



LIFE AND ACCIDENT AND HEALTH COMPANIES - ASSOCIATION EDITION

QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2013
OF THE CONDITION AND AFFAIRS OF THE

The Western and Southern Life Insurance Company

NAIC Group Code08360836NAIC Company Code70483Employer's ID Number31-0487145
(Current)(Prior)

Organized under the Laws ofOhio, State of Domicile or Port of EntryOhio

Country of DomicileUnited States of America

Incorporated/Organized02/23/1888Commenced Business04/30/1888

Statutory Home Office400 BroadwayCincinnati , OH, US 45202
(Street and Number)(City or Town, State, Country and Zip Code)

Main Administrative Office400 BroadwayCincinnati , OH, US 45202
(Street and Number)(City or Town, State, Country and Zip Code)513-629-1800
(Area Code) (Telephone Number)

Mail Address400 BroadwayCincinnati , OH, US 45202
(Street and Number or P.O. Box)(City or Town, State, Country and Zip Code)

Primary Location of Books and Records400 BroadwayCincinnati , OH, US 45202
(Street and Number)(City or Town, State, Country and Zip Code)513-629-1800
(Area Code) (Telephone Number)

Internet Website AddressWWW.WesternSouthernLife.com

Statutory Statement ContactBradley J. Hunkler513-629-2980
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OFFICERS

Chairman of Board,
President & CEOJohn Finn Barrett

Secretary and CounselDonald Joseph Wuebbling

OTHER

Edward Joseph Babbitt VP & Sr Counsel	Troy Dale Brodie VP	Keith Walker Brown VP & Chf Underwriter
Kim Rehling Chiodi Sr VP	Keith Terrill Clark, MD VP & Medical Director	Robert John DalSanto VP
James Joseph DeLuca VP	Bryan Chalmer Dunn Sr VP	Lisa Beth Fangman VP
Anthony Michael Garcia Sr VP & Chf Mkt Officer	Clint David Gibler Sr VP & Chf Inf Off	Stephen Paul Hamilton VP
Daniel Wayne Harris VP	Noreen Joyce Hayes Sr VP	David Todd Henderson VP & Chief Risk Officer
Kevin Louis Howard VP & Assoc Gen Counsel	Bradley Joseph Hunkler VP, Chief Accounting Officer	Robert Scott Kahn VP
Phillip Earl King VP & Auditor	Richard Anthony Krawczeski VP	Michael Joseph Laatsch VP
Harold Victor Lyons VP	Constance Marie Maccarone Sr VP	Jill Tripp McGruder Sr VP
Jimmy Joe Miller Sr VP	Nora Eyre Moushey Sr VP & Chf Actuary	Jonathan David Niemeyer Sr VP & General Counsel
Gene Anthony Patterson VP	Douglas Ivan Ross VP & Chf Tech Off	Mario Joseph San Marco VP
Nicholas Peter Sargen Sr VP & Chf Inv Off	Luc Paul Sicotte VP	Denise Lynn Sparks VP
Jeffrey Laurence Stainton VP & Assoc Gen Counsel	Thomas Martin Stapleton VP	David Eugene Theurich VP
James Joseph Vance VP & Treasurer	Robert Lewis Walker Sr VP & Chf Fin Off	

DIRECTORS OR TRUSTEES

John Finn Barrett	Donald Allen Bliss	James Norman Clark
Jo Ann Davidson	James Kirby Risk III #	George Victor Voinovich
George Herbert Walker III	Thomas Luke Williams	John Peter Zanotti #

State ofOhioSS:

County ofHamilton

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

John Finn Barrett
Chairman of Board, President & CEO

Donald Joseph Wuebbling
Secretary and Counsel

Bradley Joseph Hunkler
VP, Chief Accounting Officer

Subscribed and sworn to before me this28th day ofOctober 2013

a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	3,203,715,870	0	3,203,715,870	3,385,054,618
2. Stocks:				
2.1 Preferred stocks	1,035,107	0	1,035,107	112,018
2.2 Common stocks	3,586,291,971	187,297	3,586,104,674	2,967,803,097
3. Mortgage loans on real estate:				
3.1 First liens	30,768,881	0	30,768,881	31,792,965
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)	27,472,691	0	27,472,691	27,386,209
4.2 Properties held for the production of income (less \$ encumbrances)	3,176,421	0	3,176,421	3,249,074
4.3 Properties held for sale (less \$ encumbrances)			0	423,659
5. Cash (\$397,088), cash equivalents (\$158,164,640) and short-term investments (\$43,498,823)	202,060,552	0	202,060,552	118,438,134
6. Contract loans (including \$ premium notes)	174,201,215	0	174,201,215	175,189,761
7. Derivatives	23,437,815	0	23,437,815	0
8. Other invested assets	923,518,151	58,975,077	864,543,074	808,021,568
9. Receivables for securities	7,841,501	0	7,841,501	3,385,942
10. Securities lending reinvested collateral assets	36,625,054	0	36,625,054	21,522,427
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	8,220,145,229	59,162,374	8,160,982,855	7,542,379,472
13. Title plants less \$ charged off (for Title insurers only)			0	
14. Investment income due and accrued	46,895,205	0	46,895,205	42,407,215
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	3,102,155	0	3,102,155	3,169,131
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)	51,248,390		51,248,390	50,630,561
15.3 Accrued retrospective premiums			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	277,069	0	277,069	316,196
16.2 Funds held by or deposited with reinsured companies			0	
16.3 Other amounts receivable under reinsurance contracts	17,962,031	0	17,962,031	21,016,951
17. Amounts receivable relating to uninsured plans			0	
18.1 Current federal and foreign income tax recoverable and interest thereon		0	0	15,082,499
18.2 Net deferred tax asset	14,810,131	0	14,810,131	75,635,273
19. Guaranty funds receivable or on deposit	1,242,700	0	1,242,700	1,317,766
20. Electronic data processing equipment and software	13,607,409	10,467,379	3,140,030	2,058,933
21. Furniture and equipment, including health care delivery assets (\$)	5,926,073	5,926,073	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	
23. Receivables from parent, subsidiaries and affiliates	48,728,266	27,201,200	21,527,066	52,896,168
24. Health care (\$) and other amounts receivable	1,843,201	926,895	916,306	264,467
25. Aggregate write-ins for other than invested assets	5,786,790	5,786,790	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	8,431,574,649	109,470,711	8,322,103,938	7,807,174,632
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	905,130,284	0	905,130,284	805,136,841
28. Total (Lines 26 and 27)	9,336,704,933	109,470,711	9,227,234,222	8,612,311,473
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Prepaid expense	5,786,790	5,786,790	0	
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	5,786,790	5,786,790	0	0

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$2,643,334,107 less \$ included in Line 6.3 (including \$ Modco Reserve)	2,643,334,107	2,640,978,982
2. Aggregate reserve for accident and health contracts (including \$ Modco Reserve)	221,298,832	217,500,507
3. Liability for deposit-type contracts (including \$ Modco Reserve)	245,042,014	254,300,193
4. Contract claims:		
4.1 Life	64,664,517	60,504,557
4.2 Accident and health	3,482,060	3,737,270
5. Policyholders' dividends \$265,000 and coupons \$ due and unpaid	265,000	300,000
6. Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1 Dividends apportioned for payment (including \$ Modco)	21,350,535	1,397,655
6.2 Dividends not yet apportioned (including \$ Modco)	29,803,264	39,309,862
6.3 Coupons and similar benefits (including \$ Modco)		
7. Amount provisionally held for deferred dividend policies not included in Line 6		
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$ discount; including \$110,757 accident and health premiums	4,804,022	4,778,280
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts	5,813,550	9,017,579
9.2 Provision for experience rating refunds, including the liability of \$ accident and health experience rating refunds of which \$0 is for medical loss ratio rebate per the Public Health Service Act		
9.3 Other amounts payable on reinsurance, including \$ assumed and \$451,620 ceded	451,620	432,647
9.4 Interest Maintenance Reserve	39,375,308	40,426,178
10. Commissions to agents due or accrued-life and annuity contracts \$1,476,227 , accident and health \$188,824 and deposit-type contract funds \$	1,665,051	1,140,570
11. Commissions and expense allowances payable on reinsurance assumed	376,989	399,951
12. General expenses due or accrued	225,220,954	240,469,639
13. Transfers to Separate Accounts due or accrued (net) (including \$ accrued for expense allowances recognized in reserves, net of reinsured allowances)		0
14. Taxes, licenses and fees due or accrued, excluding federal income taxes	3,245,380	3,230,706
15.1 Current federal and foreign income taxes, including \$27,788,705 on realized capital gains (losses)	19,477,784	
15.2 Net deferred tax liability		
16. Unearned investment income	3,705,236	3,705,236
17. Amounts withheld or retained by company as agent or trustee	1,027,865	854,792
18. Amounts held for agents' account, including \$ agents' credit balances		
19. Remittances and items not allocated	3,311,025	5,668,036
20. Net adjustment in assets and liabilities due to foreign exchange rates		
21. Liability for benefits for employees and agents if not included above	124,865,282	114,775,612
22. Borrowed money \$0 and interest thereon \$		
23. Dividends to stockholders declared and unpaid		
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve	317,992,525	237,903,678
24.02 Reinsurance in unauthorized and certified (\$) companies		0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$) reinsurers		
24.04 Payable to parent, subsidiaries and affiliates	2,471	1,416
24.05 Drafts outstanding		
24.06 Liability for amounts held under uninsured plans		
24.07 Funds held under coinsurance		
24.08 Derivatives	15,212,462	1,098,310
24.09 Payable for securities	29,826,427	1,013,432
24.10 Payable for securities lending	178,756,328	107,218,484
24.11 Capital notes \$ and interest thereon \$		
25. Aggregate write-ins for liabilities	93,095,656	88,474,251
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25)	4,297,466,264	4,078,637,823
27. From Separate Accounts Statement	905,130,284	805,136,841
28. Total liabilities (Lines 26 and 27)	5,202,596,548	4,883,774,664
29. Common capital stock	1,000,000	1,000,000
30. Preferred capital stock		
31. Aggregate write-ins for other than special surplus funds	0	0
32. Surplus notes		
33. Gross paid in and contributed surplus	55,002,515	55,002,515
34. Aggregate write-ins for special surplus funds	0	0
35. Unassigned funds (surplus)	3,968,635,159	3,672,534,294
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 29 \$)		
36.2 shares preferred (value included in Line 30 \$)		
37. Surplus (Total Lines 31+32+33+34+35-36) (including \$ in Separate Accounts Statement)	4,023,637,674	3,727,536,809
38. Totals of Lines 29, 30 and 37	4,024,637,674	3,728,536,809
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3)	9,227,234,222	8,612,311,473
DETAILS OF WRITE-INS		
2501. Pension liability	90,626,898	85,608,680
2502. Uncashed drafts and checks pending escheatment	2,152,146	2,548,959
2503. Interest on policy and contract funds	316,612	316,612
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	93,095,656	88,474,251
3101.		
3102.		
3103.		
3198. Summary of remaining write-ins for Line 31 from overflow page	0	0
3199. Totals (Lines 3101 through 3103 plus 3198)(Line 31 above)	0	0
3401.		
3402.		
3403.		
3498. Summary of remaining write-ins for Line 34 from overflow page	0	0
3499. Totals (Lines 3401 through 3403 plus 3498)(Line 34 above)	0	0

SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts	191,989,789	201,355,424	279,169,424
2. Considerations for supplementary contracts with life contingencies	25,789		0
3. Net investment income	225,647,952	208,722,049	279,173,502
4. Amortization of Interest Maintenance Reserve (IMR)	2,291,692	2,526,110	3,269,245
5. Separate Accounts net gain from operations excluding unrealized gains or losses			
6. Commissions and expense allowances on reinsurance ceded			272,143
7. Reserve adjustments on reinsurance ceded			
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			0
8.2 Charges and fees for deposit-type contracts			
8.3 Aggregate write-ins for miscellaneous income	121,021	0	1,412,932
9. Totals (Lines 1 to 8.3)	420,076,243	412,603,583	563,297,246
10. Death benefits	116,099,107	107,990,012	155,764,663
11. Matured endowments (excluding guaranteed annual pure endowments)	6,194,845	5,348,304	6,631,532
12. Annuity benefits	71,116,530	73,401,757	97,039,081
13. Disability benefits and benefits under accident and health contracts	15,625,247	18,323,219	23,705,453
14. Coupons, guaranteed annual pure endowments and similar benefits			
15. Surrender benefits and withdrawals for life contracts	59,899,279	90,807,437	113,906,813
16. Group conversions			
17. Interest and adjustments on contract or deposit-type contract funds	7,011,770	7,100,546	9,130,987
18. Payments on supplementary contracts with life contingencies	493,565	529,529	702,283
19. Increase in aggregate reserves for life and accident and health contracts	6,178,000	6,440,999	18,224,878
20. Totals (Lines 10 to 19)	282,618,343	309,941,803	425,105,690
21. Commissions on premiums, annuity considerations, and deposit-type contract funds (direct business only)	21,843,751	23,747,751	31,636,376
22. Commissions and expense allowances on reinsurance assumed	1,189,532	1,318,794	1,814,444
23. General insurance expenses	78,981,395	105,260,862	133,515,952
24. Insurance taxes, licenses and fees, excluding federal income taxes	12,411,512	11,569,275	14,499,801
25. Increase in loading on deferred and uncollected premiums	(425,767)	(55,960)	(638,739)
26. Net transfers to or (from) Separate Accounts net of reinsurance	(37,213,746)	(34,256,599)	(47,129,246)
27. Aggregate write-ins for deductions	3,062,597	(44,184,072)	(53,164,316)
28. Totals (Lines 20 to 27)	362,467,617	373,341,854	505,639,962
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28)	57,608,626	39,261,729	57,657,284
30. Dividends to policyholders	43,976,132	43,704,068	58,120,943
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30)	13,632,494	(4,442,339)	(463,659)
32. Federal and foreign income taxes incurred (excluding tax on capital gains)	24,892,976	21,816,926	22,591,022
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32)	(11,260,482)	(26,259,265)	(23,054,681)
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$27,120,570 (excluding taxes of \$668,135 transferred to the IMR)	55,356,141	24,707,954	34,212,186
35. Net income (Line 33 plus Line 34)	44,095,659	(1,551,311)	11,157,505
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year	3,728,536,809	3,554,556,264	3,554,556,264
37. Net income (Line 35)	44,095,659	(1,551,311)	11,157,503
38. Change in net unrealized capital gains (losses) less capital gains tax of \$62,435,222	265,867,551	204,780,728	141,405,900
39. Change in net unrealized foreign exchange capital gain (loss)			
40. Change in net deferred income tax	19,836,886	15,063,070	39,815,694
41. Change in nonadmitted assets	421,904,959	31,487,039	16,338,278
42. Change in liability for reinsurance in unauthorized and certified companies			
43. Change in reserve on account of change in valuation basis, (increase) or decrease	5,730,684		0
44. Change in asset valuation reserve	(80,088,847)	(37,059,795)	(28,277,948)
45. Change in treasury stock			0
46. Surplus (contributed to) withdrawn from Separate Accounts during period			
47. Other changes in surplus in Separate Accounts Statement			
48. Change in surplus notes			
49. Cumulative effect of changes in accounting principles	10,272,370		
50. Capital changes:			
50.1 Paid in			
50.2 Transferred from surplus (Stock Dividend)			
50.3 Transferred to surplus			
51. Surplus adjustment:			
51.1 Paid in	0	0	30,000,000
51.2 Transferred to capital (Stock Dividend)			
51.3 Transferred from capital			
51.4 Change in surplus as a result of reinsurance			
52. Dividends to stockholders			
53. Aggregate write-ins for gains and losses in surplus	(391,518,396)	0	(36,458,882)
54. Net change in capital and surplus for the year (Lines 37 through 53)	296,100,866	212,719,731	173,980,545
55. Capital and surplus, as of statement date (Lines 36 + 54)	4,024,637,675	3,767,275,995	3,728,536,809
DETAILS OF WRITE-INS			
08.301. Miscellaneous income	121,021		1,412,932
08.302.			
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399. Totals (Lines 08.301 through 08.303 plus 08.398) (Line 8.3 above)	121,021	0	1,412,932
2701. Reserve adjustment on reinsurance assumed - Integrity	(55,237,304)	(87,557,739)	(108,574,691)
2702. Benefits for employees and agents not included elsewhere	57,844,345	42,860,098	54,792,715
2703. Securities lending interest expense	237,237	240,165	382,485
2798. Summary of remaining write-ins for Line 27 from overflow page	218,319	273,404	235,175
2799. Totals (Lines 2701 through 2703 plus 2798)(Line 27 above)	3,062,597	(44,184,072)	(53,164,316)
5301. Change in pension liability from adoption of SSAP 102 (offset in Change in nonadmitted assets)	(415,095,818)		(36,458,882)
5302. Change in unrecognized pension liability	23,260,281		
5303. Change in unrecognized other postretirement employee benefits liability	317,141		
5398. Summary of remaining write-ins for Line 53 from overflow page	0	0	0
5399. Totals (Lines 5301 through 5303 plus 5398)(Line 53 above)	(391,518,396)	0	(36,458,882)

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	190,541,829	197,792,289	276,802,387
2. Net investment income	234,796,201	206,940,635	283,236,629
3. Miscellaneous income	1,204,671	137,878	202,816
4. Total (Lines 1 to 3)	426,542,701	404,870,802	560,241,832
5. Benefit and loss related payments	180,444,032	209,823,728	266,878,464
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	(57,878,222)	(34,256,599)	(47,129,246)
7. Commissions, expenses paid and aggregate write-ins for deductions	174,135,319	110,034,959	157,686,810
8. Dividends paid to policyholders	33,564,850	33,705,800	58,206,464
9. Federal and foreign income taxes paid (recovered) net of \$ 27,788,705 tax on capital gains (losses)	18,121,398	26,507,839	62,945,800
10. Total (Lines 5 through 9)	348,387,377	345,815,727	498,588,292
11. Net cash from operations (Line 4 minus Line 10)	78,155,324	59,055,075	61,653,540
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	596,114,655	770,384,468	1,015,903,468
12.2 Stocks	554,863,893	279,229,126	423,748,774
12.3 Mortgage loans	1,024,084	5,488,932	5,831,726
12.4 Real estate	0	6,709,888	6,709,888
12.5 Other invested assets	76,026,580	92,242,704	117,552,790
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	9,485	25,988	25,988
12.7 Miscellaneous proceeds	30,798,479		0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	1,258,837,176	1,154,081,106	1,569,772,634
13. Cost of investments acquired (long-term only):			
13.1 Bonds	416,566,806	812,789,901	1,046,065,640
13.2 Stocks	804,886,078	355,799,403	474,934,395
13.3 Mortgage loans	0		0
13.4 Real estate	734,496	7,254,322	7,458,884
13.5 Other invested assets	91,594,203	137,761,880	157,478,107
13.6 Miscellaneous applications	15,120,607	4,568,628	5,267,863
13.7 Total investments acquired (Lines 13.1 to 13.6)	1,328,902,190	1,318,174,134	1,691,204,889
14. Net increase (or decrease) in contract loans and premium notes	(988,546)	1,364,267	1,462,050
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(69,076,468)	(165,457,295)	(122,894,305)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	30,000,000
16.3 Borrowed funds	0	8,891,864	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	(9,258,179)	1,427,933	(427,708)
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	83,801,741	(51,561,370)	(111,394,365)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	74,543,562	(41,241,573)	(81,822,073)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	83,622,418	(147,643,793)	(143,062,838)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	118,438,134	261,500,972	261,500,972
19.2 End of period (Line 18 plus Line 19.1)	202,060,552	113,857,179	118,438,134

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS			
	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life	143,382	161,975	14,583,336
2. Ordinary life insurance	169,817,481	173,013,238	227,399,581
3. Ordinary individual annuities	37,034	65,423	172,133
4. Credit life (group and individual)			0
5. Group life insurance	1,245,311	3,621,595	5,907,708
6. Group annuities			0
7. A & H - group			0
8. A & H - credit (group and individual)			0
9. A & H - other	22,040,280	23,113,472	30,459,529
10. Aggregate of all other lines of business	0	0	0
11. Subtotal	193,283,488	199,975,703	278,522,287
12. Deposit-type contracts			0
13. Total	193,283,488	199,975,703	278,522,287
DETAILS OF WRITE-INS			
1001.			
1002.			
1003.			
1098. Summary of remaining write-ins for Line 10 from overflow page	0	0	0
1099. Totals (Lines 1001 through 1003 plus 1098)(Line 10 above)	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of The Western and Southern Life Insurance Company (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance (the Department).

