



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2013
OF THE CONDITION AND AFFAIRS OF THE

KAISER FOUNDATION HEALTH PLAN OF OHIO

NAIC Group Code	0601 (Current Period)	00601 (Prior Period)	NAIC Company Code	95204	Employer's ID Number	34-0922268
Organized under the Laws of			Ohio	State of Domicile or Port of Entry		
Country of Domicile			United States			
Licensed as business type:	Life, Accident & Health [] Dental Service Corporation [] Other []	Property/Casualty [] Vision Service Corporation []	Hospital, Medical & Dental Service or Indemnity [] Health Maintenance Organization [X] Is HMO, Federally Qualified? Yes [X] No []			
Incorporated/Organized	03/29/1962	Commenced Business			10/27/1976	
Statutory Home Office	1001 Lakeside Ave. Suite 1200 (Street and Number)			Cleveland, OH, US 44114-1153 (City or Town, State, County and Zip Code)		
Main Administrative Office	1001 Lakeside Ave. Suite 1200 (Street and Number)			Cleveland, OH, US 44114-1153 (City or Town, State, Country and Zip Code)	216-621-5600 (Area Code) (Telephone Number)	
Mail Address	1001 Lakeside Ave. Suite 1200 (Street and Number or P.O. Box)			Cleveland, OH, US 44114-1153 (City or Town, State, County and Zip Code)	216-621-5600 (Area Code) (Telephone Number)	
Primary Location of Books and Records	1001 Lakeside Ave. Suite 1200 (Street and Number)			Cleveland, OH, US 44114-1153 (City or Town, State, County and Zip Code)	216-621-5600 (Area Code) (Telephone Number)	
Internet Web Site Address				KP.org		
Statutory Statement Contact	William Trexler (Name) WLTrexler@healthspan.org (E-mail Address)			216-479-5116 (Area Code) (Telephone Number) (Extension) 216-623-8793 (FAX Number)		

OFFICERS

Name	Title	Name	Title
Kenneth Page	President	Deborah Bloomfield	Treasurer
Robert Campbell	Secretary		

OTHER OFFICERS

DIRECTORS OR TRUSTEES			
Evan M. Benjamin MD	Michael D. Connelly JD, FACHE	Cathleen P. Eldridge	Geraldine M. Hoyler CSC, CPA
David C. Leach MD	Joel A. Levine JD	James C. Patton CPA	Katherine W. Vestal PhD
Gloria Ysasi-Diaz			

State of Ohio
County of Cuyahoga
ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Kenneth Page President	Deborah Bloomfield Treasurer	a. Is this an original filing? Yes [X] No []
Subscribed and sworn to before me this day of _____,		b. If no: 1. State the amendment number _____ 2. Date filed _____ 3. Number of pages attached _____

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	400,054		400,054	137,617,523
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks			0	0
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)	0		0	49,856,496
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ 70,608 encumbrances)	22,161,602		22,161,602	0
5. Cash (\$ 191,757,563), cash equivalents (\$ 0) and short-term investments (\$ 102,284,308)	294,041,871		294,041,871	90,735,902
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives			0	0
8. Other invested assets	0		0	0
9. Receivables for securities			0	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	.0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	316,603,527	.0	316,603,527	278,209,921
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued935		.935	718,757
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	7,133,987		7,133,987	8,914,380
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	0
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset			0	0
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software36,175	.36,175	0	162,332
21. Furniture and equipment, including health care delivery assets (\$ 3,027,445)	4,109,517	1,082,072	3,027,445	12,552,071
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	874,042		874,042	70,922
24. Health care (\$ 8,722,821) and other amounts receivable	10,393,732	.451,306	9,942,426	1,715,497
25. Aggregate write-ins for other than invested assets	1,205,704	1,205,704	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	340,357,619	2,775,257	337,582,362	302,343,880
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	340,357,619	2,775,257	337,582,362	302,343,880
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	.0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. Prepaid & Other Current Assets	1,205,704	1,205,704	0	0
2502.			0	0
2503.			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	.0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	1,205,704	1,205,704	0	0

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ reinsurance ceded)	24,198,259		24,198,259	27,288,303
2. Accrued medical incentive pool and bonus amounts			0	0
3. Unpaid claims adjustment expenses	868,610		868,610	868,610
4. Aggregate health policy reserves including the liability of \$ for medical loss ratio rebate per the Public Health Service Act	15,500,000		15,500,000	23,300,000
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves			0	0
8. Premiums received in advance	8,809,256		8,809,256	8,331,743
9. General expenses due or accrued	2,306,600		2,306,600	1,016,579
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))			0	0
10.2 Net deferred tax liability			0	0
11. Ceded reinsurance premiums payable			0	0
12. Amounts withheld or retained for the account of others	106,418		106,418	77,771
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	24,997,967		24,997,967	31,989,155
16. Derivatives			0	0
17. Payable for securities			0	342,623
18. Payable for securities lending			0	0
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers)			0	0
20. Reinsurance in unauthorized and certified (\$) companies			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22. Liability for amounts held under uninsured plans			0	0
23. Aggregate write-ins for other liabilities (including \$ current)	224,145,627	0	224,145,627	140,820,772
24. Total liabilities (Lines 1 to 23)	300,932,737	0	300,932,737	234,035,556
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26. Common capital stock	XXX	XXX		0
27. Preferred capital stock	XXX	XXX		0
28. Gross paid in and contributed surplus	XXX	XXX	23,328,162	3,264
29. Surplus notes	XXX	XXX	305,000,000	205,000,000
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	(291,678,537)	(136,694,940)
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		0
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	36,649,625	68,308,324
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	337,582,362	302,343,880
DETAILS OF WRITE-INS				
2301. Post Retirement	65,583,205		65,583,205	46,584,537
2302. Accrued Payroll and Related	28,400,633		28,400,633	12,683,714
2303. Workers Comp	2,893,497		2,893,497	2,704,617
2398. Summary of remaining write-ins for Line 23 from overflow page	127,268,292	0	127,268,292	78,847,904
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	224,145,627	0	224,145,627	140,820,772
2501.	XXX	XXX		0
2502.	XXX	XXX		0
2503.	XXX	XXX		0
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX	0	0
3001.	XXX	XXX		0
3002.	XXX	XXX		0
3003.	XXX	XXX		0
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX	0	0

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months.....	XXX	745,484	820,393	1,077,067
2. Net premium income (including \$ non-health premium income)	XXX	364,623,038	371,073,432	491,322,325
3. Change in unearned premium reserves and reserve for rate credits	XXX		0	0
4. Fee-for-service (net of \$ medical expenses).....	XXX	1,810,423	2,042,690	2,745,905
5. Risk revenue	XXX		0	0
6. Aggregate write-ins for other health care related revenues	XXX	651,578	449,191	560,304
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	367,085,039	373,565,313	494,628,534
Hospital and Medical:				
9. Hospital/medical benefits		112,086,630	110,710,219	138,617,809
10. Other professional services		48,312,390	51,674,936	68,692,548
11. Outside referrals		29,344,108	29,736,598	39,139,633
12. Emergency room and out-of-area		18,200,540	19,907,861	32,543,007
13. Prescription drugs		38,664,811	40,463,498	52,942,352
14. Aggregate write-ins for other hospital and medical.....	0	100,017,953	104,042,971	141,002,130
15. Incentive pool, withhold adjustments and bonus amounts			0	0
16. Subtotal (Lines 9 to 15)	0	346,626,432	356,536,083	472,937,479
Less:				
17. Net reinsurance recoveries			0	0
18. Total hospital and medical (Lines 16 minus 17)	0	346,626,432	356,536,083	472,937,479
19. Non-health claims (net).....			0	0
20. Claims adjustment expenses, including \$ 3,118,655 cost containment expenses.....		6,853,274	8,147,698	11,038,162
21. General administrative expenses.....		37,247,549	36,734,855	55,321,888
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....		(7,800,000)	21,700,000	18,900,000
23. Total underwriting deductions (Lines 18 through 22)	0	382,927,255	423,118,636	558,197,529
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	(15,842,216)	(49,553,323)	(63,568,995)
25. Net investment income earned		534,683	1,567,485	2,545,862
26. Net realized capital gains (losses) less capital gains tax of \$.....		(56,007,159)	752,017	1,083,689
27. Net investment gains (losses) (Lines 25 plus 26)	0	(55,472,476)	2,319,502	3,629,551
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)]			0	0
29. Aggregate write-ins for other income or expenses	0	(71,979,445)	278,056	296,055
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	(143,294,137)	(46,955,765)	(59,643,389)
31. Federal and foreign income taxes incurred	XXX		0	0
32. Net income (loss) (Lines 30 minus 31)	XXX	(143,294,137)	(46,955,765)	(59,643,389)
DETAILS OF WRITE-INS				
0601. Other Revenues.....	XXX	651,578	449,191	560,304
0602.	XXX		0	0
0603.	XXX		0	0
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above)	XXX	651,578	449,191	560,304
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above)	XXX	0	0	0
1401. Occupancy, Depreciation and Amortization.....		10,091,949	10,601,788	14,421,032
1402. Medical Office Facilities.....		25,321,811	24,153,273	33,153,345
1403. Professional Liability Insurance.....		2,271,290	3,672,148	4,831,635
1498. Summary of remaining write-ins for Line 14 from overflow page	0	62,332,903	65,615,762	88,596,118
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)	0	100,017,953	104,042,971	141,002,130
2901. Miscellaneous.....		121,454	278,056	296,055
2902. Dissolution of Medical Services Agreement.....		(56,000,000)	0	0
2903. Other expenses associated with membership transfer.....		(16,100,899)	0	0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	(71,979,445)	278,056	296,055

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year	68,308,324	28,227,428	28,227,428
34. Net income or (loss) from Line 32	(143,294,137)	(46,955,765)	(59,643,389)
35. Change in valuation basis of aggregate policy and claim reserves	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$	0	0	0
37. Change in net unrealized foreign exchange capital gain or (loss)	0	0	0
38. Change in net deferred income tax	0	0	0
39. Change in nonadmitted assets	(1,107,163)	(242,522)	811,365
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	100,000,000	41,000,000	111,000,000
43. Cumulative effect of changes in accounting principles	0	0	0
44. Capital Changes:			
44.1 Paid in	0	0	0
44.2 Transferred from surplus (Stock Dividend)	0	0	0
44.3 Transferred to surplus	0	0	0
45. Surplus adjustments:			
45.1 Paid in	23,324,899	0	0
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital	0	0	0
46. Dividends to stockholders	0	0	0
47. Aggregate write-ins for gains or (losses) in surplus	(10,582,298)	(307,844)	(12,087,080)
48. Net change in capital and surplus (Lines 34 to 47)	(31,658,699)	(6,506,131)	40,080,896
49. Capital and surplus end of reporting period (Line 33 plus 48)	36,649,625	21,721,297	68,308,324
DETAILS OF WRITE-INS			
4701. Unassigned funds pension liability	3,820,306	0	0
4702. Unassigned funds post retirement liability	(14,402,604)	0	(11,779,236)
4703. Aggregate write-ins for goins or (losses) in surplus	0	(307,844)	(307,844)
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	(10,582,298)	(307,844)	(12,087,080)

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	359,080,944	393,949,668	512,586,608
2. Net investment income.....	2,025,088	2,032,591	3,082,145
3. Miscellaneous income.....	(6,136,854)	1,744,056	3,949,524
4. Total (Lines 1 to 3).....	354,969,178	397,726,315	519,618,277
5. Benefit and loss related payments.....	341,916,476	386,677,016	499,047,668
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....		0	0
7. Commissions, expenses paid and aggregate write-ins for deductions.....	114,790,247	46,337,737	67,007,109
8. Dividends paid to policyholders.....		0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....	0	0	0
10. Total (Lines 5 through 9).....	456,706,723	433,014,753	566,054,777
11. Net cash from operations (Line 4 minus Line 10).....	(101,737,545)	(35,288,438)	(46,436,500)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	256,399,170	43,515,495	63,295,476
12.2 Stocks.....	0	0	0
12.3 Mortgage loans.....	0	0	0
12.4 Real estate.....	0	0	0
12.5 Other invested assets.....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	0	0	0
12.7 Miscellaneous proceeds.....	4,343,633	5,761,066	4,578,764
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	260,742,803	49,276,561	67,874,240
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	118,973,032	60,069,600	97,532,590
13.2 Stocks.....	0	0	0
13.3 Mortgage loans.....	0	0	0
13.4 Real estate.....	20,890,969	1,884,929	2,255,086
13.5 Other invested assets.....	0	0	0
13.6 Miscellaneous applications.....	342,623	0	122,019
13.7 Total investments acquired (Lines 13.1 to 13.6).....	140,206,624	61,954,529	99,909,695
14. Net increase (or decrease) in contract loans and premium notes.....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	120,536,179	(12,677,968)	(32,035,455)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	100,000,000	41,000,000	111,000,000
16.2 Capital and paid in surplus, less treasury stock.....	23,324,898	0	0
16.3 Borrowed funds.....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....		0	0
16.5 Dividends to stockholders.....	0	0	0
16.6 Other cash provided (applied).....	61,182,437	6,423,323	8,687,548
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	184,507,335	47,423,323	119,687,548
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17).....	203,305,969	(543,083)	41,215,593
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	90,735,902	49,520,309	49,520,309
19.2 End of period (Line 18 plus Line 19.1).....	294,041,871	48,977,226	90,735,902

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
		2	3							
	Total	Individual	Group							
Total Members at end of:										
1. Prior Year	86,338	4,131	57,408	0	0	0	6,818	17,981	0	0
2. First Quarter	84,075	3,838	55,287	0	0	0	6,779	18,171	0	0
3. Second Quarter	82,982	3,821	54,259	0	0	0	7,330	17,572	0	0
4. Third Quarter	82,534	3,710	53,875				6,646	18,303		
5. Current Year	0									
6. Current Year Member Months	745,484	34,093	488,862				60,090	162,439		
Total Member Ambulatory Encounters for Period:										
7. Physician	370,583	10,963	187,828				25,306	146,486		
8. Non-Physician	61,635	1,772	36,784				5,077	18,002		
9. Total	432,218	12,735	224,612	0	0	0	30,383	164,488	0	0
10. Hospital Patient Days Incurred	0									
11. Number of Inpatient Admissions	5,038	148	2,115				336	2,439		
12. Health Premiums Written (a)	364,623,038	12,013,596	200,144,339				32,089,405	120,375,698		
13. Life Premiums Direct	0									
14. Property/Casualty Premiums Written	0									
15. Health Premiums Earned	364,623,038	12,013,596	200,144,339				32,089,405	120,375,698		
16. Property/Casualty Premiums Earned	0									
17. Amount Paid for Provision of Health Care Services	349,716,476	11,499,627	205,284,706				31,433,910	101,494,124		4,109
18. Amount Incurred for Provision of Health Care Services	346,626,432	11,428,854	202,459,891				31,174,489	101,558,718		4,480

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 96,226,483

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims unpaid (Reported) Due to Ohio Permanente Medical Group.	7,057,299					7,057,299
0199999 Individually listed claims unpaid	7,057,299	0	0	0	0	7,057,299
0299999 Aggregate accounts not individually listed-uncovered						0
0399999 Aggregate accounts not individually listed-covered	8,044,996					8,044,996
0499999 Subtotals	15,102,295	0	0	0	0	15,102,295
0599999 Unreported claims and other claim reserves	XXX	XXX	XXX	XXX	XXX	9,095,964
0699999 Total amounts withheld	XXX	XXX	XXX	XXX	XXX	
0799999 Total claims unpaid	XXX	XXX	XXX	XXX	XXX	24,198,255
0899999 Accrued medical incentive pool and bonus amounts	XXX	XXX	XXX	XXX	XXX	

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6 Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	15,733,342	201,032,227	(34,067)	15,052,548	15,699,275	17,914,068
2. Medicare Supplement					0	0
3. Dental only					0	0
4. Vision only					0	0
5. Federal Employees Health Benefits Plan	2,234,607	29,197,007	(4,449)	1,970,989	2,230,158	2,225,961
6. Title XVIII - Medicare	7,664,657	101,020,969	(5,440)	7,218,306	7,659,217	7,148,273
7. Title XIX - Medicaid					0	0
8. Other health	423	3,686	372	423		0
9. Health subtotal (Lines 1 to 8).....	25,633,029	331,253,889	(43,956)	24,242,215	25,589,073	27,288,302
10. Health care receivables (a).....		7,170,442			0	0
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts					0	0
13. Totals (Lines 9-10+11+12).....	25,633,029	324,083,447	(43,956)	24,242,215	25,589,073	27,288,302

(a) Excludes \$ loans or advances to providers not yet expensed.

Kaiser Foundation Health Plan of Ohio
 Notes to Financial Statements
 For the Nine Months Ended September 30, 2013 and the Year Ended December 31, 2012

1) Summary of Significant Accounting Policies

A. Accounting Practices

The accompanying statutory financial statements of Kaiser Foundation Health Plan of Ohio (“Health Plan”) have been prepared in conformity with the National Association of Insurance Commissioners’ (“NAIC”) *Accounting Practices and Procedures* manual, (“NAIC SAP”), the NAIC Annual Statement Instructions, and other accounting practices as prescribed or permitted by the State of Ohio – Ohio Department of Insurance (ODI). There were no reported differences to net income, statutory surplus, or risked based capital for specific practices, prescribed or permitted by the State of Ohio, that deviate from NAIC SSAP in the reported periods.

