



HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2013
OF THE CONDITION AND AFFAIRS OF THE
UnitedHealthcare of Ohio, Inc.

NAIC Group Code 0707 0707 NAIC Company Code 95186 Employer's ID Number 31-1142815
(Current) (Prior)

Organized under the Laws of Ohio, State of Domicile or Port of Entry Ohio

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes No

Incorporated/Organized 05/14/1985 Commenced Business 08/06/1985

Statutory Home Office OH020-3010, 9200 Worthington Road, Westerville, OH, US 43082-8823
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office OH020-3010, 9200 Worthington Road, Westerville, OH, US 43082-8823
(Street and Number) (City or Town, State, Country and Zip Code) 614-410-7000
(Area Code) (Telephone Number)

Mail Address OH020-3010, 9200 Worthington Road, Westerville, OH, US 43082-8823
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records MN017-E900, 9700 Health Care Lane, Minnetonka, MN, US 55343
(Street and Number) (City or Town, State, Country and Zip Code) 847-619-3670
(Area Code) (Telephone Number)

Internet Website Address www.uhc.com

Statutory Statement Contact Jiang Wang, 847-619-3670
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OFFICERS

President, Chair Jefferson Brooks Walters III Treasurer Robert Worth Oberrender
Secretary Christina Regina Palme-Krizak Chief Financial Officer William Joseph Hnath

OTHER

Nyle Brent Cottington Assistant Treasurer Richard Gordon Dunlop Vice President, Finance Robert Charles Falkenberg Chief Executive Officer,
Michelle Marie Huntley Dill Assistant Secretary Juanita Bolland Luis Assistant Secretary Commercial

DIRECTORS OR TRUSTEES

Richard Gordon Dunlop Robert Charles Falkenberg William Joseph Hnath
David Scott Luby Jefferson Brooks Walters III Leonard Alan Wilkerson DO

State of _____ State of _____ State of _____
County of _____ County of _____ County of _____

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Jefferson Brooks Walters III
Chair and President

Christina Regina Palme-Krizak
Secretary

William Joseph Hnath
Chief Financial Officer

Subscribed and sworn to before me this
____ day of _____

Subscribed and sworn to before me this
____ day of _____

Subscribed and sworn to before me this
____ day of _____

a. Is this an original filing?..... Yes No
b. If no,
1. State the amendment number.....
2. Date filed.....
3. Number of pages attached.....

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	191,800,560	0	191,800,560	167,609,944
2. Stocks:				
2.1 Preferred stocks	497,890	0	497,890	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens	0	0	0	0
3.2 Other than first liens	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$ encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$ encumbrances)	0	0	0	0
5. Cash (\$ (2,674,936)), cash equivalents (\$ 69,485,103) and short-term investments (\$ 66,810,167)	66,810,167	0	66,810,167	52,969,633
6. Contract loans (including \$ 0 premium notes)	0	0	0	0
7. Derivatives	0	0	0	0
8. Other invested assets	0	0	0	0
9. Receivables for securities	76,005	0	76,005	121,842
10. Securities lending reinvested collateral assets	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	259,184,622	0	259,184,622	220,701,419
13. Title plants less \$ 0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	1,652,906	0	1,652,906	2,057,571
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	11,291,934	291,622	11,000,312	4,951,991
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ 0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	0	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	0
17. Amounts receivable relating to uninsured plans	10,176,434	332,303	9,844,131	8,596,966
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	4,714,086
18.2 Net deferred tax asset	2,801,954	0	2,801,954	2,216,856
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$ 0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0	0
24. Health care (\$ 17,425,461) and other amounts receivable	22,304,210	4,878,749	17,425,461	13,137,211
25. Aggregate write-ins for other than invested assets	53,517	37	53,480	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	307,465,577	5,502,711	301,962,866	256,376,100
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	307,465,577	5,502,711	301,962,866	256,376,100
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Prepaid Assessments	21,750	0	21,750	0
2502. Prepaid Premium Taxes	31,730	0	31,730	0
2503. Prepaid Commissions	37	37	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	53,517	37	53,480	0

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ 0 reinsurance ceded)	144,913,259	0	144,913,259	111,602,104
2. Accrued medical incentive pool and bonus amounts	214,947	0	214,947	405,660
3. Unpaid claims adjustment expenses	1,618,785	0	1,618,785	2,069,678
4. Aggregate health policy reserves, including the liability of \$ 0 for medical loss ratio rebate per the Public Health Service Act	7,825,318	0	7,825,318	7,034,133
5. Aggregate life policy reserves	0	0	0	0
6. Property/casualty unearned premium reserve	0	0	0	0
7. Aggregate health claim reserves	169,417	0	169,417	147,743
8. Premiums received in advance	748,124	0	748,124	796,580
9. General expenses due or accrued	280,181	0	280,181	540,556
10.1 Current federal and foreign income tax payable and interest thereon (including \$ 0 on realized gains (losses))	1,997,077	0	1,997,077	0
10.2 Net deferred tax liability	0	0	0	0
11. Ceded reinsurance premiums payable	87,006	0	87,006	80,521
12. Amounts withheld or retained for the account of others	0	0	0	0
13. Remittances and items not allocated	17,397	0	17,397	18,579
14. Borrowed money (including \$ 0 current) and interest thereon \$ 0 (including \$ 0 current)	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates	8,352,371	0	8,352,371	8,397,012
16. Derivatives	0	0	0	0
17. Payable for securities	750,000	0	750,000	0
18. Payable for securities lending	0	0	0	0
19. Funds held under reinsurance treaties (with \$ 0 authorized reinsurers, \$ 0 unauthorized reinsurers and \$ 0 certified reinsurers)	0	0	0	0
20. Reinsurance in unauthorized and certified (\$ 0) companies	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates	0	0	0	0
22. Liability for amounts held under uninsured plans	2,752,298	0	2,752,298	2,538,973
23. Aggregate write-ins for other liabilities (including \$ 0 current)	25,902	0	25,902	10,328
24. Total liabilities (Lines 1 to 23)	169,752,082	0	169,752,082	133,641,867
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26. Common capital stock	XXX	XXX	37,329,784	37,329,784
27. Preferred capital stock	XXX	XXX	0	0
28. Gross paid in and contributed surplus	XXX	XXX	42,000,000	42,000,000
29. Surplus notes	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	52,881,000	43,404,449
32. Less treasury stock, at cost:				
32.1 \$ 0 shares common (value included in Line 26)	XXX	XXX	0	0
32.2 \$ 0 shares preferred (value included in Line 27)	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	132,210,784	122,734,233
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	301,962,866	256,376,100
DETAILS OF WRITE-INS				
2301. Unclaimed Property	25,902	0	25,902	10,328
2302.				
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	25,902	0	25,902	10,328
2501.	XXX	XXX		
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	0
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	969,533	840,723	1,126,735
2. Net premium income (including \$ 0 non-health premium income).....	XXX	787,137,071	702,352,962	930,046,289
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	(123,185)	(3,160,310)	(3,965,021)
4. Fee-for-service (net of \$ 0 medical expenses).....	XXX	0	0	0
5. Risk revenue	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	787,013,886	699,192,652	926,081,268
Hospital and Medical:				
9. Hospital/medical benefits	0	638,042,763	525,169,931	722,858,190
10. Other professional services	0	3,181,477	2,129,713	2,674,571
11. Outside referrals	0	0	0	0
12. Emergency room and out-of-area	0	0	0	0
13. Prescription drugs	0	55,008,694	47,646,202	56,502,179
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts	0	191,522	286,250	326,503
16. Subtotal (Lines 9 to 15)	0	696,424,456	575,232,096	782,361,443
Less:				
17. Net reinsurance recoveries	0	0	0	0
18. Total hospital and medical (Lines 16 minus 17)	0	696,424,456	575,232,096	782,361,443
19. Non-health claims (net)	0	0	0	0
20. Claims adjustment expenses, including \$ 14,630,279 cost containment expenses	0	24,489,362	13,369,079	29,778,767
21. General administrative expenses	0	56,232,278	57,242,322	68,377,767
22. Increase in reserves for life and accident and health contracts (including \$ 0 increase in reserves for life only)	0	668,000	0	170,000
23. Total underwriting deductions (Lines 18 through 22).....	0	777,814,096	645,843,497	880,687,977
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	9,199,790	53,349,155	45,393,291
25. Net investment income earned	0	3,605,601	4,021,690	5,392,900
26. Net realized capital gains (losses) less capital gains tax of \$ 628,856	0	1,144,435	321,450	543,686
27. Net investment gains (losses) (Lines 25 plus 26)	0	4,750,036	4,343,140	5,936,586
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ 8,358) (amount charged off \$ 36,254)].....	0	(27,896)	0	(302,942)
29. Aggregate write-ins for other income or expenses	0	0	(25,000)	(25,000)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	13,921,930	57,667,295	51,001,935
31. Federal and foreign income taxes incurred	XXX	4,017,220	18,911,768	16,676,947
32. Net income (loss) (Lines 30 minus 31)	XXX	9,904,710	38,755,527	34,324,988
DETAILS OF WRITE-INS				
0601.....	XXX			
0602.....	XXX			
0603.....	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above).....	XXX	0	0	0
0701.....	XXX			
0702.....	XXX			
0703.....	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above).....	XXX	0	0	0
1401.....				
1402.....				
1403.....				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above).....	0	0	0	0
2901. Fines and Penalties	0	0	(25,000)	(25,000)
2902.....				
2903.....				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above).....	0	0	(25,000)	(25,000)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	122,734,233	99,911,784	99,911,784
34. Net income or (loss) from Line 32	9,904,710	38,755,527	34,324,988
35. Change in valuation basis of aggregate policy and claim reserves	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$	917	(1,703)	0
37. Change in net unrealized foreign exchange capital gain or (loss).....	0	0	0
38. Change in net deferred income tax	584,180	105,021	985,255
39. Change in nonadmitted assets	(1,010,636)	(300,060)	(3,487,794)
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in	0	0	0
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital	0	0	0
46. Dividends to stockholders	0	0	(9,000,000)
47. Aggregate write-ins for gains or (losses) in surplus	0	0	0
48. Net change in capital & surplus (Lines 34 to 47)	9,476,551	38,560,488	22,822,449
49. Capital and surplus end of reporting period (Line 33 plus 48)	132,210,784	138,472,272	122,734,233
DETAILS OF WRITE-INS			
4701.			
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.
CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	780,985,232	708,945,637	937,569,926
2. Net investment income	5,597,454	5,841,970	7,338,538
3. Miscellaneous income	0	0	0
4. Total (Lines 1 to 3)	786,582,686	714,787,607	944,908,464
5. Benefit and loss related payments	668,620,104	578,938,458	784,640,866
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	82,423,736	76,878,170	107,501,592
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses)	(2,065,086)	(1,395,094)	13,546,905
10. Total (Lines 5 through 9)	748,978,754	654,421,534	905,689,363
11. Net cash from operations (Line 4 minus Line 10)	37,603,932	60,366,073	39,219,101
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	54,958,734	28,786,872	43,248,739
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	2,345	982	1,393
12.7 Miscellaneous proceeds	795,837	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	55,756,916	28,787,854	43,250,132
13. Cost of investments acquired (long-term only):			
13.1 Bonds	79,490,063	26,961,976	42,108,636
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	0	803,769	819,834
13.7 Total investments acquired (Lines 13.1 to 13.6)	79,490,063	27,765,745	42,928,470
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(23,733,147)	1,022,109	321,662
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	9,000,000
16.6 Other cash provided (applied)	(30,251)	(1,353,133)	5,023,581
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(30,251)	(1,353,133)	(3,976,419)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	13,840,534	60,035,049	35,564,344
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	52,969,633	17,405,289	17,405,289
19.2 End of period (Line 18 plus Line 19.1)	66,810,167	77,440,338	52,969,633

Note: Supplemental disclosures of cash flow information for non-cash transactions:

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	95,709	46	6,542	0	0	0	0	89,121	0	0
2. First Quarter	106,914	45	6,373	0	0	0	0	100,496	0	0
3. Second Quarter	108,144	42	6,330	0	0	0	0	101,772	0	0
4. Third Quarter	108,981	41	5,788	0	0	0	0	103,152	0	0
5. Current Year	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months	969,533	388	56,544	0	0	0	0	912,601	0	0
Total Member Ambulatory Encounters for Period:										
7. Physician	1,584,506	399	39,432	0	0	0	0	1,544,675	0	0
8. Non-Physician	831,711	20	1,974	0	0	0	0	829,717	0	0
9. Total	2,416,217	419	41,406	0	0	0	0	2,374,392	0	0
10. Hospital Patient Days Incurred	193,107	6	1,176	0	0	0	0	191,925	0	0
11. Number of Inpatient Admissions	30,549	1	336	0	0	0	0	30,212	0	0
12. Health Premiums Written (a)	787,924,872	437,528	21,382,814	0	0	0	0	766,104,530	0	0
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned	787,801,687	437,528	21,382,735	0	0	0	0	765,981,424	0	0
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services	668,620,104	194,330	20,107,470	0	0	0	0	648,318,304	0	0
18. Amount Incurred for Provision of Health Care Services	696,424,456	223,912	20,637,241	0	0	0	0	675,563,303	0	0

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 766,104,530

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
0299999 Aggregate accounts not individually listed-uncovered	0	0	0	0	0	0
0399999 Aggregate accounts not individually listed-covered	37,163,166	7,494,695	858,057	0	600,271	46,116,189
0499999 Subtotals	37,163,166	7,494,695	858,057	0	600,271	46,116,189
0599999 Unreported claims and other claim reserves						98,797,070
0699999 Total amounts withheld						0
0799999 Total claims unpaid						144,913,259
0899999 Accrued medical incentive pool and bonus amounts						214,947

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	2,238,252	18,042,644	38,673	2,523,294	2,276,925	1,823,282
2. Medicare Supplement	0	0	0	0	0	0
3. Dental Only	0	0	0	0	0	0
4. Vision Only	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan	0	0	0	0	0	0
6. Title XVIII - Medicare	83,513,899	564,443,074	2,200,388	140,320,321	85,714,287	109,926,564
7. Title XIX - Medicaid	0	0	0	0	0	0
8. Other health	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8)	85,752,151	582,485,718	2,239,061	142,843,615	87,991,212	111,749,846
10. Healthcare receivables (a)	2,839,106	17,774,432	0	1,690,672	2,839,106	16,966,446
11. Other non-health	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts	289,258	92,977	98,528	116,419	387,786	405,660
13. Totals (Lines 9-10+11+12)	83,202,303	564,804,263	2,337,589	141,269,362	85,539,892	95,189,060

(a) Excludes \$0 loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Accounting Practices

The financial statements of UnitedHealthcare of Ohio, Inc. (the "Company") are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance (the "Department").

The Department recognizes only statutory accounting practices, prescribed or permitted by the State of Ohio, for determining and reporting the financial condition and results of operations of a health maintenance organization, for determining its solvency under Ohio Insurance Law. The state prescribes the use of the National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures manual ("NAIC SAP") in effect for the accounting periods covered in the financial statement.

(1-8) No significant differences exist between the practices prescribed or permitted by the State of Ohio and those prescribed or permitted by the NAIC SAP which materially affect the statutory basis net income and capital and surplus as illustrated in the table below (in thousands):

	State of Domicile	September 30, 2013	December 31, 2012
NET INCOME			
(1) Company state basis	Ohio	\$ 9,905	\$ 34,325
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:	Ohio	-	-
Not Applicable	Ohio	-	-
(3) State Permitted Practices that increase/(decrease) NAIC SAP:	Ohio	-	-
Not Applicable	Ohio	-	-
(4) NAIC SAP	Ohio	\$ 9,905	\$ 34,325
SURPLUS			
(5) Company state basis	Ohio	\$ 132,211	\$ 122,734
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:	Ohio	-	-
Not Applicable	Ohio	-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP:	Ohio	-	-
Not Applicable	Ohio	-	-
(8) NAIC SAP	Ohio	\$ 132,211	\$ 122,734

B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

No significant change.

C. Accounting Policy

No significant change.

2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

No significant change.

3. BUSINESS COMBINATIONS AND GOODWILL

A-D. No significant change.

4. DISCONTINUED OPERATIONS

(1-5) No significant change.

NOTES TO FINANCIAL STATEMENTS

5. INVESTMENTS AND OTHER INVESTED ASSETS

A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant change.

B. Debt restructuring

No significant change.

C. Reverse Mortgages

No significant change.

D. Loan-Backed Securities

- (1) Corporate bonds and government obligations include mortgage-backed securities, which are valued using the retrospective adjustment methodology. Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from an external data source vendor.
- (2) The Company did not recognize any other-than-temporary impairments on mortgage-type investments as of September 30, 2013.
- (3) As of September 30, 2013, the Company did not have mortgage-type investments with any other-than-temporary impairment to report by CUSIP.
- (4) The following table illustrates the fair value, gross unrealized losses, and length of time that the mortgage-backed securities have been in a continuous unrealized loss position at September 30, 2013 and December 31, 2012 (in thousands):

September 30, 2013

The aggregate amount of unrealized losses:

1. Less than 12 months	\$ (615)
2. 12 Months or longer	-

The aggregate related fair value of securities with unrealized losses:

1. Less than 12 months	14,913
2. 12 Months or longer	-

December 31, 2012

The aggregate amount of unrealized losses:

1. Less than 12 months	\$ (4)
2. 12 Months or longer	-

The aggregate related fair value of securities with unrealized losses:

1. Less than 12 months	4,951
2. 12 Months or longer	-

- (5) The Company believes that it will collect all principal and interest due on all investments that have an amortized cost in excess of fair value. The unrealized losses as of September 30, 2013 were primarily caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities.

E. Repurchase Agreements and/or Securities Lending Transactions

The Company does not have any repurchase agreements and/or securities lending transactions.

F. Real Estate

No significant change.

NOTES TO FINANCIAL STATEMENTS

G. Investments in Low-Income Housing Tax Credits

No significant change.

6. JOINT VENTURES, PARTNERSHIPS, AND LIMITED LIABILITY COMPANIES

A–B. No significant change.

7. INVESTMENT INCOME

A–B. No significant change.

8. DERIVATIVE INSTRUMENTS

A–F. No significant change.

9. INCOME TAXES

A–G. No significant change.

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES

A–L. Material Related Party Transactions

Effective January 1, 2013, the Company entered into a pharmaceutical benefits management agreement with an affiliated entity, OptumRx, Inc. to provide pharmaceutical benefits management services for the commercial product. The agreement has been approved by the Department and will replace the previous agreement with Medco Health Solutions, Inc.