The Department recognizes only statutory accounting practices prescribed or permitted by the state of Ohio for determining and reporting the financial condition and results of operations of an insurance company. The National Association of Insurance Commissioners’ (NAIC) *Accounting Practices and Procedures* manual, (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the state of Ohio. The Department has the right to permit other specific practices that deviate from prescribed practices. There are no differences between the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio.

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	State of Domicile	2013	2012
<u>NET INCOME</u>			
(1) State basis (Page 4, Line 35, Column 1 & 2)	Ohio	\$ 44,095,659	\$ 11,157,505
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:		0	0
(3) State Permitted Practices that increase/(decrease) NAIC SAP:		0	0
(4) NAIC SAP (1-2-3=4)	Ohio	<u>\$ 44,095,659</u>	<u>\$ 11,157,505</u>
<u>SURPLUS</u>			
(5) State basis (Page 3, Line 38, Columns 1 & 2)	Ohio	\$ 4,024,637,674	\$ 3,728,536,809
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:		0	0
(7) State Permitted Practices that increase/(decrease) NAIC SAP:		0	0
(8) NAIC SAP (5-6-7=8)	Ohio	<u>\$ 4,024,637,674</u>	<u>\$ 3,728,536,809</u>

B. Use of Estimates in the Preparation of the Financial Statements. No change.

C. Accounting Policy

The Company has entered into an interest rate swap and swaptions in an effort to mitigate the risk of rising interest rates on long maturity bonds. The interest rate swap is forward starting and allows the Company to pay a fixed interest rate in exchange for receipt of a floating interest rate. The swaptions provide the Company an option to enter into an interest rate swap with the counterparty on specified terms. The derivative instruments are not designated as a hedge for accounting purposes. These instruments are carried at fair value on the balance sheet with changes in fair value recorded in surplus. If the instruments are terminated prior to maturity, proceeds are exchanged equal to the fair value of the contract and a realized gain or loss would be recognized.

The Company sells covered call options on equity securities to enhance investment returns. The Company receives a premium for entering into the transaction and will be required to sell the underlying security if the option is exercised after the equity price reaches a specified level. The options are designated as income generation transactions and follow the accounting for the underlying equity securities. The options are carried at fair value on the balance sheet with changes in fair value recorded in surplus. If the option expires, the premium is recognized as a realized gain. The Company can pay to close the transaction, rather than letting the option expire or be exercised, with the net proceeds recognized as a realized gain or loss. If the option is exercised, the premium received is used to adjust the disposition proceeds and included in the disposed securities’ capital gain or loss.

2. Accounting Changes and Corrections of Errors

The Company made the following accounting changes in 2013:

Effective January 1, 2013, the Company changed its methodology of reporting the dividend accumulation liability to a policy level basis from an aggregate basis. This resulted in a change of statutory reserve valuation that is required to be recorded directly to surplus rather than as a part of the reserve change recognized in the summary of operations. The Company has recorded \$5.7 million directly as an increase to surplus as a result of the change in valuation basis through the Change in Reserve on Account of Change in Valuation Basis on the Summary of Operations.

Effective January 1, 2013, the Company adopted Statement of Statutory Accounting Principle No. 102, *Accounting for Pensions, A Replacement of SSAP No. 89* (SSAP 102).

SSAP 102 requires any underfunded defined benefit pension amounts, as determined when the projected benefit obligation exceeds the fair value of plan assets, to be recognized as a liability under SSAP No. 5R. This liability is to be reported in the first quarter statutory financial statement after the transition date with a corresponding entry directly to surplus. The Company elected to utilize the minimum transition option reflected in paragraph 85 of SSAP No. 102 which requires the initial transition liability to be the greater of paragraphs 85bi, 85bii, and 85biii:

Minimum Transition Liability Disclosure		
85b.i	10% of Calculated Surplus Impact	\$12,322,038
85b.ii	Estimated Annual Amortization of “Unrecognized Items”	\$48,732,676
85b.iii	Difference Between Accumulated Benefit Obligation and Fair Value of Plan Assets	\$90,626,898
Minimum Transition Liability		\$90,626,898

The Company had recorded an additional minimum pension liability at December 31, 2012 of \$85,501,338, under the previous guidance for pensions, SSAP 89, *Accounting for Pensions, A Replacement of SSAP No. 8*. As a result, the adoption of SSAP 102 resulted in a \$5,125,560 increase to the Company’s pension liability on the balance sheet and a \$3,331,614 decrease to surplus, net of tax impact (included line 48, Cumulative Effect of Changes in Accounting Principles).

Recognized Surplus Impact at Transition & Remaining Transition Liability	
Underfunding at 1/1/2013	\$123,220,378
Amount previously recognized at 12/31/2012	85,501,338
Amount recognized at 1/1/13	<u>5,125,560</u>
Remaining Transition Liability	\$32,593,480

Although the Company elected the transition option for surplus deferral, and SSAP 102 allows up to 10 years for deferral, the Company must continue to recognize a minimum amount of the transition liability annually. SSAP 102 requires the Company to recognize each year an amount that is at least equal to the estimated annual amortization of the unrecognized items in effect at transition. The Company’s estimated annual amortization of the unrecognized items in effect at transition is \$48,732,676. As a result, the Company anticipates that the remaining \$32,593,480 surplus impact from the

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

NOTES TO FINANCIAL STATEMENTS

election of the transition deferral in SSAP 102 will be recognized in 2014.

The following provides the status of the pension plan as of Dec, 31, 2012 and the transition date (Jan. 1, 2013):

	December 31, 2012	January 1, 2013
Accumulated Benefit Obligation	\$875,739,690	\$875,739,690
Projected Benefit Obligation	\$905,715,854	\$905,715,854
Plus: Non-Vested liability	7,742,876	7,742,876
Total PBO	\$913,458,730	\$913,458,730
Plan Assets at Fair Value	<u>\$790,238,352</u>	<u>\$790,238,352</u>
Funded Status	\$(123,220,378)	\$(123,220,378)
Transition Obligation / (Asset)	-	-
Prior Service Cost	\$(37,696,168)	-
Prior Service Cost (Non-Vested)	-	-
Unrecognized Losses / (Gains)	581,564,115	-
Total Unrecognized Items	\$543,867,947	-
Overfunded Plan Asset / (Liability for Benefits)	<u>\$420,647,569</u>	<u>\$(90,626,898)</u>

In the March 31, 2013 financial statements, the \$90,626,898 liability for pension benefits will be reflected in the financial statements as an Aggregate Write-In for Liabilities. The Company has deferred surplus recognition in the amount of \$32,593,480.

In addition, as a result of the adoption of SSAP 102, the Company will no longer carry a non-admitted prepaid pension asset on the balance sheet. This results in a change in non-admitted assets through surplus (line 41 on the Summary of Operations) of \$428,390,401 which is offset by an aggregate write-in for gains and losses to surplus (line 5301 on the Summary of Operations) of \$428,390,401.

Effective January 1, 2013, the Company adopted Statement of Statutory Accounting Principle No. 92, *Accounting for Postretirement Benefits Other Than Pensions, A Replacement of SSAP No. 14* (SSAP 92). The adoption of SSAP 92 increased surplus by \$13,603,984, net of tax impact (included line 48, Cumulative Effect of Changes in Accounting Principles).

SSAP 92 requires any underfunded defined benefit amounts, as determined when the accumulated benefit obligation exceeds the fair value of plan assets, to be recognized as a liability under SSAP No. 5R. This liability is to be reported in the first quarter statutory financial statement after the transition date with a corresponding entry directly to surplus. The Company elected to recognize the full impact SSAP 92 immediately upon adoption.

The following provides the status of the post-retirement benefit plan as of Dec, 31, 2012 and the transition date (Jan. 1, 2013):

	December 31, 2012	January 1, 2013
Accumulated Benefit Obligation, including non-vesteds	\$209,292,065	\$209,292,065
Plan Assets at Fair Value	-	-
Funded Status	\$(209,292,065)	\$(209,292,065)
Prior Service Cost	\$(35,669,226)	-
Prior Service Cost (Non-Vested)	31,145,595	-
Unrecognized Losses / (Gains)	(16,309,108)	-
Total Unrecognized Items	\$20,832,739	-
Overfunded Plan Asset / (Liability for Benefits)	<u>\$(230,124,804)</u>	<u>\$(209,292,065)</u>

In the March 31, 2013 financial statements, the \$209,292,065 liability for post-retirement benefits will be reflected in the financial statements on General Expenses Due or Accrued line.

The Company made the following accounting changes in 2012:

Effective January 1, 2012, the Company adopted Statement of Statutory Accounting Principle No. 101, *Income Taxes, a Replacement of SSAP No. 10R and SSAP No. 10* (SSAP 101). SSAP 101 amends the deferred tax asset admittance test set forth in SSAP 10R, *Income Taxes – A Temporary Replacement of SSAP 10* (SSAP 10R), by limiting the admissibility thresholds based on current period risk-based capital levels and modifying disclosure requirements. In addition, SSAP 101 no longer requires admitted deferred tax assets above certain thresholds to be classified as aggregate write-ins for other than special surplus funds.

The adoption of SSAP 101 did not impact the Company’s statutory surplus at January 1, 2012. In addition, the Company reclassified \$18.4 million on the Liabilities, Surplus and Other Funds page from aggregate write-ins for other than special surplus funds (line 34) to unassigned funds (line 35).

3. Business Combinations and Goodwill. No change.
4. Discontinued Operations. No change.
5. Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans. No change.

B. Debt Restructuring. None.

C. Reverse Mortgages. None.

D. Loan-Backed Securities

(1) The prepayment assumptions used in the valuation process were from Bloomberg and broker dealer prepayment models or derived from empirical data.

(2) The Company had no other-than-temporary impairments on loan-backed and structured securities for the nine month period ended September 30, 2013, years ended December 31, 2012, 2011 and 2010 and the six month period ended December 31, 2009 due to the intent to sell the security or the inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis of the security.

(3) The following is a list of each loan-backed and structured security with a recognized other-than-temporary impairment, for the nine month period ended September 30, 2013, years ended December 31, 2012, 2011 and 2010 and the six month period ended December 31, 2009, as the present value of future cash flows expected to be collected is less than the amortized cost basis of the securities:

NOTES TO FINANCIAL STATEMENTS

CUSIP	Book/Adj Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Future Cash Flows	Recognized Other-Than- Temporary Impairment	Amortized Cost After Other-Than- Temporary Impairment	Fair Value	Date of Financial Statement Where Reported
For the nine month period ended September 30,2013:						
126694JX7	\$ 1,842,742	\$ 1,667,364	\$ 175,378	\$ 1,667,364	\$ 1,657,392	9/30/2013
3622ELAF3	4,504,925	4,094,663	410,262	4,094,663	3,569,694	9/30/2013
52523KAJ3	1,423,135	1,348,170	74,965	1,348,170	889,729	9/30/2013
86359DSR9	807,737	791,021	16,716	791,021	791,086	9/30/2013
12667GXD0	4,393,568	4,175,464	218,104	4,175,464	3,974,707	6/30/2013
32051GSD8	1,384,279	1,352,765	31,514	1,352,765	1,293,174	6/30/2013
466247ZQ9	12,440,969	12,148,075	292,894	12,148,075	11,624,808	6/30/2013
Total	XXX	XXX	\$ 1,219,833	XXX	XXX	

For the year ended December 31,2012:

12667GPV9	\$ 2,893,577	\$ 2,641,389	\$ 252,188	\$ 2,641,389	\$ 2,569,560	12/31/2012
225458PR3	2,004,871	1,921,543	83,328	1,921,543	1,995,608	12/31/2012
46628SAH6	3,691,798	3,054,881	636,917	3,054,881	2,787,801	12/31/2012
61751DAH7	11,584,095	10,928,227	655,868	10,928,227	9,388,023	12/31/2012
12668ANW1	1,805,631	1,708,902	96,729	1,708,902	1,546,473	9/30/2012
221470AA5	6,572,119	4,497,301	2,074,818	4,497,301	2,803,929	9/30/2012
61749EAF4	2,260,033	2,085,769	174,264	2,085,769	1,804,931	9/30/2012
75970JAD8	1,106,989	1,058,545	48,444	1,058,545	764,142	9/30/2012
75970JAJ5	6,478,972	6,057,505	421,467	6,057,505	4,210,662	9/30/2012
02148JAD9	3,100,483	2,922,562	177,921	2,922,562	2,491,805	6/30/2012
12667GPW7	14,047,311	13,296,322	750,989	13,296,322	11,565,934	6/30/2012
12668AAL9	10,237,420	9,273,619	963,801	9,273,619	8,275,171	6/30/2012
12668BYF4	1,388,354	1,336,051	52,303	1,336,051	1,083,557	6/30/2012
45660L2V0	5,243,619	5,012,492	231,127	5,012,492	3,947,539	6/30/2012
52521HAJ2	2,479,717	2,135,940	343,777	2,135,940	1,817,575	6/30/2012
52523KAJ3	1,523,417	1,431,817	91,600	1,431,817	720,719	6/30/2012
74922EAF6	319,609	311,973	7,636	311,973	275,076	6/30/2012
761118XQ6	1,407,079	1,292,868	114,211	1,292,868	1,137,091	6/30/2012
76112HAD9	11,661,873	10,651,248	1,010,625	10,651,248	7,755,163	6/30/2012
86359DSR9	1,045,265	1,008,172	37,093	1,008,172	874,101	6/30/2012
872225AF4	92,735	84,024	8,711	84,024	69,707	6/30/2012
Total	XXX	XXX	\$ 8,233,817	XXX	XXX	

For the year ended December 31, 2011:

05948KXT1	\$ 4,598,456	\$ 4,428,061	\$ 170,395	\$ 4,428,061	\$ 3,473,398	12/31/2011
12668BYF4	1,507,164	1,423,563	83,601	1,423,563	1,108,766	12/31/2011
17309AAD1	14,373,132	13,443,016	930,116	13,443,016	11,912,713	12/31/2011
46628SAJ2	2,306,563	1,946,352	360,211	1,946,352	1,266,464	12/31/2011
61751DAH7	13,499,462	12,977,338	522,124	12,977,338	8,018,529	12/31/2011
61752RAL6	849,653	787,343	62,310	787,343	537,112	12/31/2011
74922EAF6	364,426	335,971	28,455	335,971	267,642	12/31/2011
75970JAD8	1,311,938	1,230,515	81,423	1,230,515	956,011	12/31/2011
761118MD7	19,321,516	18,653,422	668,094	18,653,422	16,078,629	12/31/2011
76112HAD9	14,942,230	11,801,433	3,140,797	11,801,433	8,495,860	12/31/2011
872225AF4	524,214	158,157	366,057	158,157	139,463	9/30/2011
52523KAJ3	1,809,442	1,457,788	351,654	1,457,788	755,738	6/30/2011
Total	XXX	XXX	\$ 6,765,237	XXX	XXX	

For the year ended December 31, 2010:

74922EAF6	\$ 408,442	\$ 396,072	\$ 12,370	\$ 396,072	\$ 321,230	12/31/2010
75970JAD8	1,604,960	1,464,188	140,772	1,464,188	1,281,823	12/31/2010
872225AF4	966,383	562,551	403,832	562,551	358,500	12/31/2010
12668BYF4	1,747,229	1,644,442	102,787	1,644,442	1,281,332	9/30/2010
75970JAJ5	8,188,134	7,042,796	1,145,338	7,042,796	4,312,252	9/30/2010
02148JAD9	3,873,191	3,626,398	246,793	3,626,398	2,749,124	6/30/2010
12628KAA0	63,195	51,301	11,894	51,301	51,301	6/30/2010
45660L2V0	6,712,050	6,429,892	282,158	6,429,892	4,863,170	6/30/2010
52521HAJ2	3,040,220	2,917,471	122,749	2,917,471	2,285,520	6/30/2010
61749EAF4	3,053,008	2,789,610	263,398	2,789,610	1,890,147	6/30/2010
75970JAJ5	8,410,856	8,238,397	172,459	8,238,397	4,865,536	6/30/2010
76112HAD9	17,086,969	15,172,411	1,914,558	15,172,411	11,819,394	6/30/2010
872225AF4	1,787,013	950,122	836,891	950,122	563,640	6/30/2010
Total	XXX	XXX	\$ 5,655,999	XXX	XXX	

NOTES TO FINANCIAL STATEMENTS

CUSIP	Book/Adj Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Future Cash Flows	Recognized Other-Than- Temporary Impairment	Amortized Cost After Other-Than- Temporary Impairment	Fair Value	Date of Financial Statement Where Reported
For the six month period ended December 31, 2009:						
12668BYF4	\$ 1,837,677	\$ 1,748,993	\$ 88,684	\$ 1,748,993	\$ 1,376,634	12/31/2009
65538PAF5	8,206,560	8,023,394	183,166	8,023,394	5,763,721	12/31/2009
75970JAJ5	8,744,010	8,445,937	298,073	8,445,937	5,037,563	12/31/2009
761118MD7	21,594,083	20,587,887	1,006,196	20,587,887	14,524,272	12/31/2009
059515BF2	3,809,941	3,348,844	461,097	3,348,844	2,735,128	9/30/2009
872225AF4	2,989,826	1,845,600	1,144,226	1,845,600	803,439	9/30/2009
Total	XXX	XXX	\$ 3,181,442	XXX	XXX	

(4) The following is an aggregate total of all impaired loan-backed securities (fair value is less than cost or amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss, including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains as of September 30, 2013:

- a. The aggregate amount of unrealized losses:

1. Less than 12 months

\$10,893,081

2. 12 months or longer

\$6,835,203
- b. The aggregate related fair value of securities with unrealized losses:

1. Less than 12 months

\$240,193,265

2. 12 months or longer

\$78,491,630

(5) The Company monitors investments to determine if there has been an other-than-temporary decline in fair value. Factors management considers for each identified security include the following:

- the length of time and the extent to which the fair value is below the book/adjusted carry value;
- the financial condition and near term prospects of the issuer, including specific events that may affect its operations;
- for equity securities and debt securities with credit related declines in fair value, the Company’s intent and ability to hold the security long enough for it to recover its value to book/adjusted carry value;
- for debt securities with interest related declines in fair value, the Company’s intent to sell the security before recovery of its book/adjusted carry value;
- for loan-backed securities, the Company’s intent and ability to hold the security long enough for it to recover its value to book/adjusted carry value;
- for loan-backed securities, the Company’s intent to sell the security before recovery of its book/adjusted carry value.

