



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

## ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2013  
OF THE CONDITION AND AFFAIRS OF THE

# Utica National Insurance Company of Ohio

NAIC Group Code 0201 (Current) 0201 (Prior) NAIC Company Code 13998 Employer's ID Number 27-2764004

Organized under the Laws of Ohio, State of Domicile or Port of Entry Ohio  
Country of Domicile United States of America

Incorporated/Organized 04/06/2010 Commenced Business 12/22/2010

Statutory Home Office 2600 Corporate Exchange Drive, Columbus , OH, US 43231  
(Street and Number) (City or Town, State, Country and Zip Code)

Mail Address Post Office Box 530, Utica , NY, US 13503-0530  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records \_\_\_\_\_ 180 Genesee Street  
(Street and Number)  
New Hartford , NY, US 13413 \_\_\_\_\_, 315-734-2000  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address [www.uticanational.com](http://www.uticanational.com)

Statutory Statement Contact Sandra Jean Giehl, 315-734-2192  
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## OFFICERS

**OFFICERS**

Chairman & CEO	James Douglas Robinson	SVP & Secretary	Kristen Holly Martin
President & COO	Brian Patrick Lytwynec		

## OTHER

**OTHER**

#### **DIRECTORS OR TRUSTEES**

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

James Douglas Robinson  
Chairman & CEO

Brian Patrick Lytwynec  
President & COO

Kristen Holly Martin  
SVP & Secretary

Subscribed and sworn to before me this  
\_\_\_\_\_  
day of \_\_\_\_\_

- a. Is this an original filing? .....
- b. If no,
  - 1. State the amendment number.....
  - 2. Date filed .....
  - 3. Number of pages attached.....

**ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO**  
**SUMMARY INVESTMENT SCHEDULE**

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage
1. Bonds:						
1.1 U.S. treasury securities .....	1,253,347	12.265	1,253,347		1,253,347	12.265
1.2 U.S. government agency obligations (excluding mortgage-backed securities):						
1.21 Issued by U.S. government agencies .....		0.000				0.000
1.22 Issued by U.S. government sponsored agencies .....		0.000				0.000
1.3 Non-U.S. government (including Canada, excluding mortgaged-backed securities) .....	249,781	2.444	249,781		249,781	2.444
1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S. :						
1.41 States, territories and possessions general obligations .....	556,337	5.444	556,337		556,337	5.444
1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations .....	763,775	7.474	763,775		763,775	7.474
1.43 Revenue and assessment obligations .....	376,728	3.687	376,728		376,728	3.687
1.44 Industrial development and similar obligations .....		0.000				0.000
1.5 Mortgage-backed securities (includes residential and commercial MBS):						
1.51 Pass-through securities:						
1.511 Issued or guaranteed by GNMA .....	2,056,116	20.120	2,056,116		2,056,116	20.120
1.512 Issued or guaranteed by FNMA and FHLMC .....	634,430	6.208	634,430		634,430	6.208
1.513 All other .....		0.000				0.000
1.52 CMOs and REMICs:						
1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA .....		0.000				0.000
1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521 .....		0.000				0.000
1.523 All other .....	165,022	1.615	165,022		165,022	1.615
2. Other debt and other fixed income securities (excluding short-term):						
2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid securities) .....	3,324,119	32.529	3,324,119		3,324,119	32.529
2.2 Unaffiliated non-U.S. securities (including Canada) .....	651,496	6.375	651,496		651,496	6.375
2.3 Affiliated securities .....		0.000				0.000
3. Equity interests:						
3.1 Investments in mutual funds .....		0.000				0.000
3.2 Preferred stocks:						
3.21 Affiliated .....		0.000				0.000
3.22 Unaffiliated .....		0.000				0.000
3.3 Publicly traded equity securities (excluding preferred stocks):						
3.31 Affiliated .....		0.000				0.000
3.32 Unaffiliated .....		0.000				0.000
3.4 Other equity securities:						
3.41 Affiliated .....		0.000				0.000
3.42 Unaffiliated .....		0.000				0.000
3.5 Other equity interests including tangible personal property under lease:						
3.51 Affiliated .....		0.000				0.000
3.52 Unaffiliated .....		0.000				0.000
4. Mortgage loans:						
4.1 Construction and land development .....		0.000				0.000
4.2 Agricultural .....		0.000				0.000
4.3 Single family residential properties .....		0.000				0.000
4.4 Multifamily residential properties .....		0.000				0.000
4.5 Commercial loans .....		0.000				0.000
4.6 Mezzanine real estate loans .....		0.000				0.000
5. Real estate investments:						
5.1 Property occupied by company .....		0.000				0.000
5.2 Property held for production of income (including						
\$ .....0 of property acquired in satisfaction of debt) .....		0.000				0.000
5.3 Property held for sale (including \$ .....0 property acquired in satisfaction of debt) .....		0.000				0.000
6. Contract loans .....		0.000				0.000
7. Derivatives .....		0.000				0.000
8. Receivables for securities .....		0.000				0.000
9. Securities Lending (Line 10, Asset Page reinvested collateral) .....		0.000		XXX	XXX	XXX
10. Cash, cash equivalents and short-term investments .....	187,863	1.838	187,864		187,864	1.838
11. Other invested assets .....		0.000				0.000
12. Total invested assets .....	10,219,014	100.000	10,219,015		10,219,015	100.000

Schedule A - Verification - Real Estate

**N O N E**

Schedule B - Verification - Mortgage Loans

**N O N E**

**SCHEDULE BA - VERIFICATION BETWEEN YEARS**

## Other Long-Term Invested Assets

1. Book/adjusted carrying value, December 31 of prior year .....	
2. Cost of acquired:	
2.1 Actual cost at time of acquisition (Part 2, Column 8) .....	
2.2 Additional investment made after acquisition (Part 2, Column 9) .....	
3. Capitalized deferred interest and other:	
3.1 Totals, Part 1, Column 16 .....	
3.2 Totals, Part 3, Column 12 .....	
4. Accrual of discount .....	
5. Unrealized valuation increase (decrease):	
5.1 Totals, Part 1, Column 13 .....	
5.2 Totals, Part 3, Column 9 .....	
6. Total gain (loss) on disposals, Part 3, Column 19 .....	
7. Deduct amounts received on disposals, Part 3, Column 16 .....	
8. Deduct amortization of premium and depreciation .....	
9. Total foreign exchange change in book/adjusted carrying value:	
9.1 Totals, Part 1, Column 17 .....	
9.2 Totals, Part 3, Column 14 .....	
10. Deduct current year's other than temporary impairment recognized:	
10.1 Totals, Part 1, Column 15 .....	
10.2 Totals, Part 3, Column 11 .....	
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	
12. Deduct total nonadmitted amounts .....	
13. Statement value at end of current period (Line 11 minus Line 12) .....	

NONE

**SCHEDULE D - VERIFICATION BETWEEN YEARS**

## Bonds and Stocks

1. Book/adjusted carrying value, December 31 of prior year .....	8,201,904
2. Cost of bonds and stocks acquired, Part 3, Column 7 .....	4,486,524
3. Accrual of discount .....	2,071
4. Unrealized valuation increase (decrease):	
4.1. Part 1, Column 12 .....	
4.2. Part 2, Section 1, Column 15 .....	
4.3. Part 2, Section 2, Column 13 .....	
4.4. Part 4, Column 11 .....	
5. Total gain (loss) on disposals, Part 4, Column 19 .....	.7,206
6. Deduction consideration for bonds and stocks disposed of, Part 4, Column 7 .....	2,598,511
7. Deduct amortization of premium .....	68,037
8. Total foreign exchange change in book/adjusted carrying value:	
8.1. Part 1, Column 15 .....	
8.2. Part 2, Section 1, Column 19 .....	
8.3. Part 2, Section 2, Column 16 .....	
8.4. Part 4, Column 15 .....	
9. Deduct current year's other than temporary impairment recognized:	
9.1. Part 1, Column 14 .....	
9.2. Part 2, Section 1, Column 17 .....	
9.3. Part 2, Section 2, Column 14 .....	
9.4. Part 4, Column 13 .....	
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	10,031,157
11. Deduct total nonadmitted amounts .....	
12. Statement value at end of current period (Line 10 minus Line 11) .....	10,031,157

