



PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

# ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2013  
OF THE CONDITION AND AFFAIRS OF THE

# **Utica National Insurance Company of Ohio**

NAIC Group Code 0201 NAIC Company Code 13998 Employer's ID Number 27-2764004  
(Current) (Prior)

Organized under the Laws of \_\_\_\_\_, State of Domicile or Port of Entry \_\_\_\_\_  
Country of Domicile \_\_\_\_\_ United States of America \_\_\_\_\_ Ohio

Incorporated/Organized 04/06/2010 Commenced Business 12/22/2010

Main Administrative Office \_\_\_\_\_ 180 Genesee Street  
New Hartford , NY, US 13413 \_\_\_\_\_ (Street and Number)  
\_\_\_\_\_  
(City or Town, State, Country and Zip Code) 315-734-2000  
\_\_\_\_\_  
(Area Code) (Telephone Number)

**Mail Address** Post Office Box 530, Utica , NY, US 13503-0530  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records      180 Genesee Street  
(Street and Number)  
New Hartford , NY, US 13413 ,      315-734-2000

Internet Website Address [www.uticanational.com](http://www.uticanational.com)

Statutory Statement Contact Sandra Jean Giehl, 315-734-2192  
(Name) (Area Code) (Telephone Number)  
sandy.giehl@uticanational.com, 315-734-2994  
(E-mail Address) (FAX Number)

## **OFFICERS**

**Officers**

Chairman & CEO	James Douglas Robinson	SVP & Secretary	Kristen Holly Martin
President & COO	Brian Patrick Lytwynec		

## **OTHER**

Richard Patrick Creedon Executive Vice President

## **DIRECTORS OR TRUSTEES**

**James Porter Carhart**      **Richard Patrick Creedon**      **Steven Paul Guzski**  
**Brian Patrick Lytwynec**      **Robert Francis Manfredo**      **Kristen Holly Martin**  
**James Douglas Robinson**

State of New York County of Oneida SS:

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

James Douglas Robinson  
Chairman & CEO

Brian Patrick Lytwynec  
President & COO

Kristen Holly Martin  
SVP & Secretary

Subscribed and sworn to before me this  
\_\_\_\_\_  
day of \_\_\_\_\_

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
    1. State the amendment number.....  
    2. Date filed .....  
    3. Number of pages attached.....

**ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO**  
**SUMMARY INVESTMENT SCHEDULE**

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage
1. Bonds:						
1.1 U.S. treasury securities .....	1,253,347	12.265	1,253,347		1,253,347	12.265
1.2 U.S. government agency obligations (excluding mortgage-backed securities):						
1.21 Issued by U.S. government agencies .....		0.000				0.000
1.22 Issued by U.S. government sponsored agencies .....		0.000				0.000
1.3 Non-U.S. government (including Canada, excluding mortgaged-backed securities) .....	249,781	2.444	249,781		249,781	2.444
1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S. :						
1.41 States, territories and possessions general obligations .....	556,337	5.444	556,337		556,337	5.444
1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations .....	763,775	7.474	763,775		763,775	7.474
1.43 Revenue and assessment obligations .....	376,728	3.687	376,728		376,728	3.687
1.44 Industrial development and similar obligations .....		0.000				0.000
1.5 Mortgage-backed securities (includes residential and commercial MBS):						
1.51 Pass-through securities:						
1.511 Issued or guaranteed by GNMA .....	2,056,116	20.120	2,056,116		2,056,116	20.120
1.512 Issued or guaranteed by FNMA and FHLMC .....	634,430	6.208	634,430		634,430	6.208
1.513 All other .....		0.000				0.000
1.52 CMOs and REMICs:						
1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA .....		0.000				0.000
1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521 .....		0.000				0.000
1.523 All other .....	165,022	1.615	165,022		165,022	1.615
2. Other debt and other fixed income securities (excluding short-term):						
2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid securities) .....	3,324,119	32.529	3,324,119		3,324,119	32.529
2.2 Unaffiliated non-U.S. securities (including Canada) .....	651,496	6.375	651,496		651,496	6.375
2.3 Affiliated securities .....		0.000				0.000
3. Equity interests:						
3.1 Investments in mutual funds .....		0.000				0.000
3.2 Preferred stocks:						
3.21 Affiliated .....		0.000				0.000
3.22 Unaffiliated .....		0.000				0.000
3.3 Publicly traded equity securities (excluding preferred stocks):						
3.31 Affiliated .....		0.000				0.000
3.32 Unaffiliated .....		0.000				0.000
3.4 Other equity securities:						
3.41 Affiliated .....		0.000				0.000
3.42 Unaffiliated .....		0.000				0.000
3.5 Other equity interests including tangible personal property under lease:						
3.51 Affiliated .....		0.000				0.000
3.52 Unaffiliated .....		0.000				0.000
4. Mortgage loans:						
4.1 Construction and land development .....		0.000				0.000
4.2 Agricultural .....		0.000				0.000
4.3 Single family residential properties .....		0.000				0.000
4.4 Multifamily residential properties .....		0.000				0.000
4.5 Commercial loans .....		0.000				0.000
4.6 Mezzanine real estate loans .....		0.000				0.000
5. Real estate investments:						
5.1 Property occupied by company .....		0.000				0.000
5.2 Property held for production of income (including						
\$ .....0 of property acquired in satisfaction of debt) .....		0.000				0.000
5.3 Property held for sale (including \$ .....0 property acquired in satisfaction of debt) .....		0.000				0.000
6. Contract loans .....		0.000				0.000
7. Derivatives .....		0.000				0.000
8. Receivables for securities .....		0.000				0.000
9. Securities Lending (Line 10, Asset Page reinvested collateral).....		0.000		XXX	XXX	XXX
10. Cash, cash equivalents and short-term investments .....	187,863	1.838	187,864		187,864	1.838
11. Other invested assets .....		0.000				0.000
12. Total invested assets .....	10,219,014	100.000	10,219,015		10,219,015	100.000

Schedule A - Verification - Real Estate

**N O N E**

Schedule B - Verification - Mortgage Loans

**N O N E**

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE BA - VERIFICATION BETWEEN YEARS**

## Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year .....	
2.	Cost of acquired:	
2.1	Actual cost at time of acquisition (Part 2, Column 8) .....	
2.2	Additional investment made after acquisition (Part 2, Column 9) .....	
3.	Capitalized deferred interest and other:	
3.1	Totals, Part 1, Column 16 .....	
3.2	Totals, Part 3, Column 12 .....	
4.	Accrual of discount .....	
5.	Unrealized valuation increase (decrease):	
5.1	Totals, Part 1, Column 13 .....	
5.2	Totals, Part 3, Column 9 .....	
6.	Total gain (loss) on disposals, Part 3, Column 19 .....	
7.	Deduct amounts received on disposals, Part 3, Column 16 .....	
8.	Deduct amortization of premium and depreciation .....	
9.	Total foreign exchange change in book/adjusted carrying value:	
9.1	Totals, Part 1, Column 17 .....	
9.2	Totals, Part 3, Column 14 .....	
10.	Deduct current year's other than temporary impairment recognized:	
10.1	Totals, Part 1, Column 15 .....	
10.2	Totals, Part 3, Column 11 .....	
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	
12.	Deduct total nonadmitted amounts .....	
13.	Statement value at end of current period (Line 11 minus Line 12) .....	