If the decline is judged to be other-than-temporary, an impairment charge is recorded as a net realized capital loss in the period the determination is made.

- E. Repurchase Agreements and/or Securities Lending Transactions. No change.
- F. Real Estate. No change.
- G. Low Income Housing Tax Credit Property Investments. No change.
6. Joint Ventures, Partnerships and Limited Liability Companies. No change.
7. Investment Income. No change.
8. Derivative Instruments. The Company entered into an interest rate swap and swaptions. The discussion of accounting policies, risks, and objectives are shown in Note 1C. The net gain recognized in unrealized gains and losses during the reporting period related to the derivative instruments was \$17,364,256. The Company has entered into a collateral agreement with the counterparty whereby under certain conditions the counterparty is required to post assets on the Company’s behalf. The posted amount is equal to the difference between the net positive fair value of the swap and the agreed upon thresholds that are based on the credit rating of the counterparty. Inversely, if the net fair value of the swap is negative, then the Company may be required to post assets instead using similar thresholds. At September 30, 2013, \$8,973,171 cash collateral has been posted by the counterparty.

The Company sells covered call options on equity securities. The discussion of the accounting policies, risks, and objectives are shown in Note 1C. The net loss recognized in unrealized gains and losses during the reporting period related to the covered options is \$(404,835).

9. Income Taxes. No change.
10. Information Concerning Parent, Subsidiaries and Affiliates. No change.
11. Debt. No change.
12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans.
- A. Defined Benefit Plan

(6) Components of net periodic benefit cost:

	Pension Benefits		Postretirement Benefits	
	September 30, 2013	December 31, 2012	September 30, 2013	December 31, 2012
a. Service cost	\$ 13,815,348	\$ 16,799,879	\$ 903,711	\$ 5,226,375
b. Interest cost	28,844,058	38,925,269	6,384,985	7,514,532
c. Expected return on plan assets	(43,596,649)	(55,515,571)	-	-
d. Transition asset or obligation	1,966,697	-	-	-
e. Gains and losses	37,134,411	47,718,216	(102,206)	(2,356,513)
f. Prior service cost or credit	(2,743,394)	(3,600,244)	594,772	(2,854,646)
g. Gain or loss recognized due to a settlement or curtailment	-	-	-	-
h. Total net periodic benefit cost (benefit)	\$ 35,420,471	\$ 44,327,549	\$ 7,781,263	\$ 7,529,748

13. Capital and Surplus, Shareholders’ Dividend Restrictions and Quasi-Reorganizations. No change.

NOTES TO FINANCIAL STATEMENTS

14. Contingencies

The Company is currently being audited on behalf of multiple state treasurers and controllers concerning the identification, reporting and escheatment of unclaimed insurance policy benefits and other allegedly abandoned funds. The audits focus on identifying unreported death claims, matured annuities and retained asset accounts, and the use of the Social Security Death Master File to identify deceased insurance policy, annuity contract, and retained asset account holders. The Company has reached an agreement with numerous states regarding this audit activity that will result in outreach and payments to beneficiaries, escheatment of funds deemed abandoned under state laws, and accelerated escheatment of funds deemed abandoned pursuant to agreements with regulators. The amount of loss that the Company will ultimately recognize as a result of these audits cannot be reasonably estimated.

The West Virginia Treasurer (who has not settled with the Company) has brought suit seeking to require the Company to annually check the Social Security Death Master File for deceased insureds, and alleging that the Company’s previous failure to do so has rendered its unclaimed property reports incomplete and fraudulent. The Treasurer seeks attorney fees, interest and penalties for allegedly willful misconduct and fraudulent reporting, and other, varied relief (including identification and payment of death claims). The amount of loss, if any, that the Company may ultimately recognize as a result of this litigation cannot be reasonably estimated.

The Company is also currently the subject of multistate insurance department regulatory inquiries and examinations with a similar focus as the state treasurer and controller audits regarding processes and procedures for identifying deceased insurance policy, annuity contract, and retained asset account holders. The examination activity may result in (but is not necessarily limited to) required outreach and payments to beneficiaries, changes to procedures, and administrative contributions. The amount of loss, if any, that the Company may ultimately recognize as a result of these examinations cannot be reasonably estimated.

15. Leases. No change.

16. The Company had no financial instruments with off-balance sheet risk. No change.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities. No change.

18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans. No change.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators. No change.

20. Fair Value Measurements

A.

(1) Fair Value Measurements at September 30, 2013

	Level 1		Level 2		Level 3		Total
Assets at fair value							
Bonds							
U.S. governments	\$	-	\$	-	\$	-	\$ -
Industrial and miscellaneous		-		2,143,648		-	2,143,648
RMBS		-		1,364		-	1,364
CMBS		-		-		-	-
Hybrid securities		-		-		-	-
Parent, subsidiaries and affiliates		-		-		-	-
Total bonds	\$	-	\$	2,145,012	\$	-	\$ 2,145,012
Preferred stock							
Industrial and miscellaneous	\$	-	\$	-	\$	-	\$ -
Parent, subsidiaries and affiliates		-		-		-	-
Total preferred stock	\$	-	\$	-	\$	-	\$ -
Common stock							
Industrial and miscellaneous	\$	1,517,882,368	\$	-	\$	-	\$ 1,517,882,368
Parent, subsidiaries and affiliates		-		-		-	-
Mutual funds		86,410,930		-		-	86,410,930
Total Common Stock	\$	1,604,293,298	\$	-	\$	-	\$ 1,604,293,298
Derivative assets							
Interest rate contracts	\$	-	\$	23,388,103	\$	-	\$ 23,388,103
Options, purchased		-		49,710		-	49,710
Foreign exchange contracts		-		-		-	-
Credit contracts		-		-		-	-
Commodity futures contracts		-		-		-	-
Commodity forward contracts		-		-		-	-
Total derivative assets	\$	-	\$	23,437,813	\$	-	\$ 23,437,813
Separate account assets	\$	582,704,462	\$	251,801,616	\$	70,624,205	\$ 905,130,283
Total assets at fair value	\$	2,186,997,760	\$	277,384,441	\$	70,624,205	\$ 2,535,006,406
	Level 1		Level 2		Level 3		Total
Liabilities at fair value							
Derivative liabilities							
Interest rate contracts	\$	-	\$	-	\$	-	\$ -
Options, written		-		(15,212,462)		-	(15,212,462)
Total derivative liabilities	\$	-	\$	(15,212,462)	\$	-	\$ (15,212,462)
Total liabilities at fair value	\$	-	\$	(15,212,462)	\$	-	\$ (15,212,462)

There were no significant transfers between Level 1 and Level 2 of the fair value hierarchy. See Note 20A(3) for the policy for determining when transfers between levels are recognized.

(2) Fair Value Measurements in Level 3 of the Fair Value Hierarchy

Three months ended at 3/31/2013

	Balance at 01/01/2013	Transfers in Level 3	Transfers out of Level 3	Total Gains (Losses) Included in Net Income	Total Gains (Losses) Included In Surplus	Net Purchases, Issuances, Sales, & Settlements	Balance at 03/31/2013
Preferred stock	\$ 112,018	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 112,018

NOTES TO FINANCIAL STATEMENTS

Separate account assets*	202,037,653	-	53,477	2,255,669	-	(5,077,013)	199,269,786
Derivative liabilities	(1,098,306)	-	1,098,306	-	-	-	-
Total	\$201,051,365	\$ -	\$ 1,151,783	\$ 2,255,669	\$ -	\$ (5,077,013)	\$ 199,381,804

Three months ended at 6/30/2013

	Balance at 03/31/2013	Transfers in Level 3	Transfers out of Level 3	Total Gains (Losses) Included in Net Income	Total Gains (Losses) Included In Surplus	Net Purchases, Issuances, Sales, & Settlements	Balance at 06/30/2013
Preferred stock	\$ 112,018	\$ -	\$ (112,018)	\$ -	\$ -	\$ -	\$ -
Separate account assets*	199,269,786	-	-	1,208,497	-	(2,070,851)	198,407,432
Total	\$199,381,804	\$ -	\$ (112,018)	\$ 1,208,497	\$ -	\$ (2,070,851)	\$ 198,407,432

Three months ended at 9/30/2013

	Balance at 06/30/2013	Transfers in Level 3	Transfers out of Level 3	Total Gains (Losses) Included in Net Income	Total Gains (Losses) Included In Surplus	Net Purchases, Issuances, Sales, & Settlements	Balance at 09/30/2013
Separate account assets*	198,407,432	-	(126,695,866)	(900,944)	-	(186,417)	70,624,205
Total	\$198,407,432	\$ -	\$(126,695,866)	\$ (900,944)	\$ -	\$ (186,417)	\$ 70,624,205

* Gains and losses for assets held in separate accounts do not impact net income or surplus as the change in value of assets held in separate accounts is offset by a change in value of liabilities related to separate account.

Gross Purchases, Issuances, Sales, and Settlements

Three months ended at 03/31/2013

	Purchases	Issuances	Sales	Settlements	Net Purchases, Issuances, Sales, & Settlements
Separate account assets	\$ 553,140	\$ -	\$ -	\$ (5,630,153)	\$ (5,077,013)
Total	\$ 553,140	\$ -	\$ -	\$ (5,630,153)	\$ (5,077,013)

Three months ended at 06/30/2013

	Purchases	Issuances	Sales	Settlements	Net Purchases, Issuances, Sales, & Settlements
Separate account assets	\$ 1,724,447	\$ -	\$ -	\$ (3,795,298)	\$ (2,070,851)
Total	\$ 1,724,447	\$ -	\$ -	\$ (3,795,298)	\$ (2,070,851)

Three months ended at 09/30/2013

	Purchases	Issuances	Sales	Settlements	Net Purchases, Issuances, Sales, & Settlements
Separate account assets	\$ 2,175,795	\$ -	\$ -	\$ (2,362,212)	\$ (186,417)
Total	\$ 2,175,795	\$ -	\$ -	\$ (2,362,212)	\$ (186,417)

- (3) The Company’s policy is to recognize transfers in and transfers out of levels at the beginning of the reporting period.
- (4) Investments in Level 2 include NAIC rated 6 residential mortgage-backed securities representing subordinated tranches in securitization trusts containing residential mortgage loans originated during the period of 2005 to 2007. These securities are currently rated below investment grade. The Company determined fair value as of the balance sheet date through the use of third-party pricing services utilizing market observable inputs.

Investments in Level 2 include NAIC rated 6 industrial and miscellaneous bonds. These securities are currently rated below investment grade. The Company determined fair value as of the balance sheet date through the use of third-party pricing services utilizing market observable inputs.

Derivative investments included in Level 2 consist of interest rate swaps and options. The fair values of these securities are determined through the use of third-party pricing services utilizing market observable inputs.

The fair values of common stock and mutual funds have been determined utilizing publicly quoted prices from third-party pricing services.

Assets held in separate accounts include debt securities, common stock, mutual funds, private equity and private debt fund investments. The fair values of debt securities in the separate accounts have been determined through the use of third-party pricing services utilizing market observable inputs. The fair values of common stock and mutual funds in the separate accounts have been determined using the same methodologies as common stock and mutual funds in the general account. The fair values of private equity investments in the separate accounts have been determined based on the Company’s interest in the underlying audited GAAP equity of the investee. Transfers from Level 3 to Level 2 consisted of private funds that invest primarily in domestic debt securities where the Company has the right to redeem its interests at their net asset values. The underlying debt securities within these funds employ similar valuation methodologies as the Company’s other investments in debt securities.

B. Not applicable.

C. The carrying amounts and fair values of the Company’s significant financial instruments were as follows:

	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Assets:						
Bonds	\$ 3,510,389,706	\$ 3,203,715,870	\$ 24,547,670	\$ 3,463,887,658	\$ 21,954,378	-
Common Stock:						

NOTES TO FINANCIAL STATEMENTS

Unaffiliated	1,517,882,368	1,517,882,368	1,517,882,368	-	-	-
Mutual Funds	86,410,930	86,410,930	86,410,930	-	-	-
Preferred stock	1,034,629	1,035,107	-	1,034,629	-	-
Mortgage loans	32,194,699	30,768,881	-	-	32,194,699	-
Cash, cash equivalents and short term investments	202,060,552	202,060,552	202,060,552	-	-	-
Other invested assets						
surplus notes	9,312,605	7,935,845	-	9,312,605	-	-
Securities lending reinvested collateral assets	36,625,054	36,625,054	36,625,054	-	-	-
Derivative assets	23,437,813	23,437,813	-	23,437,813	-	-
Separate account assets	905,130,283	905,130,283	582,704,462	251,801,616	70,624,205	-

Liabilities:

Life and annuity reserves for investment-type contracts and deposit fund liabilities	\$ (6,925,283)	\$ (6,355,000)	\$ -	\$ -	\$ (6,925,283)	\$ -
Derivative liabilities	(15,212,462)	(15,212,462)	-	(15,212,462)	-	-
Securities lending liability	(178,756,328)	(178,756,328)	-	-	(178,756,328)	-

The following discussion describes the valuation methodologies utilized by the Company for assets and liabilities measured or disclosed at fair value. Fair value estimates are made at a specific point in time, based on available market information and judgments about the financial instrument, including discount rates, estimates of timing, amount of expected future cash flows and the credit standing of the issuer. Such estimates do not consider the tax impact of the realization of unrealized gains or losses. For Level 3 investments, the fair value estimates cannot be substantiated by comparison to independent markets. In addition, the disclosed fair value may not be realized in the immediate settlement of the financial instrument. As described below, certain fair values are determined through the use of third-party pricing services. Management does not adjust prices received from third-parties; however, we do analyze the third-party pricing services’ valuation methodologies and related inputs and perform additional evaluation to determine the appropriate level within the fair value hierarchy. Care should be exercised in deriving conclusions about the Company’s business, its value or financial position based on the fair value information of financial instruments presented below.

Debt Securities and Surplus Notes

The fair values of actively traded debt securities, asset/mortgage-backed securities, and surplus notes have been determined through the use of third-party pricing services utilizing market observable inputs. Less liquid private placement securities and auction rate securities trading in less liquid or illiquid markets with limited or no pricing information are valued using either broker quotes or by discounting the expected cash flows using current market-consistent rates applicable to the yield, credit quality and maturity of each security.

Equity Securities

The fair values of actively traded equity securities have been determined utilizing publicly quoted prices obtained from third-party pricing services. The fair values of certain equity securities for which no publicly quoted prices are available have been determined through the use of third-party pricing services utilizing market observable inputs. Actively traded mutual funds are valued using the net asset values of the funds.

Mortgage Loans

The fair values for mortgage loans, consisting principally of commercial real estate loans, are estimated using discounted cash flow analyses, using interest rates currently being offered for similar loans collateralized by properties with similar investment risk. The fair values for mortgage loans in default are established at the lower of the fair value of the underlying collateral less costs to sell or the carrying amount of the loan.

Cash, Cash Equivalents and Short-Term Investments

The fair values of cash, cash equivalents and short-term investments are based on quoted market prices.

Derivative Instruments

The fair values of free-standing derivative instruments, primarily call options and interest rate swaps, are determined through the use of third-party pricing services utilizing market observable inputs.

Securities Lending Reinvested Collateral Assets

The fair value of securities lending reinvested collateral assets are from third-party sources utilizing publicly quoted prices.

Assets Held in Separate Accounts

Assets held in separate accounts include debt securities, equity securities, mutual funds, private equity, and private debt fund investments. The fair values of these assets have been determined using the same methodologies as similar assets held in the general account.

Life and Annuity Reserves for Investment-type Contracts and Deposit Fund Liabilities

The fair value of liabilities for investment-type contracts is based on the present value of estimated liability cash flows. Present values reflect the Company’s margin for uncertainty of the timing of liability cash flows. Key assumptions to the cash flow model include the timing of policyholder withdrawals and the level of interest credited to contract balances.

Fair values for insurance reserves are not required to be disclosed. However, the estimated fair values of all insurance reserves and investment contracts are taken into consideration in the Company’s overall management of interest rate risk.

Securities Lending Liability

The liability represents the Company’s obligation to return collateral related to securities lending transactions. The liability is short-term in nature and therefore, the fair value of the obligation approximates the carrying amount.