**SCHEDULE D - SUMMARY BY COUNTRY**

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS Governments (Including all obligations guaranteed by governments)	1. United States .....	3,309,464	3,294,164	3,336,318	3,232,144
	2. Canada .....	249,781	244,710	249,735	250,000
	3. Other Countries				
	4. Totals	3,559,245	3,538,874	3,586,053	3,482,144
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals	556,337	571,628	575,480	500,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals	763,776	779,970	776,507	750,000
U.S. Special revenue and special assessment obligations and all non- guaranteed obligations of agencies and authorities of governments and their political subdivisions	7. Totals	1,011,158	983,855	1,013,281	996,460
Industrial and Miscellaneous and Hybrid Securities (unaffiliated)	8. United States .....	3,489,140	3,484,932	3,490,455	3,455,000
	9. Canada .....	219,724	216,798	219,601	230,000
	10. Other Countries	431,772	422,457	431,777	435,000
	11. Totals	4,140,636	4,124,187	4,141,833	4,120,000
Parent, Subsidiaries and Affiliates	12. Totals				
	13. Total Bonds	10,031,152	9,998,514	10,093,154	9,848,604
PREFERRED STOCKS Industrial and Miscellaneous (unaffiliated)	14. United States .....				
	15. Canada .....				
	16. Other Countries				
	17. Totals				
Parent, Subsidiaries and Affiliates	18. Totals				
	19. Total Preferred Stocks				
COMMON STOCKS Industrial and Miscellaneous (unaffiliated)	20. United States .....				
	21. Canada .....				
	22. Other Countries				
	23. Totals				
Parent, Subsidiaries and Affiliates	24. Totals				
	25. Total Common Stocks				
	26. Total Stocks				
	27. Total Bonds and Stocks	10,031,152	9,998,514	10,093,154	

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
1. U.S. Governments											
1.1 NAIC 1 .....	485,441	1,874,469	493,331	368,014	88,210	3,309,465	33.0	4,208,868	41.7	3,309,464	
1.2 NAIC 2 .....											
1.3 NAIC 3 .....											
1.4 NAIC 4 .....											
1.5 NAIC 5 .....											
1.6 NAIC 6 .....											
1.7 Totals	485,441	1,874,469	493,331	368,014	88,210	3,309,465	33.0	4,208,868	41.7	3,309,464	
2. All Other Governments											
2.1 NAIC 1 .....		249,781				249,781	2.5			249,781	
2.2 NAIC 2 .....											
2.3 NAIC 3 .....											
2.4 NAIC 4 .....											
2.5 NAIC 5 .....											
2.6 NAIC 6 .....											
2.7 Totals		249,781				249,781	2.5			249,781	
3. U.S. States, Territories and Possessions etc., Guaranteed											
3.1 NAIC 1 .....			556,337			556,337	5.5	831,176	8.2	556,337	
3.2 NAIC 2 .....											
3.3 NAIC 3 .....											
3.4 NAIC 4 .....											
3.5 NAIC 5 .....											
3.6 NAIC 6 .....											
3.7 Totals			556,337			556,337	5.5	831,176	8.2	556,337	
4. U.S. Political Subdivisions of States, Territories and Possessions , Guaranteed											
4.1 NAIC 1 .....	253,742	256,343	253,691			763,776	7.6	772,346	7.6	763,775	
4.2 NAIC 2 .....											
4.3 NAIC 3 .....											
4.4 NAIC 4 .....											
4.5 NAIC 5 .....											
4.6 NAIC 6 .....											
4.7 Totals	253,742	256,343	253,691			763,776	7.6	772,346	7.6	763,775	
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed											
5.1 NAIC 1 .....	.65,009	492,066	312,594	116,157	25,331	1,011,157	10.1	460,578	4.6	1,011,157	
5.2 NAIC 2 .....											
5.3 NAIC 3 .....											
5.4 NAIC 4 .....											
5.5 NAIC 5 .....											
5.6 NAIC 6 .....											
5.7 Totals	65,009	492,066	312,594	116,157	25,331	1,011,157	10.1	460,578	4.6	1,011,157	

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 1A - SECTION 1 (Continued)**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)											
6.1 NAIC 1 .....	22,102	837,072	1,825,921	79,435		2,764,530	27.6	3,596,023	35.6	2,764,530	
6.2 NAIC 2 .....		332,021	1,044,085			1,376,106	13.7	232,768	2.3	1,376,106	
6.3 NAIC 3 .....											
6.4 NAIC 4 .....											
6.5 NAIC 5 .....											
6.6 NAIC 6 .....											
6.7 Totals	22,102	1,169,093	2,870,006	79,435		4,140,636	41.3	3,828,791	37.9	4,140,636	
7. Hybrid Securities											
7.1 NAIC 1 .....											
7.2 NAIC 2 .....											
7.3 NAIC 3 .....											
7.4 NAIC 4 .....											
7.5 NAIC 5 .....											
7.6 NAIC 6 .....											
7.7 Totals											
8. Parent, Subsidiaries and Affiliates											
8.1 NAIC 1 .....											
8.2 NAIC 2 .....											
8.3 NAIC 3 .....											
8.4 NAIC 4 .....											
8.5 NAIC 5 .....											
8.6 NAIC 6 .....											
8.7 Totals											

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
9. Total Bonds Current Year											
9.1 NAIC 1 .....	(d) 826,294	3,709,731	3,441,872	563,606	113,541	8,655,044	86.3	XXX	XXX	8,655,044	
9.2 NAIC 2 .....	(d) 332,021		1,044,085			1,376,106	13.7	XXX	XXX	1,376,106	
9.3 NAIC 3 .....	(d) 0							XXX	XXX		
9.4 NAIC 4 .....	(d) 0							XXX	XXX		
9.5 NAIC 5 .....	(d) 0					(c) 0		XXX	XXX		
9.6 NAIC 6 .....	(d) 0					(c) 0		XXX	XXX		
9.7 Totals .....	826,294	4,041,752	4,485,957	563,606	113,541	(b) 10,031,150	100.0	XXX	XXX	10,031,150	
9.8 Line 9.7 as a % of Col. 6	8.2	40.3	44.7	5.6	1.1	100.0	XXX	XXX	XXX	100.0	
10. Total Bonds Prior Year											
10.1 NAIC 1 .....	3,146,205	3,506,262	3,029,214	169,628	17,682	XXX	XXX	9,868,991	97.7	9,853,922	15,069
10.2 NAIC 2 .....	74,937		157,832			XXX	XXX	232,768	2.3	232,768	
10.3 NAIC 3 .....	0					XXX	XXX				
10.4 NAIC 4 .....	0					XXX	XXX				
10.5 NAIC 5 .....	0					XXX	XXX	(c) 0			
10.6 NAIC 6 .....	0					XXX	XXX	(c) 0			
10.7 Totals .....	3,146,205	3,581,199	3,187,045	169,628	17,682	XXX	XXX	(b) 10,101,759	100.0	10,086,690	15,069
10.8 Line 10.7 as a % of Col. 8	31.1	35.5	31.5	1.7	0.2	XXX	XXX	100.0	XXX	99.9	0.1
11. Total Publicly Traded Bonds											
11.1 NAIC 1 .....	826,294	3,709,730	3,441,873	563,606	113,541	8,655,044	86.3	9,853,922	97.5	8,655,044	XXX
11.2 NAIC 2 .....	332,021		1,044,085			1,376,106	13.7	232,768	2.3	1,376,106	XXX
11.3 NAIC 3 .....	0										XXX
11.4 NAIC 4 .....	0										XXX
11.5 NAIC 5 .....	0										XXX
11.6 NAIC 6 .....	0										XXX
11.7 Totals .....	826,294	4,041,751	4,485,958	563,606	113,541	10,031,150	100.0	10,086,690	99.9	10,031,150	XXX
11.8 Line 11.7 as a % of Col. 6 .....	8.2	40.3	44.7	5.6	1.1	100.0	XXX	XXX	XXX	100.0	XXX
11.9 Line 11.7 as a % of Line 9.7, Col. 6, Section 9	8.2	40.3	44.7	5.6	1.1	100.0	XXX	XXX	XXX	100.0	XXX
12. Total Privately Placed Bonds											
12.1 NAIC 1 .....	0								15,069	0.1	XXX
12.2 NAIC 2 .....	0										XXX
12.3 NAIC 3 .....	0										XXX
12.4 NAIC 4 .....	0										XXX
12.5 NAIC 5 .....	0										XXX
12.6 NAIC 6 .....	0										XXX
12.7 Totals .....	0								15,069	0.1	XXX
12.8 Line 12.7 as a % of Col. 6 .....	0								XXX	XXX	XXX
12.9 Line 12.7 as a % of Line 9.7, Col. 6, Section 9	0								XXX	XXX	XXX

(a) Includes \$ freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes \$ current year, \$ prior year of bonds with Z designations and \$ , current year \$ prior year of bonds with Z\* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z\*" means the SVO could not evaluate the obligation because valuation procedures for the security class are under regulatory review.