	State of Domicile	Nine Months Ended 09/30/13	Year Ended 12/31/12
		(in thousands)	(in thousands)
NET INCOME			
Health Plan state basis (page 4, Line 32,			
(1) Columns 2 & 3)	OH	\$ (143,294)	\$ (59,643)
State Prescribed Practices that increase / (2) (decrease) NAIC SAP:	OH	-	-
State Permitted Practices that increase / (3) (decrease) NAIC SAP:	OH	-	-
(4) NAIC SAP (1-2-3=4)	OH	<u>(143,294)</u>	<u>(59,643)</u>
SURPLUS			
Health Plan state basis (page 3, Line 33,			
(5) Columns 3 & 4)	OH	\$ 36,650	\$ 68,308
State Prescribed Practices that increase / (6) (decrease) NAIC SAP:	OH	-	-
State Permitted Practices that increase / (7) (decrease) NAIC SAP:	OH	-	-
(8) NAIC SAP (5-6-7=8)	OH	<u>36,650</u>	<u>68,308</u>

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of the statutory financial statements in conformity with NAIC SAP, the NAIC Annual Statement Instructions, and other accounting practices as prescribed or permitted by the ODI requires management to make estimates and assumptions that affect the reported amounts. Allowance for uncollectable premiums and health care receivables; estimated fair value of investments; Medicare revenue accruals; Medicare payables and reserves; reserves for unpaid claims and claims adjustment expense; pension and other retirement liabilities; premium deficiency reserves; self-insured workers' compensation and other legal liabilities; real estate, property, and equipment impairment and useful lives; and investment impairments represent significant estimates. Actual results could differ materially from those estimates. With respect to employee benefit plans, as occurs from time to time, negotiations with labor partners may result in changes to compensation and benefits. These changes are reflected in the statutory financial statements as appropriate when agreements are finalized.

C. Accounting Policies

Cash and Short Term Investments

Cash and short-term investments – net include interest-bearing deposits purchased with an original or remaining maturity of twelve months or less. Cash and investments that are restricted per contractual or regulatory requirements are classified as bonds and other invested assets and excluded from cash and short-term investments.

Premiums and Health Care Receivables

Premiums and health care receivables exclude nonadmitted balances. Certain receivables are not admissible in accordance with the NAIC SAP. Nonadmitted amounts include all nongovernmental premiums and health care receivable greater than 90 days past due. In addition, when premiums and health care receivables greater than 90 days past due are more than a de minimus portion of the entire premiums and health care receivable balance, the entire premiums and health care receivable balance is nonadmitted.

Bonds and Other Invested Assets

Bonds and other invested assets include money market funds, U.S. Treasury and government-sponsored agencies, loan-backed and or structured securities, industrial and miscellaneous bonds and all other government bonds. Recognized gains and losses are recorded on the specific identification basis. Interest income is included in net investment and other income.

Bonds are reported in accordance with NAIC Annual Statement Instructions (Statement Value). Accordingly, bonds that are designated highest quality, NAIC Designation 1 and 2, are reported at amortized cost using the effective interest method, and bonds that are classified as NAIC Designation 3 or lower are reported at lower of amortized cost or fair value.

Adjustments are made prospectively and repayment assumptions are obtained from a third party vendor data source for loan-backed and/or structured securities. The amortization method used is the scientific method.

Investments are regularly reviewed for impairment and a charge is recognized when the fair value is below cost basis and is judged to be other-than-temporary. Impairment is included in recognized losses. In its review of assets for impairment that is deemed other-than-temporary, management generally follows the following guidelines:

- Substantially all investments are managed by outside investment managers who do not need KFHP's management pre-approval for sales, therefore substantially all declines in value below amortized cost are recognized as impairments that are other-than-temporary.
- For other securities, losses are recognized for known matters, such as bankruptcies, regardless of ownership period, and investments that have been continuously below book value for an extended period of time are evaluated for impairment that is other-than-temporary.

Health Plan's investment transactions are recorded on a trade-date basis.

Health Plan is required to keep investments on deposit in the State of Ohio, where it is licensed. At both September 30, 2013 and December 31, 2012, \$400 thousand in long-term U.S. Treasury notes were restricted to satisfy the state's regulatory requirements.

Real Estate, Property and Equipment

Real estate, property and equipment, which include land, buildings and improvements, furniture, equipment and software are stated at cost less accumulated depreciation and amortization. Interest is capitalized on facilities construction in progress and is added to the cost of the underlying asset, in accordance with Statement of Statutory Accounting Principles (SSAP) No. 44, *Capitalization of Interest*. Depreciation begins when the project is substantially complete and ready for its intended use. Software is amortized on a straight-line basis over three years. Buildings and equipment are depreciated on a straight line basis over the shorter of the asset's useful life or the estimated useful lives of the various classes of assets, generally ranging from 3 to 33 years. Leasehold improvements are depreciated on a straight-line basis over the lesser of the useful life or the terms of the base period, generally 5 years.

Management evaluates alternatives for delivering services that may affect the current and future utilization of existing and planned assets and could result in an adjustment to the carrying values of such land, buildings, equipment and software in the future. Management evaluates and records impairment losses, where applicable, based on expected utilization, projected cash flows and recoverable values.

Maintenance and repairs are expensed as incurred. Major improvements that increase the estimated useful life of an asset are capitalized. Upon the sale or retirement of assets, recorded cost and related accumulated depreciation are removed from the accounts, and any gain or loss on disposal is reflected in operations.

Included in property and equipment are health care delivery assets representing pharmaceutical inventory, as well as medical center furniture, fixtures and equipment used in the direct delivery of care. Pharmaceutical inventory is included in the furniture and equipment category.

Pharmaceutical inventory is not subject to depreciation. Medical center furniture, fixtures and equipment used in the direct delivery of care are depreciated over their estimated useful lives but for a period not to exceed three years.

Insured and Self Insured Risks

Health Plan purchases insurance including professional and general liabilities coverage. Certain insurance is purchased from affiliated organizations, as discussed in the *Information Concerning Parent, Subsidiaries and Affiliates* note. Health Plan self insures other risks, including workers' compensation and other legal liabilities. Costs associated with self-insured risks are charged to operations based upon actual and estimated claims. The estimate for incurred but not reported self-insured claims is based on actuarial projections of costs using historical claims and other relevant data. Estimates are monitored and reviewed and, as settlements are made or estimates are revised, adjustments are reflected in current operations. Given the inherent variability of such estimates, the actual liability could differ significantly from the amounts provided. While the ultimate payments for self-insured claims are dependent on future developments, management is of the opinion that the reserve for self-insured risks is adequate. Insurance coverage, in excess of the per occurrence self-insured retention, has been secured with affiliated and unaffiliated insurers or reinsurers for specified amounts for workers' compensation liabilities. Decisions relating to the limit and scope of the self-insured layer and the amounts of excess insurance purchased are reviewed each year, subject to management's analysis of actuarial loss projections and the price and availability of acceptable commercial insurance.

Reserves for Claims Unpaid and Unpaid Claims Adjustment Expenses

The cost of health care services is recognized in the period in which services are provided. Reserves for unpaid claims and claims adjustment expense consists of unpaid health care expenses, which include an estimate of the cost of services provided to Health Plan's members by third-party providers that have been incurred but not reported. The estimate for incurred but not reported claims is based on actuarial projections of costs using historical paid claims and other relevant data. Estimates are monitored and reviewed and, as settlements are made or estimates are revised, adjustments are reflected in current operations. Such estimates are subject to the impact of changes in the regulatory environment and economic conditions. Given the inherent variability of such estimates, the actual liability could differ significantly from the amounts provided. While the ultimate amount of paid claims is dependent on future developments, management is of the opinion that the reserves for unpaid claims and claims adjustment expense are adequate to cover such claims. Negative amounts reported for incurred related to prior years result from claims being adjudicated and paid for amounts less than originally estimated.

The Ohio Permanente Medical Group, P.C. (the Medical Group) cooperates with Health Plan in conducting the Kaiser Permanente Medical Care Program. Health Plan contracts with the Medical Group to provide or arrange for hospital and medical services for members. Contract payments to the Medical Group represent a substantial portion of the expenses for medical services reported in the statutory financial statements. Included in the reserves for unpaid claims and claims adjustment expense is Health Plan's due to associated medical group. Due to associated medical group consists primarily of unpaid medical expenses owed to the Medical Group for medical services provided to members under a medical services agreement with Health Plan. Under the agreement, Health Plan reimburses the Medical Group for the cost of physician services provided by Medical Group and claims expense for

referred medical services. The cost of medical services, including an estimate of claims incurred but not reported, is recognized by Health Plan in the period in which services are provided and is reflected as a component of medical and hospital expenses.

The payable to the Medical Group was \$6.9 million and \$8.5 million as of September 30, 2013 and December 31, 2012, respectively. Based upon the terms of the agreement with the Medical Group, Health Plan paid \$67.0 million and \$84.5 million to the Medical Group for the nine months ended September 30, 2013 and the year ended December 31, 2012, respectively.

Premium Deficiency Reserve

Premium deficiency reserve and related expense are recognized when it is probable that expected future health care and maintenance costs under a group of existing contracts will exceed anticipated future premiums and reinsurance recoveries over the contract period. Expected investment income and interest expense are included in the calculation of premium deficiency reserves, as appropriate. The level at which contracts are grouped for evaluation purposes is generally by geographic region. The methods for making such estimates and for establishing the resulting reserves are reviewed and updated, and any resulting adjustments are reflected in current operations. Given the inherent variability of such estimates, the actual liability could differ significantly from the calculated amount.

Receivables and Payables for Securities

Receivables and payables for securities represent current amounts for unsettled securities purchases or sales.

Donations and Grants Made or Received

Donations and grants made are recognized at fair value in the period in which a commitment is made, provided the payment of the donation or grant is probable and the amount is determinable. Donations or grants received are recognized at fair value in the period the donation or grant was committed unconditionally by the grantor or in the period the donation or grant requirements are met, if later.

Revenue Recognition

Net premium revenue includes premiums from employer groups, individuals and Medicare. Revenue is recognized over the period in which the members are entitled to health care services.

The majority of Health Plan's Medicare revenues are paid based on cost, with interim payments using pre-established rates, and the final settlement is made after the end of the year. Estimates of final settlements of the cost report are recorded by Health Plan. At September 30, 2013 and December 31, 2012, in connection with Health Plan's Medicare cost contract, Health Plan recorded allowances and reserves for adjustments of recorded revenues in the amount of \$22.4 million and \$25.9 million, respectively. For the nine months ended September 30, 2013 and the year ended December 31, 2012, Medicare revenues increased by approximately \$9.3 million due to prior year retrospective adjustments in excess of amounts previously estimated.

In addition, Medicare benefits include a voluntary prescription drug benefit (Part D). Revenues for Part D include capitated payments made from Medicare adjusted for health risk factor scores. Revenues also include amounts to reflect a portion of the health care costs for low-income Medicare beneficiaries and a risk-sharing arrangement to limit the exposure to

unexpected expenses. Related accruals are recognized monthly based on cumulative experience and membership data. Part D revenue is finalized after all data is submitted to Medicare, and the final settlement is made after the end of the fiscal year.

Medicare Cost revenue and Medicare Part D revenue are subject to governmental audits and potential payment adjustments. The Centers for Medicare & Medicaid Services (CMS) performs coding audits to validate the supporting documentation maintained by Health Plan and its care providers.

Estimates of retrospective adjustments resulting from coding audits, cost reports and other contractual adjustments are recorded in the time period in which members are entitled to health care services. Actual retroactive adjustments may differ from initial estimates.

Premiums collected in advance are deferred and recorded as premiums received in advance. Revenue is adjusted to reflect estimates of collectability, including retroactive membership adjustment trends and economic conditions. Revenue and related receivables are exclusive of charity care. A portion of revenues derived under contracts with the United States Office of Personnel Management is subject to audit and potential retrospective adjustments.

Pension and Other Postretirement Benefits

Health Plan participates in defined benefit pension and postretirement plans that are administered by KFHP. Effective January 1, 2013, the plans are accounted for within the framework of SSAP No. 102 *Accounting for Pensions* and SSAP No. 92 *Accounting for Postretirement Benefits Other Than Pensions*, respectively, as described in Note 2, *Accounting Changes and Correction of Errors*. The plans are actuarially evaluated and involve various assumptions. Critical assumptions include the discount rate and the expected rate of return on plan assets (for pension) and the rate of increase for health care costs (for postretirement benefit plans other than pension), which are important elements of expense and/or liability measurement. Other assumptions involve demographic factors such as retirement age, mortality, turnover and the rate of compensation increases. KFHP evaluates assumptions annually or when significant plan adjustments occur and modifies them as appropriate. Pension and postretirement costs are allocated over the service period of the employees in the plan.

KFHP uses a discount rate to determine the present value of the future benefit obligations. The discount rate is established based on rates available for high-quality fixed-income debt at the measurement date whose maturity dates match the expected cash flows of the retirement plans.

Differences between actual and expected plan experience and changes in actuarial assumptions, in excess of a 10% corridor around the larger of plan assets or plan liabilities, are recognized into benefits expense over the expected average future service of active participants. Prior service costs and credits arise from plan amendments and are amortized into postretirement benefits expense over the expected average future service to full eligibility of active participants.

The defined benefit pension plan (Plan) administered by KFHP constitutes a single plan in which multiple employers who are related parties participate. The Employee Retirement Income Security Act provides for joint and several liability for all employers in the Health Plan's tax controlled group. The pension liability for Health Plan represents the estimated amount of liability for current and former employees of Health Plan only. Management

believes it is remote that Health Plan would be required to pay benefits attributable to current or former employees of other controlled group members.

The other post-retirement benefits (primarily healthcare) are generally offered through a multiple-employer welfare plan (Health and Welfare Plan). Under the terms of the Health and Welfare Plan, each participating employer is legally liable for the benefits for their own employees and retirees, and the Employee Retirement Income Security Act does not specify joint and several liability for all employers participating in a multiple-employer welfare plan. Management believes it is remote that Health Plan would be required to pay benefits attributable to current or former employees of any other employers participating in the Health and Welfare Plan.

Guarantee Funds and Assessments

Health Plan participates in the State of Ohio Health Reinsurance Program Open Enrollment Pool. This pool is designed to provide reinsurance for small group plans. There was no liability at September 30, 2013 and December 31, 2012.

Health Plan is annually required to support the operations of the ODI through an administrative expenses assessment. There was no liability at September 30, 2013 and December 31, 2012.

The Patient Protection and Affordable Care Act (PPACA) imposes a new Patient-Centered Outcomes Research Institute (PCORI) fee on issuers of individual and group health insurance policies. Health Plan is subject to the PCORI fee and has accrued \$103 thousand and \$63 thousand as of September 30, 2013 and December 31, 2012, respectively, for policies issued with policy years ending after September 31, 2012.

Cost Allocations

For reporting lines of business activity, expenses are allocated based on utilization and experience.

2) Accounting Changes and Corrections of Errors

New Accounting Pronouncements

In March 2012, the NAIC adopted SSAP No. 92 *Accounting for Postretirement Benefits Other Than Pensions* and SSAP No. 102 *Accounting for Pensions*. These new statutory reporting standards are effective January 1, 2013. Companies may choose to recognize the effect of this guidance as of January 1, 2013 or adopt a phase in period of up to 10 years. Health Plan has elected a 10 year phase in period. Management has evaluated the effect of this guidance on Health Plan's financial statements for 2013. Effective January 1, 2013, Health Plan recorded additional liability for statutory reporting for retirement benefits of \$4.0 million. On September 30, 2013 Health Plan recorded additional liabilities of \$3.4 million to offset net actuarial gains due to remeasurement. Had Health Plan chosen to adopt immediate recognition of the impact, an additional liability of \$76.7 million would have been required for statutory reporting as of January 1, 2013. Retirement benefits expense for statutory reporting now includes accruals for both vested and unvested plan participants. Statutory accounting principles in effect prior to these new standards did not require expense accruals for unvested plan participants.

3) Business Combinations and Goodwill

No significant changes from the 2012 annual statement.

4) Discontinued Operations

No significant changes from the 2012 annual statement.

5) Investments

A -C

For the nine months ending September 30, 2013 and the year ended December 31, 2012, Health Plan had no investments in mortgage loans, restructured debt or reverse mortgages.

D) Loan-Backed Securities

(1) & (2) Fair Values and Concentrations of Credit Risk

Health Plan's accounting policies regarding investments, including prepayment assumptions, are described in Note 1, "Summary of Significant Accounting Policies."

At September 30, 2013, Health Plan held no loan-backed securities.

At December 31, 2012, Health Plan held loan-backed securities with the following fair values and concentrations credit risk (in thousands):

Type	Class	Book Value	Fair Value
Commercial Mortgage Backed and Other Loan-Backed and Structured Securities	Industrial & Misc	\$ 12,891	\$ 12,969
Total		<u>\$12,891</u>	<u>\$12,969</u>

Other-than-temporary impairment (OTTI) recognized for the nine months ended September 30, 2013 related to loan-backed securities is as follows (in thousands):

	(1)	(2)	(3)
	Amortized Cost Basis	Other-than-Temporary Impairment	Other-than-Temporary Impairment
	Before Other-than-Temporary Impairment	Recognized in Loss	Fair Value (1) - (2)
OTTI recognized 1st Quarter			
a. Intent to sell	\$ -	\$ -	\$ -
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.	\$ 3,410	\$ 10	\$ 3,400
c. Total 1st Quarter	\$ 3,410	\$ 10	\$ 3,400
OTTI recognized 2nd Quarter			
a. Intent to sell	\$ -	\$ -	\$ -
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.	\$ 14,838	\$ 57	\$ 14,781
c. Total 2nd Quarter	\$ 14,838	\$ 57	\$ 14,781

Other-than-temporary impairment (OTTI) recognized for the year ended December 31, 2012 related to loan-backed securities is as follows (in thousands):

	(1) Amortized Cost Basis Before Other-than- Temporary Impairment	(2) Other-than- Temporary Impairment Recognized in Loss	(3) Fair Value (1) - (2)
OTTI recognized 1st Quarter			
a. Intent to sell	\$ -	\$ -	\$ -
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.	\$ 354	\$ 1	\$ 353
c. Total 1st Quarter	\$ 354	\$ 1	\$ 353
OTTI recognized 2nd Quarter			
d. Intent to sell	\$ -	\$ -	\$ -
e. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.	\$ 852	\$ 2	\$ 850
f. Total 2nd Quarter	\$ 852	\$ 2	\$ 850
OTTI recognized 3rd Quarter			
g. Intent to sell	\$ -	\$ -	\$ -
h. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.	\$ -	\$ -	\$ -
i. Total 3rd Quarter	\$ -	\$ -	\$ -
OTTI recognized 4th Quarter			
j. Intent to sell	\$ -	\$ -	\$ -
k. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.	\$ 489	\$ 1	\$ 488
l. Total 4th Quarter	\$ 489	\$ 1	\$ 488
m. Annual Aggregate Total		\$ 4	

(3) As of September 30, 2013 Health Plan held no loan-backed securities with a recognized other-than-temporary impairment.

