0.....	0.....	0.....
10512133.....	Port Orange.....	FL.....		11/01/2012.....		4,865,528.....	0.....	0.....	0.....	0.....	0.....	0.....	0.....	32,397.....	0.....	0.....	0.....
10513152.....	Ocala.....	FL.....		07/11/2013.....		24,844,657.....	0.....	0.....	0.....	0.....	0.....	0.....	0.....	119,870.....	0.....	0.....	0.....
10513212.....	Houston.....	TX.....		12/23/2013.....		6,500,000.....	0.....	0.....	0.....	0.....	0.....	0.....	0.....	39,785.....	0.....	0.....	0.....
10513226.....	Fresno.....	CA.....		03/26/2014.....		0.....	0.....	0.....	0.....	0.....	0.....	0.....	0.....	17,019.....	0.....	0.....	0.....
10514101.....	Bethlehem.....	PA.....		04/17/2014.....		0.....	0.....	0.....	0.....	0.....	0.....	0.....	0.....	58,843.....	0.....	0.....	0.....
10514106.....	Savannah.....	GA.....		03/28/2014.....		0.....	0.....	0.....	0.....	0.....	0.....	0.....	0.....	25,999.....	0.....	0.....	0.....
10514117.....	Commerce.....	CA.....		06/05/2014.....		0.....	0.....	0.....	0.....	0.....	0.....	0.....	0.....	19,987.....	0.....	0.....	0.....
10514118.....	El Paso.....	TX.....		05/28/2014.....		0.....	0.....	0.....	0.....	0.....	0.....	0.....	0.....	59,804.....	0.....	0.....	0.....
10514123.....	West Hills.....	CA.....		06/09/2014.....		0.....	0.....	0.....	0.....	0.....	0.....	0.....	0.....	36,188.....	0.....	0.....	0.....
10514128.....	Campbell.....	CA.....		06/16/2014.....		0.....	0.....	0.....	0.....	0.....	0.....	0.....	0.....	39,344.....	0.....	0.....	0.....
13514111.....	Broken Arrow.....	OK.....		05/14/2014.....		0.....	0.....	0.....	0.....	0.....	0.....	0.....	0.....	84,562.....	0.....	0.....	0.....
87847.....	Calabasas.....	CA.....		03/01/2011.....		9,452,810.....	0.....	(185,993).....	0.....	0.....	(185,993).....	0.....	0.....	606,188.....	0.....	0.....	0.....
88465.....	Mankato.....	MN.....		09/01/2011.....		4,676,489.....	0.....	7,744.....	0.....	0.....	7,744.....	0.....	0.....	57,855.....	0.....	0.....	0.....
88880.....	Jacksonville.....	FL.....		09/01/2011.....		5,006,072.....	0.....	(37,053).....	0.....	0.....	(37,053).....	0.....	0.....	86,577.....	0.....	0.....	0.....
89423.....	Ellenton.....	FL.....		09/01/2011.....		9,387,475.....	0.....	(142,134).....	0.....	0.....	(142,134).....	0.....	0.....	82,646.....	0.....	0.....	0.....
89520.....	Westminster.....	CO.....		08/17/2005.....		2,245,956.....	0.....	0.....	0.....	0.....	0.....	0.....	0.....	21,395.....	0.....	0.....	0.....
89891.....	Northvale.....	NJ.....		09/01/2011.....		5,554,621.....	0.....	(26,819).....	0.....	0.....	(26,819).....	0.....	0.....	27,546.....	0.....	0.....	0.....
0299999. Total - Mortgages With Partial Repayments.....						77,804,931.....	0.....	(384,255).....	0.....	0.....	(384,255).....	0.....	0.....	1,543,445.....	0.....	0.....	0.....
0599999. Total Mortgages.....						77,804,931.....	0.....	(384,255).....	0.....	0.....	(384,255).....	0.....	0.....	1,543,445.....	0.....	0.....	0.....

QE02

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Design- ation	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									

NONE

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

QE03

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Changes in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization)/ Accretion	11 Current Year's Other Than Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V (9+10-11+12)	14 Total Foreign Exchange Change in B./A.C.V.						
Non-Guaranteed State Low Income Housing Tax Credit - Unaffiliated																			
000000 00 0	The Tower at Dorsey Manor, L.P.....	Atlanta.....	GA...	Capital Distribution.....	04/27/2010	07/17/20143170000003173170000
3799999. Total - Non-Guaranteed State Low Income Housing Tax Credit - Unaffiliated.....						3170000003173170000
All Other Low Income Housing Tax Credit - Unaffiliated																			
000000 00 0	BC Wilber School LLC.....	Boston.....	MA...	Capital Distribution.....	12/01/2009	07/09/20141,2620000001,2621,2620000
3999999. Total - All Other Low Income Housing Tax Credit - Unaffiliated.....						1,2620000001,2621,2620000
4499999. Subtotal - Unaffiliated.....						1,5790000001,5791,5790000
4699999. Totals.....						1,5790000001,5791,5790000

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description		3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - U.S. Government										
36176F ZZ 7	GNMA REV MTG 765161 4.658% 09/01/61			08/01/2014	Interest Capitalization		12,034	12,034	0	1
36176F ZU 5	GNMA REV MTG 765155 4.660% 08/01/61			07/01/2014	Interest Capitalization		81,446	81,446	0	1
36230R NF 9	GNMA REV MTG 756690 4.662% 10/01/61			08/01/2014	Interest Capitalization		15,490	15,490	0	1
36230R NM 4	GNMA REV MTG 756696 4.698% 12/01/61			09/01/2014	Interest Capitalization		7,115	7,115	0	1
0599999. Total Bonds - U.S. Government							116,085	116,085	0	XXX
Bonds - U.S. Special Revenue and Special Assessment										
01F030 6A 1	FNMA TBA 3.0% 3.000% 10/01/42			09/29/2014	WELLS FARGO BANK		(743,235)	(753,000)	(68)	1
01F040 6A 0	FNMA TBA 4% 4.000% 10/01/39			09/29/2014	WELLS FARGO BANK		(3,127,276)	(2,966,000)	0	1
01F030 67 8	FNMA TBA 3% 3.000% 07/01/42			07/09/2014	CITIGROUP		738,822	753,000	0	1
01F032 67 4	FNMA TBA 30YR 3.5% 3.500% 07/01/41			07/09/2014	BANK OF AMERICA		769,207	753,000	0	1
01F032 6A 7	FNMA TBA 3.5% 3.500% 10/01/40			09/29/2014	WELLS FARGO BANK		(770,413)	(753,000)	0	1
01F040 67 7	FNMA TBA 4% 4.000% 07/01/39			07/09/2014	WELLS FARGO BANK		3,128,203	2,966,000	0	1
01F042 6A 6	FNMA TBA 4.5% 4.500% 10/01/33			09/29/2014	WELLS FARGO BANK		(26,976,563)	(25,000,000)	0	1
3138A9 VR 2	FNMA AH7823 4.500% 04/01/41			09/09/2014	BANK OF AMERICA		1,058,984	1,000,000	1,250	1
3138AX T9 2	FNMA AJ5975 4.500% 12/01/36			09/09/2014	BANK OF AMERICA		1,058,984	999,999	1,250	1
3138NW U6 4	FNMA AR0604 4.500% 10/01/43			09/09/2014	BANK OF AMERICA		1,058,984	999,999	1,250	1
3138X7 T2 9	FNMA AU7768 4.500% 09/01/43			09/09/2014	BANK OF AMERICA		1,058,984	1,000,000	1,250	1
3138X8 ZL 8	FNMA AU8846 3.000% 11/01/43			07/10/2014	Various		738,824	753,001	816	1
3138XB X5 8	FNMA AV0699 3.500% 12/25/43			07/10/2014	BANK OF AMERICA		769,208	753,001	952	1
3138XD RY 8	FNMA AV2302 4.500% 12/01/43			09/09/2014	BANK OF AMERICA		1,058,984	1,000,000	1,250	1
3138XP BS 1	FNMA AW0048 4.000% 07/01/44			07/10/2014	Various		3,128,203	2,966,000	4,284	1
3138XP ME 0	FNMA AW0356 4.500% 03/01/44			09/09/2014	BANK OF AMERICA		8,471,875	8,000,000	10,000	1
31414N HM 9	FNMA 971036 4.500% 02/01/39			09/09/2014	BANK OF AMERICA		2,117,969	2,000,000	2,500	1
31418A XY 9	FNMA MA1594 4.500% 09/01/43			09/09/2014	BANK OF AMERICA		9,530,859	9,000,000	11,250	1
31418B D8 6	FNMA MA1926 4.500% 06/01/44			09/09/2014	BANK OF AMERICA		1,058,984	999,999	1,250	1
3199999. Total Bonds - U.S. Special Revenue and Special Assessment							4,129,587	4,471,999	37,234	XXX
Bonds - Industrial and Miscellaneous										
001055 AH 5	AFLAC INCORPORATED 2.650% 02/15/17			06/30/2014	TLIC-TCM4-WACH REI INTERNAL		2,075,450	2,000,000	19,875	1FE
02377U AB 0	AMERICAN AIRLINES 2013-2 A PT 4.950% 0			07/18/2014	Tax Free Exchange		4,719,840	4,719,840	0	2AM
05377R BW 3	AVIS BUDGET RENTAL CAR FUNDING B 3.290			07/17/2014	J.P. MORGAN SECURITIES INC		4,998,703	5,000,000	0	2AM
099724 AG 1	BORG WARNER INC 4.625% 09/15/20			06/30/2014	TLIC-TCM4-WACH REI INTERNAL		1,078,075	1,000,000	13,490	2FE
25746U BE 8	DOMINION RESOURCES INC 6.400% 06/15/18			06/30/2014	TLIC-TCM4-WACH REI INTERNAL		1,167,945	1,000,000	2,667	2FE
316773 CK 4	FIFTH THIRD BANCORP 3.625% 01/25/16			06/30/2014	TLIC-TCM4-WACH REI INTERNAL		1,040,878	1,000,000	15,608	2FE
36253G AK 8	GSMS_14-GC24 B 4.507% 09/01/47			09/15/2014	GOLDMAN SACHS & CO		9,282,129	9,012,000	31,591	1FE
413875 AK 1	HARRIS CORPORATION 5.950% 12/01/17			06/30/2014	TLIC-TCM4-WACH REI INTERNAL		1,411,621	1,250,000	5,991	2FE
46361* AD 8	THE IRVINE COMPANY 3.500% 08/01/22			07/01/2014	Tax Free Exchange		5,000,000	5,000,000	0	1Z
46361T AC 6	IRVINE CORE OFFICE TR 2013-IRV A2 144A			07/18/2014	TPLIC-NMS-NON MATCHED SURPLUS		3,265,067	3,250,000	2,292	1FM
49427R AK 8	KILROY REALTY LP 4.250% 08/15/29			07/30/2014	WELLS FARGO BANK		4,944,100	5,000,000	0	2FE
737446 AB 0	POST HOLDINGS INC EXCHGD 7.375% 02/15/			07/18/2014	TPLIC-NMS-NON MATCHED SURPLUS		1,327,625	1,235,000	38,710	4FE