(c) Includes \$ current year, \$ prior year of bonds with 5\* designations and \$ , current year \$ prior year of bonds with 6\* designations. "5\*" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6\*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ ; NAIC 2 \$ ; NAIC 3 \$ ; NAIC 4 \$ ; NAIC 5 \$ ; NAIC 6 \$

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.5	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed
1. U.S. Governments											
1.1 Issuer Obligations	200,193	1,053,155				1,253,348	12.5	1,642,513	16.3	1,253,347	
1.2 Residential Mortgage-Backed Securities	285,248	821,314	493,331	368,014	88,210	2,056,117	20.5	2,566,354	25.4	2,056,116	
1.3 Commercial Mortgage-Backed Securities											
1.4 Other Loan-Backed and Structured Securities											
1.5 Totals	485,441	1,874,469	493,331	368,014	88,210	3,309,465	33.0	4,208,867	41.7	3,309,463	
2. All Other Governments											
2.1 Issuer Obligations		249,781				249,781	2.5			249,781	
2.2 Residential Mortgage-Backed Securities											
2.3 Commercial Mortgage-Backed Securities											
2.4 Other Loan-Backed and Structured Securities											
2.5 Totals		249,781				249,781	2.5			249,781	
3. U.S. States, Territories and Possessions, Guaranteed											
3.1 Issuer Obligations			556,337			556,337	5.5	831,176	8.2	556,337	
3.2 Residential Mortgage-Backed Securities											
3.3 Commercial Mortgage-Backed Securities											
3.4 Other Loan-Backed and Structured Securities											
3.5 Totals			556,337			556,337	5.5	831,176	8.2	556,337	
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed											
4.1 Issuer Obligations	253,742	256,343	253,691			763,776	7.6	772,345	7.6	763,775	
4.2 Residential Mortgage-Backed Securities											
4.3 Commercial Mortgage-Backed Securities											
4.4 Other Loan-Backed and Structured Securities											
4.5 Totals	253,742	256,343	253,691			763,776	7.6	772,345	7.6	763,775	
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed											
5.1 Issuer Obligations		251,728	125,000			376,728	3.8	252,222	2.5	376,728	
5.2 Residential Mortgage-Backed Securities	65,009	240,338	187,594	116,157	25,331	634,429	6.3	208,358	2.1	634,430	
5.3 Commercial Mortgage-Backed Securities											
5.4 Other Loan-Backed and Structured Securities											
5.5 Totals	65,009	492,066	312,594	116,157	25,331	1,011,157	10.1	460,580	4.6	1,011,158	
6. Industrial and Miscellaneous											
6.1 Issuer Obligations		1,026,173	2,870,006	79,435		3,975,614	39.6	3,713,842	36.8	3,975,615	
6.2 Residential Mortgage-Backed Securities											
6.3 Commercial Mortgage-Backed Securities											
6.4 Other Loan-Backed and Structured Securities	22,102	142,919				165,021	1.6	114,949	1.1	165,022	
6.5 Totals	22,102	1,169,092	2,870,006	79,435		4,140,635	41.3	3,828,791	37.9	4,140,637	
7. Hybrid Securities											
7.1 Issuer Obligations											
7.2 Residential Mortgage-Backed Securities											
7.3 Commercial Mortgage-Backed Securities											
7.4 Other Loan-Backed and Structured Securities											
7.5 Totals											
8. Parent, Subsidiaries and Affiliates											
8.1 Issuer Obligations											
8.2 Residential Mortgage-Backed Securities											
8.3 Commercial Mortgage-Backed Securities											
8.4 Other Loan-Backed and Structured Securities											
8.5 Totals											

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.5	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed
9. Total Bonds Current Year											
9.1 Issuer Obligations	453,935	2,837,180	3,805,034	79,435		7,175,584	.71.5	XXX	XXX	7,175,583	
9.2 Residential Mortgage-Backed Securities	350,257	1,061,652	680,925	484,171	113,541	2,690,546	.26.8	XXX	XXX	2,690,546	
9.3 Commercial Mortgage-Backed Securities								XXX	XXX		
9.4 Other Loan-Backed and Structured Securities	22,102	142,919				165,021	1.6	XXX	XXX	165,022	
9.5 Totals	826,294	4,041,751	4,485,959	563,606	113,541	10,031,151	100.0	XXX	XXX	10,031,151	
9.6 Line 9.5 as a % of Col. 6	8.2	40.3	44.7	5.6	1.1	100.0	XXX	XXX	XXX	100.0	
10. Total Bonds Prior Year											
10.1 Issuer Obligations	2,278,835	2,094,336	2,799,056	39,872		XXX	XXX	7,212,098	71.4	7,212,098	(1)
10.2 Residential Mortgage-Backed Securities	785,973	1,453,311	387,989	129,757	.17,683	XXX	XXX	2,774,712	27.5	2,774,711	1
10.3 Commercial Mortgage-Backed Securities						XXX	XXX				
10.4 Other Loan-Backed and Structured Securities	81,397	33,552				XXX	XXX	114,949	1.1	99,880	15,069
10.5 Totals	3,146,205	3,581,199	3,187,045	169,628	.17,683	XXX	XXX	10,101,759	100.0	10,086,690	15,069
10.6 Line 10.5 as a % of Col. 8	31.1	35.5	31.5	1.7	0.2	XXX	XXX	100.0	XXX	99.9	0.1
11. Total Publicly Traded Bonds											
11.1 Issuer Obligations	453,934	2,837,179	3,805,034	79,435		7,175,582	.71.5	7,212,098	71.4	7,175,582	XXX
11.2 Residential Mortgage-Backed Securities	350,258	1,061,652	680,924	484,171	113,541	2,690,546	.26.8	2,774,711	27.5	2,690,546	XXX
11.3 Commercial Mortgage-Backed Securities											XXX
11.4 Other Loan-Backed and Structured Securities	22,102	142,919				165,021	1.6	99,880	1.0	165,021	XXX
11.5 Totals	826,294	4,041,750	4,485,958	563,606	113,541	10,031,149	100.0	10,086,690	99.9	10,031,149	XXX
11.6 Line 11.5 as a % of Col. 6	8.2	40.3	44.7	5.6	1.1	100.0	XXX	XXX	XXX	100.0	XXX
11.7 Line 11.5 as a % of Line 9.5, Col. 6, Section 9	8.2	40.3	44.7	5.6	1.1	100.0	XXX	XXX	XXX	100.0	XXX
12. Total Privately Placed Bonds											
12.1 Issuer Obligations									(1)	0.0	XXX
12.2 Residential Mortgage-Backed Securities									1	0.0	XXX
12.3 Commercial Mortgage-Backed Securities											XXX
12.4 Other Loan-Backed and Structured Securities										15,069	0.1
12.5 Totals										15,069	0.1
12.6 Line 12.5 as a % of Col. 6										XXX	
12.7 Line 12.5 as a % of Line 9.5, Col. 6, Section 9										XXX	

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE DA - VERIFICATION BETWEEN YEARS**

