



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

## ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2013  
OF THE CONDITION AND AFFAIRS OF THE

# American Modern Surplus Lines Insurance Company

NAIC Group Code 0361    0361    NAIC Company Code 12489    Employer's ID Number 20-3901790

Organized under the Laws of \_\_\_\_\_, State of Domicile or Port of Entry \_\_\_\_\_, Ohio \_\_\_\_\_  
Country of Domicile \_\_\_\_\_, United States of America \_\_\_\_\_, Ohio \_\_\_\_\_

Incorporated/Organized 11/28/2005 Commenced Business 09/01/2006

Statutory Home Office \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_  
7000 Midland Blvd. \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_  
(Street and Number) \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_  
(City or Town, State, Country and Zip Code)

Main Administrative Office \_\_\_\_\_ 7000 Midland Blvd.  
(Street and Number)  
Amelia , OH, US 45102-2607 \_\_\_\_\_, \_\_\_\_\_ 800-543-2644-5478  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O.Box 5323, Cincinnati , OH, US 45201-5323  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records \_\_\_\_\_ 7000 Midland Blvd.  
(Street and Number)

Amelia, OH, US 45102, 600-545-2044-5478  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address [www.amig.com](http://www.amig.com)

Statutory Statement Contact Kenneth E. Kuhn, 800-545-2644-0470  
(Name) (Area Code) (Telephone Number)  
kkuhn@amig.com, 513-947-4111  
(E-mail Address) (FAX Number)

## OFFICERS

President / CEO Manuel Zuniga Rios Senior Vice President James Paul Tierney  
Chairman of the Board / Senior Vice President / Treasurer James Edward Hinkle III  
SVP René Gobonya #

## OTHER

#### DIRECTORS OR TRUSTEES

**DIRECTORS OR TRUSTEES**

Rene Gobonya # Charles Schuster Griffith III James Paul Tierney  
James Edward Hinkle III Manuel Zuniga Rios

State of Ohio SS: \_\_\_\_\_  
County of Clermont \_\_\_\_\_

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Manuel Zuniga Rios  
President / CEO

Charles Schuster Griffith III  
Secretary

Kenneth Leo Kuhn  
Vice President / Controller

Subscribed and sworn to before me this  
\_\_\_\_ day of \_\_\_\_\_

- a. Is this an original filing? .....
- b. If no,
  - 1. State the amendment number.....
  - 2. Date filed .....
  - 3. Number of pages attached.....

## ASSETS

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D) .....	.38,428,026	0	.38,428,026	.34,584,718
2. Stocks (Schedule D):				
2.1 Preferred stocks .....	0	0	0	0
2.2 Common stocks .....	0	0	0	0
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens .....	0	0	0	0
3.2 Other than first liens .....	0	0	0	0
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$ encumbrances) .....	0	0	0	0
4.2 Properties held for the production of income (less \$ encumbrances) .....	0	0	0	0
4.3 Properties held for sale (less \$ encumbrances) .....	0	0	0	0
5. Cash (\$ (928,908) , Schedule E - Part 1), cash equivalents (\$ 0 , Schedule E - Part 2) and short-term investments (\$ 4,301,512 , Schedule DA) .....	3,372,605	0	3,372,605	4,754,507
6. Contract loans (including \$ 0 premium notes) .....	0	0	0	0
7. Derivatives (Schedule DB) .....	0	0	0	0
8. Other invested assets (Schedule BA) .....	0	0	0	0
9. Receivable for securities .....	0	0	0	0
10. Securities lending reinvested collateral assets (Schedule DL) .....	0	0	0	0
11. Aggregate write-ins for invested assets .....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	.41,800,631	0	.41,800,631	.39,339,225
13. Title plants less \$ 0 charged off (for Title insurers only) .....	0	0	0	0
14. Investment income due and accrued .....	205,371	0	.205,371	.207,083
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	6,927,290	.1,355	.6,925,935	5,489,584
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ 0 earned but unbilled premiums) .....	124,693	0	.124,693	.160,866
15.3 Accrued retrospective premiums .....	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	1,572,417	0	.1,572,417	0
16.2 Funds held by or deposited with reinsured companies .....	29,602,571	0	.29,602,571	.26,318,331
16.3 Other amounts receivable under reinsurance contracts .....	0	0	0	0
17. Amounts receivable relating to uninsured plans .....	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....	0	0	0	.803,830
18.2 Net deferred tax asset .....	1,883,709	0	.1,883,709	.1,519,472
19. Guaranty funds receivable or on deposit .....	0	0	0	0
20. Electronic data processing equipment and software .....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$ 0 ) .....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates .....	2,408,211	0	.2,408,211	.37,010
24. Health care (\$ 0 ) and other amounts receivable .....	0	0	0	0
25. Aggregate write-ins for other than invested assets .....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	.84,524,893	.1,355	.84,523,538	.73,875,401
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0	0
28. Total (Lines 26 and 27) .....	.84,524,893	.1,355	.84,523,538	.73,875,401
<b>DETAILS OF WRITE-INS</b>				
1101. .....				
1102. .....				
1103. .....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above) .....	0	0	0	0
2501. .....				
2502. .....				
2503. .....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above) .....	0	0	0	0

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Year	2 Prior Year
1. Losses (Part 2A, Line 35, Column 8) .....	6,908,312	7,503,780
2. Reinsurance payable on paid losses and loss adjustment expenses (Schedule F, Part 1, Column 6) .....	2,319,709	2,069,677
3. Loss adjustment expenses (Part 2A, Line 35, Column 9) .....	782,262	731,397
4. Commissions payable, contingent commissions and other similar charges .....	2,316,610	2,351,274
5. Other expenses (excluding taxes, licenses and fees) .....	8,385	7,015
6. Taxes, licenses and fees (excluding federal and foreign income taxes) .....	1,576,545	1,098,235
7.1 Current federal and foreign income taxes (including \$ 0 on realized capital gains (losses)) .....	620,202	0
7.2 Net deferred tax liability .....	0	0
8. Borrowed money \$ 0 and interest thereon \$ 0 .....	0	0
9. Unearned premiums (Part 1A, Line 38, Column 5) (after deducting unearned premiums for ceded reinsurance of \$ 10,010,343 and including warranty reserves of \$ 85,070 and accrued accident and health experience rating refunds including \$ 0 for medical loss ratio rebate per the Public Health Service Act) .....	21,555,499	17,823,135
10. Advance premium .....	0	0
11. Dividends declared and unpaid:		
11.1 Stockholders .....	0	0
11.2 Policyholders .....	0	0
12. Ceded reinsurance premiums payable (net of ceding commissions) .....	6,488,338	5,641,385
13. Funds held by company under reinsurance treaties (Schedule F, Part 3, Column 19) .....	13,744,182	10,135,938
14. Amounts withheld or retained by company for account of others .....	0	0
15. Remittances and items not allocated .....	0	0
16. Provision for reinsurance (including \$ 0 certified) (Schedule F, Part 8) .....	0	0
17. Net adjustments in assets and liabilities due to foreign exchange rates .....	0	0
18. Drafts outstanding .....	0	0
19. Payable to parent, subsidiaries and affiliates .....	1,738,754	490,569
20. Derivatives .....	0	0
21. Payable for securities .....	0	0
22. Payable for securities lending .....	0	0
23. Liability for amounts held under uninsured plans .....	0	0
24. Capital notes \$ 0 and interest thereon \$ 0 .....	0	0
25. Aggregate write-ins for liabilities .....	115,117	134,407
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25) .....	58,173,914	47,986,812
27. Protected cell liabilities .....	0	0
28. Total liabilities (Lines 26 and 27) .....	58,173,914	47,986,812
29. Aggregate write-ins for special surplus funds .....	0	0
30. Common capital stock .....	5,000,000	5,000,000
31. Preferred capital stock .....	0	0
32. Aggregate write-ins for other than special surplus funds .....	0	0
33. Surplus notes .....	0	0
34. Gross paid in and contributed surplus .....	15,000,000	15,000,000
35. Unassigned funds (surplus) .....	6,349,624	5,888,589
36. Less treasury stock, at cost:		
36.1 0 shares common (value included in Line 30 \$ 0) .....	0	0
36.2 0 shares preferred (value included in Line 31 \$ 0) .....	0	0
37. Surplus as regards policyholders (Lines 29 to 35, less 36) (Page 4, Line 39) .....	26,349,624	25,888,589
38. TOTALS (Page 2, Line 28, Col. 3) .....	84,523,538	73,875,401
<b>DETAILS OF WRITE-INS</b>		
2501. Premium Deficiency Reserve .....	95,000	118,000
2502. Unclaimed Funds .....	14,210	16,407
2503. Rating Variance Reserve .....	5,907	0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above) .....	115,117	134,407
2901. .....		
2902. .....		
2903. .....		
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998)(Line 29 above) .....	0	0
3201. .....		
3202. .....		
3203. .....		
3298. Summary of remaining write-ins for Line 32 from overflow page .....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298)(Line 32 above) .....	0	0

**STATEMENT OF INCOME**

	1 Current Year	2 Prior Year
<b>UNDERWRITING INCOME</b>		
1. Premiums earned (Part 1, Line 35, Column 4).....	41,713,546	35,672,658
2. Losses incurred (Part 2, Line 35, Column 7).....	16,116,378	16,041,296
3. Loss adjustment expenses incurred (Part 3, Line 25, Column 1).....	2,149,659	1,910,575
4. Other underwriting expenses incurred (Part 3, Line 25, Column 2).....	23,222,427	18,017,053
5. Aggregate write-ins for underwriting deductions.....	(23,000)	118,000
6. Total underwriting deductions (Lines 2 through 5).....	41,465,464	36,086,924
7. Net income of protected cells.....	0	0
8. Net underwriting gain or (loss) (Line 1 minus Line 6 plus Line 7).....	248,082	(414,266)
<b>INVESTMENT INCOME</b>		
9. Net investment income earned (Exhibit of Net Investment Income, Line 17).....	456,892	420,250
10. Net realized capital gains or (losses) less capital gains tax of \$ .....(53,405) (Exhibit of Capital Gains (Losses) ).....	(99,181)	23,234
11. Net investment gain (loss) (Lines 9 + 10).....	357,711	443,484
<b>OTHER INCOME</b>		
12. Net gain (loss) from agents' or premium balances charged off (amount recovered \$ .....0 amount charged off \$ .....(1,007) ).....	(1,007)	0
13. Finance and service charges not included in premiums.....	3,757	5,327
14. Aggregate write-ins for miscellaneous income.....	0	0
15. Total other income (Lines 12 through 14).....	2,750	5,327
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	608,543	34,545
17. Dividends to policyholders.....	0	0
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	608,543	34,545
19. Federal and foreign income taxes incurred.....	651,841	269,831
20. Net income (Line 18 minus Line 19)(to Line 22).....	(43,298)	(235,286)
<b>CAPITAL AND SURPLUS ACCOUNT</b>		
21. Surplus as regards policyholders, December 31 prior year (Page 4, Line 39, Column 2).....	25,888,589	26,476,800
22. Net income (from Line 20).....	(43,298)	(235,286)
23. Net transfers (to) from Protected Cell accounts.....	0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$ .....18,781	34,879	83,884
25. Change in net unrealized foreign exchange capital gain (loss).....	0	0
26. Change in net deferred income tax.....	383,017	260,446
27. Change in nonadmitted assets (Exhibit of Nonadmitted Assets, Line 28, Col. 3).....	86,437	41,370
28. Change in provision for reinsurance (Page 3, Line 16, Column 2 minus Column 1).....	0	0
29. Change in surplus notes.....	0	0
30. Surplus (contributed to) withdrawn from protected cells.....	0	0
31. Cumulative effect of changes in accounting principles.....	0	0
32. Capital changes:		
32.1 Paid in.....	0	0
32.2 Transferred from surplus (Stock Dividend).....	0	0
32.3 Transferred to surplus.....	0	0
33. Surplus adjustments:		
33.1 Paid in.....	0	0
33.2 Transferred to capital (Stock Dividend).....	0	0
33.3 Transferred from capital.....	0	0
34. Net remittances from or (to) Home Office.....	0	0
35. Dividends to stockholders.....	0	0
36. Change in treasury stock (Page 3, Lines 36.1 and 36.2, Column 2 minus Column 1).....	0	0
37. Aggregate write-ins for gains and losses in surplus.....	0	(738,626)
38. Change in surplus as regards policyholders for the year (Lines 22 through 37).....	461,035	(588,212)
39. Surplus as regards policyholders, December 31 current year (Line 21 plus Line 38) (Page 3, Line 37).....	26,349,624	25,888,589
<b>DETAILS OF WRITE-INS</b>		
0501. Change in Premium Deficiency Reserve .....	(23,000)	118,000
0502. .....	0	0
0503. .....	0	0
0598. Summary of remaining write-ins for Line 5 from overflow page .....	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598)(Line 5 above).....	(23,000)	118,000
1401. .....	0	0
1402. .....	0	0
1403. .....	0	0
1498. Summary of remaining write-ins for Line 14 from overflow page .....	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498)(Line 14 above).....	0	0
3701. Prior period adjustment .....	0	(738,626)
3702. .....	0	0
3703. .....	0	0
3798. Summary of remaining write-ins for Line 37 from overflow page .....	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798)(Line 37 above).....	0	(738,626)

**CASH FLOW**

	1 Current Year	2 Prior Year
<b>Cash from Operations</b>		
1. Premiums collected net of reinsurance .....	44,979,122	43,136,900
2. Net investment income .....	638,680	606,998
3. Miscellaneous income .....	(3,281,490)	(2,826,757)
4. Total (Lines 1 through 3) .....	42,336,312	40,917,141
5. Benefit and loss related payments .....	18,034,232	12,699,955
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	24,853,203	20,798,981
8. Dividends paid to policyholders .....	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ .....	0	(825,595)
10. Total (Lines 5 through 9) .....	42,061,840	34,041,763
11. Net cash from operations (Line 4 minus Line 10) .....	274,472	6,875,378
<b>Cash from Investments</b>		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds .....	10,680,091	18,418,794
12.2 Stocks .....	0	0
12.3 Mortgage loans .....	0	0
12.4 Real estate .....	0	0
12.5 Other invested assets .....	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	200	548
12.7 Miscellaneous proceeds .....	0	10,000
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	10,680,291	18,429,342
13. Cost of investments acquired (long-term only):		
13.1 Bonds .....	14,802,603	25,309,703
13.2 Stocks .....	0	0
13.3 Mortgage loans .....	0	0
13.4 Real estate .....	0	0
13.5 Other invested assets .....	0	0
13.6 Miscellaneous applications .....	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	14,802,603	25,309,703
14. Net increase (decrease) in contract loans and premium notes .....	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14) .....	(4,122,312)	(6,880,361)
<b>Cash from Financing and Miscellaneous Sources</b>		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes .....	0	0
16.2 Capital and paid in surplus, less treasury stock .....	0	0
16.3 Borrowed funds .....	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0
16.5 Dividends to stockholders .....	0	0
16.6 Other cash provided (applied) .....	2,465,938	3,362,747
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6) .....	2,465,938	3,362,747
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(1,381,902)	3,357,764
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year .....	4,754,507	1,396,743
19.2 End of period (Line 18 plus Line 19.1) .....	3,372,605	4,754,507

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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**UNDERWRITING AND INVESTMENT EXHIBIT**

## PART 1 - PREMIUMS EARNED

Line of Business	1 Net Premiums Written per Column 6, Part 1B	2 Unearned Premiums Dec. 31 Prior Year - per Col. 3, Last Year's Part 1	3 Unearned Premiums Dec. 31 Current Year - per Col. 5 Part 1A	4 Premiums Earned During Year (Cols. 1 + 2 - 3)
1. Fire .....	13,344,669	5,312,068	5,975,024	12,681,713
2. Allied lines .....	8,538,756	3,287,109	3,920,219	7,905,646
3. Farmowners multiple peril .....	0	0	0	0
4. Homeowners multiple peril .....	8,794,085	3,874,452	4,792,725	7,875,812
5. Commercial multiple peril .....	1,064,837	141,150	579,159	626,828
6. Mortgage guaranty .....	0	0	0	0
8. Ocean marine .....	671,993	297,759	348,937	620,815
9. Inland marine .....	5,378,284	1,595,255	2,774,654	4,198,885
10. Financial guaranty .....	0	0	0	0
11.1 Medical professional liability - occurrence .....	0	0	0	0
11.2 Medical professional liability - claims-made .....	0	0	0	0
12. Earthquake .....	212,503	94,129	113,839	192,793
13. Group accident and health .....	0	0	0	0
14. Credit accident and health (group and individual) .....	0	0	0	0
15. Other accident and health .....	6,323	(381)	409	5,533
16. Workers' compensation .....	0	0	0	0
17.1 Other liability - occurrence .....	2,152,143	717,429	809,185	2,060,387
17.2 Other liability - claims-made .....	0	0	0	0
17.3 Excess workers' compensation .....	0	0	0	0
18.1 Products liability - occurrence .....	0	0	0	0
18.2 Products liability - claims-made .....	0	0	0	0
19.1, 19.2 Private passenger auto liability .....	1,177,084	603,608	547,599	1,233,093
19.3, 19.4 Commercial auto liability .....	287,136	33	10	287,159
21. Auto physical damage .....	3,771,522	1,773,140	1,601,514	3,943,148
22. Aircraft (all perils) .....	0	0	0	0
23. Fidelity .....	0	0	0	0
24. Surety .....	15,180	4,183	5,156	14,207
26. Burglary and theft .....	0	0	0	0
27. Boiler and machinery .....	0	0	0	0
28. Credit .....	0	0	0	0
29. International .....	0	0	0	0
30. Warranty .....	476	123,712	85,070	39,118
31. Reinsurance - nonproportional assumed property .....	0	0	0	0
32. Reinsurance - nonproportional assumed liability .....	0	0	0	0
33. Reinsurance - nonproportional assumed financial lines .....	0	0	0	0
34. Aggregate write-ins for other lines of business .....	30,919	(511)	1,999	28,409
35. TOTALS .....	45,445,910	17,823,135	21,555,499	41,713,546
<b>DETAILS OF WRITE-INS</b>				
3401. Travel Insurance .....	30,919	(511)	1,999	28,409
3402. .....				
3403. .....				
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above)	30,919	(511)	1,999	28,409

**UNDERWRITING AND INVESTMENT EXHIBIT**

## PART 1A - RECAPITULATION OF ALL PREMIUMS

Line of Business	1 Amount Unearned (Running One Year or Less from Date of Policy) (a)	2 Amount Unearned (Running More Than One Year from Date of Policy) (a)	3 Earned But Unbilled Premium	4 Reserve for Rate Credits and Retrospective Adjustments Based on Experience	5 Total Reserve for Unearned Premiums Cols. 1 + 2 + 3 + 4
1. Fire .....	5,974,444	580	0	0	5,975,024
2. Allied lines .....	3,919,833	386	0	0	3,920,219
3. Farmowners multiple peril .....	0	0	0	0	0
4. Homeowners multiple peril .....	4,737,412	55,313	0	0	4,792,725
5. Commercial multiple peril .....	548,951	30,208	0	0	579,159
6. Mortgage guaranty .....	0	0	0	0	0
8. Ocean marine .....	348,937	0	0	0	348,937
9. Inland marine .....	2,712,509	62,145	0	0	2,774,654
10. Financial guaranty .....	0	0	0	0	0
11.1 Medical professional liability - occurrence .....	0	0	0	0	0
11.2 Medical professional liability - claims-made .....	0	0	0	0	0
12. Earthquake .....	113,839	0	0	0	113,839
13. Group accident and health .....	0	0	0	0	0
14. Credit accident and health (group and individual) .....	0	0	0	0	0
15. Other accident and health .....	409	0	0	0	409
16. Workers' compensation .....	0	0	0	0	0
17.1 Other liability - occurrence .....	809,007	178	0	0	809,185
17.2 Other liability - claims-made .....	0	0	0	0	0
17.3 Excess workers' compensation .....	0	0	0	0	0
18.1 Products liability - occurrence .....	0	0	0	0	0
18.2 Products liability - claims-made .....	0	0	0	0	0
19.1, 19.2 Private passenger auto liability .....	547,599	0	0	0	547,599
19.3, 19.4 Commercial auto liability .....	10	0	0	0	10
21. Auto physical damage .....	1,599,718	1,796	0	0	1,601,514
22. Aircraft (all perils) .....	0	0	0	0	0
23. Fidelity .....	0	0	0	0	0
24. Surety .....	5,156	0	0	0	5,156
26. Burglary and theft .....	0	0	0	0	0
27. Boiler and machinery .....	0	0	0	0	0
28. Credit .....	0	0	0	0	0
29. International .....	0	0	0	0	0
30. Warranty .....	4	85,066	0	0	85,070
31. Reinsurance - nonproportional assumed property .....	0	0	0	0	0
32. Reinsurance - nonproportional assumed liability .....	0	0	0	0	0
33. Reinsurance - nonproportional assumed financial lines .....	0	0	0	0	0
34. Aggregate write-ins for other lines of business .....	1,999	0	0	0	1,999
35. TOTALS .....	21,319,827	235,672	0	0	21,555,499
36. Accrued retrospective premiums based on experience .....					0
37. Earned but unbilled premiums .....					0
38. Balance (Sum of Line 35 through 37) .....					21,555,499
<b>DETAILS OF WRITE-INS</b>					
3401. Travel Insurance .....	1,999	0	0	0	1,999
3402. .....					
3403. .....					
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above) .....	1,999	0	0	0	1,999

(a) State here basis of computation used in each case .....

**UNDERWRITING AND INVESTMENT EXHIBIT**

## PART 1B - PREMIUMS WRITTEN

Line of Business	1 Direct Business (a)	Reinsurance Assumed		Reinsurance Ceded		6 Net Premiums Written Cols. 1+2+3-4-5
		2 From Affiliates	3 From Non-Affiliates	4 To Affiliates	5 To Non-Affiliates	
1. Fire .....	17,401,047	13,344,672	0	17,401,050	0	13,344,669
2. Allied lines .....	12,218,559	8,538,756	0	12,218,559	0	8,538,756
3. Farmowners multiple peril .....	0	0	0	0	0	0
4. Homeowners multiple peril .....	449,035	8,794,085	0	449,035	0	8,794,085
5. Commercial multiple peril .....	3,959	1,064,837	0	3,959	0	1,064,837
6. Mortgage guaranty .....	0	0	0	0	0	0
8. Ocean marine .....	0	671,993	0	0	0	671,993
9. Inland marine .....	6,821	5,378,284	0	6,821	0	5,378,284
10. Financial guaranty .....	0	0	0	0	0	0
11.1 Medical professional liability - occurrence .....	0	0	0	0	0	0
11.2 Medical professional liability - claims-made .....	0	0	0	0	0	0
12. Earthquake .....	(65,352)	212,503	0	(65,352)	0	212,503
13. Group accident and health .....	0	0	0	0	0	0
14. Credit accident and health (group and individual) .....	0	0	0	0	0	0
15. Other accident and health .....	0	.6,323	0	0	0	6,323
16. Workers' compensation .....	0	0	0	0	0	0
17.1 Other liability - occurrence .....	3,542,292	2,152,143	0	3,542,292	0	2,152,143
17.2 Other liability - claims-made .....	0	0	0	0	0	0
17.3 Excess workers' compensation .....	0	0	0	0	0	0
18.1 Products liability - occurrence .....	0	0	0	0	0	0
18.2 Products liability - claims-made .....	0	0	0	0	0	0
19.1, 19.2 Private passenger auto liability .....	0	1,177,084	0	0	0	1,177,084
19.3, 19.4 Commercial auto liability .....	224,785	287,136	0	224,785	0	287,136
21. Auto physical damage .....	224,170	3,771,522	0	224,170	0	3,771,522
22. Aircraft (all perils) .....	0	0	0	0	0	0
23. Fidelity .....	0	0	0	0	0	0
24. Surety .....	0	15,180	0	0	0	15,180
26. Burglary and theft .....	0	0	0	0	0	0
27. Boiler and machinery .....	0	0	0	0	0	0
28. Credit .....	0	0	0	0	0	0
29. International .....	0	0	0	0	0	0
30. Warranty .....	0	476	0	0	0	476
31. Reinsurance - nonproportional assumed property .....	XXX	0	0	0	0	0
32. Reinsurance - nonproportional assumed liability .....	XXX	0	0	0	0	0
33. Reinsurance - nonproportional assumed financial lines .....	XXX	0	0	0	0	0
34. Aggregate write-ins for other lines of business .....	0	30,919	0	0	0	30,919
35. TOTALS .....	34,005,316	45,445,913	0	34,005,319	0	45,445,910
<b>DETAILS OF WRITE-INS</b>						
3401. Travel Insurance .....	0	30,919	0	0	0	30,919
3402. .....						
3403. .....						
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above) .....	0	30,919	0	0	0	30,919

(a) Does the company's direct premiums written include premiums recorded on an installment basis? Yes [ ] No [ X ]

If yes: 1. The amount of such installment premiums \$ ..... 0

2. Amount at which such installment premiums would have been reported had they been reported on an annualized basis \$ ..... 0

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.

## UNDERWRITING AND INVESTMENT EXHIBIT

## PART 2 - LOSSES PAID AND INCURRED

Line of Business	Losses Paid Less Salvage				5	6	7	8
	1 Direct Business	2 Reinsurance Assumed	3 Reinsurance Recovered	4 Net Payments (Cols. 1 + 2 - 3)				
1. Fire .....	3,136,144	3,563,817	3,136,144	3,563,817	1,264,317	1,037,431	3,790,703	29.9
2. Allied lines .....	3,522,114	3,894,844	3,522,114	3,894,844	991,208	1,131,125	3,754,926	47.5
3. Farmowners multiple peril .....	0	0	0	0	0	0	0	0.0
4. Homeowners multiple peril .....	94,924	3,645,768	94,924	3,645,768	1,284,618	1,277,281	3,653,105	46.4
5. Commercial multiple peril .....	13,951	329,895	13,951	329,895	124,243	72,611	381,527	60.9
6. Mortgage guaranty .....	0	0	0	0	0	0	0	0.0
8. Ocean marine .....	0	527,717	0	527,717	104,229	265,143	366,803	59.1
9. Inland marine .....	0	1,841,688	0	1,841,688	545,700	488,056	1,899,333	45.2
10. Financial guaranty .....	0	0	0	0	0	0	0	0.0
11.1 Medical professional liability - occurrence .....	0	0	0	0	0	0	0	0.0
11.2 Medical professional liability - claims-made .....	0	0	0	0	0	0	0	0.0
12. Earthquake .....	0	0	0	0	4,840	0	4,840	2.5
13. Group accident and health .....	0	0	0	0	0	0	0	0.0
14. Credit accident and health (group and individual) .....	0	0	0	0	0	0	0	0.0
15. Other accident and health .....	0	0	0	0	0	0	0	0.0
16. Workers' compensation .....	0	0	0	0	0	0	0	0.0
17.1 Other liability - occurrence .....	125,025	586,807	125,025	586,807	1,309,165	1,714,532	181,440	8.8
17.2 Other liability - claims-made .....	0	0	0	0	0	0	0	0.0
17.3 Excess workers' compensation .....	0	0	0	0	0	0	0	0.0
18.1 Products liability - occurrence .....	0	0	0	0	0	0	0	0.0
18.2 Products liability - claims-made .....	0	0	0	0	0	0	0	0.0
19.1, 19.2 Private passenger auto liability .....	0	651,691	0	651,691	850,440	913,758	588,373	47.7
19.3, 19.4 Commercial auto liability .....	102,468	93,932	102,468	93,932	191,012	155,503	129,440	45.1
21. Auto physical damage .....	62,494	1,505,156	62,494	1,505,156	225,905	437,947	1,293,114	32.8
22. Aircraft (all perils) .....	0	0	0	0	0	0	0	0.0
23. Fidelity .....	0	0	0	0	0	0	0	0.0
24. Surety .....	0	572	0	572	957	(2,605)	4,133	29.1
26. Burglary and theft .....	0	0	0	0	0	0	0	0.0
27. Boiler and machinery .....	0	0	0	0	0	0	0	0.0
28. Credit .....	0	0	0	0	0	0	0	0.0
29. International .....	0	0	0	0	0	0	0	0.0
30. Warranty .....	0	64,217	0	64,217	11,271	12,998	62,490	159.7
31. Reinsurance - nonproportional assumed property .....	XXX	0	0	0	0	0	0	0.0
32. Reinsurance - nonproportional assumed liability .....	XXX	0	0	0	0	0	0	0.0
33. Reinsurance - nonproportional assumed financial lines .....	XXX	0	0	0	0	0	0	0.0
34. Aggregate write-ins for other lines of business .....	0	5,745	0	5,745	406	0	6,151	21.7
35. TOTALS .....	7,057,119	16,711,846	7,057,119	16,711,847	6,908,312	7,503,780	16,116,378	38.6
<b>DETAILS OF WRITE-INS</b>								
3401. Travel Insurance .....	0	5,745	0	5,745	406	0	6,151	21.7
3402. .....								
3403. .....								
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0	0	0	0	0	0.0
3499. Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above) .....	0	5,745	0	5,745	406	0	6,151	21.7

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.

## UNDERWRITING AND INVESTMENT EXHIBIT

## PART 2A - UNPAID LOSSES AND LOSS ADJUSTMENT EXPENSES

Line of Business	Reported Losses				Incurred But Not Reported			8	9
	1 Direct	2 Reinsurance Assumed	3 Deduct Reinsurance Recoverable	4 Net Losses Excl. Incurred But Not Reported (Cols. 1 + 2 - 3)	5 Direct	6 Reinsurance Assumed	7 Reinsurance Ceded		
1. Fire .....	1,313,192	944,332	1,313,192	.944,332	469,503	319,986	469,503	1,264,317	.59,043
2. Allied lines .....	.569,870	716,443	.569,870	.716,443	302,321	274,764	302,321	.991,208	.47,584
3. Farmowners multiple peril .....	0	0	0	0	0	0	0	0	0
4. Homeowners multiple peril .....	2,501	953,561	2,501	.953,561	12,565	331,057	12,565	1,284,618	.193,715
5. Commercial multiple peril .....	0	70,261	0	.70,261	4,019	.53,982	4,019	.124,243	.21,114
6. Mortgage guaranty .....	0	0	0	0	0	0	0	0	0
8. Ocean marine .....	0	48,754	0	.48,754	0	.55,475	0	.104,229	.6,990
9. Inland marine .....	0	297,535	0	.297,535	81	248,165	81	.545,700	.58,041
10. Financial guaranty .....	0	0	0	0	0	0	0	0	0
11.1 Medical professional liability - occurrence .....	0	0	0	0	0	0	0	0	0
11.2 Medical professional liability - claims-made .....	0	0	0	0	0	0	0	0	0
12. Earthquake .....	0	0	0	0	(2,167)	4,840	(2,167)	4,840	.890
13. Group accident and health .....	0	0	0	0	0	0	0	(a)	0
14. Credit accident and health (group and individual) .....	0	0	0	0	0	0	0	0	0
15. Other accident and health .....	0	0	0	0	0	0	0	(a)	0
16. Workers' compensation .....	0	0	0	0	0	0	0	0	0
17.1 Other liability - occurrence .....	.370,154	1,035,978	.370,154	.1,035,978	.309,902	.273,187	.309,902	.1,309,165	.261,171
17.2 Other liability - claims-made .....	0	0	0	0	0	0	0	0	0
17.3 Excess workers' compensation .....	0	0	0	0	0	0	0	0	0
18.1 Products liability - occurrence .....	0	0	0	0	0	0	0	0	0
18.2 Products liability - claims-made .....	0	0	0	0	0	0	0	0	0
19.1, 19.2 Private passenger auto liability .....	0	741,425	0	.741,425	0	109,015	0	.850,440	.93,104
19.3, 19.4 Commercial auto liability .....	646,674	136,473	646,674	.136,473	46,109	.54,539	.46,109	.191,012	.39,336
21. Auto physical damage .....	1,100	252,167	1,100	.252,167	793	(26,262)	.793	.225,905	.162
22. Aircraft (all perils) .....	0	0	0	0	0	0	0	0	0
23. Fidelity .....	0	0	0	0	0	0	0	0	0
24. Surety .....	0	.401	0	.401	0	.556	0	.957	.137
26. Burglary and theft .....	0	0	0	0	0	0	0	0	0
27. Boiler and machinery .....	0	0	0	0	0	0	0	0	0
28. Credit .....	0	0	0	0	0	0	0	0	0
29. International .....	0	0	0	0	0	0	0	0	0
30. Warranty .....	0	.4,408	0	.4,408	0	.6,863	0	.11,271	.975
31. Reinsurance - nonproportional assumed property .....	XXX	0	0	0	XXX	0	0	0	0
32. Reinsurance - nonproportional assumed liability .....	XXX	0	0	0	XXX	0	0	0	0
33. Reinsurance - nonproportional assumed financial lines .....	XXX	0	0	0	XXX	0	0	0	0
34. Aggregate write-ins for other lines of business .....	0	406	0	406	0	0	0	406	0
35. TOTALS .....	2,903,491	5,202,145	2,903,491	5,202,145	1,143,127	1,706,167	1,143,127	6,908,312	782,262
<b>DETAILS OF WRITE-INS</b>									
3401. Travel Insurance .....	0	406	0	406	0	0	0	406	0
3402. .....	.....	.....	.....	.....	.....	.....	.....	.....	.....
3403. .....	.....	.....	.....	.....	.....	.....	.....	.....	.....
3498. Summary of remaining write-ins for Line 34 from overflow page .....	0	0	0	0	0	0	0	0	0
3499. Totals (Lines 3401 thru 3403 plus 3498)(Line 34 above) .....	0	406	0	406	0	0	0	406	0

(a) Including \$ .....0 for present value of life indemnity claims.