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SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
902494 AT 0	TYSON FOODS INC 4.500% 06/15/22.....		...08/07/2014	BNP PARIBAS.....	2,129,9802,000,00014,250	2FE.....
902494 AX 1	TYSON FOODS INC 3.950% 08/15/24.....		...08/05/2014	Various.....	4,096,6774,100,0000	2FE.....
90783S AA 0	UNION PACIFIC CORP PASS THRU EQ 4.698%.....		...06/30/2014	TLIC-TCM4-WACH REI INTERNAL.....	709,927642,05314,914	1FE.....
29252B AA 7	ENBRIDGE PIPELINES SOUTHERN LI 3.980%.....	I.....	...07/28/2014	SOCIETE GENERALE.....	8,000,0008,000,0000	1FE.....
22546Q AP 2	CREDIT SUISSE FIRST BOSTON 3.625% 09/0.....	F.....	...09/04/2014	CREDIT SUISSE/FIRST BOSTON.....	4,971,8005,000,0000	1FE.....
714294 AC 9	PERRIGO CO PLC 144A 4.000% 11/15/23.....	F.....	...08/14/2014	J.P. MORGAN SECURITIES INC.....	2,440,7512,365,00024,701	2FE.....
87247C AL 7	TICP CLO LTD 2014-2A A2B 4.317% 07/20/.....	F.....	...07/17/2014	CITIGROUP.....	9,000,0009,000,0000	1FE.....
87427T A* 9	TALKTALK TELECOM GROUP PLC SERIES A 4.....	F.....	...06/25/2014	ROYAL BANK OF SCOTLAND.....	6,000,0006,000,0000	ZZ.....
92334N AB 9	VEOLIA ENVIRONNEMENT 6.000% 06/01/18.....	F.....	...06/30/2014	TLIC-TCM4-WACH REI INTERNAL.....	1,361,7071,200,0005,800	2FE.....
3899999.	Total Bonds - Industrial and Miscellaneous.....				79,022,27577,773,893189,889XXX.....
8399997.	Total Bonds - Part 3.....				83,267,94782,361,977227,123XXX.....
8399999.	Total Bonds.....				83,267,94782,361,977227,123XXX.....
9999999.	Total Bonds, Preferred and Common Stocks.....				83,267,947XXX.....227,123XXX.....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

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SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Forfeiture	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Government																					
36176F Z2 7	GNMA REV MTG 765161 4.658% 09/01/61		09/01/2014	Paydown		36,186	36,186	38,660	37,582	0	(1,520)	0	(1,520)	0	36,186	0	0	0	955	09/01/2061	1
36176F ZU 5	GNMA REV MTG 765155 4.660% 08/01/61		09/01/2014	Paydown		204,933	204,933	218,776	212,498	0	(8,351)	0	(8,351)	0	204,933	0	0	0	3,187	08/01/2061	1
36230R NF 9	GNMA REV MTG 756690 4.662% 10/01/61		09/01/2014	Paydown		37,452	37,452	40,352	39,012	0	(1,849)	0	(1,849)	0	37,452	0	0	0	724	10/01/2061	1
36230R NM 4	GNMA REV MTG 756696 4.698% 12/01/61		08/01/2014	Paydown		5,075	5,075	5,447	5,309	0	(247)	0	(247)	0	5,075	0	0	0	119	12/01/2061	1
83162C PS 6	SBA 2005-20H 5.110% 08/01/25		08/01/2014	Paydown		4,456	4,456	4,456	4,456	0	0	0	0	0	4,456	0	0	0	228	08/01/2025	1
83162C PS 6	SBA 2005-20H 5.110% 08/01/25		08/01/2014	Redemption	101.0220	7,832	7,753	7,753	7,753	0	0	0	0	0	7,753	0	79	79	396	08/01/2025	1
0599999. Total Bonds - U.S. Government						295,934	295,855	315,444	306,610	0	(11,967)	0	(11,967)	0	295,855	0	79	79	5,609	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment																					
01F030 67 8	FNMA TBA 3% 3.000% 07/01/42		07/10/2014	CITIGROUP		738,822	753,000	738,822	0	0	0	0	0	738,822	0	0	0	0	0	07/01/2042	1
01F032 67 4	FNMA TBA 30YR 3.5% 3.500% 07/01/41		07/10/2014	BANK OF AMERICA		769,207	753,000	769,207	0	0	0	0	0	769,207	0	0	0	0	0	07/01/2041	1
01F040 67 7	FNMA TBA 4% 4.000% 07/01/39		07/10/2014	WELLS FARGO BANK		3,128,203	2,966,000	3,128,203	0	0	0	0	0	3,128,203	0	0	0	0	0	07/01/2039	1
31368H NM 1	FNMA 190396 4.500% 06/01/39		09/09/2014	BANK OF AMERICA		26,474,609	25,000,000	26,474,609	26,474,609	0	0	0	0	26,474,609	0	0	0	875,000	06/01/2039	1	
3138A4 A2 1	FNMA AH2724 4.000% 01/01/41		09/01/2014	Paydown		265,568	265,568	264,328	264,336	0	1,231	0	1,231	0	265,568	0	0	0	6,995	01/01/2041	1
3138A9 VR 2	FNMA AH7823 4.500% 04/01/41		09/09/2014	CITIGROUP		1,079,570	1,000,000	1,058,984	0	0	0	0	0	1,058,984	0	20,586	20,586	1,250	04/01/2041	1	
3138AF 3C 2	FNMA AI2594 4.500% 05/01/41		09/01/2014	Paydown		28,641	28,641	28,892	28,885	0	(244)	0	(244)	0	28,641	0	0	0	798	05/01/2041	1
3138AV D7 7	FNMA AJ3725 4.000% 10/01/41		09/01/2014	Paydown		5,302	5,302	5,277	5,277	0	25	0	25	0	5,302	0	0	0	139	10/01/2041	1
3138AX T9 2	FNMA AJ5975 4.500% 12/01/36		09/09/2014	CITIGROUP		1,079,570	999,999	1,058,984	0	0	0	0	0	1,058,984	0	20,586	20,586	1,250	12/01/2036	1	
3138EJ 2K 3	FNMA AL2577 4.000% 06/01/42		09/01/2014	Paydown		1,678	1,678	1,670	1,670	0	8	0	8	0	1,678	0	0	0	45	06/01/2042	1
3138NW U6 4	FNMA AR0604 4.500% 10/01/43		09/09/2014	CITIGROUP		1,079,569	999,999	1,058,984	0	0	0	0	0	1,058,984	0	20,586	20,586	1,250	10/01/2043	1	
3138WM XL 0	FNMA AT0682 3.000% 04/01/43		07/09/2014	WELLS FARGO BANK		974,597	993,279	1,025,018	1,024,693	0	(87)	0	(87)	0	1,024,606	0	(50,009)	(50,009)	18,458	04/01/2043	1
3138WM XL 0	FNMA AT0682 3.000% 04/01/43		07/01/2014	Paydown		6,380	6,380	6,584	6,582	0	(202)	0	(202)	0	6,380	0	0	0	112	04/01/2043	1
3138X0 Y2 8	FNMA AU1628 3.000% 07/01/43		07/09/2014	WELLS FARGO BANK		342,799	349,370	360,533	360,420	0	(29)	0	(29)	0	360,391	0	(17,592)	(17,592)	6,492	07/01/2043	1
3138X0 Y2 8	FNMA AU1628 3.000% 07/01/43		07/01/2014	Paydown		2,313	2,313	2,387	2,386	0	(73)	0	(73)	0	2,313	0	0	0	40	07/01/2043	1
3138X1 Q8 2	FNMA AU2278 3.000% 09/01/43		07/09/2014	WELLS FARGO BANK		698,222	711,606	734,344	734,114	0	(58)	0	(58)	0	734,057	0	(35,835)	(35,835)	13,224	09/01/2043	1
3138X1 Q8 2	FNMA AU2278 3.000% 09/01/43		07/01/2014	Paydown		1,360	1,360	1,403	1,403	0	(43)	0	(43)	0	1,360	0	0	0	24	09/01/2043	1
3138X3 XH 0	FNMA AU4279 3.000% 09/01/43		07/09/2014	WELLS FARGO BANK		2,014,800	2,053,422	2,119,035	2,118,372	0	(166)	0	(166)	0	2,118,206	0	(103,406)	(103,406)	38,160	09/01/2043	1
3138X3 XH 0	FNMA AU4279 3.000% 09/01/43		07/01/2014	Paydown		8,559	8,559	8,833	8,830	0	(271)	0	(271)	0	8,559	0	0	0	150	09/01/2043	1
3138X7 T2 9	FNMA AU7768 4.500% 09/01/43		09/09/2014	CITIGROUP		1,079,570	1,000,000	1,058,984	0	0	0	0	0	1,058,984	0	20,586	20,586	1,250	09/01/2043	1	
3138XD RY 8	FNMA AV2302 4.500% 12/01/43		09/09/2014	CITIGROUP		1,079,570	1,000,000	1,058,984	0	0	0	0	0	1,058,984	0	20,586	20,586	1,250	12/01/2043	1	
3138XP ME 0	FNMA AW0356 4.500% 03/01/44		09/09/2014	CITIGROUP		8,636,562	8,000,000	8,471,875	0	0	0	0	0	8,471,875	0	164,687	164,687	10,000	03/01/2044	1	
31402R RN 1	FNMA 735893 5.000% 10/01/35		09/01/2014	Paydown		30,353	30,353	30,658	30,640	0	(287)	0	(287)	0	30,353	0	0	0	1,011	10/01/2035	1
31412T NX 7	FNMA 934306 5.500% 06/01/38		07/09/2014	BANK OF AMERICA		147,993	132,617	141,818	141,504	0	178	0	178	0	141,681	0	6,311	6,311	4,518	06/01/2038	1
31412T NX 7	FNMA 934306 5.500% 06/01/38		07/01/2014	Paydown		238	238	255	254	0	(16)	0	(16)	0	238	0	0	0	8	06/01/2038	1
31414N HM 9	FNMA 971036 4.500% 02/01/39		09/09/2014	CITIGROUP		2,159,141	2,000,000	2,117,969	0	0	0	0	0	2,117,969	0	41,172	41,172	2,500	02/01/2039	1	
31414X U8 3	FNMA 979507 5.500% 04/01/38		07/09/2014	BANK OF AMERICA		22,277	19,962	21,347	21,300	0	27	0	27	0	21,326	0	950	950	680	04/01/2038	1