11. DEBT

A–B. No significant change.

12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES, AND OTHER POSTRETIREMENT BENEFIT PLANS

A–F. The Company has no defined benefit plans, defined contribution plans, multiemployer plans, consolidated/holding company plans, postemployment benefits, and compensated absences plans and is not impacted by the Medicare Modernization Act on postretirement benefits, since all personnel are employees of United HealthCare Services, Inc. (UHS), which provides services to the Company under the terms of a management agreement.

13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS, AND QUASI-REORGANIZATIONS

(1–13) No significant change.

14. CONTINGENCIES

A–E. No significant change.

15. LEASES

A–B. No significant change.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE-SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

(1–4) No significant change.

17. SALE, TRANSFER, AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

A. The Company did not have any transfers of receivables reported as sales as of September 30, 2013 or December 31, 2012.

NOTES TO FINANCIAL STATEMENTS

B. The Company did not have any transfer and servicing of financial assets as of September 30, 2013 or December 31, 2012.

C. No transactions involving wash sales of securities with an NAIC designation of 3 or below or unrated securities occurred as of September 30, 2013 or the year ended December 31, 2012.

18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

A–B. No significant change.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

The Medicare Part D program is a partially insured plan. The Company recorded a receivable of approximately \$6,903,000 and \$6,537,000 as of September 30, 2013 and December 31, 2012, respectively, for cost reimbursement under the Medicare Part D program for the catastrophic reinsurance and low-income member cost-sharing subsidies. The Company also recorded a receivable of approximately \$2,941,000 and \$2,060,000 and a payable of approximately \$2,752,000 and \$2,539,000 as of September 30, 2013 and December 31, 2012, respectively, for the Medicare Part D Coverage Gap Discount Program. These amounts are recorded as amounts receivable relating to uninsured plans or liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD-PARTY ADMINISTRATORS

No significant change.

20. FAIR VALUE MEASUREMENT

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

Level 1 — Quoted (unadjusted) prices for identical assets in active markets.

Level 2 — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets
- Quoted prices for identical or similar assets in nonactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.)
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.)
- Inputs that are derived principally from or corroborated by other observable market data

Level 3 — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds, short-term investments, and preferred stocks are based on quoted market prices, where available. The Company obtains one price for each security primarily from a third-party pricing service (“pricing service”), which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the

NOTES TO FINANCIAL STATEMENTS

prices received from the pricing service to a secondary pricing source, prices reported by its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company's internal price verification procedures and review of fair value methodology documentation provided by independent pricing services have not historically resulted in an adjustment in the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest level input that is significant to the fair value measurement in its entirety. The Company's assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

A. Fair Value

(1) Fair Value Measurements at Reporting Date

The following table presents information about the Company's financial assets that are measured and reported at fair value at September 30, 2013 and December 31, 2012, in the statutory basis statements of admitted assets, liabilities, and capital and surplus according to the valuation techniques the Company used to determine their fair values (in thousands):

Description for each class of asset or liability	September 30, 2013				Total
	(Level 1)	(Level 2)	(Level 3)		
Assets at fair value:					
Perpetual preferred stock					
Industrial and misc	(a) \$ -	\$ 498	\$ -	\$ 498	
Parent, subsidiaries and affiliates	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	
Total perpetual preferred stocks	-	498	-	498	
Bonds:					
U.S. governments	-	-	-	-	
Industrial and misc	-	-	-	-	
Hybrid securities	-	-	-	-	
Parent, subsidiaries and affiliates	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	
Total bonds	-	-	-	-	
Common stock:					
Industrial and misc	-	-	-	-	
Parent, subsidiaries and affiliates	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	
Total common stock	-	-	-	-	
Derivative assets:					
Interest rate contracts	-	-	-	-	
Foreign exchange contracts	-	-	-	-	
Credit contracts	-	-	-	-	
Commodity futures contracts	-	-	-	-	
Commodity forward contracts	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	
Total derivatives	-	-	-	-	
Separate account assets	-	-	-	-	
Total assets at fair value	<u>\$ -</u>	<u>\$ 498</u>	<u>\$ -</u>	<u>\$ 498</u>	
Liabilities at fair value:					
Derivative liabilities	\$ -	\$ -	\$ -	\$ -	
Total liabilities at fair value	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	

(a) \$0 transferred from Level 1 to Level 2 as an alternative method was utilized to determine fair value as active market price was not readily available.

NOTES TO FINANCIAL STATEMENTS

Description for each class of asset or liability	December 31, 2012				Total
	(Level 1)	(Level 2)	(Level 3)		
Assets at fair value:					
Perpetual preferred stock					
Industrial and misc	(a) \$ -	\$ -	\$ -	\$ -	
Parent, subsidiaries and affiliates	_____	_____	_____	_____	
Total perpetual preferred stocks	-	-	-	-	
Bonds:					
U.S. governments	-	-	-	-	
Industrial and misc	-	273	-	-	273
Hybrid securities	-	-	-	-	
Parent, subsidiaries and affiliates	_____	_____	_____	_____	
Total bonds	-	273	-	-	273
Common stock:					
Industrial and misc	-	-	-	-	
Parent, subsidiaries and affiliates	_____	_____	_____	_____	
Total common stock	-	-	-	-	
Derivative assets:					
Interest rate contracts	-	-	-	-	
Foreign exchange contracts	-	-	-	-	
Credit contracts	-	-	-	-	
Commodity futures contracts	-	-	-	-	
Commodity forward contracts	_____	_____	_____	_____	
Total derivatives	-	-	-	-	
Separate account assets	-	-	-	-	
Total assets at fair value	\$ -	\$ 273	\$ -	\$ 273	
Liabilities at fair value:					
Derivative liabilities	\$ -	\$ -	\$ -	\$ -	
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	

(a) \$0 transferred from Level 1 to Level 2 as an alternative method was utilized to determine fair value as active market price was not readily available.

There were no transfers between Levels 1 and 2 during the nine months ended September 30, 2013 and the year ended December 31, 2012.

(2) The Company does not have any financial assets with a fair value hierarchy of level 3.

B. Fair Value Combination — Not applicable.

C. The aggregate fair value by hierarchy of all financial instruments as of September 30, 2013 and December 31, 2012, is presented in the table below (in thousands):

Types of Financial Investment	September 30, 2013						Not Practical Carrying Value
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)		
Bonds	\$ 263,812	\$ 261,286	\$ 79,136	\$ 184,676	\$ -	\$ -	
Common stock	-	-	-	-	-	-	
Perpetual preferred stock	495	498	-	495	-	-	
Mortgage loans	-	-	-	-	-	-	

Types of Financial Investment	December 31, 2012						Not Practical Carrying Value
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)		
Bonds	\$ 233,603	\$ 223,843	\$ 65,917	\$ 167,686	\$ -	\$ -	
Common stock	-	-	-	-	-	-	
Perpetual preferred stock	-	-	-	-	-	-	
Mortgage loans	-	-	-	-	-	-	

Included as Level 1 in bonds in the fair value hierarchy table above is money market instruments of approximately \$39,336,000 and \$49,540,000 as of September 30, 2013 and December 31, 2012, respectively. Money market instruments are reflected in cash overdrafts and short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

NOTES TO FINANCIAL STATEMENTS

D. Not Practicable to Estimate Fair Value — Not applicable.

21. OTHER ITEMS

A–H. No significant change.

The Company elected to use rounding in reporting amounts in the notes to statutory basis financial statements.

22. EVENTS SUBSEQUENT

No significant change.

23. REINSURANCE

A–C. No significant change.

24. RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

A–B. No significant change.

- C.** The Company has Medicare Part D program business which is subject to a retrospective rating feature related to Part D Premiums. The Company has estimated accrued retrospective premiums related to certain Part D premiums based on guidelines determined by CMS. The formula is tiered and based on the bid medical loss ratio. The amount of Part D earned premiums subject to retrospective rating was approximately \$56,126,000 and \$69,083,000 representing 7.1% and 7.3% of total direct premiums income as of September 30, 2013 and December 31, 2012, respectively.
- D.** Pursuant to the Health Reform Legislation, the Company is required to maintain specific minimum loss ratios. These minimum loss ratios apply to comprehensive major medical coverage and vary depending on group size. The Company's actual loss ratios were in excess of the minimum requirements and as a result, no minimum medical loss ratio rebate was required to be established at September 30, 2013 and December 31, 2012.

25. CHANGE IN INCURRED CLAIMS AND CLAIMS ADJUSTMENT EXPENSES

Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the accompanying statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in the claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves and health care receivable at September 30, 2013 and December 31, 2012 (in thousands):

	September 30, 2013		
	Current Year	Prior Years	
	Incurred Claims	Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (112,156)	\$ (112,156)
Paid claims, net of health care receivable	582,579	86,041	668,620
End of year claim reserve	<u>142,960</u>	<u>2,338</u>	<u>145,298</u>
Incurred claims excluding the change in health care receivable	725,539	(23,777)	701,762
Beginning of year health care receivable	-	16,966	16,966
End of year health care receivable	<u>(19,465)</u>	<u>(2,839)</u>	<u>(22,304)</u>
Total incurred claims	<u>\$ 706,074</u>	<u>\$ (9,650)</u>	<u>\$ 696,424</u>

*Health care receivable excludes loans/advances to providers of \$0

NOTES TO FINANCIAL STATEMENTS

	December 31, 2012		
	Current Year	Prior Years	
	Incurred Claims	Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (109,469)	\$ (109,469)
Paid claims, net of health care receivable	703,063	81,578	784,641
End of year claim reserve	<u>108,431</u>	<u>3,725</u>	<u>112,156</u>
Incurred claims excluding the change in health care receivable	811,494	(24,166)	787,328
Beginning of year health care receivable	- -	12,000	12,000
End of year health care receivable	<u>(14,047)</u>	<u>(2,919)</u>	<u>(16,966)</u>
Total incurred claims	<u>\$ 797,447</u>	<u>\$ (15,085)</u>	<u>\$ 782,362</u>

*Health care receivable excludes loans/advances to providers of \$0

The liability for claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves and health care receivable as of December 31, 2012 were approximately \$95,190,000. As of September 30, 2013, approximately \$86,041,000 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years are now approximately (\$501,000) as a result of re-estimation of unpaid claims. Therefore, there has been approximately \$9,650,000 favorable prior year development since December 31, 2012 to September 30, 2013. The primary drivers consist of favorable development as a result of a change in the provision for adverse deviations in experience of approximately \$6,310,000 and by favorable development of approximately \$3,285,000 in retroactivity for inpatient, outpatient, physician, and pharmacy claims. At December 31, 2012, the Company recorded approximately \$15,085,000 of favorable prior-year development since December 31, 2011 to December 31, 2012. The primary drivers consist of favorable development was a result of a change in the provision for adverse deviations in experience of approximately \$5,810,000 and by favorable development of approximately \$8,638,000 in retroactivity for inpatient, outpatient, physician, and pharmacy claims. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. Included in this favorable development is the impact related to retrospectively rated policies. As a result of the prior year effects, on a regular basis, the Company adjusts revenue and the corresponding liability and/or receivable related to retrospectively rated policies and the impact of the change is included as a component of change in unearned premium reserves and reserve for rate credits in the statutory basis statements of operations.

The Company incurred claims adjustment expenses (CAE) of approximately \$24,489,000 and \$29,779,000 for the nine months ended September 30, 2013 and the year ended December 31, 2012, respectively. These costs are included in the management service fees paid by the Company to UHS as a part of its management agreement. The following tables disclose paid CAE, incurred CAE, and the balance in the unpaid claim adjustment expenses reserve for the nine months ended September 30, 2013 and the year ended December 31, 2012 (in thousands):

	September 30, 2013	December 31, 2012
Total claims adjustment expenses incurred	\$ 24,489	\$ 29,779
Less current year unpaid claims adjustment expenses	(1,619)	(2,070)
Add prior year unpaid claims adjustment expenses	<u>2,070</u>	<u>2,058</u>
Total claims adjustment expenses paid	<u>\$ 24,940</u>	<u>\$ 29,767</u>

26. INTERCOMPANY POOLING ARRANGEMENTS

A–G. No significant change.

27. STRUCTURED SETTLEMENTS

A–B. No significant change.

NOTES TO FINANCIAL STATEMENTS

28. HEALTH CARE AND OTHER AMOUNTS RECEIVABLE

A. Pharmaceutical rebates receivable are recorded when reasonably estimated or billed by the affiliated pharmaceutical benefit manager in accordance with pharmaceutical rebate contract provisions. Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmaceutical benefit manager and adjusted for significant changes in pharmaceutical contract provisions. The Company has excluded receivables that do not meet the admissibility criteria from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

For pharmacy rebates that meet the admissibility criteria, the collection history of pharmacy rebates is summarized as (in thousands):

Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More Than 180 Days After Billing
9/30/2013	\$ 10,845				\$ -
6/30/2013	9,492	9,196	5,863		-
3/31/2013	8,798	8,744	7,064	1,308	-
12/31/2012	9,778	9,826	8,501	1,033	242
9/30/2012	9,381	9,309	8,468	742	92
6/30/2012	8,944	8,875	7,903	757	203
3/31/2012	8,609	8,537	7,780	637	119
12/31/2011	8,993	9,442	8,362	982	141
9/30/2011	9,001	9,102	8,160	797	93
6/30/2011	8,269	8,328	7,531	715	75
3/31/2011	7,764	7,843	7,304	463	76
12/31/2010	6,783	6,979	6,370	369	37

Of the amount reported as admitted health care receivables, approximately \$14,869,000 and \$11,783,000 relates to pharmaceutical rebate receivables as of September 30, 2013 and December 31, 2012, respectively. This increase is primarily due to increased membership along with the change in generic/name brand mix.

B. No significant change.

29. PARTICIPATING POLICIES

No significant change.

30. PREMIUM DEFICIENCY RESERVES

The following table summarizes the Company's premium deficiency reserves as of September 30, 2013 and December 31, 2012 (in thousands):

	September 30, 2013
1. Liability carried for premium deficiency reserves	\$ 838
2. Date of the most recent evaluation of this liability	<u>9/30/2013</u>
3. Was anticipated investment income utilized in this calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	December 31, 2012
1. Liability carried for premium deficiency reserves	\$ 170
2. Date of the most recent evaluation of this liability	<u>12/31/2012</u>
3. Was anticipated investment income utilized in this calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

NOTES TO FINANCIAL STATEMENTS

The premium deficiency reserves are included in aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities and capital and surplus and a increase in reserves for life and accident and health contracts in the statutory basis statements of operations.

31. ANTICIPATED SALVAGE AND SUBROGATION

No significant change.

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]

2.2 If yes, date of change: _____

3.1 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [X] No []

3.2 If the response to 3.1 is yes, provide a brief description of those changes.

July 2, 2013 Optum Nevada Accountable Care Organization LLC, a Delaware limited liability company, was formed as a wholly owned subsidiary of Collaborative Care Holdings, LLC, a Delaware limited liability company.

July 4, 2013 Amil Participações S.A., a Brazilian joint stock company, and Mind Solutions, S.A., a Brazilian joint stock company, simultaneously merged with and into Amil Assistência Médica Internacional S.A. This resulted in Amico Saúde Ltda. becoming a direct subsidiary of Amil Assistência Médica Internacional. It also resulted in Polar II Fundo de Investimento em Participações owning 90.23% of Amil Assistência Médica Internacional S.A.

July 17, 2013 Optum Rocket, Inc., a Delaware corporation, was incorporated.

July 17, 2013 Rocket RCM, LLC, a Delaware limited liability company, was formed.

July 18, 2013 FrontierMedex Kenya Limited, a Kenyan company, was incorporated with FrontierMEDEX Limited, a United Kingdom company, having a 99.9% ownership interest and a director of the company owning the remaining 0.1%.

July 29, 2013 Picis, Inc. acquired 100% of the capital stock of Optum Rocket, Inc.

July 30, 2013 Optum Health & Technology Limited, a United Kingdom company, was formed as a wholly owned subsidiary of ScriptSwitch Holdings Limited, a United Kingdom company.

July 30, 2013 Optum Solutions & Services Limited, a United Kingdom company, was formed as a wholly owned subsidiary of ScriptSwitch Holdings Limited, a United Kingdom company.

July 31, 2013 Axolotl Corp., a Delaware corporation, merged with and into OptumInsight, Inc., a Delaware corporation.

July 31, 2013 Hospice Inspiris, LLC, a Tennessee limited liability company, merged with and into For Health of Arizona, Inc., an Arizona corporation.

July 31, 2013 Independent Physician Management Services, Inc., a Delaware corporation, merged with and into Monarch Management Services, Inc., a Delaware corporation.

July 31, 2013 Plus One Holdings, Inc., a Delaware corporation, was acquired as a wholly owned subsidiary of OptumHealth Care Solutions, Inc. Plus One Holdings, Inc. owns 100% of the interests in Plus One Health Management Inc. Puerto Rico, a Puerto Rico corporation.

August 14, 2013 Exlogs HSE Inc., a Canadian (Alberta) corporation was dissolved.

August 15, 2013 New Lynx Medical Systems, LLC, a Washington limited liability company, was formed as a wholly owned subsidiary of Optum Rocket, Inc.

August 19, 2013 Canadian Health Group International Ltd., a Canadian (Alberta) corporation, was dissolved. This resulted in FrontierMEDEX Canada Limited becoming a wholly owned subsidiary of FrontierMEDEX Canada Holdings Ltd.

August 21, 2013 Physicians Health Choice of New Mexico, Inc. was dissolved.

August 21, 2013 Rocket RCM, LLC changed its name to Optum360, LLC.

August 22, 2013 ScriptSwitch Holdings Limited changed its name to Optum UK Solutions Group Limited.

August 22, 2013 Ingenix UK Holdings Limited changed its name to Optum Solutions UK Holdings Limited.

August 22, 2013 DPCA, Inc., a Delaware corporation, was formed as a wholly owned subsidiary of UnitedHealth Group Ventures, LLC.

August 26, 2013 LighthouseMD, Inc., a Rhode Island corporation, merged with and into OptumInsight, Inc., a Delaware corporation.

August 26, 2013 Picis, Inc. contributed its ownership interest in Ingram & Associates, LLC, and 100% of the respective capital stock of A-Life Medical, Inc., CareMedic Systems, Inc. and Lynx Medical Systems, Inc., to Optum Rocket, Inc.

August 26, 2013 Lynx Medical Systems, Inc., a Washington corporation, merged with and into New Lynx Medical Systems, LLC, a Washington limited liability company, with the surviving entity of the merger adopting the name Lynx Medical Systems, LLC.