**UNDERWRITING AND INVESTMENT EXHIBIT**

## PART 3 - EXPENSES

	1 Loss Adjustment Expenses	2 Other Underwriting Expenses	3 Investment Expenses	4 Total
1. Claim adjustment services:				
1.1 Direct .....	556,527	0	0	556,527
1.2 Reinsurance assumed .....	2,098,795	0	0	2,098,795
1.3 Reinsurance ceded .....	832,361	0	0	832,361
1.4 Net claim adjustment service (1.1 + 1.2 - 1.3) .....	1,822,961	0	0	1,822,961
2. Commission and brokerage:				
2.1 Direct excluding contingent .....	0	10,298,754	0	10,298,754
2.2 Reinsurance assumed, excluding contingent .....	0	23,222,426	0	23,222,426
2.3 Reinsurance ceded, excluding contingent .....	0	17,374,027	0	17,374,027
2.4 Contingent - direct .....	0	3,245,481	0	3,245,481
2.5 Contingent - reinsurance assumed .....	0	0	0	0
2.6 Contingent - reinsurance ceded .....	0	0	0	0
2.7 Policy and membership fees .....	0	0	0	0
2.8 Net commission and brokerage (2.1 + 2.2 - 2.3 + 2.4 + 2.5 - 2.6 + 2.7) .....	0	19,392,634	0	19,392,634
3. Allowances to managers and agents .....	8,601	491,265	0	499,866
4. Advertising .....	0	10,154	0	10,154
5. Boards, bureaus and associations .....	0	973	0	973
6. Surveys and underwriting reports .....	145	154,581	0	154,726
7. Audit of assureds' records .....	0	0	0	0
8. Salary and related items:				
8.1 Salaries .....	144,132	1,060,059	0	1,204,191
8.2 Payroll taxes .....	0	81,095	0	81,095
9. Employee relations and welfare .....	52,006	206,157	0	258,163
10. Insurance .....	0	11,330	0	11,330
11. Directors' fees .....	0	0	0	0
12. Travel and travel items .....	17,918	107,245	0	125,163
13. Rent and rent items .....	9,854	488,166	0	498,020
14. Equipment .....	81,776	152,839	0	234,615
15. Cost or depreciation of EDP equipment and software .....	0	0	0	0
16. Printing and stationery .....	2,106	36,774	0	38,880
17. Postage, telephone and telegraph, exchange and express .....	4,513	130,553	0	135,066
18. Legal and auditing .....	5,647	638,620	56,421	700,688
19. Totals (Lines 3 to 18) .....	326,698	3,569,811	56,421	3,952,930
20. Taxes, licenses and fees:				
20.1 State and local insurance taxes deducting guaranty association credits of \$ .....	0	255,402	0	255,402
20.2 Insurance department licenses and fees .....	0	4,580	0	4,580
20.3 Gross guaranty association assessments .....	0	0	0	0
20.4 All other (excluding federal and foreign income and real estate) .....	0	0	0	0
20.5 Total taxes, licenses and fees (20.1 + 20.2 + 20.3 + 20.4) .....	0	259,982	0	259,982
21. Real estate expenses .....	0	0	0	0
22. Real estate taxes .....	0	0	0	0
23. Reimbursements by uninsured plans .....	0	0	0	0
24. Aggregate write-ins for miscellaneous expenses .....	0	0	0	0
25. Total expenses incurred .....	2,149,659	23,222,427	56,421	(a) 25,428,507
26. Less unpaid expenses - current year .....	782,262	3,907,447	0	4,689,709
27. Add unpaid expenses - prior year .....	731,397	3,456,524	0	4,187,921
28. Amounts receivable relating to uninsured plans, prior year .....	0	0	0	0
29. Amounts receivable relating to uninsured plans, current year .....	0	0	0	0
30. TOTAL EXPENSES PAID (Lines 25 - 26 + 27 - 28 + 29)	2,098,794	22,771,504	56,421	24,926,719
<b>DETAILS OF WRITE-INS</b>				
2401. .....				
2402. .....				
2403. .....				
2498. Summary of remaining write-ins for Line 24 from overflow page .....	0	0	0	0
2499. Totals (Lines 2401 thru 2403 plus 2498)(Line 24 above)	0	0	0	0

(a) Includes management fees of \$ .....0 to affiliates and \$ .....0 to non-affiliates.

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.  
**EXHIBIT OF NET INVESTMENT INCOME**

	1 Collected During Year	2 Earned During Year
1. U.S. Government bonds .....	(a) 381,970	231,621
1.1 Bonds exempt from U.S. tax .....	(a) 0	0
1.2 Other bonds (unaffiliated) .....	(a) 312,219	280,672
1.3 Bonds of affiliates .....	(a) 0	0
2.1 Preferred stocks (unaffiliated) .....	(b) 0	0
2.11 Preferred stocks of affiliates .....	(b) 0	0
2.2 Common stocks (unaffiliated) .....	0	0
2.21 Common stocks of affiliates .....	0	0
3. Mortgage loans .....	(c) 0	0
4. Real estate .....	(d) 0	0
5. Contract loans .....	0	0
6. Cash, cash equivalents and short-term investments .....	(e) 844	1,020
7. Derivative instruments .....	(f) 0	0
8. Other invested assets .....	0	0
9. Aggregate write-ins for investment income .....	0	0
10. Total gross investment income .....	695,033	513,313
11. Investment expenses .....	(g) 56,421	
12. Investment taxes, licenses and fees, excluding federal income taxes .....	(g) 0	
13. Interest expense .....	(h) 0	
14. Depreciation on real estate and other invested assets .....	(i) 0	
15. Aggregate write-ins for deductions from investment income .....	0	
16. Total deductions (Lines 11 through 15) .....		56,421
17. Net investment income (Line 10 minus Line 16) .....		456,892
<b>DETAILS OF WRITE-INS</b>		
0901. ....		
0902. ....		
0903. ....		
0998. Summary of remaining write-ins for Line 9 from overflow page .....	0	0
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above) .....	0	0
1501. ....		
1502. ....		
1503. ....		
1598. Summary of remaining write-ins for Line 15 from overflow page .....	0	0
1599. Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above) .....	0	0

(a) Includes \$ 24,819 accrual of discount less \$ 204,895 amortization of premium and less \$ 23,767 paid for accrued interest on purchases.

(b) Includes \$ 0 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued dividends on purchases.

(c) Includes \$ 0 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued interest on purchases.

(d) Includes \$ 0 for company's occupancy of its own buildings; and excludes \$ 0 interest on encumbrances.

(e) Includes \$ 62 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued interest on purchases.

(f) Includes \$ 0 accrual of discount less \$ 0 amortization of premium.

(g) Includes \$ 0 investment expenses and \$ 0 investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.

(h) Includes \$ 0 interest on surplus notes and \$ 0 interest on capital notes.

(i) Includes \$ 0 depreciation on real estate and \$ 0 depreciation on other invested assets.

**EXHIBIT OF CAPITAL GAINS (LOSSES)**

	1 Realized Gain (Loss) On Sales or Maturity	2 Other Realized Adjustments	3 Total Realized Capital Gain (Loss) (Columns 1 + 2)	4 Change in Unrealized Capital Gain (Loss)	5 Change in Unrealized Foreign Exchange Capital Gain (Loss)
1. U.S. Government bonds .....	(164,501)	0	(164,501)	53,661	0
1.1 Bonds exempt from U.S. tax .....	0	0	0	0	0
1.2 Other bonds (unaffiliated) .....	11,712	0	11,712	0	0
1.3 Bonds of affiliates .....	0	0	0	0	0
2.1 Preferred stocks (unaffiliated) .....	0	0	0	0	0
2.11 Preferred stocks of affiliates .....	0	0	0	0	0
2.2 Common stocks (unaffiliated) .....	0	0	0	0	0
2.21 Common stocks of affiliates .....	0	0	0	0	0
3. Mortgage loans .....	0	0	0	0	0
4. Real estate .....	0	0	0	0	0
5. Contract loans .....	0	0	0	0	0
6. Cash, cash equivalents and short-term investments .....	204	0	204	0	0
7. Derivative instruments .....	0	0	0	0	0
8. Other invested assets .....	0	0	0	0	0
9. Aggregate write-ins for capital gains (losses) .....	0	0	0	0	0
10. Total capital gains (losses) .....	(152,585)	0	(152,585)	53,661	0
<b>DETAILS OF WRITE-INS</b>					
0901. ....					
0902. ....					
0903. ....					
0998. Summary of remaining write-ins for Line 9 from overflow page .....	0	0	0	0	0
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above) .....	0	0	0	0	0

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.  
**EXHIBIT OF NON-ADMITTED ASSETS**

	1 Current Year Total Nonadmitted Assets	2 Prior Year Total Nonadmitted Assets	3 Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D) .....	0	0	0
2. Stocks (Schedule D):			
2.1 Preferred stocks .....	0	0	0
2.2 Common stocks .....	0	0	0
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens .....	0	0	0
3.2 Other than first liens.....	0	0	0
4. Real estate (Schedule A):			
4.1 Properties occupied by the company .....	0	0	0
4.2 Properties held for the production of income.....	0	0	0
4.3 Properties held for sale .....	0	0	0
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA) .....	0	0	0
6. Contract loans .....	0	0	0
7. Derivatives (Schedule DB) .....	0	0	0
8. Other invested assets (Schedule BA) .....	0	0	0
9. Receivables for securities .....	0	0	0
10. Securities lending reinvested collateral assets (Schedule DL) .....	0	0	0
11. Aggregate write-ins for invested assets .....	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	0	0	0
13. Title plants (for Title insurers only) .....	0	0	0
14. Investment income due and accrued .....	0	0	0
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection .....	1,355	87,792	86,437
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due .....	0	0	0
15.3 Accrued retrospective premiums .....	0	0	0
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers .....	0	0	0
16.2 Funds held by or deposited with reinsured companies .....	0	0	0
16.3 Other amounts receivable under reinsurance contracts .....	0	0	0
17. Amounts receivable relating to uninsured plans .....	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....	0	0	0
18.2 Net deferred tax asset .....	0	0	0
19. Guaranty funds receivable or on deposit .....	0	0	0
20. Electronic data processing equipment and software .....	0	0	0
21. Furniture and equipment, including health care delivery assets .....	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....	0	0	0
23. Receivables from parent, subsidiaries and affiliates .....	0	0	0
24. Health care and other amounts receivable .....	0	0	0
25. Aggregate write-ins for other than invested assets .....	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	1,355	87,792	86,437
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
28. Total (Lines 26 and 27) .....	1,355	87,792	86,437
<b>DETAILS OF WRITE-INS</b>			
1101. .....			
1102. .....			
1103. .....			
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above) .....	0	0	0
2501. .....			
2502. .....			
2503. .....			
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above) .....	0	0	0

## NOTES TO FINANCIAL STATEMENTS

### 1) Summary of Significant Accounting Policies

A) The accompanying financial statement of American Modern Surplus Lines Insurance Company (the "Company") has been prepared in conformity with accounting practices prescribed or permitted by the National Association of Insurance Commissioners and the State of Ohio.

B) The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

- C) 1) Short-term investments are stated at amortized cost.
- 2) Investment grade Bonds, defined as bonds with NAIC designation 1 and 2, not backed by other loans are stated at amortized cost using the constant yield method, unless another value is required by the NAIC Capital Markets and Investment Analysis Office. All other bonds not backed by loans are carried at the lower of amortized cost or fair value.
- 3) The Company does not own any common stock.
- 4) The Company does not own any preferred stock.
- 5) The Company has no mortgage loans on real estate.
- 6) Loan-backed and structured securities are stated at either amortized cost or the lower of amortized cost or fair value. Mortgage-backed and asset-backed securities are valued using the retrospective adjustment method, except for any such impaired securities which use the prospective method.
- 7) The Company has no investment in a subsidiary.
- 8) The Company has no investments in joint ventures, partnerships, or limited liability companies.
- 9) The Company currently holds no derivative instruments.
- 10) The Company has no exposures that require the use of anticipated investment income as a factor in the premium deficiency calculation.
- 11) Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are based on assumptions and estimates and, while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability are continually reviewed and any adjustments are reflected in the period determined.
- 12) The Company has a written capitalization policy for prepaid expenses and purchases of items such as electronic data processing equipment, software, furniture, vehicles, other equipment and leasehold improvements. The predefined capitalization thresholds under this policy have not changed from those of the prior year.
- 13) The Company has no pharmaceutical rebate receivables.

### 2) Accounting Changes and Corrections of Errors

The Company adopted the provisions of SSAP 101 *Income Taxes A Replacement of SSAP 10R and SSAP 10*, effective January 1, 2012. SSAP 101 provides new requirements for tax loss contingencies and the calculation and admissibility of deferred tax assets. There is no cumulative adjustment needed for this change as the Company adopted the 3 year option under 10R.

The Company is analyzing certain policies in one of its operating divisions to correct rating variances on policies issued prior to 2012. As a result of correcting these rating variances, as of January 1, 2012, Assets were increased \$398,000, Liabilities were increased \$1,137,000, and Unassigned Funds were decreased \$739,000.

### 3) Business Combinations and Goodwill

The Company has no business combinations or goodwill at this time.

### 4) Discontinued Operations

The Company had no discontinued operations in 2013.

### 5) Investments

A) Mortgage Loans

Not applicable.

## NOTES TO FINANCIAL STATEMENTS

## B) Debt Restructuring

Not applicable.

## C) Reverse Mortgages

Not applicable.

## D) Loan – Backed Securities

Not applicable.

## E) Repurchase Agreements and/or Security Lending Transactions

Not applicable.

## F) Real Estate

Not applicable.

## G) Investments in Low-Income Housing Tax Credits (LIHTC)

Not applicable.

## H) Restricted Assets

Not applicable.

### **6) Joint Ventures, Partnerships and Limited Liability Companies**

The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies.

### **7) Investment Income**

## A) Accrued Investment Income

The Company does not admit investment income due and accrued if amounts are over 90 days past due (180 days for mortgage loans)

## B) Amounts Nonadmitted

Not applicable.

### **8) Derivative Instruments**

The Company currently holds no derivative instruments.

### **9) Income Taxes**

The Company adopted SSAP 101, a replacement of SSAP No. 10R, effective 1/1/12. The 12/31/13 and 12/31/12 balances and related disclosures are calculated and presented pursuant to SSAP 101.

		12/31/13			12/31/12			Change Ordinary	Change Capital	Change Total
		Ordinary	Capital	Total	Ordinary	Capital	Total			
<b>A.</b>	<b>Components of the net deferred income tax asset or net deferred tax liability;</b>									
1	(a) Total gross deferred tax assets	2,020,428	0	2,020,428	1,638,020	0	1,638,020	382,407	0	382,407
	(b) Statutory Valuation Allowance Adjustments	0	0	0	0	0	0	0	0	0
	(c) Adjusted gross deferred tax assets (1a-1b)	2,020,428	0	2,020,428	1,638,020	0	1,638,020	382,407	0	382,407
	(d) Deferred tax assets nonadmitted	0	0	0	0	0	0	0	0	0
	(e) Subtotal net admitted deferred tax assets	2,020,428	0	2,020,428	1,638,020	0	1,638,020	382,407	0	382,407
	(f) Deferred tax liabilities	(3,498)	(133,222)	(136,719)	(4,108)	(114,441)	(118,548)	610	(18,781)	(18,171)
	(g) Net admitted deferred tax assets (net deferred tax liabilities)	2,016,930	(133,222)	1,883,708	1,633,913	(114,441)	1,519,472	383,017	(18,781)	364,236
2	<b>Admission Calculation Components</b>	12/31/13			12/31/12			Change Ordinary	Change Capital	Change Total
	(a) Federal income taxes paid in prior years recoverable through loss carrybacks (11a)	923,193	0	923,193	324,752	0	324,752			
	(b) Adjusted gross DTAs expected to be realized after application of the threshold limitations (Lesser of 11b1 or 11bii)									
1	Adjusted gross DTAs expected to be realized following the balance sheet date (11b1)	N/A	N/A	1,097,234	N/A	N/A	1,313,268	N/A	N/A	(216,034)
2	Adjusted gross DTAs allowed per limitation threshold (11bii)	N/A	N/A	3,684,519	N/A	N/A	3,655,368	N/A	N/A	29,151
	Lesser of (b)1. or (b)2.	1,097,234	0	1,097,234	1,313,268	0	1,313,268	(216,034)	0	(216,034)
(c)	Adjusted gross DTAs offset by gross DTLs (11c)	1	0	1	0	0	0	0	0	0
(d)	Deferred Tax Assets Admitted as the result of application of SSAP No. 101 (Total 2(a)+2(b)+2(c))	2,020,428	0	2,020,428	1,638,020	0	1,638,020	382,407	0	382,407

# NOTES TO FINANCIAL STATEMENTS

3	Disclosure of ratios used for threshold limitation (for 11b);									
	(a) Ratio percentage used to determine recovery period and threshold limitation amount in 2(b)1 above									<u>12/31/13</u>
										556%
	(b) Amount of adjusted capital and surplus used to determine recovery period threshold limitation in 2(b)2 a									
										24,563,463
	24,369,117									
4	Impact of Tax Planning Strategies on the Determination of:									
		<u>12/31/13</u>		<u>12/31/12</u>		<u>Change</u>				
		Ordinary Percentage	Capital Percentage	Total Percentage	Ordinary Percentage	Capital Percentage	Total Percentage	Ordinary Percentage	Capital Percentage	Total Percentage
	(a) Adjusted Gross Deferred Tax Assets (Percentage of Total Adjusted Gross Deferred Tax Assets)									
		See Footnote 2								
		N/A	N/A	0%	N/A	N/A	0%	N/A	N/A	0.00%
	(b) Net Admitted Adjusted Gross Deferred Tax Assets- (Percentage of Total Net Admitted Adjusted Gross Deferred Tax Assets)									
		See Footnote 2								
		N/A	N/A	0%	N/A	N/A	0%	N/A	N/A	0.00%
	(c) The Company's tax-planning strategies do not include the use of reinsurance tax-planning strategies.									
B.	Unrecognized deferred tax liabilities									
	(1) There are no temporary differences for which deferred tax liabilities are not recognized.									
C.	The significant components of income taxes incurred (i.e. current income taxes expenses) and the changes in DTAs and DTLs include;									
1	Current tax expense incurred									
		<u>12/31/13</u>		<u>12/31/12</u>		<u>Change</u>				
	(a) Current year federal tax expense (benefit)- ordinary income									
		659,074	305,014	354,059						
	(b) Current year foreign tax expense (benefit)- ordinary income									
		0	0	0						
	(c) Subtotal									
		659,074	305,014	354,059						
	(d) Current year tax expense (benefit) - net realized capital gains(loses)									
		(53,405)	12,511	(65,916)						
	(e) Utilization of operating loss carry forwards									
		0	0	0						
	(f) Other - prior year adjustments									
		(7,227)	(42,410)	35,183						
	(g) Federal and foreign income taxes incurred									
		<u>598,441</u>	<u>275,114</u>	<u>323,327</u>						
2	Deferred income tax assets and liabilities consist of the following major components:									
		<u>12/31/13</u>		<u>12/31/12</u>		<u>Change</u>				
	Deferred tax assets:									
	(a) <u>Ordinary</u>									
		1,508,885	1,247,619	261,265						
	(1) Unearned premium reserve									
		164,390	0	164,390						
	(2) Contingent liability									
		182,406	179,156	3,256						
	(3) Guaranty fund assessment reserve									
		131,022	180,518	(49,496)						
	(4) Discounting of unpaid losses and lae									
		474	30,727	(30,253)						
	(5) Receivables nonadmitted									
		33,250	0	33,250						
	(6) Premium deficiency reserve									
		Subtotal								
		2,020,428	1,638,020	382,407						
	(b) Statutory Valuation Allowance Adjustment									
		0	0	0						
	(c) Nonadmitted ordinary deferred tax assets									
		0	0	0						
	(d) Admitted ordinary deferred tax assets									
		<u>2,020,428</u>	<u>1,638,020</u>	<u>382,407</u>						
	(e) <u>Capital</u>									
	(1) None									
		Subtotal								
		0	0	0						
	(f) Statutory Valuation Allowance Adjustment									
		0	0	0						
	(g) Nonadmitted capital deferred tax assets									
		0	0	0						
	(h) Admitted capital deferred tax assets									
		0	0	0						
	(i) Admitted deferred tax assets									
		<u>2,020,428</u>	<u>1,638,020</u>	<u>382,407</u>						
3	Deferred tax liabilities:									
	(a) <u>Ordinary</u>									
		0	0	0						
	(1) Agent commissions									
		(3,498)	(4,108)	610						
	(2) Discount on Salvage and Subrogation									
		Subtotal								
		(3,498)	(4,108)	610						
	(b) <u>Capital</u>									
	(1) Unrealized capital gains									
		(133,222)	(114,441)	(18,781)						
		Subtotal								
		(133,222)	(114,441)	(18,781)						
	(c) Deferred tax liabilities									
		(136,719)	(118,548)	(18,171)						
4	Net deferred tax asset (liability)									
		<u>1,883,708</u>	<u>1,519,472</u>	<u>364,236</u>						
	There was no valuation allowance adjustment to gross deferred tax assets as of December 31, 2013 or December 31, 2012.									
	The realization of the deferred tax asset is dependent upon the Company's ability to generate sufficient taxable income in future periods. Based on historical results and the prospects for future current operations, management anticipates that it is more likely than not that future taxable income will be sufficient for the realization the remaining deferred tax assets.									
	The change in the net deferred income taxes is comprised of the following (this analysis is exclusive of the nonadmitted DTAs as the Change in Nonadmitted Assets is reported separately from the Change in Net Deferred Income Taxes in the surplus section of the Annual Statement):									
		<u>12/31/13</u>		<u>12/31/12</u>		<u>Change</u>				
	Total deferred tax assets									
		2,020,428	1,638,020	382,407						
	Total deferred tax liabilities									
		(136,719)	(118,548)	(18,171)						
	Net deferred tax assets/liabilities									
		1,883,								

## NOTES TO FINANCIAL STATEMENTS

**G. Federal or Foreign Federal Income Tax Loss Contingencies**

(1) The Company has no tax loss contingencies for which it is reasonably possible that the total liability will significantly increase within twelve months of the reporting date.

**10) Information Concerning Parent, Subsidiaries, Affiliates, & Other Related Parties**

A, B & C) Not Applicable

D) As of December 31, 2013 American Modern Surplus Lines Insurance Company had a balance due from related parties in the amount of \$ 2,408,212 and a balance due to related parties in the amount of \$ 1,738,754.

E) The Company has no guarantees outstanding which would result in a material contingent liability.

F) The parent company has agreed to provide all necessary services and materials to the Company. The Company reimburses the parent company for the actual cost of providing such services and materials.

G) All outstanding shares of American Modern Surplus Lines Company are owned by American Family Home Insurance Company, an insurance company domiciled in the State of Florida.

H) The Company does not own any shares of stock of the parent company.

I) American Modern Surplus Lines Insurance Company Inc. has no investments in subsidiaries.

J) American Modern Surplus Lines Insurance Company Inc. has no impaired investments in Subsidiary, Controlled and Affiliated Entities.

K & L) Not applicable

**11) Debt**

A) The Company has no capital notes.

B) The Company has no outstanding debt.

**12) Retirement Plans, Deferred Compensation, and Other Postretirement Benefit Plans**

A, B, C, D, E, & F) Not applicable.

G) The Midland-Guardian Company supplies all benefit plan services. The Companies maintain no deferred compensation, retirement plan or any other post-retirement benefit plans and have no legal obligation for these plans. Midland-Guardian Company allocated the cost of benefits to the Companies based on a percentage of salaries. Amounts allocated at December 31, 2013 and 2012 were \$1,238,103 and \$1,142,033, respectively.

**13) Capital and Surplus, Dividend Restrictions, and Quasi-Reorganizations**

A) The company has 1,000 shares of capital stock issued and outstanding as of December 31, 2013 with a par value of \$5,000.00 per share.

B) The Company currently has no issue of preferred stock outstanding.

C) Dividends are restricted based upon the guidelines set forth from the state of Ohio to the amount of earned surplus. Any dividend exceeding the greater of 100% of prior year net income, or 10% of statutory surplus requires prior regulatory approval.

D) Not applicable.

E) No restrictions exist as to the portion of Company profits that may be paid as ordinary dividends to stockholders.

F) No restrictions exist as to the unassigned surplus funds shown in this statement.

G) Not applicable.

H) Not applicable.

I) Not applicable.

## NOTES TO FINANCIAL STATEMENTS

J) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses are \$380,633, less applicable deferred taxes of \$133,222, for a net balance of \$247,411.

K) Not applicable.

L) Not applicable.

M) Not applicable.

### 14) Contingencies

A) The Company has no contingent commitments as of December 31, 2013.

B) The Company receives various state assessments throughout the year but at this time is unable to estimate the possible amounts and the corresponding impact on the financials.

C) The Company does not have any gain contingencies.

D) The Company does not have any claims related extra contractual obligations or bad faith losses to report.

E) Product Warranties - N/A

F) The Company currently has no pending legal proceedings that are beyond the ordinary course of business which could have a material financial effect. See discussion in Note 2.

### 15) Leases

A) Not applicable.

B) Not applicable.

### 16) Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

A) Not applicable.

B) Not applicable.

C) Not applicable.

### 17) Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A) Not applicable.

B) Not applicable.

C) 1) Not applicable.

2) Not applicable.

### 18) Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A) Not applicable.

B) Not applicable.

C) Not applicable.

### 19) Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not applicable.

### 20) Fair Value Measurements

A) Inputs Used for Assets and Liabilities Measured and Reported at Fair Value

1) Items Measured and Reported at Fair Value by Levels 1, 2 and 3.

## **NOTES TO FINANCIAL STATEMENTS**

The Company classifies the assets and liabilities that require measurement of fair value on a recurring basis based on the priority of the observable and market-based sources of data into a three-level fair value hierarchy. The fair value hierarchy gives the highest priority to quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). The three levels of the fair value hierarchy are as follows:

Level 1 - Valuations based on quoted prices in active markets for identical assets or liabilities that the entity has the ability to access.

Level 2 - Valuations based on significant other observable inputs other than those included in Level 1, such as quoted prices for similar assets or liabilities, quoted prices in markets that are not active, or other inputs that are observable or can be corroborated by observable data for substantially the full term of the assets or liabilities.

Level 3 - Valuations based on unobservable inputs such as when observable inputs are not available or inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities. The Company has no assets or liabilities measured at fair value in this category.

The following table presents, for each of the fair value levels, the Company's assets and liabilities that are measured at fair value on a recurring basis as of December 31, 2013:

**Financial assets and liabilities measured at fair value on a recurring basis**

Description	Total 12/31/2013	Level 1	Level 2	Level 3	Total Gains (Losses)
Bonds		-	-	-	-
Preferred stocks		-	-	-	-
Total assets at fair value	-	-	-	-	-
Total liabilities at fair value	-	-	-	-	-

At the end of each reporting period, the Company evaluates whether any event has occurred or circumstances have changed which would cause an instrument to be transferred between Levels 1 and 2. This policy also applies to transfers into or out of Level 3 as stated in paragraph 3 below.

During the current year there were no transfers among Levels 1, 2 and 3.

2) Rollforward of Level 3 items

The Company has no assets or liabilities measured at fair value in the Level 3 category. Therefore no table supplied.

3) Policy on Transfers into and Out of Level 3

At the end of each reporting period, the Company evaluates whether any event has occurred or circumstances have changed which would cause an instrument to be transferred into or out of Level 3. During the current year, no transfers into or out of Level 3 were required.

4) Inputs and Techniques used for Level 2 and Level 3 Fair Values

The Company has no assets or liabilities measured at fair value in the Level 3 category.

For securities whose prices are not available through pricing services or index providers, the prices are obtained from other sources such as broker-dealers or pricing vendors. Debt instruments are valued by index providers, pricing services or broker-dealers using standard market conventions. The market conventions utilize market quotations, market transactions in comparable instruments and various relationships between instruments such as yield to maturity, dollar prices and spread prices in determining value. Cash instruments are priced with either a matrix pricing system that utilizes market yields and spreads, pricing vendors or amortized cost.

## NOTES TO FINANCIAL STATEMENTS

## 5) Derivative Fair Values

Not Applicable

## B) Other Fair Value Disclosures

Not Applicable

## C) Fair Values for All Financial Instruments by Levels 1, 2 and 3

The table below reflects the fair values and admitted values of all admitted assets and liabilities that are financial instruments excluding those accounted for under the equity method (subsidiaries). The fair values are also categorized into the three-level fair value hierarchy as described above.

2013 Type of Financial Instrument	Fair Value	Admitted Value	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
<b>Financial Instruments - Assets</b>						
Bonds	38,110,039	38,428,026	-	38,428,026	-	-
Cash equivalents and short term investments	4,301,512	4,301,512	4,301,512	-	-	-
<b>TOTAL ASSETS</b>	<b>42,411,551</b>	<b>42,729,538</b>	<b>4,301,512</b>	<b>38,428,026</b>	<b>-</b>	<b>-</b>
<b>Financial Instruments - Liabilities</b>						
	-	-	-	-	-	-

## D) Financial Instruments for which Not Practicable to Estimate Fair Values

None

**21) Other Items**

The Company has no extraordinary items or troubled debt restructuring items to report.

Agents' Balances or Uncollected Premiums in course of collections, according to the December 31, 2013 statement, equal \$ 6,925,935. There is no balance due from "Controlled" or "Controlling" Persons and there have been no collections from "Controlled" or "Controlling" Persons within 15 days preceding this statement. Therefore, the Company holds no trust accounts, letters of credit or financial guaranty bonds for securing agents' balances and there is no non-admitted asset related to these transactions.

The Company has no uncollectible asset balances to report and has not received any business interruption insurance recoveries.