NONE

**SCHEDULE D - VERIFICATION BETWEEN YEARS**

## Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year .....	8,201,904
2.	Cost of bonds and stocks acquired, Part 3, Column 7 .....	4,486,524
3.	Accrual of discount .....	2,071
4.	Unrealized valuation increase (decrease):	
4.1.	Part 1, Column 12 .....	
4.2.	Part 2, Section 1, Column 15 .....	
4.3.	Part 2, Section 2, Column 13 .....	
4.4.	Part 4, Column 11 .....	
5.	Total gain (loss) on disposals, Part 4, Column 19 .....	.7,206
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7 .....	2,598,511
7.	Deduct amortization of premium .....	68,037
8.	Total foreign exchange change in book/adjusted carrying value:	
8.1.	Part 1, Column 15 .....	
8.2.	Part 2, Section 1, Column 19 .....	
8.3.	Part 2, Section 2, Column 16 .....	
8.4.	Part 4, Column 15 .....	
9.	Deduct current year's other than temporary impairment recognized:	
9.1.	Part 1, Column 14 .....	
9.2.	Part 2, Section 1, Column 17 .....	
9.3.	Part 2, Section 2, Column 14 .....	
9.4.	Part 4, Column 13 .....	
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	10,031,157
11.	Deduct total nonadmitted amounts .....	
12.	Statement value at end of current period (Line 10 minus Line 11) .....	10,031,157

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - SUMMARY BY COUNTRY**

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS Governments (Including all obligations guaranteed by governments)	1. United States .....	3,309,464	3,294,164	3,336,318	3,232,144
	2. Canada .....	249,781	244,710	249,735	250,000
	3. Other Countries				
	4. Totals	3,559,245	3,538,874	3,586,053	3,482,144
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals	556,337	571,628	575,480	500,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals	763,776	779,970	776,507	750,000
U.S. Special revenue and special assessment obligations and all non-guaranteed obligations of agencies and authorities of governments and their political subdivisions	7. Totals	1,011,158	983,855	1,013,281	996,460
Industrial and Miscellaneous and Hybrid Securities (unaffiliated)	8. United States .....	3,489,140	3,484,932	3,490,455	3,455,000
	9. Canada .....	219,724	216,798	219,601	230,000
	10. Other Countries	431,772	422,457	431,777	435,000
	11. Totals	4,140,636	4,124,187	4,141,833	4,120,000
Parent, Subsidiaries and Affiliates	12. Totals				
	13. Total Bonds	10,031,152	9,998,514	10,093,154	9,848,604
PREFERRED STOCKS Industrial and Miscellaneous (unaffiliated)	14. United States .....				
	15. Canada .....				
	16. Other Countries				
	17. Totals				
Parent, Subsidiaries and Affiliates	18. Totals				
	19. Total Preferred Stocks				
COMMON STOCKS Industrial and Miscellaneous (unaffiliated)	20. United States .....				
	21. Canada .....				
	22. Other Countries				
	23. Totals				
Parent, Subsidiaries and Affiliates	24. Totals				
	25. Total Common Stocks				
	26. Total Stocks				
	27. Total Bonds and Stocks	10,031,152	9,998,514	10,093,154	

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 1A - SECTION 1**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
1. U.S. Governments											
1.1 NAIC 1 .....	485,441	1,874,469	493,331	368,014	88,210	3,309,465	33.0	4,208,868	41.7	3,309,464	
1.2 NAIC 2 .....											
1.3 NAIC 3 .....											
1.4 NAIC 4 .....											
1.5 NAIC 5 .....											
1.6 NAIC 6 .....											
1.7 Totals	485,441	1,874,469	493,331	368,014	88,210	3,309,465	33.0	4,208,868	41.7	3,309,464	
2. All Other Governments											
2.1 NAIC 1 .....		249,781				249,781	2.5			249,781	
2.2 NAIC 2 .....											
2.3 NAIC 3 .....											
2.4 NAIC 4 .....											
2.5 NAIC 5 .....											
2.6 NAIC 6 .....											
2.7 Totals		249,781				249,781	2.5			249,781	
3. U.S. States, Territories and Possessions etc., Guaranteed											
3.1 NAIC 1 .....			556,337			556,337	5.5	831,176	8.2	556,337	
3.2 NAIC 2 .....											
3.3 NAIC 3 .....											
3.4 NAIC 4 .....											
3.5 NAIC 5 .....											
3.6 NAIC 6 .....											
3.7 Totals			556,337			556,337	5.5	831,176	8.2	556,337	
4. U.S. Political Subdivisions of States, Territories and Possessions , Guaranteed											
4.1 NAIC 1 .....	253,742	256,343	253,691			763,776	7.6	772,346	7.6	763,775	
4.2 NAIC 2 .....											
4.3 NAIC 3 .....											
4.4 NAIC 4 .....											
4.5 NAIC 5 .....											
4.6 NAIC 6 .....											
4.7 Totals	253,742	256,343	253,691			763,776	7.6	772,346	7.6	763,775	
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed											
5.1 NAIC 1 .....	.65,009	492,066	312,594	116,157	25,331	1,011,157	10.1	460,578	4.6	1,011,157	
5.2 NAIC 2 .....											
5.3 NAIC 3 .....											
5.4 NAIC 4 .....											
5.5 NAIC 5 .....											
5.6 NAIC 6 .....											
5.7 Totals	65,009	492,066	312,594	116,157	25,331	1,011,157	10.1	460,578	4.6	1,011,157	

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 1A - SECTION 1 (Continued)**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)											
6.1 NAIC 1 .....	22,102	837,072	1,825,921	79,435		2,764,530	27.6	3,596,023	35.6	2,764,530	
6.2 NAIC 2 .....		332,021	1,044,085			1,376,106	13.7	232,768	2.3	1,376,106	
6.3 NAIC 3 .....											
6.4 NAIC 4 .....											
6.5 NAIC 5 .....											
6.6 NAIC 6 .....											
6.7 Totals	22,102	1,169,093	2,870,006	79,435		4,140,636	41.3	3,828,791	37.9	4,140,636	
7. Hybrid Securities											
7.1 NAIC 1 .....											
7.2 NAIC 2 .....											
7.3 NAIC 3 .....											
7.4 NAIC 4 .....											
7.5 NAIC 5 .....											
7.6 NAIC 6 .....											
7.7 Totals											
8. Parent, Subsidiaries and Affiliates											
8.1 NAIC 1 .....											
8.2 NAIC 2 .....											
8.3 NAIC 3 .....											
8.4 NAIC 4 .....											
8.5 NAIC 5 .....											
8.6 NAIC 6 .....											
8.7 Totals											

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 1A - SECTION 1 (Continued)**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
9. Total Bonds Current Year											
9.1 NAIC 1 .....	(d) 826,294	3,709,731	3,441,872	563,606	113,541	8,655,044	86.3	XXX	XXX	8,655,044	
9.2 NAIC 2 .....	(d) 332,021		1,044,085			1,376,106	13.7	XXX	XXX	1,376,106	
9.3 NAIC 3 .....	(d) 826,294							XXX	XXX		
9.4 NAIC 4 .....	(d) 826,294							XXX	XXX		
9.5 NAIC 5 .....	(d) 826,294					(c) 826,294		XXX	XXX		
9.6 NAIC 6 .....	(d) 826,294					(c) 826,294		XXX	XXX		
9.7 Totals .....	826,294	4,041,752	4,485,957	563,606	113,541	(b) 10,031,150	100.0	XXX	XXX	10,031,150	
9.8 Line 9.7 as a % of Col. 6	8.2	40.3	44.7	5.6	1.1	100.0	XXX	XXX	XXX	100.0	
10. Total Bonds Prior Year											
10.1 NAIC 1 .....	3,146,205	3,506,262	3,029,214	169,628	17,682	XXX	XXX	9,868,991	97.7	9,853,922	15,069
10.2 NAIC 2 .....	74,937		157,832			XXX	XXX	232,768	2.3	232,768	
10.3 NAIC 3 .....	826,294										
10.4 NAIC 4 .....	826,294										
10.5 NAIC 5 .....	826,294										
10.6 NAIC 6 .....	826,294										
10.7 Totals .....	3,146,205	3,581,199	3,187,045	169,628	17,682	XXX	XXX	(b) 10,101,759	100.0	10,086,690	15,069
10.8 Line 10.7 as a % of Col. 8	31.1	35.5	31.5	1.7	0.2	XXX	XXX	100.0	XXX	99.9	0.1
11. Total Publicly Traded Bonds											
11.1 NAIC 1 .....	826,294	3,709,730	3,441,873	563,606	113,541	8,655,044	86.3	9,853,922	97.5	8,655,044	XXX
11.2 NAIC 2 .....	332,021		1,044,085			1,376,106	13.7	232,768	2.3	1,376,106	XXX
11.3 NAIC 3 .....	826,294										
11.4 NAIC 4 .....	826,294										
11.5 NAIC 5 .....	826,294										
11.6 NAIC 6 .....	826,294										
11.7 Totals .....	826,294	4,041,751	4,485,958	563,606	113,541	10,031,150	100.0	10,086,690	99.9	10,031,150	XXX
11.8 Line 11.7 as a % of Col. 6 .....	8.2	40.3	44.7	5.6	1.1	100.0	XXX	XXX	XXX	100.0	XXX
11.9 Line 11.7 as a % of Line 9.7, Col. 6, Section 9 .....	8.2	40.3	44.7	5.6	1.1	100.0	XXX	XXX	XXX	100.0	XXX
12. Total Privately Placed Bonds											
12.1 NAIC 1 .....									15,069	0.1	XXX
12.2 NAIC 2 .....											
12.3 NAIC 3 .....											
12.4 NAIC 4 .....											
12.5 NAIC 5 .....											
12.6 NAIC 6 .....											
12.7 Totals .....									15,069	0.1	XXX
12.8 Line 12.7 as a % of Col. 6 .....									XXX	XXX	XXX
12.9 Line 12.7 as a % of Line 9.7, Col. 6, Section 9 .....									XXX	XXX	XXX

(a) Includes \$ \_\_\_\_\_ freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes \$ \_\_\_\_\_ current year, \$ \_\_\_\_\_ prior year of bonds with Z designations and \$ \_\_\_\_\_, current year \$ \_\_\_\_\_ prior year of bonds with Z\* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z\*" means the SVO could not evaluate the obligation because valuation procedures for the security class are under regulatory review.