- (4) For the nine months ended September 30, 2013 and the year ended December 31, 2012, there were no impaired loan-backed securities for which an other-than-temporary impairment has not been recognized in earnings as a realized loss.
- E) For the nine months ending September 30, 2013 and the year ending December 31, 2012, Health Plan was not a party to repurchase agreements or securities lending transactions.

F) Real Estate

- (1) and (2)

There were no impairment losses on real estate for the year ended December 31, 2012 and no real estate investments held for sale. As described in Note 22, *Events Subsequent*, KFHP, the sole member of Health Plan, transferred all of its membership interest in Health Plan to HealthSpan Partners on October 1, 2013. On September 30, 2013, management considered the then pending member substitution transaction as a triggering event for impairment of the long-lived assets of the company. Management determined that the carrying value of Health Plan was in excess of the fair value and accordingly recorded a \$58.6 million impairment of the company's long-lived assets. The \$58.6 million impairment was allocated to assets based on their relative net book value, resulting in a \$45.8 million write-down of real estate and a \$12.8 reduction of furniture and equipment. The impairment charge is included in net realized capital gains and losses on the Statement of Revenue and Expenses. Real estate is reported on the balance sheet as properties held for sale.

- 3) and (4)

Health Plan did not engage in retail land sales operations and had no real estate investments with participating mortgage loan features during the nine months ended September 30, 2013 and the year ending December 31, 2012.

- G) During the nine months ended September 30, 2013 and the year ended December 31, 2012, Health Plan had no investments in low-income housing credits.

6) Joint Ventures, Partnerships & Limited Liability Companies

No significant changes from the 2012 annual statement.

7) Investment Income

No significant changes from the 2012 annual statement.

8) Derivative Instruments

No significant changes from the 2012 annual statement.

9) Income Taxes

No significant changes from the 2012 annual statement.

10) Information Concerning Parent, Subsidiaries and Affiliates

A - L

Health Plan is a subsidiary of Kaiser Foundation Health Plan, Inc. (KFHP). KFHP is affiliated with Kaiser Foundation Hospitals (Hospitals) because their governing boards and management are substantially the same. Health Plan contracts with Kaiser Foundation Hospitals to provide or arrange hospital services to members. Based upon the terms of the Hospital Service Agreement, Health Plan was charged \$42.8 million and \$52.3 million by Hospitals for the nine months ended September 30, 2013 and the year ended December 31, 2012, respectively.

Costs of services provided by KFHP and Hospitals to Health Plan were based on the actual cost incurred to provide those services. Services provided include, but are not limited to the following: information technology, treasury, general management, administrative support, and transaction processing. In addition to the services noted above, effective January 2013, many of Health Plan's accounts payable and accounting transactions are processed by KFHP under the terms of the amended Administrative Services Agreement. Charges for services provided by KFHP and Hospitals were approximately \$38.3 million and \$57.6 million for the nine months ended September 30, 2013 and the year ended December 31, 2012, respectively. For the nine months ended September 30, 2013, Health Plan received no investment income from Hospitals. For the year ended December 31, 2012, Health Plan received \$6 thousand in investment income from Hospitals. In addition, Health Plan was charged interest expense of \$67 thousand and \$81 thousand by KFHP and Hospitals with respect to amounts paid by KFHP and Hospitals on behalf of Health Plan for the nine months ended September 30, 2013 and the year ended December 31, 2012, respectively.

Additionally, Health Plan purchased professional liability and other insurance from affiliated organizations primarily Lokahi Assurance, Ltd. (Lokahi). Health Plan's premium expense under these arrangements for the nine months ended September 30, 2013 and the year ended December 31, 2012 was \$2.5 million and \$5 million, respectively.

Health Plan contracts with Kaiser Permanente Insurance Company, a subsidiary of KFHP, to provide administrative services including, but not limited to, product development, rating and underwriting, marketing and sales, advertising, claims adjudication, member services, utilization management, and premium billing and collection. Pursuant to this contract, Health Plan received revenues of \$645 thousand and \$560 thousand for the nine months ended September 30, 2013 and the year ended December 31, 2012, respectively. In addition, Health Plan and KPIC cooperate in the delivery of services under Point of Service products. Under this arrangement, premiums from customers are allocated between Health Plan and KPIC based on prospective estimates of utilization. Pursuant to this arrangement, Health Plan received \$11.3 million and \$16.9 million in premium revenue from KPIC for the nine months ended September 30, 2013 and the year ended December 31, 2012, respectively.

Health Plan has also entered into reciprocal business relationships with KFHP whereby Health Plan and KFHP and its subsidiaries provided medical services to visiting members. Net revenue recorded for services provided by Health Plan for the nine months ended September 30, 2013 and the year ended December 31, 2012 in 2012 was \$799 thousand and \$1,147 thousand, respectively. Net expense for services provided to Health Plan members

for the nine months ended September 30, 2013 and the year ended December 31, 2012 was \$549 thousand and \$932 thousand, respectively.

Health Plan has a guaranty agreement with the parent, KFHP, in which the parent, without exception, guarantees all obligations of Health Plan, including a guarantee to provide health care services to Health Plan's subscribers, enrollees and dependents in the event that Health Plan is discontinued prior to the expiration of Health Plan's contracts. In addition, Hospitals has loaned certain subordinated debt to Health Plan as described in the footnote *Minimum Capital and Surplus*.

Due from (due to) Hospitals generally represents funds transferred by Hospitals to Health Plan to satisfy Health Plan's operational requirements and liability for payment of Hospitals' claims payable of \$7.3 million and \$7.7 million at September 30, 2013 and December 31, 2012, respectively. Hospitals' claims expense is included in Health Plan's operating expenses, primarily hospital services. Health Plan used proceeds from the sale of short-term investments to settle \$28.9 million due Hospitals in the second quarter of 2012.

Amounts due from (due to) affiliated organizations at September 30, 2013 and December 31, 2012 (in thousands):

	<u>9/30/2013</u>	<u>12/31/2012</u>
Due to Related Parties:		
Kaiser Foundation Health Plan, Inc.	\$ 5,766	\$ 6,121
Kaiser Foundation Hospitals	18,411	24,756
Kaiser Permanente Insurance Corp	648	837
Other Related Parties	173	275
Total Due to Related Parties	<u>\$ 24,998</u>	<u>\$ 31,989</u>
Due from Related Parties:		
Lokahi Assurance, Ltd.	829	68
Other Related Parties	45	3
Total Due from Related Parties	<u>\$ 874</u>	<u>\$ 71</u>

During the nine months ended September 30, 2013 and the year ended December 31, 2012, Health Plan had no amount deducted from its asset value or from KFHP's asset value due to their affiliation. Additionally, Health Plan had no investments in subsidiary, controlled or affiliated entities as of September 30, 2013 or December 31, 2012.

11) Debt

No significant changes from the 2012 annual statement.

12) Retirement Plans, Deferred Compensation, Post-employment Benefits and Compensated Absences, and Post-retirement Benefit Plans

a. Defined Benefit Plan- Pension

Health Plan participates with affiliated organizations in a defined benefit pension plan covering substantially all its employees. Benefits are based on age at retirement, years of credited service, and average compensation for a specified period prior to retirement. Contributions are intended to provide not only for benefits attributed to service to date but also for those expected to be earned in the future.

The pension plan is administered by KFHP. Plan assets for Health Plan are not segregated and, accordingly, are not disclosed below. However, KFHP separately accounts for Health Plan liability and expense, and KFHP allocates pension expense and related prepaid or accrued benefit costs to Health Plan based on participant demographics and plan provisions.

The accrued pension plan liability at December 31, 2012 and the change through September 30, 2013 are as follows (in millions):

Pension plan liability at December 31, 2012	\$ 2,854
Reversal of additional minimum pension liability	(2,195)
Recognized transition liability	2,535
Provision	820
Payments	<u>(854)</u>
Pension plan liability at September 30, 2013	3,160
Unrecognized transition liability	3,349
Additional GAAP basis provision	12
GAAP basis pension obligation at September 30, 2013	\$ <u>6,521</u>

For the nine months ended September 30, pension expense was as follows (in millions):

	<u>2013</u>
Service cost	\$ 786
Interest cost	449
Expected return on plan assets	(427)
Special termination benefits	12
Amortization of net actuarial loss	267
Amortization of prior service cost	101
Amortization of incremental asset	(36)
 Net pension expense	 1,152
 Other changes in plan assets and benefit obligations recognized in capital and surplus:	
Reversal of additional minimum pension liability	(2,195)
January 1, 2013 recognized transition liability	2,359
Additional recognized transition liability	176
Amortization of net actuarial loss	(267)
Amortization of prior service cost	(101)
Amortization of incremental asset	36
 Total recognized in surplus	 8
 Total recognized in net periodic benefit cost and surplus	 \$ 1,160

Plan assets and actuarial assumptions are consistent with the 2012 annual statement.

Health Plan Allocations

The accrued pension plan liability allocated to Health Plan at December 31, 2012 and the change through September 30, 2013 are as follows (in thousands):

Allocated pension plan liability at December 31, 2012	\$ 54,639
Reversal of additional minimum pension liability	(39,686)
January 1, 2013 recognized transition liability	41,055
Provision	8,305
Payments	(9,387)
 Allocated pension plan liability at September 30, 2013	 54,926
Unrecognized transition liability	59,259
Additional GAAP basis provision	191
 Allocated GAAP basis pension obligation at September 30, 2013	 \$ 114,376

Of the \$59.3 million unrecognized transition liability at September 30, 2013, Health Plan will recognize annually at least \$10.0 million as a reduction to surplus and will fully recognize the transition liability no later than 2019. Recognition amounts could differ due to actual experience different from actuarial assumptions or if Health Plan chooses to accelerate the reflection of the unrecognized transition liability.

For the nine months ended September 30, pension expense allocated to Health Plan was as follows (in thousands):

	<u>2013</u>
Service cost	\$ 7,969
Interest cost	6,951
Expected return on plan assets	(6,615)
Special termination benefits	—
Amortization of net actuarial loss	4,133
Amortization of prior service cost	1,137
Amortization of incremental asset	<u>(81)</u>
 Net pension expense	 <u>13,494</u>
 Other changes in plan assets and benefit obligations recognized in capital and surplus:	
Reversal of additional minimum pension liability	(39,686)
January 1, 2013 recognized transition liability	41,055
Amortization of net actuarial loss	(4,133)
Amortization of prior service cost	(1,137)
Amortization of incremental asset	<u>81</u>
 Total recognized in surplus	 <u>(3,820)</u>
 Total recognized in net periodic benefit cost and surplus	 <u>\$ 9,674</u>

b. Postretirement Benefits Other than Pensions

Certain employees may become eligible for postretirement health care and life insurance benefits while working for Health Plan. Benefits available to retirees, through both affiliated and unaffiliated provider networks, vary by employee group. Postretirement health care benefits available to retirees include subsidized Medicare premiums, medical, and prescription drug benefits.

In September 2013, KFHP, Hospitals, and their subsidiaries modified its postretirement health care and life insurance benefit plans for substantially all non-union represented employees. Employees of Health Plan were not affected by the plan amendment. On September 30, 2013, Health Plan's postretirement health care and life insurance liability was remeasured as a result of this modification, and actuarial assumptions were updated, including assumptions for discount rate, resulting in a net actuarial gain of \$3.4 million.

The accrued liability for postretirement benefits at December 31, 2012 and the change through September 30, 2013 are as follows (in thousands):

Postretirement benefits liability at December 31, 2012	\$ 46,585
Recognized transition liability	5,962
Plan amendments	13,756
Net actuarial gain	(3,378)
Provision	3,669
Benefits paid or provided	(1,011)
Postretirement benefits liability at September 30, 2013	<u>65,583</u>
Unrecognized transition liability	<u>10,116</u>
GAAP basis accumulated postretirement obligation at September 30, 2013	<u><u>\$ 75,699</u></u>

Of the \$10.1 million unrecognized transition liability at September 30, 2013, Health Plan will recognize annually at least \$1.6 million as a reduction to surplus and will fully recognize the transition liability no later than 2020. Recognition amounts could differ due to actual experience different from actuarial assumptions or if Health Plan chooses to accelerate the reflection of the unrecognized transition liability.

For the nine months ended September 30, postretirement benefits expense was as follows (in thousands):

	<u>2013</u>
Service cost	\$ 1,399
Interest cost	2,270
Amortization of prior service cost	1,938
Postretirement benefits expense	<u>5,607</u>
Other changes in plan assets and benefit obligations recognized in capital and surplus:	
January 1, 2013 recognized transition liability	2,584
Additional recognized transition liability	3,378
Prior service cost	13,756
Amortization of prior service cost	(1,938)
Net actuarial gain	<u>(3,378)</u>
Total recognized in surplus	<u>14,402</u>
Total recognized in net periodic benefit cost and surplus	<u><u>\$ 20,009</u></u>

Actuarial assumptions used were as follows:

	<u>2013</u>
Weighted average discount rate for calculating postretirement benefits expense from January 1 to September 30	4.60%
Weighted average discount rate for calculating September 30 accumulated postretirement benefit obligation	5.30%

The following were the assumed health care cost trend rates used to determine the December 31, 2012 benefit obligation and postretirement benefits expense for the nine months ended September 30, 2013:

	Basic medical Pre-65/Post-65	Prescription drug Pre-65/Post-65	Medicare Part D	Dental	Medicare Part A&B	Medicare Part C	Supplemental medical
Initial trend rate – 2012	7.50% / 6.75%	6.50% / 6.50%	8.10%	4.50%	6.25%	1.00%	7.50%
Initial trend rate – 2013	7.50% / 6.75%	6.50% / 6.50%	6.70%	4.50%	6.25%	4.00%	7.50%
Ultimate trend rate	4.50% / 4.50%	4.50% / 4.50%	4.50%	4.50%	4.50%	4.50%	4.50%
First year at ultimate trend rate	2026 / 2022	2025 / 2025	2025	2012	2020	2026	2026

The following were the assumed health care cost trend rates used to determine the September 30, 2013 benefit obligation:

	Basic medical Pre-65/Post-65	Prescription drug Pre-65/Post-65	Medicare Part D	Dental	Medicare Part A&B	Medicare Part C	Supplemental medical Pre-65/Post-65
Initial trend rate - 2013	7.00% / 6.00%	6.00% / 6.00%	-10.00%	4.50%	5.50%	-5.00%	7.00% / 6.00%
Ultimate trend rate	4.50% / 4.50%	4.50% / 4.50%	4.50%	4.50%	4.50%	4.50%	4.50% / 4.50%
First year at ultimate trend rate	2026 / 2022	2025 / 2025	2025	2013	2020	2018	2026 / 2022

13) Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) – (2)

Health Plan is a nonprofit, charitable corporation and does not issue stock. KFHP is the sole corporate member of Health Plan and no individual or entity has any ownership interest in Health Plan. Health Plan, KFHP and Hospitals share a common Board of Directors in addition to sharing certain corporate officers.

During the nine months ended September 30, 2013, Health Plan received contributed surplus of \$23.3 million from Hospitals related to the transfer of real estate, furniture and equipment from Hospitals to Health Plan.

(3) – (6)

For the nine months ended September 30, 2013 and the year ended December 31, 2012, Health Plan paid no dividends. In accordance with the Ohio Revised Code, Health Plan must receive approval from the ODI to pay a dividend or distribution during 2013 which, when combined with dividends or distributions paid within the preceding 12 months exceeds the greater of either (a) 10% of Health Plan's statutory capital and surplus at December 31, 2012 or (b) Health Plan's net gain from

operations on a statutory basis for the year ended December 31, 2012. Accordingly, during 2013, prior approval from the ODI is required for any dividend or distribution payment, which exceeds \$6.8 million.

(7) - (9)

At September 30, 2013 and December 31, 2012, Health Plan held no stock for special purposes. Additionally, Health Plan is not a mutual reciprocal, and had no special surplus funds.

- (10) No portion of surplus is represented by cumulative unrealized gains or losses.
- (11) Subordinated notes (the Notes) issued by Health Plan to Hospitals were as follows (in thousands):

Date issued	Interest rate	Par value (Face Amount of Notes)	Carrying Value of Note	Interest And / Or Principal Paid Current Year			Total Interest And / Or Principal Paid	Unapproved Interest And / Or Principal	Date of Maturity
				Principal Paid	Interest And / Or Principal Paid	Unapproved Interest And / Or Principal			
December 28, 2009	8.50%	-	\$ 5,000		\$	1,388	December 28, 2016		
December 28, 2009	8.50%	-	10,000			2,818	December 28, 2016		
September 2, 2010	7.50%	-	25,000			4,943	September 2, 2017		
September 27, 2010	7.50%	-	20,000			3,839	September 27, 2017		
March 31, 2011	7.50%	-	5,000			773	March 29, 2018		
October 31, 2011	7.50%	-	10,000			1,106	October 31, 2018		
November 30, 2011	7.50%	-	15,000			1,567	November 30, 2018		
December 28, 2011	7.50%	-	4,000			395	December 28, 2018		
January 30, 2012	7.50%	-	11,000			1,011	January 30, 2019		
May 7, 2012	7.50%	-	10,000			718	May 7, 2019		
August 17, 2012	7.50%	-	20,000			1,016	August 17, 2019		
October 18, 2012	7.50%	-	15,000			571	October 18, 2019		
December 28, 2012	7.50%	-	55,000			1,291	December 28, 2019		
September 30, 2013	7.50%	-	100,000			8	September 30, 2020		
			<u>305,000</u>			<u>21,444</u>			

Payment of principal or interest is subject to approval by the ODI. Payment of the principal or interest of these Notes is subordinated to the prior payment of all general liabilities of Health Plan and the claims of its policyholders and all classes of creditors. Unapproved interest payable at September 30, 2013 and December 31, 2012 totaled \$21.4 million and \$16.8 million, respectively. The Notes, which have been issued to maintain risk-based capital requirement, have been recorded as an increase to capital for statutory purposes. Unapproved interest payable is not accrued for statutory purposes.

Health Plan has not received formal approval to treat the \$100.0 million note issued on September 30, 2013 in accordance with SSAP No. 41, *Surplus Notes*, but reasonably expects this approval and has classified it as a surplus note and included it

as a component of statutory net worth at September 30, 2012. Additionally, no accrued interest has been recorded as approval for payment has not been obtained from the Ohio Department of Insurance.