QE05

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Forfeiture	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
31414X U8 3	FNMA 979507 5.500% 04/01/38.....		07/01/2014	Paydown.....		36	36	38	38	0	(2)	0	(2)	0	36	0	0	0	1	04/01/2038	1.....
31417E ZB 0	FNMA AB7937 3.000% 02/01/43.....		07/09/2014	WELLS FARGO BANK.....		436,700	445,071	459,293	459,146	0	(40)	0	(40)	0	459,106	0	(22,406)	(22,406)	8,271	02/01/2043	1.....
31417E ZB 0	FNMA AB7937 3.000% 02/01/43.....		07/01/2014	Paydown.....		2,044	2,044	2,109	2,108	0	(65)	0	(65)	0	2,044	0	0	0	36	02/01/2043	1.....
31417M NG 4	FNMA AC3090 4.500% 09/01/39.....		09/01/2014	Paydown.....		1,845	1,845	1,856	1,856	0	(11)	0	(11)	0	1,845	0	0	0	51	09/01/2039	1.....
31418A XY 9	FNMA MA1594 4.500% 09/01/43.....		09/09/2014	CITIGROUP.....		9,716,132	9,000,000	9,530,859	0	0	0	0	0	0	9,530,859	0	185,273	185,273	11,250	09/01/2043	1.....
31418B D8 6	FNMA MA1926 4.500% 06/01/44.....		09/09/2014	CITIGROUP.....		1,079,570	999,999	1,058,984	0	0	0	0	0	0	1,058,984	0	20,586	20,586	1,250	06/01/2044	1.....
31419L YC 1	FNMA AE9706 4.000% 12/01/40.....		09/01/2014	Paydown.....		105,642	105,642	105,149	105,152	0	490	0	490	0	105,642	0	0	0	2,909	12/01/2040	1.....
3199999	Total Bonds - U.S. Special Revenue and Special Assessment.....					63,197,442	59,637,283	62,906,275	31,793,575	0	365	0	365	0	62,904,780	0	292,661	292,661	1,008,372XXX...XXX...

Bonds - Industrial and Miscellaneous

QE05.1

000759 BP 4	AMERICAN BUS FIN SERV 2000-1 A1 8.425%.....		09/25/2014	Capital Distribution.....		4,444	0	4,444	4,444	0	0	0	0	0	4,444	0	0	0	0	07/01/2031	1FM.....
00131L 2A 2	AIA GROUP LTD 144A 2.250% 03/11/19.....	F...	09/04/2014	GOLDMAN SACHS & CO.....		2,990,820	3,000,000	2,989,020	0	0	1,028	0	1,028	0	2,990,048	0	772	772	33,375	03/11/2019	1FE.....
00440E AM 9	ACE INA HLDGS INC 5.900% 06/15/19.....	E...	08/07/2014	WELLS FARGO BANK.....		2,335,220	2,000,000	2,376,820	0	0	(29,749)	0	(29,749)	0	2,347,071	0	(11,851)	(11,851)	77,683	06/15/2019	1FE.....
018490 AP 7	ALLERGAN INC 1.350% 03/15/18.....		08/26/2014	Various.....		1,941,110	2,000,000	1,995,860	1,996,523	0	513	0	513	0	1,997,036	0	(55,926)	(55,926)	25,763	03/15/2018	1FM.....
02148Y AJ 3	COUNTRYWIDE ALT LN 2006-42 2A1 6.000%.....		09/25/2014	Capital Distribution.....		15,279	0	15,279	15,279	0	0	0	0	0	15,279	0	0	0	0	12/01/2046	1FM.....
02148Y AJ 3	COUNTRYWIDE ALT LN 2006-42 2A1 6.000%.....		09/01/2014	Redemption 28.8293.....		3,547	12,304	3,547	3,547	0	0	0	0	0	3,547	0	0	0	0	12/01/2046	1FM.....
02377U AA 2	AMERICAN AIRLINES 2013-2 A PT EETCA 144A....		07/18/2014	Tax Free Exchange.....		4,719,840	4,719,840	4,719,840	4,719,840	0	0	0	0	0	4,719,840	0	0	0	223,897	01/15/2023	2AM.....
02377U AA 2	AMERICAN AIRLINES 2013-2 A PT EETCA 144A....		07/15/2014	Redemption 100.0000.....		132,160	132,160	132,160	132,160	0	0	0	0	0	132,160	0	0	0	6,269	01/15/2023	2AM.....
026874 CS 4	AMERICAN INTERNATIONAL GROUP 3.800% 03.....		07/31/2014	Call 99.8843.....		4,744,503	4,750,000	4,740,358	4,743,360	0	1,143	0	1,143	0	4,744,503	0	0	0	470,887	03/22/2017	2FE.....
026930 AA 5	AMERICAN HOME MTG ASSET 2007-2 A1 0.28.....		09/26/2014	Capital Distribution.....		43,381	0	43,381	43,381	0	0	0	0	0	43,381	0	0	0	0	03/25/2047	1FM.....
026930 AA 5	AMERICAN HOME MTG ASSET 2007-2 A1 0.28.....		09/01/2014	Redemption 51.0757.....		45,289	88,670	45,288	45,288	0	0	0	0	0	45,288	0	0	0	141	03/25/2047	1FM.....
03523T BN 7	ANHEUSER-BUSCH INBEV NV 1.375% 07/15/11.....	F...	08/07/2014	BANK OF AMERICA.....		2,380,254	2,365,000	2,358,189	2,360,122	0	825	0	825	0	2,360,947	0	19,308	19,308	34,958	07/15/2017	1FE.....
055359 AC 7	BCRR 2009-1 2A1 144A 5.858% 07/11/40.....		09/11/2014	Paydown.....		11,580	11,580	11,974	11,749	0	(170)	0	(170)	0	11,580	0	0	0	450	07/11/2040	1FE.....
05947U 4C 9	BANC OF A COMM MTGE 2005-6 ASB 5.177%.....		07/01/2014	Paydown.....		22,818	22,818	22,897	22,818	0	0	0	0	0	22,818	0	0	0	696	09/01/2047	1FM.....
05948K L3 1	BANC OF A ALT LN 2005-8 3CB1 6.000% 09.....		09/25/2014	Capital Distribution.....		9,124	0	9,124	9,124	0	0	0	0	0	9,124	0	0	0	0	09/01/2035	3FM.....
05948K L3 1	BANC OF A ALT LN 2005-8 3CB1 6.000% 09.....		09/01/2014	Redemption 51.4706.....		6,349	12,334	6,348	6,348	0	0	0	0	0	6,348	0	0	0	0	09/01/2035	3FM.....
05950E AD 0	BANC OF A COMM MTGE 2006-2 AAB 5.902%.....		09/01/2014	Paydown.....		9,664	9,664	9,457	9,605	0	58	0	58	0	9,664	0	0	0	372	05/01/2045	1FM.....
05950X AD 8	BANC OF A COMM MTGE 2006-5 AAB 5.379%.....		09/01/2014	Paydown.....		169,759	169,759	170,614	169,759	0	0	0	0	0	169,759	0	0	0	6,061	09/01/2047	1FM.....
059512 AD 5	BANC OF A COMM MTGE 2007-3 AAB 5.790%.....		09/01/2014	Paydown.....		91,662	91,662	90,315	91,457	0	205	0	205	0	91,662	0	0	0	3,496	06/01/2049	1FM.....
059513 AE 1	BANC OF A COMM MTGE 2007-4 A4 5.948% 0.....		09/01/2014	Paydown.....		52,764	52,764	61,802	59,101	0	(6,337)	0	(6,337)	0	52,764	0	0	0	2,136	02/01/2051	1FM.....
05955F AA 8	BANC OF AMERICA LARGE LOAN 2009-UB1 A4A....		09/01/2014	Paydown.....		4,759	4,759	5,220	4,969	0	(211)	0	(211)	0	4,759	0	0	0	176	06/01/2050	1FE.....
07387M AE 9	BEAR STEARNS COMM 2006-PW11 A4 5.600%.....		09/01/2014	Paydown.....		16,078	16,078	16,038	16,055	0	24	0	24	0	16,078	0	0	0	667	03/01/2039	1FM.....
07388L AE 0	BEAR STEARNS COMM 2006-PW13 A4 5.540%.....		09/01/2014	Paydown.....		21,597	21,597	20,469	21,118	0	479	0	479	0	21,597	0	0	0	823	09/01/2041	1FM.....
1248MA AC 1	CREDIT BASED SER&SEC 2007-SP1 A3 144A.....		09/01/2014	Paydown.....		175,702	175,702	174,989	174,989	0	714	0	714	0	175,702	0	0	0	6,724	12/01/2037	1FM.....
12513X AD 4	CITIGROUP/DEUTSCHE 2006-CD2 AAB 5.510%.....		09/01/2014	Paydown.....		79,074	79,074	79,466	79,074	0	0	0	0	0	79,074	0	0	0	2,888	01/01/2046	1FM.....
125278 AA 9	CITIGROUP COMM MTG 2010 RR2 CA3A 5.311%.....		09/15/2014	Paydown.....		1,016	1,016	984	1,001	0	15	0	15	0	1,016	0	0	0	41	12/15/2039	1FE.....
126380 AA 2	CREDIT SUISSE MTGE CAP 2006-9 1A1 5.00.....		09/01/2014	Paydown.....		102,009	102,009	97,427	18,831	0	4,210	0	4,210	0	102,009	0	0	0	1,708	11/01/2036	1FM.....