(c) Includes \$ \_\_\_\_\_ current year, \$ \_\_\_\_\_ prior year of bonds with 5\* designations and \$ \_\_\_\_\_, current year \$ \_\_\_\_\_ prior year of bonds with 6\* designations. "5\*" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6\*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ \_\_\_\_\_ ; NAIC 2 \$ \_\_\_\_\_ ; NAIC 3 \$ \_\_\_\_\_ ; NAIC 4 \$ \_\_\_\_\_ ; NAIC 5 \$ \_\_\_\_\_ ; NAIC 6 \$ \_\_\_\_\_

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 1A - SECTION 2**

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.5	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed
1. U.S. Governments											
1.1 Issuer Obligations .....	200,193	1,053,155				1,253,348	12.5	1,642,513	16.3	1,253,347	
1.2 Residential Mortgage-Backed Securities .....	285,248	821,314	493,331	368,014	88,210	2,056,117	20.5	2,566,354	25.4	2,056,116	
1.3 Commercial Mortgage-Backed Securities .....											
1.4 Other Loan-Backed and Structured Securities .....											
1.5 Totals .....	485,441	1,874,469	493,331	368,014	88,210	3,309,465	33.0	4,208,867	41.7	3,309,463	
2. All Other Governments											
2.1 Issuer Obligations .....		249,781				249,781	2.5			249,781	
2.2 Residential Mortgage-Backed Securities .....											
2.3 Commercial Mortgage-Backed Securities .....											
2.4 Other Loan-Backed and Structured Securities .....											
2.5 Totals .....		249,781				249,781	2.5			249,781	
3. U.S. States, Territories and Possessions, Guaranteed											
3.1 Issuer Obligations .....			556,337			556,337	5.5	831,176	8.2	556,337	
3.2 Residential Mortgage-Backed Securities .....											
3.3 Commercial Mortgage-Backed Securities .....											
3.4 Other Loan-Backed and Structured Securities .....											
3.5 Totals .....			556,337			556,337	5.5	831,176	8.2	556,337	
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed											
4.1 Issuer Obligations .....	253,742	256,343	253,691			763,776	7.6	772,345	7.6	763,775	
4.2 Residential Mortgage-Backed Securities .....											
4.3 Commercial Mortgage-Backed Securities .....											
4.4 Other Loan-Backed and Structured Securities .....											
4.5 Totals .....	253,742	256,343	253,691			763,776	7.6	772,345	7.6	763,775	
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed											
5.1 Issuer Obligations .....		251,728	125,000			376,728	3.8	252,222	2.5	376,728	
5.2 Residential Mortgage-Backed Securities .....	65,009	240,338	187,594	116,157	25,331	634,429	6.3	208,358	2.1	634,430	
5.3 Commercial Mortgage-Backed Securities .....											
5.4 Other Loan-Backed and Structured Securities .....											
5.5 Totals .....	65,009	492,066	312,594	116,157	25,331	1,011,157	10.1	460,580	4.6	1,011,158	
6. Industrial and Miscellaneous											
6.1 Issuer Obligations .....		1,026,173	2,870,006	79,435		3,975,614	39.6	3,713,842	36.8	3,975,615	
6.2 Residential Mortgage-Backed Securities .....											
6.3 Commercial Mortgage-Backed Securities .....											
6.4 Other Loan-Backed and Structured Securities .....	22,102	142,919				165,021	1.6	114,949	1.1	165,022	
6.5 Totals .....	22,102	1,169,092	2,870,006	79,435		4,140,635	41.3	3,828,791	37.9	4,140,637	
7. Hybrid Securities											
7.1 Issuer Obligations .....											
7.2 Residential Mortgage-Backed Securities .....											
7.3 Commercial Mortgage-Backed Securities .....											
7.4 Other Loan-Backed and Structured Securities .....											
7.5 Totals .....											
8. Parent, Subsidiaries and Affiliates											
8.1 Issuer Obligations .....											
8.2 Residential Mortgage-Backed Securities .....											
8.3 Commercial Mortgage-Backed Securities .....											
8.4 Other Loan-Backed and Structured Securities .....											
8.5 Totals .....											

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 1A - SECTION 2 (Continued)**

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.5	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed
9. Total Bonds Current Year											
9.1 Issuer Obligations .....	453,935	2,837,180	3,805,034	.79,435		7,175,584	.71.5	XXX	XXX	7,175,583	
9.2 Residential Mortgage-Backed Securities .....	350,257	1,061,652	.680,925	484,171	113,541	2,690,546	.26.8	XXX	XXX	2,690,546	
9.3 Commercial Mortgage-Backed Securities .....							XXX	XXX	XXX		
9.4 Other Loan-Backed and Structured Securities .....	22,102	142,919				165,021	1.6	XXX	XXX	165,022	
9.5 Totals .....	826,294	4,041,751	4,485,959	563,606	113,541	10,031,151	100.0	XXX	XXX	10,031,151	
9.6 Line 9.5 as a % of Col. 6	8.2	40.3	44.7	5.6	1.1	100.0	XXX	XXX	XXX	100.0	
10. Total Bonds Prior Year											
10.1 Issuer Obligations .....	2,278,835	2,094,336	2,799,056	.39,872		XXX	XXX	7,212,098	71.4	7,212,098	(1)
10.2 Residential Mortgage-Backed Securities .....	785,973	1,453,311	.387,989	129,757	.17,683	XXX	XXX	2,774,712	27.5	2,774,711	1
10.3 Commercial Mortgage-Backed Securities .....					XXX	XXX					
10.4 Other Loan-Backed and Structured Securities .....	81,397	33,552			XXX	XXX	114,949	1.1	99,880	15,069	
10.5 Totals .....	3,146,205	3,581,199	3,187,045	169,628	.17,683	XXX	XXX	10,101,759	100.0	10,086,690	15,069
10.6 Line 10.5 as a % of Col. 8	31.1	35.5	31.5	1.7	0.2	XXX	XXX	100.0	XXX	99.9	0.1
11. Total Publicly Traded Bonds											
11.1 Issuer Obligations .....	453,934	2,837,179	3,805,034	.79,435		7,175,582	.71.5	7,212,098	71.4	7,175,582	XXX
11.2 Residential Mortgage-Backed Securities .....	350,258	1,061,652	.680,924	484,171	113,541	2,690,546	.26.8	2,774,711	27.5	2,690,546	XXX
11.3 Commercial Mortgage-Backed Securities .....							XXX				
11.4 Other Loan-Backed and Structured Securities .....	22,102	142,919				165,021	1.6	99,880	1.0	165,021	XXX
11.5 Totals .....	826,294	4,041,750	4,485,958	563,606	113,541	10,031,149	100.0	10,086,690	99.9	10,031,149	XXX
11.6 Line 11.5 as a % of Col. 6 .....	8.2	40.3	44.7	5.6	1.1	100.0	XXX	XXX	XXX	100.0	XXX
11.7 Line 11.5 as a % of Line 9.5, Col. 6, Section 9	8.2	40.3	44.7	5.6	1.1	100.0	XXX	XXX	XXX	100.0	XXX
12. Total Privately Placed Bonds											
12.1 Issuer Obligations .....									(1)	0.0	XXX
12.2 Residential Mortgage-Backed Securities .....									1	0.0	XXX
12.3 Commercial Mortgage-Backed Securities .....											XXX
12.4 Other Loan-Backed and Structured Securities .....										15,069	0.1
12.5 Totals .....										15,069	0.1
12.6 Line 12.5 as a % of Col. 6 .....										XXX	
12.7 Line 12.5 as a % of Line 9.5, Col. 6, Section 9										XXX	