Beginning January 1, 2013, Hospitals waived, on a prospective basis, certain amounts of interest on the Notes issued as of December 19, 2012, and any notes issued after December 19, 2012, to the extent interest due per the terms of the Notes exceeds the cost of debt to Hospitals, which is determined on a monthly basis. The waiver extends for four consecutive rolling quarters, subject to termination and reversion by Hospitals. Hospitals will provide notice 30 days prior to the start of any quarter, informing Health Plan of the termination of the waiver and that the interest rate will revert to the rate stated in the Notes, which termination and reversion shall become effective at the end of four consecutive quarters from the date of the notice.

Unassigned surplus is reduced by \$2.8 million for the nine months ended September 30, 2013 and \$1.7 million for the year ended December 31, 2012 for nonadmitted assets.

(12) – (13)

Health Plan did not undergo any quasi-reorganization in the nine months ended September 30, 2013 or the year ended December 31, 2012.

14) Contingencies

No significant changes from the 2012 annual statement.

15) Leases

No significant changes from the 2012 annual statement.

16) Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant changes from the 2012 annual statement.

17) Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

Health Plan has no transactions subject to the disclosure requirements of this footnote during the reporting period.

A-B Health Plan had no transfers of receivables or transfers of financial assets.

C SSAP No. 91R, *Accounting for Transfers and Servicing of Financial Assets and Extinguishment of Liabilities (SSAP No.91R)*, paragraph 100 requires a reporting entity to disclose any wash sales involving securities with a NAIC designation of 3 or below. Health Plan's investment strategy does not include purchasing any securities with a NAIC designation of 3 or below. During the nine months ended September 30, 2013 and the year ended December 31, 2012, Health Plan did not participate in any wash sale as defined by SSAP No. 91.

18) Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans.

A-B Health Plan had no ASO or ASC plans on which to report.

C (1) – (4) No significant changes from the 2012 annual statement.

19) Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant changes from the 2012 annual statement.

20) Fair Value Measurements

A - B Health Plan has no assets or liabilities that are measured and reported at fair value in the statement of financial position after initial recognition.

C Health Plan utilizes a three-level valuation hierarchy for fair value measurements. An instrument's categorization within the hierarchy is based upon the lowest level of input that is significant to the fair value measurement. For instruments classified in Level 1 of the hierarchy, valuation inputs are quoted prices for identical instruments in active markets at the measurement date. For instruments classified in Level 2 of the hierarchy, valuation inputs are directly observable but do not qualify as Level 1 inputs. Examples of Level 2 inputs include: quoted prices for similar instruments in active markets; quoted prices for identical or similar instruments in inactive markets; other observable inputs such as interest rates and yield curves observable at commonly quoted intervals, volatilities, prepayment speeds, loss severities, credit risks and default rates; and market-correlated inputs that are derived principally from or corroborated by observable market data. For instruments classified in Level 3 of the hierarchy, valuation inputs are unobservable inputs for the instrument. Level 3 inputs incorporate assumptions about the factors that market participants would use in pricing the instrument.

Investments are reported at lower of amortized cost or fair value, with impairment recorded if amortized cost is greater than fair value. The fair values of investments are based on quoted market prices, if available, or estimated using quoted market prices for similar investments. If listed prices or quotes are not available, fair value is based upon other observable inputs or models that primarily use market-based or independently sourced market parameters as inputs. In addition to market information, models also incorporate transaction details such as maturity. Fair value adjustments, including credit, liquidity and other factors, are included, as appropriate, to arrive at a fair value measurement.

Investments at statement value and estimated fair value, at September 30, 2013 included (in thousands):

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
Short-term investments:						
U.S. Treasury money market funds	\$ 102,273	102,273	—	102,273	—	—
Money market mutual funds	\$ 11	\$ 11	\$ —	\$ 11	\$ —	\$ —
Total short-term investments	<u>102,284</u>	<u>102,284</u>	<u>—</u>	<u>102,284</u>	<u>—</u>	<u>—</u>
Bonds and other invested assets:						
U.S. Treasury and government-sponsored agencies	400	400	—	400	—	—
Total investments	<u>\$ 102,684</u>	<u>\$ 102,684</u>	<u>\$ —</u>	<u>\$ 102,684</u>	<u>\$ —</u>	<u>\$ —</u>

Investments at statement value and estimated fair value, at December 31, 2012 included (in thousands):

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
Short-term investments:						
Money market funds	\$ 73,640	\$ 73,640	\$ —	\$ 73,640	\$ —	\$ —
Total short-term investments	<u>73,640</u>	<u>73,640</u>	<u>—</u>	<u>73,640</u>	<u>—</u>	<u>—</u>
Bonds and other invested assets:						
U.S. Treasury and government-sponsored agencies	12,369	12,175	—	12,369	—	—
All other government bonds	8,801	8,711	—	8,801	—	—
Loan-backed and/or structured securities	12,969	12,891	—	12,969	—	—
Industrial and miscellaneous bonds	<u>105,923</u>	<u>103,841</u>	<u>—</u>	<u>105,923</u>	<u>—</u>	<u>—</u>
Total bonds and other invested assets	<u>140,062</u>	<u>137,618</u>	<u>—</u>	<u>140,062</u>	<u>—</u>	<u>—</u>
Total investments	<u>\$ 213,702</u>	<u>\$ 211,258</u>	<u>\$ —</u>	<u>\$ 213,702</u>	<u>\$ —</u>	<u>\$ —</u>

D There were no investments at September 30, 2013 or December 31, 2012 for which it was not practicable to estimate fair value.

21) Other Items

A As described in Note 22, *Events Subsequent*, KFHP, the sole member of Health Plan, transferred all of its membership interest in Health Plan to HealthSpan Partners on October 1, 2013. On September 30, 2013, management considered the then pending member substitution transaction as a triggering event for impairment of the long-lived assets of the company, and recorded an impairment charge of \$58.6 million, resulting in a write-down of \$45.8 million of real estate

and \$12.8 million of furniture and equipment. The impairment charge is included in net realized capital gains and losses on the Statement of Revenue and Expenses. See Note 5, *Investments*, for further details.

Health Plan also recorded a \$56 million charge on September 30, 2013 related to dissolution of its Medical Services Agreement (MSA) with the Medical Group. An additional \$16.1 million of payroll-related expenses associated with the transaction were also recorded as expenses in September 2013. The dissolution and payroll-related expenses were reported in the Statement of Revenue and Expense as write-ins for other expense. The \$56 million payable for dissolution of the MSA was reported on the balance sheet as an aggregate write-in for other liabilities. The \$16.1 million of payroll-related expenses were included in the other liabilities write-in line “Accrued Payroll and Related” on the balance sheet.

B-H No significant changes from the 2012 annual statement.

22) Events Subsequent

Subsequent events have been considered through November 14, 2013 for the statutory statements issued on November 15, 2013.

In 2013, KFHP and HealthSpan Partners, an unrelated not-for-profit Ohio-based health system, entered into a definitive agreement to transfer the sole corporate membership of Health Plan to HealthSpan Partners. On October 1, 2013, the member substitution transaction was completed. In September 2013, management considered the then pending member substitution transaction as a triggering event for impairment of the long-lived assets of the company. Management determined that the carrying value of Health Plan was in excess of the fair value and accordingly recorded a \$58.6 million impairment of the company’s long-lived assets in September 2013. In addition, certain expenses related to the transaction totaling \$72.1 million were recorded as expenses in September 2013.

23) Reinsurance

No significant changes from the 2012 annual statement.

24) Retrospectively Rated Contracts

No significant changes from the 2012 annual statement.

25) Change in Incurred Claims and Claim Adjustment Expenses

Analysis of claims activity as of and for the nine months ended September 30, 2013 and the year ended December 31, 2012 (in thousands):

	9/30/2013	12/31/2012
Claims Payable:		
Balance at Beginning of Period	\$27,288	\$34,498
Balance at End of Period	24,198	27,288
Change in Claims Payable - Increase (Decrease)	<u>(3,090)</u>	<u>(7,210)</u>
Incurred Claims:		
Insured Events of Current Year	348,325	474,060
Increase/(Decrease) Insured Events of Prior Year	<u>(1,699)</u>	<u>(1,122)</u>
Total Incurred Claims	<u>346,626</u>	<u>472,938</u>
Payment of Claims:		
Claims Incurred in Prior Years	25,633	33,007
Claims Incurred in Current Year	<u>324,083</u>	<u>447,141</u>
Total Claims Paid	<u><u>\$349,716</u></u>	<u><u>\$480,148</u></u>

No additional premiums or return premiums have been accrued as a result of the prior-year effects.

26) Intercompany Pooling Arrangements

No significant changes from the 2012 annual statement.

27) Structured Settlements

No significant changes from the 2012 annual statement.

28) Health Care Receivables

No significant changes from the 2012 annual statement.

29) Participating Policies

No significant changes from the 2012 annual statement.

30) Premium Deficiency Reserves

At September 30, 2013 and December 31, 2012, Health Plan established premium deficiency reserves as follows (in thousands):

	9/30/2013	12/31/2012
1 Liability carried for premium deficiency reserves	\$ 15.5	\$ 23.3
2 Date of the most recent evaluation of this liability	9/30/2013	12/31/2012
3 Was anticipated investment income utilized in the calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

31) Anticipated Salvage and Subrogation

No significant changes from the 2012 annual statement.

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

GENERAL INTERROGATORIES

**PART 1 - COMMON INTERROGATORIES
GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [X] No []

1.2 If yes, has the report been filed with the domiciliary state? Yes [X] No []

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]

2.2 If yes, date of change:

3.1 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]

3.2 If the response to 3.1 is yes, provide a brief description of those changes.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]

4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2010

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2010

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 07/09/2012

6.4 By what department or departments?

Ohio Department of Insurance.....

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] NA [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] NA [X]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?..... Yes [] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms?..... Yes [] No [X]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO**GENERAL INTERROGATORIES**

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []

- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

.....

9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

.....

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

.....

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No []

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No [X]

11.2 If yes, give full and complete information relating thereto:

.....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$

13. Amount of real estate and mortgages held in short-term investments: \$

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No [X]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$	\$
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []

If no, attach a description with this statement.

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$.....
 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$.....
 16.3 Total payable for securities lending reported on the liability page \$.....

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Key Bank Association.....	P O Box 94718 Cleveland, OH 44114.....
State Street Bank and Trust Company.....	2 Ave de Lafayette, Boston, MA 02111.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
104973.....	Wells Capital Management.....	525 Market St, 10th Fl, San Francisco, CA 94510.....

18.1 Have all the filing requirements of the *Purposes and Procedures Manual* of the NAIC Securities Valuation Office been followed? Yes [X] No []

18.2 If no, list exceptions:

.....

GENERAL INTERROGATORIES
PART 2 - HEALTH

1. Operating Percentages:

1.1 A&H loss percent.....	93.8 %
1.2 A&H cost containment percent.....	0.9 %
1.3 A&H expense percent excluding cost containment expenses.....	11.0 %
2.1 Do you act as a custodian for health savings accounts?.....	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.....	\$ _____
2.3 Do you act as an administrator for health savings accounts?.....	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
2.4 If yes, please provide the balance of the funds administered as of the reporting date.....	\$ _____

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

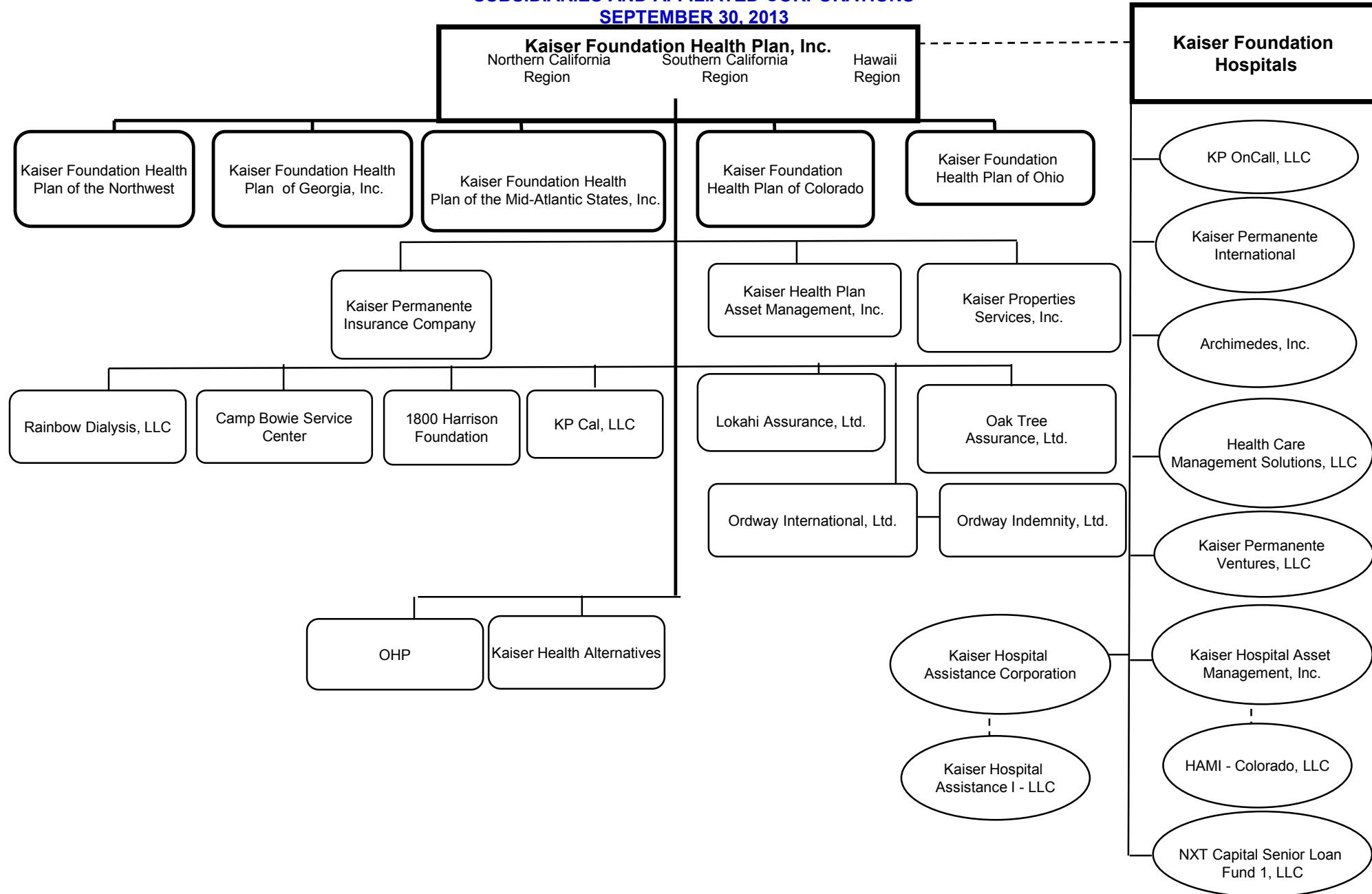
Current Year to Date - Allocated by States and Territories

States, Etc.	1 Active Status	Direct Business Only						
		2 Accident & Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life & Annuity Premiums & Other Considerations	7 Property/ Casualty Premiums	8 Total Columns 2 Through 7
1. Alabama	AL	N.						0
2. Alaska	AK	N.						0
3. Arizona	AZ	N.						0
4. Arkansas	AR	N.						0
5. California	CA	N.						0
6. Colorado	CO	N.						0
7. Connecticut	CT	N.						0
8. Delaware	DE	N.						0
9. Dist. Columbia	DC	N.						0
10. Florida	FL	N.						0
11. Georgia	GA	N.						0
12. Hawaii	HI	N.						0
13. Idaho	ID	N.						0
14. Illinois	IL	N.						0
15. Indiana	IN	N.						0
16. Iowa	IA	N.						0
17. Kansas	KS	N.						0
18. Kentucky	KY	N.						0
19. Louisiana	LA	N.						0
20. Maine	ME	N.						0
21. Maryland	MD	N.						0
22. Massachusetts	MA	N.						0
23. Michigan	MI	N.						0
24. Minnesota	MN	N.						0
25. Mississippi	MS	N.						0
26. Missouri	MO	N.						0
27. Montana	MT	N.						0
28. Nebraska	NE	N.						0
29. Nevada	NV	N.						0
30. New Hampshire	NH	N.						0
31. New Jersey	NJ	N.						0
32. New Mexico	NM	N.						0
33. New York	NY	N.						0
34. North Carolina	NC	N.						0
35. North Dakota	ND	N.						0
36. Ohio	OH	L. 234,588,044	96,226,483		33,808,511			364,623,038
37. Oklahoma	OK	N.						0
38. Oregon	OR	N.						0
39. Pennsylvania	PA	N.						0
40. Rhode Island	RI	N.						0
41. South Carolina	SC	N.						0
42. South Dakota	SD	N.						0
43. Tennessee	TN	N.						0
44. Texas	TX	N.						0
45. Utah	UT	N.						0
46. Vermont	VT	N.						0
47. Virginia	VA	N.						0
48. Washington	WA	N.						0
49. West Virginia	WV	N.						0
50. Wisconsin	WI	N.						0
51. Wyoming	WY	N.						0
52. American Samoa	AS	N.						0
53. Guam	GU	N.						0
54. Puerto Rico	PR	N.						0
55. U.S. Virgin Islands	VI	N.						0
56. Northern Mariana Islands	MP	N.						0
57. Canada	CAN	N.						0
58. Aggregate other alien	OT	XXX 0	0	0	0	0	0	0
59. Subtotal		XXX 234,588,044	96,226,483	0	33,808,511	0	0	364,623,038
60. Reporting entity contributions for Employee Benefit Plans		XXX						0
61. Total (Direct Business)	(a) 1	234,588,044	96,226,483	0	33,808,511	0	0	364,623,038
DETAILS OF WRITE-INS								
58001		XXX						0
58002		XXX						0
58003		XXX						0
58998	Summary of remaining write-ins for Line 58 from overflow page.	XXX	0	0	0	0	0	0
58999	Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and other Alien.