August 30, 2013 Orion Participações e Administração Ltda., a Brazilian company, merged with and into Esho - Empresa de Serviços Hospitalares S.A., a Brazilian company.

August 30, 2013 Ceame Centro Especializado de Atendimento Médico Ltda, a Brazilian company, merged with and into Cemed Care Empresa de Atendimento Clínico Geral Ltda., a Brazilian company.

August 31, 2013 ProcessWorks, Inc., a Wisconsin corporation, was merged with and into Southwest Michigan Health Network Inc., a Michigan corporation.

September 1, 2013 Optum Rocket, Inc. contributed 100% of its ownership interest in the following entities to Optum360, LLC:
• Ingram & Associates, LLC;
• Lynx Medical Systems, LLC;
• A-Life Medical, LLC; and
• CareMedic Systems, LLC.

September 19, 2013 Optum Labs Dimensions, Inc., a Delaware non-stock corporation, was formed as a wholly owned subsidiary of Optum Labs, Inc., a Delaware corporation.

September 30, 2013 Savvysherpa Asia, LLC, a Minnesota limited liability company, merged with and into United HealthCare Services, Inc., a Minnesota corporation.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A [] If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2009

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2009

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 10/01/2010

6.4 By what department or departments?
Ohio Dept of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] N/A [X]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Optum Bank, Inc.	Salt Lake City, Utah	NO.....	NO.....	YES.....	NO.....

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.
GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [] No []
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [] No []
 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
 In Q3 2013, non-material modifications were made to the UnitedHealth Group Code of Conduct.

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No []
 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No []
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No []
 11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0
 13. Amount of real estate and mortgages held in short-term investments: \$ 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No []
 14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$ 0	\$ 0
14.22 Preferred Stock	\$ 0	\$ 0
14.23 Common Stock	\$ 0	\$ 0
14.24 Short-Term Investments	\$ 0	\$ 0
14.25 Mortgage Loans on Real Estate	\$ 0	\$ 0
14.26 All Other	\$ 0	\$ 0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 0	\$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$ 0	\$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No []
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []
 If no, attach a description with this statement.

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.
GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$ 0
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$ 0
16.3 Total payable for securities lending reported on the liability page.	\$ 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [] No []

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Northern Trust	50 S. LaSalle, Chicago, IL 606075
Bank of New York Mellon	Globally Liquidity Services, 1 Wall St, 14th Floor, New York, NY 10286

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No []

17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
107105	BlackRock Financial Management, Inc.	55 East 52nd Street, NY, NY 10055
107038	JPMorgan Investment Management Inc.	245 Park Avenue New York, NY 10167

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [] No []

18.2 If no, list exceptions:

GENERAL INTERROGATORIES**PART 2 - HEALTH**

1. Operating Percentages:		
1.1 A&H loss percent		90.4 %
1.2 A&H cost containment percent		1.9 %
1.3 A&H expense percent excluding cost containment expenses		8.4 %
2.1 Do you act as a custodian for health savings accounts?	Yes [<input type="checkbox"/>]	No [<input checked="" type="checkbox"/>]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date	\$.....	0
2.3 Do you act as an administrator for health savings accounts?	Yes [<input type="checkbox"/>]	No [<input checked="" type="checkbox"/>]
2.4 If yes, please provide the balance of the funds administered as of the reporting date	\$.....	0

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Is Insurer Authorized? (Yes or No)

NONE

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

States, etc.	1 Active Status	Direct Business Only							9 Deposit-Type Contracts
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7	
1. Alabama	AL	N	0	0	0	0	0	0	0
2. Alaska	AK	N	0	0	0	0	0	0	0
3. Arizona	AZ	N	0	0	0	0	0	0	0
4. Arkansas	AR	N	0	0	0	0	0	0	0
5. California	CA	N	0	0	0	0	0	0	0
6. Colorado	CO	N	0	0	0	0	0	0	0
7. Connecticut	CT	N	0	0	0	0	0	0	0
8. Delaware	DE	N	0	0	0	0	0	0	0
9. District of Columbia	DC	N	0	0	0	0	0	0	0
10. Florida	FL	N	0	0	0	0	0	0	0
11. Georgia	GA	N	0	0	0	0	0	0	0
12. Hawaii	HI	N	0	0	0	0	0	0	0
13. Idaho	ID	N	0	0	0	0	0	0	0
14. Illinois	IL	N	0	0	0	0	0	0	0
15. Indiana	IN	N	0	0	0	0	0	0	0
16. Iowa	IA	N	0	0	0	0	0	0	0
17. Kansas	KS	N	0	0	0	0	0	0	0
18. Kentucky	KY	L	10,873,424	20,724,491	0	0	0	31,597,915	0
19. Louisiana	LA	N	0	0	0	0	0	0	0
20. Maine	ME	N	0	0	0	0	0	0	0
21. Maryland	MD	N	0	0	0	0	0	0	0
22. Massachusetts	MA	N	0	0	0	0	0	0	0
23. Michigan	MI	N	0	0	0	0	0	0	0
24. Minnesota	MN	N	0	0	0	0	0	0	0
25. Mississippi	MS	N	0	0	0	0	0	0	0
26. Missouri	MO	N	0	0	0	0	0	0	0
27. Montana	MT	N	0	0	0	0	0	0	0
28. Nebraska	NE	N	0	0	0	0	0	0	0
29. Nevada	NV	N	0	0	0	0	0	0	0
30. New Hampshire	NH	N	0	0	0	0	0	0	0
31. New Jersey	NJ	N	0	0	0	0	0	0	0
32. New Mexico	NM	N	0	0	0	0	0	0	0
33. New York	NY	N	0	0	0	0	0	0	0
34. North Carolina	NC	N	0	0	0	0	0	0	0
35. North Dakota	ND	N	0	0	0	0	0	0	0
36. Ohio	OH	L	10,946,918	745,380,039	0	0	0	756,326,957	0
37. Oklahoma	OK	N	0	0	0	0	0	0	0
38. Oregon	OR	N	0	0	0	0	0	0	0
39. Pennsylvania	PA	N	0	0	0	0	0	0	0
40. Rhode Island	RI	N	0	0	0	0	0	0	0
41. South Carolina	SC	N	0	0	0	0	0	0	0
42. South Dakota	SD	N	0	0	0	0	0	0	0
43. Tennessee	TN	N	0	0	0	0	0	0	0
44. Texas	TX	N	0	0	0	0	0	0	0
45. Utah	UT	N	0	0	0	0	0	0	0
46. Vermont	VT	N	0	0	0	0	0	0	0
47. Virginia	VA	N	0	0	0	0	0	0	0
48. Washington	WA	N	0	0	0	0	0	0	0
49. West Virginia	WV	N	0	0	0	0	0	0	0
50. Wisconsin	WI	N	0	0	0	0	0	0	0
51. Wyoming	WY	N	0	0	0	0	0	0	0
52. American Samoa	AS	N	0	0	0	0	0	0	0
53. Guam	GU	N	0	0	0	0	0	0	0
54. Puerto Rico	PR	N	0	0	0	0	0	0	0
55. U.S. Virgin Islands	VI	N	0	0	0	0	0	0	0
56. Northern Mariana Islands	MP	N	0	0	0	0	0	0	0
57. Canada	CAN	N	0	0	0	0	0	0	0
58. Aggregate Other Aliens	OT	XXX	0	0	0	0	0	0	0
59. Subtotal		XXX	21,820,342	766,104,530	0	0	0	787,924,872	0
60. Reporting Entity Contributions for Employee Benefit Plans		XXX	0	0	0	0	0	0	0
61. Totals (Direct Business)	(a)	2	21,820,342	766,104,530	0	0	0	787,924,872	0
DETAILS OF WRITE-INS									
58001.		XXX							
58002.		XXX							
58003.		XXX							
58998. Summary of remaining write-ins for Line 58 from overflow page		XXX	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)		XXX	0	0	0	0	0	0	0

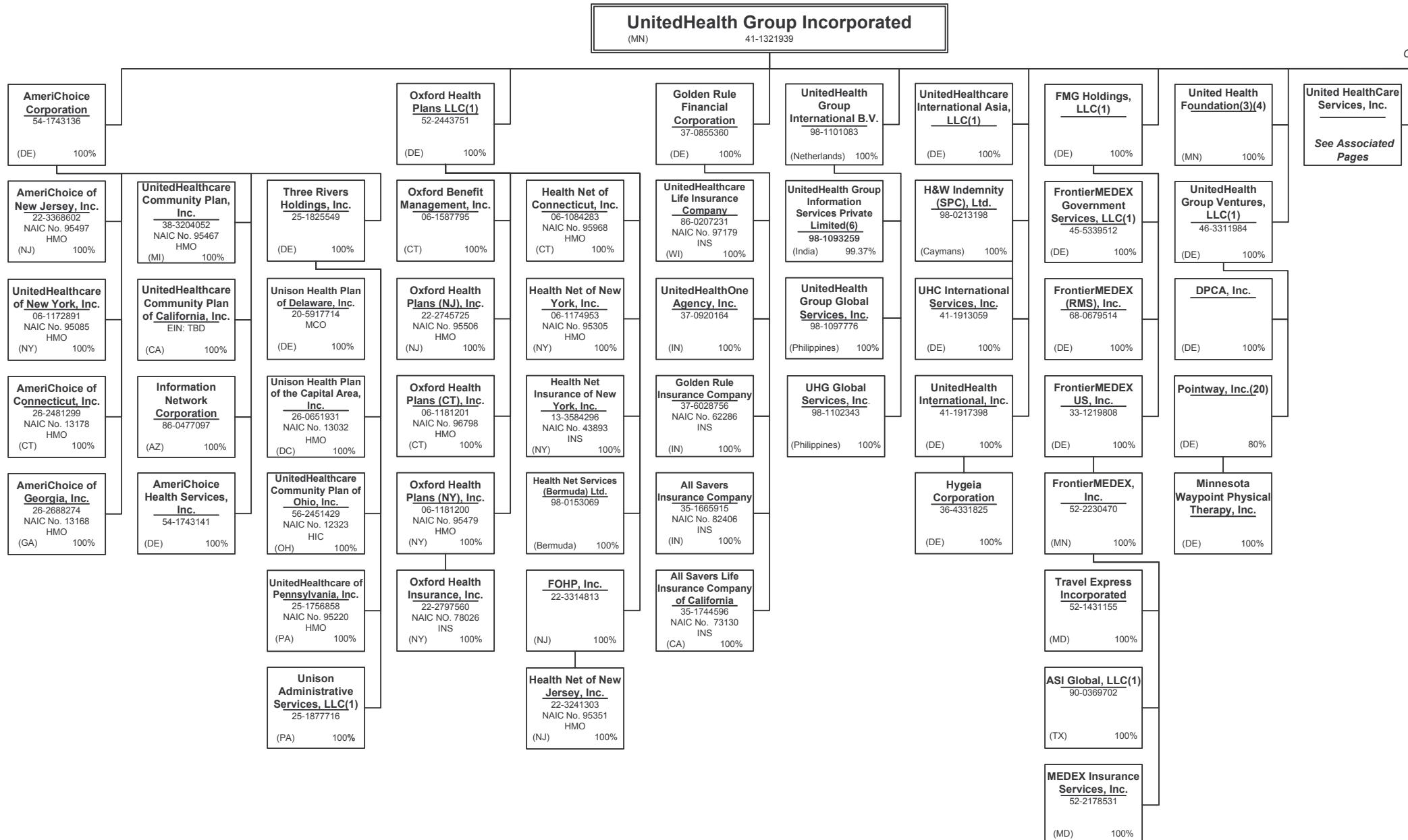
(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

Premiums are allocated by state based on geographic market.

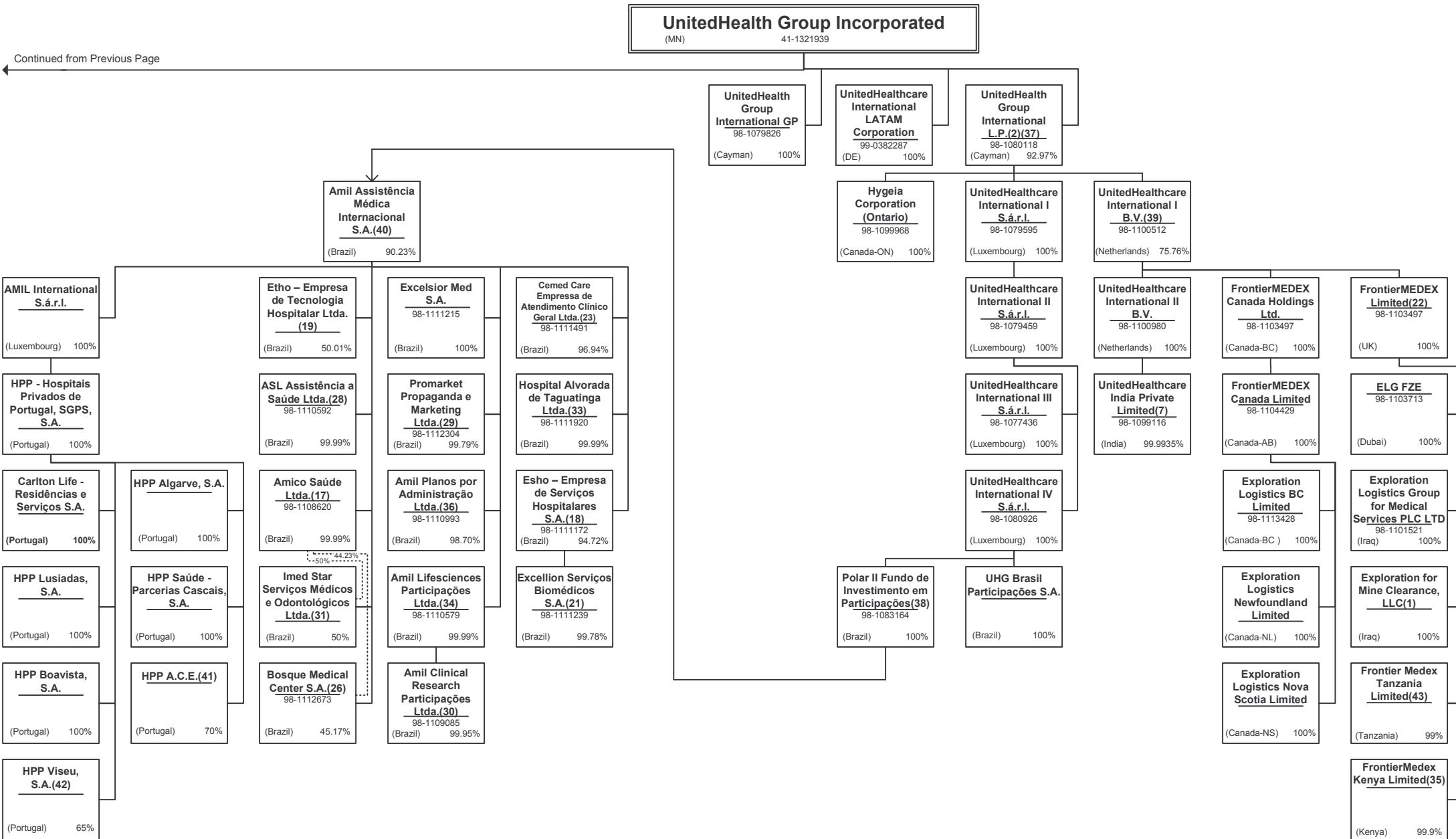
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