NOTES TO FINANCIAL STATEMENTS

- 21. Other Items. No change.
- 22. Events Subsequent. No change.
- 23. Reinsurance. No change.
- 24. Retrospectively Rated Contracts and Contracts Subject to Redetermination. No change.
- 25. Change in Incurred Losses and Loss Adjustment Expenses. No change.
- 26. Intercompany Pooling Arrangements. No change.
- 27. Structured Settlements. No change.
- 28. Health Care Receivables. No change.
- 29. Participating Policies. No change.
- 30. Premium Deficiency Reserves. No change.
- 31. Reserves for Life Contracts and Annuity Contracts. No change.
- 32. Analysis of Annuity Actuarial Reserves and Deposit Type Liabilities by Withdrawal Characteristics. No change.
- 33. Premiums and Annuity Considerations Deferred and Uncollected. No change.
- 34. Separate Accounts. No change.
- 35. Loss/Claim Adjustment Expenses. No change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [☐] No [☒]
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes [☐] No [☐]
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [☐] No [☒]
- 2.2

If yes, date of change:
- 3.1

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [☐] No [☒]
- 3.2

If the response to 3.1 is yes, provide a brief description of those changes.
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [☐] No [☒]
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [☐] No [☐] N/A [☒]
If yes, attach an explanation.
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2012
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2012
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

10/02/2013
- 6.4

By what department or departments?
Ohio Department of Insurance
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [☐] No [☐] N/A [☒]
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [☐] No [☐] N/A [☒]
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [☐] No [☒]
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [☐] No [☒]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [☐] No [☒]
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes [] No [X]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [X] No []
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [X] No []
- 11.2

If yes, give full and complete information relating thereto:
US T-BILL DUE 12/26/2013 - \$ 1,639,992 - COLLATERAL FOR EQUITY PUT OPTION
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$249,091,936
13.

Amount of real estate and mortgages held in short-term investments:

\$
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [X] No []
- 14.2

If yes, please complete the following:
- | | 1 | 2 |
|---|---|--|
| | Prior Year-End
Book/Adjusted
Carrying Value | Current Quarter
Book/Adjusted
Carrying Value |
| 14.21 Bonds | \$2,425,828 | \$ |
| 14.22 Preferred Stock | \$0 | \$ |
| 14.23 Common Stock | \$1,840,376,004 | \$1,981,998,673 |
| 14.24 Short-Term Investments | \$0 | \$ |
| 14.25 Mortgage Loans on Real Estate | \$0 | \$ |
| 14.26 All Other | \$690,100,999 | \$718,257,763 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$2,532,902,831 | \$2,700,256,436 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ | \$ |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [X] No []
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes [X] No []

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

16.3 Total payable for securities lending reported on the liability page.
- \$178,367,509

\$178,364,036

\$178,756,328

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
BANK OF NEW YORK MELLON	ONE WALL STREET, NY, NY 12086

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
107126	FT WASHINGTON INVESTMENT ADVISORS	303 BROADWAY SUITE 1200 CINCINNATI, OH 45202

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No []

- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - LIFE & HEALTH

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

Amount

1.1

Long-Term Mortgages In Good Standing

1.11

Farm Mortgages

\$

1.12

Residential Mortgages

\$

1.13

Commercial Mortgages

\$

30,626,010

1.14

Total Mortgages in Good Standing

\$

30,626,010

1.2

Long-Term Mortgages In Good Standing with Restructured Terms

1.21

Total Mortgages in Good Standing with Restructured Terms

\$

142,871

1.3

Long-Term Mortgage Loans Upon which Interest is Overdue more than Three Months

1.31

Farm Mortgages

\$

1.32

Residential Mortgages

\$

1.33

Commercial Mortgages

\$

1.34

Total Mortgages with Interest Overdue more than Three Months

\$

0

1.4

Long-Term Mortgage Loans in Process of Foreclosure

1.41

Farm Mortgages

\$

1.42

Residential Mortgages

\$

1.43

Commercial Mortgages

\$

1.44

Total Mortgages in Process of Foreclosure

\$

0

1.5

Total Mortgage Loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)

\$

30,768,881

1.6

Long-Term Mortgages Foreclosed, Properties Transferred to Real Estate in Current Quarter

1.61

Farm Mortgages

\$

1.62

Residential Mortgages

\$

1.63

Commercial Mortgages

\$

1.64

Total Mortgages Foreclosed and Transferred to Real Estate

\$

0

2.

Operating Percentages:

2.1

A&H loss percent

101.200 %

2.2

A&H cost containment percent

1.700 %

2.3

A&H expense percent excluding cost containment expenses

69.700 %

3.1

Do you act as a custodian for health savings accounts?

Yes [] No [X]

3.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

3.3

Do you act as an administrator for health savings accounts?

Yes [] No [X]

3.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Is Insurer Authorized? (Yes or No)
			NONE			

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year To Date - Allocated by States and Territories

States, Etc.			Direct Business Only						
			Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 Through 5	7 Deposit-Type Contracts	
			2 Life Insurance Premiums	3 Annuity Considerations					
Active Status									
1.	Alabama	AL	L	177,119		29,821		206,940	
2.	Alaska	AK	N	79,622		2,139		81,761	
3.	Arizona	AZ	L	911,855		47,296		959,151	
4.	Arkansas	AR	L	113,512		11,279		124,791	
5.	California	CA	L	7,641,033	(71)	361,632		8,002,594	
6.	Colorado	CO	L	156,922		11,921		168,843	
7.	Connecticut	CT	N	41,453		2,358		43,811	
8.	Delaware	DE	L	36,590		2,467		39,057	
9.	District of Columbia	DC	L	188,205		11,965		200,170	
10.	Florida	FL	L	6,724,364		1,400,384		8,124,748	
11.	Georgia	GA	L	994,872		106,571		1,101,443	
12.	Hawaii	HI	L	23,187		745		23,932	
13.	Idaho	ID	L	14,470		490		14,960	
14.	Illinois	IL	L	16,415,825	2,600	1,068,272		17,486,697	
15.	Indiana	IN	L	12,142,621		2,529,491		14,672,112	
16.	Iowa	IA	L	168,287		13,694		181,981	
17.	Kansas	KS	L	652,258	1,000	188,770		842,028	
18.	Kentucky	KY	L	4,583,626	500	1,292,406		5,876,532	
19.	Louisiana	LA	L	5,322,384		265,967		5,588,351	
20.	Maine	ME	N	4,882		248		5,130	
21.	Maryland	MD	L	1,944,183		63,596		2,007,779	
22.	Massachusetts	MA	N	38,527		5,644		44,171	
23.	Michigan	MI	L	5,567,781	3,942	748,619		6,320,342	
24.	Minnesota	MN	L	1,050,486		19,267		1,069,753	
25.	Mississippi	MS	L	120,328		11,258		131,586	
26.	Missouri	MO	L	3,859,267	180	560,729		4,420,176	
27.	Montana	MT	L	13,180		729		13,909	
28.	Nebraska	NE	L	17,836		1,082		18,918	
29.	Nevada	NV	L	143,126		9,226		152,352	
30.	New Hampshire	NH	N	9,012		1,489		10,501	
31.	New Jersey	NJ	L	93,298		8,707		102,005	
32.	New Mexico	NM	L	32,885		3,382		36,267	
33.	New York	NY	N	125,109		10,137		135,246	
34.	North Carolina	NC	L	13,766,822	6,000	3,630,257		17,403,079	
35.	North Dakota	ND	L	2,755				2,755	
36.	Ohio	OH	L	41,139,727	17,945	6,318,612		47,476,284	
37.	Oklahoma	OK	L	125,173		14,980		140,153	
38.	Oregon	OR	L	70,341		2,652		72,993	
39.	Pennsylvania	PA	L	8,319,478	2,100	861,856		9,183,434	
40.	Rhode Island	RI	L	8,198		129		8,327	
41.	South Carolina	SC	L	1,087,650	500	159,307		1,247,457	
42.	South Dakota	SD	L	12,864		2,039		14,903	
43.	Tennessee	TN	L	1,338,169		506,907		1,845,076	
44.	Texas	TX	L	4,516,028	1,200	535,985		5,053,213	
45.	Utah	UT	L	30,684		41		30,725	
46.	Vermont	VT	N	3,179		55		3,234	
47.	Virginia	VA	L	539,624		102,169		641,793	
48.	Washington	WA	L	121,025		7,358		128,383	
49.	West Virginia	WV	L	3,660,493		1,055,292		4,715,785	
50.	Wisconsin	WI	L	1,490,968		46,786		1,537,754	
51.	Wyoming	WY	L	11,950		1,291		13,241	
52.	American Samoa	AS	N	25				25	
53.	Guam	GU	N	172				172	
54.	Puerto Rico	PR	N	12,074		641		12,715	
55.	U.S. Virgin Islands	VI	N	896				896	
56.	Northern Mariana Islands	MP	N	0				0	
57.	Canada	CAN	N	216				216	
58.	Aggregate Other Aliens	OT	XXX	102,809	0	1,470	0	104,279	0
59.	Subtotal	(a)	44	145,769,425	35,896	22,039,608	0	167,844,929	0
90.	Reporting entity contributions for employee benefits plans	XXX		1,245,311				1,245,311	
91.	Dividends or refunds applied to purchase paid-up additions and annuities.....	XXX		22,183,311	1,138			22,184,449	
92.	Dividends or refunds applied to shorten endowment or premium paying period	XXX						0	
93.	Premium or annuity considerations waived under disability or other contract provisions	XXX		2,008,127		672		2,008,799	
94.	Aggregate or other amounts not allocable by State	XXX		0	0	0	0	0	0
95.	Totals (Direct Business)	XXX		171,206,174	37,034	22,040,280	0	193,283,488	0
96.	Plus Reinsurance Assumed	XXX		848,562	2,113,703	0		2,962,265	
97.	Totals (All Business)	XXX		172,054,736	2,150,737	22,040,280	0	196,245,753	0
98.	Less Reinsurance Ceded	XXX		912,092	0	2,928,027		3,840,119	
99.	Totals (All Business) less Reinsurance Ceded	XXX		171,142,644	2,150,737	19,112,253	0	192,405,634	0
DETAILS OF WRITE-INS									
58001.	Mexico	XXX		56,964				56,964	
58002.	Other foreign	XXX		45,845		1,470		47,315	
58003.	XXX							
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX		0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX		102,809	0	1,470	0	104,279	0
9401.	XXX							
9402.	XXX							
9403.	XXX							
9498.	Summary of remaining write-ins for Line 94 from overflow page	XXX		0	0	0	0	0	0
9499.	Totals (Lines 9401 through 9403 plus 9498)(Line 94 above)	XXX		0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

	<u>NAIC#</u>	<u>TIN#</u>
PARENT - WESTERN-SOUTHERN MUTUAL HOLDING COMPANY, OH (NON-INSURER)		31-1732405
SUBSIDIARY - WESTERN & SOUTHERN FINANCIAL GROUP, INC., OH (NON-INSURER)		31-1732404
SUBSIDIARY - LAFAYETTE LIFE INSURANCE COMPANY, OH (INSURER)	65242	35-0457540
SUBSIDIARY - LLIA, INC., OH (NON-INSURER)		35-2123483
SUBSIDIARY - THE WESTERN AND SOUTHERN LIFE INSURANCE COMPANY, OH (INSURER)	70483	31-0487145
SUBSIDIARY - WESTERN-SOUTHERN LIFE ASSURANCE COMPANY, OH (INSURER)	92622	31-1000236
SUBSIDIARY - IFS FINANCIAL SERVICES, INC., OH (NON-INSURER)		31-1328371
SUBSIDIARY - W&S BROKERAGE SERVICES, INC., OH (NON-INSURER)		31-0846576
SUBSIDIARY - COLUMBUS LIFE INSURANCE COMPANY, OH (INSURER)	99937	31-1191427
SUBSIDIARY - INTEGRITY LIFE INSURANCE COMPANY, OH (INSURER)	74780	86-0214103
SUBSIDIARY - NATIONAL INTEGRITY LIFE INSURANCE COMPANY, NY (INSURER)	75264	16-0958252
SUBSIDIARY - INSURANCE PROFILLMENT SOLUTIONS, LLC, OH (NON-INSURER)		43-2081325
SUBSIDIARY - WESTERN & SOUTHERN INVESTMENT HOLDINGS, LLC, OH (NON-INSURER)		06-1804434
SUBSIDIARY - EAGLE REALTY GROUP, LLC, OH (NON-INSURER)		31-1018957
SUBSIDIARY - FORT WASHINGTON INVESTMENT ADVISORS, OH (NON-INSURER)		31-1301863