**KAISER FOUNDATION HEALTH PLAN, INC. AND KAISER FOUNDATION HOSPITALS
SUBSIDIARIES AND AFFILIATED CORPORATIONS
SEPTEMBER 30, 2013**



STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 Federal ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Name of Parent Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/ Person(s)	15 *
00601.....	KAISER FOUNDATION HEALTH PLAN INC.....	95669.....	84-0591617.....				KAISER FOUNDATION HLTH PLAN OF Colorado.....	CO.....	NIA.....	KPHP.....	Ownership.....	100.0	KFHP.....	0
	KAISER FOUNDATION HEALTH PLAN INC.....	00000.....	03-0329760.....				Oak Tree Assurance, Ltd.....	VT.....	OTH.....	KFHP.....	Ownership.....	100.0	KFHP.....	1
00601.....	KAISER FOUNDATION HEALTH PLAN INC.....	95639.....	52-0954463.....				KAISER FOUNDATION HEALTH PLAN OF THE MID-ATLANTIC STATES INC.....	MD.....	NIA.....	KFHP.....	Ownership.....	100.0	KFHP.....	0
00601.....	KAISER FOUNDATION HEALTH PLAN INC.....	96237.....	58-1592076.....				KAISER FOUNDATION HEALTH PLAN OF GEORGIA, INC.....	GA.....	NIA.....	KFHP.....	Ownership.....	100.0	KFHP.....	0
00601.....	KAISER FOUNDATION HEALTH PLAN INC.....	95204.....	34-0922268.....				KAISER FOUNDATION HEALTH PLAN OF OHIO.....	OH.....		KFHP.....	Ownership.....	100.0	KFHP.....	0
	KAISER FOUNDATION HEALTH PLAN INC.....	00000.....	94-3299124.....				KAISER HEALTH PLAN ASSET MANAGEMENT, INC.....	CA.....	NIA.....	KFHP.....	Ownership.....	100.0	KFHP.....	0
00601.....	KAISER FOUNDATION HEALTH PLAN INC.....	60053.....	94-3203402.....				KAISER PERMANENTE INS CO.....	CA.....	IA.....	KFHP.....	Ownership.....	100.0	KFHP.....	2
00601.....	KAISER FOUNDATION HEALTH PLAN INC.....	00000.....	94-1340523.....				KAISER FOUNDATION HEALTH PLAN, INC. ("KFHP").....	CA.....	UDP.....		Board of Directors.....	0.0	KFHP.....	0
00601.....	KAISER FOUNDATION HEALTH PLAN INC.....	95540.....	93-0798039.....				KAISER FOUNDATION HEALTH PLAN OF THE NORTHWEST ("KFHP-NW").....	OR.....	NIA.....	KFHP.....	Ownership.....	100.0	KFHP.....	0
	KAISER FOUNDATION HEALTH PLAN INC.....	00000.....	94-3259432.....				KAISER PROPERTIES SERVICES, INC.....	CA.....	NIA.....	KFHP.....	Ownership.....	100.0	KFHP.....	0
	KAISER FOUNDATION HEALTH PLAN INC.....	00000.....	93-0954562.....				KAISER HEALTH ALTERNATIVES.....	OR.....	NIA.....	KFHP.....	Ownership.....	100.0	KFHP.....	0
	KAISER FOUNDATION HOSPITALS.....	00000.....	94-3245176.....				KAISER PERMANENTE INTERNATIONAL.....	CA.....	NIA.....	KFH.....	Ownership.....	100.0	KFH.....	0
	KAISER FOUNDATION HOSPITALS.....	00000.....	94-3299125.....				KAISER HOSPITAL ASSET MANAGEMENT, INC.....	CA.....	NIA.....	KFH.....	Ownership.....	100.0	KFH.....	0
	KAISER FOUNDATION HOSPITALS.....	00000.....	94-3299123.....				CAMP BOWIE SERVICE CENTER.....	CA.....	NIA.....	KFHP.....	Ownership.....	100.0	KFHP.....	0
	KAISER FOUNDATION HOSPITALS.....	00000.....	94-1105628.....				KAISER FOUNDATION HOSPITALS ("KFH").....	CA.....	NIA.....		Board of Directors.....	0.0	KFH.....	0
	KAISER FOUNDATION HOSPITALS.....	00000.....	91-2171891.....				LOKAHI ASSURANCE LTD.....	HI.....	OTH.....	KFHP.....	Ownership.....	100.0	KFHP.....	1
	KAISER FOUNDATION HOSPITALS.....	00000.....	20-2712661.....				KP CAL, LLC.....	CA.....	NIA.....	KFHP.....	Ownership.....	100.0	KFHP.....	0
	KAISER FOUNDATION HOSPITALS.....	00000.....	90-0031974.....				ORDWAY INDEMNITY, LTD.....	BMU.....	OTH.....	KFHP.....	Ownership.....	100.0	KFHP.....	1
	KAISER FOUNDATION HOSPITALS.....	00000.....	90-0031974.....				ORDWAY INTERNATIONAL, LTD.....	BMU.....	OTH.....	KFHP.....	Ownership.....	100.0	KFHP.....	3
	KAISER FOUNDATION HOSPITALS.....	00000.....	93-0480268.....				OHP.....	WA.....	NIA.....	KFHP.....	Ownership.....	100.0	KFHP.....	0
	KAISER FOUNDATION HOSPITALS.....	00000.....	20-3774729.....				HAMI-COLORADO, LLC.....	DE.....	NIA.....	KFHP.....	Management.....	0.0	KFH.....	0
	KAISER FOUNDATION HOSPITALS.....	00000.....	20-3924985.....				ARCHIMEDES, INC.....	CA.....	NIA.....	KFH.....	Ownership.....	83.0	KFH.....	5
	KAISER FOUNDATION HOSPITALS.....	00000.....	91-2166347.....				HEALTH CARE MANAGEMENT SOLUTIONS, LLC.....	CA.....	NIA.....	KFH.....	Ownership.....	100.0	KFH.....	0
	KAISER FOUNDATION HOSPITALS.....	00000.....	94-3317484.....				KP ONCALL, LLC.....	CA.....	NIA.....	KFHP.....	Board of Directors.....	0.0	KFHP.....	0
							1800 HARRISON FOUNDATION.....	CA.....	NIA.....	KFHP.....				

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Asterisk	Explanation
0000001	Relation to reporting entity-captive insurance company controlled by KFHP.
0000002	100% of preferred stock owned by KFHP, 50% of voting stock owned by KFHP and 50% owned by Permanente Medical Groups.
0000003	Relation to reporting entity - holding company - holds 100% of the shares of Ordway Indemnity, Ltd..
0000004	Remaining ownership interest of 5.05% is held by The Permanente Federation LLC.
0000005	KFH owns 100% of the preferred shares of HCMS. In addition, KFH owns 50% of the common shares and The Permanente Federation LLC owns the remaining 50% of the common shares of HCMS.
0000006	KFH and the Kaiser Permanente Group Trust are the Participation members of this LLC, and KFH owns 75.1% and Kaiser Permanente Group Trust owns 24.9%. Kaiser Foundation Health Plan, Inc. is the fiduciary of Kaiser Permanente Group Trust. NXT Capital Loan Servicing, LLC is the Designated member.
0000007	There is also a Series B with a different tax id #. No member of the Holding Company Group is an owner.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

RESPONSE

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

.....NO.....

Explanation:

1.

Bar Code:

1.



OVERFLOW PAGE FOR WRITE-INS

MQ003 Additional Aggregate Lines for Page 03 Line 23.

*LIAB

	1 Covered	2 Uncovered	3 Total	4 Total
2304. Rent Payable.....	1,445,245		1,445,245	362,842
2305. Pension Liability.....	54,925,644		54,925,644	54,639,410
2306. Medicare Reserves / Payables.....	14,897,403		14,897,403	23,845,652
2307. Dissolution of Medical Service Agreement.....	56,000,000		56,000,000	0
2308.			0	0
2397. Summary of remaining write-ins for Line 23 from Page 03	127,268,292	0	127,268,292	78,847,904

MQ004 Additional Aggregate Lines for Page 04 Line 14.

*REVEX1

	1 Current Year To Date Uncovered	2 Current Year To Date Total	3 Prior Year To Date Total	4 Prior Year Ended December 31 Total
1404. Medical Administration..... Other Benefits (Home Care, Hospice, Admin Excep, DME) excluding		50,426,275	52,901,215	71,005,018
1405. payroll.....		2,698,923	3,794,771	4,881,549
1406. Community Service.....		9,207,705	8,919,776	12,709,551
1407.			0	0
1408.			0	0
1409.			0	0
1410.			0	0
1411.			0	0
1412.			0	0
1413.			0	0
1414.			0	0
1497. Summary of remaining write-ins for Line 14 from Page 04	0	62,332,903	65,615,762	88,596,118

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE A – VERIFICATION

Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	49,856,495	51,707,113
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	20,890,969	0
3. Current year change in encumbrances		2,263,504
4. Total gain (loss) on disposals	(45,806,255)	0
5. Deduct amounts received on disposals		0
6. Total foreign exchange change in book/adjusted carrying value		0
7. Deduct current year's other than temporary impairment recognized		0
8. Deduct current year's depreciation	2,779,607	4,114,122
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	22,161,602	49,856,495
10. Deduct total nonadmitted amounts	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	22,161,602	49,856,495

SCHEDULE B – VERIFICATION

Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and mortgage interest points and commitment fees	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Total valuation allowance	0	0
13. Subtotal (Line 11 plus Line 12)	0	0
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

NONE

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and depreciation	0	0
9. Total foreign exchange change in book/adjusted carrying value	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	0	0

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	137,617,523	102,847,727
2. Cost of bonds and stocks acquired	118,973,026	97,532,590
3. Accrual of discount	149,333	94,486
4. Unrealized valuation increase (decrease)	2,545,275	1,205,708
5. Total gain (loss) on disposals	256,399,157	63,295,476
6. Deduct consideration for bonds and stocks disposed of	921,917	645,493
7. Deduct amortization of premium		0
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized	1,564,026	122,019
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9)	400,057	137,617,523
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	400,057	137,617,523

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a).....	144,508,598	219,834,619	262,392,053	733,197	146,382,497	144,508,598	102,684,361	176,960,823
2. Class 2 (a).....	46,502,295	7,451,034	.52,998,958	(954,371)	45,853,024	46,502,295	0	34,296,907
3. Class 3 (a).....	0				0	0	0	0
4. Class 4 (a).....	0				0	0	0	0
5. Class 5 (a).....	0				0	0	0	0
6. Class 6 (a).....	0				0	0	0	0
7. Total Bonds.....	191,010,893	227,285,653	315,391,011	(221,174)	192,235,521	191,010,893	102,684,361	211,257,730
PREFERRED STOCK								
8. Class 1.....	0				0	0	0	0
9. Class 2.....	0				0	0	0	0
10. Class 3.....	0				0	0	0	0
11. Class 4.....	0				0	0	0	0
12. Class 5.....	0				0	0	0	0
13. Class 6.....	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock.....	191,010,893	227,285,653	315,391,011	(221,174)	192,235,521	191,010,893	102,684,361	211,257,730

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$; NAIC 2 \$;

NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

S102

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999	102,284,308	XXX	102,284,308		

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	73,640,210	32,596,839
2. Cost of short-term investments acquired	246,597,060	230,401,434
3. Accrual of discount		434
4. Unrealized valuation increase (decrease).....		0
5. Total gain (loss) on disposals		0
6. Deduct consideration received on disposals	217,952,962	189,358,497
7. Deduct amortization of premium.....		0
8. Total foreign exchange change in book/adjusted carrying value.....		0
9. Deduct current year's other than temporary impairment recognized.....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	102,284,308	73,640,210
11. Deduct total nonadmitted amounts.....		0
12. Statement value at end of current period (Line 10 minus Line 11)	102,284,308	73,640,210

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	0
2. Cost of cash equivalents acquired	6,894,109	21,198,451
3. Accrual of discount	4,992	1,549
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals.....	425	0
6. Deduct consideration received on disposals	6,899,526	21,200,000
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	0
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
Acquired by internal transfer								
029999 - Acquired by internal transfer				Internal Transfer		0	0	20,890,969
0399999 Totals						0	0	20,890,969

SCHEDULE A - PART 3

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances Prior Year	Changes in Book/Adjusted Carrying Value Less Encumbrances					14 Book/Adjusted Carrying Value Less Encumbrances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain(Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encumbrances	20 Taxes, Repairs and Expenses Incurred	
	2 City	3 State						9 Current Year's Depreciation	10 Current Year's Other Than Temporary Impairment Recognized	11 Current Year's Change in Encumbrances	12 Total Change in B/A. C.V. (11-9-10)	13 Total Foreign Exchange Change in B.A. C. V.								
0399999 Totals																				