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Forfeiture	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Contractual Maturity Date	NAIC Designation or Market Indicator (a)
126408 GN 7	CSX CORP 6.250% 04/01/15.....		09/19/2014	Call 101.1700.....		1,347,584	1,332,000	1,477,361	1,368,088	0	(20,453)	0	(20,453)	0	1,347,635	0	(51)	(51)	105,273	04/01/2015	2FE.....
126673 DP 4	COUNTRYWIDE ABS CERTS 2004-7 AF6 4.711....		09/01/2014	Paydown.....		205,584	205,584	205,574	205,574	0	10	0	10	0	205,584	0	0	0	6,557	12/01/2034	1FM.....
126673 ZU 9	COUNTRYWIDE ABS CERTS 2005-3 AF6 5.219....		09/01/2014	Paydown.....		39,556	39,556	39,555	39,556	0	2	0	2	0	39,556	0	0	0	1,354	08/01/2035	1FM.....
12668A HA 6	COUNTRYWIDE ALT LN 2005-56 4A1 0.465%.....		09/01/2014	Redemption 57.6169.....		4,294	7,453	4,294	4,294	0	0	0	0	0	4,294	0	0	0	19	11/25/2035	1FM.....
12668A HA 6	COUNTRYWIDE ALT LN 2005-56 4A1 0.465%.....		08/27/2014	Paydown.....		0	0	0	0	0	0	0	0	0	0	0	0	0	0	11/25/2035	1FM.....
12668A HA 6	COUNTRYWIDE ALT LN 2005-56 4A1 0.465%.....		08/26/2014	Capital Distribution.....		3,080	0	3,080	3,080	0	0	0	0	0	3,080	0	0	0	0	11/25/2035	1FM.....
12668W AC 1	COUNTRYWIDE ABS CERTS 2007-4 A3 5.714....		09/25/2014	Capital Distribution.....		13,965	0	13,965	13,965	0	0	0	0	0	13,965	0	0	0	0	04/01/2047	1FM.....
12668W AC 1	COUNTRYWIDE ABS CERTS 2007-4 A3 5.714....		09/01/2014	Redemption 0.0201.....		3	14,948	3	3	0	0	0	0	0	3	0	0	0	0	04/01/2047	1FM.....
12669A A3 2	COUNTRYWIDE ALT LN 2006-OA1 2A1 0.364%....		09/25/2014	Capital Distribution.....		2,881	0	2,881	2,881	0	0	0	0	0	2,881	0	0	0	0	03/20/2046	1FM.....
12669A A3 2	COUNTRYWIDE ALT LN 2006-OA1 2A1 0.364%....		09/01/2014	Redemption 50.7614.....		2,724	5,366	2,724	2,724	0	0	0	0	0	2,724	0	0	0	0	03/20/2046	1FM.....
12669A YQ 5	COUNTRYWIDE HOME LN 2006-3 3A1 0.405%....		09/25/2014	Capital Distribution.....		1,484	0	1,484	1,484	0	0	0	0	0	1,484	0	0	0	0	02/25/2036	3FM.....
12669A YQ 5	COUNTRYWIDE HOME LN 2006-3 3A1 0.405%....		09/01/2014	Redemption 79.8077.....		5,098	6,387	5,098	5,098	0	0	0	0	0	5,098	0	0	0	0	02/25/2036	3FM.....
12670B AF 6	COUNTRYWIDE ABS CERTS 2007-S2 A6 5.779....		09/01/2014	Paydown.....		28,641	28,641	28,639	28,638	0	3	0	3	0	28,641	0	0	0	151	05/01/2037	4FM.....
172973 5J 4	CITICORP RES MTGE 2006-1 3A2 5.000% 02.....		09/01/2014	Paydown.....		2,436	2,436	2,304	2,375	0	61	0	61	0	2,436	0	0	0	72	02/01/2036	1FM.....
200339 AE 9	COMERICA BK 8.375% 07/15/24.....		07/15/2014	Call 100.0000.....		2,000,000	2,000,000	2,209,720	2,052,619	0	(52,619)	0	(52,619)	0	2,000,000	0	0	0	167,500	07/15/2024	1FE.....
22544Q AD 1	CREDIT SUISSE MTG 2007-C3 AAB 5.892% 0.....		09/01/2014	Paydown.....		218,073	218,073	218,195	217,853	0	220	0	220	0	218,073	0	0	0	8,455	06/01/2039	1FM.....
23332U GM 0	DSL A MTGE LN TR 2006-AR1 2A1A 1.089% 0.....		09/25/2014	Capital Distribution.....		238	0	238	238	0	0	0	0	0	238	0	0	0	0	04/01/2047	1FM.....
23332U GM 0	DSL A MTGE LN TR 2006-AR1 2A1A 1.089% 0.....		09/01/2014	Redemption 75.6834.....		244	323	245	245	0	0	0	0	0	245	0	0	0	0	04/01/2047	1FM.....
24422E SB 6	JOHN DEERE CAPITAL CORP 1.300% 03/12/1.....		07/02/2014	BARCLAYS.....		1,978,220	2,000,000	1,995,380	1,996,093	0	471	0	471	0	1,996,564	0	(18,344)	(18,344)	21,378	03/12/2018	1FE.....
263534 CB 3	EI DU PONT DE NEMOURS & CO 3.625% 01/1.....		09/23/2014	J.P. MORGAN SECURITIES INC.....		263,328	250,000	277,826	275,465	0	(2,498)	0	(2,498)	0	272,967	0	(9,639)	(9,639)	10,850	01/15/2021	1FE.....
268648 AN 2	EMC CORPORATION 3.375% 06/01/23.....		09/22/2014	GOLDMAN SACHS & CO.....		1,084,468	1,100,000	1,065,951	1,066,043	0	2,220	0	2,220	0	1,068,262	0	16,206	16,206	30,319	06/01/2023	1FE.....
291701 CR 9	EMPIRE FNDG 1998-2 M1 7.490% 06/01/24.....		09/25/2014	Capital Distribution.....		3,639	0	3,639	3,639	0	0	0	0	0	3,639	0	0	0	0	06/01/2024	6FE.....
36190K AE 9	GS MTGE SEC CORP II 2009-RR1 GGA 144A.....		09/01/2014	Paydown.....		10,969	10,969	11,643	11,215	0	(246)	0	(246)	0	10,969	0	0	0	432	07/01/2038	1FE.....
362334 BR 4	GSAA HOME EQUITY TRUST 2006-3 A2 0.345.....		09/26/2014	Capital Distribution.....		15,016	0	15,016	15,016	0	0	0	0	0	15,016	0	0	0	0	03/25/2036	1FM.....
362334 BR 4	GSAA HOME EQUITY TRUST 2006-3 A2 0.345.....		09/01/2014	Redemption 41.3652.....		10,593	25,609	10,593	10,593	0	0	0	0	0	10,593	0	0	0	52	03/25/2036	1FM.....
36828Q QD 1	GE CAPITAL COMM MTG 2005-C4 ASB 5.458%....		09/01/2014	Paydown.....		141,622	141,622	142,329	141,622	0	0	0	0	0	141,622	0	0	0	4,993	11/01/2045	1FM.....
40430H FL 7	HSI ASSET SEC CORP 2006-OPT3 3A3 0.335.....		09/25/2014	Paydown.....		162,210	162,210	135,699	143,361	0	18,849	0	18,849	0	162,210	0	0	0	352	02/25/2036	1FM.....
46361# AN 2	THE IRVINE COMPANY SR NOTE 3.500% 08/0.....		07/01/2014	Tax Free Exchange.....		5,000,000	5,000,000	5,000,000	5,000,000	0	0	0	0	0	5,000,000	0	0	0	87,500	08/01/2022	1FE.....
46625Y XQ 1	JPMORGAN CHASE COMM 2005-LDP5 ASB 5.39.....		09/01/2014	Paydown.....		63,565	63,565	63,879	63,565	0	0	0	0	0	63,565	0	0	0	2,196	12/01/2044	1FM.....
52521G AD 7	LEHMAN BROS SM BAL TR 2007-1 2A3 5.620.....		09/01/2014	Paydown.....		1,215,945	1,215,945	1,214,301	1,214,301	0	1,644	0	1,644	0	1,215,945	0	0	0	44,471	03/01/2037	2AM.....
525221 DT 1	LEHMAN XS TR 2005-8 1A3 0.505% 12/25/3.....		09/29/2014	Capital Distribution.....		100,219	0	100,219	0	0	0	0	0	0	100,219	0	0	0	0	12/25/2035	1FM.....
525221 DT 1	LEHMAN XS TR 2005-8 1A3 0.505% 12/25/3.....		09/01/2014	Redemption 30.8263.....		134,212	435,382	134,212	0	0	0	0	0	0	134,212	0	0	0	1,386	12/25/2035	1FM.....
525221 HA 8	LEHMAN XS TR 2006-2N 1A1 0.415% 02/25/.....		09/26/2014	Capital Distribution.....		43,638	0	43,638	43,638	0	0	0	0	0	43,638	0	0	0	0	02/25/2046	1FM.....
525221 HA 8	LEHMAN XS TR 2006-2N 1A1 0.415% 02/25/.....		09/01/2014	Redemption 50.0007.....		43,639	87,276	43,639	43,639	0	0	0	0	0	43,639	0	0	0	405	02/25/2046	1FM.....
52522Q AM 4	LEHMAN MTGE TR 2007-10 3A1 6.000% 12/0.....		09/25/2014	Capital Distribution.....		62,750	0	62,750	62,750	0	0	0	0	0	62,750	0	0	0	0	12/01/2037	1FM.....
52522Q AM 4	LEHMAN MTGE TR 2007-10 3A1 6.000% 12/0.....		09/01/2014	Redemption 59.8039.....		34,092	57,007	34,092	34,092	0	0	0	0	0	34,092	0	0	0	0	12/01/2037	1FM.....