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0836	Western-Southern Group	00000	31-1732405				Western-Southern Mutual Holding Company	OH	UIP	Western-Southern Mutual Holding Company	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1732404				Western & Southern Financial Group, Inc	OH	UDP	Western-Southern Mutual Holding Company	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	35-2123483				LLIA Inc	OH	NIA	Lafayette Life Insurance Company	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	65242	35-0457540				Lafayette Life Insurance Company	OH	IA	Western & Southern Financial Group, Inc	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-5458388				2758 South Main SPE, LLC	NC	DS	W&S Real Estate Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	27-1594103				506 Phelps Hldings, LLC	OH	DS	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-5439068				Belle Housing Investor Holdings, Inc.	NC	DS	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	04-3226492				Boston Cap Corp Tax Credit Fund III	MA	DS	The Western and Southern Life Ins Co	Ownership	13.340	WS Mutual Holding Co	
0836	Western-Southern Group	00000	04-3514962				Boston Cap Corp Tax Credit Fund XVI	MA	DS	Columbus Life Insurance Co	Ownership	37.750	WS Mutual Holding Co	
0836	Western-Southern Group	00000	27-2678623				Boston Cap Intermediate Term Income Fund	MA	DS	Western-Southern Life Assurance Co	Ownership	33.300	WS Mutual Holding Co	
							Boston Capital Afford Housing Morg Fund LLC	MA	DS	Western-Southern Life Assurance Co	Ownership	14.360	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-5458332				BY Apartment Investor Holding, LLC	MD	DS	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	35-2431972				Canal Senate Apartments LLC	IN	DS	W&S Real Estate Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8819502				Carmel Holdings, LLC	IN	DS	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-5862349				Carmel Hotel LLC	IN	DS	Carmel Holdings, LLC	Ownership	36.260	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1449186				Carthage Senior Housing Ltd	OH	DS	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	03-0464760				Centerline Corporate Partners XXI LP	NY	DS	Western-Southern Life Assurance Co	Ownership	17.320	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-0317564				Centerline Corporate Partners XXV LP	NY	DS	Western-Southern Life Assurance Co	Ownership	11.380	WS Mutual Holding Co	
0836	Western-Southern Group	00000	75-2808126				Centreport Partners LP	TX	DS	The Western and Southern Life Ins Co	Ownership	25.250	WS Mutual Holding Co	
0836	Western-Southern Group	00000	23-1691523				Cincinnati Analyst Inc	OH	DS	Columbus Life Insurance Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	61-1454115				Cincinnati New Markets Fund LLC	OH	DS	The Western and Southern Life Ins Co	Ownership	14.660	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-0434449				Cleveland East Hotel LLC	OH	DS	WS CEH LLC	Ownership	37.000	WS Mutual Holding Co	
0836	Western-Southern Group	99937	31-1191427				Columbus Life Insurance Co	OH	DS	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-2524597				Cranberry NP Hotel Company LLC	PA	DS	NP Cranberry Hotel Holdings, LLC	Ownership	72.520	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-3421289				Dallas City Investor Holdings, LLC	TX	DS	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-2681473				Day Hill Road Land LLC	CT	DS	W&S Real Estate Holdings LLC	Ownership	74.000	WS Mutual Holding Co	
							Decheng Capital China Life Sciences Fund I	OH	DS	The Western and Southern Life Ins Co	Ownership	15.020	WS Mutual Holding Co	
0836	Western-Southern Group	00000	98-1027109				Dublin Hotel LLC	OH	DS	The Western and Southern Life Ins Co	Ownership	25.000	WS Mutual Holding Co	
							Eagle Realty Group, LLC	OH	DS	Western & Southern Investment Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-5350091				Flat Apts. Investor Holdings, LLC	IN	DS	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	52-2206041				Fort Washington PE Invest II LP	OH	DS	The Western and Southern Life Ins Co	Ownership	59.710	WS Mutual Holding Co	
0836	Western-Southern Group	00000	52-2206041				Fort Washington PE Invest II LP	OH	DS	Columbus Life Insurance Co	Management	8.020	WS Mutual Holding Co	
0836	Western-Southern Group	00000	16-1648796				Fort Washington PE Invest IV LP	OH	DS	The Western and Southern Life Ins Co	Ownership	38.510	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-4568842				Fort Washington PE Invest V LP	OH	DS	The Western and Southern Life Ins Co	Ownership	36.140	WS Mutual Holding Co	
0836	Western-Southern Group	00000	27-1321348				Fort Washington PE Invest VII LP	OH	DS	The Western and Southern Life Ins Co	Ownership	32.420	WS Mutual Holding Co	
0836	Western-Southern Group	00000	35-2485044				Fort Washington PE Invest VIII	OH	DS	The Western and Southern Life Ins Co	Ownership	5.000	WS Mutual Holding Co	
							Fort Washington PE Opportunities Fund III	OH	DS	The Western and Southern Life Ins Co	Ownership	11.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	90-0989164				Fort Washington PE Opportunities Fund III-B	OH	DS	The Western and Southern Life Ins Co	Ownership	99.500	WS Mutual Holding Co	
0836	Western-Southern Group	00000	37-1736757				Fort Washington Active Fixed Fund	OH	DS	The Western and Southern Life Ins Co	Ownership	78.200	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1702203				Fort Washington High Yield Invt LLC	OH	DS	The Western and Southern Life Ins Co	Ownership	10.140	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1702203				Fort Washington High Yield Invt LLC	OH	DS	Columbus Life Insurance Co	Ownership	32.000	WS Mutual Holding Co	
							Fort Washington Investment Advisors LLC	OH	DS	Western & Southern Investment Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1301863				Fort Washington PE Invest III LP	OH	DS	The Western and Southern Life Ins Co	Ownership	60.310	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-1073680				Fort Washington PE Invest VI LP	OH	DS	The Western and Southern Life Ins Co	Ownership	32.420	WS Mutual Holding Co	
0836	Western-Southern Group	00000	32-0418436				Fort Washington PE Invest VIII-B	OH	DS	The Western and Southern Life Ins Co	Ownership	99.500	WS Mutual Holding Co	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	*
0836	Western-Southern Group	00000	20-5398098				Fort Washington PE Investors V-B, L.P.	..OH.	..DS.	Fort Washington PE Invest V LP	Ownership	32.800	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-5398156				Fort Washington PE Investors V-VC, L.P.	..OH.	..DS.	Fort Washington PE Invest V LP	Ownership	33.500	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3806629				Fort Washington PE Opp Fund II, L.P.	..OH.	..DS.	Fort Washington PE Invest VI LP	Management	2.620	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3806629				Fort Washington PE Opp Fund II, L.P.	..OH.	..DS.	The Western and Southern Life Ins Co	Ownership	15.250	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3806629				Fort Washington PE Opp Fund II, L.P.	..OH.	..DS.	Fort Washington PE Invest V LP	Management	2.500	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3806629				Fort Washington PE Opp Fund II, L.P.	..OH.	..DS.	Fort Washington PE Invest VII LP	Management	1.830	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-3507078				Galleria Investor Holdings, LLC	..TX.	..DS.	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-1553878				Galveston Summerbrooke Apts LLC	..TX.	..DS.	Summerbrooke Holdings LLC	Ownership	52.920	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-3457194				GS Multifamily Galleria LLC	..TX.	..DS.	Galleria Investor Holdings, LLC	Ownership	57.820	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3525111				GS Yorktown Apt LP	..TX.	..DS.	YT Crossing Holdings, LLC	Ownership	57.820	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3108420				Hearthview Prairie Lake Apts LLC	..IN.	..DS.	Prairie Lakes Holdings, LLC	Ownership	62.720	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1334223				IFS Agency Services Inc	..OH.	..DS.	IFS Financial Services, Inc	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1328371				IFS Financial Services, Inc	..OH.	..DS.	Western-Southern Life Assurance Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	43-2081325				Insurance Profitment Solutions, LLC	..OH.	..DS.	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	74780	86-0214103				Integrity Life Insurance Co	..OH.	..DS.	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	34-1826874				IR Mall Associates LTD	..FL.	..DS.	The Western and Southern Life Ins Co	Ownership	49.500	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1705445				LaFrontera Holdings, LLC	..TX.	..DS.	W&S Real Estate Holdings LLC	Ownership	74.250	WS Mutual Holding Co	
0836	Western-Southern Group	00000	27-2330466				Leroy Glen Investment LLC	..OH.	..DS.	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-5439036				Miler Creek Investor Holdings, LLC	..TN.	..DS.	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	75264	16-0958252				National Integrity Life Insurance Co	..NY.	..DS.	Integrity Life Insurance Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	27-1024113				North Braeswood Meritage Holdings LLC	..OH.	..DS.	Western-Southern Life Assurance Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	02-0593144				North Pittsburg Hotel LLC	..PA.	..DS.	WSALD NPH LLC	Ownership	37.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1427318				Northeast Cincinnati Hotel LLC	..OH.	..DS.	The Western and Southern Life Ins Co	Ownership	25.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-2914674				NP Cranberry Hotel Holdings, LLC	..PA.	..DS.	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1338187				OTR Housing Associates LP	..OH.	..DS.	The Western and Southern Life Ins Co	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1335827				OTR Transitional Housing LP	..OH.	..DS.	The Western and Southern Life Ins Co	Ownership	99.000	WS Mutual Holding Co	
							Overland Apartments Investor Holdings, LLC							
0836	Western-Southern Group	00000	46-1553387					..KS.	..DS.	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-4322006				PCE LP	..GA.	..DS.	The Western and Southern Life Ins Co	Ownership	41.900	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-4322006				PCE LP	..GA.	..DS.	Western-Southern Life Assurance Co	Ownership	22.340	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3167828				Prairie Lakes Holdings, LLC	..IN.	..DS.	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	34-1998937				Queen City Square LLC	..OH.	..DS.	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-1690377				R4 Housing Partners II LP	..NY.	..DS.	Western-Southern Life Assurance Co	Ownership	17.310	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-4328839				R4 Housing Partners LP	..NY.	..DS.	Integrity Life Insurance Co	Ownership	15.150	WS Mutual Holding Co	
0836	Western-Southern Group	00000	52-2096076				Race Street Dev Ltd	..OH.	..DS.	W&S Real Estate Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	27-4266774				Randolph Tower Affordable Inv Fund LLC	..IL.	..DS.	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	80-0246040				Ridgegate Commonwealth Apts LLC	..CO.	..DS.	Ridgegate Holdings, LLC	Ownership	52.920	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3526448				Ridgegate Holdings, LLC	..CO.	..DS.	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	27-3564950				Seventh & Culvert Garage LLC	..OH.	..DS.	W&S Real Estate Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-1554676				Shelbourne Campus Properties LLC	..KY.	..DS.	Shelbourne Holdings, LLC	Ownership	52.920	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-1944856				Shelbourne Holdings, LLC	..KY.	..DS.	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	45-4354663				Siena Investor Holding, LLC	..TX.	..DS.	W&S Real Estate Holdings LLC	Ownership	69.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-2930953				Skye Apts Investor Holdings, LLC	..MN.	..DS.	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	61-1328558				Skyport Hotel LLC	..KY.	..DS.	The Western and Southern Life Ins Co	Ownership	25.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	46-2922655				SP Charlotte Apts. Investor Holdings, LLC	..NC.	..DS.	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-2348581				Summerbrooke Holdings LLC	..TX.	..DS.	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-4291356				Sundance LaFrontera Holdings LLC	..TX.	..DS.	The Western and Southern Life Ins Co	Ownership	72.520	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1394672				Touchstone Advisors Inc	..OH.	..DS.	IFS Financial Services, Inc	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	47-6046379				Touchstone Securities, Inc	..NE.	..DS.	IFS Financial Services, Inc	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-5542652				Tri-State Fund II Growth LP	..OH.	..DS.	The Western and Southern Life Ins Co	Ownership	29.990	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1788429				Tri-State Growth Capital Fund LP	..OH.	..DS.	The Western and Southern Life Ins Co	Ownership	12.580	WS Mutual Holding Co	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	*
0836	Western-Southern Group	00000	31-1653922				Union Centre Hotel LLC	OH	DS	The Western and Southern Life Ins Co	Ownership	25.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	36-4107014				Vinings Trace	OH	DS	W&S Real Estate Holdings LLC	Ownership	99.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	72-1388989				Vulcan Hotel LLC	AL	DS	The Western and Southern Life Ins Co	Ownership	25.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-0846576				W&S Brokerage Services, Inc	OH	DS	Western-Southern Life Assurance Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1334221				W&S Financial Group Distributors Inc	OH	DS	IFS Financial Services, Inc	Ownership	99.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	06-1804432				W&S Real Estate Holdings LLC	OH	DS	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-0790233				Westad Inc	OH	DS	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
							Western & Southern Investment Holdings LLC							
0836	Western-Southern Group	00000	06-1804434					OH	DS	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1413821				Western-Southern Agency	OH	DS	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	92622	31-1000236				Western-Southern Life Assurance Co	OH	DS	The Western and Southern Life Ins Co	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1732344				Windsor Hotel LLC	CT	DS	The Western and Southern Life Ins Co	Ownership	25.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1317879				Wright Exec Hotel LTD Partners	OH	DS	The Western and Southern Life Ins Co	Ownership	60.490	WS Mutual Holding Co	
0836	Western-Southern Group	00000	61-1182451				WS Airport Exchange GP LLC	KY	DS	W&S Real Estate Holdings LLC	Ownership	74.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-2820067				WS CEH LLC	OH	DS	W&S Real Estate Holdings LLC	Ownership	50.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	31-1303229				WS Country Place GP LLC	GA	DS	W&S Real Estate Holdings LLC	Ownership	90.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	61-0998084				WS Lookout JV LLC	KY	DS	The Western and Southern Life Ins Co	Ownership	50.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-1515960				WSA Commons LLC	GA	DS	The Western and Southern Life Ins Co	Ownership	50.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	33-1058916				WSALD NPH LLC	PA	DS	W&S Real Estate Holdings LLC	Ownership	50.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-0360272				WSL Partners LP	OH	DS	The Western and Southern Life Ins Co	Ownership	68.070	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843748				WSLR Birmingham	AL	DS	WSLR Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843635				WSLR Cinti LLC	OH	DS	WSLR Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843645				WSLR Columbus LLC	OH	DS	WSLR Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843653				WSLR Dallas LLC	TX	DS	WSLR Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843767				WSLR Hartford LLC	CT	DS	WSLR Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843577				WSLR Holdings LLC	OH	DS	The Western and Southern Life Ins Co	Ownership	24.490	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843962				WSLR Skyport LLC	KY	DS	WSLR Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	20-8843814				WSLR Union LLC	OH	DS	WSLR Holdings LLC	Ownership	100.000	WS Mutual Holding Co	
0836	Western-Southern Group	00000	26-3526711				YT Crossing Holdings, LLC	TX	DS	W&S Real Estate Holdings LLC	Ownership	98.000	WS Mutual Holding Co	
0836	Western-Southern Group	70483	31-0487145				The Western and Southern Life Ins Co	OH		Western & Southern Financial Group, Inc	Ownership	100.000	WS Mutual Holding Co	

Asterisk	Explanation

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

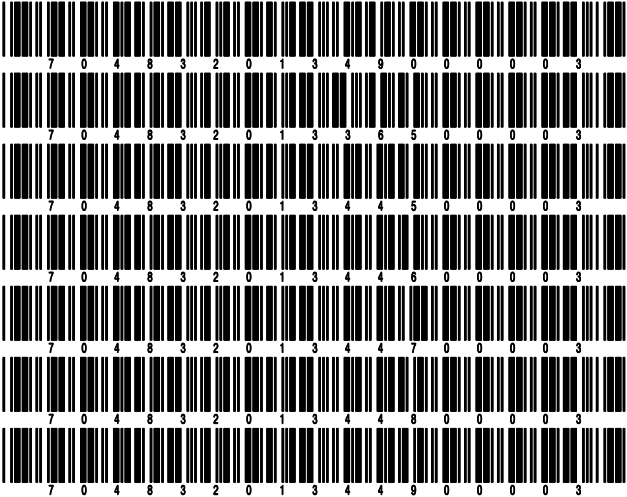
	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanation:

1.
2.
3.
4.
5.
6.
7.

Bar Code:

1. Trusteed Surplus Statement [Document Identifier 490]
2. Medicare Part D Coverage Supplement [Document Identifier 365]
3. Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 445]
4. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV [Document Identifier 446]
5. Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI [Document Identifier 447]
6. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI [Document Identifier 448]
7. Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) [Document Identifier 449]



STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Summary of Operations Line 27

		1	2	3
		Current Year To Date	Prior Year To Date	Prior Year Ended December 31
2704.	Reserve adjustment on reinsurance assumed – Lafayette	(24,985)	54,134	80,728
2705.	Miscellaneous expense	243,304	219,270	154,447
2797.	Summary of remaining write-ins for Line 27 from overflow page	218,319	273,404	235,175

SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	31,058,943	31,776,278
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		6,648,320
2.2 Additional investment made after acquisition	734,496	810,564
3. Current year change in encumbrances		0
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		6,709,888
6. Total foreign exchange change in book/adjusted carrying value		0
7. Deduct current year's other than temporary impairment recognized		0
8. Deduct current year's depreciation	1,144,327	1,466,331
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	30,649,112	31,058,943
10. Deduct total nonadmitted amounts		0
11. Statement value at end of current period (Line 9 minus Line 10)	30,649,112	31,058,943

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	31,792,965	37,630,691
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		0
3. Capitalized deferred interest and other		0
4. Accrual of discount		0
5. Unrealized valuation increase (decrease)		0
6. Total gain (loss) on disposals		(6,000)
7. Deduct amounts received on disposals	1,024,084	5,831,726
8. Deduct amortization of premium and mortgage interest points and commitment fees		0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		0
10. Deduct current year's other than temporary impairment recognized		0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	30,768,881	31,792,965
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	30,768,881	31,792,965
14. Deduct total nonadmitted amounts		0
15. Statement value at end of current period (Line 13 minus Line 14)	30,768,881	31,792,965

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	862,824,810	751,275,689
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	47,013,028	57,757,672
2.2 Additional investment made after acquisition	44,581,175	99,720,434
3. Capitalized deferred interest and other		0
4. Accrual of discount		
5. Unrealized valuation increase (decrease)	45,130,936	71,629,532
6. Total gain (loss) on disposals		0
7. Deduct amounts received on disposals	76,026,580	117,552,790
8. Deduct amortization of premium and depreciation	5,218	5,728
9. Total foreign exchange change in book/adjusted carrying value		0
10. Deduct current year's other than temporary impairment recognized		0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	923,518,151	862,824,810
12. Deduct total nonadmitted amounts	58,975,077	54,803,245
13. Statement value at end of current period (Line 11 minus Line 12)	864,543,074	808,021,565

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	6,353,156,977	6,086,353,838
2. Cost of bonds and stocks acquired	1,221,452,884	1,521,000,035
3. Accrual of discount	1,156,206	2,380,138
4. Unrealized valuation increase (decrease)	293,906,720	135,372,329
5. Total gain (loss) on disposals	84,798,615	83,156,157
6. Deduct consideration for bonds and stocks disposed of	1,150,978,538	1,439,652,242
7. Deduct amortization of premium	5,091,770	6,834,234
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	7,358,188	28,619,044
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	6,791,042,906	6,353,156,977
11. Deduct total nonadmitted amounts	187,296	187,288
12. Statement value at end of current period (Line 10 minus Line 11)	6,790,855,610	6,352,969,689

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a)	2,405,070,979	614,324,247	730,281,481	106,447,416	2,368,302,817	2,405,070,979	2,395,561,161	2,599,674,701
2. Class 2 (a)	682,320,774	2,436,454,029	2,240,159,269	(107,051,460)	658,033,401	682,320,774	771,564,074	652,344,124
3. Class 3 (a)	128,360,314	2,295,076	3,894,567	(128,245)	136,395,243	128,360,314	126,632,578	134,847,686
4. Class 4 (a)	96,094,093	1,480,000	3,887,008	3,863,619	103,349,590	96,094,093	97,550,704	119,021,311
5. Class 5 (a)	19,519,561	0	3,192,319	(4,400,070)	21,786,854	19,519,561	11,927,172	19,378,739
6. Class 6 (a)	3,375,749	0	1,557,245	325,143	3,107,322	3,375,749	2,143,647	2,929,392
7. Total Bonds	3,334,741,470	3,054,553,352	2,982,971,889	(943,597)	3,290,975,227	3,334,741,470	3,405,379,336	3,528,195,953
PREFERRED STOCK								
8. Class 1	0				0	0	0	
9. Class 2	0				0	0	0	
10. Class 3	0	1,035,107			0	0	1,035,107	
11. Class 4	0				0	0	0	
12. Class 5	0				112,018	0	0	112,018
13. Class 6	0				0	0	0	
14. Total Preferred Stock	0	1,035,107	0	0	112,018	0	1,035,107	112,018
15. Total Bonds and Preferred Stock	3,334,741,470	3,055,588,459	2,982,971,889	(943,597)	3,291,087,245	3,334,741,470	3,406,414,443	3,528,307,971

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$201,663,463 ; NAIC 2 \$; NAIC 3 \$;
NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	43,498,823	xxx	43,520,649	25,007	28,145

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	143,141,334	244,715,128
2. Cost of short-term investments acquired	1,013,394,497	1,342,689,154
3. Accrual of discount		2,388
4. Unrealized valuation increase (decrease)		0
5. Total gain (loss) on disposals	1,504	11,359
6. Deduct consideration received on disposals	1,112,941,929	1,444,194,764
7. Deduct amortization of premium	96,584	81,931
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	43,498,822	143,141,334
11. Deduct total nonadmitted amounts		0
12. Statement value at end of current period (Line 10 minus Line 11)	43,498,822	143,141,334

SCHEDULE DB - PART A - VERIFICATION

Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/Adjusted Carrying Value, December 31, prior year (Line 9, prior year)	(1,098,307)
2.	Cost Paid/(Consideration Received) on additions	(60,478,221)
3.	Unrealized Valuation increase/(decrease)	16,953,984
4.	Total gain (loss) on termination recognized	6,441,043
5.	Considerations received/(paid) on terminations	(46,406,855)
6.	Amortization	
7.	Adjustment to the Book/Adjusted Carrying Value of hedged item	
8.	Total foreign exchange change in Book/Adjusted Carrying Value	
9.	Book/Adjusted Carrying Value at End of Current Period (Lines 1+2+3+4-5+6+7+8)	8,225,354
10.	Deduct nonadmitted assets	
11.	Statement value at end of current period (Line 9 minus Line 10)	8,225,354

SCHEDULE DB - PART B - VERIFICATION

Futures Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	
3.1	Add:	
	Change in variation margin on open contracts - Highly Effective Hedges	
3.11	Section 1, Column 15, current year to date minus	
3.12	Section 1, Column 15, prior year	
	Change in variation margin on open contracts - All Other	
3.13	Section 1, Column 18, current year to date minus	
3.14	Section 1, Column 18, prior year	
3.2	Add:	
	Change in adjustment to basis of hedged item	
3.21	Section 1, Column 17, current year to date minus	
3.22	Section 1, Column 17, prior year	
	Change in amount recognized	
3.23	Section 1, Column 19, current year to date minus	
3.24	Section 1, Column 19, prior year	
3.3	Subtotal (Line 3.1 minus Line 3.2)	
4.1	Cumulative variation margin on terminated contracts during the year	
4.2	Less:	
	4.21 Amount used to adjust basis of hedged item	
	4.22 Amount recognized	
4.3	Subtotal (Line 4.1 minus Line 4.2)	
5.	Dispositions gains (losses) on contracts terminated in prior year:	
	5.1 Total gain (loss) recognized for terminations in prior year	
	5.2 Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6.	Book/Adjusted carrying value at end of current period (Lines 1+2+3.3-4.3-5.1-5.2)	
7.	Deduct total nonadmitted amounts	
8.	Statement value at end of current period (Line 6 minus Line 7)	

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open
N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open
N O N E

SCHEDULE DB - VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

		Book/Adjusted Carrying Value Check
1.	Part A, Section 1, Column 14.....	8,225,351
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance.....	0
3.	Total (Line 1 plus Line 2)	8,225,351
4.	Part D, Section 1, Column 5	23,437,813
5.	Part D, Section 1, Column 6	(15,212,462)
6.	Total (Line 3 minus Line 4 minus Line 5)	0
		Fair Value Check
7.	Part A, Section 1, Column 16	8,225,351
8.	Part B, Section 1, Column 13	
9.	Total (Line 7 plus Line 8)	8,225,351
10.	Part D, Section 1, Column 8	23,437,813
11.	Part D, Section 1, Column 9	(15,212,462)
12.	Total (Line 9 minus Line 10 minus Line 11)	0
		Potential Exposure Check
13.	Part A, Section 1, Column 21	6,979,241
14.	Part B, Section 1, Column 20	
15.	Part D, Section 1, Column 11	6,979,241
16.	Total (Line 13 plus Line 14 minus Line 15)	0