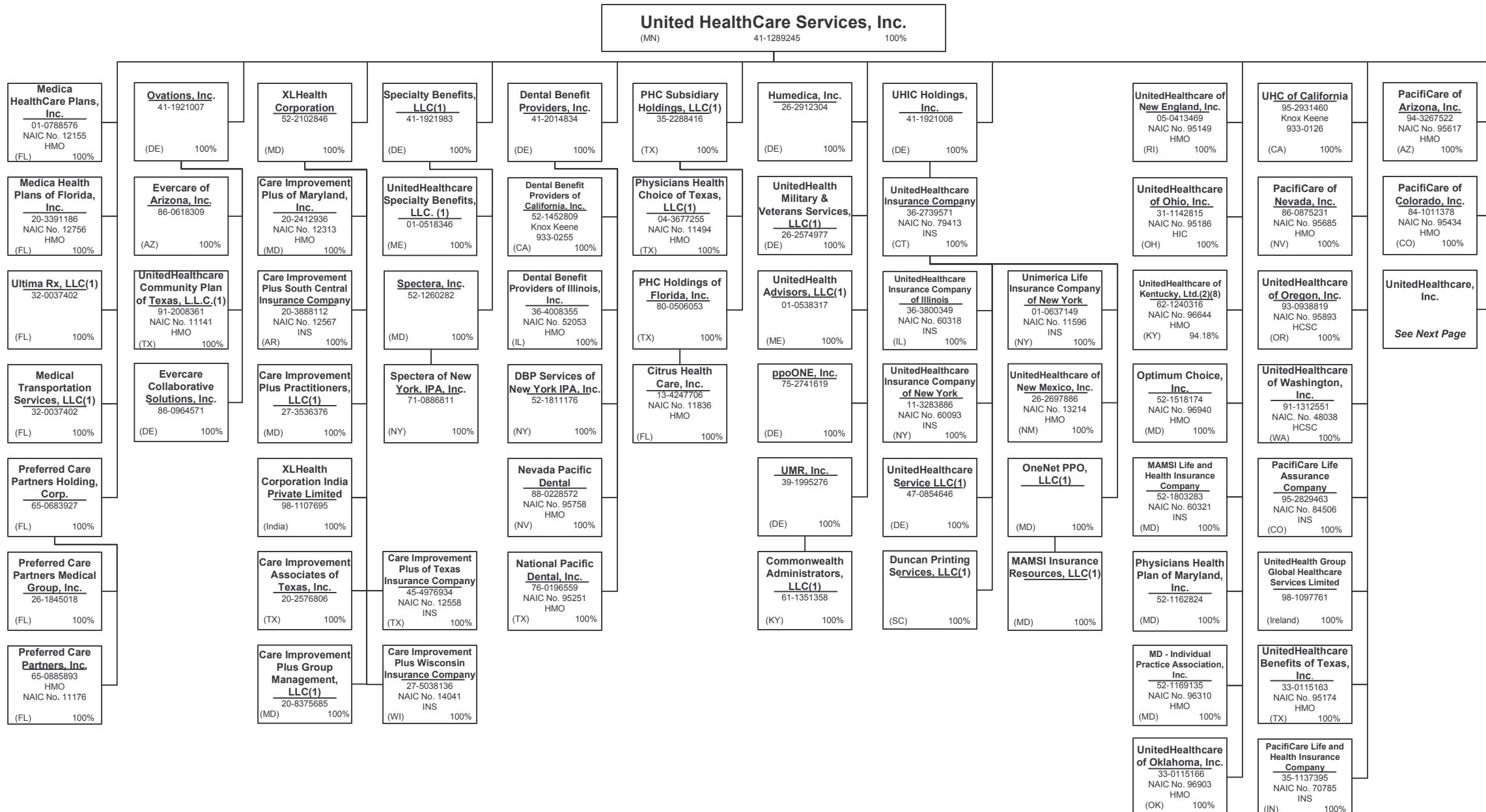
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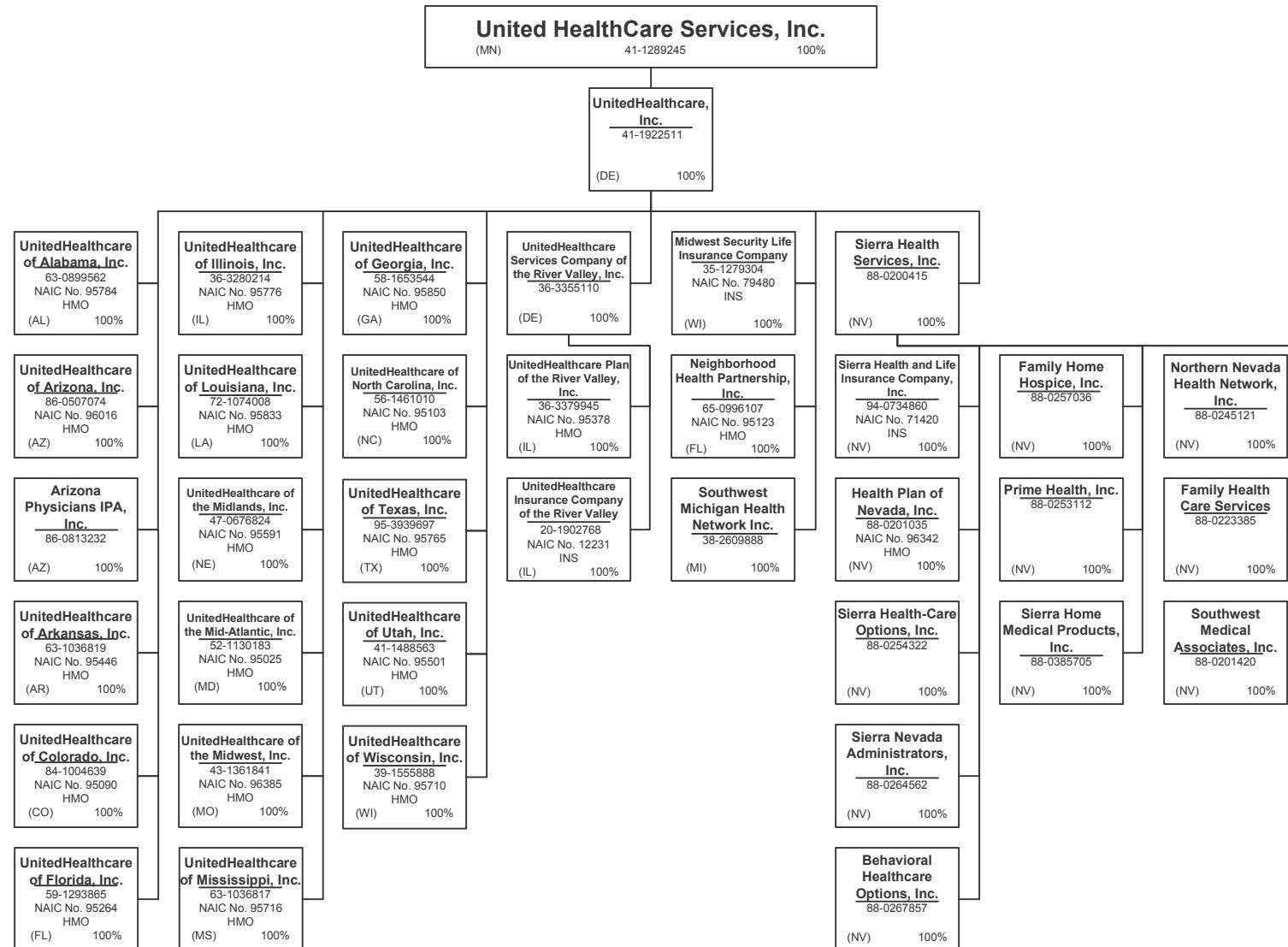
PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

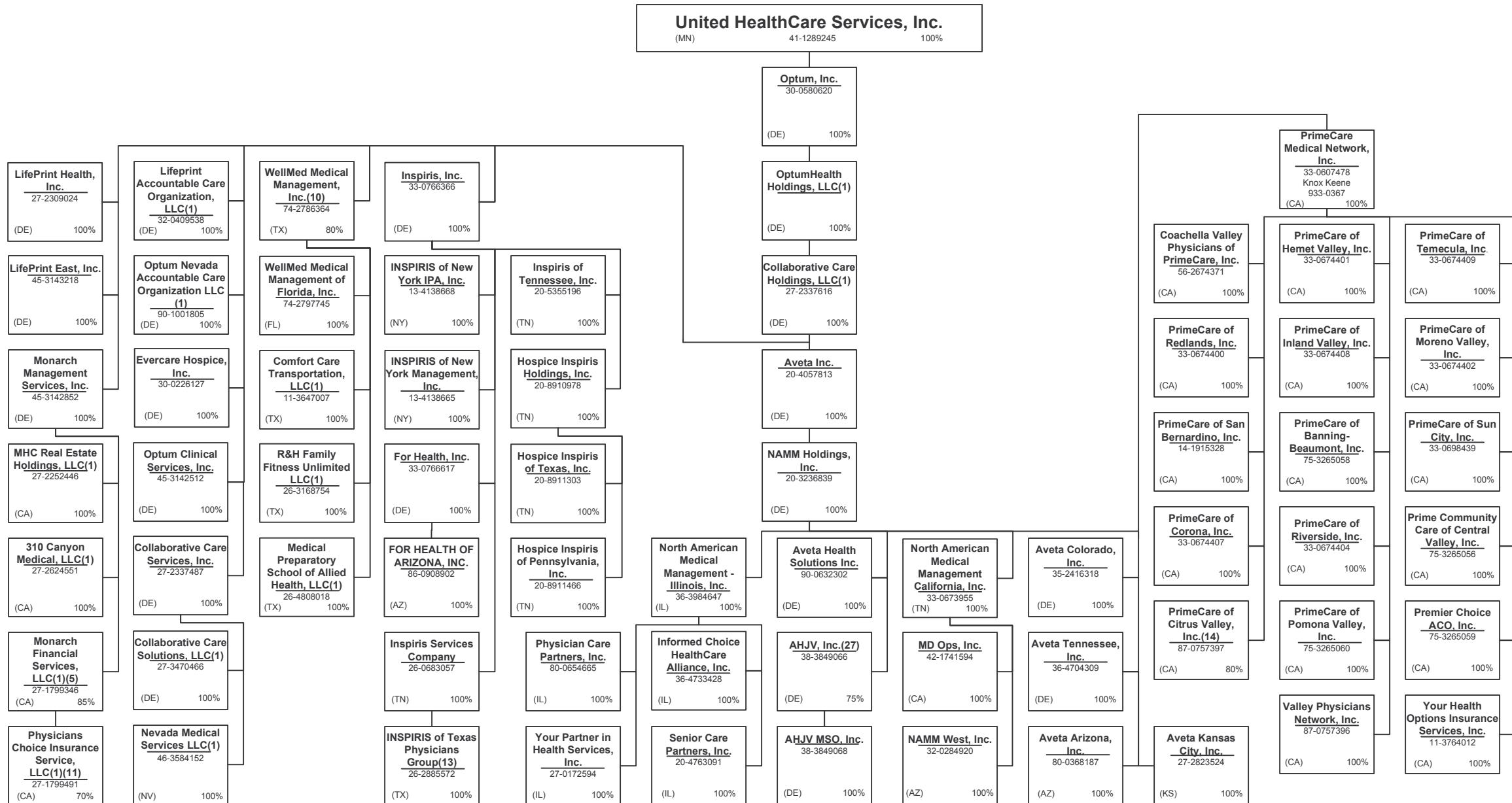
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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

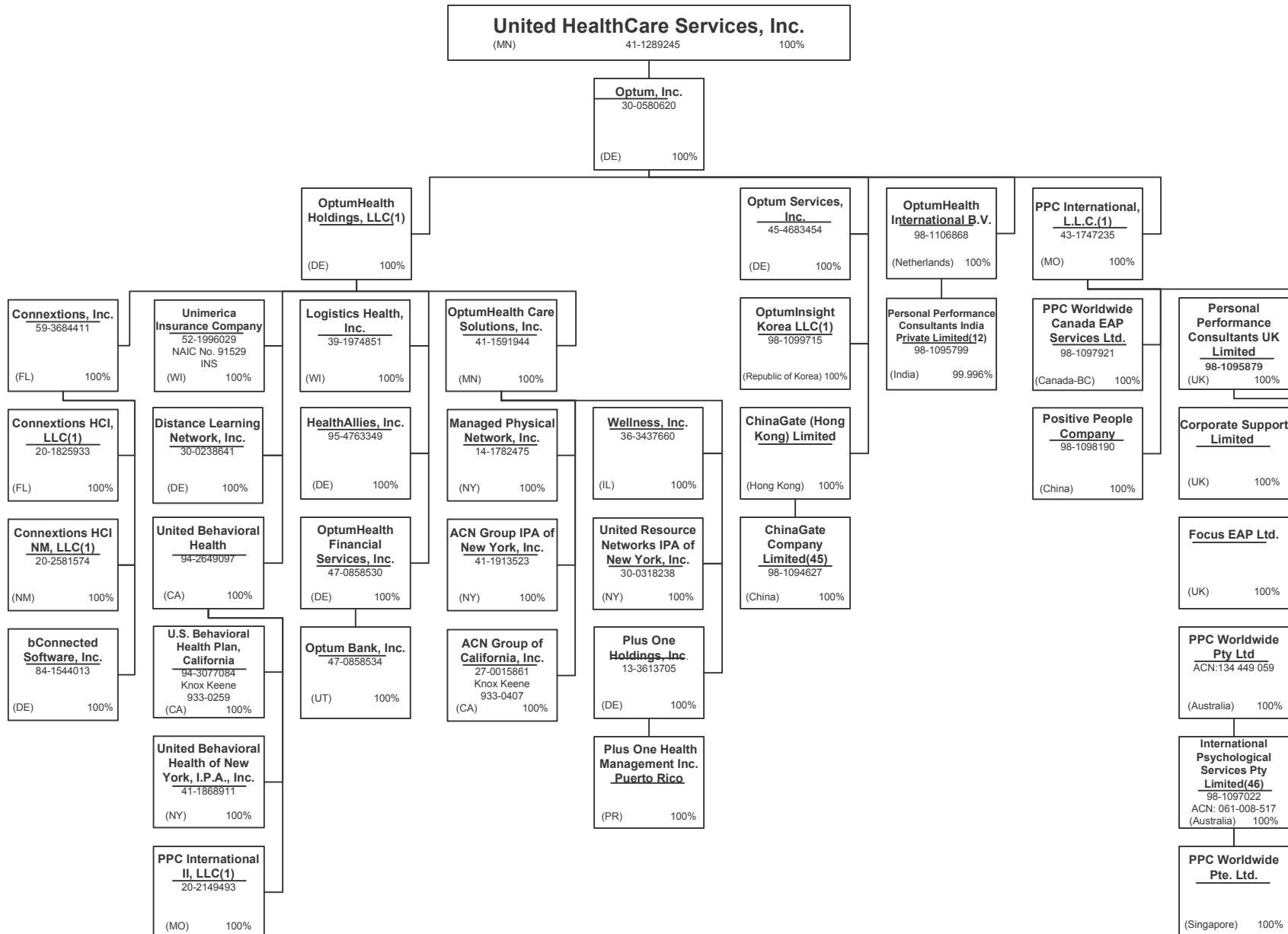
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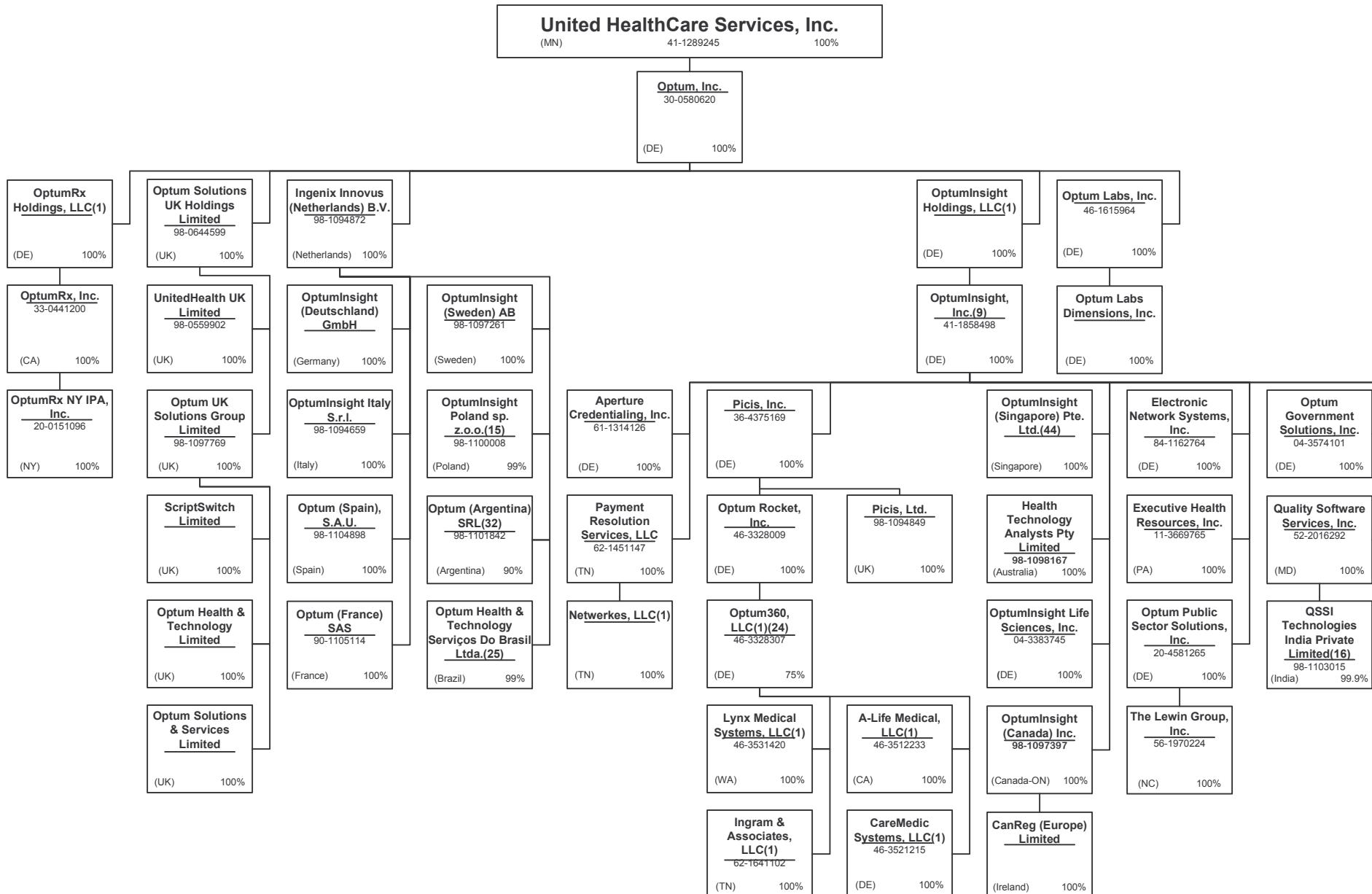
PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

(1) Entity is a Limited Liability Company

(2) Entity is a Partnership

(3) Entity is a Non-Profit Corporation

(4) Control of the Foundation is based on sole membership, not the ownership of voting securities

(5) Monarch Financial Services, LLC is 85% owned by Monarch Management Services, Inc. and 15% owned by external shareholders.

(6) UnitedHealth Group Information Services Private Limited is 99.37% owned by UnitedHealth Group International B.V.. The remaining 0.63% is owned by UnitedHealth International, Inc.

(7) United Healthcare India Private Limited is 99.9935% owned by UnitedHealthcare International II B.V. and 0.0048% owned by UnitedHealth International, Inc.

(8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.

(9) Branch office located in Abut Dhabi, UAE.

(10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.

(11) Physicians Choice Insurance Service, LLC is 70% owned by Monarch Financial Services, LLC and 30% owned by external shareholders.

(12) Personal Performance Consultants India Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.

(13) INSPIRIS of Texas Physicians Group is a Texas non-profit (taxable) whose sole member is Inspiris Services Company.

(14) PrimeCare of Citrus Valley, Inc. is 80% owned by PrimeCare Medical Network, Inc. and 20% owned by Citrus Valley Medical Associates, Inc.

(15) OptumInsight Poland sp. z.o.o. is 99% owned by Ingenix Innovus (Netherlands) B.V. The remaining 1% is owned by OptumInsight, Inc.

(16) QSSI Technologies India Private Limited is 99.9% owned by Quality Software Services, Inc. and 0.1% owned by an Indian citizen.

(17) Amico Saúde Ltda. is 99.99% owned by Amil Participações S.A. and the remaining percent is owner by and officer of Amil.

(18) Esho – Empresa de Serviços Hospitalares S.A. is 94.72% owned by Amil Assistência Médica Internacional S.A.; 3.24% owned by Amico Saúde Ltda.; 0.01 owned by Treasury Shares and 2.02% owned by external shareholders.

(19) Etho – Empresa de Tecnologia Hospitalar Ltda. 50.01% owned by Amil Assistência Médica Internacional S.A. and 49.99% owned by an external shareholder.

(20) Waypoint Holdings is 80% owned by UnitedHealth Group Ventures, LLC and 20% owned by external shareholders.

(21) Excellion Serviços Biomédicos S.A. is 99.78% owned by Esho – Empresa de Serviços Hospitalares S.A and 0.22% owned by external shareholders.

(22) Branch offices in Iraq and Uganda and Representative office in Beijing.

(23) Cemed Care Empressa de Atendimento Clínico Geral Ltda. Is 96.94% owned by Amil Assistência Médica Internacional S.A., 2.54% owned by Amico Saúde Ltd. and 0.53% owned by ASL Assistência a Saúde Ltda.