## Short-Term Investments

	1 Total	2 Bonds	3 Mortgage Loans	4 Other Short-term Investment Assets (a)	5 Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year .....	449,943	449,943			
2. Cost of short-term investments acquired .....					
3. Accrual of discount .....	.34	.34			
4. Unrealized valuation increase (decrease) .....					
5. Total gain (loss) on disposals .....	(15)	(15)			
6. Deduct consideration received on disposals .....	449,963	449,963			
7. Deduct amortization of premium .....					
8. Total foreign exchange change in book/adjusted carrying value .....					
9. Deduct current year's other than temporary impairment recognized .....					
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....					
11. Deduct total nonadmitted amounts .....					
<u>12. Statement value at end of current period (Line 10 minus Line 11)</u>					

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

**SCHEDULE E - VERIFICATION BETWEEN YEARS**

(Cash Equivalents)

	1 Total	2 Bonds	3 Other (a)
1. Book/adjusted carrying value, December 31 of prior year .....	1,449,913	1,449,913	
2. Cost of cash equivalents acquired .....			
3. Accrual of discount .....	86	86	
4. Unrealized valuation increase (decrease) .....			
5. Total gain (loss) on disposals .....	(2)	(2)	
6. Deduct consideration received on disposals .....	1,449,998	1,449,998	
7. Deduct amortization of premium .....			
8. Total foreign exchange change in book/adjusted carrying value .....			
9. Deduct current year's other than temporary impairment recognized .....			
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....			
11. Deduct total nonadmitted amounts .....			
12. Statement value at end of current period (Line 10 minus Line 11)			

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule A - Part 1 - Real Estate Owned

**N O N E**

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 1 - Mortgage Loans Owned

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 1 - Other Long-Term Invested Assets Owned

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates			
		3 C o d e	4 F o r e i g n	5 B o n d C h a r			Actual Cost	Rate Used to Obtain Fair Value			Book/ Adjusted Carrying Value	Unrealized Value Increase/ (Decrease)	Current Year's (Amor ti zati on) Accretion	14 Current Year's Other- Than- Temporary Impairment Recognized	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	16 Rate of	17 Effective Rate of	18 When Paid	19 Admitted Amount Due and Accrued	20 Amount Received During Year	21 Acquired	22 Stated Contractual Maturity Date
912828-A2-6	US TREASURY N/B					1.	199,773	99.7960		199,594	200,000	.199,779	6			0.250	0.300	MN	.44	12/11/2013	11/30/2015	
912828-DV-9	US TREASURY N/B	SD				1.	226,312	105.3120	210,625	200,907	200,000	.207,907	(5,690)			4.125	4.120	MN	1,071	09/21/2010	05/15/2015	
912828-RV-4	US TREASURY N/B					1.	200,203	100.0780	200,156	200,000	200,193	.199,156	(11)			0.250	0.140	JD	.23	12/11/2013	12/15/2014	
912828-SC-5	US TREASURY N/B					1.	351,750	100.0930	350,328	350,000	351,722	.351,722	(28)			0.875	0.710	JJ	1,282	12/11/2013	01/31/2017	
912828-UU-2	US TREASURY N/B					1.	293,672	97.1560	291,469	300,000	293,747	.293,747	75			0.750	1.250	MS	.575	12/11/2013	03/31/2018	
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations							1,271,710	XXX	1,252,172	1,250,000	1,253,348	(5,648)				XXX	XXX	XXX	2,995	8,500	XXX	XXX
361781W-GL-7	GN AB9203		2			1.	276,364	96.7660	250,317	258,681	274,539	(2,741)				3.000	2.160	MON	.647	7,761	12/05/2012	11/15/2042
36202F-M7-8	G2 4882		2			1.	(2,481)	104.4400	(2,481)	(2,425)	(2,425)		15			4.000	(0.040)	MON	(.8)	(98)	06/20/2011	12/20/2040
36202F-M7-8	G2 4882	SD	2			1.	198,520	104.4400	202,649	194,033	197,837	(1,184)				4.000	3.620	MON	.647	7,851	06/20/2011	12/20/2040
36202F-PF-7	G2 4922		2			1.	191,914	104.1270	194,843	187,120	191,248	(1,263)				4.000	3.520	MON	.624	7,485	06/15/2011	01/20/2041
36202F-TL-0	G2 5055		2			1.	(4,297)	107,2710	(4,352)	(4,057)	(4,292)	.80				4.500	(0.040)	MON	(.15)	(185)	06/28/2011	05/20/2041
36202F-TL-0	G2 5055	SD	2			1.	286,296	107,2710	289,902	270,250	285,942	(5,338)				4.500	3.040	MON	1,013	12,331	06/28/2011	05/20/2041
36202F-UE-4	G2 5081		2			1.	181,012	104.3770	183,739	176,033	180,475	(1,420)				4.000	3.400	MON	.587	J,041	06/23/2011	06/20/2041
36202F-UF-1	G2 5082		2			1.	172,262	107,2710	174,948	163,088	172,001	(2,893)				4.500	3.110	MON	.612	7,339	06/20/2011	06/20/2041
36202F-VY-9	G2 5232		2			1.	593,025	101.0790	578,721	572,539	589,111	(4,297)				3.500	3.020	MON	1,670	20,039	11/18/2011	11/20/2041
36241L-S3-1	GN 783238		2			1.	171,993	104.1190	173,757	166,882	171,727	(1,637)				4.000	3.330	MON	.556	6,675	06/24/2011	01/15/2041
0299999. Subtotal - Bonds - U.S. Governments - Residential Mortgage-Backed Securities							2,064,608	XXX	2,041,992	1,982,144	2,056,116	(20,678)				XXX	XXX	XXX	6,333	76,238	XXX	XXX
0599999. Total - U.S. Government Bonds							3,336,318	XXX	3,294,164	3,232,144	3,309,464	(26,326)				XXX	XXX	XXX	9,328	84,738	XXX	XXX
683234-C5-5	ONTARIO (PROVINCE OF)	A			1FE		249,735	97.8830	244,710	250,000	249,781		.46			1.200	.220	FA	1,142	1,500	02/27/2013	02/14/2018
0699999. Subtotal - Bonds - All Other Governments - Issuer Obligations							249,735	XXX	244,710	250,000	249,781		46			XXX	XXX	XXX	1,142	1,500	XXX	XXX
1099999. Total - All Other Government Bonds							249,735	XXX	244,710	250,000	249,781		46			XXX	XXX	XXX	1,142	1,500	XXX	XXX
649791-06-2	NEW YORK ST				1FE		296,215	118.0240	295,060	250,000	283,749	(5,023)				5.000	2.600	FA	4,722	12,500	06/15/2011	02/15/2020
837100-4A-3	SOUTH CAROLINA ST				1FE		279,265	110.6270	276,568	250,000	272,588	(2,722)				4.000	2.650	JD	833	10,000	06/29/2011	06/01/2021
1199999. Subtotal - Bonds - U.S. States, Territories and Possessions - Issuer Obligations							575,480	XXX	571,628	500,000	556,337	(7,745)				XXX	XXX	XXX	5,555	22,500	XXX	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds							575,480	XXX	571,628	500,000	556,337	(7,745)				XXX	XXX	XXX	5,555	22,500	XXX	XXX
542535-JZ-3	LONG BEACH NY CITY SCH DIST		1		1FE		254,950	105.0800	262,700	250,000	253,691	(517)				3.500	3.240	MN	.1,458	8,750	06/28/2011	05/01/2022
64966K-AH-6	NEW YORK NY		1		1FE		260,932	102.2260	255,565	250,000	253,742	(6,298)				5.000	2.390	FA	5,208	12,500	11/09/2012	08/01/2022
964389-03-1	WHITE PLAINS NY		1		1FE		260,625	104.6820	261,705	250,000	256,343	(1,754)				4.000	3.200	MN	1,278	10,000	06/28/2011	05/15/2022
1899999. Subtotal - Bonds - U.S. Political Subdivisions - Issuer Obligations							776,507	XXX	779,970	750,000	763,776	(8,569)				XXX	XXX	XXX	7,944	31,250	XXX	XXX
2499999. Total - U.S. Political Subdivisions Bonds							776,507	XXX	779,970	750,000	763,776	(8,569)				XXX	XXX	XXX	7,944	31,250	XXX	XXX
19648C-AB-8	COLORADO ST HS&FIN AUTH COLOR		252,473	100.1840	250,460		251,728	(494)					1,850			1,630	MN	.591	4,625	06/21/2012	05/15/2017	
47770V-AX-8	JOBSHOIO BEVERAGE SYS STWD L1Q		125,000	98.2440	116,555		125,000						3,235			3,230	JJ	2,022	1,685	01/29/2013	01/01/2023	
2599999. Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations							377,473	XXX	367,015	375,000	376,728	(494)				XXX	XXX	XXX	2,613	6,310	XXX	XXX
31326D-RW-4	F6 000501		153,154	106.1540	156,822		147,729						4,500			3,740	MON	.554	6,648	05/11/2011	05/01/2041	
31417E-H2-0	FN AB7448		235,272	99.1920	225,056		226,889						2,500			1,750	MON	.473	4,727	02/05/2013	01/01/2028	
31417G-TF-3	FN AB9549		247,382	95.1870	234,962		246,842						3,000			2,940	MON	.617	3,086	06/17/2013	06/01/2043	
2699999. Subtotal - Bonds - U.S. Special Revenues - Residential Mortgage-Backed Securities							635,808	XXX	616,840	621,460	634,430	(3,064)				XXX	XXX	XXX	1,644	14,461	XXX	XXX
3199999. Total - U.S. Special Revenues Bonds							1,013,281	XXX	983,855	996,460	1,011,158	(3,558)				XXX	XXX	XXX	4,257	20,771	XXX	XXX
00724F-AB-7	ADOB SYSTEMS INC		1		2FE		54,463	108.7980	54,399	50,000	54,405	(57)				4,750	3,140	FA	.990	11/25/2013	02/01/2020	
031162-BD-1	AMGEN INC		1		2FE		37,892	101.7080	40,684	40,000	38,412		200			3,450	4,120	A0	.345	1,380	04/19/2011	10/01/2020
031162-BF-6	AMGEN INC		1		2FE		24,942	103.1650	25,791	25,000	24,970		12			2,300	2,350	JD	.575	12,500	06/27/2011	06/15/2016
037735-CR-6	APPALACHIAN POWER CO		1		2FE		49,861															