The Company has no State Transferable Tax Credits and has no subprime mortgage related risk exposure.

**22) Events Subsequent**

There are no subsequent events that may have a material effect on the financial condition of the Company.

**23) Reinsurance**

A) The Company has no unsecured reinsurance recoverable.

B) The Company has no unsecured reinsurance recoverable currently in dispute.

C) The maximum amount of return commission due to or from reinsurers if all reinsurance contracts were cancelled at December 31, 2013 was:

(1)	ASSUMED		CEDED		NET	
	Premium	Commission	Premium	Commission	Premium	Commission
a. Affiliates	\$21,555,500	\$4,387,391	\$10,010,343	\$3,339,683	\$11,545,157	\$1,407,708
b. All Other	\$0	\$0	\$0	\$0	\$0	\$0
c. TOTAL	\$21,555,500	\$4,387,391	\$10,010,343	\$3,339,683	\$11,545,157	\$1,407,708
d. Direct Unearned Premium Reserve	\$ 10,010,343					

(2) The Company currently has no additional or return commission due to or from reinsurers as a result of contractual obligations that calculate commission based on loss experience or any other profit sharing agreement.

(3) The Company has no protected cell risks.

## NOTES TO FINANCIAL STATEMENTS

- D) The Company has not written off any uncollectible reinsurance during the year.
- E) The Company has not reported in its operations any commutation of reinsurance during the year.
- F) The Company does not have any retroactive reinsurance.
- G) The Company has no reinsurance accounted for as a deposit.
- H) The Company has not entered into any agreements that have qualified to receive P&C Run-off Accounting Treatment.

**24) Retrospectively Rated Contracts & Contracts Subject to Redetermination**

The Company does not have any accrued retrospective premium adjustments.

**25) Change in Incurred Losses and Loss Adjustment Expenses**

Reserves for incurred losses and loss adjustment expenses attributable to insured events of prior years have decreased. The one year decrease is \$1.5 million and is the result of ongoing analysis of recent loss development trends. The majority of the decrease results from the other liability line of business, special property line of business and the auto physical damage line of business. The two year decrease is \$1.8 million. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. The majority of the two year decrease results from the homeowners line of business, special property line of business, and the other liability line of business.

**26) Intercompany Pooling Arrangements**

- A) American Modern Home Insurance Company acts as the lead company in the pooling arrangement. The American Modern Insurance Group affiliates, their NAIC#, and pooling percentages which are reinsured 100% by the lead company are as follows:

American Modern Home Insurance Company	23469	47.5%
American Family Home Insurance Company	23450	27%
American Western Home Insurance Company	35912	9%
American Southern Home Insurance Company	41998	4%
American Modern Select Insurance Company	38652	5%
American Modern Surplus Lines Insurance Company	12489	5%
American Modern Insurance Company of Florida, Inc.	12314	2%
First Marine Insurance Company	42722	0.5%

- B) All lines and types of business are subject to the pooling arrangement.
- C) After assuming 100% of the direct and assumed business from the affiliated members of the pool, the lead company cedes to the non-affiliated reinsurers, and also to affiliated non-pool reinsurers its direct and assumed business in accordance with the terms of the respective reinsurance contracts. The retained business is then ceded to each affiliate according to its pooling percentage.
- D) There are no individual reinsurance agreements that provide a contractual right of direct recovery for any member of the pooling arrangement.
- E) No discrepancies exist on the corresponding assumed and ceded reinsurance schedules of the pool participants.
- F) The majority of ceded transactions with non-affiliated reinsurers, and also to affiliated non-pool reinsurers are done through the lead company after the assumption from the affiliated members of the pool. Therefore, the Provision for Unauthorized Reinsurance liability and the risk of uncollectible reinsurance remains with the lead company.
- G) The amounts due to/(from) the lead entity and all affiliated entities participating in the intercompany pool as of December 31, 2013 are as follows:

American Modern Home Insurance Company	19,180,606
American Family Home Insurance Company	(13,014,242)
American Western Home Insurance Company	(4,439,711)
American Southern Home Insurance Company	6,898,102
American Modern Select Insurance Company	(4,629,062)
American Modern Surplus Lines Insurance Company	(2,847,581)
American Modern Insurance Company of Florida, Inc.	(896,112)
First Marine Insurance Company	(252,000)

## NOTES TO FINANCIAL STATEMENTS

### 27) Structured Settlements

The Company has no structured settlements.

### 28) Health Care Receivables

The Company has no health care receivables.

### 29) Participating Policies

The Company has no participating policies.

### 30) Premium Deficiency Reserves

The Company evaluated the need to record a premium deficiency reserve as of the end of the current year and recorded a decrease in the reserve since December 31, 2012 of \$23,000 for its property and casualty business. This evaluation was completed on 12/31/2013. The reserve is recorded in the aggregate write-in for underwriting deductions. The Company does not anticipate investment income when evaluating the need for premium deficiency reserves.

1. Liability carried for premium deficiency reserves	\$ 95,000
2. Date of the most recent evaluation of this liability	12/31/13
3. Was anticipated investment income utilized in the calculation	No

### 31) High Deductibles

The Company has no high deductibles.

### 32) Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expense

The Company does not discount unpaid losses or loss adjustment expense.

### 33) Asbestos/Environmental Reserves

The Company does not have any exposure relating to asbestos or environmental claims.

### 34) Subscriber Savings Accounts

The Company does not have any subscriber savings accounts.

### 35) Multiple Peril Crop Insurance

The Company does not have any multiple peril crop insurance exposures.

### 36) Financial Guaranty Insurance

The Company does not have any financial guaranty insurance exposures.

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.  
**GENERAL INTERROGATORIES**

**PART 1 - COMMON INTERROGATORIES  
 GENERAL**

1.1	Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? .....	Yes [ <input checked="" type="checkbox"/> ] No [ <input type="checkbox"/> ]				
1.2	If yes, complete Schedule Y, Parts 1, 1A and 2					
1.3	Is the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations? .....	Yes [ <input checked="" type="checkbox"/> ] No [ <input type="checkbox"/> ] N/A [ <input type="checkbox"/> ]				
2.1	State Regulating? .....	Ohio				
2.1	Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? .....	Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]				
2.2	If yes, date of change: .....					
3.1	State as of what date the latest financial examination of the reporting entity was made or is being made. .....	12/31/2009				
3.2	State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .....	12/31/2009				
3.3	State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .....	12/17/2010				
3.4	By what department or departments? .....					
3.4	The Ohio Department of Insurance .....					
3.5	Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? .....	Yes [ <input type="checkbox"/> ] No [ <input type="checkbox"/> ] N/A [ <input checked="" type="checkbox"/> ]				
3.6	Have all of the recommendations within the latest financial examination report been complied with? .....	Yes [ <input type="checkbox"/> ] No [ <input type="checkbox"/> ] N/A [ <input checked="" type="checkbox"/> ]				
4.1	During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:					
4.11	4.11 sales of new business? .....	Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]				
4.12	4.12 renewals? .....	Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]				
4.2	During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:					
4.21	4.21 sales of new business? .....	Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]				
4.22	4.22 renewals? .....	Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]				
5.1	Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? .....	Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]				
5.2	If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.					
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%; padding: 2px;">1 Name of Entity</td> <td style="width: 33%; padding: 2px;">2 NAIC Company Code</td> <td style="width: 33%; padding: 2px;">3 State of Domicile</td> </tr> </table>		1 Name of Entity	2 NAIC Company Code	3 State of Domicile		
1 Name of Entity	2 NAIC Company Code	3 State of Domicile				
6.1	Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? .....	Yes [ <input type="checkbox"/> ] No [ <input checked="" type="checkbox"/> ]				
6.2	If yes, give full information: .....					
7.1	Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity? .....	Yes [ <input checked="" type="checkbox"/> ] No [ <input type="checkbox"/> ]				
7.2	If yes,					
7.21	7.21 State the percentage of foreign control; .....	100.0				
7.22	7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).	%				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; padding: 2px;">1 Nationality</td> <td style="width: 50%; padding: 2px;">2 Type of Entity</td> </tr> <tr> <td>Germany .....</td> <td>Corporation .....</td> </tr> </table>		1 Nationality	2 Type of Entity	Germany .....	Corporation .....	
1 Nationality	2 Type of Entity					
Germany .....	Corporation .....					

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.  
**GENERAL INTERROGATORIES**

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [ X ]  
 8.2 If response to 8.1 is yes, please identify the name of the bank holding company. ....  
 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [ X ]  
 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?  
 KPMG LLP  
 191 West Nationwide Blvd.  
 Suite 500  
 Columbus, OH 43215-2568

10.1 Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation? ..... Yes [ ] No [ X ]  
 10.2 If the response to 10.1 is yes, provide information related to this exemption: ....  
 10.3 Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 17A of the Model Regulation, or substantially similar state law or regulation? ..... Yes [ ] No [ X ]  
 10.4 If the response to 10.3 is yes, provide information related to this exemption: ....  
 10.5 Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws? ..... Yes [ X ] No [ ] N/A [ ]  
 10.6 If the response to 10.5 is no or n/a, please explain: ....  
 11. What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?  
 Jeffery J. Scott  
 Mercer Oliver Wyman Actuarial Consulting, Inc.  
 10 W. Broad Street  
 Suite 1100  
 Columbus, OH 43215-3475 .....  
 12.1 Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly? ..... Yes [ ] No [ X ]  
 12.11 Name of real estate holding company .....  
 12.12 Number of parcels involved ..... 0  
 12.13 Total book/adjusted carrying value ..... \$ 0  
 12.2 If, yes provide explanation: ....  
 13. **FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:**  
 13.1 What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?  
 13.2 Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located? ..... Yes [ ] No [ ]  
 13.3 Have there been any changes made to any of the trust indentures during the year? ..... Yes [ ] No [ ]  
 13.4 If answer to (13.3) is yes, has the domiciliary or entry state approved the changes? ..... Yes [ ] No [ ] N/A [ X ]  
 14.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [ X ] No [ ]  
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
 (c) Compliance with applicable governmental laws, rules and regulations;  
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
 (e) Accountability for adherence to the code.  
 14.11 If the response to 14.1 is No, please explain: ....  
 14.2 Has the code of ethics for senior managers been amended? ..... Yes [ ] No [ X ]  
 14.21 If the response to 14.2 is yes, provide information related to amendment(s). ....  
 14.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [ X ]  
 14.31 If the response to 14.3 is yes, provide the nature of any waiver(s). ....

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.  
**GENERAL INTERROGATORIES**

15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? ..... Yes [ ] No [ X ]

15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

**BOARD OF DIRECTORS**

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? ..... Yes [ X ] No [ ]

17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? ..... Yes [ X ] No [ ]

18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person? ..... Yes [ X ] No [ ]

**FINANCIAL**

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? ..... Yes [ ] No [ X ]

20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):

20.11 To directors or other officers.....	\$ ..... 0
20.12 To stockholders not officers.....	\$ ..... 0
20.13 Trustees, supreme or grand (Fraternal Only).....	\$ ..... 0

20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):

20.21 To directors or other officers.....	\$ ..... 0
20.22 To stockholders not officers.....	\$ ..... 0
20.23 Trustees, supreme or grand (Fraternal Only).....	\$ ..... 0

21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? ..... Yes [ ] No [ X ]

21.2 If yes, state the amount thereof at December 31 of the current year:

21.21 Rented from others.....	\$ ..... 0
21.22 Borrowed from others.....	\$ ..... 0
21.23 Leased from others .....	\$ ..... 0
21.24 Other .....	\$ ..... 0

22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? ..... Yes [ ] No [ X ]

22.2 If answer is yes:

22.21 Amount paid as losses or risk adjustment \$ .....	0
22.22 Amount paid as expenses .....	\$ ..... 0
22.23 Other amounts paid .....	\$ ..... 0

23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? ..... Yes [ X ] No [ ]

23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ..... \$ ..... 0

**INVESTMENT**

24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03)..... Yes [ ] No [ X ]

24.02 If no, give full and complete information relating thereto  
BONDS ON DEPOSIT WITH VARIOUS STATE AND REGULATORY BODIES ARE DESCRIBED IN SCHEDULE E PART 3.

24.03 For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)

24.04 Does the Company's security lending program meet the requirements for a conforming program as outlined in the Risk-Based Capital Instructions? ..... Yes [ ] No [ ] N/A [ X ]

24.05 If answer to 24.04 is yes, report amount of collateral for conforming programs. .... \$ ..... 0

24.06 If answer to 24.04 is no, report amount of collateral for other programs. .... \$ ..... 0

24.07 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? ..... Yes [ ] No [ ] N/A [ X ]

24.08 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? ..... Yes [ ] No [ ] N/A [ X ]

24.09 Does the reporting entity or the reporting entity's securities lending agent utilize the Master Securities Lending Agreement (MSLA) to conduct securities lending? ..... Yes [ ] No [ ] N/A [ X ]

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.  
**GENERAL INTERROGATORIES**

24.10 For the reporting entity's security lending program state the amount of the following as December 31 of the current year:

24.101 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....	\$ ..... 0
24.102 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....	\$ ..... 0
24.103 Total payable for securities lending reported on the liability page .....	\$ ..... 0

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03). ..... Yes [  ] No [  ]

25.2 If yes, state the amount thereof at December 31 of the current year:

25.21 Subject to repurchase agreements .....	\$ ..... 0
25.22 Subject to reverse repurchase agreements .....	\$ ..... 0
25.23 Subject to dollar repurchase agreements .....	\$ ..... 0
25.24 Subject to reverse dollar repurchase agreements .....	\$ ..... 0
25.25 Pledged as collateral .....	\$ ..... 0
25.26 Placed under option agreements .....	\$ ..... 0
25.27 Letter stock or other securities restricted as to sale .....	\$ ..... 2,895,292
25.29 Other .....	\$ ..... 0

25.3 For category (25.27) provide the following:

1 Nature of Restriction	2 Description	3 Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? ..... Yes [  ] No [  ]

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [  ] No [  ] N/A [  ] If no, attach a description with this statement.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? ..... Yes [  ] No [  ]

27.2 If yes, state the amount thereof at December 31 of the current year. .... \$ ..... 0

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? ..... Yes [  ] No [  ]

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
STATE STREET BANK & TRUST COMPANY .....	801 PENNSYLVANIA AVE, KANSAS CITY, MO 64105 .....

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year? ..... Yes [  ] No [  ]

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

28.05 Identify all investment advisors, brokers/dealers or individuals acting on behalf of brokers/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository Number(s)	2 Name	3 Address
112020 .....	MEAG NEW YORK CORPORATION .....	540 MADISON AVE, 6TH FL, NEW YORK, NY 10022 .....

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.  
**GENERAL INTERROGATORIES**

29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? Yes [ ] No [ X ]  
 29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
29.2999 - Total		0

29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1 Statement (Admitted) Value	2 Fair Value	3 Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds .....	42,729,538	.42,411,551	(317,987)
30.2 Preferred stocks .....	0	0	0
30.3 Totals	42,729,538	42,411,551	(317,987)

30.4 Describe the sources or methods utilized in determining the fair values:

FAIR VALUES ARE BASED ON QUOTED MARKET PRICES BY INDEPENDENT SECURITIES DEALERS, PRICING SERVICES AND INDEX PROVIDERS WHERE AVAILABLE. ....

31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? ..... Yes [ X ] No [ ]  
 31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? ..... Yes [ ] No [ X ]  
 31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:  
 Generally, prices for securities are obtained from pricing services or index providers, prices and/or spreads are obtained from other sources, i.e. broker-dealers or pricing vendors. Debt instruments are valued by broker-dealers, pricing services or index providers using standard market conventions. The market conventions utilize market quotations, market transactions in comparable instruments and various relationships between instruments, such as yield to maturity, dollar prices and spread prices in determining value. Cash instruments are priced with a matrix pricing system that utilizes market yields and spreads, pricing vendors or amortized cost.

32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? ..... Yes [ X ] No [ ]  
 32.2 If no, list exceptions:  
 ....

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.  
**GENERAL INTERROGATORIES**

**OTHER**

33.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any? .....\$ .....0

33.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid

34.1 Amount of payments for legal expenses, if any? .....\$ .....167,529

34.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid
Forst Brown Todd, LLC .....145,248	

35.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any? .....\$ .....0

35.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.  
**GENERAL INTERROGATORIES**

**PART 2 - PROPERTY AND CASUALTY INTERROGATORIES**

1.1	Does the reporting entity have any direct Medicare Supplement Insurance in force? .....	Yes [ ] No [ X ]			
1.2	If yes, indicate premium earned on U. S. business only. ....	\$ 0			
1.3	What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit? .....	\$ 0			
1.31	Reason for excluding .....				
1.4	Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above. ....	\$ 0			
1.5	Indicate total incurred claims on all Medicare Supplement Insurance. ....	\$ 0			
1.6	Individual policies:	Most current three years: 1.61 Total premium earned ..... \$ 0 1.62 Total incurred claims ..... \$ 0 1.63 Number of covered lives ..... 0			
		All years prior to most current three years 1.64 Total premium earned ..... \$ 0 1.65 Total incurred claims ..... \$ 0 1.66 Number of covered lives ..... 0			
1.7	Group policies:	Most current three years: 1.71 Total premium earned ..... \$ 0 1.72 Total incurred claims ..... \$ 0 1.73 Number of covered lives ..... 0			
		All years prior to most current three years 1.74 Total premium earned ..... \$ 0 1.75 Total incurred claims ..... \$ 0 1.76 Number of covered lives ..... 0			
2.	Health Test:	<table border="0"> <tr> <td style="width: 150px;"></td> <td style="width: 100px; text-align: center;">1 Current Year</td> <td style="width: 100px; text-align: center;">2 Prior Year</td> </tr> </table>		1 Current Year	2 Prior Year
	1 Current Year	2 Prior Year			
2.1	Premium Numerator .....	0 .....			
2.2	Premium Denominator .....	41,713,546 .....			
2.3	Premium Ratio (2.1/2.2) .....	.000 .....			
2.4	Reserve Numerator .....	.409 .....			
2.5	Reserve Denominator .....	31,565,781 .....			
2.6	Reserve Ratio (2.4/2.5) .....	.000 .....			
3.1	Does the reporting entity issue both participating and non-participating policies? .....	Yes [ ] No [ X ]			
3.2	If yes, state the amount of calendar year premiums written on: .....	3.21 Participating policies ..... \$ 0 3.22 Non-participating policies ..... \$ 0			
4.	For mutual reporting Entities and Reciprocal Exchanges Only:				
4.1	Does the reporting entity issue assessable policies? .....	Yes [ ] No [ X ]			
4.2	Does the reporting entity issue non-assessable policies? .....	Yes [ ] No [ X ]			
4.3	If assessable policies are issued, what is the extent of the contingent liability of the policyholders? .....	% 0.0			
4.4	Total amount of assessments paid or ordered to be paid during the year on deposit notes or contingent premiums. ....	\$ 0			
5.	For Reciprocal Exchanges Only:				
5.1	Does the Exchange appoint local agents? .....	Yes [ ] No [ ]			
5.2	If yes, is the commission paid: .....	5.21 Out of Attorney's-in-fact compensation..... Yes [ ] No [ ] N/A [ ] 5.22 As a direct expense of the exchange..... Yes [ ] No [ ] N/A [ ]			
5.3	What expenses of the Exchange are not paid out of the compensation of the Attorney-in-fact? .....				
5.4	Has any Attorney-in-fact compensation, contingent on fulfillment of certain conditions, been deferred? .....	Yes [ ] No [ ]			
5.5	If yes, give full information .....				

## GENERAL INTERROGATORIES

### PART 2 - PROPERTY AND CASUALTY INTERROGATORIES

6.1 What provision has this reporting entity made to protect itself from an excessive loss in the event of a catastrophe under a workers' compensation contract issued without limit of loss?  
Company has reinsured 100% of its workers' compensation risk with an affiliated reinsurer, Munich Reinsurance America, Inc. ....

6.2 Describe the method used to estimate this reporting entity's probable maximum insurance loss, and identify the type of insured exposures comprising that probable maximum loss, the locations of concentrations of those exposures and the external resources (such as consulting firms or computer software models), if any, used in the estimation process.  
The company uses software developed by RMS and is also party to a catastrophic reinsurance program. ....

6.3 What provision has this reporting entity made (such as a catastrophic reinsurance program) to protect itself from an excessive loss arising from the types and concentrations of insured exposures comprising its probable maximum property insurance loss?  
The company carries catastrophic reinsurance protection. ....

6.4 Does the reporting entity carry catastrophe reinsurance protection for at least one reinstatement, in an amount sufficient to cover its estimated probable maximum loss attributable to a single loss event or occurrence? .... Yes [ X ] No [ ]

6.5 If no, describe any arrangements or mechanisms employed by the reporting entity to supplement its catastrophe reinsurance program or to hedge its exposure to unreinsured catastrophic loss.  
.....

7.1 Has this reporting entity reinsured any risk with any other entity under a quota share reinsurance contract that includes a provision that would limit the reinsurer's losses below the stated quota share percentage (e.g., a deductible, a loss ratio corridor, a loss ratio cap, an aggregate limit or any similar provisions)? .... Yes [ ] No [ X ]

7.2 If yes, indicate the number of reinsurance contracts containing such provisions: ..... 0

7.3 If yes, does the amount of reinsurance credit taken reflect the reduction in quota share coverage caused by any applicable limiting provision(s)? .... Yes [ ] No [ ]

8.1 Has this reporting entity reinsured any risk with any other entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on this risk, or portion thereof, reinsured? .... Yes [ ] No [ X ]

8.2 If yes, give full information  
.....

9.1 Has the reporting entity ceded any risk under any reinsurance contract (or under multiple contracts with the same reinsurer or its affiliates) for which during the period covered by the statement: (i) it recorded a positive or negative underwriting result greater than 5% of prior year-end surplus as regards policyholders or it reported calendar year written premium ceded or year-end loss and loss expense reserves ceded greater than 5% of prior year-end surplus as regards policyholders; (ii) it accounted for that contract as reinsurance and not as a deposit; and (iii) the contract(s) contain one or more of the following features or other features that would have similar results:  
(a) A contract term longer than two years and the contract is noncancelable by the reporting entity during the contract term;  
(b) A limited or conditional cancellation provision under which cancellation triggers an obligation by the reporting entity, or an affiliate of the reporting entity, to enter into a new reinsurance contract with the reinsurer, or an affiliate of the reinsurer;  
(c) Aggregate stop loss reinsurance coverage;  
(d) A unilateral right by either party (or both parties) to commute the reinsurance contract, whether conditional or not, except for such provisions which are only triggered by a decline in the credit status of the other party;  
(e) A provision permitting reporting of losses, or payment of losses, less frequently than on a quarterly basis (unless there is no activity during the period); or  
(f) Payment schedule, accumulating retentions from multiple years or any features inherently designed to delay timing of the reimbursement to the ceding entity. .... Yes [ ] No [ X ]

9.2 Has the reporting entity during the period covered by the statement ceded any risk under any reinsurance contract (or under multiple contracts with the same reinsurer or its affiliates), for which, during the period covered by the statement, it recorded a positive or negative underwriting result greater than 5% of prior year-end surplus as regards policyholders or it reported calendar year written premium ceded or year-end loss and loss expense reserves ceded greater than 5% of prior year-end surplus as regards policyholders; excluding cessions to approved pooling arrangements or to captive insurance companies that are directly or indirectly controlling, controlled by, or under common control with (i) one or more unaffiliated policyholders of the reporting entity, or (ii) an association of which one or more unaffiliated policyholders of the reporting entity is a member where:  
(a) The written premium ceded to the reinsurer by the reporting entity or its affiliates represents fifty percent (50%) or more of the entire direct and assumed premium written by the reinsurer based on its most recently available financial statement; or  
(b) Twenty-five percent (25%) or more of the written premium ceded to the reinsurer has been retroceded back to the reporting entity or its affiliates in a separate reinsurance contract. .... Yes [ ] No [ X ]

9.3 If yes to 9.1 or 9.2, please provide the following information in the Reinsurance Summary Supplemental Filing for General Interrogatory 9:  
(a) The aggregate financial statement impact gross of all such ceded reinsurance contracts on the balance sheet and statement of income;  
(b) A summary of the reinsurance contract terms and indicate whether it applies to the contracts meeting the criteria in 9.1 or 9.2; and  
(c) A brief discussion of management's principle objectives in entering into the reinsurance contract including the economic purpose to be achieved.

9.4 Except for transactions meeting the requirements of paragraph 32 of SSAP No. 62R, Property and Casualty Reinsurance, has the reporting entity ceded any risk under any reinsurance contract (or multiple contracts with the same reinsurer or its affiliates) during the period covered by the financial statement, and either:  
(a) Accounted for that contract as reinsurance (either prospective or retroactive) under statutory accounting principles ("SAP") and as a deposit under generally accepted accounting principles ("GAAP"); or  
(b) Accounted for that contract as reinsurance under GAAP and as a deposit under SAP? .... Yes [ ] No [ X ]

9.5 If yes to 9.4, explain in the Reinsurance Summary Supplemental Filing for General Interrogatory 9 (Section D) why the contract(s) is treated differently for GAAP and SAP.

9.6 The reporting entity is exempt from the Reinsurance Attestation Supplement under one or more of the following criteria:  
(a) The entity does not utilize reinsurance; or ..... Yes [ ] No [ X ]  
(b) The entity only engages in a 100% quota share contract with an affiliate and the affiliated or lead company has filed an attestation supplement; or ..... Yes [ ] No [ X ]  
(c) The entity has no external cessions and only participates in an intercompany pool and the affiliated or lead company has filed an attestation supplement. .... Yes [ ] No [ X ]

10. If the reporting entity has assumed risks from another entity, there should be charged on account of such reinsurance a reserve equal to that which the original entity would have been required to charge had it retained the risks. Has this been done? .... Yes [ X ] No [ ] N/A [ ]

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.  
**GENERAL INTERROGATORIES**

**PART 2 - PROPERTY AND CASUALTY INTERROGATORIES**

11.1 Has the reporting entity guaranteed policies issued by any other entity and now in force? ..... Yes [ ] No [ X ]

11.2 If yes, give full information  
.....

12.1 If the reporting entity recorded accrued retrospective premiums on insurance contracts on Line 15.3 of the asset schedule, Page 2, state the amount of corresponding liabilities recorded for:  
 12.11 Unpaid losses ..... \$ 0  
 12.12 Unpaid underwriting expenses (including loss adjustment expenses) ..... \$ 0

12.2 Of the amount on Line 15.3, Page 2, state the amount which is secured by letters of credit, collateral, and other funds ..... \$ 0

12.3 If the reporting entity underwrites commercial insurance risks, such as workers' compensation, are premium notes or promissory notes accepted from its insureds covering unpaid premiums and/or unpaid losses? ..... Yes [ ] No [ X ] N/A [ ]

12.4 If yes, provide the range of interest rates charged under such notes during the period covered by this statement:  
 12.41 From ..... 0.0 %  
 12.42 To ..... 0.0 %

12.5 Are letters of credit or collateral and other funds received from insureds being utilized by the reporting entity to secure premium notes or promissory notes taken by a reporting entity, or to secure any of the reporting entity's reported direct unpaid loss reserves, including unpaid losses under loss deductible features of commercial policies? ..... Yes [ ] No [ X ]

12.6 If yes, state the amount thereof at December 31 of the current year:  
 12.61 Letters of credit ..... \$ 0  
 12.62 Collateral and other funds ..... \$ 0

13.1 Largest net aggregate amount insured in any one risk (excluding workers' compensation): ..... \$ 50,000

13.2 Does any reinsurance contract considered in the calculation of this amount include an aggregate limit of recovery without also including a reinstatement provision? ..... Yes [ X ] No [ ]

13.3 State the number of reinsurance contracts (excluding individual facultative risk certificates, but including facultative programs, automatic facilities or facultative obligatory contracts) considered in the calculation of the amount. ..... 1

14.1 Is the company a cedant in a multiple cedant reinsurance contract? ..... Yes [ X ] No [ ]

14.2 If yes, please describe the method of allocating and recording reinsurance among the cedants:  
There is a pooling agreement between the property and casualty companies parented by The American Modern Insurance Group. Within this agreement each company cedes all business to the lead company, American Modern Home Insurance Company, Inc. American Modern Home then cedes 5% to American Modern Surplus Lines Insurance Company, keeping 47.5% for itself and ceding the remaining percentage of all business to each of the remaining companies. ....

14.3 If the answer to 14.1 is yes, are the methods described in item 14.2 entirely contained in the respective multiple cedant reinsurance contracts? ..... Yes [ X ] No [ ]

14.4 If the answer to 14.3 is no, are all the methods described in 14.2 entirely contained in written agreements? ..... Yes [ ] No [ ]

14.5 If the answer to 14.4 is no, please explain:  
.....

15.1 Has the reporting entity guaranteed any financed premium accounts? ..... Yes [ ] No [ X ]

15.2 If yes, give full information  
.....

16.1 Does the reporting entity write any warranty business? ..... Yes [ ] No [ X ]  
If yes, disclose the following information for each of the following types of warranty coverage:

	1 Direct Losses Incurred	2 Direct Losses Unpaid	3 Direct Written Premium	4 Direct Premium Unearned	5 Direct Premium Earned
16.11 Home	0	0	0	0	0
16.12 Products	0	0	0	0	0
16.13 Automobile	0	0	0	0	0
16.14 Other*	0	0	0	0	0

\* Disclose type of coverage:  
.....

**GENERAL INTERROGATORIES****PART 2 - PROPERTY AND CASUALTY INTERROGATORIES**

17.1 Does the reporting entity include amounts recoverable on unauthorized reinsurance in Schedule F - Part 3 that it excludes from Schedule F - Part 5? ..... Yes [ ] No [ X ]

Incurred but not reported losses on contracts in force prior to July 1, 1984, and not subsequently renewed are exempt from inclusion in Schedule F - Part 5. Provide the following information for this exemption:

17.11 Gross amount of unauthorized reinsurance in Schedule F - Part 3 excluded from Schedule F - Part 5 .....	\$ ..... 0
17.12 Unfunded portion of Interrogatory 17.11 .....	\$ ..... 0
17.13 Paid losses and loss adjustment expenses portion of Interrogatory 17.11 .....	\$ ..... 0
17.14 Case reserves portion of Interrogatory 17.11 .....	\$ ..... 0
17.15 Incurred but not reported portion of Interrogatory 17.11 .....	\$ ..... 0
17.16 Unearned premium portion of Interrogatory 17.11 .....	\$ ..... 0
17.17 Contingent commission portion of Interrogatory 17.11 .....	\$ ..... 0

Provide the following information for all other amounts included in Schedule F - Part 3 and excluded from Schedule F - Part 5, not included above.

17.18 Gross amount of unauthorized reinsurance in Schedule F - Part 3 excluded from Schedule F - Part 5 .....	\$ ..... 0
17.19 Unfunded portion of Interrogatory 17.18 .....	\$ ..... 0
17.20 Paid losses and loss adjustment expenses portion of Interrogatory 17.18 .....	\$ ..... 0
17.21 Case reserves portion of Interrogatory 17.18 .....	\$ ..... 0
17.22 Incurred but not reported portion of Interrogatory 17.18 .....	\$ ..... 0
17.23 Unearned premium portion of Interrogatory 17.18 .....	\$ ..... 0
17.24 Contingent commission portion of Interrogatory 17.18 .....	\$ ..... 0

18.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [ X ]

18.2 If yes, please provide the amount of custodial funds held as of the reporting date. ..... \$ ..... 0

18.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [ X ]

18.4 If yes, please provide the balance of funds administered as of the reporting date. ..... \$ ..... 0

**FIVE-YEAR HISTORICAL DATA**

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e. 17.6.