(24) Optum 360, LLC is 75% owned by Optum Rocket, Inc. and 25% owned by an external interest holder.

(25) Optum Health & Technology Serviços Do Brasil Ltda. is 99% owned by Ingenix Innovus (Netherlands) B.V. and 1 % owned by OptumInsight, Inc.

(26) Bosque Medical Center S.A. is 45.17% owned by Amil Assistência Médica Internacional S.A.; 44.23% owned by Amico Saúde Ltd. and 10.60% owned by Esho – Empresa de Serviços Hospitalares S.A..

(27) AHJV, Inc. is 75% owned by NAMM Holdings, Inc. and 25% owned by Humana, Inc.

(28) ASL Assistência a Saúde Ltda. Is 99.99% owned by Amil Assistência Médica Internacional S.A and the remaining percent is owned by an officer of Amil.

(29) Promarket Propaganda e Marketing Ltda. is 99.79% owned by Amil Assistência Médica Internacional S.A and 0.21% owned by Amico Saúde Ltd.

(30) Amil Clinical Research Participações Ltda. is 99.95% owned by Amil Lifesciences Participações Ltda. and .05% owned by an officer of Amil.

(31) Imed Star Serviços Médicos e Odontológicos Ltda. is 50% owned by Amil Assistência Médica Internacional S.A and 50% owned by Amico Saúde Ltd.

(32) Optum Argentina is 90% owned by Ingenix Innovus (Netherlands) BV and 10% owned by ScriptSwitch Holdings Limited.

(33) Hospital Alvorada Taguatinga Ltda. Is 99.99% owned by Amil Assistência Médica Internacional S.A. and the remaining percent is owned by an officer of Amil.

(34) Amil Lifesciences Participações Ltda. Is 99.99% owned by Amil Assistência Médica Internacional S.A and the remaining percent is owned by an officer of Amil.

(35) FrontierMedex Kenya Limited is 99.9% owned by FromtierMEDEX Limited and 0.1% owned by a director of Frontier Medex Kenya Limited.

(36) Amil Planos por Administração Ltda. is 98.70% owned by Amil Assistência Médica Internacional S.A and 1.30% owned by Amico Saúde Ltd.

(37) The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (2.9231%), UnitedHealthcare International LATAM Corporation (1.5228%), Hygeia Corporation (DE) (0.2158%) and UnitedHealth Group Incorporated (95.3384%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.

(38) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.

(39) UnitedHealthcare International I, B.V. is 75.76% owned by UnitedHealth Group International L.P. and 24.24% owned by UnitedHealth Group International B.V.

(40) Amil Assistência Médica Internacional S.A. is 90.23% owned by Polar II Fundo de Investimento em Participações and the remaining 9.77% is owned by the former controlling shareholders of Amil Participações S.A.

(41) HPP A.C.E. is 70% owned by HPP - Hospitais Privados de Portugal, SGPS, S.A. The remaining 30% is owned by (1) HPP Boavista, S.A.,(2) HPP Lusíadas, S.A., (3) HPP Algarve, S.A., (4) HPP Saúde - Parcerias Cascais, S.A., and (5) HPP Viseu, S.A.; each owning 6%.

(42) HPP Viseu, S.A. is 65% owned by HPP - Hospitais Privados de Portugal, SGPS, S.A.. The remaining 35% is jointly owned VISABEIRA Saúde - Serviços de Saúde, S.A.,VISABEIRA Participações Financeiras, SGPS, S.A., VISABEIRA Investimentos Financeiros SGPS, S.A. and Ciclorama - Estudos, Projectos e Produções, Lda.

(43) Frontier Medex Tanzania Limited is 99% owned by FrontierMEDEX Limited. The remaining 1% is owned by an officer of FrontierMEDEX Limited.

(44) Branch office located in Taiwan

(45) Liaison office located in Beijing.

(46) Branch office located in Hong Kong.

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domestic Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership	Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	27-2624551			310 Canyon Medical, LLC		CA	NIA	Monarch Management Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	41-1913523			ACN Group IPA of New York, Inc.		NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	27-0015861			ACN Group of California, Inc.		CA	IA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	38-3849068			AHJV MSO, Inc.		DE	NIA	AHJV, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	38-3849066			AHJV, Inc.		CA	NIA	NAMM Holdings, Inc.	Ownership	75.000	UnitedHealth Group Incorporated		5
		00000	46-3512233			A-Life Medical, LLC		CA	NIA	Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
0707	UnitedHealth Group Incorporated	82406	35-1665915			All Savers Insurance Company		IN	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
0707	UnitedHealth Group Incorporated	73130	35-1744596			All Savers Life Insurance Company of California		CA	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	54-1743136			AmeriChoice Corporation		DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	54-1743141			AmeriChoice Health Services, Inc.		DE	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
0707	UnitedHealth Group Incorporated	13178	26-2481299			AmeriChoice of Connecticut, Inc.		CT	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
0707	UnitedHealth Group Incorporated	13168	26-2688274			AmeriChoice of Georgia, Inc.		GA	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
0707	UnitedHealth Group Incorporated	95497	22-3368602			AmeriChoice of New Jersey, Inc.		NJ	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1108620			Amico Saúde Ltda.		BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	99.990	UnitedHealth Group Incorporated		1
		00000				Amil Assistência Médica Internacional S.A.		BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	90.230	UnitedHealth Group Incorporated		5
		00000	98-1109085			Amil Clinical Research Participações Ltda.		BRA	NIA	Amil Lifesciences Participações Ltda.	Ownership	99.950	UnitedHealth Group Incorporated		1
		00000				AMIL International S.á.r.l.		LUX	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1110579			Amil Lifesciences Participações Ltda.		BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	99.990	UnitedHealth Group Incorporated		1
		00000	98-1110993			Amil Planos por Administração Ltda.		BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	98.700	UnitedHealth Group Incorporated		8
		00000	98-1110993			Amil Planos por Administração Ltda.		BRA	NIA	Amico Saúde Ltda.	Ownership	1.300	UnitedHealth Group Incorporated		8
		00000	61-1314126			Aperture Credentialing, Inc.		DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	86-0813232			Arizona Physicians IPA, Inc.		AZ	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	90-0369702			ASI Global, LLC		TX	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1110592			ASL Assistência a Saúde Ltda.		BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	99.990	UnitedHealth Group Incorporated		1
		00000	80-0368187			Aveta Arizona, Inc.		AZ	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	35-2416318			Aveta Colorado, Inc.		DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	90-0632302			Aveta Health Solutions Inc.		DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	20-4057813			Aveta Inc.		DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	27-2823524			Aveta Kansas City, Inc.		KS	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	36-4704309			Aveta Tennessee, Inc.		DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	84-1544013			bConnected Software, Inc.		DE	NIA	Connexions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	88-0267857			Behavioral Healthcare Options, Inc.		NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1112673			Bosque Medical Center S.A.		BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	45.170	UnitedHealth Group Incorporated		2
		00000	98-1112673			Bosque Medical Center S.A.		BRA	NIA	Amico Saúde Ltda.	Ownership	44.230	UnitedHealth Group Incorporated		2
		00000	98-1112673			Bosque Medical Center S.A.		BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	10.600	UnitedHealth Group Incorporated		
		00000				CanReg (Europe) Limited		JRL	NIA	OptumInsight (Canada) Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	20-2576806			Care Improvement Associates of Texas, Inc.		TX	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	20-8375685			Care Improvement Plus Group Management, LLC		MD	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
0707	UnitedHealth Group Incorporated	12313	20-2412936			Care Improvement Plus of Maryland, Inc.		MD	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated		

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0707	UnitedHealth Group Incorporated	12558 00000	45-4976934 27-3536376			Care Improvement Plus of Texas Insurance Company		TX MD	IA NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
						Care Improvement Plus Practitioners, LLC				XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
						Care Improvement Plus South Central Insurance Company		AR	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12567 00000	20-3888112 46-3521215			Care Improvement Plus Wisconsin Insurance Company		WI CA	IA NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
						CareMedic Systems, LLC				Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
						Carlton Life - Residências e Serviços S.A.		PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
						Cemed Care Empressa de Atendimento Clínico Geral Ltda.		BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	96.940	UnitedHealth Group Incorporated	3
						Cemed Care Empressa de Atendimento Clínico Geral Ltda.		BRA	NIA	Amico Saúde Ltda.	Ownership	2.540	UnitedHealth Group Incorporated	3
						Cemed Care Empressa de Atendimento Clínico Geral Ltda.		BRA	NIA	ASL Assistência a Saúde Ltda.	Ownership	0.520	UnitedHealth Group Incorporated	3
						ChinaGate (Hong Kong) Limited		HKG	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11836 00000	13-4247706 56-2674371			ChinaGate Company Limited		CHN	NIA	ChinaGate (Hong Kong) Limited	Ownership	100.000	UnitedHealth Group Incorporated	
						Citrus Health Care, Inc.		FL	IA	PHC Holdings of Florida, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
						Coachella Valley Physicians of PrimeCare, Inc.		CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
						Collaborative Care Holdings, LLC		DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
						Collaborative Care Services, Inc.		DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
						Collaborative Care Solutions, LLC		DE	NIA	Collaborative Care Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
						Comfort Care Transportation, LLC		TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
						Commonwealth Administrators, LLC		KY	NIA	UMR, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
						Connexions HCI NM, LLC		NM	NIA	Connexions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
						Connexions HCI, LLC		FL	NIA	Connexions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
						Connexions, Inc.		FL	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
						Corporate Support Limited		GBR	NIA	Personal Performance Consultants UK Limited	Ownership	100.000	UnitedHealth Group Incorporated	
						DBP Services of New York IPA, Inc.		NY	NIA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
						Dental Benefit Providers of California, Inc.		CA	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
						Dental Benefit Providers of Illinois, Inc.		IL	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	52053 00000	36-4008355 41-2014834			Dental Benefit Providers, Inc.		DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
						Distance Learning Network, Inc.		DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
						DPCA, Inc.		DE	NIA	UnitedHealth Group Ventures, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
						Duncan Printing Services, LLC		SC	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
						Electronic Network Systems, Inc.		DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
						ELG FZE		ARE	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
						Esho - Empresa de Serviços Hospitalares S.A.		BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	94.720	UnitedHealth Group Incorporated	4
						Esho - Empresa de Serviços Hospitalares S.A.		BRA	NIA	Amico Saúde Ltda.	Ownership	3.240	UnitedHealth Group Incorporated	4
						Etho - Empresa de Tecnologia Hospitalar Ltda.		BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	50.010	UnitedHealth Group Incorporated	5
						Evercare Collaborative Solutions, Inc.		DE	NIA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
						Evercare Hospice, Inc.		DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
						Evercare of Arizona, Inc.		AZ	IA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	98-1111239				Excellion Serviços Biomédicos S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	.99.780	UnitedHealth Group Incorporated	5
		00000	98-1111215				Excelsior Med S.A.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	11-3669765				Executive Health Resources, Inc.	PA	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000					Exploration for Mine Clearance LLC	JRQ	NIA	FrontierMEDEX Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	98-1113428				Exploration Logistics B.C. Ltd.	CAN	NIA	FrontierMEDEX Canada Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	98-1101521				Exploration Logistics Group for Medical Services PLC LTD	JRQ	NIA	FrontierMEDEX Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000					Exploration Logistics Newfoundland Limited	CAN	NIA	FrontierMEDEX Canada Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000					Exploration Logistics Nova Scotia Limited	CAN	NIA	FrontierMEDEX Canada Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	88-0223385				Family Health Care Services	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	88-0257036				Family Home Hospice, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	35-2456267				FMG Holdings, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000								Personal Performance Consultants UK Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000					Focus EAP Ltd.	GBR	NIA		Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	22-3314813				FOHP, Inc.	NJ	NIA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	86-0908902				FOR HEALTH OF ARIZONA, INC.	AZ	NIA	For Health, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	33-0766617				For Health, Inc.	DE	NIA	Inspiris, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000					Frontier Medex Tanzania Limited	TZA	NIA	FrontierMEDEX Limited	Ownership	.99.000	UnitedHealth Group Incorporated	1
		00000	68-0679514				FrontierMEDEX (RMS), Inc.	DE	NIA	FMG Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	98-1103497				FrontierMEDEX Canada Holdings Ltd.	CAN	NIA	UnitedHealthcare International I B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	98-1104429				FrontierMEDEX Canada Limited	CAN	NIA	FrontierMEDEX Canada Holdings Ltd.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	45-5339512				FrontierMEDEX Government Services, LLC	DE	NIA	FMG Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000					FrontierMedex Kenya Limited	KEN	NIA	FrontierMEDEX Limited	Ownership	.99.900	UnitedHealth Group Incorporated	1
		00000					FrontierMEDEX Limited	GBR	NIA	UnitedHealthcare International I B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	33-1219808				FrontierMEDEX US, Inc.	DE	NIA	FMG Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	52-2230470				FrontierMEDEX, Inc.	MN	NIA	FrontierMEDEX US, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	37-0855360				Golden Rule Financial Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	62286	37-6028756	3057283			Golden Rule Insurance Company	IN	IA	Golden Rule Financial Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	98-0213198				H&W Indemnity (SPC), Ltd.	CYM	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	43893	13-3584296				Health Net Insurance of New York, Inc.	NY	IA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	09568	06-1084283				Health Net of Connecticut, Inc.	CT	IA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95351	22-3241303				Health Net of New Jersey, Inc.	NJ	IA	FOHP, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95305	06-1174953				Health Net of New York, Inc.	NY	IA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	98-0153069				Health Net Services (Bermuda) Ltd.	BMU	NIA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96342	88-0201035				Health Plan of Nevada, Inc.	NV	IA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	98-1098167				Health Technology Analysts Pty Limited	AUS	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	95-4763349				HealthAllies, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	20-8910978				Hospice Inspiris Holdings, Inc.	TN	NIA	Inspiris, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	20-8911466				Hospice Inspiris of Pennsylvania, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	20-8911303				Hospice Inspiris of Texas, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000	98-1111920				Hospital Alvorada de Taguatinga Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.99.990	UnitedHealth Group Incorporated	1
		00000					HPP – Hospitais Privados de Portugal, SGPS, S.A.	PRT	NIA	Amil International S.á.r.l.	Ownership	.100.000	UnitedHealth Group Incorporated	
		00000					HPP A.C.E.	PRT	NIA	HPP – Hospitais Privados de Portugal, SGPS, S.A.	Ownership	.70.000	UnitedHealth Group Incorporated	6
		00000					HPP A.C.E.	PRT	NIA	HPP Algarve, S.A.	Ownership	.6.000	UnitedHealth Group Incorporated	6
		00000					HPP A.C.E.	PRT	NIA	HPP Lusiadas, S.A.	Ownership	.6.000	UnitedHealth Group Incorporated	6