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	Codes			6 NAIC Des.	7 Actual Cost	Fair Value		10 Rate Used to Obtain Fair Value	11 Par Value	Change in Book/Adjusted Carrying Value				Interest				Dates			
		3 C o d e	4 F o r e i g n	5 Bond Char			8 Fair Value	9 Book/ Adjusted Carrying Value			12 Unrealized Value (Increase/ Decrease)	13 Current Year's (Amor ti za ti on) Accretion	14 Current Year's Other- Than- Temp or ary Impair ment Recog nized	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	16 Rate of	17 Effective Rate of	18 When Paid	19 Admitted Amount Due and Accrued	20 Amount Received During Year	21 Acquired	22 Stated Contractual Maturity Date	
36962G-4R-2	GENERAL ELEC CAP CORP					248,795	108,1170	270,293	250,000	249,096	113				4.375	4.430	MS	3,190	10,938	05/13/2011	09/16/2020	
38141E-AB-8	GOLDMAN SACHS GROUP INC					114,463	111,1990	100,000	112,824		(1,639)				5.375	3,080	MS	1,583	5,375	02/07/2013	03/15/2020	
40414L-AJ-8	HCP INC					49,770	98,1320	49,066	50,000	49,773	3				4.250	4,300	MN	.289		11/05/2013	11/15/2023	
42217K-AY-2	HEALTH CARE REIT INC	1				52,215	105,4280	52,714	50,000	52,106	(108)				4.125	3,200	AO	.516	1,031	09/17/2013	04/01/2019	
59156R-AX-6	METLIFE INC	1				257,803	108,0720	270,182	250,000	256,022	(710)				4.750	4,350	FA	4,717	11,875	05/13/2011	02/08/2021	
59156R-BF-4	METLIFE INC					150,826	93,1180	139,678	150,000	150,821	(5)				3.048	2,970	MJSD	.203	4,572	09/27/2012	12/15/2022	
628530-BF-3	MYLAN INC					24,939	99,0980	24,775	25,000	24,939	1				2.550	2,600	MN	.57		11/19/2013	03/28/2019	
629568-AQ-9	NABORS INDUSTRIES INC	1				62,372	112,5140	61,883	55,000	62,335	(37)				6,150	2,700	FA		1,278	12/18/2013	02/15/2018	
637071-AL-5	NATIONAL OILWELL VARCO I	1				29,971	98,9590	29,688	30,000	29,977	6				1,350	1,370	JD	.34	417	11/15/2012	12/01/2017	
68268N-AK-9	ONEOK PARTNERS LP					44,971	102,2470	46,011	45,000	44,972	2				3,200	3,210	MS	.436		09/09/2013	09/15/2018	
69351U-AQ-6	PPG ELECTRIC UTILITIES	1				199,330	92,1930	184,386	200,000	199,411	60				2,500	2,530	MS	.1,667	5,097	08/21/2012	09/01/2022	
69362B-BA-9	PSEG POWER LLC					34,980	99,7890	34,926	35,000	34,980					4,300	4,300	MN	.222		11/05/2013	11/15/2023	
71344B-BR-8	PEPSICO INC	1				37,276	99,8090	39,924	40,000	37,944	257				3,125	3,990	MN	.208	1,250	04/19/2011	11/01/2020	
74005P-BB-7	PRAXAIR INC	1				74,971	97,7540	73,316	75,000	74,977	6				1,050	1,050	MN	.118	788	11/02/2012	11/07/2017	
740189-AK-1	PRECISION CASTPARTS CORP	1				100,117	97,9640	97,965	100,000	100,094	(22)				1,250	1,220	JJ	.576	712	12/18/2012	01/15/2018	
742718-DV-8	PROCTER & GAMBLE CO/THE	1				148,794	101,5840	152,377	150,000	149,356	239				1,450	1,610	FA	.822	2,175	08/10/2011	08/15/2016	
74444B-CF-6	PUBLIC SERVICE COLORADO	1				124,878	90,6580	113,324	125,000	124,892	11				2,250	2,260	MS	.828	2,844	09/04/2012	09/15/2022	
755111-BX-8	RAYTHEON COMPANY	1				99,691	91,0350	91,036	100,000	99,721	28				2,500	2,530	JJ	.111	2,576	11/27/2012	12/15/2022	
756109-AK-0	REALTY INCOME CORP					58,576	116,6570	58,329	50,000	58,208	(368)				6,750	3,500	FA	.1,275		09/17/2013	08/15/2019	
76132F-AA-5	RETAIL OPPORTUNITY IN					24,583	99,8720	24,968	25,000	24,585	2				5,000	5,210	JD	.76		12/04/2013	12/15/2023	
776696-AB-3	ROPER INDUSTRIES INC					49,896	97,5780	48,789	50,000	49,906	10				2,050	2,090	AO	.256		327	05/30/2013	10/01/2018
78355H-JV-2	RYDER SYSTEM INC					29,931	98,9280	29,679	30,000	29,933	2				2,450	2,490	MN	.100		11/04/2013	11/15/2018	
816851-AS-8	SEMPRA ENERGY	1				49,974	101,6060	50,803	50,000	49,983	5				2,300	2,310	AO	.288		03/20/2012	04/01/2017	
88166H-AD-9	TEVA PHARMA FIN IV LLC	1				49,978	94,9180	47,459	50,000	49,980	2				2,250	2,250	MS	.322		844	12/13/2012	03/18/2020
89233P-6S-0	TOYOTA MOTOR CREDIT CORP	1				49,920	98,0990	49,050	50,000	49,934	15				1,250	1,280	AO	.149		625	02/21/2013	10/05/2017
91159H-HE-3	US BANCORP					74,907	99,2800	74,460	75,000	74,910	3				1,950	1,970	MN	.219		11/04/2013	11/15/2018	
92343V-AX-2	VERIZON COMMUNICATIONS	1				247,863	107,1330	267,833	250,000	248,357	186				4,600	4,700	AO	.2,875	11,500	03/23/2011	04/01/2021	
93114Z-DD-2	WAL-MART STORES INC					40,282	107,6650	43,066	40,000	40,217	(25)				4,250	4,160	AO	.359	1,700	04/18/2011	04/15/2021	
958254-AC-8	WESTERN GAS PARTNERS					69,915	98,8560	69,199	70,000	69,921	6				2,600	2,620	FA	.693		08/05/2013	08/15/2018	
064159-BE-5	BANK OF NOVA SCOTIA	A.	1			89,900	98,4000	88,560	90,000	89,920	19				1,375	1,390	JD	.45	1,238	12/11/2012	12/18/2017	
067901-AQ-1	BARRICK GOLD CORP	A.	1			64,565	90,2590	63,184	70,000	64,618	52				4,100	5,140	MN	.478		11/15/2013	05/01/2023	
878742-AY-1	TECK RESOURCES LIMITED	A.	1			65,136	92,9370	65,056	70,000	65,187	51				3,750	4,680	FA	.1,094		11/15/2013	02/01/2023	
05565Q-CB-8	BP CAPITAL MARKETS PLC	F.				98,998	91,1680	91,168	100,000	99,054	56				2,750	2,860	MN	.390	1,375	05/07/2013	05/10/2023	
46132F-AB-6	INVESCO FINANCE PLC	F.				79,427	99,5260	79,621	80,000	79,435	8				4,000	4,080	JJ	.436		11/06/2013	01/30/2024	
71647N-AB-5	PETROBRAS GLOBAL FINANCE	F.				47,526	93,7210	46,861	50,000	47,705	180				3,000	4,010	JJ	.921		07/23/2013	01/15/2019	
767201-AQ-9	RIO TINTO FIN USA LTD	F.	1			124,680	99,9570	124,947	125,000	124,743	28				3,750	3,780	MS	.1,315	4,688	09/14/2011	09/20/2021	
85771P-AG-7	STATOIL ASA	F.	1			39,870	91,1580	36,463	40,000	39,884	12				2,450	2,480	JJ	.446	642	11/14/2012	01/17/2023	
89152U-AD-4	TOTAL CAPITAL SA	F.	1			41,276	108,4900	43,396	40,000	40,950	(126)				4,450	4,030	JD	.35	1,780	04/18/2011	06/24/2020	
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						3,976,804	XXX	3,959,521	3,955,000	3,975,612		(1,560)				XXX	XXX	XXX	33,251	88,561	XXX	XXX
12591F-AC-0	CNH 2013-A A3					75,000	99,8140	74,861	75,000	75,000					0,690	0,690	MON	.23		423	02/13/2013	06/15/2018
12624H-AC-7	CNH 2012-D A3					35,038	99,7330	34,907	35,000	35,024		(14)			0,650	0,590	MON	.10	190	02/28/2013	04/16/2018	
34530B-AC-7	FORD 2013-A A3					24,993	99,9500	24,988	25,000	24,999	1				0,550	0,550	MON	.6	110	02/20/2013	07/15/2017	
92867M-AC-4	VALET 2013-1 A3					29,998	99,7000	29,910	30,000	29,999	1				0,560	0,560	MON	.5	137	02/21/2013	08/21/2017	
3599999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities						165,029	XXX	164,666	165,000	165,022		(12)				XXX	XXX	XXX	44	860	XXX	XXX
3899																						