	1 2013	2 2012	3 2011	4 2010	5 2009
<b>Gross Premiums Written (Page 8, Part 1B Cols. 1, 2 &amp; 3)</b>					
1. Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)	7,383,440	7,568,768	4,845,785	4,285,301	5,324,001
2. Property lines (Lines 1, 2, 9, 12, 21 & 26)	61,030,982	56,165,262	53,649,073	31,988,776	66,779,166
3. Property and liability combined lines (Lines 3, 4, 5, 8, 22 & 27)	10,983,909	9,566,727	8,257,520	12,388,329	10,163,693
4. All other lines (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34)	52,898	28,918	(36,454)	111,180	133,005
5. Nonproportional reinsurance lines (Lines 31, 32 & 33)	0	0	0	0	0
6. Total (Line 35)	79,451,229	73,329,675	66,715,924	48,773,586	82,399,865
<b>Net Premiums Written (Page 8, Part 1B, Col. 6)</b>					
7. Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)	3,616,363	3,571,529	2,980,900	3,772,914	4,997,612
8. Property lines (Lines 1, 2, 9, 12, 21 & 26)	31,245,734	25,497,318	24,060,113	26,227,515	24,146,012
9. Property and liability combined lines (Lines 3, 4, 5, 8, 22 & 27)	10,530,915	8,974,141	7,061,451	11,810,535	9,463,709
10. All other lines (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34)	52,898	28,918	(36,454)	111,180	133,005
11. Nonproportional reinsurance lines (Lines 31, 32 & 33)	0	0	0	0	0
12. Total (Line 35)	45,445,910	38,071,906	34,066,010	41,922,144	38,740,338
<b>Statement of Income (Page 4)</b>					
13. Net underwriting gain (loss) (Line 8)	248,082	(414,266)	(647,315)	2,035,430	2,292,863
14. Net investment gain or (loss) (Line 11)	357,711	443,484	891,803	1,076,528	1,203,280
15. Total other income (Line 15)	2,750	5,327	9,034	12,179	13,821
16. Dividends to policyholders (Line 17)	0	0	0	0	0
17. Federal and foreign income taxes incurred (Line 19)	651,841	269,831	(356,675)	1,122,126	1,012,371
18. Net income (Line 20)	(43,298)	(235,286)	610,197	2,002,011	2,497,593
<b>Balance Sheet Lines (Pages 2 and 3)</b>					
19. Total admitted assets excluding protected cell business (Page 2, Line 26, Col. 3)	84,523,538	73,875,401	73,126,958	64,097,357	74,639,392
20. Premiums and considerations (Page 2, Col. 3)					
20.1 In course of collection (Line 15.1)	6,925,935	5,489,584	7,844,501	3,881,657	1,305,817
20.2 Deferred and not yet due (Line 15.2)	124,693	160,866	225,930	293,165	441,081
20.3 Accrued retrospective premiums (Line 15.3)	0	0	0	0	0
21. Total liabilities excluding protected cell business (Page 3, Line 26)	58,173,914	47,986,812	46,650,158	38,096,188	50,978,894
22. Losses (Page 3, Line 1)	6,908,312	7,503,780	7,113,299	7,376,363	7,635,343
23. Loss adjustment expenses (Page 3, Line 3)	782,262	731,397	589,690	995,013	998,510
24. Unearned premiums (Page 3, Line 9)	21,555,499	17,823,135	15,423,887	19,215,346	17,888,661
25. Capital paid up (Page 3, Lines 30 & 31)	5,000,000	5,000,000	5,000,000	5,000,000	5,000,000
26. Surplus as regards policyholders (Page 3, Line 37)	26,349,624	25,888,589	26,476,800	26,001,169	23,660,499
<b>Cash Flow (Page 5)</b>					
27. Net cash from operations (Line 11)	274,472	6,875,378	(3,846,218)	(8,096,355)	3,720,765
<b>Risk-Based Capital Analysis</b>					
28. Total adjusted capital	26,349,624	25,888,589	26,476,800	26,001,169	23,660,499
29. Authorized control level risk-based capital	5,744,024	4,421,043	4,507,300	5,029,466	4,558,288
<b>Percentage Distribution of Cash, Cash Equivalents and Invested Assets (Page 2, Col. 3) (Line divided by Page 2, Line 12, Col. 3) x100.0</b>					
30. Bonds (Line 1)	91.9	87.9	95.2	98.3	99.0
31. Stocks (Lines 2.1 & 2.2)	0.0	0.0	0.0	0.0	0.0
32. Mortgage loans on real estate (Lines 3.1 and 3.2)	0.0	0.0	0.0	0.0	0.0
33. Real estate (Lines 4.1, 4.2 & 4.3)	0.0	0.0	0.0	0.0	0.0
34. Cash, cash equivalents and short-term investments (Line 5)	8.1	12.1	4.8	1.7	1.0
35. Contract loans (Line 6)	0.0	0.0	0.0	0.0	0.0
36. Derivatives (Line 7)	0.0	0.0	0.0	0.0	XXX
37. Other invested assets (Line 8)	0.0	0.0	0.0	0.0	0.0
38. Receivables for securities (Line 9)	0.0	0.0	0.0	0.0	0.0
39. Securities lending reinvested collateral assets (Line 10)	0.0	0.0	0.0	0.0	XXX
40. Aggregate write-ins for invested assets (Line 11)	0.0	0.0	0.0	0.0	0.0
41. Cash, cash equivalents and invested assets (Line 12)	100.0	100.0	100.0	100.0	100.0
<b>Investments in Parent, Subsidiaries and Affiliates</b>					
42. Affiliated bonds (Schedule D, Summary, Line 12, Col. 1)	0	0	0	0	0
43. Affiliated preferred stocks (Schedule D, Summary, Line 18, Col. 1)	0	0	0	0	0
44. Affiliated common stocks (Schedule D, Summary, Line 24, Col. 1)	0	0	0	0	0
45. Affiliated short-term investments (subtotals included in Schedule DA Verification, Col. 5, Line 10)	0	0	0	0	0
46. Affiliated mortgage loans on real estate	0	0	0	0	0
47. All other affiliated	0	0	0	0	0
48. Total of above Lines 42 to 47	0	0	0	0	0
49. Total Investment in Parent included in Lines 42 to 47 above	0	0	0	0	0
50. Percentage of investments in parent, subsidiaries and affiliates to surplus as regards policyholders (Line 48 above divided by Page 3, Col. 1, Line 37 x 100.0)	0.0	0.0	0.0	0.0	0.0

**FIVE-YEAR HISTORICAL DATA**

(Continued)

	1 2013	2 2012	3 2011	4 2010	5 2009
<b>Capital and Surplus Accounts (Page 4)</b>					
51. Net unrealized capital gains (losses) (Line 24)	34,879	83,884	128,649	.0	0
52. Dividends to stockholders (Line 35)	0	0	0	0	0
53. Change in surplus as regards policyholders for the year (Line 38)	461,035	(588,212)	475,631	2,340,670	2,325,770
<b>Gross Losses Paid (Page 9, Part 2, Cols. 1 &amp; 2)</b>					
54. Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)	1,559,922	1,693,499	1,642,489	2,147,522	2,493,019
55. Property lines (Lines 1, 2, 9, 12, 21 & 26)	17,526,256	15,967,851	16,798,047	12,955,660	13,410,808
56. Property and liability combined lines (Lines 3, 4, 5, 8, 22 & 27)	4,612,254	3,675,176	7,169,122	4,919,408	6,676,712
57. All other lines (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34)	70,533	75,144	188,282	121,653	52,864
58. Nonproportional reinsurance lines (Lines 31, 32 & 33)	0	0	0	0	0
59. Total (Line 35)	23,768,965	21,411,669	25,797,940	20,144,243	22,633,404
<b>Net Losses Paid (Page 9, Part 2, Col. 4)</b>					
60. Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)	1,332,429	1,520,385	1,533,910	2,066,747	2,407,393
61. Property lines (Lines 1, 2, 9, 12, 21 & 26)	10,805,505	10,719,160	11,921,688	9,744,946	9,293,998
62. Property and liability combined lines (Lines 3, 4, 5, 8, 22 & 27)	4,503,380	3,336,126	6,567,660	4,670,819	6,599,802
63. All other lines (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34)	70,533	75,144	188,282	121,653	52,864
64. Nonproportional reinsurance lines (Lines 31, 32 & 33)	0	0	0	0	0
65. Total (Line 35)	16,711,847	15,650,815	20,211,539	16,604,165	18,354,058
<b>Operating Percentages (Page 4) (Line divided by Page 4, Line 1) x 100.0</b>					
66. Premiums earned (Line 1)	100.0	100.0	100.0	100.0	100.0
67. Losses incurred (Line 2)	38.6	45.0	52.7	40.3	46.0
68. Loss expenses incurred (Line 3)	5.2	5.4	3.8	4.7	5.5
69. Other underwriting expenses incurred (Line 4)	55.7	50.5	45.2	50.0	42.9
70. Net underwriting gain (loss) (Line 8)	0.6	(1.2)	(1.7)	5.0	5.5
<b>Other Percentages</b>					
71. Other underwriting expenses to net premiums written (Page 4, Lines 4 + 5 - 15 divided by Page 8, Part 1B, Col. 6, Line 35 x 100.0)	51.0	47.6	50.2	48.4	46.3
72. Losses and loss expenses incurred to premiums earned (Page 4, Lines 2 + 3 divided by Page 4, Line 1 x 100.0)	43.8	50.3	56.5	45.0	51.6
73. Net premiums written to policyholders' surplus (Page 8, Part 1B, Col. 6, Line 35 divided by Page 3, Line 37, Col. 1 x 100.0)	172.5	147.1	128.7	161.2	163.7
<b>One Year Loss Development (000 omitted)</b>					
74. Development in estimated losses and loss expenses incurred prior to current year (Schedule P - Part 2 - Summary, Line 12, Col. 11)	(1,458)	(1,208)	(837)	(27)	(402)
75. Percent of development of losses and loss expenses incurred to policyholders' surplus of prior year end (Line 74 above divided by Page 4, Line 21, Col. 1 x 100.0)	(5.6)	(4.6)	(3.2)	(0.1)	(1.9)
<b>Two Year Loss Development (000 omitted)</b>					
76. Development in estimated losses and loss expenses incurred two years before the current year and prior year (Schedule P, Part 2 - Summary, Line 12, Col. 12)	(1,825)	(1,104)	(827)	(541)	(1,407)
77. Percent of development of losses and loss expenses incurred to reported policyholders' surplus of second prior year end (Line 76 above divided by Page 4, Line 21, Col. 2 x 100.0)	(6.9)	(4.2)	(3.5)	(2.5)	(6.7)

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? \_\_\_\_\_

Yes  No 

If no, please explain: \_\_\_\_\_

**SCHEDULE P - ANALYSIS OF LOSSES AND LOSS EXPENSES****SCHEDULE P - PART 1 - SUMMARY**

(\$000 OMITTED)

Years in Which Premiums Were Earned and Losses Were Incurred	Premiums Earned			Loss and Loss Expense Payments								12 Number of Claims Reported Direct and Assumed	
	1 Direct and Assumed	2 Ceded	3 Net (1 - 2)	Loss Payments		Defense and Cost Containment Payments		Adjusting and Other Payments		10 Salvage and Subrogation Received	11 Total Net Paid Cols (4 - 5 + 6 - 7 + 8 - 9)		
				4 Direct and Assumed	5 Ceded	6 Direct and Assumed	7 Ceded	8 Direct and Assumed	9 Ceded				
1. Prior.	XXX	XXX	XXX	2	1	6	2	1	1	0	6	XXX	
2. 2004	35,913	2,835	33,078	16,359	1,894	693	107	1,498	49	433	16,500	XXX	
3. 2005	35,108	4,046	31,062	22,326	9,053	702	246	1,651	255	383	15,125	XXX	
4. 2006	37,490	4,521	32,969	13,720	974	608	129	1,583	96	334	14,711	XXX	
5. 2007	43,167	6,212	36,954	15,293	1,518	428	138	1,975	135	559	15,905	XXX	
6. 2008	48,895	9,219	39,676	27,202	7,580	485	156	2,593	418	495	22,125	XXX	
7. 2009	56,531	14,729	41,802	23,016	4,388	476	197	2,494	434	387	20,968	XXX	
8. 2010	59,454	18,859	40,595	22,596	6,917	508	229	2,380	808	449	17,529	XXX	
9. 2011	60,906	23,049	37,857	30,032	10,928	417	193	2,608	1,150	462	20,787	XXX	
10. 2012	57,948	22,275	35,673	26,888	11,520	231	87	2,683	1,205	466	16,990	XXX	
11. 2013	61,266	19,553	41,714	19,598	6,644	101	17	2,437	937	187	14,538	XXX	
12. Totals	XXX	XXX	XXX	217,032	61,416	4,654	1,502	21,903	5,486	4,155	175,184	XXX	

	Losses Unpaid				Defense and Cost Containment Unpaid				Adjusting and Other Unpaid		23 Salvage and Subrogation Anticipated	24 Total Net Losses and Expenses Unpaid	25 Number of Claims Outstanding Direct and Assumed			
	Case Basis		Bulk + IBNR		Case Basis		Bulk + IBNR		Unpaid							
	13 Direct and Assumed	14 Ceded	15 Direct and Assumed	16 Ceded	17 Direct and Assumed	18 Ceded	19 Direct and Assumed	20 Ceded	21 Direct and Assumed	22 Ceded						
1. Prior.	.41	.8	.4	4	.16	.1	.3	3	1	1	0	.47	XXX			
2. 2004	.7	.6	.9	9	.1	.1	.6	6	1	0	0	1	XXX			
3. 2005	.7	.6	13	13	.0	.1	.8	8	1	1	0	1	XXX			
4. 2006	.37	32	16	17	.3	.3	10	10	3	3	0	5	XXX			
5. 2007	.37	23	(8)	(8)	.7	.2	(5)	(5)	2	2	0	20	XXX			
6. 2008	.68	34	17	17	.12	.3	11	11	4	3	2	43	XXX			
7. 2009	223	.158	16	14	.34	.14	10	10	15	12	7	.91	XXX			
8. 2010	662	291	38	26	.99	.26	19	17	33	20	.9	.471	XXX			
9. 2011	924	530	211	156	.144	.61	68	.64	.79	.60	17	.553	XXX			
10. 2012	1,172	369	.496	228	.116	.33	68	.37	.40	.10	.37	1,216	XXX			
11. 2013	4,403	922	2,111	742	148	67	186	62	216	29	112	5,242	XXX			
12. Totals	7,580	2,378	2,924	1,218	580	211	384	223	393	141	184	7,691	XXX			

	Total Losses and Loss Expenses Incurred			Loss and Loss Expense Percentage (Incurred /Premiums Earned)			Nontabular Discount		34 Inter-Company Pooling Participation Percentage	Net Balance Sheet Reserves After Discount	
	26 Direct and Assumed	27 Ceded	28 Net	29 Direct and Assumed	30 Ceded	31 Net	32 Loss	33 Loss Expense		35 Losses Unpaid	36 Loss Expenses Unpaid
	Direct and Assumed	Ceded	Net	Direct and Assumed	Ceded	Net	Loss	Loss Expense		Losses Unpaid	Loss Expenses Unpaid
1. Prior.	XXX	XXX	XXX	XXX	XXX	XXX	0	0	XXX	33	15
2. 2004	18,572	2,071	16,501	.51.7	73.1	.49.9	0	0	5.0	1	1
3. 2005	24,708	9,582	15,126	.70.4	.236.8	.48.7	0	0	5.0	1	0
4. 2006	15,980	1,263	14,716	.42.6	.27.9	.44.6	0	0	5.0	5	0
5. 2007	17,730	1,805	15,924	.41.1	.29.1	.43.1	0	0	5.0	14	5
6. 2008	30,391	8,223	22,168	.62.2	.89.2	.55.9	0	0	5.0	33	10
7. 2009	26,284	5,226	21,058	.46.5	.35.5	.50.4	0	0	5.0	68	23
8. 2010	26,335	8,334	18,000	.44.3	.44.2	.44.3	0	0	5.0	.384	.87
9. 2011	34,483	13,142	21,340	.56.6	.57.0	.56.4	0	0	5.0	.448	105
10. 2012	31,694	13,489	18,206	.54.7	.60.6	.51.0	0	0	5.0	1,072	144
11. 2013	29,201	9,420	19,781	.47.7	.48.2	.47.4	0	0	5.0	4,850	392
12. Totals	XXX	XXX	XXX	XXX	XXX	XXX	0	0	XXX	6,908	782

Note: Parts 2 and 4 are gross of all discounting, including tabular discounting. Part 1 is gross of only nontabular discounting, which is reported in Columns 32 and 33 of Part 1. The tabular discount, if any, is reported in the Notes to Financial Statements which will reconcile Part 1 with Parts 2 and 4.

**SCHEDULE P - PART 2 - SUMMARY**

Years in Which Losses Were Incurred	INCURRED NET LOSSES AND DEFENSE AND COST CONTAINMENT EXPENSES REPORTED AT YEAR END (\$000 OMITTED)										DEVELOPMENT	
	1 2004	2 2005	3 2006	4 2007	5 2008	6 2009	7 2010	8 2011	9 2012	10 2013	11 One Year	12 Two Year
1. Prior	3,008	2,329	2,072	1,838	1,783	1,658	1,676	1,630	1,638	1,650	12	20
2. 2004	16,296	15,263	15,309	15,189	15,109	15,096	15,066	15,076	15,063	15,052	(10)	(24)
3. 2005	XXX	14,287	14,020	14,368	14,111	13,952	13,873	13,728	13,730	13,730	0	2
4. 2006	XXX	XXX	13,836	13,579	13,416	13,271	13,257	13,251	13,240	13,229	(11)	(22)
5. 2007	XXX	XXX	XXX	14,595	14,332	14,190	14,165	14,137	14,101	14,084	(17)	(53)
6. 2008	XXX	XXX	XXX	XXX	19,817	20,001	19,993	20,070	20,020	19,993	(27)	(77)
7. 2009	XXX	XXX	XXX	XXX	XXX	19,579	19,695	19,029	19,086	18,995	(91)	(34)
8. 2010	XXX	XXX	XXX	XXX	XXX	XXX	16,899	16,866	16,643	16,416	(226)	(450)
9. 2011	XXX	XXX	XXX	XXX	XXX	XXX	XXX	21,051	20,111	19,865	(247)	(1,187)
10. 2012	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	17,537	16,697	(840)	XXX
11. 2013	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	18,093	XXX	XXX
											12. Totals	(1,458) (1,825)

**SCHEDULE P - PART 3 - SUMMARY**

Years in Which Losses Were Incurred	CUMULATIVE PAID NET LOSSES AND DEFENSE AND COST CONTAINMENT EXPENSES REPORTED AT YEAR END (\$000 OMITTED)										11 Number of Claims Closed With Loss Payment	12 Number of Claims Closed Without Loss Payment
	1 2004	2 2005	3 2006	4 2007	5 2008	6 2009	7 2010	8 2011	9 2012	10 2013		
1. Prior	000	792	1,229	1,474	1,542	1,556	1,612	1,579	1,597	1,603	XXX	XXX
2. 2004	11,619	14,188	14,769	14,950	14,976	15,005	15,019	15,051	15,051	15,051	XXX	XXX
3. 2005	XXX	10,137	12,595	12,882	13,074	13,606	13,651	13,707	13,715	13,729	XXX	XXX
4. 2006	XXX	XXX	10,373	12,518	12,857	12,993	13,072	13,205	13,214	13,224	XXX	XXX
5. 2007	XXX	XXX	XXX	10,782	13,287	13,584	13,777	14,048	14,064	14,064	XXX	XXX
6. 2008	XXX	XXX	XXX	XXX	15,488	18,941	19,344	19,831	19,904	19,950	XXX	XXX
7. 2009	XXX	XXX	XXX	XXX	XXX	14,117	17,976	18,575	18,807	18,907	XXX	XXX
8. 2010	XXX	XXX	XXX	XXX	XXX	XXX	12,261	15,396	15,708	15,958	XXX	XXX
9. 2011	XXX	XXX	XXX	XXX	XXX	XXX	XXX	15,944	18,878	19,329	XXX	XXX
10. 2012	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	12,373	15,511	XXX	XXX
11. 2013	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	13,038	XXX	XXX

**SCHEDULE P - PART 4 - SUMMARY**

Years in Which Losses Were Incurred	BULK AND IBNR RESERVES ON NET LOSSES AND DEFENSE AND COST CONTAINMENT EXPENSES REPORTED AT YEAR END (\$000 OMITTED)										11 Number of Claims Closed With Loss Payment	12 Number of Claims Closed Without Loss Payment
	1 2004	2 2005	3 2006	4 2007	5 2008	6 2009	7 2010	8 2011	9 2012	10 2013		
1. Prior	534	219	58	56	27	30	17	(5)	0	0	0	0
2. 2004	1,559	316	113	45	31	27	5	14	1	0	1	0
3. 2005	XXX	1,330	241	271	164	18	23	9	1	0	1	0
4. 2006	XXX	XXX	1,004	339	116	56	51	8	2	0	2	0
5. 2007	XXX	XXX	XXX	1,059	378	85	77	19	5	0	5	0
6. 2008	XXX	XXX	XXX	XXX	1,241	234	86	29	17	(1)	17	(1)
7. 2009	XXX	XXX	XXX	XXX	XXX	1,303	204	(5)	51	3	51	3
8. 2010	XXX	XXX	XXX	XXX	XXX	XXX	1,603	190	120	14	120	14
9. 2011	XXX	XXX	XXX	XXX	XXX	XXX	XXX	1,762	377	59	377	59
10. 2012	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	1,864	299	1,864	299
11. 2013	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	1,493	XXX	1,493

## SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Allocated by States and Territories

States, Etc.	Active Status	1	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies Not Taken	4	5	6	7	8	9	
		2 Direct Premiums Written	3 Direct Premiums Earned	Dividends Paid or Credited to Policyholders on Direct Business	Direct Losses Paid (Deducting Salvage)	Direct Losses Incurred	Direct Losses Unpaid	Finance and Service Charges Not Included in Premiums	Direct Premiums Written for Federal Purchasing Groups (Included in Column 2)	
1. Alabama	AL	E	1,236,544	1,151,069	0	102,880	143,094	43,511	0	
2. Alaska	AK	E	73,063	73,528	0	1,328	2,841	4,918	0	
3. Arizona	AZ	E	(6,878)	25,111	0	7,700	(10,054)	975	0	
4. Arkansas	AR	E	8,915	18,577	0	0	547	847	0	
5. California	CA	N	0	0	0	0	0	0	0	
6. Colorado	CO	E	13,140	14,834	0	0	293	293	0	
7. Connecticut	CT	N	0	0	0	0	0	0	0	
8. Delaware	DE	E	4,919	2,255	0	0	194	194	0	
9. District of Columbia	DC	E	327	320	0	0	27	27	0	
10. Florida	FL	E	7,447,055	7,544,382	0	691,585	971,936	395,763	3,679	
11. Georgia	GA	E	393,928	399,871	0	184,379	223,637	41,774	0	
12. Hawaii	HI	E	306,026	305,819	0	141,405	347,642	386,911	0	
13. Idaho	ID	E	4,183	3,993	0	0	108	108	0	
14. Illinois	IL	E	62,992	54,615	0	503,508	600,352	97,786	0	
15. Indiana	IN	E	107,911	93,044	0	111,180	125,125	21,946	0	
16. Iowa	IA	N	0	0	0	0	0	0	0	
17. Kansas	KS	E	0	386	0	0	(12)	(12)	0	
18. Kentucky	KY	E	83,933	66,947	0	3,376	7,218	3,842	0	
19. Louisiana	LA	E	287,672	311,580	0	70,917	72,747	22,998	0	
20. Maine	ME	E	2,918	7,128	0	0	372	372	47	
21. Maryland	MD	E	511,453	511,894	0	231,250	220,938	9,000	0	
22. Massachusetts	MA	N	0	0	0	0	0	0	0	
23. Michigan	MI	N	0	0	0	0	0	0	0	
24. Minnesota	MN	E	.8,378	9,665	0	0	573	573	0	
25. Mississippi	MS	N	31,700	25,140	0	0	0	0	0	
26. Missouri	MO	E	258	258	0	0	(651)	319	0	
27. Montana	MT	E	13,925	13,893	0	0	123	.123	0	
28. Nebraska	NE	E	80,271	80,271	0	61,298	61,229	(69)	0	
29. Nevada	NV	E	0	.97	0	0	348	348	0	
30. New Hampshire	NH	N	0	0	0	0	0	0	0	
31. New Jersey	NJ	E	17,893	31,303	0	22,902	(1,036,115)	157,776	24	
32. New Mexico	NM	N	0	0	0	0	0	0	0	
33. New York	NY	N	41,277	49,933	0	227,657	(124,536)	94,275	0	
34. North Carolina	NC	E	378,104	385,690	0	54,755	64,238	9,881	0	
35. North Dakota	ND	E	(125)	756	0	0	0	0	0	
36. Ohio	OH	L	326,191	281,888	0	300,894	.316,514	.31,376	7	
37. Oklahoma	OK	N	0	0	0	0	0	0	0	
38. Oregon	OR	E	74,740	75,537	0	0	1,716	1,716	0	
39. Pennsylvania	PA	E	2,962,484	2,943,550	0	645,528	934,386	417,875	0	
40. Rhode Island	RI	N	0	0	0	0	0	0	0	
41. South Carolina	SC	E	708,847	702,525	0	131,507	93,394	24,749	0	
42. South Dakota	SD	E	799	966	0	0	0	0	0	
43. Tennessee	TN	E	1,204,646	.1,235,084	0	237,569	.307,915	.117,793	0	
44. Texas	TX	E	.17,495,756	13,491,618	0	3,325,501	.4,002,933	.2,150,751	0	
45. Utah	UT	E	50,656	57,019	0	0	3,445	3,445	0	
46. Vermont	VT	E	(913)	621	0	0	0	0	0	
47. Virginia	VA	E	37,039	31,756	0	0	940	1,167	0	
48. Washington	WA	E	41,335	33,101	0	0	719	.719	0	
49. West Virginia	WV	E	(2,017)	307	0	0	0	0	0	
50. Wisconsin	WI	E	(4,029)	2,681	0	0	2,547	2,547	0	
51. Wyoming	WY	E	0	0	0	0	0	0	0	
52. American Samoa	AS	N	0	0	0	0	0	0	0	
53. Guam	GU	N	0	0	0	0	0	0	0	
54. Puerto Rico	PR	N	0	0	0	0	0	0	0	
55. U.S. Virgin Islands	VI	E	0	0	0	0	0	0	0	
56. Northern Mariana Islands	MP	N	0	0	0	0	0	0	0	
57. Canada	CAN	N	0	0	0	0	0	0	0	
58. Aggregate other alien	OT	XXX	0	0	0	0	0	0	0	
59. Totals	(a)	1	34,005,316	30,039,012	0	7,057,119	7,336,723	4,046,617	3,757	0
DETAILS OF WRITE-INS										
58001.		XXX								
58002.		XXX								
58003.		XXX								
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX	0	0	0	0	0	0	0	
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)		XXX	0	0	0	0	0	0	0	

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

Explanation of basis of allocation of premiums by states, etc.

Policies coded to states and combined by data processing.

(a) Insert the number of L responses except for Canada and Other Alien.

**ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.**

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
Muenchener Rueckversicherung AG, Muenchen		Holding - Ultimate Controlling Entity		AA-1340165		
almeda GmbH, Muenchen	Assistance Partner GmbH & Co. KG, Muenchen	Others	21.7			
American Family Home Insurance Company, Jacksonville, Florida	American Modern Surplus Lines Insurance Company, Amelia, Ohio	Insurance	100.0	20-3901790	12489	OH
American Modern Home Insurance Company, Amelia, Ohio	American Modern Lloyds Insurance Company, Dallas, Texas	Insurance	100.0	31-1056196	42005	TX
American Modern Insurance Group, Inc., Amelia, Ohio	American Modern Select Insurance Company, Amelia, Ohio	Insurance	100.0	38-2342976	38652	OH
American Modern Insurance Group, Inc., Amelia, Ohio	American Southern Home Insurance Company, Jacksonville, Florida	Insurance	100.0	59-2236254	41998	FL
American Modern Insurance Group, Inc., Amelia, Ohio	American Western Home Insurance Company, Oklahoma City, Oklahoma	Insurance	100.0	31-0920414	35912	OK
American Modern Insurance Group, Inc., Amelia, Ohio	First Marine Insurance Company, Amelia, Ohio	Insurance	100.0	43-1262602	42722	MO
American Modern Insurance Group, Inc., Amelia, Ohio	American Family Home Insurance Company, Jacksonville, Florida	Insurance	100.0	31-0711074	23450	FL
American Modern Insurance Group, Inc., Amelia, Ohio	American Modern Home Insurance Company, Amelia, Ohio	Insurance	100.0	31-0715697	23469	OH
American Modern Insurance Group, Inc., Amelia, Ohio	American Modern Home Service Company, Amelia, Ohio	Others	100.0	31-1279157		
American Southern Home Insurance Company, Jacksonville, Florida	Copper Leaf Research, Bingham Farms, Michigan	Others	100.0	38-3470438		
Amicus Legal Ltd., Bristol	Lloyds Modern Corporation, Dallas, Texas	Holding	100.0	31-1056196		
B&D Acquisition B.V., Amsterdam	Midwest Enterprises, Inc., Miami, Florida	Others	100.0	59-6066315		
Bagmoor Holdings Limited, London	Specialty Insurance Services Corp., Amelia, Ohio	Others	100.0	34-1894203		
Bell & Clements (Bermuda) Ltd., Hamilton, Bermuda	The Atlas Insurance Agency, Inc., Amelia, Ohio	Others	100.0	31-0530321		
Bell & Clements (London) Ltd., London	American Modern Insurance Company of Florida, Inc., Jacksonville, Florida	Insurance	100.0	20-2769607	12314	FL
Bell & Clements (USA) Inc., Reston, Virginia	Amicus Ltd., Bristol	Others	100.0			
BELL & CLEMENTS LTD., London	DAS Legal Protection Limited, Christchurch, Neuseeland	Others	100.0			
BELL & CLEMENTS LTD., London	LawAssist Limited, Bristol	Others	100.0			
BELL & CLEMENTS LTD., London	Nightingale Legal Services Ltd., Bristol	Others	100.0			
BELL & CLEMENTS LTD., London	B&D Business Solutions B.V., Utrecht	Others	100.0			
BELL & CLEMENTS LTD., London	Bagmoor Wind Limited, Bristol	Others	100.0			
BELL & CLEMENTS LTD., London	B&C International Insurance, Hamilton, Bermuda	Insurance	100.0			
BELL & CLEMENTS LTD., London	Bell & Clements (London) Ltd, London	Holding	100.0			
BELL & CLEMENTS LTD., London	Bell & Clements (USA) Inc, Reston, Virginia	Holding	100.0			
BELL & CLEMENTS LTD., London	Bell & Clements Inc, Reston, Virginia	Others	100.0			
BELL & CLEMENTS LTD., London	E&S Claims Management Inc., Reston, Virginia	Others	100.0			
BELL & CLEMENTS LTD., London	Queensley Holdings Limited, Singapur	Others	0.0			
BELL & CLEMENTS LTD., London	CAPITAL PLAZA Holding GmbH & Co. Singapur KG, Duesseldorf	Holding of industrial companies	0.0			
BELL & CLEMENTS LTD., London	MFJ Munich Finance and Investment Holding Ltd., Ta' Xbiex	Holding	100.0			
BELL & CLEMENTS LTD., London	Euro-Center Holding SE, Prag	Others	16.7			
BELL & CLEMENTS LTD., London	European Assistance Holding GmbH, Muenchen	Holding	10.0			
BELL & CLEMENTS LTD., London	MESA ASISTENCIA, S.A., Madrid	Others	99.9			
BELL & CLEMENTS LTD., London	Rural Affinity Insurance Agency Pty Limited, Sydney	Others	5.0			
BELL & CLEMENTS LTD., London	DAS Lex Assistance, S.L., L'Hospitalet de Llobregat	Others	100.0			
BELL & CLEMENTS LTD., London	Ciborum GmbH, Muenchen	Others	100.0			
BELL & CLEMENTS LTD., London	D.A.S. Defensa del Automovilista y de Siniestros - Internacional, S.A. de Seguros y Reaseguros, Barcelona	Insurance	100.0			
BELL & CLEMENTS LTD., London	D.A.S. Difesa Automobilistica Sinistri, S.p.A. di Assicurazione, Verona	Insurance	50.0			
BELL & CLEMENTS LTD., London	D.A.S. HELLAS Allgemeine Rechtsschutz-Versicherungs-AG, Athen	Insurance	100.0			
BELL & CLEMENTS LTD., London	D.A.S. Jogvédelmi Biztosito Részvénnytársaság, Budapest	Insurance	100.0			
BELL & CLEMENTS LTD., London	D.A.S. Luxemburg Allgemeine Rechtsschutz-Versicherung S.A., Strassen	Insurance	100.0			
BELL & CLEMENTS LTD., London	D.A.S. Oligusabikulude Kindlustuse AS, Tallinn	Insurance	100.0			
BELL & CLEMENTS LTD., London	D.A.S. pojistovna právní ochrany, a.s., Prag	Insurance	100.0			
BELL & CLEMENTS LTD., London	D.A.S. Rechtsschutz Aktiengesellschaft, Wien	Insurance	100.0			
BELL & CLEMENTS LTD., London	D.A.S. Société anonyme belge d'assurances de Protection Juridique, Brussel	Insurance	100.0			
BELL & CLEMENTS LTD., London	D.A.S. Towarzystwo Ubezpieczen Ochrony Prawnej S.A., Warschau	Insurance	100.0			
BELL & CLEMENTS LTD., London	DAS Holding N.V., Amsterdam	Holding of insurances	50.0			
BELL & CLEMENTS LTD., London	DAS Legal Expenses Insurance Co., Ltd., Seoul	Insurance	100.0			
BELL & CLEMENTS LTD., London	DAS Legal Protection Insurance Company Ltd., Toronto	Insurance	49.0			
BELL & CLEMENTS LTD., London	DAS Rechtsschutz-Versicherungs-AG, Luzern	Insurance	100.0			
BELL & CLEMENTS LTD., London	DAS UK Holdings Limited, Bristol	Holding of insurances	100.0			
BELL & CLEMENTS LTD., London	ERGO Private Capital Komposit GmbH & Co. KG, Duesseldorf	Others	20.0			
BELL & CLEMENTS LTD., London	ERGO Private Capital Vierte GmbH & Co. KG, Duesseldorf	Others	20.0			
BELL & CLEMENTS LTD., London	ERGO Zweite Beteiligungsgesellschaft mbH, Duesseldorf	Others	33.3			
BELL & CLEMENTS LTD., London	Hamburg-Mannheimer Rechtsschutz Schaden-Service GmbH, Hamburg	Others	100.0			
BELL & CLEMENTS LTD., London	Legal Net GmbH, Muenchen	Others	100.0			
BELL & CLEMENTS LTD., London	LEGIAL AG, Muenchen	Others	100.0			
BELL & CLEMENTS LTD., London	Seminaris Hotel- und Kongressstaetten-Betriebsgesellschaft mbH, Lueneburg	Others	25.0			
BELL & CLEMENTS LTD., London	Stichting Aandelen Beheer D.A.S. Holding, Amsterdam	Others	100.0			
BELL & CLEMENTS LTD., London	VHDK Beteiligungsgesellschaft mbH, Duesseldorf	Holding of industrial companies	5.0			
BELL & CLEMENTS LTD., London	Viwis GmbH, Muenchen	Others	100.0			
BELL & CLEMENTS LTD., London	TGR Biztosítás Toebebesugynoki Zrt., Budapest	Others	20.0			
BELL & CLEMENTS LTD., London	D.A.S. Prawo i Finanse Sp. z o.o., Warschau	Others	100.0			
BELL & CLEMENTS LTD., London	D.A.S., Tomasz Niedzinski Kancelaria Prawna Spolka komandytowa, Warschau	Others	95.0			
DAS Holding N.V., Amsterdam	DAS Legal Finance B.V., Amsterdam	Others	100.0			
DAS Holding N.V., Amsterdam	DAS Nederlandse Rechtsbijstand Verzekeringmaatschappij N.V., Amsterdam	Insurance	100.0			
DAS Holding N.V., Amsterdam	DAS Support B.V., Amsterdam	Others	100.0			

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
DAS Legal Finance B.V., Amsterdam	B&D Acquisition B.V., Amsterdam	Others	100.0			
	Bos Incasso B.V., Groningen	Others	89.8			
	DAS Consultancy & Detachering Rotterdam B.V., Rotterdam	Others	85.0			
	DAS Financial Services B.V., Amsterdam	Others	51.0			
	DAS Incasso Arnhem B.V., Arnhem	Others	100.0			
	DAS Incasso Eindhoven B.V., s-Hertogenbosch	Others	80.0			
	DAS Incasso Rotterdam B.V., Rotterdam	Others	80.0			
	De Wit Visser Incasso Holding B.V., Breda	Others	95.0			
	EDR Acquisition B.V., Amsterdam	Others	100.0			
	Landelijke Associatie van Gerechtsdeurwaarders B.V., Groningen	Others	49.0			
	LAVG Associatie van Gerechtsdeurwaarders Zuid Holding B.V., Breda	Others	49.0			
	Van Arkel Gerechtsdeurwaarders B.V., Leiden	Others	49.0			
DAS UK Holdings Limited, Bristol	80e LIMITED, Bristol	Others	100.0			
	Amicus Legal Ltd., Bristol	Others	100.0			
	DAS Assistance Limited, Bristol	Others	100.0			
	DAS Law Limited, Bristol	Others	100.0			
	DAS Law Solicitors Limited, Bristol	Others	100.0			
	DAS Legal Expenses Insurance Company Limited, Bristol	Insurance	100.0			
	DAS Legal Protection Insurance Company Ltd., Toronto	Insurance	51.0			
	DAS Legal Protection Ireland Limited, Dublin	Others	100.0			
	DAS Legal Protection Limited, Vancouver	Others	100.0			
	DAS Legal Protection Pty. Ltd., Sydney	Others	100.0			
	DAS LEGAL SERVICES LIMITED, Bristol	Others	100.0			
	DAS Services Limited, Bristol	Others	100.0			
	Everything Legal Ltd., Bristol	Others	100.0			
	First Legal Protection Limited, Bristol	Others	100.0			
	aktiva Vermittlung von Versicherungen und Finanz-Dienstleistungen GmbH, Koeln	Others	100.0			
	Asia Real Estate Income Fund SICAV, Luxemburg	Others	9.9			
	CAPITAL PLAZA Holding GmbH & Co. Singapur KG, Duesseldorf	Holding of industrial companies	10.0			
	CAPITAL PLAZA Holding GmbH, Duesseldorf	Holding of industrial companies	10.0			
	DKV - Beta Vermoegensverwaltungs GmbH, Koeln	Others	100.0			
	DKV Gesundheits Service GmbH, Koeln	Others	100.0			
	DKV Immobilienverwaltungs GmbH, Koeln	Others	100.0			
	DKV Pflegedienste & Residenzen GmbH, Koeln	Others	100.0			
	ERGO Immobilien-GmbH 4, DKV & Co. KG, Kreien	Others	100.0			
	ERGO Private Capital Dritte GmbH & Co. KG, Duesseldorf	Others	100.0			
	ERGO Private Capital Gesundheit GmbH & Co. KG, Duesseldorf	Others	100.0	98-0578962		
	EUREKA GmbH, Duesseldorf	Holding of industrial companies	33.3			
	EVV Logistik Management GmbH, Duesseldorf	Others	20.0			
	GBG Vogelsanger Strasse GmbH, Koeln	Others	94.8			
	GEMEDA Gesellschaft fuer medizinische Datenerfassung und Auswertung sowie Serviceleistungen fuer freie Berufe mbH, Koeln	Others	100.0			
	goDentis - Gesellschaft fuer Innovation in der Zahnhelkunde mbH, Koeln	Others	100.0			
	goMedus Gesellschaft fuer Qualitaet in der Medizin mbH, Koeln	Others	100.0			
	goMedus GmbH & Co. KG, Koeln	Others	100.0			
	MEDICLIN Aktiengesellschaft, Offenburg	Others	11.8			
	MedWell Gesundheits-AG, Koeln	Others	100.0			
	PICC Health Insurance Company Limited, Beijing	Insurance	4.1			
	RP Vilbeler Fondsgesellschaft mbH, Frankfurt a.M.	Others	10.0			
	Sana Kliniken AG, Muenchen	Others	21.7			
	VHDK Beteiligungsgesellschaft mbH, Duesseldorf	Holding of industrial companies	25.0			
	VICTORIA US Property Investment GmbH, Duesseldorf	Holding of industrial companies	24.8			
	VV Immobilien GmbH & Co. GB KG, Duesseldorf	Others	3.6			
	welvit Solarfonds GmbH & Co. KG, Nuernberg	Others	44.4			
	CarePlus Gesellschaft fuer Versorgungsmanagement mbH, Koeln	Others	100.0			
	DKV Residenz am Tibusplatz gGmbH, Muenster	Others	100.0			
	DKV-Residenz in der Contrescarpe GmbH, Bremen	Others	100.0			
	miCura Pflegedienste Berlin GmbH, Berlin	Others	100.0			
	miCura Pflegedienste Bremen GmbH, Bremen	Others	100.0			
	miCura Pflegedienste Duesseldorf GmbH, Duesseldorf	Others	100.0			
	miCura Pflegedienste GmbH, Koeln	Others	100.0			
	miCura Pflegedienste Hamburg GmbH, Hamburg	Others	100.0			
	miCura Pflegedienste Krefeld GmbH, Krefeld	Others	100.0			
	miCura Pflegedienste Muenchen / Dachau GmbH, Dachau	Others	51.0			
	miCura Pflegedienste Muenchen GmbH, Muenchen	Others	100.0			
	miCura Pflegedienste Muenchen Ost GmbH, Muenchen	Others	65.0			
	miCura Pflegedienste Muenster GmbH, Muenster	Others	100.0			
	miCura Pflegedienste Nuernberg GmbH, Nuernberg	Others	51.0			
	Chip Card, S.A., Madrid	Others	8.7			
	DKV Servicios, S.A., Saragossa	Others	100.0			

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
Economic Data Resources B.V., Leidschendam	ERGO Generales Seguros y Reaseguros, S.A., Madrid	Insurance	100.0			
EDR Acquisition B.V., Amsterdam	ERGO Vida Seguros y Reaseguros, Sociedad Anónima, Saragossa	Insurance	100.0			
EDR Credit Services B.V., s-Gravenhage	Marina Salud S.A., Alicante	Others	65.0			
EIG, Co., Wilmington, Delaware	Unión Médica la Fuencisla, S.A., Compañía de Seguros, Saragossa	Insurance	100.0			
ERGO Assicurazioni S.p.A., Mailand	Economic Data Research B.V., Leidschendam	Others	100.0			
ERGO Austria International AG, Wien	EDR Credit Services B.V., s-Gravenhage	Others	100.0			
ERGO DIREKT Krankenversicherung AG, Fuerth	DRA Debt Recovery Agency B.V., s-Gravenhage	Others	100.0			
ERGO DIREKT Lebensversicherung AG, Fuerth	Economic Data Resources B.V., Leidschendam	Others	100.0			
ERGO DIREKT Versicherung AG, Fuerth	Humanity B.V., s-Gravenhage	Others	100.0			
ERGO Elébitzotiszt Zrt., Budapest	HSB Engineering Insurance Limited, London	Insurance	100.0			
ERGO Eléfté Betelégségesítési Kft., Budapest	ERGO Italia Business Solutions S.r.l., Mailand	Others	4.4			
ERGO Eléltisztító Kft., Budapest	ERGO ASIGURARI DE VIATA SA, Bukarest	Insurance	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO Direkt Lebensversicherung AG, Schwechat	Insurance	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO Éleibzotiszt Zrt., Budapest	Insurance	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO osiguranje d.d., Zagreb	Insurance	88.8			
ERGO Eléltisztító Kft., Budapest	ERGO Poist' ovna, a. s., Bratislava	Insurance	75.2			
ERGO Eléltisztító Kft., Budapest	ERGO pojist' ovna, a.s., Prag	Insurance	85.5			
ERGO Eléltisztító Kft., Budapest	ERGO Versicherung Aktiengesellschaft, Wien	Insurance	75.9			
ERGO Eléltisztító Kft., Budapest	ERGO Zivjenjska zavarovalnica d.d., Ljubljana	Insurance	93.3			
ERGO Eléltisztító Kft., Budapest	ERGO Zivotno osiguranje d.d., Zagreb	Insurance	100.0			
ERGO Eléltisztító Kft., Budapest	TGR Biztosítás Toebbesugynoeki Zrt., Budapest	Others	75.2			
ERGO Eléltisztító Kft., Budapest	VICTORIA-VOLKSANKEN Biztosító Zrt., Budapest	Insurance	80.0			
ERGO Eléltisztító Kft., Budapest	VICTORIA-VOLKSANKEN Pensionkassen Aktiengesellschaft, Wien	Insurance	75.3			
ERGO Eléltisztító Kft., Budapest	wse Solarpark Spanien 1 GmbH & Co. KG, Fuerth	Others	23.8			
ERGO Eléltisztító Kft., Budapest	m:editerran POWER GmbH & Co. KG, Nuernberg	Others	7.5			
ERGO Eléltisztító Kft., Budapest	Protektor Lebensversicherungs-AG, Berlin	Insurance	99.6			
ERGO Eléltisztító Kft., Budapest	RP Vilbeler Fondsgesellschaft mbH, Frankfurt a.M.	Others	0.5			
ERGO Eléltisztító Kft., Budapest	Solarfonds Garmisch-Partenkirchen 2011 GmbH & Co. KG, Nuernberg	Others	10.0			
ERGO Eléltisztító Kft., Budapest	Trusted Documents GmbH, Nuernberg	Others	97.6			
ERGO Eléltisztító Kft., Budapest	VHDK Beteiligungsgesellschaft mbH, Duesseldorf	Holding of industrial companies	100.0			
ERGO Eléltisztító Kft., Budapest	wse Solarpark Spanien 1 GmbH & Co. KG, Fuerth	Others	10.0			
ERGO Eléltisztító Kft., Budapest	Flexitel Telefonservice GmbH, Berlin	Others	100.0			
ERGO Eléltisztító Kft., Budapest	KOV Solarpark Franken 1 GmbH & Co. KG, Fuerth	Others	100.0			
ERGO Eléltisztító Kft., Budapest	wse Solarpark Spanien 1 GmbH & Co. KG, Fuerth	Others	47.3			
ERGO Eléltisztító Kft., Budapest	VV-Consulting Toebbesugynoeki Kft., Budapest	Others	51.0			
ERGO Eléltisztító Kft., Budapest	welvit GmbH, Nuernberg	Others	100.0			
ERGO Eléltisztító Kft., Budapest	Emeklilik Goezettir Merkezi A.S., Istanbul	Others	5.9			
ERGO Eléltisztító Kft., Budapest	ERGO Life Insurance Company S.A., Thessaloniki	Insurance	3.1			
ERGO Eléltisztító Kft., Budapest	ERGO Emeklilik ve Hayat A.S., Istanbul	Insurance	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO PORTFOeY YoeNETMI A.S., Istanbul	Others	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO SIGORTA A.S., Istanbul	Insurance	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO Immobilien-GmbH 14, Victoria & Co. KG, Kreien	Others	0.0			
ERGO Eléltisztító Kft., Budapest	ERGO Immobilien-GmbH 15, Victoria & Co. KG, Kreien	Others	0.0			
ERGO Eléltisztító Kft., Budapest	ERGO Immobilien-GmbH 7, Hamburg-Mannheimer & Co. KG, Kreien	Others	0.0			
ERGO Eléltisztító Kft., Budapest	ERGO Partners N.V., Bruessel	Others	100.0			
ERGO Eléltisztító Kft., Budapest	welvit Solarfonds GmbH & Co. KG, Nuernberg	Others	22.2			
ERGO Eléltisztító Kft., Budapest	ERGO Funds AS, Tallinn	Others	9.4			
ERGO Eléltisztító Kft., Budapest	ERGO Invest SIA, Riga	Others	38.0			
ERGO Eléltisztító Kft., Budapest	InsuranceAssistance FLLC, Minsk	Holding of insurances	49.9			
ERGO Eléltisztító Kft., Budapest	Joint Stock Insurance Company ERGO, Minsk	Insurance	35.0			
ERGO Eléltisztító Kft., Budapest	JSC Ukrainian Transport Insurance Company, Kiew	Insurance	1.3			
ERGO Eléltisztító Kft., Budapest	Avantha ERGO Life Insurance Company, Mumbai	Others	26.0			
ERGO Eléltisztító Kft., Budapest	ERGO Asia Management Pte. Ltd., Singapur	Others	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO ASIGURARI DE VIATA SA, Bukarest	Insurance	0.0			
ERGO Eléltisztító Kft., Budapest	ERGO Austria International AG, Wien	Holding of insurances	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO Funds AS, Tallinn	Others	46.1			
ERGO Eléltisztító Kft., Budapest	ERGO General Insurance Company S.A., Athen	Insurance	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO Grubu Holding A.Ş., Istanbul	Holding of insurances	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO Insurance N.V., Bruessel	Insurance	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO Insurance SE, Tallinn	Insurance	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO Italia S.p.A., Mailand	Holding of insurances	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO Life Insurance Company S.A., Thessaloniki	Insurance	96.9			
ERGO Eléltisztító Kft., Budapest	ERGO Life Insurance SE, Vilnius	Insurance	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO Partners N.V., Bruessel	Others	0.0			
ERGO Eléltisztító Kft., Budapest	ERGO RUSS Versicherung AG, St. Petersburg	Insurance	95.5			
ERGO Eléltisztító Kft., Budapest	ERGO Shisn, Moskau	Insurance	100.0			
ERGO Eléltisztító Kft., Budapest	ERGO Versicherung Aktiengesellschaft, Wien	Insurance	0.2			
ERGO Eléltisztító Kft., Budapest	ERIN Sigorta Aracılık Hizmetleri Limited Sirketi, Istanbul	Others	100.0			
ERGO Eléltisztító Kft., Budapest	Global Insurance Company, Ho-Chi-Minh-Stadt	Insurance	35.0			
ERGO Eléltisztító Kft., Budapest	HDFC ERGO General Insurance Company Ltd., Mumbai	Insurance	26.0			

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.  
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Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
ERGO Italia Direct Network s.r.l., Mailand	Joint Stock Insurance Company ERGO, Minsk	Insurance	30.8			
ERGO Italia S.p.A., Mailand	JSC Ukrainian Transport Insurance Company, Kiev	Insurance	1.3			
	Sopockie Towarzystwo Ubezpieczen Ergo Hestia Spolka Akcyjna, Sopot	Insurance	100.0			
	Sopockie Towarzystwo Ubezpieczen na Zycie Ergo Hestia Spolka Akcyjna, Sopot	Insurance	100.0			
	ERGO Italia Business Solutions S.c.r.l., Mailand	Others	0.5			
	ERGO Assicurazioni S.p.A., Mailand	Insurance	100.0			
	ERGO Italia Business Solutions S.c.r.l., Mailand	Others	93.3			
	ERGO Italia Direct Network s.r.l., Mailand	Others	100.0			
	ERGO Previdenza S.p.A., Mailand	Insurance	100.0			
	VICTORIA Asien Immobilienbeteiligungs GmbH & Co. KG, Muenchen	Others	0.0			
ERGO Leben Asien Verwaltungs GmbH, Muenchen	Asia Real Estate Income Fund SICAV, Luxemburg	Others	9.9			
ERGO Lebensversicherung Aktiengesellschaft, Hamburg	CAPITAL PLAZA Holding GmbH & Co. Singapur KG, Duesseldorf	Holding of industrial companies	20.0			
	CAPITAL PLAZA Holding GmbH, Duesseldorf	Holding of industrial companies	10.0			
	ERGO China Life Insurance Co., Ltd., Jinan, Shandong Province	Insurance	30.0			
	ERGO Immobilien-GmbH 5, Hamburg-Mannheimer & Co. KG, Kreien	Others	100.0			
	ERGO Immobilien-GmbH 7, Hamburg-Mannheimer & Co. KG, Kreien	Others	100.0			
	ERGO Leben Asien Verwaltungs GmbH, Muenchen	Others	100.0			
	ERGO Private Capital Leben GmbH & Co. KG, Duesseldorf	Others	72.0	98-0567366		
	ERGO Private Capital Zweite GmbH & Co. KG, Duesseldorf	Others	72.0			
	ERGO Pro Sp. z o.o., Warschau	Others	100.0			
	ERGO Pro, spol. s r.o., Prag	Others	100.0			
	ERGO Zweite Beteiligungsgesellschaft mbH, Duesseldorf	Others	33.3			
	EUREKA GmbH, Duesseldorf	Holding of industrial companies	33.3			
	Fernkaehte Geschaeftstadt Nord Gesellschaft buergerlichen Rechts, Hamburg	Others	39.3			
	Gebaeude Service Gesellschaft Ueberseering 35 mbH, Hamburg	Others	100.0			
	Grundeigentuer - Interessengemeinschaft City Nord GmbH, Hamburg	Others	20.0			
	Hamburg-Mannheimer ForskringService A/S, Kopenhagen	Others	100.0			
	Hannover Finanz-Umwelt Beteiligungsgesellschaft mbH, Hillerse	Financial enterprises	20.0			
	Juventus Vermoegensverwaltungs AG, Hamburg	Others	100.0			
	Protektor Lebensversicherungs-AG, Berlin	Insurance	5.9			
	RP Vilbeler Fondsgesellschaft mbH, Frankfurt a.M.	Others	10.0			
	Seminaris Hotel- und Kongressstaetten-Betriebsgesellschaft mbH, Luenenburg	Others	25.0			
	TMW Asia Property Fund I GmbH & Co. KG, Muenchen	Others	6.4			
	U.S. Property Fund IV GmbH & Co. KG, Muenchen	Others	9.8			
	U.S. Property Fund V GmbH & Co. KG, Muenchen	Others	5.8			
	US Property Fund III GmbH & Co. KG, Muenchen	Others	8.3			
	VFG Vorsorge-Finanzierungsconsulting GmbH, Wien	Others	75.0			
	VHDK Beteiligungsgesellschaft mbH, Duesseldorf	Holding of industrial companies	20.0			
	VICTORIA Asien Immobilienbeteiligungs GmbH & Co. KG, Muenchen	Others	100.0			
	VICTORIA US Property Investment GmbH, Duesseldorf	Holding of industrial companies	25.0			
	Victoria Vierter Bauabschnitt GmbH & Co. KG, Duesseldorf	Others	95.1			
	VV Immobilien GmbH & Co. GB KG, Duesseldorf	Others	10.0			
	ERGO General Insurance Company S.A., Athen	Insurance	0.0			
ERGO Life Insurance Company S.A., Thessaloniki	ERGO Funds AS, Tallinn	Others	44.5			
ERGO Life Insurance SE, Vilnius	ERGO Invest SIA, Riga	Others	62.0			
ERGO Neunte Beteiligungsgesellschaft mbH, Duesseldorf	InsuranceAssistance FLLC, Minsk	Holding of insurances	50.1			
	ALICE GmbH, Duesseldorf	Others	100.0			
	ArztPartner almeda AG, Muenchen	Others	100.0			
	VICTORIA Vierte Beteiligungsgesellschaft mbH, Duesseldorf	Holding of industrial companies	100.0			
ERGO Pensionskasse AG, Duesseldorf	ERGO Private Capital Leben GmbH & Co. KG, Duesseldorf	Others	3.5	98-0567366		
	ERGO Private Capital Zweite GmbH & Co. KG, Duesseldorf	Others	3.5			
	VHDK Beteiligungsgesellschaft mbH, Duesseldorf	Holding of industrial companies	5.0			
	APEP Dachfonds GmbH & Co. KG, Muenchen	Holding of industrial companies	1.2			
	ERGO Italia Business Solutions S.c.r.l., Mailand	Others	1.8			
	APAX Europe VII - B, L.P., St. Peter Port, Guernsey	Holding of industrial companies	0.1			
	Crown Premium Private Equity Buyout SICAV, Luxemburg	Holding of industrial companies	6.4			
	Odewald & Compagnie GmbH & Co. Dritte Beteiligungsgesellschaft fuer Vermoegensanlagen KG, Berlin	Holding of industrial companies	2.0			
	PAI Europe V - 1 FCPR, St. Peter Port, Guernsey	Holding of industrial companies	0.4			
	Vier Gas Investments S.A.r.l., Luxemburg	Holding of industrial companies	7.4			
	Access Capital Fund IV L.P., St. Peter Port, Guernsey	Holding of industrial companies	3.6			
	Access Capital Fund V LP Growth Buy-Out Europe, Edinburgh	Holding of industrial companies	5.6			
	ACOF III CV AIV (OFFSHORE) II, L.P., Los Angeles, California	Holding of industrial companies	0.0			
	ACOF III GC AIV, L.P., Los Angeles, California	Holding of industrial companies	0.0			
	ACOF III Oro AIV, L.P., Los Angeles, California	Holding of industrial companies	0.0			
	ACOF III Plasco AIV, L.P., Los Angeles, California	Holding of industrial companies	0.0			
	Adveq Europa IV B C.V., Willemstad, Curacao	Holding of industrial companies	2.3			
	Adveq Opportunities II C.V., Willemstad, Curacao	Holding of industrial companies	1.1			
	Adveq Technology II C.V., Willemstad, Curacao	Holding of industrial companies	5.6			
	APEP Dachfonds GmbH & Co. KG, Muenchen	Holding of industrial companies	0.7			
	Apollo Investment Fund VIII, L.P., New York City, New York	Holding of industrial companies	4.1			

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.

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Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
	Ares Corporate Opportunities Fund III L.P., Los Angeles, California	Holding of industrial companies	0.5			
	Ares Corporate Opportunities Fund IV L.P., Los Angeles, California	Holding of industrial companies	0.9			
	BC European Capital IX-1 L.P., London	Holding of industrial companies	0.3			
	Blackstone Capital partners VI L.P., Wilmington, Delaware	Holding of industrial companies	0.2			
	Capital Dynamics Champion Ventures VI, L.P., Woodside, California	Holding of industrial companies	2.0			
	Collier International Partners Fund VI, L.P., London	Holding of industrial companies	0.4			
	Crown Premium Private Equity Technology Ventures GmbH & Co. KG, Gruenwald	Holding of industrial companies	10.0			
	CVC European Equity Partners V (A) L.P., George Town, Grand Cayman	Holding of industrial companies	0.3			
	Energy Investors XV (Scotland) L.P., Washington, D.C.	Holding of industrial companies	9.4			
	Francisco Partners III L.P., San Francisco, California	Holding of industrial companies	1.0			
	Global Infrastructure Partners - C L.P., St. Peter Port, Guernsey	Holding of industrial companies	0.7			
	Greenspring Global Partners IV-B, L.P., Owings Mills, Maryland	Holding of industrial companies	0.6			
	Greenspring Global Partners V-B, L.P., Owings Mills, Maryland	Holding of industrial companies	7.5			
	HighTech Beteiligungen GmbH und Co. KG, Duesseldorf	Holding of industrial companies	9.9			
	HRJ Capital Global Buy-Out III (U.S.), L.P., Woodside, California	Holding of industrial companies	4.0			
	Index Ventures Growth II (Jersey), L.P., St. Helier, Jersey, Channel Islands	Holding of industrial companies	3.6			
	KKR Global Infrastructure Investors L.P., Grand Cayman	Holding of industrial companies	1.2			
	Lexington Capital Partners VII, L.P., Wilmington, Delaware	Holding of industrial companies	0.4			
	Lightspeed Venture Partners VI L.P., Delaware	Holding of industrial companies	0.9			
	M 31 Beteiligungsgesellschaft mbH & Co. Energie KG, Duesseldorf	Holding of industrial companies	5.0			
	Macquarie European Infrastructure Fund 4 L.P., London	Holding of industrial companies	0.6			
	MPM BioVentures GmbH & Co. Parallel-Beteiligungen KG, Muenchen	Holding of industrial companies	1.4			
	New Enterprise Associates 13, L.P., George Town, Grand Cayman	Holding of industrial companies	0.5			
	Oaktree Opportunities Fund VIII L.P., Los Angeles, California	Holding of industrial companies	0.7			
	Odewald & Compagnie GmbH & Co. KG fuer Vermoegensanlagen in Portfoliounternehmen, Berlin	Holding of industrial companies	2.3			
	PAI Europe V – 1 L.P., St. Peter Port, Guernsey	Holding of industrial companies	0.2			
	Pantheon Asia Fund V L.P., St. Peter Port, Guernsey	Holding of industrial companies	1.2			
	Pantheon Asia Fund VI, L.P., San Francisco, California	Holding of industrial companies	3.1			
	Park Square Capital Partners II L.P., St. Peter Port, Guernsey	Holding of industrial companies	3.0			
	Siemens Global Innovation Partners I GmbH & Co. KG, Muenchen	Holding of industrial companies	1.5			
	The Founders Fund IV, L.P., San Francisco, California	Holding of industrial companies	2.5			
	The Global Life Science Ventures Fonds II GmbH & Co. KG, Muenchen	Holding of industrial companies	7.4			
	TowerBrook Investors III, L.P., George Town, Grand Cayman	Holding of industrial companies	0.2			
ERGO Private Capital GmbH, Duesseldorf	ERGO Private Capital Dritte GmbH & Co. KG, Duesseldorf	Others	0.0	98-0578962		
	ERGO Private Capital Gesundheit GmbH & Co. KG, Duesseldorf	Others	0.0	98-0567366		
	ERGO Private Capital Leben GmbH & Co. KG, Duesseldorf	Others	0.0			
	ERGO Private Capital Vierte GmbH & Co. KG, Duesseldorf	Others	0.0			
	ERGO Private Capital Zweite GmbH & Co. KG, Duesseldorf	Others	0.0			
ERGO Private Capital Komposit GmbH & Co. KG, Duesseldorf	Access Capital Fund IV L.P., St. Peter Port, Guernsey	Holding of industrial companies	1.8			
	Access Capital Fund V LP Growth Buy-Out Europe, Edinburgh	Holding of industrial companies	1.1			
	Adveq Europe IV B C.V., Willemstad, Curacao	Holding of industrial companies	1.1			
	APEP Dachfonds GmbH & Co. KG, Muenchen	Holding of industrial companies	2.7			
	Apollo Investment Fund VIII, L.P., New York City, New York	Holding of industrial companies	2.0			
	Ares Corporate Opportunities Fund IV L.P., Los Angeles, California	Holding of industrial companies	0.4			
	BC European Capital IX-1 L.P., London	Holding of industrial companies	0.1			
	Blackstone Capital partners VI L.P., Wilmington, Delaware	Holding of industrial companies	0.0			
	Capital Dynamics Champion Ventures VI, L.P., Woodside, California	Holding of industrial companies	4.0			
	Collier International Partners Fund VI, L.P., London	Holding of industrial companies	0.2			
	CVC European Equity Partners V (A) L.P., George Town, Grand Cayman	Holding of industrial companies	0.1			
	Energy Investors XV (Scotland) L.P., Washington, D.C.	Holding of industrial companies	5.7			
	Francisco Partners III L.P., San Francisco, California	Holding of industrial companies	0.5			
	Greenspring Global Partners IV-B, L.P., Owings Mills, Maryland	Holding of industrial companies	1.4			
	Greenspring Global Partners V-B, L.P., Owings Mills, Maryland	Holding of industrial companies	3.8			
	HighTech Beteiligungen GmbH und Co. KG, Duesseldorf	Holding of industrial companies	6.6			
	HRJ Capital Global Buy-Out III (U.S.), L.P., Woodside, California	Holding of industrial companies	4.0			
	IMH Venture Capital Berlin GmbH, Berlin	Holding of industrial companies	16.6			
	Index Ventures Growth II (Jersey), L.P., St. Helier, Jersey, Channel Islands	Holding of industrial companies	1.3			
	KKR Global Infrastructure Investors L.P., Grand Cayman	Holding of industrial companies	0.6			
	Lexington Capital Partners VII, L.P., Wilmington, Delaware	Holding of industrial companies	0.1			
	M 31 Beteiligungsgesellschaft mbH & Co. Energie KG, Duesseldorf	Holding of industrial companies	2.1			
	Macquarie European Infrastructure Fund 4 L.P., London	Holding of industrial companies	0.3			
	New Enterprise Associates 13, L.P., George Town, Grand Cayman	Holding of industrial companies	0.3			
	Oaktree Opportunities Fund VIII L.P., Los Angeles, California	Holding of industrial companies	0.4			
	Odewald & Compagnie GmbH & Co. KG fuer Vermoegensanlagen in Portfoliounternehmen, Berlin	Holding of industrial companies	3.0			
	PAI Europe V – 1 L.P., St. Peter Port, Guernsey	Holding of industrial companies	0.1			
	Pantheon Asia Fund V L.P., St. Peter Port, Guernsey	Holding of industrial companies	0.6			
	Pantheon Asia Fund VI, L.P., San Francisco, California	Holding of industrial companies	1.5			
	Park Square Capital Partners II L.P., St. Peter Port, Guernsey	Holding of industrial companies	1.5			
	Siemens Global Innovation Partners I GmbH & Co. KG, Muenchen	Holding of industrial companies	3.4			
	The Founders Fund IV, L.P., San Francisco, California	Holding of industrial companies	1.7			

**ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.**

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
ERGO Private Capital Leben GmbH & Co. KG, Duesseldorf	TowerBrook Investors III, L.P., George Town, Grand Cayman	Holding of industrial companies	0.2			
	Access Capital Fund IV L.P., St. Peter Port, Guernsey	Holding of industrial companies	3.6			
	ACOF III CV AIV (OFFSHORE) II, L.P., Los Angeles, California	Holding of industrial companies	0.0			
	ACOF III GC AIV, L.P., Los Angeles, California	Holding of industrial companies	0.0			
	ACOF III Oro AIV, L.P., Los Angeles, California	Holding of industrial companies	0.0			
	ACOF III Plasco AIV, L.P., Los Angeles, California	Holding of industrial companies	0.0			
	Adveq Europe III L.P., Wilmington, Delaware	Holding of industrial companies	4.3			
	Adveq Europe IV B C.V., Willemstad, Curacao	Holding of industrial companies	3.7			
	Adveq Opportunities II C.V., Willemstad, Curacao	Holding of industrial companies	3.3			
	APEP Dachfonds GmbH & Co. KG, Muenchen	Holding of industrial companies	3.4			
	Ares Corporate Opportunities Fund III L.P., Los Angeles, California	Holding of industrial companies	0.4			
	Capital Dynamics Champion Ventures VI, L.P., Woodside, California	Holding of industrial companies	6.8			
	CVC European Equity Partners V (A) L.P., George Town, Grand Cayman	Holding of industrial companies	0.5			
	EIG Energy Fund XIV (Cayman) L.P., George Town, Grand Cayman	Holding of industrial companies	5.2			
	Global Infrastructure Partners - C L.P., St. Peter Port, Guernsey	Holding of industrial companies	0.3			
	Greenspring Global Partners IV-B, L.P., Owings Mills, Maryland	Holding of industrial companies	2.4			
	HighTech Beteiligungen GmbH und Co. KG, Duesseldorf	Holding of industrial companies	6.6			
	HRJ Capital Global Buy-Out III (U.S.), L.P., Woodside, California	Holding of industrial companies	9.2			
	IMH Venture Capital Berlin GmbH, Berlin	Holding of industrial companies	3.2			
	Morgan Stanley Infrastructure German Investors, L.P., George Town, Grand Cayman	Holding of industrial companies	19.9			
	Odewald & Compagnie GmbH & Co. KG fuer Vermoegensanlagen in Portfoliounternehmen, Berlin	Holding of industrial companies	3.0			
	PAI Europe V - 1 L.P., St. Peter Port, Guernsey	Holding of industrial companies	0.5			
	Pantheon Asia Fund V L.P., St. Peter Port, Guernsey	Holding of industrial companies	1.9			
	Siemens Global Innovation Partners I GmbH & Co. KG, Muenchen	Holding of industrial companies	5.0			
	TowerBrook Investors III, L.P., George Town, Grand Cayman	Holding of industrial companies	0.4			
ERGO Private Capital Vierte GmbH & Co. KG, Duesseldorf	APAX Europe VII - B, L.P., St. Peter Port, Guernsey	Holding of industrial companies	0.1			
	IRIS Capital Fund II German Investors GmbH & Co. KG, Duesseldorf	Holding of industrial companies	15.7			
	Odewald & Compagnie GmbH & Co. Dritte Beteiligungsgesellschaft fuer Vermoegensanlagen KG, Berlin	Holding of industrial companies	1.0			
	PAI Europe V - 1 FCPR, St. Peter Port, Guernsey	Holding of industrial companies	0.2			
	Vier Gas Investments S.à.r.l., Luxemburg	Holding of industrial companies	3.2			
ERGO Private Capital Zweite GmbH & Co. KG, Duesseldorf	Adveq Europe II GmbH, Frankfurt	Holding of industrial companies	9.8			
	Adveq Technology III GmbH, Frankfurt	Holding of industrial companies	10.0			
	APAX Europe VII - B, L.P., St. Peter Port, Guernsey	Holding of industrial companies	0.2			
	IRIS Capital Fund II German Investors GmbH & Co. KG, Duesseldorf	Holding of industrial companies	7.0			
	Odewald & Compagnie GmbH & Co. Dritte Beteiligungsgesellschaft fuer Vermoegensanlagen KG, Berlin	Holding of industrial companies	1.0			
	PAI Europe V - 1 FCPR, St. Peter Port, Guernsey	Holding of industrial companies	0.8			
ERGO SIGORTA A.S., Istanbul	Tarim Sigortalar Havuz Isletmesi A.S. Tarism, Istanbul	Others	4.2			
ERGO Versicherung Aktiengesellschaft, Duesseldorf	Blitz 10-807 GmbH, Muenchen	Others	100.0			
	carexpert Kfz-Sachverstaendigen GmbH, Walluf	Others	25.0			
	D.A.S. Deutscher Automobil Schutz Allgemeine Rechtsschutz-Versicherungs-Aktiengesellschaft, Muenchen	Insurance	75.6			
	ERGO Grundstuecksverwaltung GbR, Duesseldorf	Others	60.0			
	ERGO Private Capital Komposit GmbH & Co. KG, Duesseldorf	Others	80.0			
	ERGO Private Capital Vierte GmbH & Co. KG, Duesseldorf	Others	80.0			
	ERGO Specialty GmbH, Hamburg	Others	100.0			
	ERGO Versicherungs- und Finanzierungs-Vermittlung GmbH, Hamburg	Others	100.0			
	ERGO Zweite Beteiligungsgesellschaft mbH, Duesseldorf	Others	33.3			
	GDV Dienstleistungs-GmbH & Co. KG, Hamburg	Others	3.8			
	HMV GFKL Beteiligungen GmbH, Duesseldorf	Financial enterprises	100.0			
	InterAssistance Gesellschaft fuer Dienstleistungen mit beschraenker Haftung, Muenchen	Others	100.0			
	MEGA 4 GbR, Berlin	Others	20.6			
	Merkur Grundstuecks- und Beteiligungs-Gesellschaft mit beschraenker Haftung, Duesseldorf	Financial enterprises	100.0			
	Oesterreichische Volksbanken-AG, Wien	Credit institutions	0.7			
	Seminaris Hotel- und Kongressstaetten-Betriebsgesellschaft mbH, Lueneburg	Others	50.0			
	Teko - Technisches Kontor fuer Versicherungen Gesellschaft mit beschraenker Haftung, Duesseldorf	Others	30.0			
	Three Lions Underwriting Ltd., London	Others	100.0			
	VHDK Beteiligungsgesellschaft mbH, Duesseldorf	Holding of industrial companies	15.0			
	VICTORIA US Property Investment GmbH, Duesseldorf	Holding of industrial companies	50.3			
	Victoria Vierte Baubauschnitt GmbH & Co. KG, Duesseldorf	Others	4.9			
	Victoria Vierte Baubauschnitt Management GmbH, Duesseldorf	Others	100.0			
	VV Immobilien GmbH & Co. GB KG, Duesseldorf	Others	8.2			
	VV Immobilien GmbH & Co. United States KG, Muenchen	Others	7.8			
	Wohnungsgesellschaft Brela mbH, Hamburg	Others	100.0			
	"TopReport" Schadenbesichtigungs GmbH, Wien	Others	14.3			
	Bank Austria Creditanstalt Versicherungsdienst GmbH, Wien	Others	100.0			
	Center Hotelbetriebs GmbH, Wien	Others	10.0			
	ERGO Elelebitzostis Zrt., Budapest	Insurance	11.2			
	ERGO osiguranje d.d., Zagreb	Insurance	24.8			
	ERGO Poisťovna, a. s., Bratislava	Insurance	14.5			
	ERGO pojist'ovna, a.s., Prag	Insurance	24.1			
	ERGO Zivotno osiguranje d.d., Zagreb	Insurance	24.8			

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
	Immobilien Rating GmbH, Wien	Others	1.0			
	PFG Holding GmbH, Wien	Others	10.8			
	PIG Liegenschaftsbewirtschaftungs GmbH, Wien	Others	9.3			
	Projektbau Holding GmbH, Wien	Others	10.0			
	Renaissance Hotel Realbesitz GmbH, Wien	Others	10.0			
	Union Beteiligungsholding GmbH, Wien	Others	100.0			
	VB VICTORIA Zastupanje u Osiguranju d.o.o., Zagreb	Others	74.9			
	VFG Vorsorge Finanzierungsconsulting GmbH, Wien	Others	25.0			
	VICTORIA VOLKSANKEN Bezitosit Zrt., Budapest	Insurance	24.7			
	VICTORIA VOLKSANKEN Pensionskassen Aktiengesellschaft, Wien	Insurance	23.8			
	VICTORIA VOLKSANKEN Vorsorgekasse AG, Wien	Others	50.0			
	VV-Consulting Gesellschaft fuer Risikoanalyse, Vorsorgeberatung und Versicherungsvermittlung GmbH, Wien	Others	100.0			
ERGO Versicherungsgruppe AG, Duesseldorf	welvit Solarfonds GmbH & Co. KG, Nuernberg	Others	22.2			
	AEVG 2004 GmbH, Frankfurt	Others	0.0			
	almeda GmbH, Muenchen	Others	100.0			
	avanturo GmbH, Duesseldorf	Others	100.0			
	CAPITAL PLAZA Holding GmbH & Co. Singapur KG, Duesseldorf	Holding of industrial companies	70.0			
	CAPITAL PLAZA Holding GmbH, Duesseldorf	Holding of industrial companies	70.0			
	D.A.S. Deutscher Automobil Schutz Allgemeine Rechtsschutz-Versicherungs-Aktiengesellschaft, Muenchen	Insurance	24.4			
	DKV Deutsche Krankenversicherung Aktiengesellschaft, Koeln	Insurance	100.0	98-0681814		
	ERGO Alpha GmbH, Duesseldorf	Others	100.0			
	ERGO Beratung und Vertrieb AG, Duesseldorf	Others	100.0			
	ERGO China Life Insurance Co., Ltd., Jinan, Shandong Province	Insurance	20.0			
	ERGO DIREKT Krankenversicherung AG, Fuerth	Insurance	100.0			
	ERGO DIREKT Lebensversicherung AG, Fuerth	Insurance	100.0			
	ERGO DIREKT Versicherung AG, Fuerth	Insurance	100.0			
	ERGO Elite Beteiligungsgesellschaft mbH, Duesseldorf	Others	100.0			
	ERGO GmbH, Herisau	Financial enterprises	100.0			
	ERGO Gourmet GmbH, Duesseldorf	Others	100.0			
	ERGO Immobilien-Verwaltungs-GmbH, Kreien	Others	100.0			
	ERGO Insurance N.V., Bruessel	Insurance	0.0			
	ERGO International Aktiengesellschaft, Duesseldorf	Holding of insurances	100.0			
	ERGO International Services GmbH, Duesseldorf	Others	100.0			
	ERGO Lebensversicherung Aktiengesellschaft, Hamburg	Insurance	100.0	52-2175110		
	ERGO Neunte Beteiligungsgesellschaft mbH, Duesseldorf	Others	100.0			
	ERGO Pensionsfonds Aktiengesellschaft, Duesseldorf	Insurance	100.0			
	ERGO Pensionskasse AG, Duesseldorf	Insurance	100.0	98-0680951		
	ERGO Private Capital GmbH, Duesseldorf	Others	100.0			
	ERGO Versicherung Aktiengesellschaft, Duesseldorf	Insurance	100.0			
	ERGO Zehnte Beteiligungsgesellschaft mbH, Duesseldorf	Others	100.0			
	EUROPAEISCHE Reiseversicherung Aktiengesellschaft, Muenchen	Insurance	100.0			
	EVV Logistik Management GmbH, Duesseldorf	Others	16.0			
	Exivo GmbH, Hamburg	Others	100.0			
	FAIRANCE GmbH, Duesseldorf	Others	100.0			
	Hamburg-Mannheimer Pensionskasse AG, Hamburg	Insurance	100.0	98-0680916		
	IDEENKAPITAL GmbH, Duesseldorf	Holding	100.0			
	IK Objektgesellschaft Frankfurt Theodor-Heuss-Allee GmbH & Co. KG, Duesseldorf	Others	47.4			
	ITERGO Informationstechnologie GmbH, Duesseldorf	Others	100.0			
	Kapdon-Invest GmbH, Moskau	Others	100.0			
	KarstadtQuelle Finanz Service GmbH, Duesseldorf	Others	50.0			
	Longiai GmbH, Duesseldorf	Others	100.0			
	MAYFAIR Holding GmbH & Co. Singapur KG, Duesseldorf	Holding of industrial companies	50.0			
	MAYFAIR Holding GmbH, Duesseldorf	Holding of industrial companies	100.0			
	MCAF Management GmbH, Duesseldorf	Financial enterprises	50.0			
	MCAF Verwaltungs-GmbH & Co.KG, Duesseldorf	Financial enterprises	50.0			
	MEAG Cash Management GmbH, Muenchen	Others	40.0			
	MEAG MUNICH ERGO AssetManagement GmbH, Muenchen	Financial services institutions	40.0			
	MEDICLIN Aktiengesellschaft, Offenburg	Others	23.2			
	MEGA 4 GbR, Berlin	Others	13.7			
	Neckermann Versicherung AG, Nuernberg	Insurance	100.0			
	Oesterreichische Volksbanken-AG, Wien	Credit institutions	0.9			
	Titus AG, Duesseldorf	Others	100.0			
	VICTORIA Immobilien-Fonds GmbH, Duesseldorf	Insurance	100.0	98-0168041		
	VICTORIA Lebensversicherung Aktiengesellschaft, Duesseldorf	Holding of industrial companies	100.0			
	VICTORIA US Property Zwei GmbH, Duesseldorf	Holding of industrial companies	100.0			
	Victoria VIP II, Inc., Wilmington, Delaware	Insurance	100.0			
	Vorsorge Lebensversicherung Aktiengesellschaft, Duesseldorf	Holding of industrial companies	50.0			
	WISMA ATRIA Holding GmbH & Co. Singapur KG, Duesseldorf	Holding of industrial companies	50.0			
	WISMA ATRIA Holding GmbH, Duesseldorf	Holding of industrial companies	50.0			
	WNE Solarfonds Sueddeutschland 2 GmbH & Co. KG, Nuernberg	Others	89.0			

**ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.**

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
ERGO Vida Seguros y Reaseguros, Sociedad Anónima, Saragossa	GESNORTE S.A.; Sociedad Gestora de Instituciones de Inversión Colectiva, Madrid	Others	1.1			
ERV Foersaekringsaktiebolag (publ), Stockholm	Gesprade SA, Madrid	Others	12.0			
ERV pojist'ovna, a.s., Prag	Euro-Center Holding SE, Prag	Others	16.7			
Euro-Center Holding North Asia (HK) Pte. Ltd., Hong Kong	European Assistance Holding GmbH, Muenchen	Holding	10.0			
Euro-Center Holding SE, Prag	Etics, s.r.o., Prag	Others	100.0			
Euro-Center Holding North Asia (HK) Pte. Ltd., Hong Kong	Euro Alarm Assistance Prague, s.r.o., Prag	Others	100.0			
Euro-Center Holding SE, Prag	Euro-Center Holding SE, Prag	Others	16.7			
Euro-Center Holding North Asia (HK) Pte. Ltd., Hong Kong	European Assistance Holding GmbH, Muenchen	Holding	10.0			
Euro-Center Holding SE, Prag	Euro-Center North Asia Consulting Services (Beijing) Co., Ltd., Beijing	Others	100.0			
Euro-Center (Cyprus) Ltd., Larnaca	Euro-Center (Thailand) Co. Ltd., Bangkok	Others	100.0			
Euro-Center Holding SE, Prag	Euro-Center Cape Town (Pty.) Ltd., Kapstadt	Others	100.0			
Euro-Center China (HK) Co., Ltd., Beijing	Euro-Center Holding North Asia (HK) Pte. Ltd., Hong Kong	Others	100.0			
Euro-Center Holding SE, Prag	Euro-Center Ltda., Sao Paulo	Others	100.0			
Euro-Center USA, Inc., New York City, New York	Euro-Center Yerel Yarim, Istanbul	Others	100.0			
Euro-Center Holding SE, Prag	Euro-Center, S.A. (Spain), Palma de Mallorca	Others	100.0			
Euro-Center Holding SE, Prag	Sydney Euro-Center Pty. Ltd., Sydney	Others	100.0			
Europaeiske Rejseforsikring A/S, Kopenhagen	ERV pojist'ovna, a.s., Prag	Insurance	75.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	Euro-Center Holding SE, Prag	Others	16.7			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	European Assistance Holding GmbH, Muenchen	Holding	10.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	"REISEGARANT" Gesellschaft fuer die Vermittlung von Insolvenzversicherungen mbH, Hamburg	Others	24.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	BAYERN TOURISMUS Marketing GmbH, Muenchen	Others	3.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	CJSIC "European Travel Insurance", Moskau	Insurance	100.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	Compagnie Europeenne d'Assurances, Nanterre	Insurance	100.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	Compania Europea de Seguros S.A., Madrid	Insurance	100.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	Deutsche Touring GmbH, Frankfurt/Main	Others	17.2			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	ERV (India) Travel Services and Consulting Private Limited, Mumbai	Others	100.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	ERV Foersaekringsaktiebolag (publ), Stockholm	Insurance	100.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	ERV pojist'ovna, a.s., Prag	Insurance	15.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	ERV Seyahat Sigorta Aracilik Hizmetleri ve Danismanlik Ltd.Sti., Istanbul	Others	99.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	Euro-Center Holding SE, Prag	Others	16.7			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	Europaeiske Rejseforsikring A/S, Kopenhagen	Insurance	100.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	Europai Utazasi Bitozito Rt., Budapest	Insurance	26.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	Europaeische (UK) Ltd., London	Others	100.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	Europaeische Reiseversicherungs-Aktiengesellschaft, Wien	Insurance	25.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	European Assistance Holding GmbH, Muenchen	Holding	60.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	Geschlossene Aktiengesellschaft Europaeische Reiseversicherung, Kiew	Insurance	100.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	TAS Touristik Assekuranz Service International GmbH, Frankfurt/Main	Others	100.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	TAS Touristik Assekuranzmakler und Service GmbH, Frankfurt/Main	Others	100.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	Triple IP B.V., Amsterdam	Others	50.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	ERV (China) Travel Service and Consulting Ltd., Beijing	Others	100.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	Hartford Steam Boiler Colombia Ltda, Bogota	Others	10.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	HSB Brasil Servicos de Engenharia e Inspecao, Ltda., Sao Paulo	Others	10.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	HSB Japan KK, Minato-KU, Tokyo	Others	100.0			
EUROPAeISCH Reiseversicherung Aktiengesellschaft, Muenchen	The Hartford Steam Boiler Inspection and Insurance Company of Connecticut, Hartford, Connecticut	Insurance	100.0	06-1240885	29890	CT
goMedus Gesellschaft fuer Qualitaet in der Medizin mbH, Koeln	goMedus GmbH & Co. KG, Koeln	Others	0.0			
Hamburg-Mannheimer Pensionskasse AG, Hamburg	ERGO Private Capital Leben GmbH & Co. KG, Duesseldorf	Others	1.0	98-0567366		
Hartford Steam Boiler International-GmbH, Rheine	ERGO Private Capital Zweite GmbH & Co. KG, Duesseldorf	Others	1.0			
HSB Associates, Inc., New York, New York	Hartford Steam Boiler International India Pvt. Ltd., Kolkata	Others	100.0			
HSB Engineering Finance Corporation, Dover, Delaware	One State Street Intermediaries, Inc., Hartford, Connecticut	Others	100.0			
HSB Engineering Insurance Limited, London	Hartford Research, LLC, Lewes, Delaware	Holding	41.8			
HSB Engineering Insurance Limited, London	Hartford Steel Technologies, LLC, Lewes, Delaware	Others	11.1			
HSB Group, Inc., Dover, Delaware	HSB Ventures, Inc., Dover, Delaware	Holding	100.0	06-1566995		
HSB Solomon Associates LLC, Dover, Delaware	HSB Engineering Insurance Services Limited, London	Others	100.0			
Ideenkapital Client Service GmbH, Duesseldorf	The Boiler Inspection and Insurance Company of Canada, Toronto, Ontario	Insurance	100.0			
IDEENKAPITAL Financial Engineering GmbH, Duesseldorf	HSB Engineering Finance Corporation, Dover, Delaware	Others	100.0			
IDEENKAPITAL Financial Engineering GmbH, Duesseldorf	The Hartford Steam Boiler Inspection and Insurance Company, Hartford, Connecticut	Insurance	100.0	06-0384680	11452	CT
Ideenkapital Client Service GmbH, Duesseldorf	HSB Solomon Associates Canada Ltd., Province of New Brunswick	Others	100.0			
Ideenkapital Client Service GmbH, Duesseldorf	Solomon Associates Limited, London	Others	100.0			
Ideenkapital Client Service GmbH, Duesseldorf	Ideenkapital erste Investoren Service GmbH, Duesseldorf	Others	100.0			
Ideenkapital Client Service GmbH, Duesseldorf	Ideenkapital Fonds Treuhand GmbH, Duesseldorf	Others	100.0			
Ideenkapital Client Service GmbH, Duesseldorf	Ideenkapital Media Treuhand GmbH, Duesseldorf	Others	100.0			
Ideenkapital Client Service GmbH, Duesseldorf	IDEENKAPITAL PRORENDITA EINS Treuhandgesellschaft mbH, Duesseldorf	Others	100.0			
Ideenkapital Client Service GmbH, Duesseldorf	IDEENKAPITAL Schiffsfonds Treuhand GmbH, Duesseldorf	Others	100.0			
Ideenkapital Client Service GmbH, Duesseldorf	Ideenkapital Treuhand US Real Estate eins GmbH, Duesseldorf	Others	100.0			
Ideenkapital Client Service GmbH, Duesseldorf	IK Property Treuhand GmbH, Duesseldorf	Others	100.0			
Ideenkapital Client Service GmbH, Duesseldorf	BioEnergie Verwaltungs-GmbH, Elsterwerda	Others	100.0			
Ideenkapital Client Service GmbH, Duesseldorf	IK Einkauf Objektmanagement GmbH, Duesseldorf	Others	6.0			

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.

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Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
IDEENKAPITAL GmbH, Duesseldorf	IK Objekt Frankfurt Theodor-Heuss-Allee GmbH, Duesseldorf	Others	100.0			
	Seldac 1. Verwaltungs-GmbH, Duesseldorf	Others	100.0			
	Verwaltungsgesellschaft "PORT VICTORIA" GmbH, Duesseldorf	Others	100.0			
	IDEENKAPITAL Anlagebetreuungs GmbH, Duesseldorf	Others	100.0			
	Ideenkapital Client Service GmbH, Duesseldorf	Others	100.0			
	IDEENKAPITAL Financial Engineering GmbH, Duesseldorf	Others	100.0			
	IDEENKAPITAL Financial Service GmbH, Duesseldorf	Others	100.0			
	IDEENKAPITAL Media Finance GmbH, Duesseldorf	Others	50.1			
	IK FE Fonds Management GmbH, Duesseldorf	Others	100.0			
	IK Komp GmbH, Duesseldorf	Others	100.0			
	IK Premium Fonds GmbH & Co. KG, Duesseldorf	Holding of industrial companies	100.0			
	IK Premium Fonds zwei GmbH & Co. KG, Duesseldorf	Holding of industrial companies	100.0			
IDEENKAPITAL Media Finance GmbH, Duesseldorf	Mediastream Consulting GmbH, Gruenwald	Others	100.0			
	Mediastream Dritte Film GmbH, Gruenwald	Others	100.0			
	Mediastream Film GmbH, Gruenwald	Others	100.0			
	Mediastream Vierte Film GmbH & Co. Vermarktung KG, Gruenwald	Others	0.9			
	Mediastream Vierter Medien GmbH, Gruenwald	Others	100.0			
	Mediastream Zweite Film GmbH, Gruenwald	Others	100.0			
Ideenkapital Media Treuhand GmbH, Duesseldorf	PLATINIA Verwaltungs-GmbH, Muenchen	Others	100.0			
	Mediastream Film GmbH & Co. Productions KG, Gruenwald	Others	19.1			
IDEENKAPITAL Metropolen Europa GmbH & Co. KG, Duesseldorf	Mediastream Zweite Film GmbH & Co. Productions KG, Gruenwald	Others	0.0			
IDEENKAPITAL PRORENITA EINS Treuhandgesellschaft mbH, Duesseldorf	Hines Pan-European Core Fund FCP-FIS, Luxemburg	Others	20.8			
	PRORENITA DREI GmbH & Co. KG, Hamburg	Others	0.0			
	PRORENITA EINS GmbH & Co. KG, Hamburg	Others	0.0			
	PRORENITA Fuen GmbH & Co. KG, Hamburg	Others	0.0			
	PRORENITA VIER GmbH & Co. KG, Hamburg	Others	0.0			
	PRORENITA Zwei GmbH & Co. KG, Hamburg	Others	0.0			
IDEENKAPITAL Schiffsfonds Treuhand GmbH, Duesseldorf	"PORT ELISABETH" GmbH & Co. KG, Hamburg	Others	0.0			
	"PORT KELANG" GmbH & Co. KG, Hamburg	Others	0.0			
	"PORT LOUIS" GmbH & Co. KG, Hamburg	Others	0.0			
	"PORT MAUBERT" GmbH & Co. KG, Hamburg	Others	0.0			
	"PORT MELBOURNE" GmbH & Co. KG, Hamburg	Others	0.0			
	"PORT MENIER" GmbH & Co. KG, Hamburg	Others	0.0			
	"PORT MOODY" GmbH & Co. KG, Hamburg	Others	0.0			
	"PORT MORESBY" GmbH & Co. KG, Hamburg	Others	0.0			
	"PORT MOUTON" GmbH & Co. KG, Hamburg	Others	0.0			
	"PORT NELSON" GmbH & Co. KG, Hamburg	Others	0.0			
	"PORT RUSSEL" GmbH & Co. KG, Hamburg	Others	0.0			
	"PORT SAID" GmbH & Co. KG, Hamburg	Others	0.0			
	"PORT STANLEY" GmbH & Co. KG, Hamburg	Others	0.0			
	"PORT STEWART" GmbH & Co. KG, Hamburg	Others	0.0			
	"PORT UNION" GmbH & Co. KG, Hamburg	Others	0.0			
Ideenkapital Treuhand US Real Estate eins GmbH, Duesseldorf	IK US Portfolio Invest GmbH & Co. KG, Duesseldorf	Others	0.0			
	IK US Portfolio Invest ZWEI GmbH & Co. KG, Duesseldorf	Others	0.0			
IK Einkauf Objektmanagement GmbH, Duesseldorf	IK Einkauf Objekt Eins gmbh & Co. KG, Duesseldorf	Others	6.0			
IK Einkauf Objektverwaltungsgesellschaft mbH, Duesseldorf	IK Einkauf Objekt Eins gmbh & Co. KG, Duesseldorf	Others	0.0			
IK Einkaufsmaerkte Deutschland GmbH & Co. KG, Duesseldorf	IK Einkauf Objekt Eins gmbh & Co. KG, Duesseldorf	Others	94.0			
IK FE Fonds Management GmbH, Duesseldorf	IDEENKAPITAL Metropolen Europa GmbH & Co. KG, Duesseldorf	Others	0.0			
	IK Australia Property Eins GmbH & Co. KG, Hamburg	Others	0.0			
	IK Einkaufsmaerkte Deutschland GmbH & Co. KG, Duesseldorf	Others	0.0			
	IK Objekt Bensheim Immobilienfonds GmbH & Co. KG, Duesseldorf	Others	0.1			
	K & P Objekt Hamburg Hamburger Strasse Immobilienfonds GmbH & Co. KG, Duesseldorf	Others	0.1			
	K & P Objekt Muenchen Hufelandstrasse Immobilienfonds GmbH & Co. KG, Duesseldorf	Others	0.0			
	K & P Pflegezentrum IMMAC Uelzen Renditefonds GmbH & Co. KG, Duesseldorf	Others	0.0			
	US PROPERTIES VA Verwaltungs-GmbH, Duesseldorf	Others	100.0			
	IDEENKAPITAL Metropolen Europa Verwaltungsgesellschaft mbH, Duesseldorf	Others	100.0			
	IK Einkauf Objektverwaltungsgesellschaft mbH, Duesseldorf	Others	100.0			
	IK Einkaufsmaerkte Deutschland Verwaltungsgesellschaft mbH, Duesseldorf	Others	100.0			
	IK Objekt Bensheim GmbH, Duesseldorf	Others	100.0			
	IK Pflegezentrum Uelzen Verwaltungs-GmbH, Duesseldorf	Others	100.0			
	IK Property Eins Verwaltungsgesellschaft mbH, Hamburg	Others	100.0			
	IK US Portfolio Invest DREI Verwaltungs-GmbH, Duesseldorf	Others	100.0			
	IK US Portfolio Invest Verwaltungs-GmbH, Duesseldorf	Others	100.0			
	IK US Portfolio Invest ZWEI Verwaltungs-GmbH, Duesseldorf	Others	100.0			
	K & P Objekt Hamburg Hamburger Strasse GmbH, Duesseldorf	Others	100.0			
	K & P Objekt Muenchen Hufelandstrasse GmbH, Duesseldorf	Others	100.0			
	PRORENITA DREI Verwaltungsgesellschaft mbH, Hamburg	Others	100.0			
	PRORENITA EINS Verwaltungsgesellschaft mbH, Hamburg	Others	100.0			
	PRORENITA Fuen Verwaltungsgesellschaft mbH, Hamburg	Others	100.0			
		Others	100.0			