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE DA - VERIFICATION BETWEEN YEARS**

## Short-Term Investments

	1 Total	2 Bonds	3 Mortgage Loans	4 Other Short-term Investment Assets (a)	5 Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year .....	449,943	449,943			
2. Cost of short-term investments acquired .....					
3. Accrual of discount .....	.34	.34			
4. Unrealized valuation increase (decrease) .....					
5. Total gain (loss) on disposals .....	(15)	(15)			
6. Deduct consideration received on disposals .....	449,963	449,963			
7. Deduct amortization of premium .....					
8. Total foreign exchange change in book/adjusted carrying value .....					
9. Deduct current year's other than temporary impairment recognized .....					
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....					
11. Deduct total nonadmitted amounts .....					
<u>12. Statement value at end of current period (Line 10 minus Line 11)</u>					

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE E - VERIFICATION BETWEEN YEARS**

(Cash Equivalents)

	1 Total	2 Bonds	3 Other (a)
1. Book/adjusted carrying value, December 31 of prior year .....	1,449,913	1,449,913	
2. Cost of cash equivalents acquired .....			
3. Accrual of discount .....	86	86	
4. Unrealized valuation increase (decrease) .....			
5. Total gain (loss) on disposals .....	(2)	(2)	
6. Deduct consideration received on disposals .....	1,449,998	1,449,998	
7. Deduct amortization of premium .....			
8. Total foreign exchange change in book/adjusted carrying value .....			
9. Deduct current year's other than temporary impairment recognized .....			
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....			
11. Deduct total nonadmitted amounts .....			
12. Statement value at end of current period (Line 10 minus Line 11)			

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule A - Part 1 - Real Estate Owned

**N O N E**

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 1 - Mortgage Loans Owned

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 1 - Other Long-Term Invested Assets Owned

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates			
		3 C o d e	4 F o r e i g n	5 B o n d  C h a r a c t			Actual Cost	Rate Used to Obtain Fair Value			Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor ti zati on) Accretion	14 Current Year's Other- Than- Temporary Impairment Recognized	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	16 Rate of	17 Effective Rate of	18 When Paid	Admitted Amount Due and Accrued	19 Amount Received During Year	20	21
912828-A2-6	US TREASURY N/B				1.		199,773	99,796		199,594	200,000	.199,779	6			0.250	0.300	MN	.44	12/11/2013	11/30/2015	
912828-DV-9	US TREASURY N/B	SD			1.		226,312	105,3120		210,120	200,000	.207,907	(5,690)			4.125	1.200	MN	1,071	09/21/2010	05/15/2015	
912828-RV-4	US TREASURY N/B				1.		200,203	100,0780		200,156	200,000	.200,193	(11)			0.250	0.140	JD	.23	12/11/2013	12/15/2014	
912828-SC-5	US TREASURY N/B				1.		351,750	100,0930		350,328	350,000	.351,722	(28)			0.875	0.710	JJ	1,282	12/11/2013	01/31/2017	
912828-UU-2	US TREASURY N/B				1.		293,672	97,1560		291,469	300,000	.293,747	75			0.750	1.250	MS	.575	12/11/2013	03/31/2018	
<b>0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations</b>							<b>1,271,710</b>	<b>XXX</b>	<b>1,252,172</b>	<b>1,250,000</b>	<b>1,253,348</b>	<b>(5,648)</b>				<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>2,995</b>	<b>8,500</b>	<b>XXX</b>	<b>XXX</b>
36178W-GL-7	GN AB9203		2		1.		276,364	96,7660		250,317	258,681	.274,539	(2,741)			3,000	.2,160	MON	.647	7,761	12/05/2012	11/15/2042
36202F-M7-8	G2 4882		2		1.		(2,481)	104,4400		(2,532)	(2,425)	(2,427)		15		4,000	(0,040)	MON	(8)	(98)	06/20/2011	12/20/2040
36202F-M7-8	G2 4882	SD	2		1.		198,520	104,4400		202,649	194,033	.197,837	(1,184)			4,000	3,620	MON	.647	7,851	06/20/2011	12/20/2040
36202F-PF-7	G2 4922		2		1.		191,914	104,1270		194,843	187,120	.191,248	(1,263)			4,000	3,520	MON	.624	7,485	06/15/2011	01/20/2041
36202F-TL-0	G2 5055		2		1.		(4,297)	107,2710		(4,352)	(4,057)	(4,292)	.80			4,500	(0,040)	MON	(15)	(185)	06/28/2011	05/20/2041
36202F-TL-0	G2 5055	SD	2		1.		286,296	107,2710		289,902	270,250	.285,942	(5,338)			4,500	3,040	MON	1,013	12,331	06/28/2011	05/20/2041
36202F-UE-4	G2 5081		2		1.		181,012	104,3770		183,739	176,033	.180,475	(1,420)			4,000	3,400	MON	.587	J,041	06/23/2011	06/20/2041
36202F-UF-1	G2 5082		2		1.		172,262	107,2710		174,948	163,088	.172,001	(2,893)			4,500	3,110	MON	.612	7,339	06/20/2011	06/20/2041
36202F-Y5-9	G2 5232		2		1.		593,025	101,0790		578,721	572,539	.589,111	(4,297)			3,500	3,020	MON	1,670	20,039	11/18/2011	11/20/2041
36241L-S3-1	GN 783238		2		1.		171,993	104,1190		173,757	166,882	.171,727	(1,637)			4,000	3,330	MON	.556	6,675	06/24/2011	01/15/2041
<b>0299999. Subtotal - Bonds - U.S. Governments - Residential Mortgage-Backed Securities</b>							<b>2,064,608</b>	<b>XXX</b>	<b>2,041,992</b>	<b>1,982,144</b>	<b>2,056,116</b>	<b>(20,678)</b>				<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>6,333</b>	<b>76,238</b>	<b>XXX</b>	<b>XXX</b>
<b>0599999. Total - U.S. Government Bonds</b>							<b>3,336,318</b>	<b>XXX</b>	<b>3,294,164</b>	<b>3,232,144</b>	<b>3,309,464</b>	<b>(26,326)</b>				<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>9,328</b>	<b>84,738</b>	<b>XXX</b>	<b>XXX</b>
683234-C5-5	ONTARIO (PROVINCE OF)	A			1FE		249,735	97,8830		244,710	250,000	.249,781	(46)			1,200	1,220	FA	1,142	1,500	02/07/2013	02/14/2018
<b>0699999. Subtotal - Bonds - All Other Governments - Issuer Obligations</b>							<b>249,735</b>	<b>XXX</b>	<b>244,710</b>	<b>250,000</b>	<b>249,781</b>	<b>46</b>				<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>1,142</b>	<b>1,500</b>	<b>XXX</b>	<b>XXX</b>
<b>1099999. Total - All Other Government Bonds</b>							<b>249,735</b>	<b>XXX</b>	<b>244,710</b>	<b>250,000</b>	<b>249,781</b>	<b>46</b>				<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>1,142</b>	<b>1,500</b>	<b>XXX</b>	<b>XXX</b>
649791-06-2	NEW YORK ST				1FE		296,215	118,0240		295,060	250,000	.283,749	(5,023)			5,000	2,600	FA	4,722	12,500	06/15/2011	02/15/2020
837100-4A-3	SOUTH CAROLINA ST				1FE		279,265	110,6270		276,568	250,000	.272,588	(2,722)			4,000	2,650	JQ	833	10,000	06/29/2011	06/01/2021
<b>1199999. Subtotal - Bonds - U.S. States, Territories and Possessions - Issuer Obligations</b>							<b>575,480</b>	<b>XXX</b>	<b>571,628</b>	<b>500,000</b>	<b>556,337</b>	<b>(7,745)</b>				<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>5,555</b>	<b>22,500</b>	<b>XXX</b>	<b>XXX</b>
<b>1799999. Total - U.S. States, Territories and Possessions Bonds</b>							<b>575,480</b>	<b>XXX</b>	<b>571,628</b>	<b>500,000</b>	<b>556,337</b>	<b>(7,745)</b>				<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>5,555</b>	<b>22,500</b>	<b>XXX</b>	<b>XXX</b>
542535-JZ-3	LONG BEACH NY CITY SCH DIST		1		1FE		254,950	105,0800		262,700	250,000	.253,691	(517)			3,500	3,240	MN	.1,458	8,750	06/28/2011	05/01/2022
64966K-AH-6	NEW YORK NY		1		1FE		260,932	102,2260		255,565	250,000	.253,742	(6,298)			5,000	2,390	FA	5,208	12,500	11/09/2012	08/01/2022
964389-D3-1	WHITE PLAINS NY		1		1FE		260,625	104,6820		261,705	250,000	.256,343	(1,754)			4,000	3,200	MN	1,278	10,000	06/28/2011	05/15/2022
<b>1899999. Subtotal - Bonds - U.S. Political Subdivisions - Issuer Obligations</b>							<b>776,507</b>	<b>XXX</b>	<b>779,970</b>	<b>750,000</b>	<b>763,776</b>	<b>(8,569)</b>				<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>7,944</b>	<b>31,250</b>	<b>XXX</b>	<b>XXX</b>
<b>2499999. Total - U.S. Political Subdivisions Bonds</b>							<b>776,507</b>	<b>XXX</b>	<b>779,970</b>	<b>750,000</b>	<b>763,776</b>	<b>(8,569)</b>				<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>7,944</b>	<b>31,250</b>	<b>XXX</b>	<b>XXX</b>
19648C-AB-8	COLORADO ST HS&FIN AUTH COLOR		252,473	100,1840			250,460	250,000		251,728	(494)				1,850	1,630	MN	.591	4,625	06/21/2012	05/15/2017	
47770V-AX-8	JOBSHOIO BEVERAGE SYS STWD L1Q		1		1FE		125,000	98,2440		116,555	125,000	.125,000			3,235	3,230	JJ	2,022	1,685	01/29/2013	01/01/2023	
<b>2599999. Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations</b>							<b>377,473</b>	<b>XXX</b>	<b>367,015</b>	<b>375,000</b>	<b>376,728</b>	<b>(494)</b>				<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>2,613</b>	<b>6,310</b>	<b>XXX</b>	<b>XXX</b>
31326D-RW-4	F6 000501						153,154	106,1540		156,822	147,729	.152,482	(1,650)			4,500	3,740	MON	.554	6,648	05/11/2011	05/01/2041
31417E-H2-0	FN AB7448		2		1		235,272	99,1920		225,056	226,889	.234,598	(1,377)			2,500	1,750	MON	.473	4,727	02/05/2013	01/01/2028
31417G-TF-3	FN AB9549		2		1		247,382	95,1870		246,842	246,350	.247,350	(.37)			3,000	2,940	MON	.617	3,086	06/17/2013	06/01/2043
<b>2699999. Subtotal - Bonds - U.S. Special Revenues - Residential Mortgage-Backed Securities</b>							<b>635,808</b>	<b>XXX</b>	<b>616,840</b>	<b>621,460</b>	<b>634,430</b>	<b>(3,064)</b>				<b>XXX</b>	<b>XXX</b>	<b>XXX</b>	<b>1,644</b>	<b>14,461</b>	<b>XXX</b>	<b>XXX</b>
<b>3199999. Total - U.S. Special Revenues Bonds</b>							<b>1,013,281</b>	<b>XXX</b>	<b>983,855</b>	<b>996,460</b>	<b>1,011,158</b>	<b>(3,558)</b>			</td							