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	Codes			6 NAIC Des.	7 Actual Cost	Fair Value		10 Book/ Adjusted Carrying Value	11 Unrealized Valuation Increase/ (Decrease)	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3 C o d e	4 F o r e i n g	5 Bond Char			8 Rate Used to Obtain Fair Value	9 Fair Value			12 Current Year's (Amor- tization) Accretion	13 Current Year's Other- Than- Temporary Impairment Recognized	14 15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	16 Rate of	17 Effective Rate of	18 When Paid	19 Admitted Amount Due and Accrued	20 Amount Received During Year	21 Acquired	22 Stated Contractual Maturity Date	
	8099999. Total - Other Loan-Backed and Structured Securities					165,029	XXX	164,666	165,000	165,022		(12)			XXX	XXX	XXX	44	860	XXX	XXX
	8399999 - Total Bonds					10,093,154	XXX	9,998,514	9,848,604	10,031,150		(47,724)			XXX	XXX	XXX	61,521	250,180	XXX	XXX

Schedule D - Part 2 - Section 1 - Preferred Stocks Owned

**N O N E**

Schedule D - Part 2 - Section 2 - Common Stocks Owned

**N O N E**

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends
912828-A2-6	US TREASURY N/B		12/11/2013	GOLDMAN SACHS		199,773	200,000	18
912828-RV-4	US TREASURY N/B		12/11/2013	JEFFERIES & CO		200,203	200,000	247
912828-SC-5	US TREASURY N/B		12/11/2013	GOLDMAN SACHS		351,750	350,000	1,123
912828-UU-2	US TREASURY N/B		12/11/2013	BARCLAYS AMERICAN		293,672	300,000	457
0599999. Subtotal - Bonds - U.S. Governments						1,045,398	1,050,000	1,845
683234-C5-5	ONTARIO (PROVINCE OF)	A	02/07/2013	SCOTIA CAPITAL INC - US		249,735	250,000	
1099999. Subtotal - Bonds - All Other Governments						249,735	250,000	
31417E-H2-0	FN AB7448		02/05/2013	DEUTSCHE BANK		256,613	247,468	223
31417G-TF-3	FN AB9549		06/17/2013	RBC CAPITAL MARKETS SECURITIES - US		249,532	248,988	290
47770V-AX-8	JOBSHIO BEVERAGE SYS STWD L10		01/29/2013	J.P. MORGAN		125,000		
3199999. Subtotal - Bonds - U.S. Special Revenues						631,145	621,456	513
00724F-AB-7	ADODE SYSTEMS INC		11/25/2013	CREDIT SUISSE		54,463	50,000	.778
05348E-AS-8	AVALONBAY COMMUNITIES IN		09/16/2013	DEUTSCHE BANK		34,905	35,000	
118230-AJ-0	BUCKEYE PARTNERS LP		09/26/2013	WELLS FARGO SECURITIES LLC		51,796	50,000	.399
12591F-AC-0	CNH 2013-A A3		02/13/2013	ROYAL BANK OF SCOTLAND US		75,000	75,000	
12624H-AC-7	CNH 2012-D A3		02/28/2013	ROYAL BANK OF SCOTLAND US		35,038	35,000	13
23317H-AC-6	DDR CORP		11/21/2013	CITIGROUP GLOBAL MARKETS		74,495	75,000	
29364D-AR-1	ENTERGY ARKANSAS INC		05/28/2013	VARIOUS		99,917	100,000	
34530B-AC-7	FORDO 2013-A A3		02/20/2013	BANK AMERICA		24,998	25,000	
38141E-A5-8	GOLDMAN SACHS GROUP INC		02/07/2013	HSBC SECURITIES LIMITED		114,463	100,000	2,195
40414L-AJ-8	HCP INC		11/05/2013	CITIGROUP GLOBAL MARKETS		49,770	50,000	
42217K-AY-2	HEALTH CARE REIT INC		09/17/2013	WELLS FARGO SECURITIES LLC		52,215	50,000	.968
628530-BF-3	MYLAN INC		11/19/2013	J.P. MORGAN		24,939	25,000	
629568-AQ-9	NABORS INDUSTRIES INC		12/18/2013	JEFFERIES & CO		62,372	55,000	1,203
68268N-AK-9	ONEOK PARTNERS LP		09/09/2013	ROYAL BANK OF SCOTLAND US		44,971	45,000	
69362B-BA-9	PSEG POWER LLC		11/05/2013	BARCLAYS AMERICAN		34,980	35,000	
756109-AK-0	REALTY INCOME CORP		09/17/2013	WELLS FARGO SECURITIES LLC		58,576	50,000	.328
76132F-AA-5	RETAIL OPPORTUNITY IN		12/04/2013	J.P. MORGAN		24,583	25,000	
776696-AB-3	ROPER INDUSTRIES INC		05/30/2013	BANK AMERICA		49,896	50,000	
78355H-JV-2	RYDER SYSTEM INC		11/04/2013	US BANCORP INVESTMENTS INC		29,931	30,000	
89233P-6S-0	TOYOTA MOTOR CREDIT CORP		02/21/2013	GOLDMAN SACHS		49,920	50,000	.245
91159H-HE-3	US BANCORP		11/04/2013	US BANCORP INVESTMENTS INC		74,907	75,000	
92867M-AC-4	VALET 2013-1 A3		02/21/2013	BARCLAYS AMERICAN		29,998	30,000	
958254-AC-8	WESTERN GAS PARTNERS		08/05/2013	WELLS FARGO SECURITIES LLC		69,915	70,000	
067901-AQ-1	BARRICK GOLD CORP	A	11/15/2013	GOLDMAN SACHS		64,565	70,000	.151
678742-AY-1	TECK RESOURCES LIMITED	A	11/15/2013	GOLDMAN SACHS		65,136	70,000	.795
05565Q-CD-8	BP CAPITAL MARKETS PLC	F	05/07/2013	MORGAN STANLEY		98,998	100,000	
46132F-AB-6	INVESCO FINANCE PLC	F	11/06/2013	BANK AMERICA		79,427	80,000	
71647N-AB-5	PETROBRAS GLOBAL FINANCE	F	07/23/2013	BARCLAYS AMERICAN		47,526	50,000	.275
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						1,577,700	1,555,000	7,350
8399997. Total - Bonds - Part 3						3,503,978	3,476,456	9,708
8399998. Total - Bonds - Part 5						982,546	940,000	5,401
8399999. Total - Bonds						4,486,524	4,416,456	15,109
8999997. Total - Preferred Stocks - Part 3							XXX	
8999998. Total - Preferred Stocks - Part 5							XXX	
8999999. Total - Preferred Stocks							XXX	
9799997. Total - Common Stocks - Part 3							XXX	
9799998. Total - Common Stocks - Part 5							XXX	
9799999. Total - Common Stocks							XXX	
9899999. Total - Preferred and Common Stocks							XXX	
9999999 - Totals						4,486,524	XXX	15,109