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SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Forfeign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
550279 BA 0	LUMINENT MTGE TR 2006-2 A1A 0.355% 02/.....		09/25/2014	Capital Distribution.....		522	0	522	522	0	0	0	0	0	522	0	0	0	0	02/25/2046	1FM.....
550279 BA 0	LUMINENT MTGE TR 2006-2 A1A 0.355% 02/.....		09/01/2014	Redemption 66.2936.....		876	1,321	876	876	0	0	0	0	0	876	0	0	0	0	02/25/2046	1FM.....
576435 AT 8	MARS 2004-4 144A 5.000% 03/01/34.....		09/10/2014	Capital Distribution.....		4,917	0	4,917	4,917	0	0	0	0	0	4,917	0	0	0	0	03/01/2034	1FM.....
576435 AT 8	MARS 2004-4 144A 5.000% 03/01/34.....		09/01/2014	Redemption 0.0950.....		3	3,157	3	3	0	0	0	0	0	3	0	0	0	0	03/01/2034	1FM.....
59020U 5U 5	MERRILL MTG INV TR 2006-A1 1A1 2.813%.....		09/26/2014	Capital Distribution.....		29,634	0	29,634	29,634	0	0	0	0	0	29,634	0	0	0	0	03/01/2036	1FM.....
59020U 5U 5	MERRILL MTG INV TR 2006-A1 1A1 2.813%.....		09/01/2014	Redemption 45.6617.....		41,647	91,207	41,647	41,647	0	0	0	0	0	41,647	0	0	0	1,335	03/01/2036	1FM.....
59022H MU 3	MERRILL LYNCH MTG TR 2005-CK1 A6 5.46.....		09/01/2014	Paydown.....		120,574	120,574	118,052	119,715	0	859	0	859	0	120,574	0	0	0	4,308	11/01/2037	1FM.....
606935 AH 7	ML COUNTRYWIDE COMM 2006-1 A4 5.651% 0.....		09/01/2014	Paydown.....		5,281	5,281	4,950	5,161	0	121	0	121	0	5,281	0	0	0	211	02/01/2039	1FM.....
61751N AE 2	MORGAN STNLY CAP I 2007-HQ11 AAB 5.444.....		09/01/2014	Paydown.....		57,976	57,976	58,294	57,976	0	0	0	0	0	57,976	0	0	0	2,143	02/01/2044	1FM.....
61754J AE 8	MORGAN STNLY CAP I 2007-T27 AAB 5.831%.....		09/01/2014	Paydown.....		3,038	3,038	3,020	3,032	0	6	0	6	0	3,038	0	0	0	115	06/01/2042	1FM.....
61915R CJ 3	MORTGAGEIT TR 2006-1 2A1A 0.365% 04/25.....		09/25/2014	Capital Distribution.....		457	0	457	457	0	0	0	0	0	457	0	0	0	0	04/25/2036	4FM.....
61915R CJ 3	MORTGAGEIT TR 2006-1 2A1A 0.365% 04/25.....		09/01/2014	Redemption 79.0832.....		1,042	1,318	1,042	1,042	0	0	0	0	0	1,042	0	0	0	0	04/25/2036	4FM.....
620076 AZ 2	MOTOROLA SOLUTIONS INC 6.000% 11/15/17.....		09/11/2014	Call 101.9142.....		10,191,419	10,000,000	10,405,400	10,229,489	0	(38,071)	0	(38,071)	0	10,191,419	0	0	0	1,713,415	11/15/2017	2FE.....
65536P AA 8	NOMURA ASSET ACC CORP 2006-AF1 1A1A 0.....		09/25/2014	Capital Distribution.....		393	0	393	393	0	0	0	0	0	393	0	0	0	0	05/25/2036	1FM.....
65536P AA 8	NOMURA ASSET ACC CORP 2006-AF1 1A1A 0.....		09/01/2014	Redemption 33.5441.....		176	524	175	175	0	0	0	0	0	175	0	0	0	0	05/25/2036	1FM.....
685049 AA 6	ORANGE LAKE TMSHARE TR 2012-AA A 144A.....		09/10/2014	Paydown.....		137,085	137,085	137,077	137,078	0	6	0	6	0	137,085	0	0	0	3,146	03/10/2027	1FE.....
718172 AA 7	PHILIP MORRIS INTL INC 5.650% 05/16/18.....		08/05/2014	BNY MELLON CAPITAL.....		1,077,359	943,000	1,150,715	1,104,827	0	(21,651)	0	(21,651)	0	1,083,176	0	(5,817)	(5,817)	38,776	05/16/2018	1FE.....
74925F AA 1	RESIDENTIAL AC LN INC 2008-QR1 1A1 1.5.....		09/11/2014	BARCLAYS.....		131,454	165,162	137,320	137,320	0	0	0	0	0	137,320	0	(5,866)	(5,866)	0	08/25/2036	3FM.....
74925F AA 1	RESIDENTIAL AC LN INC 2008-QR1 1A1 1.5.....		08/25/2014	Capital Distribution.....		1,025	0	1,025	1,025	0	0	0	0	0	1,025	0	0	0	0	08/25/2036	3FM.....
74925F AA 1	RESIDENTIAL AC LN INC 2008-QR1 1A1 1.5.....		08/01/2014	Redemption 62.6524.....		2,780	4,437	2,779	2,779	0	0	0	0	0	2,779	0	0	0	0	08/25/2036	3FM.....
749289 AA 2	RBSCF TRUST 2009-RR2 CWA 5.223% 08/01/.....		09/01/2014	Paydown.....		227,544	227,544	219,473	223,652	0	3,892	0	3,892	0	227,544	0	0	0	8,556	08/01/2048	1FE.....
74928H AA 4	RBSCF TRUST 2010-RR3 CSCA 144A 5.467%.....		09/01/2014	Paydown.....		244,545	244,545	274,102	264,553	0	(20,008)	0	(20,008)	0	244,545	0	0	0	7,976	09/01/2039	1FE.....
75970Q AH 3	RENAISSANCE HELT 2007-2 AF5 6.203% 06/.....		09/25/2014	Capital Distribution.....		32,142	0	32,142	32,142	0	0	0	0	0	32,142	0	0	0	0	06/01/2037	3FM.....
75970Q AH 3	RENAISSANCE HELT 2007-2 AF5 6.203% 06/.....		08/01/2014	Redemption 41.8339.....		1,358	3,247	1,358	1,358	0	0	0	0	0	1,358	0	0	0	0	06/01/2037	3FM.....
759950 EN 4	RENAISSANCE HELT 2004-4 AF6 4.754% 02/.....		09/01/2014	Paydown.....		60,577	60,577	59,976	0	0	601	0	601	0	60,577	0	0	0	1,011	02/01/2035	1FM.....
759950 FG 8	RENAISSANCE HELT 2005-1 AF6 4.970% 05/.....		09/09/2014	GOLDMAN SACHS & CO.....		2,968,778	2,924,454	2,924,430	2,924,430	0	0	0	0	0	2,924,430	0	44,348	44,348	113,450	05/01/2035	2FM.....
759950 FG 8	RENAISSANCE HELT 2005-1 AF6 4.970% 05/.....		09/01/2014	Paydown.....		234,539	234,539	234,537	234,537	0	2	0	2	0	234,539	0	0	0	8,294	05/01/2035	2FM.....
759950 FH 6	RENAISSANCE HELT 2005-1 M1 5.357% 05/0.....		09/25/2014	Capital Distribution.....		20,454	0	20,454	15,214	5,239	0	0	5,239	0	20,454	0	0	0	0	05/01/2035	5FM.....
759950 FJ 2	RENAISSANCE HELT 2005-1 M2 5.405% 05/0.....		09/25/2014	Capital Distribution.....		7,739	0	7,739	7,739	0	0	0	0	0	7,739	0	0	0	0	05/01/2035	4FM.....
761118 VY 1	RESIDENTIAL ACCREDIT 2006-Q02 A1 0.375.....		09/25/2014	Capital Distribution.....		2,490	0	2,490	2,490	0	0	0	0	0	2,490	0	0	0	0	02/25/2046	1FM.....
761118 VY 1	RESIDENTIAL ACCREDIT 2006-Q02 A1 0.375.....		09/01/2014	Redemption 26.2636.....		838	3,192	838	838	0	0	0	0	0	838	0	0	0	0	02/25/2046	1FM.....
771196 AS 1	ROCHE HOLDINGS INC 144A 6.000% 03/01/1.....	E..	08/29/2014	Call 106.2436.....		57,372	54,000	60,124	57,809	0	(437)	0	(437)	0	57,372	0	0	0	8,725	03/01/2019	1FE.....
78488B AA 0	SVO VOI MORTGAGE CORP 2012-AA A 2.000%.....		09/01/2014	Paydown.....		23,516	23,516	23,420	23,421	0	94	0	94	0	23,516	0	0	0	314	09/01/2029	1FE.....
82651Y AA 3	SIERRA REC FUND CO 2013-1A A 144A 1.59.....		09/20/2014	Paydown.....		299,662	299,662	299,599	299,599	0	63	0	63	0	299,662	0	0	0	3,166	11/20/2029	1FE.....
82651Y AB 1	SIERRA REC FUND CO 2013-1A B 144A 2.39.....		09/20/2014	Paydown.....		108,968	108,968	108,968	108,968	0	0	0	0	0	108,968	0	0	0	1,731	11/20/2029	2AM.....
82652B AB 0	SIERRA REC FUND CO 2013-2A B 144A 2.92.....		09/20/2014	Paydown.....		368,057	368,057	368,013	368,042	0	15	0	15	0	368,057	0	0	0	7,082	11/20/2025	2AM.....