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	Codes			6 Bond Char	7 NAIC Des.	Fair Value		10 Rate Used to Obtain Fair Value	11 Par Value	Change in Book/Adjusted Carrying Value				Interest				Dates				
		3 C o d e	4 F o r e i g n	5			8	9			12 Book/ Adjusted Carrying Value	13 Unrealized Valuation Increase/ (Decrease)	14 Current Year's Other- Than- Temporary Impairment Recognized	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	16 Rate of Effectiv e Rate of When Paid	17 Admitted Amount Due and Accrued	18 Amount Received During Year	19	20	21	22 Stated Contractual Maturity Date		
36962G-4R-2	GENERAL ELEC CAP CORP						248,795	108,1170		270,293	250,000	249,096	.113										
38141E-A5-8	GOLDMAN SACHS GROUP INC						114,463	111,1990		100,000	112,824		(1,639)										
40414L-AJ-8	HCP INC						49,770	98,1320		49,066	50,000	49,773	.3										
42217K-AY-2	HEALTH CARE REIT INC	1					52,215	105,4280		52,714	50,000	52,106	(108)										
59156R-AX-6	METLIFE INC	1					257,803	108,0720		270,182	250,000	256,022	(710)										
59156R-BF-4	METLIFE INC	1					150,826	93,1180		139,678	150,000	150,821	(5)										
628530-BF-3	MYLAN INC						24,939	99,0980		24,775	25,000	24,939	.1										
629568-AQ-9	NABORS INDUSTRIES INC	1					62,372	112,5140		61,883	55,000	62,335	(37)										
637071-AL-5	NATIONAL OILWELL VARCO I	1					29,971	98,9590		29,688	30,000	29,977	.6										
68268N-AK-9	ONEOK PARTNERS LP						44,971	102,2470		46,011	45,000	44,972	.2										
69351U-AQ-6	PPG ELECTRIC UTILITIES	1					199,330	92,1930		184,386	200,000	199,411	.60										
69362B-BA-9	PSEG POWER LLC						34,980	99,7890		34,926	35,000	34,980											
713448-BR-8	PEPSICO INC	1					37,276	99,8090		39,924	40,000	37,944	.257										
7400SP-BC-7	PRAXAIR INC	1					74,971	97,7540		73,316	75,000	74,977	.6										
740189-AK-1	PRECISION CASTPARTS CORP						100,117	97,9640		97,965	100,000	100,094	(22)										
742718-DV-8	PROCTER & GAMBLE CO/THE	1					148,794	101,5840		152,377	150,000	149,356	.239										
744448-CF-6	PUBLIC SERVICE COLORADO	1					124,878	90,6580		113,324	125,000	124,892	.11										
755111-BX-8	RAYTHEON COMPANY	1					99,691	91,0350		91,036	100,000	99,721	.28										
756109-AK-0	REALTY INCOME CORP						58,576	116,6570		58,329	50,000	58,208	(368)										
76132F-AA-5	RETAIL OPPORTUNITY IN						24,583	99,8720		24,968	25,000	24,585	.2										
776696-AB-3	ROPER INDUSTRIES INC						49,896	97,5780		48,789	50,000	49,906	.10										
78355H-JV-2	RYDER SYSTEM INC						29,931	98,9280		29,679	30,000	29,933	.2										
816851-AS-8	SEMPRA ENERGY	1					49,974	101,6060		50,803	50,000	49,983	.5										
88166H-AD-9	TEVA PHARMA FIN IV LLC	1					49,978	94,9180		47,459	50,000	49,980	.2										
89233P-6S-0	TOYOTA MOTOR CREDIT CORP	1					49,920	98,0990		49,050	50,000	49,934	.15										
91159H-HE-3	US BANCORP						74,907	99,2800		74,460	75,000	74,910	.3										
92343V-AX-2	VERIZON COMMUNICATIONS	1					247,863	107,1330		267,833	250,000	248,357	.186										
93114Z-DD-2	WAL-MART STORES INC						40,282	107,6650		43,066	40,000	40,217	(25)										
958254-AC-8	WESTERN GAS PARTNERS						69,915	98,8560		69,199	70,000	69,921	.6										
064159-BE-5	BANK OF NOVA SCOTIA	A.	1				89,900	98,4000		88,560	90,000	89,920	.19										
067901-AQ-1	BARRICK GOLD CORP	A.	1				64,565	90,2590		63,184	70,000	64,618	.52										
878742-AY-1	TECK RESOURCES LIMITED	A.	1				65,136	92,9370		65,056	70,000	65,187	.51										
05565Q-CB-8	BP CAPITAL MARKETS PLC	F.					98,998	91,1680		91,168	100,000	99,054	.56										
46132F-AB-6	INVESCO FINANCE PLC	F.					79,427	99,5260		79,621	80,000	79,435	.8										
71647N-AB-5	PETROBRAS GLOBAL FINANCE	F.					47,526	93,7210		46,861	50,000	47,705	.180										
767201-AQ-9	RIO TINTO FIN USA LTD	F.	1				124,680	99,9570		124,947	125,000	124,743	.28										
85771P-AG-7	STATOIL ASA	F.	1				39,870	91,1580		36,463	40,000	39,884	.12										
89152U-AD-4	TOTAL CAPITAL SA	F.	1				41,276	108,4900		43,396	40,000	40,950	(126)										
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations							3,976,804	XXX	3,959,521	3,955,000	3,975,612		(1,560)			XXX	XXX	XXX	33,251	88,561	XXX	XXX	
12591F-AC-0	CNH 2013-A A3						.75,000	99,8140		.74,861	.75,000	.75,000							.23		423	.02/13/2013	.06/15/2018
12624H-AC-7	CNH 2012-D A3						.35,038	99,7330		.34,907	.35,000	.35,024							.10		190	.02/28/2013	.04/16/2018
34530B-AC-7	FORD 2013-A A3						.24,993	99,9500		.24,988	.25,000	.24,999	.1						.6		110	.02/20/2013	.07/15/2017
92867M-AC-4	VALET 2013-1 A3						.29,998	99,7000		.29,910	.30,000	.29,999	.1						.5		137	.02/21/2013	.08/21/2017
3599999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities							165,029	XXX	164,666	165,000	165,022		(12)			XXX	XXX	XXX	44	860	XXX	XXX	
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds							4,141,833	XXX	4,124,187	4,120,000	4,140,634		(1,572)			XXX	XXX	XXX	33,295	89,421	XXX	XXX	
4899999. Total - Hybrid Securities								XXX								XXX	XXX	XXX			XXX	XXX	
5599999. Total - Parent, Subsidiaries and Affiliates Bonds								XXX								XXX	XXX	XXX			XXX	XXX	
7799999. Total - Issuer Obligations							7,227,709	XXX	7,175,016	7,080,000	7,175,582		(23,970)			XXX	XXX	XXX	53,500	158,621	XXX	XXX	
7899999. Total - Residential Mortgage-Backed Securities							2,700,416	XXX	2,658,832	2,603,604	2,690,546		(23,742)			XXX	XXX	XXX	7,977	90,699	XXX	XXX	
7999999. Total - Commercial Mortgage-Backed Securities								XXX								XXX	XXX	XXX			XXX	XXX	