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000				HPP A.C.E.		PRT	NIA	HPP Viseu, S.A.	Ownership	6.000	UnitedHealth Group Incorporated	6
		00000				HPP A.C.E.		PRT	NIA	HPP Boavista, S.A.	Ownership	6.000	UnitedHealth Group Incorporated	6
		00000				HPP A.C.E.		PRT	NIA	HPP Saúde - Parcerias Cascais, S.A.	Ownership	6.000	UnitedHealth Group Incorporated	6
		00000				HPP Algarve, S.A.		PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000				HPP Boavista, S.A.		PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000				HPP Lusiadas, S.A.		PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000				HPP Saúde - Parcerias Cascais, S.A.		PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000				HPP Viseu, S.A.		PRT	NIA	SGPS, S.A.	Ownership	65.000	UnitedHealth Group Incorporated	5
		00000	26-2912304			Humedica, Inc.		DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-4331825			Hygeia Corporation		DE	NIA	UnitedHealth International, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1099968			Hygeia Corporation (Ontario)		CAN	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000				Imed Star Serviços Médicos e Odontológicos Ltda.		BRA	NIA	Amico Saúde Ltda.	Ownership	50.000	UnitedHealth Group Incorporated	7
		00000				Imed Star Serviços Médicos e Odontológicos Ltda.		BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	50.000	UnitedHealth Group Incorporated	7
		00000	86-0477097			Information Network Corporation		AZ	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-4733428			Informed Choice HealthCare Alliance, Inc.		IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1094872			Ingenix Innovus (Netherlands) B.V.		NLD	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	62-1641102			Ingram & Associates, LLC		TN	NIA	Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-4138668			INSPIRIS of New York IPA, Inc.		NY	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-4138665			INSPIRIS of New York Management, Inc.		NY	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-5355196			Inspiris of Tennessee, Inc.		TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-2885572			INSPIRIS of Texas Physician Group		TX	NIA	Inspiris Services Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-0683057			Inspiris Services Company		TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0766366			Inspiris, Inc.		DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1097022			International Psychological Services Pty Limited		AUS	NIA	PPC Worldwide Pty Ltd	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	32-0409538			Lifeprint Accountable Care Organization, LLC		DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-3143218			Lifeprint East, Inc.		DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2309024			LifePrint Health, Inc.		DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	39-1974851			Logistics Health, Inc.		WI	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-3531420			Lynx Medical Systems, LLC		WA	NIA	Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2129787			MANSI Insurance Resources, LLC		MD	NIA	OneNet PPO, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	60321	52-1803283			MANSI Life and Health Insurance Company		MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	14-1782475			Managed Physical Network, Inc.		NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	42-1741594			MD Ops, Inc.		CA	NIA	North American Medical Management California, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	96310	52-1169135		MD-Individual Practice Association, Inc.		MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2178531			MEDEX Insurance Services, Inc.		MD	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	12756	20-3391186		Medica Health Plans of Florida, Inc.		FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	12155	01-0788576		Medica HealthCare Plans, Inc.		FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-4808018			Medical Preparatory School of Allied Health, LLC		TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	32-0037402			Medical Transportation Services, LLC		FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership	Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	27-2252446				MHC Real Estate Holdings, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	79480	35-1279304				Midwest Security Life Insurance Company	WI	IA	UnitedHealthcare, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000					Minnesota Waypoint Physical Therapy, Inc.	DE	NIA	Pointway, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	27-1799346				Monarch Financial Services, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership		85.000	UnitedHealth Group Incorporated	5
		00000	45-3142852				Monarch Management Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	20-3236839				NAMM Holdings, Inc.	DE	NIA	Aveta Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000								North American Medical Management					
		00000	32-0284920				NAMM West, Inc.	AZ	NIA	California, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95251	76-0196559				National Pacific Dental, Inc.	TX	IA	Dental Benefit Providers, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95123	65-0996107				Neighborhood Health Partnership, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	20-4755277				Netwerkes, LLC	TN	NIA	Payment Resolution Services, LLC	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	46-3584152				Nevada Medical Services LLC	NV	NIA	Collaborative Care Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95758	88-0228572				Nevada Pacific Dental	NV	IA	Dental Benefit Providers, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	36-3984647				North American Medical Management - Illinois, Inc.	IL	NIA	NAMM Holdings, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	33-0673955				North American Medical Management	TN	NIA	NAMM Holdings, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	88-0245121				Northern Nevada Health Network, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96940	52-1518174				OneNet PPO, LLC	MD	NIA	UnitedHealthcare Insurance Company	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-1101842				Optimum Choice, Inc.	MD	IA	UnitedHealthcare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-1101842				Optum (Argentina) SRL	ARG	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership		90.000	UnitedHealth Group Incorporated	12
		00000	90-1105114				Optum (Argentina) SRL	ARG	NIA	Optum UK Solutions Group Limited	Ownership		10.000	UnitedHealth Group Incorporated	12
		00000	98-1104898				Optum (France) SAS	FRA	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	47-0858534	3202702			Optum (Spain), S.A.U.	ESP	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	45-3142512				Optum Bank, Inc.	UT	NIA	OptumHealth Financial Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	04-3574101				Optum Clinical Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership		100.000	UnitedHealth Group Incorporated	
		00000					Optum Government Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000					Optum Health & Technology Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership		100.000	UnitedHealth Group Incorporated	
		00000					Optum Health & Technology Serviços Do Brasil Ltda.	BRA	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership		99.000	UnitedHealth Group Incorporated	13
		00000					Optum Health & Technology Serviços Do Brasil Ltda.	BRA	NIA	OptumInsight, Inc.	Ownership		1.000	UnitedHealth Group Incorporated	13
		00000					Optum Labs Dimensions, Inc.	DE	NIA	Optum Labs, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	46-1615964				Optum Labs, Inc.	DE	NIA	Optum, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
							Optum Nevada Accountable Care Organization LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership		100.000	UnitedHealth Group Incorporated	
			90-1001805				Optum Public Sector Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
			20-4581265				Optum Rocket, Inc.	DE	NIA	Picis, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
			46-3328009				Optum Services, Inc.	DE	NIA	Optum, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
			45-4683454				Optum Solutions & Services Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership		100.000	UnitedHealth Group Incorporated	
			98-0644599				Optum Solutions UK Holdings Limited	GBR	NIA	Optum, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
			98-1097769				Optum UK Solutions Group Limited	GBR	NIA	Optum Solutions UK Holdings Limited	Ownership		100.000	UnitedHealth Group Incorporated	
			30-0580620	3119994			Optum, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
			46-3328307				Optum360, LLC	DE	NIA	Optum Rocket, Inc.	Ownership		75.000	UnitedHealth Group Incorporated	5
			41-1591944				OptumHealth Care Solutions, Inc.	MN	NIA	OptumHealth Holdings, LLC	Ownership		100.000	UnitedHealth Group Incorporated	
			47-0858530				OptumHealth Financial Services, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership		100.000	UnitedHealth Group Incorporated	
			00000				OptumHealth Holdings, LLC	DE	NIA	Optum, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
			98-1106868				OptumHealth International B.V.	NLD	NIA	Optum, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
			98-1097397				OptumInsight (Canada) Inc.	CAN	NIA	OptumInsight, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
			00000	98-1095252			OptumInsight (Deutschland) GmbH	DEU	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership		100.000	UnitedHealth Group Incorporated	
			00000	98-1095260			OptumInsight (Singapore) Pte. Ltd.	SGP	NIA	OptumInsight, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership	Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	98-1097261				OptumInsight (Sweden) AB	SWE	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000					OptumInsight Holdings, LLC	DE	NIA	Optum, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-1094659				OptumInsight Italy S.r.l.	ITA	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-109715				OptumInsight Korea LLC	KOR	NIA	Optum, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	04-3383745				OptumInsight Life Sciences, Inc.	DE	NIA	OptumInsight, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-1100008				OptumInsight Poland sp. z o.o.	POL	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership		99.000	UnitedHealth Group Incorporated	13
		00000	98-1100008				OptumInsight Poland sp. z o.o.	POL	NIA	OptumInsight, Inc.	Ownership		1.000	UnitedHealth Group Incorporated	13
		00000	41-1858498				OptumInsight, Inc.	DE	NIA	OptumInsight Holdings, LLC	Ownership		100.000	UnitedHealth Group Incorporated	
		00000					OptumRx Holdings, LLC	DE	NIA	Optum, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	20-0151096				OptumRx NY IPA, Inc.	NY	NIA	OptumRx, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	33-0441200				OptumRx, Inc.	CA	NIA	OptumRx Holdings, LLC	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	41-1921007				Ovations, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	06-1587795				Oxford Benefit Management, Inc.	CT	NIA	Oxford Health Plans LLC	Ownership		100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	78026	22-2797560				Oxford Health Insurance, Inc.	NY	IA	Oxford Health Plans (NY), Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96798	06-1181201				Oxford Health Plans (CT), Inc.	CT	IA	Oxford Health Plans LLC	Ownership		100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95506	22-2745725				Oxford Health Plans (NJ), Inc.	NJ	IA	Oxford Health Plans LLC	Ownership		100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95479	06-1181200				Oxford Health Plans (NY), Inc.	NY	IA	Oxford Health Plans LLC	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	52-2443751				Oxford Health Plans LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership		100.000	UnitedHealth Group Incorporated	
							PaciCare Life and Health Insurance Company	IN	IA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	70785	35-1137395				PaciCare Life Assurance Company	CO	IA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	84506	95-2829463				PaciCare of Arizona, Inc.	AZ	IA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95617	94-3267522				PaciCare of Colorado, Inc.	CO	IA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95434	84-1011378				PaciCare of Nevada, Inc.	NV	IA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95685	86-0875231				Payment Resolution Services, LLC	TN	NIA	OptumInsight, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	62-1451147				Personal Performance Consultants India Private Limited	JND	NIA	OptumHealth International B.V.	Ownership		99.996	UnitedHealth Group Incorporated	14
		00000	98-1095799				Personal Performance Consultants India Private Limited	JND	NIA	United Behavioral Health	Ownership		0.004	UnitedHealth Group Incorporated	14
		00000	98-1095799				Personal Performance Consultants UK Limited	GBR	NIA	PPC International, L.L.C.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-1095879				PHC Holdings of Florida, Inc.	TX	NIA	PHC Subsidiary Holdings, LLC	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	80-0506053				PHC Subsidiary Holdings, LLC	TX	NIA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	35-2288416				Physician Care Partners, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	80-0654665				Physicians Choice Insurance Service, LLC	CA	NIA	Monarch Financial Services, LLC	Ownership		70.000	UnitedHealth Group Incorporated	5
0707	UnitedHealth Group Incorporated	27-1799491	04-3677255				Physicians Health Choice of Texas, LLC	TX	IA	PHC Subsidiary Holdings, LLC	Ownership		100.000	UnitedHealth Group Incorporated	
		11494	52-1162824				Physicians Health Plan of Maryland, Inc.	MD	NIA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	36-4375169	3886791			Picis, Inc.	DE	NIA	OptumInsight, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-1094849				Picis, Ltd.	GBR	NIA	Picis, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000					Plus One Health Management Inc. Puerto Rico	PR	NIA	Plus One Holdings, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	13-3613705				Plus One Holdings, Inc.	DE	NIA	OptumHealth Care Solutions, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-1083164				Polar II Fundo de Investimento em Participações	BRA	NIA	UnitedHealthcare International IV S.á.r.l.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-1098190				Positive People Company	CHN	NIA	PPC International, L.L.C.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	20-2149493				PPC International II, LLC	MO	NIA	United Behavioral Health	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	43-1747235				PPC International, L.L.C.	MO	NIA	Optum, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-1097921				PPC Worldwide Canada EAP Services Ltd.	CAN	NIA	PPC International, L.L.C.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000					PPC Worldwide Pte. Ltd.	SGP	NIA	International Psychological Services Pty Limited	Ownership		100.000	UnitedHealth Group Incorporated	

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership	Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0707	UnitedHealth Group Incorporated	00000	75-2741619			PPC Worldwide Pty Ltd	AUS	NIA	Limited	Personal Performance Consultants UK	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	65-0683927			ppoONE, Inc.	DE	NIA	United HealthCare Services, Inc.	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	26-1845018			Preferred Care Partners Holding, Corp.	FL	NIA	United HealthCare Services, Inc.	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	26-1845018			Preferred Care Partners Medical Group, Inc.	FL	NIA	Preferred Care Partners Holding, Corp.	Preferred Care Partners Holding, Corp.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	11176	65-0885893		Preferred Care Partners, Inc.	FL	IA	Preferred Care Partners Holding, Corp.	Preferred Care Partners Holding, Corp.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	75-3265059			Premier Choice ACO, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	75-3265056			Prime Community Care of Central Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	88-0253112			Prime Health, Inc.	NV	NIA	Sierra Health Services, Inc.	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	33-0607478			PrimeCare Medical Network, Inc.	CA	IA	NAMM Holdings, Inc.	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	75-3265058			PrimeCare of Banning-Beaumont, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	87-0757397			PrimeCare of Citrus Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	PrimeCare Medical Network, Inc.	Ownership	80.000	UnitedHealth Group Incorporated	5	
		00000	33-0674407			PrimeCare of Corona, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	33-0674401			PrimeCare of Hemet Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	33-0674408			PrimeCare of Inland Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	33-0674402			PrimeCare of Moreno Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	75-3265060			PrimeCare of Pomona Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	33-0674400			PrimeCare of Redlands, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	33-0674404			PrimeCare of Riverside, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	14-1915328			PrimeCare of San Bernardino, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	33-0698439			PrimeCare of Sun City, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	33-0674409			PrimeCare of Temecula, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1112304			Promarket Propaganda e Marketing Ltda.	BRA	NIA	S.A.	Amil Assistência Médica Internacional	Ownership	99.792	UnitedHealth Group Incorporated	15	
		00000	98-1112304			Promarket Propaganda e Marketing Ltda.	BRA	NIA	Amico Saúde Ltda.	Amico Saúde Ltda.	Ownership	0.208	UnitedHealth Group Incorporated	15	
		00000	98-1103015			OSSI Technologies India Private Limited	JND	NIA	Quality Software Services, Inc.	Quality Software Services, Inc.	Ownership	99.900	UnitedHealth Group Incorporated	5	
		00000	52-2016292			Quality Software Services, Inc.	MD	NIA	OptumInsight, Inc.	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	26-3168754			R&H Family Fitness Unlimited LLC	TX	NIA	WellMed Medical Management, Inc.	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	20-4763091			ScriptSwitch Limited	GBR	NIA	Optum UK Solutions Group Limited	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	71420	94-0734860		Senior Care Partners, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	88-0200415			Sierra Health and Life Insurance Company, Inc.	NV	IA	Sierra Health Services, Inc.	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	88-0254322			Sierra Health Services, Inc.	NV	NIA	UnitedHealthcare, Inc.	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	88-0385705			Sierra Health-Care Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	88-0264562			Sierra Home Medical Products, Inc.	NV	NIA	Sierra Health Services, Inc.	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	88-0201420			Sierra Nevada Administrators, Inc.	NV	NIA	Sierra Health Services, Inc.	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	38-2609888			Southwest Medical Associates, Inc.	NV	NIA	Sierra Health Services, Inc.	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	41-1921983			Southwest Michigan Health Network Inc.	MI	NIA	UnitedHealthcare, Inc.	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	71-0886811			Specialty Benefits, LLC	DE	NIA	United HealthCare Services, Inc.	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	52-1260282			Spectera of New York, IPA, Inc.	NY	NIA	Spectera, Inc.	Spectera, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	56-1970224			Spectera, Inc.	MD	NIA	Specialty Benefits, LLC	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	25-1825549			The Lewin Group, Inc.	NC	NIA	Optum Public Sector Solutions, Inc.	Optum Public Sector Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	52-1431155			Three Rivers Holdings, Inc.	DE	NIA	AmeriChoice Corporation	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	94-3077084			Travel Express Incorporated	MD	NIA	FrontierMEDEX, Inc.	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	41-1913059			U.S. Behavioral Health Plan, California	CA	IA	United Behavioral Health	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	UHC International Services, Inc.			UHC International Services, Inc.	DE	NIA	UnitedHealth Group Incorporated	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		00000	95-2931460			UHC of California		CA	IA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000				UHG Brasil Participações S.A.		BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-1102343			UHG Global Services, Inc.		PHL	NIA	UnitedHealth Group International B.V.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	41-1921008			UHIC Holdings, Inc.		DE	NIA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	14-1892398			Ultima Rx, LLC		FL	NIA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	39-1995276			UMR, Inc.		DE	NIA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	52-1996029			UnimERICA Insurance Company		WI	IA	OptumHealth Holdings, LLC	Ownership		100.000	UnitedHealth Group Incorporated	
						UnimERICA Life Insurance Company of New York		NY	IA	UnitedHealthcare Insurance Company	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	11596	01-0637149		Unison Administrative Services, LLC		PA	NIA	Three Rivers Holdings, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	25-1877716			Unison Health Plan of Delaware, Inc.		DE	IA	Three Rivers Holdings, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	20-5917714			Unison Health Plan of the Capital Area, Inc.		DC	IA	Three Rivers Holdings, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	13032	26-0651931		United Behavioral Health		CA	NIA	OptumHealth Holdings, LLC	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	94-2649097			United Behavioral Health of New York, I.P.A., Inc.		NY	NIA	United Behavioral Health	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	41-1868911			United Health Foundation		MN	NIA	UnitedHealth Group Incorporated	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	41-1941615			United HealthCare Services, Inc.		MN	UDP	UnitedHealth Group Incorporated	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	41-1289245	3410132		United Resource Networks IPA of New York, Inc.		NY	NIA	OptumHealth Care Solutions, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	30-0318238			UnitedHealth Advisors, LLC		ME	NIA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	01-0538317			UnitedHealth Group Global Healthcare Services Limited		JRL	NIA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-1097761			UnitedHealth Group Global Services, Inc.		PHL	NIA	UnitedHealth Group International B.V.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-1097776			New York Stock Exchange		MN	UIP				0.000		
		00000	41-1321939	0000731766		UnitedHealth Group Incorporated		JND	NIA	UnitedHealth Group International B.V.	Ownership		99.370	UnitedHealth Group Incorporated	16
		00000	98-1093259			UnitedHealth Group Information Services Private Limited		JND	NIA	UnitedHealth International, Inc.	Ownership		0.630	UnitedHealth Group Incorporated	16
		00000	98-1093259			UnitedHealth Group Information Services Private Limited		NLD	NIA	UnitedHealth Group Incorporated	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-1101083			UnitedHealth Group International B.V.		CYM	NIA	UnitedHealth Group Incorporated	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-1079826			UnitedHealth Group International GP		CYM	NIA	UnitedHealth Group Incorporated	Ownership		95.338	UnitedHealth Group Incorporated	17
		00000	98-1080118			UnitedHealth Group International L.P.		CYM	NIA	FMG Holdings, LLC	Ownership		2.923	UnitedHealth Group Incorporated	17
		00000	98-1080118			UnitedHealth Group International L.P.		CYM	NIA	UnitedHealthcare International LATAM Corporation	Ownership		1.523	UnitedHealth Group Incorporated	17
		00000	98-1080118			UnitedHealth Group International L.P.		CYM	NIA	Hygeia Corporation	Ownership		0.216	UnitedHealth Group Incorporated	17
		00000	46-3311984			UnitedHealth Group Ventures, LLC		DE	NIA	UnitedHealth Group Incorporated	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	41-1917398			UnitedHealth International, Inc.		DE	NIA	UnitedHealth Group Incorporated	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	26-2574977			UnitedHealth Military & Veterans Services, LLC		DE	NIA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	98-0559902			UnitedHealth UK Limited		GBR	NIA	Optum Solutions UK Holdings Limited	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	95174	33-0115163		UnitedHealthcare Benefits of Texas, Inc.		TX	IA	United HealthCare Services, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000				UnitedHealthcare Community Plan of California, Inc.		CA	NIA	AmeriChoice Corporation	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	12323	56-2451429		UnitedHealthcare Community Plan of Ohio, Inc.		OH	IA	Three Rivers Holdings, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	11141	91-2008361		UnitedHealthcare Community Plan of Texas, L.L.C.		TX	IA	Ovations, Inc.	Ownership		100.000	UnitedHealth Group Incorporated	
		00000	95467	38-3204052		UnitedHealthcare Community Plan, Inc.		MI	IA	AmeriChoice Corporation	Ownership		100.000	UnitedHealth Group Incorporated	