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SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value At Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Design- ation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							
82839R AA 3	SILVERLEAF FIN LLC 2013-A A 2.680% 03/.....		09/15/2014	Paydown.....		626,030	626,030	625,959	625,962	0	68	0	68	0	626,030	0	0	0	11,078	03/15/2026	1FE.....
83417E AA 0	SOLARCITY LMC SER LLC 2014-1 A 144A 4.....		09/20/2014	Paydown.....		22,408	22,408	22,405	0	0	2	0	2	0	22,408	0	0	0	372	04/20/2044	2AM.....
83613G AA 7	SOUNDVIEW HM EQ LN 2008-1 A1 144A 1.65.....		09/25/2014	Paydown.....		37,303	37,303	37,156	37,157	0	145	0	145	0	37,303	0	0	0	445	02/25/2038	4FM.....
848609 AA 1	SPIRITS OF ST LOUIS BASKETBALL 5.300%.....		09/30/2014	Redemption 100.0000.....		5,417	5,417	5,417	0	0	0	0	0	0	5,417	0	0	0	175	06/30/2036	2FE.....
86212U AA 4	STORE MASTER FUNDING 2013-1A A1 4.160%.....		09/22/2014	Paydown.....		8,040	8,040	8,038	8,038	0	2	0	2	0	8,040	0	0	0	224	03/20/2043	1FE.....
86212U AB 2	STORE MASTER FUNDING 2013-1A A2 144A 4.....		09/20/2014	Paydown.....		32,159	32,159	32,148	32,149	0	10	0	10	0	32,159	0	0	0	1,001	03/20/2043	1FE.....
86362X AA 6	STRUCTURED ASSET MTGE INV 2007-A1 0.31.....		09/26/2014	Capital Distribution.....		322,919	0	322,919	322,919	0	0	0	0	0	322,919	0	0	0	0	01/25/2037	1FM.....
86362X AA 6	STRUCTURED ASSET MTGE INV 2007-A1 0.31.....		09/01/2014	Redemption 46.5525.....		281,261	604,180	281,261	281,261	0	0	0	0	0	281,261	0	0	0	1,159	01/25/2037	1FM.....
86363V AA 9	SASCO 2007-5 1F 144A 0.535% 06/25/37.....		09/29/2014	Capital Distribution.....		134,668	0	134,668	134,668	0	0	0	0	0	134,668	0	0	0	0	06/25/2037	6FE.....
86363V AA 9	SASCO 2007-5 1F 144A 0.535% 06/25/37.....		09/01/2014	Redemption 63.8458.....		233,283	365,385	233,283	233,283	0	0	0	0	0	233,283	0	0	0	0	06/25/2037	6FE.....
90783S AA 0	UNION PACIFIC CORP PASS THRU EQ 4.698%.....		07/02/2014	Redemption 100.0000.....		14,002	14,002	15,482	0	0	(1,480)	0	(1,480)	0	14,002	0	0	0	329	01/02/2024	1FE.....
93934F MN 9	WASHINGTON MUTUAL PT 2006-AR2 A1A 1.05.....		09/01/2014	Redemption 49.4810.....		52,164	105,421	52,163	52,163	0	0	0	0	0	52,163	0	0	0	689	04/01/2046	1FM.....
93934F MN 9	WASHINGTON MUTUAL PT 2006-AR2 A1A 1.05.....		08/27/2014	Paydown.....		0	0	0	0	0	0	0	0	0	0	0	0	0	0	04/01/2046	1FM.....
93934F MN 9	WASHINGTON MUTUAL PT 2006-AR2 A1A 1.05.....		08/26/2014	Capital Distribution.....		31,091	0	31,091	31,091	0	0	0	0	0	31,091	0	0	0	0	04/01/2046	1FM.....
G4302* AA 8	HARBOUR/MAINSAIL 0.167% 10/05/50.....		09/05/2014	Paydown.....		21,245	21,245	4,249	3,380	1,677	16,188	0	17,865	0	21,245	0	0	0	20	10/05/2050	6.....
3899999	Total Bonds - Industrial and Miscellaneous.....					52,662,039	52,177,113	53,313,676	47,038,620	6,916	(139,162)	0	(132,246)	0	52,688,896	0	(26,860)	(26,860)	3,332,172	XXX...	XXX...
8399997	Total Bonds - Part 4.....					116,155,415	112,110,251	116,535,395	79,138,805	6,916	(150,764)	0	(143,848)	0	115,889,531	0	265,880	265,880	4,346,153	XXX...	XXX...
8399999	Total Bonds.....					116,155,415	112,110,251	116,535,395	79,138,805	6,916	(150,764)	0	(143,848)	0	115,889,531	0	265,880	265,880	4,346,153	XXX...	XXX...
9999999	Total Bonds, Preferred and Common Stocks.....					116,155,415	XXX.....	116,535,395	79,138,805	6,916	(150,764)	0	(143,848)	0	115,889,531	0	265,880	265,880	4,346,153	XXX...	XXX...

QE05.4

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule /Exhibit Identifier	Type(s) of Risk (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate of Indexed Received (Paid)	Cumulative Prior Year(s) Initial Cost of Premium (Received) Paid	Current Year Initial Cost of Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization) Accretion	Adjustment to Carrying Value of Hedged Items	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
Swaps - Hedging Other - Total Return																						
55424, SWAP OTC_EQUIY_VARIANCE_SW AP_VANILLA	VA - SP 500.....	Exhibit 5	EQUITY/INDEX	Morgan Stanley & Co International PLC 4PQUHN3JPFGNF3BB653.	.03/18/2013	.12/19/2014	1	250,000	20.60.....	-	-	-			(1,764,721)	(1,764,721)	(533,876)	-	0	585		105
56473, SWAP OTC_EQUIY_VARIANCE_SW AP_VANILLA	VA - SP 500.....	Exhibit 5	EQUITY/INDEX	Societe Generale S.A. O2RNE8IBXP4R0TD8PU41..	.04/02/2013	.12/19/2014	1	75,000	20.7500	-	-	-			(534,448)	(534,448)	(162,653)	-	0	176		105
62845, SWAP OTC_EQUIY_VARIANCE_SW AP_VANILLA	VA - SP 500.....	Exhibit 5	EQUITY/INDEX	Societe Generale S.A. O2RNE8IBXP4R0TD8PU41..	.09/19/2014	.05/15/2015	1	200,000	21.0500	-	(1,318,966)	-			(1,271,831)	(1,271,831)	(19,367)	-	66,502	789		105
71058, SWAP OTC_EQUIY_SWAP_PRICE_RETURN	VA - SP 500.....	Exhibit 5	EQUITY/INDEX	Goldman Sachs International W22LROWP2IHZNBB6K528.	.11/25/2013	.11/13/2014	1	12,712,224	0.58 / 2006.06	-	-	39,040			526,708	526,708	888,092	-	0	22,068		104
71139, SWAP OTC_EQUIY_SWAP_PRICE_RETURN	VA - SP 500.....	Exhibit 5	EQUITY/INDEX	Societe Generale S.A. O2RNE8IBXP4R0TD8PU41..	.11/25/2013	.11/07/2014	1	14,605,637	1.25 / 6930.55	-	-	83,596			640,674	640,674	971,257	-	0	23,563		104
74563, SWAP OTC_EQUIY_SWAP_PRICE_RETURN	VA - SP 500.....	Exhibit 5	EQUITY/INDEX	Societe Generale S.A. O2RNE8IBXP4R0TD8PU41..	.01/24/2014	.02/09/2015	1	963,047	0.53 / 1982.21	-	-	2,464			27,978	27,978	27,978	-	0	2,896		104
79173, SWAP OTC_EQUIY_SWAP_PRICE_RETURN	VA - SP 500.....	Exhibit 5	EQUITY/INDEX	Societe Generale S.A. O2RNE8IBXP4R0TD8PU41..	.04/10/2014	.05/06/2015	1	1,348,266	0.45 / 1979.63	-	-	2,141			37,362	37,362	37,362	-	0	5,210		104
80674, SWAP OTC_EQUIY_VARIANCE_SW AP_VANILLA	VA - SP 500.....	Exhibit 5	EQUITY/INDEX	UBS AG..... BFM8T61CT2L1QCEMIK50..	.05/07/2014	.02/20/2015	1	70,000	17.5500	-	-	-			(255,944)	(255,944)	(255,944)	-	0	219		105
83846, SWAP OTC_EQUIY_VARIANCE_SW AP_VANILLA	VA - SP 500.....	Exhibit 5	EQUITY/INDEX	Morgan Stanley & Co International PLC 4PQUHN3JPFGNF3BB653.	.06/19/2014	.07/17/2015	1	100,000	17.2500	-	-	-			(86,210)	(86,210)	(86,210)	-	0	446		105
84040, SWAP OTC_EQUIY_VARIANCE_SW AP_VANILLA	VA - SP 500.....	Exhibit 5	EQUITY/INDEX	Morgan Stanley & Co International PLC 4PQUHN3JPFGNF3BB653.	.06/23/2014	.12/18/2015	1	50,000	18.2500	-	-	-			(33,672)	(33,672)	(33,672)	-	0	276		105
85096, SWAP OTC_EQUIY_VARIANCE_SW AP_VANILLA	VA - SP 500.....	Exhibit 5	EQUITY/INDEX	JP Morgan Chase Bank 7H6GLXDRUGQU57RNE97.	.07/14/2014	.05/15/2015	1	45,000	16.5700	-	-	-			(24,434)	(24,434)	(24,434)	-	0	177		105
86481, SWAP OTC_EQUIY_SWAP_PRICE_RETURN	VA - SP 500.....	Exhibit 5	EQUITY/INDEX	Goldman Sachs International W22LROWP2IHZNBB6K528.	.08/05/2014	.02/04/2015	1	5,975,033	0.71 / 6766.76	-	-	4,082			114,597	114,597	114,597	-	0	17,622		104
86538, SWAP OTC_EQUIY_SWAP_PRICE_RETURN	VA - SP 500.....	Exhibit 5	EQUITY/INDEX	Goldman Sachs International W22LROWP2IHZNBB6K528.	.08/06/2014	.08/05/2015	1	2,118,704	0.31 / 1919.94	-	-	817			(6,951)	(6,951)	(6,951)	-	0	9,747		104
87569, SWAP OTC_EQUIY_SWAP_PRICE_RETURN	VA - SP 500.....	Exhibit 5	EQUITY/INDEX	Goldman Sachs International W22LROWP2IHZNBB6K528.	.08/19/2014	.11/07/2014	1	1,540,876	1992.25 / 0.16	-	-	(339)			(53,102)	(53,102)	(53,102)	-	0	2,486		104
0949999. Total-Swaps-Hedging Other-Total Return.....										0	(1,318,966)	131,802		XXX	(2,683,992)	863,077	0	66,502	0	86,260	XXX	XXX