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 1**

Showing All Long-Term BONDS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	Codes			6 NAIC Des.	7 Actual Cost	Fair Value		10 Book/ Adjusted Carrying Value	11 Unrealized Valuation Increase/ (Decrease)	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3 C o d e	4 F o r e i g n	5 Bond Char			8 Rate Used to Obtain Fair Value	9 Fair Value			12 Current Year's (Amor- tization) Accretion	13 Current Year's Other- Than- Temporary Impairment Recognized	14 15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	16 Rate of	17 Effective Rate of	18 When Paid	19 Admitted Amount Due and Accrued	20 Amount Received During Year	21 Acquired	22 Stated Contractual Maturity Date	
	8099999. Total - Other Loan-Backed and Structured Securities					165,029	XXX	164,666	165,000	165,022		(12)			XXX	XXX	XXX	44	860	XXX	XXX
	8399999 - Total Bonds					10,093,154	XXX	9,998,514	9,848,604	10,031,150		(47,724)			XXX	XXX	XXX	61,521	250,180	XXX	XXX

Schedule D - Part 2 - Section 1 - Preferred Stocks Owned

**N O N E**

Schedule D - Part 2 - Section 2 - Common Stocks Owned

**N O N E**

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 3**

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends
912828-A2-6	US TREASURY N/B		12/11/2013	GOLDMAN SACHS		199,773	.200,000	.18
912828-RV-4	US TREASURY N/B		12/11/2013	JEFFERIES & CO		200,203	.200,000	.247
912828-SC-5	US TREASURY N/B		12/11/2013	GOLDMAN SACHS		351,750	.350,000	.1,123
912828-UU-2	US TREASURY N/B		12/11/2013	BARCLAYS AMERICAN		293,672	.300,000	.457
0599999. Subtotal - Bonds - U.S. Governments						1,045,398	1,050,000	1,845
683234-C5-5	ONTARIO (PROVINCE OF)	A	02/07/2013	SCOTIA CAPITAL INC - US		249,735	.250,000	
1099999. Subtotal - Bonds - All Other Governments						249,735	.250,000	
31417E-H2-0	FN AB7448		02/05/2013	DEUTSCHE BANK		256,613	.247,468	.223
31417G-TF-3	FN AB9549		06/17/2013	RBC CAPITAL MARKETS SECURITIES - US		249,532	.248,988	.290
47770V-AX-8	JOBSHIO BEVERAGE SYS STWD L10		01/29/2013	J.P. MORGAN		125,000		
3199999. Subtotal - Bonds - U.S. Special Revenues						631,145	621,456	513
00724F-AB-7	ADODE SYSTEMS INC		11/25/2013	CREDIT SUISSE		.54,463	.50,000	.778
05348E-AS-8	AVALONBAY COMMUNITIES IN		09/16/2013	DEUTSCHE BANK		.34,905	.35,000	
118230-AJ-0	BUCKEYE PARTNERS LP		09/26/2013	WELLS FARGO SECURITIES LLC		.51,796	.50,000	.399
12591F-AC-0	CNH 2013-A A3		02/13/2013	ROYAL BANK OF SCOTLAND US		.75,000	.75,000	
12624H-AC-7	CNH 2012-D A3		02/28/2013	ROYAL BANK OF SCOTLAND US		.35,038	.35,000	.13
23317H-AC-6	DDR CORP		11/21/2013	CITIGROUP GLOBAL MARKETS		.74,495	.75,000	
29364D-AR-1	ENTERGY ARKANSAS INC		05/28/2013	VARIOUS		.99,917	.100,000	
34530B-AC-7	FORDO 2013-A A3		02/20/2013	BANK AMERICA		.24,998	.25,000	
38141E-A5-8	GOLDMAN SACHS GROUP INC		02/07/2013	HSBC SECURITIES LIMITED		.114,463	.100,000	.2,195
40414L-AJ-8	HCP INC		11/05/2013	CITIGROUP GLOBAL MARKETS		.49,770	.50,000	
42217K-AY-2	HEALTH CARE REIT INC		09/17/2013	WELLS FARGO SECURITIES LLC		.52,215	.50,000	.968
628530-BF-3	MYLAN INC		11/19/2013	J.P. MORGAN		.24,939	.25,000	
629568-AQ-9	NABORS INDUSTRIES INC		12/18/2013	JEFFERIES & CO		.62,372	.55,000	.1,203
68268N-AK-9	ONEOK PARTNERS LP		09/09/2013	ROYAL BANK OF SCOTLAND US		.44,971	.45,000	
69362B-BA-9	PSEG POWER LLC		11/05/2013	BARCLAYS AMERICAN		.34,980	.35,000	
756109-AK-0	REALTY INCOME CORP		09/17/2013	WELLS FARGO SECURITIES LLC		.58,576	.50,000	.328
76132F-AA-5	RETAIL OPPORTUNITY IN		12/04/2013	J.P. MORGAN		.24,583	.25,000	
776696-FA-3	ROPER INDUSTRIES INC		05/30/2013	BANK AMERICA		.49,896	.50,000	
78355H-JV-2	RYDER SYSTEM INC		11/04/2013	US BANCORP INVESTMENTS INC		.29,931	.30,000	
89233P-GS-0	TOYOTA MOTOR CREDIT CORP		02/21/2013	GOLDMAN SACHS		.49,920	.50,000	.245
91159H-HE-3	US BANCORP		11/04/2013	US BANCORP INVESTMENTS INC		.74,907	.75,000	
92867M-AC-4	VALET 2013-1 A3		02/21/2013	BARCLAYS AMERICAN		.29,998	.30,000	
958254-AC-8	WESTERN GAS PARTNERS		08/05/2013	WELLS FARGO SECURITIES LLC		.69,915	.70,000	
067901-AQ-1	BARRICK GOLD CORP	A	11/15/2013	GOLDMAN SACHS		.64,565	.70,000	.151
678742-AY-1	TECK RESOURCES LIMITED	A	11/15/2013	GOLDMAN SACHS		.65,136	.70,000	.795
05565Q-CD-8	BP CAPITAL MARKETS PLC	F	05/07/2013	MORGAN STANLEY		.98,998	.100,000	
46132F-AB-6	INVESCO FINANCE PLC	F	11/06/2013	BANK AMERICA		.79,427	.80,000	
71647N-AB-5	PETROBRAS GLOBAL FINANCE	F	07/23/2013	BARCLAYS AMERICAN		.47,526	.50,000	.275
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						1,577,700	1,555,000	7,350
8399997. Total - Bonds - Part 3						3,503,978	3,476,456	9,708
8399998. Total - Bonds - Part 5						982,546	940,000	5,401
8399999. Total - Bonds						4,486,524	4,416,456	15,109
8999997. Total - Preferred Stocks - Part 3							XXX	
8999998. Total - Preferred Stocks - Part 5							XXX	
8999999. Total - Preferred Stocks							XXX	
9799997. Total - Common Stocks - Part 3							XXX	
9799998. Total - Common Stocks - Part 5							XXX	
9799999. Total - Common Stocks							XXX	
9899999. Total - Preferred and Common Stocks							XXX	
9999999 - Totals						4,486,524	XXX	15,109