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Con- sideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	
										11 Unrealized Valuation Increase/ Decrease	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other- Than- Temporary Impairment Recognized	14 Total Change in Book/ Adjusted Carrying Value (11+12-13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value							
36178W-GL-7	GN AB9203		12/01/2013	MBS PAYMENT			15,842	15,842	15,931		(88)		(88)		15,842					.256	11/15/2042
36202F-H7-8	G2 4882		12/01/2013	MBS PAYMENT			48,500	48,500	48,618		(117)		(117)		48,500					.807	12/20/2040
36202F-PF-7	G2 4922		12/01/2013	MBS PAYMENT			49,082	49,082	49,229		(146)		(146)		49,082					.893	01/20/2041
36202F-TL-0	G2 5055		12/01/2013	MBS PAYMENT			96,958	96,958	102,715	97,655	(697)		(697)		96,958					1,790	05/20/2041
36202F-UE-4	G2 5081		12/01/2013	MBS PAYMENT			58,818	58,818	60,482	59,022	(204)		(204)		58,818					1,063	06/20/2041
36202F-UF-1	G2 5082		12/01/2013	MBS PAYMENT			56,560	56,560	59,742	56,968	(408)		(408)		56,560					1,139	06/20/2041
36202F-Y5-9	G2 5232		12/01/2013	MBS PAYMENT			107,556	107,556	111,404	107,923	(367)		(367)		107,556					1,748	11/20/2041
36241L-S3-1	GN 783238		12/01/2013	MBS PAYMENT			53,989	53,989	55,643	54,215	(225)		(225)		53,989					.986	01/15/2041
912828-JT-8	US TREASURY N/B		11/30/2013	VARIOUS	300,000	300,000	310,770	304,002		(4,002)		(4,002)		300,000					6,000	11/30/2013	
912828-RA-0	US TREASURY N/B		05/10/2013	ROYAL BANK OF SCOTLAND US			75,032	75,000	74,906	74,977		17	17		74,994			38	38	103	06/30/2013
0599999. Subtotal - Bonds - U.S. Governments					862,337	862,305	892,549	868,540		(6,237)		(6,237)		862,299			38	38	14,785	XXX	
574192-J4-3	MARYLAND ST		06/17/2013	CITIGROUP GLOBAL MARKETS			272,003	250,000	274,875	267,093	(2,475)		(2,475)		264,618			.7,385	.7,385	.8,028	03/01/2018
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions					272,003	250,000	274,875	267,093		(2,475)		(2,475)		264,618			7,385	7,385	8,028	XXX	
3132GD-RW-4	FG Q00501		12/01/2013	MBS PAYMENT			53,980	53,980	55,962	54,225	(245)		(245)		53,980					1,243	05/01/2041
31417E-H2-0	FN AB7448		12/01/2013	MBS PAYMENT			20,581	20,581	21,341		(58)		(58)		20,581					.235	01/01/2028
31417G-TF-3	FN AB9549		12/01/2013	MBS PAYMENT			2,145	2,145							2,145					.16	06/01/2043
3199999. Subtotal - Bonds - U.S. Special Revenues					76,706	76,706	79,453	54,225		(303)		(303)		76,706					1,494	XXX	
02005X-AC-2	ALLYA 2011-4 A3		12/10/2013	VARIOUS	100,029	100,000	99,727	99,881		.95		.95		99,976			.54	.54	.543	09/15/2015	
20826F-AB-2	CONOCOPHILLIPS COMPANY		12/10/2013	BNY MELLON CAPITAL MARKETS			123,268	125,000	124,714	124,717	.53		.53		124,771			(1,503)	(1,503)	1,334	12/15/2017
25468P-CV-6	WALT DISNEY COMPANY/THE		12/10/2013	JEFFERIES & CO			29,732	30,000	29,787	29,790	.40		.40		29,830			(.98)	(.98)	.342	12/01/2017
341099-CQ-0	DUKE ENERGY FLORIDA INC		05/02/2013	BANK AMERICA			114,979	115,000	114,888	114,902	.12		.12		114,913			.66	.66	.347	11/15/2015
36161H-AC-5	GEEMT 2010-1 A3		03/14/2013	MBS PAYMENT			15,066	15,066	15,071	15,069	(3)		(3)		15,066					.19	07/14/2014
808513-AH-8	CHARLES SCHWAB CORP		12/09/2013	STERNE AGEE			30,063	30,000	30,000	30,000					30,000			.63	.63	.259	12/04/2015
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					413,137	415,066	414,197	414,359		197		197		414,556			(1,418)	(1,418)	2,844	XXX	
8399997. Total - Bonds - Part 4					1,624,183	1,604,077	1,661,074	1,604,217		(8,818)		(8,818)		1,618,179			6,005	6,005	27,151	XXX	
8399998. Total - Bonds - Part 5					974,328	940,000	982,546			(9,418)		(9,418)		973,126			1,201	1,201	19,604	XXX	
8399999. Total - Bonds					2,598,511	2,544,077	2,643,620	1,604,217		(18,236)		(18,236)		2,591,305			7,206	7,206	46,755	XXX	
8999997. Total - Preferred Stocks - Part 4					XXX															XXX	
8999998. Total - Preferred Stocks - Part 5					XXX															XXX	
8999999. Total - Preferred Stocks					XXX															XXX	
9799997. Total - Common Stocks - Part 4					XXX															XXX	
9799998. Total - Common Stocks - Part 5					XXX															XXX	
9799999. Total - Common Stocks					XXX															XXX	
9899999. Total - Preferred and Common Stocks					XXX															XXX	
9999999 - Totals					2,598,511	XXX	2,643,620	1,604,217		(18,236)		(18,236)		2,591,305			7,206	7,206	46,755	XXX	