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of cash equivalents acquired	4,487,968,724	5,110,588,544
3. Accrual of discount		0
4. Unrealized valuation increase (decrease)		0
5. Total gain (loss) on disposals	7,981	14,629
6. Deduct consideration received on disposals	4,329,812,065	5,110,603,173
7. Deduct amortization of premium		0
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	158,164,640	0
11. Deduct total nonadmitted amounts		0
12. Statement value at end of current period (Line 10 minus Line 11)	158,164,640	0

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
CRE 00001 05 WS OCCUPY	CINCINNATI	OH	01/01/1901	VARIOUS			15,052,671	111,173
CRE 01561 05 WS OCCUPY	CINCINNATI	OH	06/05/1990	NATIONAL UNDERWRITER			577,551	14,675
CRE 01592 05 WS OCCUPY	CINCINNATI	OH	01/31/2002	CITY OF CINCINNATI			353,239	500
CRE 01471 45 APARTMENT	CINCINNATI	OH	08/30/1967	VARIOUS			1,555,667	500
0199999. Acquired by Purchase					0	0	17,539,128	126,848
0399999 - Totals					0	0	17,539,128	126,848

SCHEDULE A - PART 3

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

1 Description of Property	Location		4 Disposal Date	5 Name of Purchaser	6 Actual Cost	7 Expended for Additions, Permanent Improvements and Changes in Encum- brances	8 Book/ Adjusted Carrying Value Less Encum- brances Prior Year	Change in Book/Adjusted Carrying Value Less Encumbrances					14 Book/ Adjusted Carrying Value Less Encum- brances on Disposal	15 Amounts Received During Year	16 Foreign Exchange Gain (Loss) on Disposal	17 Realized Gain (Loss) on Disposal	18 Total Gain (Loss) on Disposal	19 Gross Income Earned Less Interest Incurred on Encum- brances	20 Taxes, Repairs and Expenses Incurred
	2 City	3 State						9 Current Year's Depre- ciation	10 Current Year's Other Than Temporary Impairment Recognized	11 Current Year's Change in Encum- brances	12 Total Change in Book/ Adjusted Carrying Value (11-9-10)	13 Total Foreign Exchange Change in Book/ Adjusted Carrying Value							
0399999 - Totals																			

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

[illegible]

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

1	Location		4	5	6	7	Change in Book Value/Recorded Investment						14	15	16	17	18
	2	3					8	9	10	11	12	13					
Loan Number	City	State	Loan Type	Date Acquired	Disposal Date	Book Value/ Recorded Investment Excluding Accrued Interest Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Amortization) /Accretion	Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book Value (8+9-10+11)	Total Foreign Exchange Change in Book Value	Book Value/ Recorded Investment Excluding Accrued Interest on Disposal	Consid- eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal
0044579	Lexington	KY		10/02/1987		3,266,615	0	0	0	0	0	0	0		53,475	0	0
0044666	Miami	FL		07/30/1999		3,441,780	0	0	0	0	0	0	0		104,946	0	0
0044667	Lakeland	FL		08/05/1999		11,320,675	0	0	0	0	0	0	0		62,307	0	0
0044679	Round Rock	TX		08/15/2003		12,982,160	0	0	0	0	0	0	0		90,378	0	0
0044682	Cincinnati	OH		12/01/2011		30,193	0	0	0	0	0	0	0		205	0	0
0044683	Cincinnati	OH		12/01/2011		47,934	0	0	0	0	0	0	0		440	0	0
0044684	Cincinnati	OH		12/01/2011		9,233	0	0	0	0	0	0	0		67	0	0
0044685	Cincinnati	OH		12/01/2011		21,146	0	0	0	0	0	0	0		185	0	0
0044686	Cincinnati	OH		12/01/2011		26,570	0	0	0	0	0	0	0		219	0	0
0044687	Cincinnati	OH		12/01/2011		13,182	0	0	0	0	0	0	0		135	0	0
0044688	Cincinnati	OH		12/01/2011		29,868	0	0	0	0	0	0	0		218	0	0
0044689	Cincinnati	OH		12/01/2011		367,725	0	0	0	0	0	0	0		3,319	0	0
0044690	Covington	KY		12/01/2011		93,881	0	0	0	0	0	0	0		1,423	0	0
0044692	Cincinnati	OH		12/01/2011		54,411	0	0	0	0	0	0	0		195	0	0
02999999 - Mortgages with partial repayments						31,705,373	0	0	0	0	0	0	0		317,512	0	0
05999999 - Totals						31,705,373	0	0	0	0	0	0	0		317,512	0	0

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									
	57 STARS Global Opportunity Fund 3	WASHINGTON	.DC	57 STARS Global Opportunity Fund 3		06/04/2013			1,267,787		15,760,571	9.900
	ABRY ADVANCED SECURITIES FUND II LP	CAYMAN ISLANDS	.CI	ABRY ADVANCED SECURITIES FUND II LP		05/04/2011	2		880,759		6,500,802	0.930
	ABRY ADVANCED SECURITIES FUND LP	CAYMAN ISLANDS	.CI	ABRY ADVANCED SECURITIES FUND LP		08/01/2008	2		10,550		2,159,470	0.190
	ABRY SENIOR EQUITY II LP	BOSTON	.MA	ABRY SENIOR EQUITY II LP		07/27/2006	3		5,468		597,555	0.830
	ABRY SENIOR EQUITY III LP	BOSTON	.MA	ABRY SENIOR EQUITY III LP		08/09/2010	3		15,367		546,948	0.710
	ABRY SENIOR EQUITY IV LP	BOSTON	.MA	ABRY SENIOR EQUITY IV LP		12/28/2012			18,323		3,726,774	0.200
	ABRY VI LP	BOSTON	.MA	ABRY VI LP		03/26/2008			7,146		350,098	0.090
	ALINDA FUND I LP INFRASTRUCTURE FUND	WILMINGTON	.DE	ALINDA FUND I LP INFRASTRUCTURE FUND		09/08/2006	1		11,873		291,466	0.460
	Ares Capital Europe II	CAYMAN ISLANDS	.CI	Ares Capital Europe II		03/27/2013			1,571,568		16,312,198	2.600
	CARLYLE MEZZANINE PARTNERS LP L.P.	WASHINGTON	.DC	CARLYLE MEZZANINE PARTNERS LP L.P.		03/14/2006	2		22,920		120,679	0.600
	CARLYLE/RIVERSTONE III L.P.	WASHINGTON	.DC	CARLYLE/RIVERSTONE III L.P.		04/03/2006	3		4,696		21,736	0.080
	CHAMBERS Energy Capital II LP	HOUSTON	.TX	CHAMBERS Energy Capital II LP		07/06/2012	2		559,417		3,202,789	0.740
	CONGRUENT CREDIT STRATEGIES Opportunities Fund III	DALLAS	.TX	CONGRUENT CREDIT STRATEGIES Opportunities Fund III		08/30/2013		723,000			14,277,000	5.810
	CORSAIR III FS CAPITAL PARTNERS LP	NEW YORK	.NY	CORSAIR III FS CAPITAL PARTNERS LP		06/29/2007			71,375		3,142,458	0.930
	CORSAIR IV FS CAPITAL PARTNERS LP	NEW YORK	.NY	CORSAIR IV FS CAPITAL PARTNERS LP		06/20/2011			204,907		5,259,976	1.860
	DRAPER FISHER JURVETSON FUND IX PARTNERS L.P.	CAYMAN ISLANDS	.CI	DRAPER FISHER JURVETSON FUND IX PARTNERS L.P.		04/12/2007	1		75,000		450,000	0.830
	EnCap Energy Capital Fund IX	HOUSTON	.TX	EnCap Energy Capital Fund IX		01/18/2013	1		46,996		2,270,145	0.200
	Energy Recap and Restruct Fund	HOUSTON	.TX	Energy Recap and Restruct Fund		10/21/2011			2,047,914		8,275,505	4.920
	GARRISON OPPORTUNITIES FUND II A LLC	NEW YORK	.NY	GARRISON OPPORTUNITIES FUND II A LLC		03/08/2011			322,316		176,096	1.800
	HITECVISION VI	GUERNSEY	.CI	HITECVISION VI		12/16/2011			708,852		7,704,554	0.650
	KKR ASSOCIATES	NEW YORK	.NY	KKR ASSOCIATES		10/05/2006	3		21,222		826,885	0.080
	PROVIDENCE DEBT OPPS III L.P.	PROVIDENCE	.RI	PROVIDENCE DEBT OPPS III L.P.		09/16/2013		819,863			9,180,137	3.930
	PROVIDENCE EQUITY PARTNERS VII L.P.	PROVIDENCE	.RI	PROVIDENCE EQUITY PARTNERS VII L.P.		03/04/2013	1		126,032		9,442,332	0.430
	PROVIDENCE EQUITY PRTRS V L.P.	PROVIDENCE	.RI	PROVIDENCE EQUITY PRTRS V L.P.		04/05/2005	3		11,303		399,654	0.140
	PROVIDENCE EQUITY PRTRS VI L.P.	PROVIDENCE	.RI	PROVIDENCE EQUITY PRTRS VI L.P.		03/16/2007	1		137,025		1,289,033	0.160
	REGIMENT CAPITAL SSF V LP	BOSTON	.MA	REGIMENT CAPITAL SSF V LP		07/15/2011	2		462,322		6,492,832	0.600
	SILVER LAKE PARTNERS III	WILMINGTON	.DE	SILVER LAKE PARTNERS III		08/30/2007	3		3,597		657,348	0.030
	SNOW PHIPPS II LP	NEW YORK	.NY	SNOW PHIPPS II LP		08/11/2010			48,220		3,122,429	0.810
1599999.	Joint Venture Interests - Common Stock - Unaffiliated							1,542,863	8,662,956	0	122,557,470	XXX
	CINTRIFUSE Early Stage Capital Fund I LLC	CINCINNATI	.OH	CINTRIFUSE Early Stage Capital Fund I LLC		12/31/2012	1		31,401		4,927,974	12.500
	DECHENG CAPITAL CHINA MGT Life Sciences Fund I LP	CAYMAN ISLANDS	.CI	DECHENG CAPITAL CHINA MGT Life Sciences Fund I LP		01/26/2012	1		641,144		4,927,974	15.023
	FT. WASHINGTON PRIVATE EQUITY VII L.P.	CINCINNATI	.OH	FT. WASHINGTON PRIVATE EQUITY VII L.P.		07/23/2010	3		2,531,950		15,014,926	32.420
1699999.	Joint Venture Interests - Common Stock - Affiliated							0	3,204,495	0	24,870,873	XXX
	WS LOOKOUT JV, LLC	FT WRIGHT	.KY	Lookout corporate Center		12/17/1981			25,533		135,372	100.000
	WINDSOR HOTEL, LLC	WINDSOR	.CT	Two Day Hill Road Assoc		10/03/2000			520,000		50,000	25.000
	W&S REAL ESTATE HOLDINGS, LLC	CINCINNATI	.OH	WSLIC		12/01/2006		12,789,619	7,219,106		48,055,085	100.000
	LEROY GLEN INVESTMENT, LLC	CINCINNATI	.OH	WSLIC		04/06/2010			97,500		62,500	100.000
1899999.	Joint Venture Interests - Real Estate - Affiliated							12,789,619	7,862,139	0	48,302,957	XXX
	CEVIAN CAPITAL II LP	CAYMAN ISLANDS	.CI	CEVIAN CAPITAL II LP		07/01/2013		10,000,000				0.340
1999999.	Joint Venture Interests - Other - Unaffiliated							10,000,000	0	0	0	XXX
	RANDOLPH TOWER AFFORDABLE HOUS	CHICAGO	.IL	Village Green		08/26/2011			5,065,316			100.000
3299999.	Non-Guaranteed Federal Low Income Housing Tax Credit - Affiliated							0	5,065,316	0	0	XXX
3999999.	Total - Unaffiliated							11,542,863	8,662,956	0	122,557,470	XXX
4099999.	Total - Affiliated							12,789,619	16,131,951	0	73,173,830	XXX
4199999.	Totals							24,332,482	24,794,907	0	195,731,300	XXX

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in Book/ Adjusted Carrying Value (9+10-11+12)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value Less Encumbrances on Disposal	Consid-eration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Invest-ment Income
	ABRY ADVANCED SECURITIES FUND LP	CAYMAN ISLANDS	.CI	ABRY ADVANCED SECURITIES FUND LP	08/01/2008	09/12/2013	293,518					0		293,518	293,518			0	516,950
	ABRY SENIOR EQUITY II LP	BOSTON	.MA	ABRY SENIOR EQUITY II LP	07/27/2006	07/30/2013	78,171					0		78,171	78,171			0	287,848
	ABRY SENIOR EQUITY III LP	BOSTON	.MA	ABRY SENIOR EQUITY III LP	08/09/2010	07/26/2013	574,632					0		574,632	574,632			0	1,010,993
	ABRY VI LP	BOSTON	.MA	ABRY VI LP	03/26/2008	09/06/2013	177,046					0		177,046	177,046			0	716,167
	ALINDA FUND I LP INFRASTRUCTURE FUND	WILMINGTON	.DE	ALINDA FUND I LP INFRASTRUCTURE FUND	09/08/2006	08/02/2013	292,223					0		292,223	292,223			0	24,212
	AUDAX III PRIVATE EQUITY FUND	WILMINGTON	.DE	AUDAX III PRIVATE EQUITY FUND	11/14/2007	08/06/2013	385,128					0		385,128	385,128			0	
	BEEKEN PETTY O'KEEFE FD II LP L.P.	CHICAGO	.IL	BEEKEN PETTY O'KEEFE FD II LP L.P.	10/31/2005	08/15/2013	107,827					0		107,827	107,827			0	50,138
	CARLYLE MEZZANINE PARTNERS LP L.P.	WASHINGTON	.DC	CARLYLE MEZZANINE PARTNERS LP L.P.	03/14/2006	08/09/2013	143,713					0		143,713	143,713			0	
	CARLYLE/RIVERSTONE III L.P.	WASHINGTON	.DC	CARLYLE/RIVERSTONE III L.P.	04/03/2006	09/05/2013	66,614					0		66,614	66,614			0	501,826
	CHAMBERS Energy Capital II LP	HOUSTON	.TX	CHAMBERS Energy Capital II LP	07/06/2012	07/17/2013	25,059					0		25,059	25,059			0	
	CORSAIR III FS CAPITAL PARTNERS LP	NEW YORK	.NY	CORSAIR III FS CAPITAL PARTNERS LP	06/29/2007	07/15/2013	57,684					0		57,684	57,684			0	
	CORSAIR IV FS CAPITAL PARTNERS LP	NEW YORK	.NY	CORSAIR IV FS CAPITAL PARTNERS LP	06/20/2011	07/15/2013	25,822					0		25,822	25,822			0	
	ENERGY FUND XV	LA	.CA	ENERGY FUND XV	12/10/2010	08/13/2013	58,824					0		58,824	58,824			0	1,029,737
	Energy Recap and Restruct Fund	HOUSTON	.TX	Energy Recap and Restruct Fund	10/21/2011	09/06/2013	767,757					0		767,757	767,757			0	
	EUROPEAN STRATEGIC PARTNERS I LP	LONDON	.UK	EUROPEAN STRATEGIC PARTNERS I LP	05/04/2000	07/03/2013	78,746					0		78,746	78,746			0	
	H.I.G. BAYSIDE II (3) LP	MIAMI	.FL	H.I.G. BAYSIDE II (3) LP	06/17/2008	09/24/2013	253,441					0		253,441	253,441			0	2,906,502
	HILLCREST FUND LP	CAYMAN ISLANDS	.CI	HILLCREST FUND LP	01/06/2009	09/06/2013	941,590					0		941,590	941,590			0	
	KKR ASSOCIATES	NEW YORK	.NY	KKR ASSOCIATES	10/05/2006	09/24/2013	239,681					0		239,681	239,681			0	1,112,399
	LEXINGTON CAPITAL II LP	WILMINGTON	.DE	LEXINGTON CAPITAL II LP	04/08/1998	08/29/2013	63,637					0		63,637	63,637			0	
	OCM EUROPEAN OPP FUND II LP	CAYMAN ISLANDS	.CI	OCM EUROPEAN OPP FUND II LP	10/09/2008	09/04/2013	1,002,869					0		1,002,869	1,002,869			0	574,117
	PROVIDENCE EQUITY PARTNERS VII L.P.	PROVIDENCE	.RI	PROVIDENCE EQUITY PARTNERS VII L.P.	03/04/2013	09/23/2013	65,345					0		65,345	65,345			0	
	PROVIDENCE EQUITY PRtns VI L.P.	PROVIDENCE	.RI	PROVIDENCE EQUITY PRtns VI L.P.	03/16/2007	09/30/2013	304,421					0		304,421	304,421			0	292,451
	REGIMENT CAPITAL SSF V LP	BOSTON	.MA	REGIMENT CAPITAL SSF V LP	07/15/2011	08/13/2013	942,857					0		942,857	942,857			0	246,555
	ROYALTY OPPORTUNITIES	LUXEMBOURG	.LU	ROYALTY OPPORTUNITIES	08/30/2011	09/24/2013	734,401					0		734,401	734,401			0	436,622
	SILVER LAKE PARTNERS III	WILMINGTON	.DE	SILVER LAKE PARTNERS III	08/30/2007	09/11/2013	126,505					0		126,505	126,505			0	102,207
	SNOW PHIPPS II LP	NEW YORK	.NY	SNOW PHIPPS II LP	08/11/2010	08/07/2013	105,246					0		105,246	105,246			0	
1599999. Joint Venture Interests - Common Stock - Unaffiliated							7,912,758	0	0	0	0	0	0	7,912,758	7,912,758	0	0	0	9,808,724
	FT. WASHINGTON PRIVATE EQUITY IV L.P.	CINCINNATI	.OH	FT. WASHINGTON PRIVATE EQUITY IV L.P.	12/08/2000	09/03/2013	900,000					0		900,000	900,000			0	3,194,550
	FT. WASHINGTON PRIVATE EQUITY V L.P.	CINCINNATI	.OH	FT. WASHINGTON PRIVATE EQUITY V L.P.	05/15/2006	09/11/2013	1,575,000					0		1,575,000	1,575,000			0	4,050,000
	FT. WASHINGTON PRIVATE EQUITY VI L.P.	CINCINNATI	.OH	FT. WASHINGTON PRIVATE EQUITY VI L.P.	10/25/2007	08/26/2013	900,000					0		900,000	900,000			0	3,796,560
	GoldenTree CLO Debt Investment	DUBLIN	.IRELAND	GoldenTree CLO Debt Investment	12/29/2011	07/08/2013	900,000					0		900,000	900,000			0	2,157,533
	WSL PARTNERS L.P.	CINCINNATI	.OH	WSL PARTNERS L.P.	11/14/2003	08/15/2013	1,549,121					0		1,549,121	1,549,121			0	
1699999. Joint Venture Interests - Common Stock - Affiliated							5,824,121	0	0	0	0	0	0	5,824,121	5,824,121	0	0	0	13,198,643
	SKYPORT HOTEL, LLC	HEBRON	.KY	CASH ROC	03/31/1999	09/26/2013						0		66,667	66,667			0	
	QUEEN CITY SQUARE, LLC	CINCINNATI	.OH	CASH ROC	06/08/2004	09/30/2013	(5,461,224)					0		2,396,137	2,396,137			0	
	W&S REAL ESTATE HOLDINGS,LLC	CINCINNATI	.OH	CASH ROC	12/01/2006	09/26/2013	202,335,059					0		10,409,559	10,409,559			0	
	CINCINNATI EQUITY FUND	CINCINNATI	.OH	CASH ROC	04/24/1997	09/27/2013	1,193,984					0		10,901	10,901			0	
	WINDSOR HOTEL LLC	CINCINNATI	.OH	CASH ROC	10/03/2000	09/26/2013						0		43,205	43,205			0	
1899999. Joint Venture Interests - Real Estate - Affiliated							198,067,819	0	0	0	0	0	0	12,926,469	12,926,469	0	0	0	0
3999999. Total - Unaffiliated							7,912,758	0	0	0	0	0	0	7,912,758	7,912,758	0	0	0	9,808,724
4099999. Total - Affiliated							203,891,940	0	0	0	0	0	0	18,750,590	18,750,590	0	0	0	13,198,643
4199999 - Totals							211,804,698	0	0	0	0	0	0	26,663,348	26,663,348	0	0	0	23,007,367