QE06

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule /Exhibit Identifier	Type(s) of Risk (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate of Indexed Received (Paid)	Cumulative Prior Year(s) Initial Cost of Premium (Received) Paid	Current Year Initial Cost of Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization) Accretion	Adjustment to Carrying Value of Hedged Items	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
0969999. Total-Swaps-Hedging Other.....									0(1,318,966)131,802(2,683,992)	XXX(2,683,992)863,077066,502086,260XXXXXX
1189999. Total-Swaps-Total Return.....									0(1,318,966)131,802(2,683,992)	XXX(2,683,992)863,077066,502086,260XXXXXX
1209999. Total-Swaps.....									0(1,318,966)131,802(2,683,992)	XXX(2,683,992)863,077066,502086,260XXXXXX
1409999. Total-Hedging Other.....									0(1,318,966)131,802(2,683,992)	XXX(2,683,992)863,077066,502086,260XXXXXX
1449999. TOTAL.....									0(1,318,966)131,802(2,683,992)	XXX(2,683,992)863,077066,502086,260XXXXXX

QE06.1

(a)

Code	Description of Hedged Risk(s)
104	104: Hedges Equity Risk on Minimum Rate Guarantee Liabilities
105	105: Hedges Interest Rate Risk on Minimum Rate Guarantee Liabilities

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
0	

NONE

SCHEDULE DB - PART B - SECTION 1

Futures Contracts Open as of the Current Statement Date

1 Ticker Symbol	2 Number of Contracts	3 Notional Amount	4 Description	5 Description of Item(s) Hedged, Used for Income General or Replicated	6 Schedule/ Exhibit Identifier	7 Type(s) of Risk (a)	8 Date of Maturity or Expiration	9 Exchange	10 Trade Date	11 Transaction Price	12 Reporting Date Price	13 Fair Value	Highly Effective Hedges			18 Cumulative Variation Margin for All Other Hedges	19 Change in Variation Margin Recognized in Current Year	20 Potential Exposure	21 Hedge Effectiveness at Inception and at Quarter-end (b)	22 Value of One (1) Point		
													15 Cumulative Variation Margin	16 Deferred Variation Margin	17 Change in Variation Margin Gain (Loss) Used to Adjust Basis of Hedged Item							
Long Futures																						
Hedging Other																						
HIV4.....	311	15,550	HIV4, HKG, 10/30/2014	Equity Index Hedge	Exhibit 5.	Equity/In dex	10/30/2014	HKG	09/24/2014	22,944.0463	22,820.0000	0	0	0	0	0	(1,928,920)	(1,928,920)	3,604,552	101	50	
SPZ4.....	554	138,500	SPZ4, CME, 12/18/2014	Equity Index Hedge	Exhibit 5.	Equity/In dex	12/18/2014	CME	09/12/2014	1,985.5837	1,965.5000	0	0	0	0	0	(2,781,588)	(2,781,588)	12,742,000	101	250	
VGZ4.....	1,984	19,840	VGZ4, EUX, 12/19/2014	Equity Index Hedge	Exhibit 5.	Equity/In dex	12/19/2014	EUX	09/12/2014	3,214.4601	3,223.0000	0	0	0	0	0	169,432	169,432	5,886,571	101	10	
12829999. Total-Long Futures-Hedging Other													0	0	0	0	0	(4,541,076)	(4,541,076)	22,233,124	XXX	XXX
1329999. Total-Long Futures													0	0	0	0	0	(4,541,076)	(4,541,076)	22,233,124	XXX	XXX
Short Futures																						
Hedging Other																						
SPZ4.....	110	27,500	SPZ4, CME, 12/18/2014	VAGB Hedge	Exhibit 5.	Equity/In dex	12/18/2014	CME	09/11/2014	1,984.4540	1,965.5000	0	0	0	0	0	521,236	521,236	2,530,000	102	250	
13429999. Total-Short Futures-Hedging Other													0	0	0	0	0	521,236	521,236	2,530,000	XXX	XXX
1389999. Total-Short Futures													0	0	0	0	0	521,236	521,236	2,530,000	XXX	XXX
1409999. Total-Hedging Other													0	0	0	0	0	(4,019,840)	(4,019,840)	24,763,124	XXX	XXX
1449999. TOTAL													0	0	0	0	0	(4,019,840)	(4,019,840)	24,763,124	XXX	XXX

QE07

(a)

Code	Description of Hedged Risk(s)
100	Hedges Equity Risk in UL and VA Liabilities with Futures
101	Hedges Equity Risk in UL Liabilities with Futures

(b)

Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period
0	

NONE

Broker Name	Beginning Cash Balance	Cumulative Cash Change	Ending Cash Balance
Brokers			
Total Net Cash Deposits	0	0	0

NONE

Broker Name	Beginning Cash Balance	Cumulative Cash Change	Ending Cash Balance
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QE07.1

NONE

SCHEDULE DB - PART D - SECTION 1

Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

1 Description of Exchange, Counterparty or Central Clearinghouse	2 Master Agreement (Y or N)	3 Credit Support Annex (Y or N)	4 Fair Value of Acceptable Collateral	Book Adjusted Carrying Value			Fair Value			11 Potential Exposure	12 Off-Balance Sheet Exposure	
				5 Contracts With Book Adjusted Carrying Value > 0	6 Contracts With Book Adjusted Carrying Value < 0	7 Exposure Net of Collateral	8 Contracts With Fair Value > 0	9 Contracts With Fair Value < 0	10 Exposure Net of Collateral			
Exchange Traded Derivatives												
0199999. Aggregate Sum of Exchange Traded.....	...XXX.....	...XXX.....XXX.....000000024,763,12424,763,124
NAIC 1 Designation												
Goldman Sachs International.....	W22LROWP2IHZNBB6K528	...Y.....	...Y.....0641,305(60,054)581,251641,305(60,054)581,25151,92451,924
JP Morgan Chase Bank.....	7H6GLXDRUGQU57RNE97	...Y.....	...Y.....00(24,434)00(24,434)01770
Morgan Stanley & Co International PLC.....	4PQUHN3JPFQFN3BB653.	...Y.....	...Y.....00(1,884,603)00(1,884,603)01,3070
Societe Generale S.A.....	O2RNE8IBXP4R0TD8PU41..	...Y.....	...Y.....0706,015(1,806,279)0706,015(1,806,279)032,6330
UBS AG.....	BFM8T61CT2L1QCCEMIK50..	...Y.....	...Y.....00(255,944)00(255,944)02190
0299999. Total NAIC 1 Designation.....			01,347,320(4,031,314)581,2511,347,320(4,031,314)581,25186,26051,924
0999999. Totals.....			01,347,320(4,031,314)581,2511,347,320(4,031,314)581,25124,849,38424,815,048

QE08

SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open as of Current Statement Date