NONE

E01

Schedule B - Part 2
NONE

Schedule B - Part 3
NONE

Schedule BA - Part 2
NONE

Schedule BA - Part 3
NONE

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - U.S. States, Territories and Possessions									
13063B-N7-3.....	California St 1.050% 02/01/16.....		09/06/2013.....	Merrill Lynch Pierce Fenner.....		.803,293	.805,000	.939	.1FE
1799999 - Bonds - U.S. States, Territories and Possessions						803,293	805,000	939	XXX
Bonds - U.S. Political Subdivisions of States, Territories and Possessions									
717095-2W-2.....	Pflugerville Tx Indep Sch Dist Series A.....		08/29/2013.....	Oppenheimert Co Inc.....		1,262,835	1,125,000	2,969	.1FE
2499999 - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						1,262,835	1,125,000	2,969	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)									
.00287Y-AJ-8.....	Abbvie Inc Series WI 1.750% 11/06/17.....		08/05/2013.....	Tax Free Exchange.....		1,029,145	1,050,000	.4,543	.2FE
.025537-AF-8.....	American Electric Power Co 1.650% 12/1.....		07/23/2013.....	Goldman Sachs Co.....		383,276	390,000	.733	.2FE
.05947U-2R-8.....	Bank of America Commercial Mtg Ser 2005.....		09/23/2013.....	MESIROW.....		560,232	525,000	.1,865	.1FM
.05947U-4D-7.....	Bank of America Commercial Mtg Ser 2005.....		09/24/2013.....	Morgan Stanley Co.....		2,142,266	2,000,000	7,739	.1FM
.05947U-XR-4.....	Bank of America Commercial Mtg Ser 2004-.....		07/12/2013.....	Various.....		955,159	924,394	.1,866	.1FE
.101137-AM-9.....	Boston Scientific Corp 2.650% 10/01/18.....		08/08/2013.....	Oppenheimert Co Inc.....		449,793	.450,000		.2FE
.12513E-AG-9.....	Citigroup/Deutsche Bank Comm Ser 2005-CD.....		07/01/2013.....	Prior period adjustment.....				(157)	.1FE
.12572X-AA-8.....	Cme Group Index Services Series 144A 4.....		07/09/2013.....	KEYBANC CAPITAL MARKETS INC.....		648,804	.600,000	.8,580	.1FE
.12673P-AD-7.....	Ca Inc 2.875% 08/15/18.....		08/13/2013.....	Oppenheimert Co Inc.....		349,339	.350,000		.2FE
.17305E-FC-4.....	Citibank Credit Card Issuance Ser 2013-A.....		08/01/2013.....	Citigroup Global Markets.....		1,924,612	1,925,000		.1FE
.240019-BR-9.....	Dayton Power & Light Series 144A 1.875.....		09/12/2013.....	Oppenheimert Co Inc.....		439,252	.440,000		.2FE
.396789-FT-1.....	Greenwich Capital Commercial F Ser 2004-.....		08/20/2013.....	MESIROW.....		53,335	.52,644	.171	.1FM
.396789-FT-1.....	Greenwich Capital Commercial F Ser 2004-.....		08/20/2013.....	MESIROW.....		422,103	.416,634	.1,354	.1FM
.446438-RE-5.....	Huntington National Bank 1.350% 08/02/.....		09/03/2013.....	Various.....		927,661	.930,000	.510	.2FE
.45685E-AE-6.....	Ing Us Inc 2.900% 02/15/18.....		08/08/2013.....	Tax Free Exchange.....		998,400	1,000,000	14,258	.2FE
.68268N-AK-9.....	Oneok Partners LP 3.200% 09/15/18.....		09/09/2013.....	RBS SECURITIES INC.....		499,675	.500,000		.2FE
.69349L-AA-6.....	Pnc Bank Na Series BKNT 5.250% 01/15/1.....		08/27/2013.....	KEYBANC CAPITAL MARKETS INC.....		1,109,860	1,000,000	.6,563	.1FE
.808513-AJ-4.....	Charles Schwab Corporation 2.200% 07/2.....		07/22/2013.....	Chase Securities.....		314,912	.315,000		.1FE
.84756N-AC-3.....	Spectra Energy Partners 2.950% 09/25/1.....		09/16/2013.....	Morgan Stanley Co.....		409,299	.410,000		.2FE
.90521A-PJ-1.....	Union Bank Na 2.625% 09/26/18.....		09/19/2013.....	Morgan Stanley Co.....		824,810	.825,000		.1FE
.92343V-BP-8.....	Verizon Communications 3.650% 09/14/18.....		09/11/2013.....	Various.....		1,592,359	.1,580,000		.2FE
.92553P-AS-1.....	Viacom Inc 2.500% 09/01/18.....		08/12/2013.....	Oppenheimert Co Inc.....		372,836	.375,000		.2FE
.89114Q-AK-4.....	Toronto Dominion Bank Series MTN 1.500.....	A	09/05/2013.....	Citigroup Global Markets.....		997,900	1,000,000		.1FE
.55608P-AC-8.....	Macquarie Bank Ltd Series 144A 2.000%.....	F	08/07/2013.....	Citigroup Global Markets.....		959,664	.960,000		.1FE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						18,364,692	18,018,672	48,025	XXX
8399997 - Subtotals- Bonds - Part 3						20,430,820	19,948,672	51,933	XXX
8399999 - Subtotals - Bonds						20,430,820	19,948,672	51,933	XXX
9999999 Totals						20,430,820	XXX	51,933	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Design- ation or Market Indicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.								
Bonds - U.S. Governments																						
912828-RU-6...	US Treasury N/B 0.875% 11/30/16...	08/06/2013	Goldman Sachs Co.		502,090	500,000	497,890	498,224		268		268			498,492		3,598	3,598	3,012	11/30/2016	1	
912828-RU-6...	US Treasury N/B 0.875% 11/30/16...	09/30/2013	1WFM WELLS CAPITAL - KFH		502,070	500,000	497,890	498,224		333		333			498,557		3,513	3,513	3,646	11/30/2016	1	
912828-SJ-0...	US Treasury N/B 0.875% 02/28/17...	09/30/2013	1WFM WELLS CAPITAL - KFH		400,408	400,000	393,953	394,887		895		895			395,781		4,627	4,627	3,790	02/28/2017	1	
912828-SM-3...	US Treasury N/B 1.000% 03/31/17...	09/30/2013	1WFM WELLS CAPITAL - KFH		2,184,527	2,175,000	2,177,045	2,192,439		(2,135)	13,387	(15,522)			2,176,917		7,609	7,609	32,625	03/31/2017	1	
912828-UA-6...	US Treasury N/B 0.625% 11/30/17...	09/30/2013	1WFM WELLS CAPITAL - KFH		1,174,968	1,200,000	1,169,904	1,195,968		2,063	26,476	(24,413)			1,171,555		3,413	3,413	6,250	11/30/2017	1	
	0599999 - Bonds - U.S. Governments				4,764,063	4,775,000	4,736,682	4,779,742	0	1,424	39,863	(38,439)	0	4,741,302	0	22,760	22,760	49,323	XXX	XXX		
Bonds - U.S. States, Territories and Possessions																						
13063B-N7-3...	California St 1.050% 02/01/16...	09/30/2013	1WFM WELLS CAPITAL - KFH		804,815	805,000	803,293			37		37			803,330		1,485	1,485	1,385	02/01/2016	1FE	
	1799999 - Bonds - U.S. States, Territories and Possessions				804,815	805,000	803,293	0	0	37	0	37	0	803,330	0	1,485	1,485	1,385	XXX	XXX		
Bonds - U.S. Political Subdivisions of States, Territories and Possessions																						
717095-2W-2...	Pflugerville Tx Indep Sch Dist Series A	09/30/2013	1WFM WELLS CAPITAL - KFH		1,261,215	1,125,000	1,262,835			(2,832)		(2,832)			1,260,003		1,212	1,212	7,031	02/15/2017	1FE	
	2499999 - Bonds - U.S. Political Subdivisions of States, Territories and Possessions				1,261,215	1,125,000	1,262,835	0	0	(2,832)	0	(2,832)	0	1,260,003	0	1,212	1,212	7,031	XXX	XXX		
Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions																						
29270C-XW-0...	Energy N W WA Electric Revenue 1.264% 01/30/17...	09/30/2013	1WFM WELLS CAPITAL - KFH		1,010,650	1,000,000	999,360	999,431		40		40			999,471		11,179	11,179	15,765	07/01/2015	1FE	
31356G-GY-3...	Fannie Mae 1.250% 01/30/17...	09/30/2013	1WFM WELLS CAPITAL - KFH		2,021,520	2,000,000	1,989,772	1,991,366		1,541		1,541			1,992,907		28,613	28,613	29,167	01/30/2017	1	
347622-CB-6...	Fort Lauderdale Fl Sp1 Obig 1.73% 01/30/17...	09/30/2013	1WFM WELLS CAPITAL - KFH		1,022,653	1,025,000	1,022,417	1,022,499		647	489	158			1,022,657		(4)	(4)	17,646	01/01/2016	1FE	
57586N-ND-9...	Massachusetts St Hsg Fin Agy Ser D 1.0% 08/01/13...	Call	100,0000		5,000	5,000	4,988	4,988		12		12			5,000		0	0	34	06/01/2015	1FE	
57586N-ND-9...	Agy Ser D 1.0% Minneapolis-Saint Paul Mn	09/30/2013	1WFM WELLS CAPITAL - KFH		1,495,703	1,495,000	1,491,412	1,491,412		1,096		1,096			1,492,508		3,194	3,194	12,529	06/01/2015	1FE	
603827-UV-6...	Metra 1.15% 09/30/13...	09/30/2013	1WFM WELLS CAPITAL - KFH		498,710	500,000	498,385	500,000		261	1,615	(1,354)			498,646		.64	.64	4,956	01/01/2015	1FE	
646080-NE-7...	NJ St Higher Ed Assist Auth 5.00% 12/...	09/30/2013	1WFM WELLS CAPITAL - KFH		589,606	550,000	588,379	575,835		(6,585)	(6,585)	(6,585)			569,250		20,355	20,355	22,840	12/01/2015	1FE	
66285W-HH-2...	North Tex Twy Auth Rev 2.44% 09/01/13...	09/01/2013	Maturity		1,025,000	1,025,000	1,025,000	1,025,000		0		0			1,025,000		0	0	25,020	09/01/2013	1FE	
70227R-AA-8...	Pasadena California Pension Ob 1.75% 09/30/13...	09/30/2013	1WFM WELLS CAPITAL - KFH		1,006,010	1,000,000	1,000,000	1,000,000		0		0			1,000,000		6,010	6,010	15,374	05/15/2041	1FE	
71783D-AB-1...	Philadelphia Pa Auth For Ind D 1.200% 09/30/13...	09/30/2013	1WFM WELLS CAPITAL - KFH		727,262	725,000	725,000	725,000		0		0			725,000		2,262	2,262	.8,289	04/01/2014	1FE	
	3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions				9,402,114	9,325,000	9,344,713	9,335,531	0	(2,988)	2,104	(5,092)	0	9,330,439	0	71,673	71,673	151,620	XXX	XXX		
Bonds - Industrial and Miscellaneous (Unaffiliated)																						
00206R-AW-2...	AT & T Inc 2.95% 05/15/16...	09/30/2013	1WFM WELLS CAPITAL - KFH		1,108,188	1,060,000	1,058,071	1,058,131		.76		.76			1,058,207		49,981	49,981	27,361	05/15/2016	1FE	
00287Y-AB-5...	Abbvie Inc 144A 1.75% 11/06/17...	08/05/2013	Tax Free Exchange		1,029,145	1,050,000	1,028,706	1,047,859		.650	19,364	(18,714)			1,029,145		0	0	13,628	11/06/2017	2FE	
00287Y-AJ-8...	Abbvie Inc Series W1 1.750% 11/06/17...	09/30/2013	1WFM WELLS CAPITAL - KFH		1,044,309	1,050,000	1,029,145			720		720			1,029,864		14,445	14,445	7,350	11/06/2017	2FE	
00440E-AN-7...	Ace Ina Holdings Inc 2.600% 11/23/15...	09/30/2013	1WFM WELLS CAPITAL - KFH		1,166,209	1,125,000	1,117,079	1,120,386		1,129		1,129			1,121,515		44,693	44,693	24,944	11/23/2015	1FE	
00724F-AA-9...	Adobe Systems Inc 3.250% 02/01/15...	09/30/2013	1WFM WELLS CAPITAL - KFH		1,042,815	1,010,000	1,068,944	1,051,424		(14,741)		(14,741)			1,036,683		.6,132	.6,132	38,205	02/01/2015	2FE	
00846U-AB-8...	Agilent Technologies Inc 2.500% 07/15/15...	07/15/2013	Maturity		925,000	925,000	923,289	924,676		324		324			925,000		0	0	23,125	07/15/2013	2FE	
023135-AK-2...	Amazon Com Inc 0.650% 11/27/15...	09/30/2013	1WFM WELLS CAPITAL - KFH		1,597,616	1,600,000	1,594,976			783	4,159	(3,376)			1,595,487		2,129	2,129	.8,696	11/27/2015	2FE	
025537-AF-8...	American Electric Power Co 1.650% 12/...	09/30/2013	1WFM WELLS CAPITAL - KFH		383,464	390,000	383,276			260		383,536			(72)		.1,877	.1,877	12/15/2017	2FE		
025815-AA-9...	American Expr Centurion 0.875% 11/13/1...	09/30/2013	1WFM WELLS CAPITAL - KFH		724,928	725,000	723,188	724,464		280	1,368	(1,088)			723,375		1,553	1,553	.5,568	11/13/2015	1FE	

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Design- ation or Market Indicator (a)		
										11 Unrealized Valuation Increase/ Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.									
026660-K6-9..	American Honda Finance 144A 1.450% 02/..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		252,438	250,000	250,945	250,745		(286)		(286)		250,459		1,979	1,979	3,957	02/27/2015..	1FE..		
031162-B0-2..	Angen Inc. 2.125%		05/15/17..	Morgan Stanley Co.....		551,496	550,000	549,016	549,127		97		97		549,224		2,272	2,272	7,467	05/15/2017..	2FE..		
032095-AA-9..	Amphenol Corp 4.750%		11/15/14..	1WFM WELLS CAPITAL - KFH..		521,390	500,000	537,960	530,067		(11,904)		(11,904)		518,164		3,226	3,226	20,781	11/15/2014..	2FE..		
03523T-BM-9..	0.800% 07/15/..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,759,702	1,751,000	1,749,925	1,348,946		60		922		(862)		1,750,612		9,090	9,090	15,292	07/15/2015..	1FE..
037833-AJ-9..	Apple Inc 1.000%		05/03/18..	1WFM WELLS CAPITAL - KFH..		1,833,538	1,900,000	1,824,570			3,909		68,634		(64,725)		1,828,265		5,273	5,273	7,758	05/03/2018..	1FE..
052769-AA-4..	Autodesk Inc 1.950%		12/15/17..	1WFM WELLS CAPITAL - KFH..		1,059,469	1,080,000	1,053,670	376,178		2,197		20,552		(18,355)		1,055,043		4,426	4,426	16,790	12/15/2017..	2FE..
05947U-2R-8..	Bank of America Commercial Mtg Ser 2005-		09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,503,166	1,405,000	1,506,937			(20,595)		3,723		(24,318)		1,498,346		4,820	4,820	28,295	10/10/2045..	1FM..
05947U-4D-7..	Bank of America Commercial Mtg Ser 2005-		09/30/2013..	1WFM WELLS CAPITAL - KFH..		2,142,122	2,000,000	2,142,266			(931)				2,141,335		787	787	8,632	09/10/2047..	1FM..		
05947U-XR-4..	Bank of America Commercial Mtg Ser 2004-		09/01/2013..	Paydown.....		5,778	5,778	5,970			(192)				5,778		0	0	33	11/10/2041..	1FE..		
05947U-XR-4..	Commercial Mtg Ser 2004-		09/30/2013..	1WFM WELLS CAPITAL - KFH..		944,235	918,616	949,189			(6,276)				942,913		1,322	1,322	10,315	11/10/2041..	1FE..		
06051G-ET-2..	Bank of America Corp MTN 2.000% 01/11/..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,609,331	1,635,000	1,583,677			2,429		56,900		(54,471)		1,586,297		23,033	23,033	23,526	01/11/2018..	1FE..
07383F-AT-5..	Bear Stearns Commercial Mtge Ser 1999-C1..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		430,230	430,179	458,115	442,073		(12,264)				429,809		421	421	23,331	02/14/2031..	1FM..		
084664-BS-9..	Berkshire Hathaway Fin 1.600% 05/15/17..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		3,532,270	3,500,000	3,485,300			(4,270)		59,397		(63,667)		3,486,209		46,061	46,061	49,000	05/15/2017..	1FE..
101137-AJ-6..	Boston Scientific Corp 4.500% 01/15/15..		09/12/2013..	Call 105.2060.....		946,854	900,000	941,580			(4,488)		2,932		(7,420)		946,854		0	0	26,663	01/15/2015..	2FE..
101137-AM-9..	Boston Scientific Corp 2.650% 10/01/18..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		450,648	450,000	449,793			(3)				449,790		858	858	1,557	10/01/2018..	2FE..		
111320-AD-9..	Broadcom Corp 2.375%		11/01/15..	1WFM WELLS CAPITAL - KFH..		896,030	870,000	872,362	874,546		(1,442)				873,104		22,926	22,926	18,883	11/01/2015..	1FE..		
12513E-AF-1..	Citigroup/Deutsche Bank Comr Ser 2005-C0..		09/01/2013..	Paydown.....		26,216	26,216	26,522	27,009		(483)		311		(794)		26,216		0	0	920	07/15/2044..	1FM..
12513E-AF-1..	Citigroup/Deutsche Bank Comr Ser 2005-C0..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		240,485	238,144	240,923	245,353		(2,215)		2,822		(5,037)		240,315		170	170	10,494	07/15/2044..	1FM..
12513E-AG-9..	Citigroup/Deutsche Bank Cme Group Index Services Cme Group Index Services		09/30/2013..	1WFM WELLS CAPITAL - KFH..		2,235,009	2,095,000	2,267,245			(3,860)				2,246,580		(11,572)	(11,572)	37,041	07/15/2044..	1FE..		
12572X-AA-8..	Cms Energy Corp 5.050%		09/30/2013..	1WFM WELLS CAPITAL - KFH..		655,212	600,000	648,804			(2,125)				646,679		8,533	8,533	14,300	03/15/2018..	1FE..		
125896-BG-4..	Cvs Caremark Corp 02/15/18..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		471,104	425,000	472,111			(3,272)		10,241		(13,513)		469,725		1,379	1,379	13,414	02/15/2018..	2FE..
126650-BT-6..	3.250% 05/18/15..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,493,648	1,435,000	1,498,482	413,825		(17,053)				1,478,772		14,877	14,877	40,419	05/18/2015..	2FE..		
12673P-AD-7..	Ca Inc 2.87% 08/15/18..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		353,441	350,000	349,339			8		8		349,347		4,094	4,094	1,230	08/15/2018..	2FE..		
14040H-AV-7..	Capital One Financial Co 2.125% 07/15/..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,764,474	1,745,000	1,751,089	738,921		(5,001)		409		(5,410)		1,751,231		13,244	13,244	34,182	07/15/2014..	2FE..
14149Y-AX-6..	Cardinal Health Inc 1.700% 03/15/18..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		978,150	1,000,000	970,230			1,670		28,344		(26,674)		971,726		6,424	6,424	10,294	03/15/2018..	2FE..
149123-BY-6..	Caterpillar Inc 0.950%		06/26/15/..	1WFM WELLS CAPITAL - KFH..		1,833,797	1,825,000	1,833,888	1,158,182		(3,201)		4,190		(7,391)		1,832,785		1,012	1,012	13,196	06/26/2015..	1FE..
161571-FX-7..	Chase Issuance Trust Ser 2013-AI C A..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		2,385,256	2,390,000	2,379,379			1,396		10,597		(9,201)		2,380,773		4,483	4,483	3,963	05/15/2017..	1FE..
166764-AA-8..	Chevron Corp 1.104%		12/05/17..	1WFM WELLS CAPITAL - KFH..		2,959,530	3,000,000	2,931,330			2,716		86,459		(83,743)		2,935,027		24,503	24,503	27,140	12/05/2017..	1FE..
172967-GS-4..	Citigroup Inc 1.750%		05/01/18..	1WFM WELLS CAPITAL - KFH..		1,239,351	1,275,000	1,219,232			2,702		54,881		(52,179)		1,221,916		17,435	17,435	9,235	05/01/2018..	1FE..
17305E-FC-4..	Citibank Credit Card Issuance Ser 2013-A		09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,930,852	1,925,000	1,924,612			13		13		1,924,624		6,228	6,228	3,086	07/23/2018..	1FE..		
200339-CG-2..	Comerica Bank BNKT 5.750% 11/21/16..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,508,937	1,335,000	1,518,416			(29,213)		14,125		(43,338)		1,505,355		3,582	3,582	65,888	11/21/2016..	1FE..
20047A-AD-2..	Commercial Mortgage Pass- Throu Ser 2004-..		09/01/2013..	Paydown.....		743,357	743,357	768,591	762,447		(18,582)		508		(19,090)		743,357		0	0	25,324	03/10/2039..	1FM..
20047A-AD-2..	Commercial Mortgage Pass- Throu Ser 2004-..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		577,329	575,366	594,918	590,162		(9,688)		393		(10,081)		580,082		(2,753)	(2,753)	22,532	03/10/2039..	1FM..
20047G-BP-1..	Commercial Mortgage Pass- Throu Ser 2004-..		09/01/2013..	Paydown.....		241,263	241,263	247,082	245,513		(4,251)				241,263		0	0	8,957	07/10/2037..	1FM..		