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
36176F-2C-1	G2 #765171 4.660% 12/27/61		.09/01/2013	Interest Capitalization		220,168	220,168	.0	1
36176F-29-2	G2 #765168 4.615% 11/22/61		.09/01/2013	Interest Capitalization		47,541	47,541	.0	1
36176R-A9-3	G2 #773432 4.506% 01/20/62		.09/01/2013	Interest Capitalization		4,085	4,085	.0	1
690353-XQ-5	OPIC VRDN 0.130% 07/15/25		.09/12/2013	WELLS FARGO		20,500,000	20,500,000	.267	1
912796-BN-8	TREASURY BILL 0.015% 12/05/13		.09/05/2013	BARCLAYS		724,973	725,000	.0	1FE
912796-BN-8	TREASURY BILL 0.015% 12/05/13		.09/05/2013	BARCLAYS		3,529,866	3,530,000	.0	1FE
912828-QV-5	U S TREASURY 0.625% 07/15/21		.09/30/2013	Advantus Capital Management		809,277	750,000	.0	1
912828-RH-5	U S TREASURY 1.375% 09/30/18		.09/26/2013	BNP SECURITIES		6,980,313	7,000,000	.0	1
912828-UX-6	U S TREASURY NOTES 0.125% 04/15/18		.09/30/2013	Advantus Capital Management		520,509	500,000	.0	1
912828-VK-3	U S TREASURY 1.375% 06/30/18		.07/05/2013	SOCIETE GENERALE		24,888,672	25,000,000	7.473	1FE
912828-VM-9	U S TREASURY 0.375% 07/15/23		.09/30/2013	Advantus Capital Management		498,750	500,000	.0	1
0599999. Subtotal - Bonds - U.S. Governments						58,724,154	58,776,794	7.740	XXX
1099999. Subtotal - Bonds - All Other Governments						0	0	0	XXX
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						0	0	0	XXX
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						0	0	0	XXX
270777-AD-7	EAST Baton Rouge VRDN 0.030% 12/01/40		.08/26/2013	MERRILL LYNCH-NY--FX INC		11,000,000	11,000,000	.316	1FE
3136A3-EE-7	FNR 2011-143 PZ 4.500% 01/25/42		.09/01/2013	Interest Capitalization		36,238	36,238	.0	1
31392J-TL-3	FNR 2003-20 MZ 5.750% 03/25/33		.09/01/2013	Interest Capitalization		130,642	130,642	.0	1
31394R-VII-6	FHLMC 2758 ZG 5.500% 04/15/33		.09/01/2013	Interest Capitalization		114,737	114,737	.0	1
31417C-QF-5	FN AB5853 3.000% 08/01/32		.08/06/2013	FTN FINANCIAL SECURITIES		6,944,991	6,990,868	6.408	1
38373V-NB-9	GNMA - CMO 2002-81 Z 6.112% 09/16/42		.08/01/2013	Interest Capitalization		29,278	29,278	.0	1
38373Y-6Z-2	GNMA - CMO 2003-16 Z 5.642% 02/16/44		.09/01/2013	Interest Capitalization		126,841	126,841	.0	1
38375B-JII-3	GNR 2011-H02 BA 4.450% 02/20/61		.08/01/2013	Interest Capitalization		98,641	98,641	.0	1
45505R-BN-4	INDIANA ST FIN AUTH ECON 0.530% 05/01/34		.06/03/2013	J P MORGAN SEC FIXED INC		800,000	800,000	.0	2FE
45505R-BT-1	INDIANA ST FIN AUTH ECON 0.530% 12/01/37		.08/30/2013	J P MORGAN SEC FIXED INC		7,700,000	7,700,000	.0	2AM
605155-AF-7	MISSION TX SOLID WASTE 6.000% 08/01/20		.07/31/2013	MERRILL LYNCH-NY--FX INC		2,004,020	2,000,000	25.333	2AM
677660-TZ-5	OH ST WTR DEV AUTH VRDN 0.110% 06/01/33		.09/26/2013	MERRILL LYNCH-NY--FX INC		3,500,000	3,500,000	.252	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						32,485,388	32,527,245	32.309	XXX
03027W-AJ-1	AMERICAN TOWER TRUST I 3.070% 03/15/23		.07/16/2013	FTN FINANCIAL SECURITIES		964,150	1,000,000	.341	1FE
04650N-AB-0	AT&T INC 5.350% 09/01/40		.09/24/2013	FTN FINANCIAL SECURITIES		968,640	1,000,000	3.864	1FE
05949K-XT-1	BOAA 2005-2 1CB4 5.500% 03/25/35		.09/01/2013	Interest Capitalization		73,321	73,321	.0	4FM
081437-AG-0	BEMIS COMPANY INC 5.650% 08/01/14		.09/04/2013	PIERPONT SECURITIES		1,565,865	1,500,000	8.240	2FE
12626P-AE-3	CRH AMERICA INC 5.300% 10/15/13		.08/19/2013	PIERPONT SECURITIES		1,459,976	1,450,000	27.111	2FE
345397-VIK-5	FORD MOTOR CREDIT 4.375% 08/06/23		.08/01/2013	HONG KONG SHANGHAI BK		2,971,980	3,000,000	.0	2FE
38141G-DK-7	GOLDMAN SACHS GROUP INC 4.750% 07/15/13		.06/28/2013	HONG KONG SHANGHAI BK		2,503,875	2,500,000	55.087	1FE
44266R-AC-1	HOWARD HUGHES MEDICAL IN 3.500% 09/01/23		.08/05/2013	Various		13,998,690	14,000,000	18.667	1FE
46625H-JM-3	JP MORGAN CHASE & CO 5.625% 08/16/43		.08/14/2013	J P MORGAN SEC FIXED INC		5,954,520	6,000,000	.0	1FE
501044-CT-6	KROGER CO 5.150% 08/01/43		.09/24/2013	CREDIT SUISSE FIRST BOSTON		1,957,740	2,000,000	17.739	2FE
68389X-AS-4	ORACLE CORPORATION 3.625% 07/15/23		.07/09/2013	BANK of AMERICA SEC		2,972,940	3,000,000	.0	1FE
69331C-AE-8	PACIFIC GAS & ELECTRIC 5.750% 04/01/14		.08/27/2013	SUSQUEHANNA		2,610,785	2,536,000	59.714	2FE
69349L-AM-0	PNC BANK NA 3.800% 07/25/23		.07/22/2013	MORGAN STANLEY FIXED INC		997,200	1,000,000	.0	1FE
74456Q-BC-9	PUBLIC SVC EL & GAS 2.375% 05/15/23		.07/18/2013	Various		7,087,907	7,540,000	36.312	1FE
78412F-AP-9	SESI LLC 7.125% 12/15/21		.08/09/2013	BANK of AMERICA SEC		2,221,755	2,029,000	23.693	3FE
81745D-AE-1	SEMT 2013-9 A1 3.500% 07/25/43		.07/19/2013	BANK of AMERICA SEC		5,874,867	5,978,557	6.394	1FE
886438-AQ-9	TIDEWATER INC. PP 5.160% 11/17/25		.09/26/2013	PRIVATE PLACEMENT		3,000,000	3,000,000	.0	2Z
96041U-AA-0	WLAKE ABS 0.550% 10/15/14		.09/18/2013	RBS CAPITAL		3,400,000	3,400,000	.0	1FE
552704-AB-4	MEG ENERGY CORP 6.375% 01/30/23	A.	.08/12/2013	BARCLAYS		1,480,000	1,480,000	3.931	4FE
75625Q-AB-5	RECKITT BENCKISER TSY 3.625% 09/21/23	F.	.09/16/2013	HONG KONG SHANGHAI BK		3,949,800	4,000,000	.0	1FE
92857W-BD-1	VODAFONE GROUP PLC 4.375% 02/19/43	F.	.09/13/2013	MORGAN STANLEY FIXED INC		3,453,680	4,000,000	14.097	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						69,467,691	70,486,878	275.190	XXX
4899999. Subtotal - Bonds - Hybrid Securities						0	0	0	XXX
5599999. Subtotal - Bonds - Parent, Subsidiaries and Affiliates						0	0	0	XXX
8399997. Total - Bonds - Part 3						160,677,233	161,790,917	315.239	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
8399999. Total - Bonds						160,677,233	161,790,917	315,239	XXX
19624R-20-5	COLONY FINANCIAL INC PREFERRED		09/30/2013	Advantus Capital Management	125,000	3,154	0.00	0	P3L
23317H-60-7	DDR CORP PREFERRED		09/30/2013	Advantus Capital Management	2,226,000	48,980	0.00	0	P3L
253868-70-7	DIGITAL REALTY TRUST INC PREFERRED		09/30/2013	Advantus Capital Management	4,151,000	98,575	0.00	0	P3L
44106M-60-7	HOSPITALITY PROP TRUST PREFERRED		09/30/2013	Advantus Capital Management	1,500,000	37,109	0.00	0	P3L
49427F-80-1	KILROY REALTY CORP PREFERRED		09/30/2013	Advantus Capital Management	2,400,000	52,410	0.00	0	P3L
51794Z-80-1	LASALLE HOTEL PROPERTIES PREFERRED		09/30/2013	Advantus Capital Management	1,910,000	40,571	0.00	0	P3L
637417-60-1	NATL RETAIL PROPERTIES PREFERRED		09/30/2013	Advantus Capital Management	8,200,000	188,190	0.00	0	P3L
69360J-71-9	PS BUSINESS PARKS INC PREFERRED		09/30/2013	Advantus Capital Management	8,300,000	189,655	0.00	0	P3L
756109-80-7	REALTY INCOME CORP PREFERRED		09/30/2013	Advantus Capital Management	6,300,000	153,084	0.00	0	P3L
86608Z-40-7	SUMMIT HOTEL PROPERTIES PREFERRED		09/30/2013	Advantus Capital Management	1,875,000	43,758	0.00	0	P3L
876664-60-8	TAUBMAN CENTERS INC PREFERRED		09/30/2013	Advantus Capital Management	5,000,000	117,500	0.00	0	P3L
917286-70-0	URSTADT BIDDLE PROPS INC PREFERRED		09/30/2013	Advantus Capital Management	2,600,000	62,121	0.00	0	P3L
8499999. Subtotal - Preferred Stocks - Industrial and Miscellaneous (Unaffiliated)						1,035,107	XXX	0	XXX
8599999. Subtotal - Preferred Stocks - Parent, Subsidiaries and Affiliates						0	XXX	0	XXX
8999997. Total - Preferred Stocks - Part 3						1,035,107	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						1,035,107	XXX	0	XXX
002824-10-0	ABBOTT LABS		09/25/2013	Various	102,425,000	3,588,391	0	0	L
00434L-10-9	ACCESS MIDSTREAM PARTNERS LP LIMITED PARTNERS		09/17/2013	Various	45,800,000	2,136,236	0	0	L
00849Z-10-0	AGREE REALTY CORP REIT		09/30/2013	Advantus Capital Management	12,158,000	366,719	0	0	L
03216B-20-8	AMREIT INC REIT		09/30/2013	Advantus Capital Management	5,100,000	87,957	0	0	L
037411-10-5	APACHE CORP		08/20/2013	BNY CONVERG-SOFT	35,000,000	2,680,692	0	0	L
037833-10-0	APPLE INC		09/12/2013	BNY CONVERG-SOFT	6,400,000	3,037,262	0	0	L
04930A-10-4	ATLAS ENERGY LP		09/19/2013	Various	26,000,000	1,325,899	0	0	L
04939Z-10-3	ATLAS PIPELINE PARTNERS LP		09/30/2013	Advantus Capital Management	10,900,000	421,609	0	0	L
04939Z-10-3	ATLAS PIPELINE PARTNERS LP		09/13/2013	Various	22,100,000	831,920	0	0	L
053807-10-3	AVNET INC		07/02/2013	BNY CONVERG-SOFT	10,098,000	343,034	0	0	L
09247X-10-1	BLACKROCK INC		08/19/2013	BNY CONVERG-SOFT	15,000,000	4,042,578	0	0	L
09248U-61-9	BLACKROCK LIQ FD TEMPFUND-IN MONEY MARKET		08/31/2013	Various	4,046,411,810	4,046,412	0	0	L
111320-10-7	BROADCOM CORP-CL A		08/21/2013	BNY CONVERG-SOFT	436,200,000	13,062,613	0	0	L
118230-10-1	BUCKEYE PARTNERS MLP		09/30/2013	Advantus Capital Management	8,000,000	524,722	0	0	L
124830-10-0	CBL & ASSOCIATES PROPERTIES REIT		09/30/2013	Advantus Capital Management	3,200,000	61,310	0	0	L
131476-10-3	CALUMET SPECIALTY PRODUCTS LIMITED PARTNERS		07/30/2013	Various	22,000,000	741,781	0	0	L
13342B-10-5	CAMERON INTERNATIONAL CORP COMMON		08/23/2013	BNY CONVERG-SOFT	76,000,000	4,321,603	0	0	L
13466Y-10-5	CAMPUS CREST COMMUNITIES INC REIT		09/30/2013	Advantus Capital Management	23,100,000	249,619	0	0	L
15189T-10-7	CENTERPOINT ENERGY		09/23/2013	CSFB-CSA-EQUITY	235,700,000	5,710,351	0	0	L
156700-10-6	CENTURYLINK INC		09/30/2013	Advantus Capital Management	15,300,000	480,094	0	0	L
16411R-20-8	CHENIERE ENERGY INC		09/03/2013	Various	35,300,000	1,007,080	0	0	U
172908-10-5	CINTAS CORP		08/14/2013	Various	416,579,000	20,379,181	0	0	L
19624R-10-6	COLONY FINANCIAL INC REIT		09/30/2013	Advantus Capital Management	37,700,000	750,950	0	0	L
22637Z-10-0	CRESTWOOD MIDSTREAM PART		09/24/2013	Various	18,000,000	458,185	0	0	L
22765Y-10-4	CROSSTEX ENERGY INC		09/09/2013	Various	32,400,000	664,385	0	0	L
23311P-10-0	DOP MIDSTREAM PARTNERS LP		09/30/2013	Advantus Capital Management	10,700,000	531,120	0	0	L
244199-10-5	DEERE & COMPANY		08/19/2013	Various	95,500,000	7,949,376	0	0	L
25179M-10-3	DEVON ENERGY CORPORATION		08/06/2013	BNY CONVERG-SOFT	20,400,000	1,139,354	0	0	L
253868-10-3	DIGITAL REALTY TRUST INC REIT		09/30/2013	Advantus Capital Management	4,400,000	235,697	0	0	L
26441C-20-4	DUKE ENERGY CORP		09/30/2013	Advantus Capital Management	6,300,000	421,661	0	0	L
26884U-10-9	EPR PROPERTIES REIT		09/30/2013	Advantus Capital Management	6,900,000	336,694	0	0	L
26885B-10-0	EQT MIDSTREAM PARTNERS LP LIMITED PARTNERS		09/05/2013	Various	51,600,000	2,363,616	0	0	L
29250R-10-6	ENBRIDGE ENERGY PARTNERS LP LIMITED PARTNERS		09/30/2013	Advantus Capital Management	4,100,000	124,585	0	0	L
29273R-10-9	ENERGY TRANSFER PARTNERS MASTER LIMITED PARTNERS		09/30/2013	Advantus Capital Management	7,200,000	372,411	0	0	L
29273V-10-0	ENERGY TRANSFER EQUITY LP		09/16/2013	Various	34,500,000	2,234,404	0	0	L
29379Z-10-7	ENTERPRISE PRODUCTS PARTNERS		09/30/2013	Advantus Capital Management	2,400,000	145,995	0	0	L
29379Z-10-7	ENTERPRISE PRODUCTS PARTNERS		09/16/2013	Various	46,200,000	2,773,634	0	0	L
30068C-10-9	EXCEL TRUST INC REIT		09/30/2013	Advantus Capital Management	5,200,000	62,508	0	0	L
30161N-10-1	EXELON CORP		08/22/2013	BNY CONVERG-SOFT	198,300,000	6,072,620	0	0	L
371927-10-4	GENESIS ENERGY L.P.		08/26/2013	Various	19,000,000	943,389	0	0	L
38141G-10-4	GOLDMAN SACHS GROUP INC		08/29/2013	Various	61,900,000	9,758,140	0	0	L
38376A-10-3	GOVERNMENT PROPERTIES INCOME REIT		09/30/2013	Advantus Capital Management	20,800,000	498,599	0	0	L