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 4**

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Con- sideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date		
										11 Unrealized Valuation Increase/ Decrease	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other- Than- Temporary Impairment Recognized	14 Total Change in Book/ Adjusted Carrying Value (11+12-13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value								
36178W-GL-7	GN AB9203		12/01/2013	MBS PAYMENT			15,842	15,842	15,931		(88)		(88)		15,842				.256	11/15/2042		
36202F-M7-8	G2 4882		12/01/2013	MBS PAYMENT			48,500	48,500	48,618		(117)		(117)		48,500				.807	12/20/2040		
36202F-PF-7	G2 4922		12/01/2013	MBS PAYMENT			49,082	49,082	49,229		(146)		(146)		49,082				.893	01/20/2041		
36202F-TL-0	G2 5055		12/01/2013	MBS PAYMENT			96,958	96,958	102,715	97,655	(697)		(697)		96,958				1,790	05/20/2041		
36202F-UE-4	G2 5081		12/01/2013	MBS PAYMENT			58,818	58,818	60,482	59,022	(204)		(204)		58,818				1,063	06/20/2041		
36202F-UF-1	G2 5082		12/01/2013	MBS PAYMENT			56,560	56,560	59,742	56,968	(408)		(408)		56,560				1,139	06/20/2041		
36202F-Y5-9	G2 5232		12/01/2013	MBS PAYMENT			107,556	107,556	111,404	107,923	(367)		(367)		107,556				1,748	11/20/2041		
36241L-S3-1	GN 783238		12/01/2013	MBS PAYMENT			53,989	53,989	55,643	54,215	(225)		(225)		53,989				.986	01/15/2041		
912828-JT-8	US TREASURY N/B		11/30/2013	VARIOUS	300,000	300,000	310,770	304,002		(4,002)		(4,002)		300,000				6,000	11/30/2013			
912828-RA-0	US TREASURY N/B		05/10/2013	ROYAL BANK OF SCOTLAND US			75,032	75,000	74,906	74,977		17	17		74,994				103	06/30/2013		
<b>0599999. Subtotal - Bonds - U.S. Governments</b>					862,337	862,305	892,549	868,540		(6,237)		(6,237)		862,299				38	38	14,785	<b>XXX</b>	
574192-J4-3	MARYLAND ST		06/17/2013	CITIGROUP GLOBAL MARKETS			272,003	250,000	274,875	267,093	(2,475)		(2,475)		264,618				.7,385	7,385	.8,028	03/01/2018
<b>1799999. Subtotal - Bonds - U.S. States, Territories and Possessions</b>					272,003	250,000	274,875	267,093		(2,475)		(2,475)		264,618				7,385	7,385	8,028	<b>XXX</b>	
3132GD-RW-4	FG Q00501		12/01/2013	MBS PAYMENT			53,980	53,980	55,962	54,225	(245)		(245)		53,980				1,243	05/01/2041		
31417E-H2-0	FN AB7448		12/01/2013	MBS PAYMENT			20,581	20,581	21,341		(58)		(58)		20,581				.235	01/01/2028		
31417G-TF-3	FN AB9549		12/01/2013	MBS PAYMENT			2,145	2,145							2,145				.16	06/01/2043		
<b>3199999. Subtotal - Bonds - U.S. Special Revenues</b>					76,706	76,706	79,453	54,225		(303)		(303)		76,706						1,494	<b>XXX</b>	
02005X-AC-2	ALLYA 2011-4 A3		12/10/2013	VARIOUS	100,029	100,000	99,727	99,881		.95		.95		99,976				.54	.54	.543	09/15/2015	
20826F-AB-2	CONOCOPHILLIPS COMPANY		12/10/2013	BNY MELLON CAPITAL MARKETS			123,268	125,000	124,714	124,717	53		53		124,771				(1,503)	(1,503)	1,334	12/15/2017
25468P-CV-6	WALT DISNEY COMPANY/THE		12/10/2013	JEFFERIES & CO			29,732	30,000	29,787	29,790	40		40		29,830				(.98)	(.98)	.342	12/01/2017
341099-CQ-0	DUKE ENERGY FLORIDA INC		05/02/2013	BANK AMERICA			114,979	115,000	114,898	114,902	12		12		114,913				.66	.66	.347	11/15/2015
36161H-AC-5	GEEMT 2010-1 A3		03/14/2013	MBS PAYMENT			15,066	15,066	15,071	15,069	(3)		(3)		15,066				19	.07/14/2014		
808513-AH-8	CHARLES SCHWAB CORP		12/09/2013	STERNE AGEE			30,063	30,000	30,000	30,000					30,000				.63	.63	.259	12/04/2015
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>					413,137	415,066	414,197	414,359		197		197		414,556				(1,418)	(1,418)	2,844	<b>XXX</b>	
<b>8399997. Total - Bonds - Part 4</b>					1,624,183	1,604,077	1,661,074	1,604,217		(8,818)		(8,818)		1,618,179				6,005	6,005	27,151	<b>XXX</b>	
<b>8399998. Total - Bonds - Part 5</b>					974,328	940,000	982,546			(9,418)		(9,418)		973,126				1,201	1,201	19,604	<b>XXX</b>	
<b>8399999. Total - Bonds</b>					2,598,511	2,544,077	2,643,620	1,604,217		(18,236)		(18,236)		2,591,305				7,206	7,206	46,755	<b>XXX</b>	
<b>8999997. Total - Preferred Stocks - Part 4</b>					XXX																<b>XXX</b>	
<b>8999998. Total - Preferred Stocks - Part 5</b>					XXX																<b>XXX</b>	
<b>8999999. Total - Preferred Stocks</b>					XXX																<b>XXX</b>	
<b>9799997. Total - Common Stocks - Part 4</b>					XXX																<b>XXX</b>	
<b>9799998. Total - Common Stocks - Part 5</b>					XXX																<b>XXX</b>	
<b>9799999. Total - Common Stocks</b>					XXX																<b>XXX</b>	
<b>9899999. Total - Preferred and Common Stocks</b>					XXX																<b>XXX</b>	
<b>9999999 - Totals</b>					2,598,511	XXX	2,643,620	1,604,217		(18,236)		(18,236)		2,591,305				7,206	7,206	46,755	<b>XXX</b>	