1 Exchange, Counterparty or Central Clearinghouse	2 Type of Asset Pledged	3 CUSIP Identification	4 Description	5 Fair Value	6 Par Value	7 Book Adjusted Carrying Value	8 Maturity Date	9 Type of Margin (I, V or IV)
Collateral Pledged by Reporting Entity								
Morgan Stanley & Co International PLC.....	4PQUHN3JPF3BB653	Corporate.....	4042Q1 AE 7 HSBC BANK USA NA SUBOP.....	354,366	322,000	321,870	.08/24/2020...	IV.....
Morgan Stanley & Co International PLC.....	4PQUHN3JPF3BB653	Corporate.....	191216 AR 1 COCA-COLA COMPANY (THE).....	2,101,701	2,000,000	1,995,983	.11/15/2020...	IV.....
Societe Generale S.A.....	O2RNE8IBXP4R0TD8PU41..	Corporate.....	02209S AL 7 ALTRIA GROUP INC.....	360,812	325,000	367,265	.05/05/2021...	IV.....
Societe Generale S.A.....	O2RNE8IBXP4R0TD8PU41..	Corporate.....	828807 CF 2 SIMON PROPERTY GROUP L.P.....	1,636,084	1,500,000	1,510,002	.03/01/2021...	IV.....
UBS AG.....	BFM8T61CT2L1QCCEMIK50..	Corporate.....	89233P 4C 7 TOYOTA MOTOR CREDIT CORP.....	1,434,019	1,285,000	1,436,055	.06/17/2020...	IV.....
Citibank NA.....	E57ODZWZ7FF32TWEFA76	Treasury.....	912810 RB 6 US TREASURY.....	374,708	400,000	339,902	.05/15/2043...	I.....
Citibank NA.....	E57ODZWZ7FF32TWEFA76	Treasury.....	912810 RC 4 US TREASURY.....	2,356,589	2,200,000	2,164,269	.08/15/2043...	I.....
Citibank NA.....	E57ODZWZ7FF32TWEFA76	Treasury.....	912828 KD 1 US TREASURY.....	6,279,901	6,000,000	5,944,428	.02/15/2019...	I.....
Citibank NA.....	E57ODZWZ7FF32TWEFA76	Treasury.....	912828 VB 3 US TREASURY.....	1,255,888	1,325,000	1,287,030	.05/15/2023...	I.....
Citibank NA.....	E57ODZWZ7FF32TWEFA76	Treasury.....	912810 EQ 7 US TREASURY.....	1,308,720	1,000,000	1,110,476	.08/15/2023...	I.....
Citibank NA.....	E57ODZWZ7FF32TWEFA76	Treasury.....	912828 EE 6 US TREASURY.....	1,553,970	1,500,000	1,498,372	.08/15/2015...	I.....
Citibank NA.....	E57ODZWZ7FF32TWEFA76	Treasury.....	912828 QN 3 US TREASURY.....	5,298,650	5,000,000	5,284,826	.05/15/2021...	I.....
Citibank NA.....	E57ODZWZ7FF32TWEFA76	Treasury.....	912828 MP 2 US TREASURY.....	4,360,960	4,000,000	3,972,038	.02/15/2020...	I.....
Citibank NA.....	E57ODZWZ7FF32TWEFA76	Treasury.....	912828 NT 3 US TREASURY.....	516,920	500,000	504,160	.08/15/2020...	I.....
Citibank NA.....	E57ODZWZ7FF32TWEFA76	Loan-backed and Structured.....	3138A4 A2 1 FNMA.....	5,669,003	5,372,037	5,347,076	.01/01/2041...	I.....
Citibank NA.....	E57ODZWZ7FF32TWEFA76	Loan-backed and Structured.....	31402R RN 1 FNMA.....	473,661	428,330	432,560	.10/01/2035...	I.....
0199999. Totals.....				35,335,952	33,157,368	33,516,313	XXX.....	XXX.....

QE09

SCHEDULE DL - PART 1

SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation /Market Indicator	Fair Value	Book/Adjusted Carrying Value	Maturity Dates
Short-Term Invested Assets (Schedule DA Type)						
02086M K3 7	ALPINE SECURITIZATION.....			999,986	999,986	10/03/2014...
02086M N5 9	ALPINE SECURITIZATION.....			2,997,920	2,997,920	01/05/2015...
06417K PP 8	BANK OF NOVA SCOTIA.....			2,997,099	2,997,099	02/23/2015...
06538C K7 5	mitsubishi UFJ FINANCIAL GROUP.....			3,999,853	3,999,853	10/07/2014...
06740B AX 5	BARCLAYS PLC.....			1,750,000	1,750,000	11/05/2014...
17178C PD 9	CIESCO LLC.....			2,997,187	2,997,187	02/13/2015...
19422D N2 3	COLLATERALIZED COMMERCIAL PAPE.....			1,499,031	1,499,031	01/02/2015...
19422D QC 8	COLLATERALIZED COMMERCIAL PAPE.....			2,496,962	2,496,962	03/12/2015...
40427S K6 5	HSBC HOLDINGS PLC.....			3,999,869	3,999,869	10/06/2014...
5006E1 N2 6	REPUBLIC OF KOREA.....			999,276	999,276	01/02/2015...
6116M3 MC 8	MONSANTO CO.....			2,998,080	2,998,080	12/12/2014...
6117P5 LA 3	MONT BLANC CAPITAL CORP.....			2,999,366	2,999,366	11/10/2014...
65409S N7 9	NIEUW AMSTERDAM REC.....			2,998,530	2,998,530	01/07/2015...
85324U PH 0	STANDARD CHARTERED PLC.....			1,997,837	1,997,837	02/17/2015...
8999999	Total - Short-Term Invested Assets (Schedule DA Type).....			35,730,995	35,730,995	...XXX.....
Cash Equivalents (Schedule E Part 2 Type)						
000000 00 0	BANK OF AMERICA CORP.....			2,000,000	2,000,000	10/01/2014...
000000 00 0	CAFCO LLC.....			2,999,085	2,999,085	12/01/2014...
000000 00 0	CREDIT SUISSE GROUP AG.....			2,275,000	2,275,000	01/09/2015...
000000 00 0	CREDIT SUISSE GROUP AG.....			2,500,000	2,500,000	11/04/2014...
000000 00 0	GOLDMAN SACHS GROUP INC.....			3,000,000	3,000,000	11/10/2014...
000000 00 0	GOTHAM FUNDING CORP.....			2,999,988	2,999,988	10/02/2014...
000000 00 0	MORGAN STANLEY.....			1,500,000	1,500,000	10/31/2014...
000000 00 0	MORGAN STANLEY.....			3,000,000	3,000,000	01/06/2015...
000000 00 0	NORTHEAST UTILITIES.....			2,749,877	2,749,877	10/08/2014...
000000 00 0	PG&E CORPORATION.....			2,000,000	2,000,000	10/01/2014...
000000 00 0	STANDARD CHARTERED PLC.....			1,999,472	1,999,472	11/20/2014...
000000 00 0	US BANCORP.....			1,700,000	1,700,000	02/26/2015...
000000 00 0	WESTAR ENERGY INC.....			1,999,972	1,999,972	10/03/2014...
9199999	Total - Cash Equivalents (Schedule E Part 2 Type).....			30,723,394	30,723,394	...XXX.....
9999999	Totals.....			66,454,389	66,454,389	...XXX.....

General Interrogatories:

- The activity for the year to date: Fair Value \$.....(21,806,937) Book/Adjusted Carrying Value \$.....(21,810,830)
- Average balance for the year to date: Fair Value \$.....100,720,962 Book/Adjusted Carrying Value \$.....100,809,268
- Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
 NAIC 1: \$.....0 NAIC 2: \$.....0 NAIC 3: \$.....0 NAIC 4: \$.....0 NAIC 5: \$.....0 NAIC 6: \$.....0

**SCHEDULE DL - PART 2
SECURITIES LENDING COLLATERAL ASSETS**

Reinvested Collateral Assets Owned Current Statement Date

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation /Market Indicator	Fair Value	Book/Adjusted Carrying Value	Maturity Dates

General Interrogatory:

1. Total activity for the year to date: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0
2. Average balance for the year to date: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0

NONE

WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Open Depositories								
Allfirst.....	Baltimore, Maryland.....	0.000	0	0	(2,651)	(3,842)	(27,234)	XXX..
Bank of America.....	Clearwater, Florida.....	0.000	0	0	27,480	30,710	25,447	XXX..
Bank of America.....	Dallas, Texas.....	0.000	0	0	545	504	506	XXX..
Bank of America.....	Little Rock, Arkansas.....	0.000	0	0	58,573	81,063	72,323	XXX..
Bank of New York.....	New York, New York.....	0.000	0	0	(3,788,044)	(5,030,697)	(4,113,021)	XXX..
Bank One.....	Chicago, Illinois.....	0.000	0	0	(2,537)	0	(248)	XXX..
Bank One.....	Phoenix, Arizona.....	0.000	0	0	502	1,534	2,570	XXX..
Chase Manhattan Bank.....	New York, New York.....	0.000	0	0	2,818,647	2,493,595	2,505,258	XXX..
Citibank Money Market.....	New York, New York.....	0.000	0	0	1	(100,464)	(84,187)	XXX..
First Union National Bank of Florida.....	Jacksonville, Florida.....	0.000	0	0	650,255	949,580	522,712	XXX..
HSBC Bank USA.....	New York, New York.....	0.000	0	0	2,048,272	3,109,687	157,516,548	XXX..
Investors Bank & Trust Co.....	Boston, Massachusetts.....	0.000	0	0	27,166	24,566	25,008	XXX..
M&T Bank.....	Baltimore, Maryland.....	0.000	0	0	282,575	756,468	2,146,099	XXX..
U.S. Bank.....	Cedar Rapids, Iowa.....	0.000	0	0	20,000	20,000	20,000	XXX..
U.S. Bank.....	St. Paul, Minnesota.....	0.000	0	0	247,621	300,352	320,549	XXX..
Wachovia Bank.....	Charlotte, North Carolina.....	0.000	0	0	2,414	1,665	1,480	XXX..
Wells Fargo Bank.....	Minneapolis, Minnesota.....	0.000	0	0	(5,920)	(5,920)	(5,622)	XXX..
0199999. Total Open Depositories.....	XXX	XXX	0	0	2,384,899	2,628,801	158,928,188	XXX..
0399999. Total Cash on Deposit.....	XXX	XXX	0	0	2,384,899	2,628,801	158,928,188	XXX..
0499999. Cash in Company's Office.....	XXX	XXX	XXX	XXX	2,350	2,350	2,350	XXX..
0599999. Total Cash.....	XXX	XXX	0	0	2,387,249	2,631,151	158,930,538	XXX..

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
Sweep Accounts							
CITIBANK MONEY MARKET AMARILLO.....		09/29/2014	0.020	10/01/2014	46,036	.0	.0
CITIBANK MONEY MARKET GENERAL.....		09/30/2014	0.020	10/01/2014	127,709	.1	.0
8499999. Total - Sweep Accounts.....					173,745	.1	.0
8699999. Total - Cash Equivalents.....					173,745	.1	.0

QE13