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Design- ation or Market Indicator (a)		
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B.A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B.A.C.V.									
20047G-B0-9...	Commercial Mortgage Pass-Thru Ser 2004-...		09/01/2013...	Paydown...		62,821	62,821	.67,627	.65,199	(2,378)			(2,378)		.62,821			0	.2,558	.07/10/2037...	1FM...		
20047G-B0-9...	Commercial Mortgage Pass-Thru Ser 2004-...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		453,300	447,179	.481,389	.464,107		(9,781)		(9,781)		454,326			(1,026)	(1,026)	.20,198	.07/10/2037...	1FM...	
202795-HW-3...	Commonwealth Edison 1.625% 01/15/14...		09/06/2013...	US Bank NA...		1,180,205	.1,175,000	.1,185,709	.1,181,023		(4,110)		(4,110)		1,176,913			.3,292	.3,292	.22,011	.01/15/2014...	1FE...	
205887-BP-6...	Conagra Foods Inc 1.300% 01/25/16...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		480,413	480,000	.479,789			.45		.45		479,834			.579	.579	.4,247	.01/25/2016...	2FE...	
22822R-AV-2...	Crown Castle Towers Llc 144A 3.214% 08...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		586,523	.575,000	.570,100	.572,263		.752		.752		573,016			.13,507	.13,507	.14,630	.08/15/2015...	1FE...	
233851-AT-1...	Daimler Finance Na Llc 144A 1.250% 01/...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		1,509,592	.1,510,000	.1,500,155			1,225		.8,274	(7,049)	1,501,094			.8,498	.8,498	.13,580	.01/11/2016...	1FE...	
240019-BR-9...	Dayton Power & Light Series 144A 1.875...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		443,322	440,000	.439,252			.6		.6		439,258			.4,064	.4,064	.252	.09/15/2016...	2FE...	
25459H-AY-1...	Directv Holdings/Fing 3.500% 03/01/16...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		802,594	.770,000	.808,893			(5,577)		.9,845	(15,422)	805,291			(2,696)	(2,696)	.15,646	.03/01/2016...	2FE...	
256677-AA-3...	DFC Global Corp 4.125% 07/15/17...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		340,355	.320,000	.337,530			(1,027)		.610	(1,637)	336,502			.3,853	.3,853	.9,350	.07/15/2017...	2FE...	
260543-CD-3...	Dow Chemical Co 2.500% 02/15/16...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		516,300	.500,000	.480,225	.487,538			2,864		2,864		490,402			.25,898	.25,898	.14,063	.02/15/2016...	2FE...
268648-AP-7...	Emc Corp/Massachusetts 1.875% 06/01/18...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		483,637	.485,000	.479,423			.265		.5,302	(5,037)	479,687			.3,951	.3,951	.2,880	.06/01/2018...	1FE...	
26884T-AJ-1...	ERAC USA Finance Enterp 144A 1.400% 04/...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		457,925	.460,000	.456,578	.462,644		(97)		.5,671	(5,768)	456,877			.1,049	.1,049	.6,172	.04/15/2016...	2FE...	
277432-AM-2...	Eastman Chemical Co 2.400% 06/01/17...		09/12/2013...	BNY Capital Markets, Inc...		1,054,694	.1,050,000	.1,051,549	.1,063,864		(1,623)		10,507	(12,130)	1,051,734			.2,959	.2,959	.20,020	.06/01/2017...	2FE...	
278642-AG-8...	Ebay Inc 1.350% 07/15/17...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		1,813,740	.1,825,000	.1,793,701	.1,824,044		1,944		.30,444	(28,500)	1,795,543			.18,196	.18,196	.29,154	.07/15/2017...	1FE...	
29476L-AC-1...	ERP Operating Lp 5.125% 03/15/16...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		508,603	.465,000	.498,852	.489,837		(5,544)		(5,544)		484,293			.24,310	.24,310	.24,824	.03/15/2016...	2FE...	
30219G-AB-4...	Express Scripts Holding Co Wl 2.100% 0...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		279,417	.275,000	.273,974			.300		.300		274,274			.5,142	.5,142	.6,545	.02/12/2015...	2FE...	
316773-CK-4...	Fifth Third Bancorp 3.625% 01/25/16...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		1,527,198	.1,450,000	.1,530,722			(21,644)		.3,156	(24,800)	1,523,061			.4,137	.4,137	.62,053	.01/25/2016...	2FE...	
337660-AA-5...	Firstenergy Ohio Pirc Special Ser 2013-1...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		1,148,910	.1,150,000	.1,147,253			.328		.2,746	(2,418)	1,147,581			.1,329	.1,329	.2,169	.01/15/2019...	1FE...	
34529V-AD-4...	Ford Credit Auto Lease Trust Ser 2012-1...		09/15/2013...	Paydown...		.186,618	.186,618	.186,614	.186,617			0		.186,618			0	0	.1,190	.01/15/2015...	1FE...		
34529V-AD-4...	Ford Credit Auto Lease Trust Ser 2012-1...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		1,816,068	.1,813,382	.1,813,346	.1,813,380			.1		.1		1,813,382			.2,686	.2,686	.12,203	.01/15/2015...	1FE...
34540U-AA-7...	Ford Motor Credit Co Llc 2.375% 01/16/...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		770,505	.775,000	.746,209			.1,314		.33,547	(32,233)	.747,657			.22,848	.22,848	.13,242	.01/16/2018...	2FE...	
36828Q-DN-3...	GE Capital Commercial Mortgage Ser 2004-...		09/01/2013...	Paydown...		.751,072	.751,072	.782,451	.762,837		(11,765)		(11,765)		.751,072			0	0	.23,021	.11/10/2038...	1FM...	
36828Q-DN-3...	GE Capital Commercial Mortgage Ser 2004-...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		12,858	.12,857	.13,394	.13,058		(167)		(167)		.12,891			(33)	(33)	.491	.11/10/2038...	1FM...	
36828Q-HK-5...	GE Capital Commercial Mortgage Ser 2004-...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		340,289	.335,000	.349,586	.345,211		(6,631)		(6,631)		.338,580			.1,709	.1,709	.13,865	.03/10/2040...	1FM...	
36828Q-RX-6...	GE Capital Commercial Mortgage Ser 2006-...		09/01/2013...	Paydown...		.77,666	.77,666	.80,544	.79,364		(1,698)		(1,698)		.77,666			0	0	.2,786	.03/10/2044...	1FM...	
36828Q-RX-6...	GE Capital Commercial Mortgage Ser 2006-...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		.74,777	.74,561	.77,323	.76,191		(406)		(406)		.75,785			(1,008)	(1,008)	.3,330	.03/10/2044...	1FM...	
36962G-5M-2...	General Elec Cap Corp 2.150% 01/09/15...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		2,103,219	.2,060,000	.2,091,793	.2,085,323		(9,590)		(9,590)		2,075,733			.27,486	.27,486	.54,255	.01/09/2015...	1FE...	
375558-AR-4...	Gi/lead Sciences 2.400% 12/01/14...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		1,041,267	.1,020,000	.1,044,645	.1,044,633		(9,661)		(9,661)		1,034,973			.6,294	.6,294	.20,332	.12/01/2014...	2FE...	
378272-AB-4...	Glencore Funding Llc Series 144A 1.700...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		1,206,735	.1,225,000	.1,187,895			.3,043		.36,611	(33,568)	.1,190,930			.15,805	.15,805	.6,942	.05/27/2016...	2FE...	
38141G-RC-0...	Goldman Sachs Group Inc 2.375% 01/22/1...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		.995,390	.1,000,000	.981,830			.944		.17,778	(16,834)	.982,746			.12,644	.12,644	.16,361	.01/22/2018...	1FE...	
391164-AD-2...	Great Plains Energy Inc 2.750% 08/15/1...		08/15/2013...	Maturity...		.1,000,000	.1,000,000	.1,017,220	.1,007,602		(7,602)		(7,602)		1,000,000			0	0	.27,500	.08/15/2013...	2FE...	
396789-FT-1...	Greenwich Capital Commercial F Ser 2004-...		09/01/2013...	Paydown...		.543,078	.543,078	.552,821			(15,115)		.1,522	(16,637)	.543,078			0	0	.11,928	.06/10/2036...	1FM...	
396789-FT-1...	Greenwich Capital Commercial F Ser 2004-...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		.2,218,675	.2,197,123	.2,235,454			(33,651)		.5,524	(39,175)	.2,221,304			(2,630)	(2,630)	.60,547	.06/10/2036...	1FM...	

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STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

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1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Design- ation or Market Indicator (a)		
										11 Unrealized Valuation Increase/ Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B.A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B.A.C.V.									
40428H-PG-1..	HSBC USA Inc 2.37%		02/13/15..	1WFM WELLS CAPITAL - KFH..		2,075,797	2,030,000	2,082,492	1,367,365		(17,244)	.3,161	(20,405)		2,067,561		.8,235		.8,235		.46,194	.02/13/2015..	.1FE..
41283L-AA-3..	Harley-Davidson Finl Service 144A 3.87%		09/30/2013..	1WFM WELLS CAPITAL - KFH..		940,386	880,000	905,702	496,072		(4,526)	.271	(4,797)		901,691		.38,694		.38,694		.28,158	.03/15/2016..	.1FE..
446438-RE-5..	Huntington National Bank 1.350% 08/02/..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		929,172	930,000	927,661			.71		.71		927,731		.1,441		.1,441		.2,023	.08/02/2016..	.2FE..
44921S-AE-2..	Hyundai Auto Lease Securitizat Ser 2013-..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,698,473	1,700,000	1,693,457			1,003	.6,409	(5,406)		1,694,423		.4,051		.4,051		.6,358	.06/15/2016..	.1FE..
45685E-AC-0..	Ing Us Inc 144A 2.900% 02/15/18..		08/08/2013..	Tax Free Exchange.....		998,400	1,000,000	998,240			.160		.160		998,400		.0		.0		.14,258	.02/15/2018..	.2FE..
45685E-AE-6..	Ing Us Inc 2.900% 02/15/18..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,005,380	1,000,000	998,400			.32		.32		998,432		.6,948		.6,948		.18,517	.02/15/2018..	.2FE..
458140-AL-4..	Intel Corp 1.350% 12/15/17..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		2,963,820	3,000,000	2,935,860	1,398,529		3,559	.62,771	(59,212)		2,939,267		.24,553		.24,553		.32,513	.12/15/2017..	.1FE..
459200-HC-8..	IBM 1.250% 02/06/17..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		3,011,970	3,000,000	2,967,360			.319	.47,408	(47,089)		2,969,292		.42,678		.42,678		.42,375	.02/06/2017..	.1FE..
46625H-HX-1..	JP Morgan Chase & Co 3.450% 03/01/16..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,341,109	1,275,000	1,270,448	1,271,207		.416		.416		1,271,623		.69,486		.69,486		.47,531	.03/01/2016..	.1FE..
46625Y-GP-2..	JP Morgan Chase Commercial Mor Ser 2005-..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.514,856	.495,000	.519,033			(13,203)	.2,488	(15,691)		.514,269		.588		.588		.16,556	.03/15/2046..	.1FM..
46625Y-XP-3..	JP Morgan Chase Commercial Mor Ser 2005-..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.1,077,665	.1,005,000	.1,084,537			(41,333)	.5,347	(46,680)		1,058,113		.19,542		.19,542		.30,985	.11/15/2015..	.1FM..
478160-AY-0..	Kellogg Co 1.750% 05/17/16..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.570,779	.550,000	.569,503	.574,690		(5,318)	.1,555	(6,873)		.567,817		.2,962		.2,962		.10,347	.05/15/2016..	.1FE..
487836-BH-0..	Kellogg Co 1.750% 05/17/17..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.1,527,022	.1,520,000	.1,508,554	.1,531,022		(527)	.21,237	(21,764)		1,509,257		.17,765		.17,765		.23,127	.05/17/2017..	.2FE..
49326E-EC-3..	Kraft Foods Inc W1 3.750% 08/13/15..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.1,413,380	.1,345,000	.1,400,287	.499,651		(11,400)		(11,400)		1,389,148		.24,232		.24,232		.41,179	.08/13/2015..	.2FE..
50076Q-AK-2..	LGE&KU Energy LLC 1.625% 06/04/15..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.990,298	.975,000	.972,960			.604		.604		.973,564		.16,734		.16,734		.13,027	.06/04/2015..	.2FE..
50188F-AB-1..	LB-UBS Commercial 2.125% 11/15/15..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.561,660	.550,000	.548,592	.548,702		.210		.210		.548,912		.12,748		.12,748		.10,227	.11/15/2015..	.2FE..
52108H-XM-1..	Mortgage Ser 2003-C8 C 1.625% 06/04/15..		08/11/2013..	Paydown.....		.350,940	.350,940	.352,258	.358,154		(5,909)	.1,305	(.7,214)		.350,940		.0		.0		.11,791	.11/15/2032..	.1FM..
52108H-ZY-3..	Mortgage Ser 2004-C2 C 1.625% 06/04/15..		09/11/2013..	Paydown.....		.230,071	.230,071	.242,194	.237,136		(7,065)		(7,065)		.230,071		.0		.0		.6,735	.03/15/2036..	.1FM..
52108H-ZY-3..	Mortgage Ser 2004-C2 C 1.625% 06/04/15..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.186,221	.184,929	.194,673	.190,608		(3,213)		(3,213)		.187,395		(1,174)		(1,174)		.6,483	.03/15/2036..	.1FM..
53217V-AB-5..	Life Technologies Corp 4.400% 03/01/15..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.1,304,650	.1,250,000	.1,323,123	.1,323,074		(24,381)	.2,038	(26,419)		.1,296,656		.7,994		.7,994		.59,431	.03/01/2015..	.2FE..
565849-AJ-5..	Marathon Oil Corp 0.900% 11/01/15..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.633,959	.635,000	.631,476	.634,927		.524		.3,605		.631,845		.2,113		.2,113		.5,255	.11/01/2015..	.2FE..
581557-AY-1..	Mckesson Corp 0.950% 12/04/15..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.1,826,971	.1,825,000	.1,820,127	.1,824,421		(180)	.9,550	(9,730)		1,820,616		.6,355		.6,355		.14,255	.12/04/2015..	.1FE..
58768T-AC-0..	Mercedes-Benz Auto Lease Trust Ser 2012-..		09/15/2013..	Paydown.....		.340,938	.340,938	.340,919	.340,928		.10		.10		.340,938		.0		.0		.2,168	.11/17/2014..	.1FE..
58768T-AC-0..	Mercedes-Benz Auto Lease Trust Ser 2012-..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.1,660,676	.1,659,062	.1,658,970	.1,659,013		.35		.35		.1,659,048		.1,628		.1,628		.11,558	.11/17/2014..	.1FE..
58933Y-AB-1..	Merck & Co Inc 2.250% 01/15/16..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.1,033,880	.1,000,000	.1,033,120	.1,044,870		(10,508)	.4,437	(14,945)		1,029,924		.3,956		.3,956		.27,188	.01/15/2016..	.1FE..
59022H-MU-3..	Merrill Lynch Mortgage Trust Ser 2005-CK..		09/01/2013..	Paydown.....		.46,283	.46,283	.49,671			(3,879)	.274	(4,153)		.46,283		.0		.0		.955	.11/12/2037..	.1FE..
59022H-MU-3..	Merrill Lynch Mortgage Trust Ser 2005-CK..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.1,432,487	.1,343,930	.1,442,306			(28,595)	.7,956	(36,551)		.1,427,964		.4,524		.4,524		.35,461	.11/12/2037..	.1FE..
59023B-AD-6..	Merrill Lynch Mortgage Trust Ser 2006-C1..		09/01/2013..	Paydown.....		.18,453	.18,453	.19,583	.19,176		(723)		(723)		.18,453		.0		.0		.708	.05/12/2039..	.1FM..
59023B-AD-6..	Merrill Lynch Mortgage Trust Ser 2006-C1..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.161,337	.159,854	.169,646	.166,118		(1,844)		(1,844)		.164,274		(2,936)		(2,936)		.7,671	.05/12/2039..	.1FM..
59217G-AM-1..	Met Life Global Funding I 144A 2.000% 09/25/15..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.3,046,890	.3,000,000	.3,047,130	.998,502		(17,446)		(17,446)		.3,030,036		.16,854		.16,854		.53,500	.01/09/2015..	.1FE..
594918-AG-9..	Microsoft Corp 1.625% 09/25/15..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.2,046,700	.2,000,000	.1,936,300	.1,960,342		.10,603		.10,603		.1,970,945		.75,755		.75,755		.32,951	.09/25/2015..	.1FE..
617446-TU-7..	Morgan Stanley 2.125% 04/25/18..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.1,345,424	.1,375,000	.1,315,916			.2,730	.61,576	(58,846)		.1,318,752		.26,671		.26,671		.12,580	.04/25/2018..	.1FE..
628530-BA-4..	Mylan Inc/Pa Series 144A 2.600% 06/24/..		09/24/2013..	Various.....		.599,576	.600,000	.591,366			.375	.7,925	(7,550)		.591,740		.7,836		.7,836		.3,987	.06/24/2018..	.2FE..
637071-AL-5..	National Oilwell Varco Inc 1.350% 12/0..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		.1,478,490	.1,500,000	.1,461,345	.1,498,571		.2,216	.37,369	(35,153)		.1,463,417		.15,073		.15,073		.17,438	.12/01/2017..	.1FE..