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE D - PART 5**

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1 CUSIP Identifi- cation	2 Description	For- eign	Date Acquired	5 Name of Vendor	6 Disposal Date	7 Name of Purchaser	8 Par Value (Bonds) or Number of Shares (Stock)	9 Book/ Adjusted Carrying Value at Disposal	10 Consid- eration	11 Actual Cost	Change in Book/Adjusted Carrying Value					17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Interest and Dividends Received During Year	21 Paid for Accrued Interest and Dividends
											12 Unrealized Valuation Increase/ (Decrease)	13 Current Year's (Amort- ization)/ Accretion	14 Current Year's Other- Than- Temporary Impairment Recognized	15 Total Change in Book/ Adjusted Carrying Value (12 + 13 - 14)	16 Total Foreign Exchange Change in Book/ Adjusted Carrying Value					
669827-FT-9	NOVA SCOTIA PROVINCE	A	02/22/2013	SCOTIA CAPITAL INC - US	12/10/2013	RBC CAPITAL MARKETS	120,000	139,565	135,473	135,647		(3,917)		(3,917)			(175)	(175)	5,415	500
748148-RR-6	PROVINCE OF QUEBEC	A	02/12/2013	SCOTIA CAPITAL INC - US	11/14/2013	SCOTIA CAPITAL INC - US	150,000	169,788	165,023	164,875		(4,913)		(4,913)			148	148	9,125	3,417
<b>1099999. Subtotal - Bonds - All Other Governments</b>							270,000	309,353	300,496	300,522		(8,830)		(8,830)			(27)	(27)	14,540	3,947
00206R-BR-2	AT&T INC		02/07/2013	UBS SECURITIES	10/24/2013	PIPER JAFFREY & CO	100,000	99,935	99,873	99,950		15		15			.77	.77	643	
037833-AH-3	APPLE INC		04/30/2013	GOLDMAN SACHS	05/01/2013	FIRST TENNESSEE	60,000	59,891	60,002	59,892							.110	.110	2	
060516-EB-1	BANK OF AMERICA CORP		08/27/2013	CITIGROUP GLOBAL MARKETS	12/10/2013	BARCLAYS AMERICAN	70,000	73,496	73,322	72,870		(626)		(626)			.452	.452	2,205	1,304
24422E-RZ-4	JOHN DEERE CAPITAL CORP		01/17/2013	J.P. MORGAN	12/10/2013	RBC CAPITAL MARKETS	45,000	44,952	45,091	44,966		14		14			.125	.125	300	
61746B-DG-8	MORGAN STANLEY		02/20/2013	MORGAN STANLEY	12/10/2013	WELLS FARGO SECURITIES	30,000	29,943	30,422	29,958		15		15			.464	.464	420	
65339K-AH-3	NEXTERA ENERGY CAPITAL		08/06/2013	CREDIT SUISSE	12/10/2013	ROBERT W. BAIRD & CO	75,000	75,274	75,443	75,228		(45)		(45)			.214	.214	346	
883556-BE-1	HERMO FISHER SCIENTIFIC		12/04/2013	BARCLAYS AMERICAN	12/05/2013	JEFFERIES & CO	10,000	9,980	10,021	9,980							.42	.42		
89236T-AY-1	TOYOTA MOTOR CREDIT CORP		10/21/2013	BANK AMERICA	12/10/2013	WELLS FARGO SECURITIES	50,000	49,988	50,127	49,988							.138	.138	136	
98389B-AP-5	XCEL ENERGY INC		05/06/2013	BANK AMERICA	12/10/2013	ROBERT W. BAIRD & CO	35,000	34,992	34,790	34,993		2		2			(203)	(203)	156	
78011D-AD-6	ROYAL BANK OF CANADA	A	02/12/2013	- US	05/10/2013	GOLDMAN SACHS	125,000	124,820	124,981	124,836		16		16			.146	.146	341	.150
92857W-BA-7	VODAFONE GROUP PLC	F	02/11/2013	MITSUBISHI UFJ SECURITIES USA	12/10/2013	WELLS FARGO SECURITIES	70,000	69,922	69,760	69,943		21		21			(183)	(183)	515	
<b>3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>							670,000	673,193	673,832	672,604		(588)		(588)			1,228	1,228	5,064	1,454
<b>8399998. Total - Bonds</b>							940,000	982,546	974,328	973,126		(9,418)		(9,418)			1,201	1,201	19,604	5,401
<b>8999998. Total - Preferred Stocks</b>																				
<b>9799998. Total - Common Stocks</b>																				
<b>9899999. Total - Preferred and Common Stocks</b>																				
<b>9999999 - Totals</b>							982,546	974,328	973,126		(9,418)		(9,418)			1,201	1,201	19,604	5,401	

Schedule D-Part 6-Section 1-Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

**N O N E**

Schedule D - Part 6 - Section 2

**N O N E**

Schedule DA - Part 1 - Short-Term Investments Owned

**N O N E**

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**N O N E**

Schedule DB - Part A - Section 2 - Options, Caps, Floors, Collars, Swaps and Forwards Terminated

**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

Schedule DB - Part B - Section 2 - Futures Contracts Terminated

**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**

## ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE E - PART 1 - CASH**

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Year	5 Amount of Interest Accrued December 31 of Current Year	6 Balance	7 *
Bank of New York ..... New York, NY .....	.....	.....	.....	.....	187,864	XXX
0199998 Deposits in ... depositaries which do not exceed the allowable limit in any one depository (See instructions) - open depositaries	XXX	XXX	.....	.....	.....	XXX
0199999. Totals - Open Depositories	XXX	XXX	.....	.....	187,864	XXX
0299998 Deposits in ... depositaries which do not exceed the allowable limit in any one depository (See instructions) - suspended depositaries	XXX	XXX	.....	.....	.....	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	.....	.....	.....	XXX
0399999. Total Cash on Deposit	XXX	XXX	.....	.....	187,864	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	.....	XXX
.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....	.....
0599999 Total - Cash	XXX	XXX	.....	.....	187,864	XXX

**TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR**

1. January.....	833,206	4. April.....	178,629	7. July.....	163,584	10. October.....	565,165
2. February.....	34,685	5. May.....	73,939	8. August.....	388,724	11. November.....	298,328
3. March.....	96,584	6. June.....	384,195	9. September.....	212,665	12. December.....	187,864

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO

**SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned December 31 of Current Year

**NONE**

### 8699999 - Total Cash Equivalents

**ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UTICA NATIONAL INSURANCE COMPANY OF OHIO**  
**SCHEDULE E - PART 3 - SPECIAL DEPOSITS**

States, Etc.	1 Type of Deposit	2 Purpose of Deposit	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
			3 Book/Adjusted Carrying Value	4 Fair Value	5 Book/Adjusted Carrying Value	6 Fair Value
1. Alabama .....	AL					
2. Alaska .....	AK					
3. Arizona .....	AZ					
4. Arkansas .....	AR					
5. California .....	CA					
6. Colorado .....	CO					
7. Connecticut .....	CT					
8. Delaware .....	DE					
9. District of Columbia .....	DC					
10. Florida .....	FL					
11. Georgia .....	GA					
12. Hawaii .....	HI					
13. Idaho .....	ID					
14. Illinois .....	JL					
15. Indiana .....	IN					
16. Iowa .....	IA					
17. Kansas .....	KS					
18. Kentucky .....	KY					
19. Louisiana .....	LA					
20. Maine .....	ME					
21. Maryland .....	MD					
22. Massachusetts .....	MA					
23. Michigan .....	MI					
24. Minnesota .....	MN					
25. Mississippi .....	MS					
26. Missouri .....	MO					
27. Montana .....	MT					
28. Nebraska .....	NE					
29. Nevada .....	NV					
30. New Hampshire .....	NH					
31. New Jersey .....	NJ					
32. New Mexico .....	NM					
33. New York .....	NY					
34. North Carolina .....	NC					
35. North Dakota .....	ND					
36. Ohio .....	OH	0 Pledged with the state of Ohio for the benefit of all policyholders .	691,686	703,176		
37. Oklahoma .....	OK					
38. Oregon .....	OR					
39. Pennsylvania .....	PA					
40. Rhode Island .....	RI					
41. South Carolina .....	SC					
42. South Dakota .....	SD					
43. Tennessee .....	TN					
44. Texas .....	TX					
45. Utah .....	UT					
46. Vermont .....	VT					
47. Virginia .....	VA					
48. Washington .....	WA					
49. West Virginia .....	WV					
50. Wisconsin .....	WI					
51. Wyoming .....	WY					
52. American Samoa .....	AS					
53. Guam .....	GU					
54. Puerto Rico .....	PR					
55. U.S. Virgin Islands .....	VI					
56. Northern Mariana Islands .....	MP					
57. Canada .....	CAN					
58. Aggregate Alien and Other .....	OT	XXX	XXX			
59. Subtotal .....		XXX	XXX	691,686	703,176	
DETAILS OF WRITE-INS						
5801. ....						
5802. ....						
5803. ....						
5898. Summary of remaining write-ins for Line 58 from overflow page .....	XXX	XXX				
5899. Totals (Lines 5801 thru 5803 plus 5898)(Line 58 above)	XXX	XXX				

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