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Date Acquired	5 Name of Vendor	6 Disposal Date	7 Name of Purchaser	8 Par Value (Bonds) or Number of Shares (Stock)	9 Book/ Adjusted Carrying Value at Disposal	10 Consid- eration	11 Actual Cost	Change in Book/Adjusted Carrying Value					17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Interest and Dividends Received During Year	21 Paid for Accrued Interest and Dividends
											12 Unrealized Valuation Increase/ (Decrease)	13 Current Year's (Amort- ization)/ Accretion	14 Current Year's Other- Than- Temporary Impairment Recognized	15 Total Change in Book/ Adjusted Carrying Value (12 + 13 - 14)	16 Total Foreign Exchange Change in Book/ Adjusted Carrying Value					
669827-FT-9	NOVA SCOTIA PROVINCE	A	02/22/2013	SCOTIA CAPITAL INC - US	12/10/2013	RBC CAPITAL MARKETS	120,000	139,565	135,473	135,647		(3,917)		(3,917)			(175)	(175)	5,415	500
748148-RR-6	PROVINCE OF QUEBEC	A	02/12/2013	SCOTIA CAPITAL INC - US	11/14/2013	SCOTIA CAPITAL INC - US	150,000	169,788	165,023	164,875		(4,913)		(4,913)			148	148	9,125	3,417
1099999. Subtotal - Bonds - All Other Governments							270,000	309,353	300,496	300,522		(8,830)		(8,830)			(27)	(27)	14,540	3,947
00206R-BR-2	AT&T INC		02/07/2013	UBS SECURITIES	10/24/2013	PIPER JAFFREY & CO	100,000	99,935	99,873	99,950		15		15			.77	.77	643	
037833-AH-3	APPLE INC		04/30/2013	GOLDMAN SACHS	05/01/2013	FIRST TENNESSEE	60,000	59,891	60,002	59,892							.10	.10	2	
060516-EB-1	BANK OF AMERICA CORP		08/27/2013	CITIGROUP GLOBAL MARKETS	12/10/2013	BARCLAYS AMERICAN	70,000	73,496	73,322	72,870		(626)		(626)			.452	.452	2,205	1,304
24422E-RZ-4	JOHN DEERE CAPITAL CORP		01/17/2013	J.P. MORGAN	12/10/2013	RBC CAPITAL MARKETS	45,000	44,952	45,091	44,966		14		14			.125	.125	300	
61746B-DG-8	MORGAN STANLEY		02/20/2013	MORGAN STANLEY	12/10/2013	WELLS FARGO SECURITIES	30,000	29,943	30,422	29,958		15		15			.464	.464	420	
65339K-AH-3	NEXTERA ENERGY CAPITAL		08/06/2013	CREDIT SUISSE	12/10/2013	ROBERT W. BAIRD & CO	75,000	75,274	75,443	75,228		(45)		(45)			.214	.214	346	
883556-BE-1	THERMO FISHER SCIENTIFIC		12/04/2013	BARCLAYS AMERICAN	12/05/2013	JEFFERIES & CO	10,000	9,980	10,021	9,980							.42	.42		
89236T-AY-1	TOYOTA MOTOR CREDIT CORP		10/21/2013	BANK AMERICA	12/10/2013	WELLS FARGO SECURITIES	50,000	49,988	50,127	49,988							.138	.138	136	
98389B-AP-5	XCEL ENERGY INC		05/06/2013	BANK AMERICA	12/10/2013	ROBERT W. BAIRD & CO	35,000	34,992	34,790	34,993		2		2			(203)	(203)	156	
78011D-AD-6	ROYAL BANK OF CANADA	A	02/12/2013	- US	05/10/2013	GOLDMAN SACHS	125,000	124,820	124,981	124,836		16		16			.146	.146	341	.150
92857W-BA-7	VODAFONE GROUP PLC	F	02/11/2013	MITSUBISHI UFJ SECURITIES USA	12/10/2013	WELLS FARGO SECURITIES	70,000	69,922	69,760	69,943		21		21			(183)	(183)	515	
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)							670,000	673,193	673,832	672,604		(588)		(588)			1,228	1,228	5,064	1,454
8399998. Total - Bonds							940,000	982,546	974,328	973,126		(9,418)		(9,418)			1,201	1,201	19,604	5,401
8999998. Total - Preferred Stocks																				
9799998. Total - Common Stocks																				
9899999. Total - Preferred and Common Stocks																				
9999999 - Totals							982,546	974,328	973,126		(9,418)		(9,418)			1,201	1,201	19,604	5,401	

Schedule D-Part 6-Section 1-Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

**N O N E**

Schedule D - Part 6 - Section 2

**N O N E**

Schedule DA - Part 1 - Short-Term Investments Owned

**N O N E**

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**N O N E**

Schedule DB - Part A - Section 2 - Options, Caps, Floors, Collars, Swaps and Forwards Terminated

**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

Schedule DB - Part B - Section 2 - Futures Contracts Terminated

**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE E - PART 1 - CASH**

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Year	5 Amount of Interest Accrued December 31 of Current Year	6 Balance	7 *
Bank of New York ..... New York, NY .....	.....	.....	.....	.....	187,864	XXX
0199998 Deposits in ... depositaries which do not exceed the allowable limit in any one depository (See instructions) - open depositaries	XXX	XXX	.....	.....	.....	XXX
0199999. Totals - Open Depositories	XXX	XXX	.....	.....	187,864	XXX
0299998 Deposits in ... depositaries which do not exceed the allowable limit in any one depository (See instructions) - suspended depositaries	XXX	XXX	.....	.....	.....	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	.....	.....	.....	XXX
0399999. Total Cash on Deposit	XXX	XXX	.....	.....	187,864	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	.....	XXX
.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....
0599999 Total - Cash	XXX	XXX	.....	.....	187,864	XXX

**TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR**

1. January.....	833,206	4. April.....	178,629	7. July.....	163,584	10. October.....	565,165
2. February.....	34,685	5. May.....	73,939	8. August.....	388,724	11. November.....	298,328
3. March.....	96,584	6. June.....	384,195	9. September.....	212,665	12. December.....	187,864

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

## **SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned December 31 of Current Year

**NON E**

### 8699999 - Total Cash Equivalents

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO  
**SCHEDULE E - PART 3 - SPECIAL DEPOSITS**

States, Etc.	1 Type of Deposit	2 Purpose of Deposit	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
			3 Book/Adjusted Carrying Value	4 Fair Value	5 Book/Adjusted Carrying Value	6 Fair Value
1. Alabama .....	AL					
2. Alaska .....	AK					
3. Arizona .....	AZ					
4. Arkansas .....	AR					
5. California .....	CA					
6. Colorado .....	CO					
7. Connecticut .....	CT					
8. Delaware .....	DE					
9. District of Columbia .....	DC					
10. Florida .....	FL					
11. Georgia .....	GA					
12. Hawaii .....	HI					
13. Idaho .....	ID					
14. Illinois .....	IL					
15. Indiana .....	IN					
16. Iowa .....	IA					
17. Kansas .....	KS					
18. Kentucky .....	KY					
19. Louisiana .....	LA					
20. Maine .....	ME					
21. Maryland .....	MD					
22. Massachusetts .....	MA					
23. Michigan .....	MI					
24. Minnesota .....	MN					
25. Mississippi .....	MS					
26. Missouri .....	MO					
27. Montana .....	MT					
28. Nebraska .....	NE					
29. Nevada .....	NV					
30. New Hampshire .....	NH					
31. New Jersey .....	NJ					
32. New Mexico .....	NM					
33. New York .....	NY					
34. North Carolina .....	NC					
35. North Dakota .....	ND					
36. Ohio .....	OH	0 Pledged with the state of Ohio for the benefit of all policyholders .....	691,686	703,176		
37. Oklahoma .....	OK					
38. Oregon .....	OR					
39. Pennsylvania .....	PA					
40. Rhode Island .....	RI					
41. South Carolina .....	SC					
42. South Dakota .....	SD					
43. Tennessee .....	TN					
44. Texas .....	TX					
45. Utah .....	UT					
46. Vermont .....	VT					
47. Virginia .....	VA					
48. Washington .....	WA					
49. West Virginia .....	WV					
50. Wisconsin .....	WI					
51. Wyoming .....	WY					
52. American Samoa .....	AS					
53. Guam .....	GU					
54. Puerto Rico .....	PR					
55. U.S. Virgin Islands .....	VI					
56. Northern Mariana Islands .....	MP					
57. Canada .....	CAN					
58. Aggregate Alien and Other .....	OT	XXX	XXX			
59. Subtotal .....		XXX	XXX	691,686	703,176	
DETAILS OF WRITE-INS						
5801. ....						
5802. ....						
5803. ....						
5898. Summary of remaining write-ins for Line 58 from overflow page .....	XXX	XXX				
5899. Totals (Lines 5801 thru 5803 plus 5898)(Line 58 above)	XXX	XXX				

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