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
	PRORENITA VIER Verwaltungsgesellschaft mbH, Hamburg	Others	100.0			
	PRORENITA ZWEI Verwaltungsgesellschaft mbH, Hamburg	Others	100.0			
	Verwaltungsgesellschaft "PORT ELISABETH" mbH, hamburg	Others	50.0			
	Verwaltungsgesellschaft "PORT KELANG" mbH, Hamburg	Others	50.0			
	Verwaltungsgesellschaft "PORT LOUIS" GmbH, Hamburg	Others	50.0			
	Verwaltungsgesellschaft "PORT MAUBERT" mbH, Hamburg	Others	50.0			
	Verwaltungsgesellschaft "PORT MELBOURNE" mbH, Hamburg	Others	50.0			
	Verwaltungsgesellschaft "PORT MENIER" mbH, Hamburg	Others	50.0			
	Verwaltungsgesellschaft "PORT MOODY" mbH, Hamburg	Others	50.0			
	Verwaltungsgesellschaft "PORT MORESBY" mbH, Hamburg	Others	50.0			
	Verwaltungsgesellschaft "PORT MOUTON" mbH, Hamburg	Others	50.0			
	Verwaltungsgesellschaft "PORT NELSON" mbH, Hamburg	Others	50.0			
	Verwaltungsgesellschaft "PORT RUSSEL" GmbH, Hamburg	Others	50.0			
	Verwaltungsgesellschaft "PORT SAID" GmbH, Hamburg	Others	50.0			
	Verwaltungsgesellschaft "PORT STANLEY" GmbH, Hamburg	Others	50.0			
	Verwaltungsgesellschaft "PORT STEWART" mbH, Hamburg	Others	50.0			
	Verwaltungsgesellschaft "PORT UNION" mbH, Hamburg	Others	50.0			
IK Premium Fonds GmbH & Co. KG, Duesseldorf	IDEENKAPITAL Metropolen Europa GmbH & Co. KG, Duesseldorf	Others	72.3			
	IK Australia Property Eins GmbH & Co. KG, Hamburg	Others	10.6			
	IK Einkaufsmaerkte Deutschland GmbH & Co. KG, Duesseldorf	Others	52.0			
	IK Objekt Bensheim Immobilienfonds GmbH & Co. KG, Duesseldorf	Others	16.2			
	IKFE Properties I AG, Zuerich	Others	63.6			
	K & P Objekt Hamburg Hamburger Strasse Immobilienfonds GmbH & Co.KG, Duesseldorf	Others	36.5			
	K & P Pflegezentrum IMMAC Uelzen Renditefonds GmbH & Co. KG, Duesseldorf	Others	84.8			
	PLATINIA world wide equity Erste Beteiligungs-GmbH & Co. KG, Gruenwald	Others	0.4			
	"PORT ELISABETH" GmbH & Co. KG, Hamburg	Others	31.9			
	"PORT KELANG" GmbH & Co. KG, Hamburg	Others	0.3			
	"PORT LOUIS" GmbH & Co. KG, Hamburg	Others	25.8			
	"PORT MAUBERT" GmbH & Co. KG, Hamburg	Others	0.3			
	"PORT MELBOURNE" GmbH & Co. KG, Hamburg	Others	0.3			
	"PORT MOUTON" GmbH & Co. KG, Hamburg	Others	0.4			
	"PORT NELSON" GmbH & Co. KG, Hamburg	Others	0.2			
	"PORT RUSSEL" GmbH & Co. KG, Hamburg	Others	0.8			
	"PORT SAID" GmbH & Co. KG, Hamburg	Others	0.2			
	"PORT STANLEY" GmbH & Co. KG, Hamburg	Others	0.2			
	"PORT STEWART" GmbH & Co. KG, Hamburg	Others	0.2			
	"PORT UNION" GmbH & Co. KG, Hamburg	Others	0.0			
	Mediastream Dritte Film GmbH & Co. Beteiligungs KG, Gruenwald	Others	0.0			
	Mediastream Vierte Film GmbH & Co. Vermarktungs KG, Gruenwald	Others	5.3			
	PRORENITA EINS GmbH & Co. KG, Hamburg	Others	0.0			
	PRORENITA Fuenf GmbH & Co. KG, Hamburg	Others	0.0			
	US PROPERTIES VA GmbH & Co. KG, Duesseldorf	Others	46.1			
	IDEENKAPITAL Metropolen Europa GmbH & Co. KG, Duesseldorf	Others	0.0			
	IK Australia Property Eins GmbH & Co. KG, Hamburg	Others	0.0			
	IK Einkaufsmaerkte Deutschland GmbH & Co. KG, Duesseldorf	Others	0.0			
	IK US PORTFOLIO INVEST Drei GmbH & Co. KG, Duesseldorf	Others	0.0			
	US PROPERTIES VA GmbH & Co. KG, Duesseldorf	Others	0.0			
	IK US PORTFOLIO INVEST Drei GmbH & Co. KG, Duesseldorf	Others	0.0			
	IK US Portfolio Invest GmbH & Co. KG, Duesseldorf	Others	0.0			
	IK US Portfolio Invest ZWEI GmbH & Co. KG, Duesseldorf	Others	0.0			
	Joint Stock Insurance Company ERGO, Minsk	Insurance	26.5			
	IRIS Capital Fund II German Investors GmbH & Co. KG, Duesseldorf	Holding of industrial companies	19.8			
	Jupiter Vermoegensverwaltungsgesellschaft mbH, Muenchen	Others	0.1			
	Kapdom-Invest GmbH, Moskau	Service company	10.0			
	Kuik & Partners Gerechtsdeurwaarders & Incassobureau B.V., Eindhoven	Service company	1.0			
	Landelijke Associatie van Gerechtsdeurwaarders B.V., Groningen	Insurance	4.5			
	LAVG Associatie van Gerechtsdeurwaarders Zuid Holding B.V., Breda	Others	98.9			
	LifePlans Inc., Waltham, Massachusetts	Others	33.0			
	m:editerran POWER FRANCE GmbH, Nuernberg	Others	100.0			
	m:editerran POWER GmbH & Co. KG, Nuernberg	Others	100.0			
	m:editerran Power S.a.s. di welavit Solar Italia S.r.l., Bozen	Others	100.0			
	AEDES Project S.r.l. i.l., Maland	Others	7.0			
	LCM Logistic Center Management GmbH, Hamburg	Others	50.0			

**ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.**

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
MEAG MUNICH ERGO Kapitalanlagegesellschaft mbH, Muenchen	MAYFAIR Financing GmbH, Muenchen	Others	100.0			
MEAG New York Corporation, Wilmington, Delaware	MEAG Center House S.A., Bruessel	Others	0.0			
MEAG US Real Estate Management Holdings, Inc., Wilmington, Delaware	MEAG MUNICH ERGO Kapitalanlagegesellschaft mbH, Muenchen	Credit institutions	100.0			
Mediastream Consulting GmbH, Gruenwald	MEAG Pacific Star Holdings Ltd., Hong Kong	Others	50.0			
MedNet Holding GmbH, Muenchen	MEAG Real Estate Erste Beteiligungsgesellschaft, Muenchen	Others	100.0			
	MEAG US Real Estate Management Holdings, Inc., Wilmington, Delaware	Holding of industrial companies	100.0			
	PICC Asset Management Company Ltd., Shanghai	Financial services institutions	19.0			
	ProVictor Immobilien GmbH, Duesseldorf	Others	50.5			
	RM 2264 Vermoegensverwaltungs GmbH, Muenchen	Others	25.0			
	Rumba GmbH & Co. KG, Muenchen	Others	25.0			
	VICTORIA Immobilien Management GmbH, Muenchen	Others	100.0			
	VICTORIA Immobilien-Fonds Objekt Leipzig KG (Kommanditist), Duesseldorf	Others	0.2			
	VV Immobilien Verwaltungs GmbH, Muenchen	Others	30.0			
	VV Immobilien Verwaltungs und Beteiligungs GmbH, Muenchen	Others	30.0			
	MEAG Luxembourg S.a.r.l., Luxemburg	Others	100.0			
	MEAG Hong Kong Limited, Hong Kong	Financial services institutions	100.0			
	U.S. Property Management III L.P., Atlanta	Others	20.0			
	PLATINIA world wide equity Erste Beteiligungs-GmbH & Co. KG, Gruenwald	Others	0.0			
	Jordan Health Cost Management Services W.L.L., Amman	Service company	100.0			
	MedNet Bahrain W.L.L., Manama	Service company	100.0			
	MedNet Europa GmbH, Muenchen	Others	100.0			
	MedNet Greece S.A., Athen	Service company	78.1			
	MedNet Gulf E.C., Manama	Service company	100.0			
	MedNet International Ltd., Nicosia	Service company	100.0			
	MedNet Saudi Arabia LLC, Riyadh	Others	100.0			
	MedNet UAE FZ L.L.C., Dubai	Service company	100.0			
	MedNet International Offshore SAL, Beirut	Others	99.7			
	ARTES Assekuranzservice GmbH, Duesseldorf	Others	100.0			
	Horbach GmbH Versicherungsvermittlung und Finanzdienstleistungen, Duesseldorf	Others	70.1			
	Schroembergs & Stephan GmbH, Versicherungsmakler, Duesseldorf	Others	100.0			
	MFI Munich Finance and Investment Ltd., Ta' Xbiex	Others	100.0			
	American Modern Insurance Group, Inc., Amelia, Ohio	Holding of insurances	100.0	31-1395650		
	Marbury Agency, Inc., Amelia, Ohio	Others	100.0	31-0831559		
MR Beteiligungen 1. GmbH, Muenchen	Access Capital Fund IV L.P., St. Peter Port, Guernsey	Holding of industrial companies	8.9			
	ACOF III CV AIV (OFFSHORE) II, L.P., Los Angeles, California	Holding of industrial companies	0.0			
	ACOF III GC AIV, L.P., Los Angeles, California	Holding of industrial companies	0.0			
	ACOF III Plasco AIV, L.P., Los Angeles, California	Holding of industrial companies	0.0			
	Adveq Europe III L.P., Wilmington, Delaware	Holding of industrial companies	2.9			
	Adveq Europe IV B C.V., Willemstad, Curacao	Holding of industrial companies	11.3			
	Adveq Opportunities II C.V., Willemstad, Curacao	Holding of industrial companies	4.4			
	APAX Europe VII - B, L.P., St. Peter Port, Guernsey	Holding of industrial companies	0.4			
	APEP Dachfonds GmbH & Co. KG, Muenchen	Holding of industrial companies	6.1			
	Apollo Overseas Partners VII, L.P., Delaware	Holding of industrial companies	5.2			
	Ares Corporate Opportunities Fund III L.P., Los Angeles, California	Holding of industrial companies	0.7			
	Capital Dynamics Champion Ventures VI, L.P., Woodside, California	Holding of industrial companies	14.5			
	CVC European Equity Partners V (A) L.P., George Town, Grand Cayman	Holding of industrial companies	0.6			
	Greenspring Global Partners IV-B, L.P., Owings Mills, Maryland	Holding of industrial companies	5.0			
	HRJ Capital Global Buy-Out III (U.S.), L.P., Woodside, California	Holding of industrial companies	27.7			
	Lexington Capital Partners VII, L.P., Wilmington, Delaware	Holding of industrial companies	0.5			
	New Enterprise Associates 13, L.P., George Town, Grand Cayman	Holding of industrial companies	0.8			
	Odewald & Compagnie GmbH & Co. Dritte Beteiligungsgesellschaft fuer Vermoegsanlagen KG, Berlin	Holding of industrial companies	2.0			
	PAI Europe V - 1 FCPR, St. Peter Port, Guernsey	Holding of industrial companies	1.4			
	PAI Europe V - 1 L.P., St. Peter Port, Guernsey	Holding of industrial companies	0.8			
	Pantheon Asia Fund V L.P., St. Peter Port, Guernsey	Holding of industrial companies	3.7			
	Siemens Global Innovation Partners I GmbH & Co. KG, Muenchen	Holding of industrial companies	10.0			
	TowerBrook Investors III, L.P., George Town, Grand Cayman	Holding of industrial companies	1.3			
MR Beteiligungen 18. GmbH, Gruenwald	MR Beteiligungen 18, GmbH & Co. Immobilien KG, Gruenwald	Others	0.0	98-0557018		
MR Beteiligungen 19. GmbH, Muenchen	Hines India Fund LP, Houston, Texas	Others	11.8			
MR Infrastructure Investment GmbH, Gruenwald	U.S. Property Fund V GmbH & Co. KG, Muenchen	Others	4.7			
MR Parkview Holding Corporation, Wilmington, Delaware	KKR Global Infrastructure Investors L.P., Grand Cayman	Holding of industrial companies	4.1			
MR RENT UK Investment Limited, London	Macquarie European Infrastructure Fund 4 L.P., London	Holding of industrial companies	0.9			
MR RENT-Investment GmbH, Muenchen	Marchwood Power Limited, Marchwood	Holding of industrial companies	50.0			
	Vier Gas Investments S.a.r.l., Luxemburg	Holding of industrial companies	33.2			
	MSMR Parkview LLC, Dover, Delaware	Others	38.5			
	Bagmoor Holdings Limited, London	Others	100.0			
	Scout Moor Group Limited, Manchester	Others	100.0			
	UK Wind Holdings Ltd, London	Others	100.0			
	Adelfa Servicios a Instalaciones Fotovoltaicas, S.L., Santa Cruz de Tenerife	Others	100.0			
	Braemar Energy Ventures II, L.P., Dover, Delaware	Holding of industrial companies	9.6			
	Braemar Energy Ventures III, L.P., Wilmington, Delaware	Others	8.9			

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
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Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
	EGM Wind SAS, Paris	Others	40.0			
	Element Partners II, L.P., Wilmington, Delaware	Holding of industrial companies	4.2			
	FOTOUNO S.r.l., Turin	Others	100.0			
	FOTOWATIO ITALIA GALATINA S.r.l., Turin	Others	100.0			
	M 31 Beteiligungsgesellschaft mbH & Co. Energie KG, Duesseldorf	Holding of industrial companies	11.5			
	MAGAZ FOTOVOLTAICA, S.L.U., Alcobendas	Others	100.0			
	MR RENT UK Investment Limited, London	Holding	100.0			
	MVP Fund II GmbH & Co. KG, Gruenwald	Others	20.0			
	SunEnergy & Partners S.r.l., Brindisi	Others	100.0			
	TPG Alternative and Renewable Technologies Partners, L.P., Wilmington	Others	14.0			
	T-Solar Global Operating Assets S.L.U., Madrid	Others	37.0			
	Wind Farms Goetaland Svealand AB, Haessleholm	Others	100.0			
	Windpark MR-B GmbH & Co. KG, Bremen	Others	100.0			
	Windpark MR-D GmbH & Co. KG, Bremen	Others	100.0			
	Windpark MR-N GmbH & Co. KG, Bremen	Others	100.0			
	Windpark MR-S GmbH & Co. KG, Bremen	Others	100.0			
	Windpark MR-T GmbH & Co.KG, Bremen	Others	100.0			
MR Solar GmbH & Co. KG, Nuernberg	MR Solar Beneixama GmbH, Nuernberg	Others	100.0			
	MR SOLAR SAS DER WELIVIT SOLAR ITALIA SRL, Bozen	Others	100.0			
	Trend Capital GmbH & Co. Solarfonds 2 KG, Mainz	Others	34.4			
	wse Solarpark Spanien 1 GmbH & Co. KG, Fuerth	Others	10.0			
MSP Underwriting Ltd., London	Beaufort Dedicated No.1 Ltd, London	Insurance	100.0			
	Beaufort Dedicated No.2 Ltd, London	Insurance	100.0			
	Beaufort Dedicated No.3 Ltd, London	Insurance	100.0			
	Beaufort Dedicated No.4 Ltd, London	Insurance	100.0			
	Beaufort Dedicated No.5 Ltd, London	Insurance	100.0			
	Beaufort Dedicated No.6 Ltd, London	Insurance	100.0			
	Beaufort Underwriting Agency Limited, London	Others	100.0			
	Beaufort Underwriting Services Limited, London	Others	100.0			
Muenchener Rueckversicherung AG, Muenchen	40, Rue Courcelles SAS, Paris	Others	100.0			
	ADEUS Aktienregister-Service-GmbH, Muenchen	Others	15.4			
	Agricultural Management Services S.r.l., Verona	Others	33.3			
	Apollo Hospital Enterprise Ltd., Mumbai	Others	1.8			
	Asia Property Fund II GmbH & Co. KG, Muenchen	Others	5.9			
	Bell & Clements (Bermuda) Ltd., Hamilton, Bermuda	Holding of insurances	100.0			
	BHS tabletop AG, Selb	Others	28.9			
	Bloemers Beheer B.V., Rotterdam	Holding of insurances	23.2			
	Comino Beteiligungen GmbH, Gruenwald	Holding	100.0			
	Consortio Internacional de Aseguradores de Crédito, S.A., Madrid	Insurance	15.5			
	Consortia Versicherungs-Beteiligungsgesellschaft mbH, Nuernberg	Holding of insurances	33.7			
	DAMAN - National Health Insurance Company, Abu Dhabi	Insurance	20.0			
	Diana Vermoegensverwaltungs AG, Muenchen	Others	100.0			
	DII GmbH, Muenchen	Others	4.8			
	ERGO Versicherungsgruppe AG, Duesseldorf	Holding of insurances	95.0			
	Evaluacion Medica TUW, S.L., Barcelona	Others	100.0			
	Extremus Versicherungs-Aktiengesellschaft, Koeln	Insurance	16.0			
	Forst Ebath AG, Ebath	Others	96.7			
	Global Aerospace Underwriting Managers Ltd., London	Others	40.0			
	Great Lakes Re Management Company (Belgium) S.A., Bruessel	Others	99.9			
	Great Lakes Reinsurance (UK) Plc., London	Reinsurance	100.0			
	Hamburger Hof Management GmbH, Hamburg	Others	100.0			
	Janus Vermoegensverwaltungsgesellschaft mbH, Muenchen	Holding	100.0			
	Jupiter Vermoegensverwaltungsgesellschaft mbH, Muenchen	Holding	100.0			
	KA Koeln Assekuranz.Agentur GmbH, Koeln	Others	100.0			
	Kapitalbeteiligungsgesellschaft der Deutschen Versicherungswirtschaft, Duesseldorf	Financial enterprises	3.0			
	Larus Vermoegensverwaltungsgesellschaft mbH, Muenchen	Holding	100.0			
	MAM Munich Asset Management GmbH, Muenchen	Others	100.0			
	MEAG Cash Management GmbH, Muenchen	Others	60.0			
	MEAG MUNICH ERGO AssetManagement GmbH, Muenchen	Financial services institutions	60.0			
	MedNet Holding GmbH, Muenchen	Holding	100.0			
	MR Beteiligungen 1. GmbH, Muenchen	Others	100.0	98-0557018		
	MR Beteiligungen 14. GmbH, Muenchen	Others	100.0	98-0557018		
	MR Beteiligungen 15. GmbH, Muenchen	Others	100.0	98-0557018		
	MR Beteiligungen 16. GmbH, Muenchen	Others	100.0	98-0557018		
	MR Beteiligungen 18. GmbH & Co. Immobilien KG, Gruenwald	Others	100.0	98-0557018		
	MR Beteiligungen 18. GmbH, Gruenwald	Others	100.0	98-0557018		
	MR Beteiligungen 19. GmbH, Muenchen	Others	100.0	98-0557018		
	MR Beteiligungen 2. EUR AG & Co. KG, Gruenwald	Others	100.0	98-0557018		
	MR Beteiligungen 3. EUR AG & Co. KG, Gruenwald	Others	100.0	98-0557018		
	MR Beteiligungen AG, Gruenwald	Others	100.0	98-0557018		

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
	MR Beteiligungen EUR AG & Co. KG, Gruenwald	Others	100.0	98-0557018		
	MR Beteiligungen GBP AG & Co. KG, Gruenwald	Others	100.0	98-0557018		
	MR Beteiligungen USD AG & Co. KG, Gruenwald	Others	100.0	98-0557018		
	MR ERGO Beteiligungen GmbH, Gruenwald	Financial services institutions	100.0			
	MR Infrastructure Investment GmbH, Gruenwald	Others	100.0	98-1057899		
	MR RENT-Investment GmbH, Muenchen	Holding	100.0	98-0698711		
	MR RENT-Management GmbH, Muenchen	Others	100.0			
	MR Solar GmbH & Co. KG, Nuernberg	Others	99.8			
	MSP Underwriting Ltd., London	Holding of insurances	100.0			
	Muenchener Consultora International S.R.L., Santiago de Chile	Service company	90.0			
	Muenchener de Argentina Servicios Tecnicos S. R. L., Buenos Aires	Service company	100.0			
	Muenchener de Colombia S.A. Corredores de Reaseguros i.L., Santa Fe de Bogota D.C.	Service company	100.0			
	Muenchener de Mexico S. A., Mexico	Service company	0.0			
	Muenchener Ecoconsult GmbH i.L., Muenchen	Others	100.0			
	Muenchener Finanzgruppe AG Beteiligungen, Muenchen	Others	100.0			
	Muenchener Vermoegensverwaltung GmbH, Muenchen	Others	100.0			
	Munich American Holding Corporation, Wilmington, Delaware	Holding of insurances	100.0	22-3753262		
	Munich Columbia Square Corp., Wilmington, Delaware	Others	100.0	22-3577668		
	Munich Health Holding AG, Muenchen	Holding of insurances	100.0			
	Munich Holdings Ltd., Toronto, Ontario	Holding of insurances	100.0			
	Munich Holdings of Australasia Pty. Ltd., Sydney	Holding of insurances	100.0			
	Munich Re Capital Markets GmbH, Muenchen	Financial services institutions	100.0			
	Munich Re do Brasil Resseguradora S.A., Sao Paulo	Reinsurance	100.0			
	Munich Re Holding Company (UK) Ltd., London	Holding of insurances	100.0			
	Munich Re India Services Private Limited, Mumbai	Service company	99.0			
	Munich Re Japan Services K. K., Tokio	Service company	100.0			
	Munich Re Life and Health (UK) Plc. i.L., London	Others	100.0			
	Munich Re of Malta Holding Limited, Ta' Xbiex	Holding of insurances	100.0			
	Munich Re UK Services Limited, London	Service company	100.0			
	Munich Reinsurance Company of Africa Ltd, Johannesburg	Reinsurance	100.0			
	Munich-American Risk Partners GmbH, Muenchen	Others	100.0			
	MunichFinancialGroup AG Holding, Muenchen	Others	100.0			
	MunichFinancialGroup GmbH, Muenchen	Holding	100.0			
	MunichFinancialServices AG Holding, Muenchen	Others	100.0			
	Munichre General Services Limited i.L., London	Others	100.0			
	New Reinsurance Company Ltd., Zurich	Reinsurance	100.0			
	P.A.N. GmbH & Co. KG, Gruenwald	Others	99.0			
	P.A.N. Verwaltungs GmbH, Gruenwald	Others	99.0			
	PERILS AG, Zurich	Others	11.1			
	Proserpina Vermoegensverwaltungsges. mbH, Muenchen	Others	100.0			
	Reaseguradora de las Américas S. A., La Habana	Reinsurance	100.0			
	Saudi Enaya Cooperative Insurance Company, Jeddah	Insurance	15.0			
	Saudi National Insurance Company B.S.C.(c), Manama	Insurance	22.5			
	Schloss Hohenkammer GmbH, Hohenkammer	Others	100.0			
	SEBA Beteiligungsgesellschaft mbH, Nuernberg	Holding of insurances	44.8			
	Silvanus Vermoegensverwaltungsges. mbH, Muenchen	Others	100.0			
	Suramerica S.A., Medellin	Holding of insurances	18.9			
	Synkronos Italia SRL, Mailand	Others	60.1			
	Venus Vermoegensverwaltungsgesellschaft mbH, Muenchen	Others	100.0			
	VisEq GmbH, Gruenwald	Others	34.0			
	WFB Stockholm Management AB, Stockholm	Others	50.0			
	HSB Group, Inc., Dover, Delaware	Holding of insurances	100.0			
	MEAG New York Corporation, Wilmington, Delaware	Financial services institutions	100.0	06-1398157		
	MR Parkview Holding Corporation, Wilmington, Delaware	Others	100.0	27-3698845		
Munich American Holding Corporation, Wilmington, Delaware	Munich American Reassurance Company, Atlanta, Georgia	Reinsurance	100.0	58-0828824	66346	GA
	Munich Health North America, Inc., Wilmington, Delaware	Holding of insurances	100.0	54-2165277		
	Munich Re America Brokers, Inc., Wilmington, Delaware	Others	100.0	13-2940720		
	Munich Re America Corporation, Wilmington, Delaware	Holding of insurances	100.0	13-3672116		
	Munich Re America Management Ltd., London	Others	100.0			
	Munich Re America Services Inc., Wilmington, Delaware	Service company	100.0	13-3069874		
	Munich Re Capital Markets New York, Inc., Wilmington, Delaware	Others	100.0	52-2108519		
	Munich Re Weather & Commodity Risk Holding, Inc., Wilmington, DE	Others	100.0	61-1600414		
	Munich-American Global Services (Munich) GmbH i.L., Muenchen	Others	100.0			
	The Midland Company, Cincinnati, Ohio	Holding of insurances	100.0	31-0742526		
Munich American Reassurance Company, Atlanta, Georgia	Munich American Life Reinsurance Company, Atlanta, Georgia	Reinsurance	100.0			
	Munich American Reassurance Company PAC, Inc., Atlanta, Georgia	Others	0.0			
	Munich Atlanta Financial Corporation, Atlanta, Georgia	Others	100.0	51-0264311		
	LifePlans Inc., Waltham, Massachusetts	Others	100.0	04-3075657		
Munich Atlanta Financial Corporation, Atlanta, Georgia	13th & F Associates Limited Partnership, Washington D.C.	Others	50.0			
Munich Columbia Square Corp., Wilmington, Delaware	DKV BELGIUM S.A., Brussel	Insurance	100.0			
Munich Health Alpha GmbH, Muenchen						

**ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.**

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
Munich Health Daman Holding Ltd., Abu Dhabi	Daman Health Insurance - Qatar LLC, Doha, Qatar	Insurance	100.0			
Munich Health Holding AG, Muenchen	Apollo Munich Health Insurance Co. Ltd., Hyderabad	Insurance	25.6			
	DKV BELGIUM S.A., Brussels	Insurance	0.0			
	DKV Luxembourg S.A., Luxembourg	Insurance	75.0			
	DKV Seguros y Reaseguros, Sociedad Anónima Española, Saragossa	Insurance	100.0			
	Globality S.A., Luxembourg	Insurance	100.0			
	Munich Health Alpha GmbH, Muenchen	Others	100.0			
	Munich Health Daman Holding Ltd., Abu Dhabi	Holding of insurances	51.0			
	Storebrand Helseforsikring AS, Oslo	Insurance	50.0			
Munich Health North America, Inc., Wilmington, Delaware	Munich Re Stop Loss, Inc., Wilmington, Delaware	Others	100.0	65-0644164		
	Windsor Health Plan of Georgia, Inc., Atlanta i.L., Georgia	Insurance	100.0	45-3787049	14232	GA
	Windsor Health Plan of Louisiana, Inc., Lafayette i.L., Louisiana	Insurance	100.0	45-3786992	14233	LA
Munich Holdings Ltd., Toronto, Ontario	Muenchener de Mexico S. A., Mexico	Service company	100.0			
	Muenchener de Venezuela C.A. Intermedia de Reaseguros, Caracas	Service company	100.0			
	Munich Life Management Corporation Ltd., Toronto, Ontario	Service company	100.0			
	Munich Management Pte. Ltd., Singapore	Service company	100.0			
	Munich Reinsurance Company of Canada, Toronto, Ontario	Reinsurance	100.0			
	Munich-Canada Management Corp. Ltd., Toronto, Ontario	Others	100.0			
	Munichre Service Limited, Hong Kong	Service company	100.0			
	Temple Insurance Company, Toronto, Ontario	Insurance	100.0			
Munich Holdings of Australasia Pty. Ltd., Sydney	Corion Pty Limited, Sydney	Service company	100.0			
	Munich Reinsurance Company of Australasia Ltd, Sydney	Reinsurance	100.0	AA-1931000		
	Munichre New Zealand Service Ltd., Auckland	Service company	100.0			
Munich Re America Corporation, Wilmington, Delaware	American Alternative Insurance Corporation, Wilmington, Delaware	Insurance	100.0	52-2048110	19720	DE
	Munich Reinsurance America, Inc., Wilmington, Delaware	Reinsurance	100.0	13-4924125	10227	DE
	Princeton Eagle Holding (Bermuda) Limited, Hamilton, Bermuda	Holding of insurances	100.0			
	Princeton Eagle West (Holding) Inc., Wilmington, Delaware	Holding of insurances	100.0	95-4551801		
Munich Re Automation Solutions Limited, Dublin	The Princeton Excess and Surplus Lines Insurance Company, Wilmington, Delaware	Insurance	100.0	22-3410482	10786	DE
	Munich Re Automation Solutions GmbH, Muenchen	Others	100.0			
	Munich Re Automation Solutions Inc., Wilmington, Delaware	Others	100.0			
	Munich Re Automation Solutions KK, Tokio	Others	100.0			
Munich Re Holding Company (UK) Ltd., London	Munich Re Automation Solutions Pty Limited, Sydney	Service company	100.0			
	Groves, John & Westrup Limited, London	Insurance	100.0			
	Munich Re Capital Limited, London	Others	100.0			
	Munich Re Underwriting Limited, London	Holding	100.0			
	NMU Group Limited, London	Holding	100.0			
	Roanoke Group Inc., Schaumburg, Illinois	Service company	100.0			
	Roanoke International Brokers Limited, London	Service company	100.0			
	Watkins Syndicate Hong Kong Limited, Hong Kong	Service company	67.0			
	Watkins Syndicate Labuan Limited (WSLAB), Labuan	Service company	100.0			
	Watkins Syndicate Middle East Limited, Dubai	Service company	100.0			
	Watkins Syndicate Singapore Pte. Limited, Singapore	Service company	100.0			
Munich Re of Malta Holding Limited, Ta' Xbiex	Munich Re Malta p.l.c., Ta' Xbiex	Reinsurance	100.0			
	Munich Re Underwriting Agents (DIFC) Limited, Dubai	Others	100.0			
Munich Re Stop Loss, Inc., Wilmington, Delaware	Strategic Underwriting Solutions, LLC, Green Bay, Wisconsin	Others	10.2			
Munich Re UK Services Limited, London	Group Risk Services Limited, London	Service company	100.0			
Munich Re Weather & Commodity Risk Holding, Inc., Wilmington, DE	Munich Re Automation Solutions Limited, Dublin	Others	100.0			
	Munich Re Trading LLC, Wilmington, DE	Others	100.0	98-0436600		
Munich Reinsurance America, Inc., Wilmington, Delaware	Munich Re Weather & Commodity Risk Advisors LLC, Wilmington, DE	Service company	100.0	98-0505633		
	13th & F Associates Limited Partnership, Washington D.C.	Others	0.0			
Munich Reinsurance Company of Africa Ltd, Johannesburg	ARIES, Wilmington, Delaware	Others	0.0			
	Best Doctors, Health Resources and Technology, Inc., Boston, Massachusetts	Others	0.0			
	AXA Assurance Senegal, Dakar	Insurance	5.5			
	Credit Guarantee Insurance Corporation, Johannesburg	Insurance	1.0			
	Finsure Investments (Private) Limited, Harare	Insurance	7.1			
	First Central Holdings Limited, Johannesburg	Others	24.5			
	Groupement Togolais d'Assurances, Lome	Insurance	9.0			
	La National d'Assurances, Abidjan, Ivory Coast	Insurance	3.0			
	Munich Mauritius Reinsurance Co. Ltd., Port Louis	Reinsurance	100.0			
	New National Assurance Company Ltd., Durban, South Africa	Insurance	16.0			
	Societe Camerounaise d'Assurances, Douala, Cameroun	Insurance	1.0			
	Societe Nouvelle d'Assurance-Vie, Bamako, Mali	Insurance	4.0			
	Swaziland Royal Insurance Corporation, Mbabane	Insurance	16.0			
Munich Reinsurance Company of Canada, Toronto, Ontario	Munich Canada Systems Corporation, Toronto, Ontario	Others	100.0			
MunichFinancialGroup GmbH, Muenchen	MF1 Munich Finance and Investment Holding Ltd., Ta' Xbiex	Holding	0.0			
	MF1 Munich Finance and Investment Ltd., Ta' Xbiex	Others	0.0			
	Munich Re of Malta Holding Limited, Ta' Xbiex	Holding of insurances	0.0			
	Munich Re Malta p.l.c., Ta' Xbiex	Reinsurance	0.0			
N.M.U. (Holdings) Limited, Leeds	Northern Marine Underwriters Limited, Leeds	Service company	100.0			
NMU Group Limited, London	N.M.U. (Holdings) Limited, Leeds	Holding	100.0			

**ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.**

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
P.A.N. GmbH & Co. KG, Gruenwald	ERGO Versicherungsgruppe AG, Duesseldorf	Holding of insurances	5.0			
Princeton Eagle Holding (Bermuda) Limited, Hamilton, Bermuda	Princeton Eagle Insurance Company Limited, Hamilton, Bermuda	Insurance	100.0			
Princeton Eagle West (Holding) Inc., Wilmington, Delaware	Princeton Eagle West Insurance Company Ltd., Hamilton, Bermuda	Insurance	100.0	98-0157330		
ProVictor Immobilien GmbH, Duesseldorf	ProVictor US Corporation, Atlanta	Holding of industrial companies	100.0			
ProVictor US Corporation, Atlanta	ProVictor Property Fund IV Management, Inc., Atlanta	Others	51.0			
	ProVictor Property Fund V Management, Inc., Atlanta	Others	51.0			
	ProVictor Property Fund VI Management, Inc., Atlanta	Others	51.0			
Roanoke Group Inc., Schaumburg, Illinois	Roanoke Insurance Group Inc., Schaumburg, Illinois	Others	100.0			
Roanoke Insurance Group Inc., Schaumburg, Illinois	Roanoke Trade Insurance Inc., Schaumburg, Illinois	Others	100.0			
Scout Moor Group Limited, Manchester	Scout Moor Holdings (No. 1) Limited, Manchester	Others	100.0			
Scout Moor Holdings (No. 1) Limited, Manchester	Scout Moor Wind Farm (No. 2) Limited, Manchester	Others	100.0			
Scout Moor Holdings (No. 2) Limited, Manchester	Scout Moor Holdings (No. 2) Limited, Manchester	Others	100.0			
Sensus Group B.V., Stadskanaal	Scout Moor Wind Farm Limited, Manchester	Others	100.0			
Silvanus Vermoegensverwaltungsges. mbH, Muenchen	AGC Gerechtsdeurwaarders & Incasso B.V., Stadskanaal	Others	100.0			
	Hands On Arnhem B.V., Arnhem	Others	100.0			
	Koole & Sennet Gerechtsdeurwaarders kantoor B.V., s-Gravenhage	Others	100.0			
	Brookfield Timberlands Fund V, L.P., Wilmington	Holding of industrial companies	8.0			
	FIA Timber Partners II L.P., Wilmington, Delaware	Holding of industrial companies	39.1			
	Green Triangle Forest Trust, Sydney	Others	18.8			
	Hancock Timberland XII LP, Wilmington, Delaware	Holding of industrial companies	15.2			
	ORM Timber Fund III (Foreign) LLC, Wilmington, Delaware	Others	39.1			
	RMS Forest Growth International, L.P., Grand Cayman, Cayman Islands	Others	43.5			
Sopockie Towarzystwo Ubezpieczen Ergo Hestia Spolka Akcyjna, Sopot	"Autostrada A-2" S.A., Poznan	Others	9.8			
	Hestia Advanced Risk Solutions Sp. z o.o., Sopot	Others	100.0			
	Hestia Loss Control Sp. z o.o., Sopot	Others	100.0			
	Marina Sp.z.o.o., Sopot	Others	100.0			
	MTU Moje Towarzystwo Ubezpieczeniowe S.A., Sopot	Insurance	100.0			
	POOL Sp. z o.o., Warschau	Others	33.8			
	ProContact Sp. z o.o., Danzig	Others	100.0			
	Przedsiębiorstwo Maklerskie "Elimar" S.A., Katowice	Others	1.5			
	Sopocki Instytut Ubezpieczeń S.A., Sopot	Others	100.0			
	Sopockie Towarzystwo Doradzce Sp. z o.o., Sopot	Others	100.0			
	DAS Holding N.V., Amsterdam	Holding of insurances	1.0			
	TAS Assekuranz Service GmbH, Frankfurt/Main	Others	100.0			
	Hartford Steam Boiler (M) Sdn. Bhd., Kuala Lumpur	Others	100.0			
	Hartford Steam Boiler (Singapore) PTE Ltd, Singapore	Others	100.0			
	Hartford Steam Boiler Colombia Ltda, Bogota	Others	90.0			
	Hartford Steam Boiler International-GmbH, Rheine	Others	100.0			
	HSB Brasil Servicos de Engenharia e Inspecao, Ltda., Sao Paulo	Others	90.0			
	HSB Inspection Quality Limited, Chelmsford	Others	100.0			
	HSB Technical Consulting & Service (Shanghai) Company, Ltd, Shanghai	Others	100.0			
The Hartford Steam Boiler Inspection and Insurance Company, Hartford, Connecticut	EIG, Co., Wilmington, Delaware	Holding of insurances	100.0	06-1413773		
	Global Standards, LLC, Dover, Delaware	Holding	100.0			
	HSB Associates, Inc., New York, New York	Others	100.0			
	HSB Professional Loss Control, Inc., Lenoir City, Tennessee	Others	100.0	62-0974339		
	HSB Solomon Associates LLC, Dover, Delaware	Others	100.0	54-2013079		
	HSB Specialty Insurance Company, Hartford, Connecticut	Insurance	100.0			
	The Polytechnic Club, Inc., Hartford, Connecticut	Others	100.0			
	Midland-Guardian Co., Amelia, Ohio	Holding of insurances	100.0	31-0626204		
	Tir Mostyn and Ffoul Goch Limited, London	Others	100.0			
	Renaissance Hotel Realbesitz GmbH, Wien	Others	50.0			
	US PROPERTIES VA Verwaltungs-GmbH, Duesseldorf	Others	0.0			
	ERGO PRO S.r.l., Verona	Others	100.0			
	Rendite Partner Gesellschaft fuer Vermoegensverwaltung mbH, Frankfurt a.M.	Others	33.3			
	VV Immobilien GmbH & Co. GB KG, Duesseldorf	Others	19.1			
	VV Immobilien GmbH & Co. United States KG, Muenchen	Others	21.1			
	VV Immobilien GmbH & Co. US City KG, Muenchen	Others	23.1			
	VV Immobilien Verwaltungs GmbH & Co. Zentraleuropa KG, Muenchen	Others	20.4			
	Grosvenor Vega China Retail Fund, L.P., George Town, Grand Cayman	Others	10.0			
	Associated Asset Management Corporation B.V., s-Hertogenbosch	Others	51.0			
	AERS Consortio Aktiengesellschaft, Stuttgart	Insurance	18.6			
	BF.direkt AG, Stuttgart	Others	27.2			
	CAPITAL PLAZA Holding GmbH, Duesseldorf	Holding of industrial companies	10.0			
	ERGO Grundstuecksverwaltung GbR, Duesseldorf	Others	40.0			
	ERGO Immobilien-GmbH 14, Victoria & Co. KG, Kreien	Others	100.0			
	ERGO Immobilien-GmbH 15, Victoria & Co. KG, Kreien	Others	100.0			
	ERGO Private Capital Leben GmbH & Co. KG, Duesseldorf	Others	23.5	98-0567366		
	ERGO Private Capital Zweite GmbH & Co. KG, Duesseldorf	Others	23.5			
	EUREKA GmbH, Duesseldorf	Holding of industrial companies	33.3			
	EVV Logistik Management GmbH, Duesseldorf	Others	64.0			

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
	Protektor Lebensversicherungs-AG, Berlin	Insurance	4.3			
	RP Vilbeler Fondsgesellschaft mbH, Frankfurt a.M.	Others	10.0			
	TERTIANUM Besitzgesellschaft Berlin Passauer Strasse 5-7 mbH, Muenchen	Others	25.0			
	TERTIANUM Besitzgesellschaft Konstanz Marktstaette 2-6 und Sigismundstrasse 5-9 mbH, Muenchen	Others	25.0			
	TERTIANUM Besitzgesellschaft Muenchen Jahnstrasse 45 mbH, Muenchen	Others	33.3			
	TERTIANUM Seniorenresidenz Betriebsgesellschaft Muenchen mbH, Muenchen	Others	33.3			
	TERTIANUM Seniorenresidenzen Betriebsgesellschaft mbH, Konstanz	Others	25.0			
	US Property Fund III GmbH & Co. KG, Muenchen	Others	9.2			
	VHDK Beteiligungsgesellschaft mbH, Duesseldorf	Holding of industrial companies	20.0			
	VICTORIA Erste Beteiligungsgesellschaft mbH, Duesseldorf	Holding of industrial companies	100.0			
	VICTORIA Italy Property GmbH, Duesseldorf	Holding of industrial companies	100.0			
	VICTORIA US Beteiligungsgesellschaft mbH, Muenchen	Holding of industrial companies	100.0			
	U.S. Property Fund IV GmbH & Co. KG, Muenchen	Others	4.8			
Victoria US Holdings, Inc., Wilmington, Delaware	Victoria US Holdings, Inc., Wilmington, Delaware	Holding of industrial companies	100.0			
VICTORIA US Property Investment GmbH, Duesseldorf	U.S. Property Fund IV GmbH & Co. KG, Muenchen	Others	7.2			
VICTORIA US Property Zwei GmbH, Duesseldorf	Victoria Investment Properties Two L.P., Atlanta, Georgia	Holding of industrial companies	100.0			
Victoria Vierter Bauabschnitt Management GmbH, Duesseldorf	Victoria Vierter Bauabschnitt GmbH & Co. KG, Duesseldorf	Others	0.0			
VICTORIA-VOLKSANKEN Bitozito Zrt., Budapest	VV-Consulting Toebbesuegynoki Kft., Budapest	Others	49.0			
Vorsorge Lebensversicherung Aktiengesellschaft, Duesseldorf	Protektor Lebensversicherungs-AG, Berlin	Insurance	0.0			
	Vorsorge Luxemburg Lebensversicherung S.A., Munsbach	Insurance	100.0			
	Vorsorge Service GmbH, Duesseldorf	Others	100.0			
VV-Consulting Gesellschaft fuer Risikoanalyse, Vorsorgeberatung und Versicherungsvermittlung GmbH, Wien	Volksbanken-Versicherungsdienst GmbH, Wien	Others	25.2			
welivit GmbH, Nuernberg	MR Solar GmbH & Co. KG, Nuernberg	Others	0.1			
	Solarpark 1000 Jahre Fuerth GmbH & Co. KG, Fuerth	Others	0.9			
welivit New Energy GmbH, Fuerth	welivit New Energy GmbH, Fuerth	Others	100.0			
	welivit Solar Espana GmbH, Nuernberg	Others	100.0			
welivit Solar Italia s.r.l., Bozen	Welivit Solar Italia s.r.l., Bozen	Others	100.0			
	m:editerran POWER GmbH & Co. KG, Nuernberg	Others	0.4			
welivit New Energy GmbH, Fuerth	m:solarPOWER GmbH & Co. KG, Nuernberg	Others	4.2			
	MR Solar GmbH & Co. KG, Nuernberg	Others	0.1			
	Solarfonds Garmisch-Partenkirchen 2011 GmbH & Co. KG, Nuernberg	Others	2.4			
	Solarpark 1000 Jahre Fuerth GmbH & Co. KG, Fuerth	Others	4.3			
welivit Solar Espana GmbH, Nuernberg	welivit Solarfonds GmbH & Co. KG, Nuernberg	Others	11.1			
welivit Solar Italia s.r.l., Bozen	welivit TOP SOLAR GmbH & Co. KG, Nuernberg	Others	3.2			
	WNE Solarfonds Suddeutschland 2 GmbH & Co. KG, Nuernberg	Others	11.0			
welivit Solarfonds GmbH & Co. KG, Nuernberg	wse Solarpark Spanien 1 GmbH & Co. KG, Fuerth	Others	0.5			
Windpark MR-B GmbH & Co. KG, Bremen	wse Solarpark Spanien 1 GmbH & Co. KG, Fuerth	Others	0.0			
Windpark MR-D GmbH & Co. KG, Bremen	m:editerran Power S.a.s. di welivit Solar Italia S.r.l., Bozen	Others	0.0			
wse Solarpark Spanien 1 GmbH & Co. KG, Fuerth	MR SOLAR SAS DER WELIVIT SOLAR ITALIA SRL, Bozen	Others	0.0			
	welivit Solarfonds S.a.s. di welivit Solar Italia S.r.l., Bozen	Others	0.0			
	welivit Solarfonds S.a.s. di welivit Solar Italia S.r.l., Bozen	Others	0.0			
welivit Solarfonds GmbH & Co. KG, Nuernberg	welivit Solarfonds Hellberge GmbH & Co. KG, Treunbrietzen	Others	100.0			
	Windpark Langengrassau Infrastruktur GbR, Bremen	Others	6.9			
	Windpark Osterhausen-Mittelhausen Infrastruktur GbR, Bremen	Others	83.3			
Aleama 150015 S.L., Madrid	Aleama 150015 S.L., Madrid	Others	100.0			
Aridabra 130013 S.L., Madrid	Aridabra 130013 S.L., Madrid	Others	100.0			
Badozoc 1001 S.L., Madrid	Badozoc 1001 S.L., Madrid	Others	100.0			
Baqueda 7007 S.L., Madrid	Baqueda 7007 S.L., Madrid	Others	100.0			
Bobasbe 6006 S.L., Madrid	Bobasbe 6006 S.L., Madrid	Others	100.0			
Botedazo 8008 S.L., Madrid	Botedazo 8008 S.L., Madrid	Others	100.0			
Callopio 5005 S.L., Madrid	Callopio 5005 S.L., Madrid	Others	100.0			
Camoicu 9009 S.L., Madrid	Camoicu 9009 S.L., Madrid	Others	100.0			
Caracuel Solar Catorce S.L., Madrid	Caracuel Solar Catorce S.L., Madrid	Others	100.0			
Caracuel Solar Cinco S.L., Madrid	Caracuel Solar Cinco S.L., Madrid	Others	100.0			
Caracuel Solar Cuatro S.L., Madrid	Caracuel Solar Cuatro S.L., Madrid	Others	100.0			
Caracuel Solar Dieciocho S.L., Madrid	Caracuel Solar Dieciocho S.L., Madrid	Others	100.0			
Caracuel Solar Dicisiete S.L., Madrid	Caracuel Solar Dicisiete S.L., Madrid	Others	100.0			
Caracuel Solar Diez S.L., Madrid	Caracuel Solar Diez S.L., Madrid	Others	100.0			
Caracuel Solar Doce S.L., Madrid	Caracuel Solar Doce S.L., Madrid	Others	100.0			
Caracuel Solar Dos S.L., Madrid	Caracuel Solar Dos S.L., Madrid	Others	100.0			
Caracuel Solar Nueve S.L., Madrid	Caracuel Solar Nueve S.L., Madrid	Others	100.0			
Caracuel Solar Ocho S.L., Madrid	Caracuel Solar Ocho S.L., Madrid	Others	100.0			
Caracuel Solar Once S.L., Madrid	Caracuel Solar Once S.L., Madrid	Others	100.0			
Caracuel Solar Quince S.L., Madrid	Caracuel Solar Quince S.L., Madrid	Others	100.0			
Caracuel Solar Seis S.L., Madrid	Caracuel Solar Seis S.L., Madrid	Others	100.0			
Caracuel Solar Siete S.L., Madrid	Caracuel Solar Siete S.L., Madrid	Others	100.0			
Caracuel Solar Trece S.L., Madrid	Caracuel Solar Trece S.L., Madrid	Others	100.0			
Caracuel Solar Tres S.L., Madrid	Caracuel Solar Tres S.L., Madrid	Others	100.0			

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 – ORGANIZATIONAL CHART**

Parent Company	Subsidiary Company	Company Profile	% Shares Owned	Federal ID Number	NAIC Company Code	State of Domicile
	Caracuel Solar Uno S.L., Madrid	Others	100.0			
	Cotatrillo 100010 S.L., Madrid	Others	100.0			
	Etoblete 160016 S.L., Madrid	Others	100.0			
	Gamaponti 140014 S.L., Madrid	Others	100.0			
	GRANCAN Sun-Line S.L., Madrid	Others	100.0			
	Guanzu 2002 S.L., Madrid	Others	100.0			
	Naretoblera 170017 S.L., Madrid	Others	100.0			
	Nerruze 120012 S.L., Madrid	Others	100.0			
	Orrazipo 110011 S.L., Madrid	Others	100.0			
	Tillobesta 180018 S.L., Madrid	Others	100.0			
	Zacobu 110011 S.L., Madrid	Others	100.0			
	Zacuba 6006 S.L., Madrid	Others	100.0			
	Zacubacon 150015 S.L., Madrid	Others	100.0			
	Zafacesbe 120012 S.L., Madrid	Others	100.0			
	Zapacubi 8008 S.L., Madrid	Others	100.0			
	Zarzucolumbu 100010 S.L., Madrid	Others	100.0			
	Zetaza 4004 S.L., Madrid	Others	100.0			
	Zicobucar 140014 S.L., Madrid	Others	100.0			
	Zucaelo 130013 S.L., Madrid	Others	100.0			
	Zucampobi 3003 S.L., Madrid	Others	100.0			
	Zucarrobiso 2002 S.L., Madrid	Others	100.0			
	Zucobaco 7007 S.L., Madrid	Others	100.0			
	Zulazor 3003 S.L., Madrid	Others	100.0			
	Zumbicobi 5005 S.L., Madrid	Others	100.0			
	Zumcasba 1001 S.L., Madrid	Others	100.0			
	Zuncabu 4004 S.L., Madrid	Others	100.0			
	Zuncolubo 9009 S.L., Madrid	Others	100.0			

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE American Modern Surplus Lines Insurance Co.  
**OVERFLOW PAGE FOR WRITE-INS**

## ALPHABETICAL INDEX

### ANNUAL STATEMENT BLANK

Assets .....	2
Cash Flow .....	5
Exhibit of Capital Gains (Losses) .....	12
Exhibit of Net Investment Income .....	12
Exhibit of Nonadmitted Assets .....	13
Exhibit of Premiums and Losses (State Page) .....	19
Five-Year Historical Data .....	17
General Interrogatories .....	15
Jurat Page .....	1
Liabilities, Surplus and Other Funds .....	3
Notes To Financial Statements .....	14
Overflow Page For Write-ins .....	100
Schedule A - Part 1 .....	E01
Schedule A - Part 2 .....	E02
Schedule A - Part 3 .....	E03
Schedule A - Verification Between Years .....	SI02
Schedule B - Part 1 .....	E04
Schedule B - Part 2 .....	E05
Schedule B - Part 3 .....	E06
Schedule B - Verification Between Years .....	SI02
Schedule BA - Part 1 .....	E07
Schedule BA - Part 2 .....	E08
Schedule BA - Part 3 .....	E09
Schedule BA - Verification Between Years .....	SI03
Schedule D - Part 1 .....	E10
Schedule D - Part 1A - Section 1 .....	SI05
Schedule D - Part 1A - Section 2 .....	SI08
Schedule D - Part 2 - Section 1 .....	E11
Schedule D - Part 2 - Section 2 .....	E12
Schedule D - Part 3 .....	E13
Schedule D - Part 4 .....	E14
Schedule D - Part 5 .....	E15
Schedule D - Part 6 - Section 1 .....	E16
Schedule D - Part 6 - Section 2 .....	E16
Schedule D - Summary By Country .....	SI04
Schedule D - Verification Between Years .....	SI03
Schedule DA - Part 1 .....	E17
Schedule DA - Verification Between Years .....	SI10
Schedule DB - Part A - Section 1 .....	E18
Schedule DB - Part A - Section 2 .....	E19
Schedule DB - Part A - Verification Between Years .....	SI11
Schedule DB - Part B - Section 1 .....	E20
Schedule DB - Part B - Section 2 .....	E21
Schedule DB - Part B - Verification Between Years .....	SI11
Schedule DB - Part C - Section 1 .....	SI12
Schedule DB - Part C - Section 2 .....	SI13
Schedule DB - Part D - Section 1 .....	E22
Schedule DB - Part D - Section 2 .....	E23
Schedule DB - Verification .....	SI14
Schedule DL - Part 1 .....	E24
Schedule DL - Part 2 .....	E25
Schedule E - Part 1 - Cash .....	E26
Schedule E - Part 2 - Cash Equivalents .....	E27
Schedule E - Part 3 - Special Deposits .....	E28
Schedule E - Verification Between Years .....	SI15
Schedule F - Part 1 .....	20
Schedule F - Part 2 .....	21
Schedule F - Part 3 .....	22
Schedule F - Part 4 .....	23
Schedule F - Part 5 .....	24
Schedule F - Part 6 - Section 1 .....	25
Schedule F - Part 6 - Section 2 .....	26
Schedule F - Part 7 .....	27
Schedule F - Part 8 .....	28
Schedule F - Part 9 .....	29

**ANNUAL STATEMENT BLANK (Continued)**

Schedule H - Accident and Health Exhibit - Part 1 .....	30
Schedule H - Part 2, Part 3 and 4 .....	31
Schedule H - Part 5 - Health Claims .....	32
Schedule P - Part 1 - Summary .....	33
Schedule P - Part 1A - Homeowners/Farmowners .....	35
Schedule P - Part 1B - Private Passenger Auto Liability/Medical .....	36
Schedule P - Part 1C - Commercial Auto/Truck Liability/Medical .....	37
Schedule P - Part 1D - Workers' Compensation (Excluding Excess Workers' Compensation) .....	38
Schedule P - Part 1E - Commercial Multiple Peril .....	39
Schedule P - Part 1F - Section 1 - Medical Professional Liability - Occurrence .....	40
Schedule P - Part 1F - Section 2 - Medical Professional Liability - Claims-Made .....	41
Schedule P - Part 1G - Special Liability (Ocean, Marine, Aircraft (All Perils), Boiler and Machinery) .....	42
Schedule P - Part 1H - Section 1 - Other Liability-Occurrence .....	43
Schedule P - Part 1H - Section 2 - Other Liability - Claims-Made .....	44
Schedule P - Part 1I - Special Property (Fire, Allied Lines, Inland Marine, Earthquake, Burglary & Theft) .....	45
Schedule P - Part 1J - Auto Physical Damage .....	46
Schedule P - Part 1K - Fidelity/Surety .....	47
Schedule P - Part 1L - Other (Including Credit, Accident and Health) .....	48
Schedule P - Part 1M - International .....	49
Schedule P - Part 1N - Reinsurance - Nonproportional Assumed Property .....	50
Schedule P - Part 1O - Reinsurance - Nonproportional Assumed Liability .....	51
Schedule P - Part 1P - Reinsurance - Nonproportional Assumed Financial Lines .....	52
Schedule P - Part 1R - Section 1 - Products Liability - Occurrence .....	53
Schedule P - Part 1R - Section 2 - Products Liability - Claims-Made .....	54
Schedule P - Part 1S - Financial Guaranty/Mortgage Guaranty .....	55
Schedule P - Part 1T - Warranty .....	56
Schedule P - Part 2, Part 3 and Part 4 - Summary .....	34
Schedule P - Part 2A - Homeowners/Farmowners .....	57
Schedule P - Part 2B - Private Passenger Auto Liability/Medical .....	57
Schedule P - Part 2C - Commercial Auto/Truck Liability/Medical .....	57
Schedule P - Part 2D - Workers' Compensation (Excluding Excess Workers' Compensation) .....	57
Schedule P - Part 2E - Commercial Multiple Peril .....	57
Schedule P - Part 2F - Section 1 - Medical Professional Liability - Occurrence .....	58
Schedule P - Part 2F - Section 2 - Medical Professional Liability - Claims-Made .....	58
Schedule P - Part 2G - Special Liability (Ocean Marine, Aircraft (All Perils), Boiler and Machinery) .....	58
Schedule P - Part 2H - Section 1 - Other Liability - Occurrence .....	58
Schedule P - Part 2H - Section 2 - Other Liability - Claims-Made .....	58
Schedule P - Part 2I - Special Property (Fire, Allied Lines, Inland Marine, Earthquake, Burglary, and Theft) .....	59
Schedule P - Part 2J - Auto Physical Damage .....	59
Schedule P - Part 2K - Fidelity, Surety .....	59
Schedule P - Part 2L - Other (Including Credit, Accident and Health) .....	59
Schedule P - Part 2M - International .....	59
Schedule P - Part 2N - Reinsurance - Nonproportional Assumed Property .....	60
Schedule P - Part 2O - Reinsurance - Nonproportional Assumed Liability .....	60
Schedule P - Part 2P - Reinsurance - Nonproportional Assumed Financial Lines .....	60
Schedule P - Part 2R - Section 1 - Products Liability - Occurrence .....	61
Schedule P - Part 2R - Section 2 - Products Liability - Claims-Made .....	61
Schedule P - Part 2S - Financial Guaranty/Mortgage Guaranty .....	61
Schedule P - Part 2T - Warranty .....	61
Schedule P - Part 3A - Homeowners/Farmowners .....	62
Schedule P - Part 3B - Private Passenger Auto Liability/Medical .....	62
Schedule P - Part 3C - Commercial Auto/Truck Liability/Medical .....	62
Schedule P - Part 3D - Workers' Compensation (Excluding Excess Workers' Compensation) .....	62
Schedule P - Part 3E - Commercial Multiple Peril .....	62
Schedule P - Part 3F - Section 1 - Medical Professional Liability - Occurrence .....	63
Schedule P - Part 3F - Section 2 - Medical Professional Liability - Claims-Made .....	63
Schedule P - Part 3G - Special Liability (Ocean Marine, Aircraft (All Perils), Boiler and Machinery) .....	63
Schedule P - Part 3H - Section 1 - Other Liability - Occurrence .....	63
Schedule P - Part 3H - Section 2 - Other Liability - Claims-Made .....	63
Schedule P - Part 3I - Special Property (Fire, Allied Lines, Inland Marine, Earthquake, Burglary, and Theft) .....	64
Schedule P - Part 3J - Auto Physical Damage .....	64
Schedule P - Part 3K - Fidelity/Surety .....	64
Schedule P - Part 3L - Other (Including Credit, Accident and Health) .....	64
Schedule P - Part 3M - International .....	64
Schedule P - Part 3N - Reinsurance - Nonproportional Assumed Property .....	65
Schedule P - Part 3O - Reinsurance - Nonproportional Assumed Liability .....	65
Schedule P - Part 3P - Reinsurance - Nonproportional Assumed Financial Lines .....	65
Schedule P - Part 3R - Section 1 - Products Liability - Occurrence .....	66
Schedule P - Part 3R - Section 2 - Products Liability - Claims-Made .....	66
Schedule P - Part 3S - Financial Guaranty/Mortgage Guaranty .....	66
Schedule P - Part 3T - Warranty .....	66

## **ANNUAL STATEMENT BLANK (Continued)**

Schedule P - Part 4A - Homeowners/Farmowners	67
Schedule P - Part 4B - Private Passenger Auto Liability/Medical	67
Schedule P - Part 4C - Commercial Auto/Truck Liability/Medical	67
Schedule P - Part 4D - Workers' Compensation (Excluding Excess Workers' Compensation)	67
Schedule P - Part 4E - Commercial Multiple Peril	67
Schedule P - Part 4F - Section 1 - Medical Professional Liability - Occurrence	68
Schedule P - Part 4F - Section 2 - Medical Professional Liability - Claims-Made	68
Schedule P - Part 4G - Special Liability (Ocean Marine, Aircraft (All Perils), Boiler and Machinery)	68
Schedule P - Part 4H - Section 1 - Other Liability - Occurrence	68
Schedule P - Part 4H - Section 2 - Other Liability - Claims-Made	68
Schedule P - Part 4I - Special Property (Fire, Allied Lines, Inland Marine, Earthquake, Burglary and Theft)	69
Schedule P - Part 4J - Auto Physical Damage	69
Schedule P - Part 4K - Fidelity/Surety	69
Schedule P - Part 4L - Other (Including Credit, Accident and Health)	69
Schedule P - Part 4M - International	69
Schedule P - Part 4N - Reinsurance - Nonproportional Assumed Property	70
Schedule P - Part 4O - Reinsurance - Nonproportional Assumed Liability	70
Schedule P - Part 4P - Reinsurance - Nonproportional Assumed Financial Lines	70
Schedule P - Part 4R - Section 1 - Products Liability - Occurrence	71
Schedule P - Part 4R - Section 2 - Products Liability - Claims-Made	71
Schedule P - Part 4S - Financial Guaranty/Mortgage Guaranty	71
Schedule P - Part 4T - Warranty	71
Schedule P - Part 5A - Homeowners/Farmowners	72
Schedule P - Part 5B - Private Passenger Auto Liability/Medical	73
Schedule P - Part 5C - Commercial Auto/Truck Liability/Medical	74
Schedule P - Part 5D - Workers' Compensation (Excluding Excess Workers' Compensation)	75
Schedule P - Part 5E - Commercial Multiple Peril	76
Schedule P - Part 5F - Medical Professional Liability - Claims-Made	78
Schedule P - Part 5F - Medical Professional Liability - Occurrence	77
Schedule P - Part 5H - Other Liability - Claims-Made	80
Schedule P - Part 5H - Other Liability - Occurrence	79
Schedule P - Part 5R - Products Liability - Claims-Made	82
Schedule P - Part 5R - Products Liability - Occurrence	81
Schedule P - Part 5T - Warranty	83
Schedule P - Part 6C - Commercial Auto/Truck Liability/Medical	84
Schedule P - Part 6D - Workers' Compensation (Excluding Excess Workers' Compensation)	84
Schedule P - Part 6E - Commercial Multiple Peril	85
Schedule P - Part 6H - Other Liability - Claims-Made	86
Schedule P - Part 6H - Other Liability - Occurrence	85
Schedule P - Part 6M - International	86
Schedule P - Part 6N - Reinsurance - Nonproportional Assumed Property	87
Schedule P - Part 6O - Reinsurance - Nonproportional Assumed Liability	87
Schedule P - Part 6R - Products Liability - Claims-Made	88
Schedule P - Part 6R - Products Liability - Occurrence	88
Schedule P - Part 7A - Primary Loss Sensitive Contracts	89
Schedule P - Part 7B - Reinsurance Loss Sensitive Contracts	91
Schedule P Interrogatories	93
Schedule T - Exhibit of Premiums Written	94
Schedule T - Part 2 - Interstate Compact	95
Schedule Y - Information Concerning Activities of Insurer Members of a Holding Company Group	96
Schedule Y - Part 1A - Detail of Insurance Holding Company System	97
Schedule Y - Part 2 - Summary of Insurer's Transactions With Any Affiliates	98
Statement of Income	4
Summary Investment Schedule	SI01
Supplemental Exhibits and Schedules Interrogatories	99
Underwriting and Investment Exhibit Part 1	6
Underwriting and Investment Exhibit Part 1A	7
Underwriting and Investment Exhibit Part 1B	8
Underwriting and Investment Exhibit Part 2	9
Underwriting and Investment Exhibit Part 2A	10
Underwriting and Investment Exhibit Part 3	11