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership	Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	98-1099116			UnitedHealthcare India Private Limited	JND	NIA	UnitedHealthcare International II B.V.	Ownership	99.994	UnitedHealth Group Incorporated		9	
		00000	98-1099116			UnitedHealthcare India Private Limited	JND	NIA	UnitedHealth International, Inc.	Ownership	0.007	UnitedHealth Group Incorporated		9	
0707	UnitedHealth Group Incorporated	79413	36-2739571			UnitedHealthcare Insurance Company	CT	IA	UHIC Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	60318	36-3800349			UnitedHealthcare Insurance Company of Illinois	IL	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	60093	11-3283886			UnitedHealthcare Insurance Company of New York	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	12231	20-1902768			UnitedHealthcare Insurance Company of the River Valley	IL	IA	River Valley, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
		00000	41-1988797			UnitedHealthcare International Asia, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated			
		00000	98-1100512			UnitedHealthcare International I B.V.	NLD	NIA	UnitedHealth Group International L.P.	Ownership	75.760	UnitedHealth Group Incorporated		10	
		00000	98-1100512			UnitedHealthcare International I B.V.	NLD	NIA	UnitedHealth Group International B.V.	Ownership	24.240	UnitedHealth Group Incorporated		10	
		00000	98-1079595			UnitedHealthcare International I S.á.r.i.	LUX	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated			
		00000	98-1100980			UnitedHealthcare International II B.V.	NLD	NIA	UnitedHealth Group International B.V.	Ownership	100.000	UnitedHealth Group Incorporated			
		00000	98-1079459			UnitedHealthcare International II S.á.r.i.	LUX	NIA	UnitedHealthcare International I S.á.r.i.	Ownership	100.000	UnitedHealth Group Incorporated			
		00000	98-1077436			UnitedHealthcare International III	LUX	NIA	UnitedHealthcare International II S.á.r.i.	Ownership	100.000	UnitedHealth Group Incorporated			
		00000	98-1080926			UnitedHealthcare International IV S.á.r.i.	LUX	NIA	UnitedHealthcare International II S.á.r.i.	Ownership	100.000	UnitedHealth Group Incorporated			
		00000	99-0382287			UnitedHealthcare International LATAM Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	97179	86-0207231			UnitedHealthcare Life insurance Company	WI	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95784	63-0899562			UnitedHealthcare of Alabama, Inc.	AL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	96016	86-0507074			UnitedHealthcare of Arizona, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95446	63-1036819			UnitedHealthcare of Arkansas, Inc.	AR	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95090	84-1004639			UnitedHealthcare of Colorado, Inc.	CO	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95264	59-1293865			UnitedHealthcare of Florida, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95850	58-1653544			UnitedHealthcare of Georgia, Inc.	GA	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95776	36-3280214			UnitedHealthcare of Illinois, Inc.	IL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	96644	62-1240316			UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthCare Services, Inc.	Ownership	94.180	UnitedHealth Group Incorporated		11	
0707	UnitedHealth Group Incorporated	96644	62-1240316			UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthcare, Inc.	Ownership	5.820	UnitedHealth Group Incorporated		11	
0707	UnitedHealth Group Incorporated	95833	72-1074008			UnitedHealthcare of Louisiana, Inc.	LA	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95716	63-1036817			UnitedHealthcare of Mississippi, Inc.	MS	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95149	05-0413469			UnitedHealthcare of New England, Inc.	RI	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	13214	26-2697886			UnitedHealthcare of New Mexico, Inc.	NM	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95085	06-1172891			UnitedHealthcare of New York, Inc.	NY	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95103	56-1461010			UnitedHealthcare of North Carolina, Inc.	NC	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95186	31-1142815			UnitedHealthcare of Ohio, Inc.	OH		United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	96903	33-0115166			UnitedHealthcare of Oklahoma, Inc.	OK	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95893	93-0938819			UnitedHealthcare of Oregon, Inc.	OR	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95220	25-1756858			UnitedHealthcare of Pennsylvania, Inc.	PA	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95765	95-3939697			UnitedHealthcare of Texas, Inc.	TX	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
						UnitedHealthcare of the Mid-Atlantic, Inc.									
0707	UnitedHealth Group Incorporated	95025	52-1130183				MD	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95591	47-0676824			UnitedHealthcare of the Midlands, Inc.	NE	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	96385	43-1361841			UnitedHealthcare of the Midwest, Inc.	MO	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95501	41-1488563			UnitedHealthcare of Utah, Inc.	UT	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	48038	91-1312551			UnitedHealthcare of Washington, Inc.	WA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			
0707	UnitedHealth Group Incorporated	95710	39-1555888			UnitedHealthcare of Wisconsin, Inc.	WI	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated			

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 Federal ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Owner-ship Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
0707	UnitedHealth Group Incorporated	95378 00000	36-3379945 47-0854646			UnitedHealthcare Plan of the River Valley, Inc.	IL	IA	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
						UnitedHealthcare Service LLC	DE	NIA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated		
						UnitedHealthcare Services Company of the River Valley, Inc.	DE	NIA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
						UnitedHealthcare Specialty Benefits, LLC	ME	NIA	Specialty Benefits, LLC	Ownership	.100.000	UnitedHealth Group Incorporated		
						UnitedHealthcare, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
						UnitedHealthOne Agency, Inc.	IN	NIA	Golden Rule Financial Corporation	Ownership	.100.000	UnitedHealth Group Incorporated		
						Valley Physicians Network, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
						WellMed Medical Management of Florida, Inc.	FL	NIA	WellMed Medical Management, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
						WellMed Medical Management, Inc.	TX	NIA	Collaborative Care Holdings, LLC	Ownership	.80.000	UnitedHealth Group Incorporated		5
						Wellness, Inc.	IL	NIA	OptumHealth Care Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
						XLHealth Corporation	MD	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
						XLHealth Corporation India Private Limited	IND	NIA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated		
						Your Health Options Insurance Services, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		
						Your Partner in Health Services, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated		

Asterisk	Explanation
01	The remaining percentage is owned by one or more officers of the Company.
02	The remaining 44.23% owned by Amico Saúde Ltda. and 10.60% owned by Esho – Empresa de Serviços Hospitalares S.A.
03	The remaining 2.54% owned by Amico Saúde Ltda. and 0.52% owned by ASL Assistência a Saúde Ltda.
04	The remaining 3.24% owned by Amico Saúde Ltda.; 0.01 owned by Treasury Shares and 2.02% owned by external shareholders.
05	The remaining percentage is owned by one or more non-affiliated companies or one or more external shareholders.
06	The remaining 30% is owned by HPP Boavista, S.A., HPP Lusiadas, S.A., HPP Algarve, S.A., HPP Saúde – Parcerias Cascais, S.A., and HPP Viseu, S.A. each owning 6%.
07	The remaining 50% is owned by Amico Saúde Ltda.
08	The remaining 1.30% owned by Amico Saúde Ltda.
09	The remaining 0.0065% is owned by UnitedHealth International, Inc.
10	The remaining 24.24% is owned by UnitedHealth Group International B.V.
11	The general partnership interest of 89.77% is held by United HealthCare Services, Inc. (UHS) and 10.23% is held by UnitedHealthcare, Inc. (UHC). UHS also holds 100% of the limited partnership interests. When combining general and limited partner interests, UHS owns 94.18% and UHC owns 5.83%.
12	The remaining 10% is owned by Optum UK Solutions Group Limited.
13	The remaining 1 % owned by OptumInsight, Inc.
14	The remaining 0.004 % is owned by United Behavioral Health.
15	The remaining 0.208% is owned by Amico Saúde Ltda.
16	The remaining 0.63% is owned by UnitedHealth International, Inc.
17	The limited partners include FMG Holdings, LLC (2.9231%), UnitedHealthcare International LATAM Corporation (1.5228%), Hygeia Corporation (DE) (0.2158%) and UnitedHealth Group Incorporated (95.3384%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

NO

Explanation:

1. The Company does not offer Medicare Part D Supplement Product.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	167,609,944	169,857,425
2. Cost of bonds and stocks acquired	79,490,063	42,108,636
3. Accrual of discount	39,196	131,760
4. Unrealized valuation increase (decrease)	(2,620)	0
5. Total gain (loss) on disposals	1,816,536	896,193
6. Deduct consideration for bonds and stocks disposed of	54,958,734	43,248,739
7. Deduct amortization of premium	1,650,345	2,133,395
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	45,590	1,936
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9)	192,298,450	167,609,944
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	192,298,450	167,609,944

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a)	213,301,378	491,366,526	477,002,487	2,113,000	216,120,832	213,301,378	229,778,417	198,760,639
2. Class 2 (a)	24,110,703	11,500,867	1,459,718	(2,644,606)	22,284,847	24,110,703	31,507,246	25,082,232
3. Class 3 (a)	0	0	0	0	0	0	0	0
4. Class 4 (a)	0	0	0	0	0	0	0	0
5. Class 5 (a)	0	0	0	0	0	0	0	0
6. Class 6 (a)	0	0	0	0	0	0	0	0
7. Total Bonds	237,412,081	502,867,393	478,462,205	(531,606)	238,405,679	237,412,081	261,285,663	223,842,871
PREFERRED STOCK								
8. Class 1	0	0	0	0	0	0	0	0
9. Class 2	506,260	0	0	(8,370)	506,485	506,260	497,890	0
10. Class 3	0	0	0	0	0	0	0	0
11. Class 4	0	0	0	0	0	0	0	0
12. Class 5	0	0	0	0	0	0	0	0
13. Class 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	506,260	0	0	(8,370)	506,485	506,260	497,890	0
15. Total Bonds and Preferred Stock	237,918,341	502,867,393	478,462,205	(539,976)	238,912,164	237,918,341	261,783,553	223,842,871

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$0 ; NAIC 2 \$0 ; NAIC 3 \$0 ;

NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

SI02

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	69,485,103	XXX	69,484,921	18,767	0

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year56,232,927	21,619,008
2. Cost of short-term investments acquired	1,004,802,206	1,025,957,264
3. Accrual of discount	3,942	7,152
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	2,334	1,372
6. Deduct consideration received on disposals	991,556,306	991,351,869
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)69,485,103	.56,232,927
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	69,485,103	56,232,927

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards
N O N E

Schedule DB - Part B - Verification - Futures Contracts
N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open
N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open
N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives
N O N E

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of cash equivalents acquired	18,899,533	3,999,784
3. Accrual of discount	339	156
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	11	21
6. Deduct consideration received on disposals	18,899,883	3,999,961
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	0
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Schedule A - Part 2 - Real Estate Acquired and Additions Made
N O N E

Schedule A - Part 3 - Real Estate Disposed
N O N E

Schedule B - Part 2 - Mortgage Loans Acquired
N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid
N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired
N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid
N O N E

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Desig- nation or Market Indicator (a)
544351-JT-7	LOS ANGELES CA GO Cont Call 5.000% 09/01/22		.08/14/2013	Pershing	924,568	.800,000		18,667	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions									
246343-EN-3	DELAWARE RIVER P Rev Bond Non Call 5.500% 07/01/19		.08/13/2013	Wachovia Bank	1,531,257	.1,300,000		.8,938	1FE
438701-NG-5	HONOLULU CITY & Rev Bond Non Call 5.000% 07/01/15	E.	.07/03/2013	Morgan Stanley	.190,297	.175,000		.194	1FE
650035-IIP-9	NEW YORK ST URBA Rev Bond Non Call 5.000% 03/15/20		.07/02/2013	Wachovia Bank	2,017,783	.1,710,000		.26,838	1FE
717817-MS-6	PHILADELPHIA PA Rev Bond Non Call 5.000% 06/15/20		.08/08/2013	Pershing	1,712,055	.1,500,000		12,083	1FE
882135-6E-1	TEXAS ST A & M U Rev Bond Non Call 5.000% 05/15/17		.08/07/2013	Wachovia Bank	1,145,570	1,000,000		.5,417	1FE
927781-09-8	VIRGINIA ST CLG Rev Bond Cont Call 5.000% 02/01/25		.09/19/2013	JP Morgan Chase	2,073,476	.1,805,000		0	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues									
0258M0-DJ-5	AMER EXPRESS CR Corp Note Non Call 2.125% 07/27/18	E.	.07/24/2013	Deutsche Bank	324,662	.325,000		0	1FE
03076C-AF-3	AMERIPRISE FINL Corp Note M1 4.000% 10/15/23		.09/03/2013	Credit Suisse	995,180	.1,000,000		0	1FE
07330N-AD-7	BRANCH BKG & TR Corp Note Call 2.300% 10/15/18		.09/19/2013	Deutsche Bank	899,820	.900,000		0	1FE
101137-AM-9	BOSTON SCIENTIFIC Corp Note MW 2.650% 10/01/18		.08/08/2013	Merrill Lynch	449,793	.450,000		0	2FE
172967-GV-7	CITIGROUP INC Corp Note Non Call 1.226% 07/25/16	E.	.07/18/2013	Citigroup	450,000	.450,000		0	1FE
172967-HC-8	CITIGROUP INC Corp Note Non Call 2.500% 09/26/18		.09/19/2013	Citigroup	3,509,561	.3,525,000		0	1FE
216871-AE-3	COOPER US INC Corp Note Call MW PPut 3.875% 12/15/20		.09/17/2013	JP Morgan Chase	3,074,580	.3,000,000		.30,677	2FE
233851-BB-9	DAIMLER FINANCE Corp Note Non Call Prv Plc 1.125% 08/01/18	E.	.07/24/2013	Deutsche Bank	1,105,000	.1,105,000		0	1FE
29273R-AH-2	Energy Transfer Corp Note MW 50BP 6.700% 07/01/18		.07/24/2013	Morgan Stanley	473,780	.400,000		.2,084	2FE
37331N-AB-7	GEORGIA-PACIFIC Corp Note MW Prv Plc PPut 5.400% 11/01/20		.09/17/2013	Barclays Group Inc.	1,661,610	.1,500,000		.31,275	2FE
437076-BB-7	HOME DEPOT INC Corp Note Call MW 2.250% 09/10/18		.09/03/2013	Merrill Lynch	923,696	.925,000		0	1FE
59156R-BB-3	METLIFE INC Corp Note Non Call 4.368% 09/15/23		.09/03/2013	Deutsche Bank	1,005,000	.1,000,000		0	1FE
68389X-AR-6	ORACLE CORP Corp Note Non Call 0.848% 01/15/19	E.	.07/09/2013	Merrill Lynch	2,300,000	.2,300,000		0	1FE
709599-AK-0	Penske Truck LE Corp Note MW 45BP Prv Plc 3.375% 03/15/18		.06/26/2013	RBC Capital Markets	0	0		.187	2FE
07131R-AA-5	Battalion CLO BATLN 2013-4A A1 CLO Prv Plc 1.666% 10/22/25	F.	.08/23/2013	Merrill Lynch	922,688	.925,000		0	1FE
552081-AG-6	LyondellBasell Corp Note Call MW 50BP 5.000% 04/15/19	F.	.07/24/2013	FTN Financial Services	1,881,543	.1,700,000		.24,556	2FE
62405T-AA-5	MOUNTAIN HAWK CLO MHAWK 2013-2A A1 CLO Prv Plc 1.426% 07/22/24	R.	.08/06/2013	Deutsche Bank	840,438	.850,000		.1,035	1FE
64129K-AA-5	Neuberger Berman CLO NEUB 2013-15A A1 CLO Prv Plc 1.668% 10/15/25	F.	.09/12/2013	Wachovia Bank	750,000	.750,000		0	1FE
86960B-AE-2	SVENSKA HNLSBKN Corp Note Non Call 2.500% 01/25/19	F.	.09/19/2013	Merrill Lynch	1,124,064	.1,125,000		0	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)									
8399997. Total - Bonds - Part 3					22,691,415	22,230,000		.89,814	XXX
8399998. Total - Bonds - Part 5					32,286,421	30,520,000		.161,951	XXX
8399999. Total - Bonds					32,286,421	30,520,000		.161,951	XXX
8999997. Total - Preferred Stocks - Part 3					0	XXX		0	XXX
8999998. Total - Preferred Stocks - Part 5					XXX	XXX		XXX	XXX
8999999. Total - Preferred Stocks					0	XXX		0	XXX
9799997. Total - Common Stocks - Part 3					0	XXX		0	XXX
9799998. Total - Common Stocks - Part 5					XXX	XXX		XXX	XXX
9799999. Total - Common Stocks					0	XXX		0	XXX
9899999. Total - Preferred and Common Stocks					0	XXX		0	XXX
9999999 - Totals					32,286,421	XXX		.161,951	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- nation or Market In- dicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value							
.36179M-20-2	GNMA Pool MA0783 MBS 3.500% 02/20/43		.07/01/2013	Paydown		.8344	.8344	.8919	0	0	-(575)	0	-(575)	0	.8344	0	0	0	.97	02/20/2043	1
.36179M-20-2	GNMA Pool MA0783 MBS 3.500% 02/20/43		.08/01/2013	Paydown		10,060	10,060	10,753	0	0	-(693)	0	-(693)	0	10,060	0	0	0	.147	02/20/2043	1
.36179M-20-2	GNMA Pool MA0783 MBS 3.500% 02/20/43		.09/01/2013	Paydown		7,444	7,444	7,957	0	0	-(513)	0	-(513)	0	7,444	0	0	0	.130	02/20/2043	1
.36179M-VR-8	GNMA Pool MA0624 MBS 3.000% 12/20/42		.07/01/2013	Paydown		28,261	28,261	30,120	30,116	0	-(1,856)	0	-(1,856)	0	28,261	0	0	0	.495	12/20/2042	1
.36179M-VR-8	GNMA Pool MA0624 MBS 3.000% 12/20/42		.08/01/2013	Paydown		26,711	26,711	28,468	28,465	0	-(1,754)	0	-(1,754)	0	26,711	0	0	0	.534	12/20/2042	1
.36179M-VR-8	GNMA Pool MA0624 MBS 3.000% 12/20/42		.09/01/2013	Paydown		26,125	26,125	27,844	27,841	0	-(1,715)	0	-(1,715)	0	26,125	0	0	0	.588	12/20/2042	1
.362960-E7-0	GNMA Pool 697758 MBS 4.500% 04/15/24		.07/01/2013	Paydown		3,502	3,502	3,654	3,636	0	-(133)	0	-(133)	0	3,502	0	0	0	.92	04/15/2024	1
.362960-E7-0	GNMA Pool 697758 MBS 4.500% 04/15/24		.08/01/2013	Paydown		3,503	3,503	3,655	3,636	0	-(133)	0	-(133)	0	3,503	0	0	0	.105	04/15/2024	1
.362960-E7-0	GNMA Pool 697758 MBS 4.500% 04/15/24		.09/01/2013	Paydown		3,816	3,816	3,982	3,962	0	-(145)	0	-(145)	0	3,816	0	0	0	.129	04/15/2024	1
.362960-FA-2	GNMA Pool 697761 MBS 4.500% 04/15/24		.07/01/2013	Paydown		6,677	6,677	6,966	6,933	0	-(256)	0	-(256)	0	6,677	0	0	0	.175	04/15/2024	1
.362960-FA-2	GNMA Pool 697761 MBS 4.500% 04/15/24		.08/01/2013	Paydown		29,090	29,090	30,349	30,205	0	-(1,116)	0	-(1,116)	0	29,090	0	0	0	.873	04/15/2024	1
.362960-FA-2	GNMA Pool 697761 MBS 4.500% 04/15/24		.09/01/2013	Paydown		9,245	9,245	9,645	9,599	0	-(355)	0	-(355)	0	9,245	0	0	0	.312	04/15/2024	1
0599999. Subtotal - Bonds - U.S. Governments					162,778	162,778	172,312	144,393	0	(9,244)	0	(9,244)	0	162,778	0	0	0	3,677	XXX	XXX	
.20772J-DQ-2	CONNECTICUT ST GO Non Call 5.000% 11/01/18		.08/07/2013	National Financial Services Co		1,172,290	1,000,000	1,198,420	1,167,922	0	(16,734)	0	(16,734)	0	1,151,188	0	21,102	21,102	39,028	11/01/2018	1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions					1,172,290	1,000,000	1,198,420	1,167,922	0	(16,734)	0	(16,734)	0	1,151,188	0	21,102	21,102	39,028	XXX	XXX	
.544646-SY-9	LOS ANGELES CA U GO Non Call 5.000%		.07/01/13	Maturity		1,500,000	1,500,000	1,624,470	1,533,319	0	(33,319)	0	(33,319)	0	1,500,000	0	0	0	75,000	07/01/2013	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					1,500,000	1,500,000	1,624,470	1,533,319	0	(33,319)	0	(33,319)	0	1,500,000	0	0	0	75,000	XXX	XXX	
.312867-U6-8	FHLMC Pool E73305 MBS 6.000% 11/01/13		.07/01/2013	Paydown		.154	.154	.145	.152	0	2	0	2	0	.154	0	0	0	.5	11/01/2013	1
.312867-U6-8	FHLMC Pool E73305 MBS 6.000% 11/01/13		.08/01/2013	Paydown		.146	.146	.137	.144	0	2	0	2	0	.146	0	0	0	.6	11/01/2013	1
.312867-U6-8	FHLMC Pool E73305 MBS 6.000% 11/01/13		.09/01/2013	Paydown		.146	.146	.137	.144	0	2	0	2	0	.146	0	0	0	.7	11/01/2013	1
.3128P0-06-1	FHLMC Pool J11377 MBS 4.500% 12/01/24		.07/01/2013	Paydown		20,717	20,717	21,721	21,646	0	(928)	0	(928)	0	20,717	0	0	0	.544	12/01/2024	1
.3128P0-06-1	FHLMC Pool J11377 MBS 4.500% 12/01/24		.08/01/2013	Paydown		13,291	13,291	13,934	13,886	0	(596)	0	(596)	0	13,291	0	0	0	.399	12/01/2024	1
.3128P0-06-1	FHLMC Pool J11377 MBS 4.500% 12/01/24		.09/01/2013	Paydown		22,299	22,299	23,379	23,298	0	(999)	0	(999)	0	22,299	0	0	0	.753	12/01/2024	1
.3128Q5-17-5	FHLMC Pool 162534 MBS ARM 2.481% 10/01/36		.07/01/2013	Paydown		2,253	2,253	2,260	2,259	0	(6)	0	(6)	0	2,253	0	0	0	.35	10/01/2036	1
.3128Q5-17-5	FHLMC Pool 162534 MBS ARM 2.481% 10/01/36		.08/01/2013	Paydown		2,520	2,520	2,528	2,527	0	(7)	0	(7)	0	2,520	0	0	0	.45	10/01/2036	1
.3128Q5-17-5	FHLMC Pool 162534 MBS ARM 2.481% 10/01/36		.09/01/2013	Paydown		46,052	46,052	46,208	46,182	0	(130)	0	(130)	0	46,052	0	0	0	.929	10/01/2036	1
.3128Q4-DN-4	FNMA FNR 100109 MBS ARM 3.13% 09/01/36		.07/01/2013	Paydown		73,524	73,524	73,742	73,708	0	(184)	0	(184)	0	73,524	0	0	0	.2324	09/01/2036	1
.3128Q4-DN-4	FNMA FNR 100109 MBS ARM 3.13% 09/01/36		.08/01/2013	Paydown		109,879	109,879	110,205	110,153	0	(275)	0	(275)	0	109,879	0	0	0	.3,835	09/01/2036	1
.3128Q4-DN-4	FNMA FNR 100109 MBS ARM 3.13% 09/01/36		.09/01/2013	Paydown		29,953	29,953	30,042	30,028	0	(75)	0	(75)	0	29,953	0	0	0	.1,129	09/01/2036	1
.31294J-XE-3	FHLMC Pool E00677 MBS 6.000% 06/01/14		.07/01/2013	Paydown		2,837	2,837	2,870	2,795	0	(42)	0	(42)	0	2,837	0	0	0	.99	06/01/2014	1
.31294J-XE-3	FHLMC Pool E00677 MBS 6.000% 06/01/14		.08/01/2013	Paydown		2,436	2,436	2,292	2,400	0	36	0	36	0	2,436	0	0	0	.97	06/01/2014	1
.31294J-XE-3	FHLMC Pool E00677 MBS 6.000% 06/01/14		.09/01/2013	Paydown		2,332	2,332	2,195	2,298	0	35	0	35	0	2,332	0	0	0	.105	06/01/2014	1
.31358N-M5-8	FNMA FNR 1992-119 Z CMO 8.000% 07/25/22		.07/01/2013	Paydown		638	638	639	635	0	3	0	3	0	638	0	0	0	.30	07/25/2022	1
.31358N-M5-8	FNMA FNR 1992-119 Z CMO 8.000% 07/25/22		.08/01/2013	Paydown		540	540	541	538	0	2	0	2	0	540	0	0	0	.29	07/25/2022	1
.31358N-M5-8	FNMA FNR 1992-119 Z CMO 8.000% 07/25/22		.09/01/2013	Paydown		974	974	976	970	0	4	0	4	0	974	0	0	0	.59	07/25/2022	1
.31371M-AA-5	FNMA Pool 255701 MBS 5.000% 04/01/25		.07/01/2013	Paydown		2,891	2,891	2,901	2,898	0	(7)	0	(7)	0	2,891	0	0	0	.84	04/01/2025	1
.31371M-AA-5	FNMA Pool 255701 MBS 5.000% 04/01/25		.08/01/2013	Paydown		2,657	2,657	2,666	2,664	0	(7)	0	(7)	0	2,657	0	0	0	.89	04/01/2025	1
.31371M-AA-5	FNMA Pool 255701 MBS 5.000% 04/01/25		.09/01/2013	Paydown		1,929	1,929	1,936	1,934	0	(5)	0	(5)	0	1,929	0	0	0	.72	04/01/2025	1
.31374S-SU-1	FNMA Pool 323159 MBS 7.500% 04/01/28		.07/01/2013	Paydown		826	826	828	827	0	(1)	0	(1)	0	826	0	0	0	.36	04/01/2028</td	