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Design- ation or Market Indicator (a)
										11 Unrealized Valuation Increase/ Decrease)	12 Current Year's Book/Adjusted Carrying Value	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							
63946B-AB-6...	NBC Universal Media Llc 3.650% 04/30/1...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		524,075	500,000	499,140	499,439		176		176		499,615		24,460	24,460	16,729	04/30/2015...	1FE...
63946C-AD-0...	Nbcuniversal Enterprise 1.974% 04/30/1...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		461,719	475,000	462,299			519		12,436	(11,917)	462,812		(1,093)	(1,093)	4,792	04/15/2019...	1FE...
64110D-AC-8...	Netapp Inc 2.000%		12/15/17...	1WFM WELLS CAPITAL - KFH...		615,838	625,000	608,750	621,866		1,149		13,418	(12,269)	609,596		6,241	6,241	10,000	12/15/2017...	2FE...
64952W-BE-2...	New York Life Global Fdg 144A 1.300% 0...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		1,009,150	1,000,000	1,011,830	1,009,620		(3,599)			(3,599)	1,006,020		3,130	3,130	15,817	01/12/2015...	1FE...
65339K-AF-7...	Nextera Energy Capital 1.200% 06/01/15...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		552,992	550,000	549,390	549,448		168		168		549,616		3,376	3,376	5,482	06/01/2015...	2FE...
664397-AH-9...	Northeast Utilities 1.002% 09/20/13...		09/20/2013...	Maturity...		450,000	450,000	449,874	449,938		62		62		450,000		0	0	3,552	09/20/2013...	2FE...
66989H-AC-2...	Novartis Capital Corp 2.900% 04/24/15...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		1,766,351	1,700,000	1,737,859	1,721,967		(6,960)			(6,960)	1,715,007		51,344	51,344	46,013	04/24/2015...	1FE...
68268N-AK-9...	Oneok Partners LP 3.200% 09/15/18...		09/10/2013...	Chase Securities...		500,890	500,000	499,675			0			0	499,675		1,215	1,215	44	09/15/2018...	2FE...
68389X-AN-5...	Oracle Corp 1.200%		10/15/17...	1WFM WELLS CAPITAL - KFH...		1,559,212	1,585,000	1,539,384	1,153,416		2,209		51,384	(49,175)	1,541,921		17,291	17,291	15,518	10/15/2017...	1FE...
69349L-AA-6...	Pnc Bank Na Series BKNT 5.250% 01/15/1...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		1,110,660	1,000,000	1,109,860			(2,637)			(2,637)	1,107,223		3,437	3,437	10,938	01/15/2017...	1FE...
709599-AC-8...	Penske Truck Leasing/PTL 144A 3.125% 0...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		1,060,313	1,030,000	1,043,177	1,043,198		(4,106)			(4,106)	1,039,091		21,221	21,221	28,522	05/11/2015...	2FE...
718546-AJ-3...	Phillips 66 WI 2.950%		05/01/17...	BNP Paribus...		169,660	165,000	164,914	164,914		(37)			(37)	164,877		4,783	4,783	4,300	05/01/2017...	2FE...
718546-AJ-3...	Phillips 66 WI 2.950%		05/01/17...	1WFM WELLS CAPITAL - KFH...		943,943	910,000	909,524	909,525		(195)			(195)	909,330		34,613	34,613	24,533	05/01/2017...	2FE...
742718-DS-5...	Procter & Gamble Co 1.800% 11/15/15...		09/25/2013...	BNY Capital Markets, Inc.		1,025,300	1,000,000	1,025,720			(8,165)		3,816	(11,981)	1,023,149		2,151	2,151	15,600	11/15/2015...	1FE...
744320-BR-5...	Prudential Financial Inc MTN 3.000% 05...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		1,109,067	1,060,000	1,082,465	1,084,788		(5,692)			(5,692)	1,079,096		29,971	29,971	28,090	05/12/2016...	2FE...
78403D-AB-6...	Sba Tower Trust 144A 5.10% 04/17/17...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		2,158,540	2,000,000	2,212,300	2,195,560		(32,428)			(32,428)	2,163,132		(4,592)	(4,592)	79,916	04/17/2017...	1FE...
78573A-AB-6...	SabMiller Holdings Inc 144A 2.450% 01/...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		462,659	450,000	450,990	450,672		(236)			(236)	450,436		12,223	12,223	13,322	01/15/2017...	2FE...
808513-AJ-4...	Charles Schwab Corporation 2.200% 07/2...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		317,999	315,000	314,912			(1)			(1)	314,910		3,088	3,088	1,251	07/25/2018...	1FE...
816851-AQ-2...	Sempra Energy 2.000%		03/15/14...	1WFM WELLS CAPITAL - KFH...		764,849	760,000	771,886	768,367		(5,233)			(5,233)	763,134		1,715	1,715	15,833	03/15/2014...	2FE...
828807-CM-7...	Simon Property Group Lp 144A 1.500% 02/...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		830,782	850,000	818,712	845,206		2,060		26,952	(24,892)	820,315		10,467	10,467	10,023	02/01/2018...	1FE...
84756N-AC-3...	Spectra Energy Partners 2.950% 09/25/1...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		417,167	410,000	409,299			1			1	409,300		7,867	7,867	168	09/25/2018...	2FE...
89233P-4B-9...	Toyota Motor Credit Corp 3.200% 06/17/...		08/22/2013...	KGS ALPHA CAPITAL MARKETS		1,060,888	1,015,000	1,031,859	1,025,098		(2,644)			(2,644)	1,022,455		38,434	38,434	22,556	06/17/2015...	1FE...
89233P-6J-0...	Toyota Motor Credit Corp 0.875% 07/17/...		08/12/2013...	Various...		1,842,303	1,830,000	1,836,387			(852)		4,684	(5,536)	1,836,012		6,292	6,292	9,227	07/17/2015...	1FE...
900212-AE-1...	Turlock Corp 144A 0.950% 11/02/15...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		660,139	660,000	657,393	659,446		368		2,149	(1,781)	657,666		2,472	2,472	5,399	11/02/2015...	2FE...
90331H-KP-7...	US Bank NA BKNT 4.950%		10/30/14...	US Bank NA...		1,049,870	1,000,000	1,088,390	1,053,151		(20,666)			(20,666)	1,032,486		17,384	17,384	44,000	10/30/2014...	1FE...
90521A-PJ-1...	Union Bank Na 2.625%		09/26/18...	1WFM WELLS CAPITAL - KFH...		835,519	825,000	824,810			(1)			(1)	824,809		10,710	10,710	241	09/26/2018...	1FE...
91324P-BX-9...	UnitedHealth Group Inc 0.850% 10/15/15...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		2,757,233	2,750,000	2,753,575			(2,431)		9,856	(12,287)	2,753,195		4,037	4,037	21,947	10/15/2015...	1FE...
92276M-BA-2...	Ventas Realty Lp 2.000%		02/15/18...	1WFM WELLS CAPITAL - KFH...		392,612	400,000	387,192	399,302		717		12,178	(11,461)	387,841		4,771	4,771	6,378	02/15/2018...	2FE...
92343V-BP-8...	Verizon Communications 3.650% 09/14/18...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		1,668,022	1,580,000	1,592,359			(92)			(92)	1,592,267		75,755	75,755	1,922	09/14/2018...	2FE...
92553P-AE-2...	Viacom Inc 4.375%		09/15/14...	1WFM WELLS CAPITAL - KFH...		475,129	460,000	489,725	474,019		(6,064)			(6,064)	467,955		7,175	7,175	20,964	09/15/2014...	2FE...
92553P-AS-1...	Viacom Inc 2.500%		09/11/18...	1WFM WELLS CAPITAL - KFH...		375,544	375,000	372,836			40			40	372,877		2,667	2,667	1,068	09/01/2018...	2FE...
931422-AG-4...	Walgreen Co 1.000%		03/13/15...	1WFM WELLS CAPITAL - KFH...		521,721	520,000	519,360	519,435		191			191	519,626		2,096	2,096	5,446	03/13/2015...	2FE...
94106L-AX-7...	Waste Management Inc 2.600% 09/01/16...		09/30/2013...	1WFM WELLS CAPITAL - KFH...		868,930	841,000	857,988	844,749		(1,766)			(1,766)	856,011		12,919	12,919	18,349	09/01/2016...	2FE...

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE D - PART 4

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1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Design- ation or Market Indicator (a)		
										11 Unrealized Valuation Increase/ Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B.A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B.A.C.V.									
94973V-AZ-0..	Wellpoint Inc 1.250% 09/10/15..		09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,721,816	1,710,000	1,712,830	249,907			(2,062)	6,501	(8,563)	1,712,541		.9,275	.9,275	22,563	09/10/2015..	.2FE..		
984121-CE-1..	Xerox Corporation FRN 1.654% 09/13/13..		09/13/2013..	Maturity..		525,000	525,000	525,000	525,000			0		0	525,000		0	0	6,741	09/13/2013..	.2FE..		
064159-BA-3..	Bank of Nova Scotia 0.750% 10/09/15..	A	09/30/2013..	1WFM WELLS CAPITAL - KFH..		2,000,020	2,000,000	1,989,040	1,989,040			2,928		2,928	1,991,968		.8,052	8,052	14,625	10/09/2015..	.1FE..		
067901-AE-8..	Barrick Gold Corp 1.750% 05/30/14..	A	09/30/2013..	1WFM WELLS CAPITAL - KFH..		627,300	625,000	624,315	624,623			194		194	624,817		.2,483	2,483	.9,115	05/30/2014..	.2FE..		
136385-AQ-4..	Canadian Natl Resources 1.450% 11/14/11..	A	09/30/2013..	1WFM WELLS CAPITAL - KFH..		670,021	665,000	670,380	673,637			(3,290)	.963	(4,253)	669,384		.637	.637	.8,464	11/14/2014..	.2FE..		
380881-BT-8..	Golden Credit Card Trust Ser 2012-5A Cl..	A	09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,170,212	1,170,000	1,169,833	1,169,885			.40		.73	(33)	1,169,851		.360	.360	.7,317	09/15/2017..	.1FE..	
78009C-AA-8..	Royal Bank of Canada 144A 3.125% 04/14/..	A	09/30/2013..	1WFM WELLS CAPITAL - KFH..		2,012,594	1,935,000	2,030,192	2,009,570			(24,441)		(24,441)	1,985,129		.27,465	.27,465	.58,117	04/14/2015..	.1FE..		
884903-BL-8..	Thomson Reuters Corp 0.875% 05/23/16..	A	09/30/2013..	1WFM WELLS CAPITAL - KFH..		.428,022	.430,000	.425,747						.396	.3,140	(2,744)	.426,104		.1,918	.1,918	.1,327	05/23/2016..	.1FE..
89114Q-AE-8..	Toronto-Dominion Bank 2.375% 10/19/16..	A	09/30/2013..	1WFM WELLS CAPITAL - KFH..		2,069,980	2,000,000	2,069,480				(16,511)		20,283	(36,794)		.2,064,387		.5,593	.5,593	.44,993	10/19/2016..	.1FE..
89114Q-AG-3..	Toronto Dominion Bank Series MTN 1.400..	A	09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,005,826	1,030,000	999,728						1,498	.29,599	(28,101)	1,001,209		.4,617	.4,617	.6,008	04/30/2018..	.1FE..
89114Q-AK-4..	Toronto Dominion Bank Series MTN 1.500..	A	09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,011,460	1,000,000	997,900						.35		.997,935		.13,525	.13,525	.833	09/09/2016..	.1FE..	
00084D-AF-7..	Abn Amro Bank Nv 144A 1.375% 01/22/16..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		2,100,420	2,100,000	2,082,150						1,631	.18,839	(17,208)	2,083,832		.16,588	.16,588	.19,811	01/22/2016..	.1FE..
02364W-BC-8..	America Movil Sab De Cv 2.375% 09/08/1..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,220,580	1,200,000	1,203,569	1,214,750			(2,395)	.5,697	(.8,092)	1,206,658		.13,922	.13,922	.30,242	09/08/2016..	.1FE..		
05565Q-CC-0..	BP Capital Markets Plc 1.375% 11/06/17..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,971,420	2,000,000	1,947,220	1,049,159			.2,795		.54,369	(51,574)	1,950,103		.21,317	.21,317	.24,750	11/06/2017..	.1FE..	
056752-AA-6..	Baidu Inc 2.250% 11/28/17..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		884,934	900,000	875,511	.901,090			1,180		.25,472	(24,292)	.876,798		.8,136	.8,136	.16,988	11/28/2017..	.1FE..	
20271R-AA-8..	Commonwealth BK Austr Ny 1.950% 03/16/..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		2,116,147	2,075,000	2,109,627	2,106,109			(10,682)		(10,682)	2,095,427		.20,721	.20,721	.42,036	03/16/2015..	.1FE..		
22303Q-AM-2..	Covidien Intl Finance Sa 1.350% 05/29/..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		.419,569	.415,000	.414,867	.414,891			.32		.32	.414,923		.4,646	.4,646	.4,684	05/29/2015..	.1FE..		
302154-BE-8..	Export Import Bk Korea 1.250% 11/20/15..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		.485,509	.485,000	.479,456	.484,176			.703	.4,860	(.4,157)	.480,020		.5,490	.5,490	.5,220	11/20/2015..	.1FE..		
44890A-AA-6..	Hyundai Motor Manufactur 144A 4.500% 0..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		.1,450,270	.1,390,000	.1,450,243	.1,012,149			(23,945)	.11,640	(.35,585)	1,442,067		.8,204	.8,204	.50,044	04/15/2015..	.2FE..		
449786-AX-0..	Macquarie Bank Ltd Series 1.375% 03/07/1..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,442,779	1,450,000	1,432,803				.2,175		.15,067	(12,892)	1,434,357		.8,422	.8,422	.11,243	03/07/2016..	.1FE..	
55608P-AC-8..	National Australia BK-NY 144A 2.000% 0..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		.964,570	.960,000	.959,664				.5		.5	.959,669		.4,901	.4,901	.2,400	08/15/2016..	.1FE..		
63254A-AA-6..	National Australia Bank MTN 2.000% 03..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		.357,049	.350,000	.349,899	.349,839			(11)		(11)	.349,829		.7,220	.7,220	.7,408	03/09/2015..	.1FE..		
6325C0-BN-0..	National Australia Bank 144A 2.750% 09..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		3,107,370	3,000,000	3,111,600				(36,918)	.7,457	(44,375)	3,099,445		.7,925	.7,925	.83,188	09/28/2015..	.1FE..		
6325C0-CE-9..	National Australia Bank 144A 3.000% 07..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		.839,064	.800,000	.833,440	.846,076			(.8,954)	.6,318	(15,272)	.830,804		.8,260	.8,260	.28,200	07/27/2016..	.1FE..		
654624-AE-5..	Nippon Telegr & Teleph 1.400% 07/18/17..	F	09/12/2013..	MITSUBISHI UFJ SEC..		.245,658	.250,000	.245,805	.249,692			.243	.3,919	(.3,676)	.246,015		(.358)	(.358)	.4,074	07/18/2017..	.1FE..		
654624-AE-5..	Nippon Telegr & Teleph 1.400% 07/18/17..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		314,629	.316,000	.310,698	.249,692			.296	.5,509	(.5,213)	.311,009		.3,620	.3,620	.5,309	07/18/2017..	.1FE..		
65535H-AD-1..	Nomura Holdings Inc MTN 2.000% 09/13/1..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,000,510	1,000,000	.988,840				1,107		.8,066	(.6,959)	.989,681		.10,829	.10,829	.10,944	09/13/2016..	.2FE..	
65557F-AE-6..	Nordea Bank Ab Series 144A 0.875% 05/1..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		1,091,475	1,100,000	1,087,878				1,120		.9,981	(.8,861)	1,088,906		.2,569	.2,569	.3,609	05/13/2016..	.1FE..	
71645W-AT-8..	Petrobras Intl Fin Co 3.875% 01/27/16..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		.552,414	.535,000	.537,295	.537,398			(.684)		(.684)	.536,714		.15,700	.15,700	.24,359	01/27/2016..	.2FE..		
74728G-AD-2..	Obe Insurance Group Ltd Series 144A 2..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		.282,040	.290,000	.284,299				.287	.5,183	(.4,896)	.284,573		(.2,534)	(.2,534)	.2,881	05/01/2018..	.1FE..		
74977E-PZ-0..	Rabobank Nederland 1.700% 03/19/18..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		.741,128	.750,000	.728,820				1,226		.19,703	(.18,477)	.729,880		.11,248	.11,248	.6,765	03/19/2018..	.1FE..	
780099-CC-9..	Royal Bt ScotInd Grp Plc 2.550% 09/18/..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		.628,395	.615,000	.627,239	.492,669			(.2,658)		(.2,658)	.623,966		.4,428	.4,428	.14,548	09/18/2015..	.1FE..		
822582-AR-3..	Shell International Fin 1.125% 08/21/1..	F	09/30/2013..	1WFM WELLS CAPITAL - KFH..		.1,786,878	.1,800,000	.1,760,778	.1,790,370			.3,279		.30,603	(.27,324)	.1,763,046		.23,832	.23,832	.22,444	08/21/2017..	.1FE..	

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Design- ation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							
82937T-AB-8...	Sinopec Capital 2013 Series 144A 1.875%	F	09/30/2013	1WFM WELLS CAPITAL - KFH		2,504,059	2,575,000	2,472,695		5,040	99,099	(94,059)			2,477,644		26,414	26,414	20,922	04/24/2018	1FE
86960B-AA-0...	Svenska Handelsbanken Ab 3.125% 07/12/...	F	09/30/2013	1WFM WELLS CAPITAL - KFH		1,100,190	1,050,000	1,054,668	1,053,305			(1,020)			1,052,284		47,906	47,906	39,922	07/12/2016	1FE
872882-AC-9...	Tsm Global Ltd 144A 1.625% 04/03/18	F	09/30/2013	1WFM WELLS CAPITAL - KFH		1,609,672	1,665,000	1,600,648		(11,052)	49,005	(60,057)			1,603,828		5,844	5,844	13,303	04/03/2018	1FE
89153V-AA-7...	Total Capital Intl Sa 1.500% 02/17/17	F	09/30/2013	1WFM WELLS CAPITAL - KFH		353,360	350,000	340,610	341,919		1,338		1,338		343,258		10,102	10,102	5,877	02/17/2017	1FE
90261X-FY-3...	UBS Ag Stamford C1 DPNT 3.875% 01/15/1	F	09/30/2013	1WFM WELLS CAPITAL - KFH		1,088,583	1,046,000	1,041,596	1,043,219			.953			1,044,173		44,410	44,410	48,977	01/15/2015	1FE
950840-AC-2...	Westfarmers Ltd 144A 1.874% 03/20/18	F	09/30/2013	1WFM WELLS CAPITAL - KFH		935,940	950,000	932,995			.856	17,005	(16,149)		933,851		2,089	2,089	9,396	03/20/2018	1FE
961214-BP-7...	Westpac Banking Corp 3.000% 12/09/15	F	09/30/2013	1WFM WELLS CAPITAL - KFH		1,888,848	1,800,000	1,803,483	1,805,378			(1,406)			1,803,972		84,876	84,876	43,650	12/09/2015	1FE
961214-BY-8...	Westpac Banking Corp GMTN 0.950% 01/12	F	09/30/2013	1WFM WELLS CAPITAL - KFH		1,501,620	1,500,000	1,494,630			777	3,908	(3,131)		1,495,144		6,476	6,476	10,133	01/12/2016	1FE
980888-AC-5...	Woolworths Limited 144A 2.550% 09/22/1	F	09/30/2013	1WFM WELLS CAPITAL - KFH		120,463	117,000	115,436	116,021			.262			116,284		4,179	4,179	3,050	09/22/2015	1FE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)					193,916,521	191,105,756	192,663,938	88,532,535		0	(676,158)	1,508,582	(2,184,740)	0	191,992,446	0	1,924,070	1,924,070	3,272,904	XXX	XXX
8399997 - Subtotals - Bonds - Part 4					210,148,728	207,135,756	208,811,461	102,647,808		0	(680,517)	1,550,549	(2,231,066)	0	208,127,520	0	2,021,200	2,021,200	3,482,263	XXX	XXX
8399999 - Subtotals - Bonds					210,148,728	207,135,756	208,811,461	102,647,808		0	(680,517)	1,550,549	(2,231,066)	0	208,127,520	0	2,021,200	2,021,200	3,482,263	XXX	XXX
9999999 Totals																					

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

E05.6

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

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SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Open Depositories								
Citi Bank General 1078.....					(1,861,128)	(3,630,991)	(2,764,930)	XXX
KeyBank Concentration 1000.....					652,863	796,295	194,487,097	XXX
Misc Cash 1101 KeyBank.....					20,000,000	20,000,000	0	XXX
0199998 Deposits in depositories that do not exceed the allowable limit in any one depository (See Instructions) - Open Depositories	XXX	XXX						XXX
0199999 Total Open Depositories	XXX	XXX	0	0	18,791,735	17,165,304	191,722,167	XXX
0399999 Total Cash on Deposit	XXX	XXX	0	0	18,791,735	17,165,304	191,722,167	XXX
0499999 Cash in Company's Office	XXX	XXX	XXX	XXX	35,396	35,396	35,396	XXX
0599999 Total	XXX	XXX	0	0	18,827,131	17,200,700	191,757,563	XXX

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter							
1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year

NONE

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