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
31382X-QP-8	FNMA Pool 495562 MBS 6.000% 05/01/14		09/01/2013	Paydown		.685	.685	.660	.677	0	8	0	8	0	.685	.0	0	0	0	31	05/01/2014	1
31383J-V6-9	FNMA Pool 499337 MBS 7.500% 08/01/29		07/01/2013	Paydown		.73	.73	.73	.73	0	0	0	0	0	.73	.0	0	0	0	3	08/01/2029	1
31383J-V6-9	FNMA Pool 499337 MBS 7.500% 08/01/29		08/01/2013	Paydown		.75	.75	.76	.76	0	0	0	0	0	.75	.0	0	0	0	4	08/01/2029	1
31383J-V6-9	FNMA Pool 499337 MBS 7.500% 08/01/29		09/01/2013	Paydown		.77	.77	.77	.77	0	0	0	0	0	.77	.0	0	0	0	4	08/01/2029	1
31385H-N8-1	FNMA Pool 545015 MBS 6.000% 06/01/16		07/01/2013	Paydown		2,375	2,375	2,348	2,358	0	17	0	17	0	2,375	.0	0	0	0	83	06/01/2016	1
31385H-N8-1	FNMA Pool 545015 MBS 6.000% 06/01/16		08/01/2013	Paydown		2,013	2,013	1,990	1,999	0	14	0	14	0	2,013	.0	0	0	0	81	06/01/2016	1
31385H-N8-1	FNMA Pool 545015 MBS 6.000% 06/01/16		09/01/2013	Paydown		2,870	2,870	2,838	2,850	0	21	0	21	0	2,870	.0	0	0	0	129	06/01/2016	1
31386X-ND-4	FNMA Pool 576488 MBS 6.000% 04/01/16		07/01/2013	Paydown		4,607	4,607	4,637	4,609	0	(1)	0	(1)	0	4,607	.0	0	0	0	161	04/01/2016	1
31386X-ND-4	FNMA Pool 576488 MBS 6.000% 04/01/16		08/01/2013	Paydown		25,961	25,961	26,127	25,967	0	(6)	0	(6)	0	25,961	.0	0	0	0	1,038	04/01/2016	1
31386X-ND-4	FNMA Pool 576488 MBS 6.000% 04/01/16		09/01/2013	Paydown		2,789	2,789	2,807	2,790	0	(1)	0	(1)	0	2,789	.0	0	0	0	126	04/01/2016	1
31386X-RX-6	FNMA Pool 576602 MBS 6.000% 12/01/13		07/01/2013	Paydown		1,240	1,240	1,239	1,236	0	4	0	4	0	1,240	.0	0	0	0	43	12/01/2013	1
31386X-RX-6	FNMA Pool 576602 MBS 6.000% 12/01/13		08/01/2013	Paydown		1,093	1,093	1,092	1,089	0	4	0	4	0	1,093	.0	0	0	0	44	12/01/2013	1
31386X-RX-6	FNMA Pool 576602 MBS 6.000% 12/01/13		09/01/2013	Paydown		599	599	598	597	0	2	0	2	0	599	.0	0	0	0	27	12/01/2013	1
31387D-J9-1	FNMA Pool 580888 MBS 6.000% 05/01/16		07/01/2013	Paydown		775	775	770	771	0	4	0	4	0	775	.0	0	0	0	27	05/01/2016	1
31387D-J9-1	FNMA Pool 580888 MBS 6.000% 05/01/16		08/01/2013	Paydown		.779	.779	.774	.775	0	4	0	4	0	.779	.0	0	0	0	31	05/01/2016	1
31387D-J9-1	FNMA Pool 580888 MBS 6.000% 05/01/16		09/01/2013	Paydown		.784	.784	.784	.778	0	4	0	4	0	.784	.0	0	0	0	35	05/01/2016	1
31387J-VT-0	FNMA Pool 585726 MBS 6.000% 05/01/16		07/01/2013	Paydown		1,596	1,596	1,569	1,581	0	15	0	15	0	1,596	.0	0	0	0	56	05/01/2016	1
31387J-VT-0	FNMA Pool 585726 MBS 6.000% 05/01/16		08/01/2013	Paydown		5,270	5,270	5,180	5,219	0	51	0	51	0	5,270	.0	0	0	0	211	05/01/2016	1
31387J-VT-0	FNMA Pool 585726 MBS 6.000% 05/01/16		09/01/2013	Paydown		1,320	1,320	1,298	1,307	0	13	0	13	0	1,320	.0	0	0	0	59	05/01/2016	1
31389D-QH-3	FNMA Pool 622456 MBS 6.000% 12/01/16		07/01/2013	Paydown		3,594	3,594	3,613	3,595	0	(1)	0	(1)	0	3,594	.0	0	0	0	126	12/01/2016	1
31389D-QH-3	FNMA Pool 622456 MBS 6.000% 12/01/16		08/01/2013	Paydown		2,208	2,208	2,220	2,209	0	(1)	0	(1)	0	2,208	.0	0	0	0	88	12/01/2016	1
31389D-QH-3	FNMA Pool 622456 MBS 6.000% 12/01/16		09/01/2013	Paydown		3,555	3,555	3,573	3,556	0	(1)	0	(1)	0	3,555	.0	0	0	0	160	12/01/2016	1
3138EJ-XP-8	FNMA Pool AL2485 MBS 4.000% 09/01/42		07/01/2013	Paydown		4,054	4,054	4,407	4,000	0	(354)	0	(354)	0	4,054	.0	0	0	0	54	09/01/2042	1
3138EJ-XP-8	FNMA Pool AL2485 MBS 4.000% 09/01/42		08/01/2013	Paydown		4,637	4,637	5,042	4,000	0	(405)	0	(405)	0	4,637	.0	0	0	0	77	09/01/2042	1
3138EJ-XP-8	FNMA Pool AL2485 MBS 4.000% 09/01/42		09/01/2013	Paydown		4,081	4,081	4,437	4,000	0	(356)	0	(356)	0	4,081	.0	0	0	0	82	09/01/2042	1
3138EK-QF-5	FNMA Pool AL3153 MBS 4.000% 09/01/42		07/01/2013	Paydown		33,570	33,570	36,413	30,000	0	(2,843)	0	(2,843)	0	33,570	.0	0	0	0	448	09/01/2042	1
3138EK-QF-5	FNMA Pool AL3153 MBS 4.000% 09/01/42		08/01/2013	Paydown		.77,667	.77,667	.84,245	.66,667	0	(6,577)	0	(6,577)	0	.77,667	.0	0	0	0	1,294	09/01/2042	1
3138EK-QF-5	FNMA Pool AL3153 MBS 4.000% 09/01/42		09/01/2013	Paydown		49,344	49,344	53,523	50,000	0	(4,179)	0	(4,179)	0	49,344	.0	0	0	0	987	09/01/2042	1
3138M4-WI6-5	FNMA Pool AP1568 MBS 3.500% 02/01/43		07/01/2013	Paydown		3,231	3,231	3,231	3,399	0	(168)	0	(168)	0	3,231	.0	0	0	0	38	02/01/2043	1
3138M4-WI6-5	FNMA Pool AP1568 MBS 3.500% 02/01/43		08/01/2013	Paydown		37,216	37,216	37,216	39,151	0	(1,935)	0	(1,935)	0	37,216	.0	0	0	0	543	02/01/2043	1
3138M4-WI6-5	FNMA Pool AP1568 MBS 3.500% 02/01/43		09/01/2013	Paydown		.53,520	.53,520	.53,520	.56,303	0	(2,783)	0	(2,783)	0	.53,520	.0	0	0	0	937	02/01/2043	1
3138ML-CP-7	FNMA Pool A04577 MBS 3.500% 12/01/42		07/01/2013	Paydown		.37,135	.37,135	.37,135	.39,067	0	(1,932)	0	(1,932)	0	.37,135	.0	0	0	0	433	12/01/2042	1
3138ML-CP-7	FNMA Pool A04577 MBS 3.500% 12/01/42		08/01/2013	Paydown		.62,994	.62,994	.66,272	.62,994	0	(3,278)	0	(3,278)	0	.62,994	.0	0	0	0	.919	12/01/2042	1
3138ML-CP-7	FNMA Pool A04577 MBS 3.500% 12/01/42		09/01/2013	Paydown		4,544	4,544	4,780	4,000	0	(236)	0	(236)	0	4,544	.0	0	0	0	.80	12/01/2042	1
31410G-SS-8	FNMA Pool 889257 MBS 5.000% 04/01/21		07/01/2013	Paydown		36,957	36,957	39,354	39,013	0	(2,056)	0	(2,056)	0	36,957	.0	0	0	0	1,078	04/01/2021	1
31410G-SS-8	FNMA Pool 889257 MBS 5.000% 04/01/21		08/01/2013	Paydown		51,630	51,630	54,978	54,502	0	(2,872)	0	(2,872)	0	51,630	.0	0	0	0	1,721	04/01/2021	1
31410G-SS-8	FNMA Pool 889257 MBS 5.000% 04/01/21		09/01/2013	Paydown		45,842	45,842	48,814	48,392	0	(2,550)	0	(2,550)	0	45,842	.0	0	0	0	1,719	04/01/2021	1
31414R-QC-2	FNMA Pool 973951 MBS 5.000% 04/01/23		07/01/2013	Paydown		15,616	15,616	16,429	16,249	0	(813)	0	(813)	0	15,616	.0	0	0	0	455	04/01/2023	1
31414R-QC-2	FNMA Pool 973951 MBS 5.000% 04/01/23		08/01/2013	Paydown		16,079	16,079	17,009														

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date	22 NAIC Design- ation or Market In- dicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book /Adjusted Carrying Value								
.677050-AE-6 03/15/19	Oglethorpe Power Corp Note MW 50BP 6.100%		08/22/2013	Stifel Nicolaus & Co.			1,752,105	1,500,000	1,686,000	1,648,504	0	(13,792)	0	(13,792)	0	1,634,712	0	117,393	117,393	86,925	03/15/2019	1FE
.771196-AS-1 6.000% 03/01/19	Roche Hldgs Inc Corp Note MW 50BP Prv Plc		08/29/2013	Call 120,2350			52,903	44,000	46,587	45,772	0	7,131	0	7,131	0	52,903	0	0	0	2,625	03/01/2019	1FE
.88732J-AK-4 07/01/13	Time Warner Corp Note Cont Call 6.200%				800,000		800,000		798,302	799,810	0	190	0	190	0	800,000	0	0	0	49,600	07/01/2013	2FE
.067901-AB-4 04/01/19	Barrick Gold Corp Note MW 50BP 6.950%	A	07/09/2013	JP Morgan Chase			263,908	250,000	258,700	256,114	0	(426)	0	(426)	0	255,686	0	8,222	8,222	13,562	04/01/2019	2FE
.067901-AM-0 2.500% 05/01/18	Barrick Gold Corp Note MW 30BP Prv Plc	A	07/05/2013	Morgan Stanley			392,064	450,002	403,821	0	0	223	45,590	(45,367)	0	404,032	0	(11,968)	(11,968)	2,127	05/01/2018	2FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					3,274,390	3,057,412	3,207,700	2,764,146	0	(7,209)	45,590	(52,799)	0	0	3,160,743	0	113,647	113,647	155,306	XXX	XXX	
8399997. Total - Bonds - Part 4					11,879,849	11,228,471	12,303,195	11,035,465	0	(164,700)	45,590	(210,290)	0	0	11,699,235	0	180,614	180,614	505,674	XXX	XXX	
8399998. Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
8399999. Total - Bonds					11,879,849	11,228,471	12,303,195	11,035,465	0	(164,700)	45,590	(210,290)	0	0	11,699,235	0	180,614	180,614	505,674	XXX	XXX	
8999997. Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	
8999998. Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
8999999. Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	
9799997. Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	
9799998. Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
9799999. Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	
9899999. Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	
9999999. Totals					11,879,849	XXX	12,303,195	11,035,465	0	(164,700)	45,590	(210,290)	0	0	11,699,235	0	180,614	180,614	505,674	XXX	XXX	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....0

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D - Section 2 - Collateral for Derivative Instruments Open
N O N E

Schedule DB - Part D - Section 2 - Collateral for Derivative Instruments Open
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
JP Morgan Chase	Baton Rouge, LA	0.000	.0	0	(2,746,156)	(5,433,568)	(2,674,936)	XXX..
0199998. Deposits in ... 0	depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	XXX
0199999. Totals - Open Depositories		XXX	XXX	0	0	(2,746,156)	(5,433,568)	(2,674,936)
0299998. Deposits in ... 0	depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	XXX
0299999. Totals - Suspended Depositories		XXX	XXX	0	0	0	0	XXX
0399999. Total Cash on Deposit		XXX	XXX	0	0	(2,746,156)	(5,433,568)	(2,674,936)
0499999. Cash in Company's Office		XXX	XXX	XXX	XXX	0	0	XXX
0599999. Total - Cash		XXX	XXX	0	0	(2,746,156)	(5,433,568)	(2,674,936)

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare of Ohio, Inc.

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

NONE

8699999 - Total Cash Equivalents

13