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Desig- nation or Market Indicator (a)
40414L-10-9	HCP INC REIT		09/30/2013	Advantus Capital Management	3,900,000	160,430		0	L
42217K-10-6	HEALTH CARE REIT REIT		09/30/2013	Advantus Capital Management	6,300,000	394,078		0	L
42217K-10-6	HEALTH CARE REIT REIT		08/21/2013	BNY CONVERG-SOFT	40,000,000	2,427,172		0	L
42225P-10-5	HEALTHCARE TRUST OF AME-CL A REIT		09/30/2013	Advantus Capital Management	23,700,000	249,405		0	L
428236-10-3	HEWLETT PACKARD		09/12/2013	BNY CONVERG-SOFT	136,700,000	3,003,941		0	L
437306-10-3	HOME PROPERTIES INC REIT		09/30/2013	Advantus Capital Management	2,800,000	163,030		0	L
44106M-10-2	HOSPITALITY PROP TRUST REIT		09/30/2013	Advantus Capital Management	15,700,000	444,390		0	L
456615-10-3	INERGY LP		09/19/2013	Various	27,000,000	360,599		0	L
45671U-10-6	INERGY MIDSTREAM LP LIMITED PARTNERS		09/24/2013	Various	16,200,000	385,906		0	L
457461-20-0	INLAND REAL ESTATE CORP REIT		09/30/2013	Advantus Capital Management	6,100,000	62,383		0	L
459200-10-1	IBM		08/19/2013	BNY CONVERG-SOFT	16,500,000	3,045,709		0	L
46625H-10-0	JP MORGAN CHASE & CO		08/22/2013	BNY CONVERG-SOFT	192,000,000	10,067,109		0	L
481165-10-8	JOY GLOBAL INC		09/06/2013	BNY CONVERG-SOFT	335,459,000	16,688,102		0	L
493267-10-8	KEYCORP		09/23/2013	CSFB-CSA-EQUITY	154,000,000	1,751,565		0	L
494550-10-6	KINDER MORGAN PARTNERS MASTER LIMITED PARTNERS		09/30/2013	Advantus Capital Management	1,600,000	127,200		0	L
49456B-10-1	KINDER MORGAN INC		09/24/2013	Various	74,600,000	2,718,136		0	L
502175-10-2	LTC PROPERTIES INC REIT		09/30/2013	Advantus Capital Management	6,500,000	247,171		0	L
524707-30-4	LEGACY RESERVES LP LIMITED PARTNERS		07/01/2013	HOWARD WEIL	2,000,000	53,909		0	L
55608B-10-5	MACQUARIE INFRASTRUCTURE CO COMMON		09/30/2013	Advantus Capital Management	7,900,000	422,068		0	L
559080-10-6	MAGELLAN MIDSTREAM PRTRS		09/17/2013	Various	34,500,000	1,893,345		0	L
570759-10-0	MARKWEST ENERGY PARTNERS LP MASTER LIMITED PARTNER		09/30/2013	Advantus Capital Management	4,000,000	289,339		0	L
570759-10-0	MARKWEST ENERGY PARTNERS LP MASTER LIMITED PARTNER		09/27/2013	Various	31,500,000	2,158,822		0	L
584918-10-4	MICROSOFT CORP		08/21/2013	BNY CONVERG-SOFT	47,000,000	1,501,091		0	L
58522J-10-3	MID-AMERICA APARTMENT COMM REIT		09/30/2013	Advantus Capital Management	1,500,000	94,033		0	L
678049-10-7	OILTANKING PARTNERS LP		08/29/2013	Various	8,000,000	386,609		0	L
681936-10-0	OMEGA HEALTHCARE REIT		09/30/2013	Advantus Capital Management	24,900,000	745,563		0	L
681936-10-0	OMEGA HEALTHCARE REIT		08/21/2013	BNY CONVERG-SOFT	100,000,000	2,926,925		0	L
68389X-10-5	ORACLE CORPORATION		07/02/2013	BNY CONVERG-SOFT	157,333,000	4,770,927		0	L
69351T-10-6	PPL CORPORATION		09/30/2013	Advantus Capital Management	6,100,000	184,851		0	L
69351T-10-6	PPL CORPORATION		07/01/2013	BNY CONVERG-SOFT	5,487,000	165,002		0	L
713291-10-2	PEPCO HOLDINGS INC COMMON		10/01/2013	Advantus Capital Management	40,500,000	748,229		0	L
718549-20-7	PHILLIPS 66 PARTNERS LP LIMITED PARTNERS		07/23/2013	JP MORGAN - EQ	5,000,000	115,000		0	L
726503-10-5	PLAINS ALL AMER PIPELINE LP		09/30/2013	Advantus Capital Management	2,800,000	146,409		0	L
726503-10-5	PLAINS ALL AMER PIPELINE LP		09/27/2013	Various	62,000,000	3,290,723		0	L
73935S-10-5	POWERSHARES DB COMMODITY IND CLOSED END FUND		09/30/2013	Advantus Capital Management	2,800,000	72,146		0	L
759509-10-2	RELIANCE STEEL & ALUMINUM		09/27/2013	CSFB-CSA-EQUITY	34,200,000	2,502,872		0	L
777149-10-5	ROSE ROCK MIDSTREAM LP		09/20/2013	Various	67,000,000	2,248,635		0	L
78377T-10-7	RYMAN HOSPITALITY PROPERTIES REIT		09/30/2013	Advantus Capital Management	3,500,000	121,401		0	L
81681T-10-0	SELECT INCOME REIT REIT		09/30/2013	Advantus Capital Management	15,600,000	399,909		0	L
81663A-10-5	SEMGROUP CORP-CLASS A		09/13/2013	Various	33,300,000	1,831,030		0	U
81721M-10-9	SENIOR HOUSING PROPS TR REIT		09/30/2013	Advantus Capital Management	32,000,000	746,906		0	L
81721M-10-9	SENIOR HOUSING PROPS TR REIT		08/19/2013	BNY CONVERG-SOFT	81,300,000	1,809,031		0	L
842587-10-7	SOUTHERN CO		09/30/2013	Advantus Capital Management	9,700,000	398,840		0	L
84860W-10-2	SPIRIT REALTY CAPITAL INC REIT		09/30/2013	Advantus Capital Management	28,000,000	256,931		0	L
85254J-10-2	STAG INDUSTRIAL INC REIT		09/30/2013	Advantus Capital Management	24,700,000	499,790		0	L
85571B-10-5	STARWOOD PROPERTY TRUST INC REIT		09/30/2013	Advantus Capital Management	20,800,000	499,167		0	L
866142-10-2	SUMMIT MIDSTREAM PARTNERS LP LIMITED PARTNERS		09/30/2013	Advantus Capital Management	2,000,000	67,981		0	L
866674-10-4	SUN COMMUNITIES INC REIT		09/30/2013	Advantus Capital Management	11,700,000	496,240		0	L
867914-10-3	SUNTRUST BANKS INC		09/23/2013	CSFB-CSA-EQUITY	90,000,000	2,928,105		0	L
871503-10-8	SYMANTEC CORP		09/26/2013	CSFB-CSA-EQUITY	35,300,000	887,841		0	L
871829-10-7	SYSCO CORP		08/29/2013	CREDIT SUISSE FIRST BOSTON	47,200,000	1,511,254		0	L
872375-10-0	TECO ENERGY INC		09/30/2013	Advantus Capital Management	24,100,000	399,349		0	L
87611X-10-5	TARGA RESOURCES PARTNERS LP MASTER LIMITED PARTNER		09/04/2013	Various	11,000,000	546,468		0	L
87612G-10-1	TARGA RESOURCES CORP		09/24/2013	Various	8,200,000	584,241		0	L
88160T-10-7	TESORO LOGISTICS LP		08/15/2013	Various	17,400,000	917,675		0	L
88732J-20-7	TIME WARNER CABLE INC		09/12/2013	BNY CONVERG-SOFT	27,000,000	2,984,280		0	L
91913Y-10-0	VALERO ENERGY CORP		09/26/2013	BTIG LLC-EQUITY	25,800,000	889,063		0	L
92205F-10-6	VANGUARD NATURAL RESOURCES LIMITED PARTNERS		09/30/2013	Advantus Capital Management	8,600,000	239,394		0	L
92276F-10-0	VENTAS INC REIT		08/23/2013	BNY CONVERG-SOFT	63,000,000	3,847,507		0	L
92343V-10-4	VERIZON COMMUNICATIONS		09/30/2013	Advantus Capital Management	1,600,000	74,672		0	L
94973V-10-7	WELL POINT HEALTH NETWORKS		09/26/2013	BTIG LLC-EQUITY	10,600,000	892,844		0	L
95825R-10-3	WESTERN GAS EQUITY PARTNERS LIMITED PARTNERS		09/30/2013	Various	52,000,000	2,003,934		0	L

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
969457-10-0	WILLIAMS COS INC		.08/09/2013	Various	50,000.000	1,774,864		.0	L
981475-10-6	WORLD FUEL SERVICES CORP		.08/01/2013	CREDIT SUISSE FIRST BOSTON	37,100.000	1,318,567		.0	L
292505-10-4	ENCANA CORP	A	.09/26/2013	CSFB-CSA-EQUITY	51,500.000	893,242		.0	L
Y7545W-10-9	SEADRILL PARTNERS LLC LP	F	.09/09/2013	Various	20,000.000	593,147		.0	L
236363-10-7	DANSKE BANK A/S RECEIPTS	F	.07/12/2013	BNY CONVERG-SOFT	286,500.000	2,568,730		.0	U
684060-10-6	ORANGE SA-SPONS ADR	F	.07/05/2013	Tax Free Exchange	1,332,649.000	21,666,478		.0	L
881575-30-2	TESCO PLC RECEIPTS	F	.09/06/2013	BNY CONVERG-SOFT	145,180.000	2,511,956		.0	U
G37585-10-9	GASLOG LTD	F	.08/30/2013	Various	55,000.000	755,930		.0	L
H8817H-10-0	TRANSOCEAN LTD	R	.08/01/2013	BNY CONVERG-SOFT	41,800.000	2,000,657		.0	L
131253-20-5	CALLOWAY REAL ESTATE INVESTM REIT	T	.09/30/2013	Advantus Capital Management	6,300.000	149,820		.0	U
265270-20-7	DUNDEE REAL ESTATE INVESTM-A REIT	T	.09/30/2013	Advantus Capital Management	11,000.000	310,937		.0	U
74623T-10-8	PURE INDUSTRIAL REAL ESTATE REIT	T	.09/30/2013	Advantus Capital Management	8,700.000	37,481		.0	U
766910-10-3	RIOCAN REAL ESTATE INVST TR REIT	T	.09/30/2013	Advantus Capital Management	10,400.000	247,726		.0	U
9099999. Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)						234,960,597	XXX	0	XXX
9199999. Subtotal - Common Stocks - Parent, Subsidiaries and Affiliates						0	XXX	0	XXX
89154W-40-3	TOUCHSTONE INSTITUTIONAL MONEY MARKET		.09/30/2013	Various	2,687,652.970	2,687,653		.0	L
89154W-79-1	TOUCHSTONE CORE BOND FUND-Y		.09/04/2013	Various	813.620	8,384		.0	L
89154W-81-7	TOUCHSTONE HIGH YIELD-Y		.09/23/2013	Various	41,017.014	372,828		.0	L
89154X-22-9	TOUCHSTONE FOCUSED EQUITY FUND CLASS Y		.09/23/2013	TOUCHSTONE SECURITIES	14,396.763	442,939		.0	L
89154X-44-3	TOUCHSTONE VALUE FUND CLASS Y		.09/04/2013	TOUCHSTONE SECURITIES	233.610	1,938		.0	L
89154X-53-4	TOUCHSTONE MID CAP GROWTH - Y		.09/04/2013	TOUCHSTONE SECURITIES	970.335	23,636		.0	L
89154X-58-3	TOUCHSTONE DIVERSIFIED SMALL CAP GROWTH I		.08/01/2013	TOUCHSTONE SECURITIES	1,313.056	17,700		.0	L
89154X-63-3	TOUCHSTONE LARGE CAP GROWTH FUND CLASS I		.09/23/2013	TOUCHSTONE SECURITIES	11,637.437	367,314		.0	L
89155H-24-9	TOUCHSTONE SMALL CAP CORE FUND CLASS Y		.09/05/2013	TOUCHSTONE SECURITIES	95,839.715	1,890,500		.0	L
89155H-37-1	TOUCHSTONE MID CAP VALUE FUND CLASS Y		.09/23/2013	Various	584.284	9,089		.0	L
89155H-38-9	TOUCHSTONE MID CAP VALUE FUND CLASS I		.08/30/2013	Various	522,041.631	8,100,310		.0	L
89155H-39-7	TOUCHSTONE MID CAP VALUE FUND CLASS C		.06/30/2013	DIVIDEND REINVESTMENT	1.120	.17		.0	L
89155H-41-3	TOUCHSTONE MID CAP VALUE FUND CLASS A		.06/30/2013	DIVIDEND REINVESTMENT	19.963	302		.0	L
89155H-46-2	TOUCHSTONE EMERGING MARKETS FUND CLASS Y		.09/23/2013	TOUCHSTONE SECURITIES	2,448.318	27,995		.0	L
89155H-56-1	TOUCHSTONE PREMIUM YIELD EQUITY CLASS C		.08/31/2013	DIVIDEND REINVESTMENT	310.688	2,713		.0	L
89155H-57-9	TOUCHSTONE PREMIUM YIELD EQUITY CLASS A		.08/31/2013	DIVIDEND REINVESTMENT	14,035.762	123,151		.0	L
9299999. Subtotal - Common Stocks - Mutual Funds						14,076,469	XXX	0	XXX
9399999. Subtotal - Common Stocks - Money Market Mutual Funds						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						249,037,066	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						249,037,066	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						250,072,173	XXX	0	XXX
9999999 - Totals						410,749,406	XXX	315,239	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues8

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
36176F-2C-1	G2 #765171 4.660% 12/27/61		09/11/2013	Paydown		(11,100)	(11,100)	(12,126)	(5,732)	.0	(6,040)	.0	(6,040)	.0	(11,100)	.0	.0	.0	(35,928)	02/11/2034	1
36176F-29-2	G2 #765168 4.615% 11/22/61		09/11/2013	Paydown		32,981	32,981	35,433	35,932	.0	(3,130)	.0	(3,130)	.0	32,981	.0	.0	.0	(7,539)	11/22/2061	1
36176R-A9-3	G2 #773432 4.506% 01/20/62		09/11/2013	Paydown		2,097	2,097	2,332	2,291	.0	(202)	.0	(202)	.0	2,097	.0	.0	.0	60	01/20/2062	1
36179D-B6-6	GN # AC3661 2.640% 01/15/33		09/01/2013	Paydown		18,590	18,590	18,614	18,614	.0	(23)	.0	(23)	.0	18,590	.0	.0	.0	327	01/15/2033	1
36202K-N5-0	G2 # 8512 3.500% 06/20/19		09/01/2013	Paydown		1,272	1,272	1,314	1,177	.0	94	.0	94	.0	1,272	.0	.0	.0	27	06/20/2019	1
36202K-UV-5	G2 # 8696 1.750% 09/20/25		09/01/2013	Paydown		468	468	481	434	.0	33	.0	33	.0	468	.0	.0	.0	5	09/20/2025	1
36203C-ME-9	GNMA # 345157 6.500% 01/15/24		09/01/2013	Paydown		7,827	7,827	7,015	7,174	.0	653	.0	653	.0	7,827	.0	.0	.0	299	01/15/2024	1
36203C-ZE-5	GNMA # 345541 6.500% 02/15/24		09/01/2013	Paydown		530	530	475	485	.0	44	.0	44	.0	530	.0	.0	.0	23	02/15/2024	1
36203D-J4-3	GNMA # 345983 6.500% 12/15/23		09/01/2013	Paydown		3,592	3,592	3,220	3,293	.0	299	.0	299	.0	3,592	.0	.0	.0	139	12/15/2023	1
36203D-JE-1	GNMA # 345961 6.500% 11/15/23		09/01/2013	Paydown		1,094	1,094	980	1,003	.0	91	.0	91	.0	1,094	.0	.0	.0	44	11/15/2023	1
36203D-JL-5	GNMA # 345967 6.500% 11/15/23		09/01/2013	Paydown		3,801	3,801	3,248	3,354	.0	448	.0	448	.0	3,801	.0	.0	.0	147	11/15/2023	1
36203D-M2-3	GNMA # 346077 6.500% 02/15/24		09/01/2013	Paydown		411	411	368	376	.0	34	.0	34	.0	411	.0	.0	.0	18	02/15/2024	1
36203D-MZ-0	GNMA # 346076 6.500% 01/15/24		09/01/2013	Paydown		925	925	791	816	.0	109	.0	109	.0	925	.0	.0	.0	40	01/15/2024	1
36203K-7M-0	GNMA # 352000 6.500% 12/15/23		09/01/2013	Paydown		2,067	2,067	1,853	1,895	.0	172	.0	172	.0	2,067	.0	.0	.0	82	12/15/2023	1
36203K-KX-1	GNMA # 351410 6.500% 01/15/24		09/01/2013	Paydown		1,217	1,217	1,052	1,084	.0	133	.0	133	.0	1,217	.0	.0	.0	58	01/15/2024	1
36203L-4H-2	GNMA # 352824 6.500% 04/15/24		09/01/2013	Paydown		5,040	5,040	4,340	4,469	.0	571	.0	571	.0	5,040	.0	.0	.0	220	04/15/2024	1
36203L-SS-2	GNMA # 352540 6.500% 01/15/24		09/01/2013	Paydown		4,920	4,920	4,263	4,388	.0	531	.0	531	.0	4,920	.0	.0	.0	213	01/15/2024	1
36203M-B5-8	GNMA # 352960 6.500% 05/15/24		09/01/2013	Paydown		306	306	274	280	.0	26	.0	26	.0	306	.0	.0	.0	13	05/15/2024	1
36203M-JM-3	GNMA # 353168 6.500% 12/15/23		09/01/2013	Paydown		693	693	621	636	.0	58	.0	58	.0	693	.0	.0	.0	30	12/15/2023	1
36203N-6L-7	GNMA # 354675 6.500% 10/15/23		09/01/2013	Paydown		671	671	602	616	.0	56	.0	56	.0	671	.0	.0	.0	29	10/15/2023	1
36203P-AP-8	GNMA 30 YR # 354714 6.500% 12/15/23		09/01/2013	Paydown		298	298	267	273	.0	25	.0	25	.0	298	.0	.0	.0	13	12/15/2023	1
36203R-SK-1	GNMA 30 YR # 357350 6.500% 11/15/23		09/01/2013	Paydown		224	224	200	205	.0	19	.0	19	.0	224	.0	.0	.0	10	11/15/2023	1
36203S-4U-8	GNMA 30 YR # 358235 6.500% 09/15/23		07/01/2013	Paydown		235	235	203	209	.0	26	.0	26	.0	235	.0	.0	.0	9	09/15/2023	1
36203U-Z7-0	GNMA 30 YR # 359966 6.500% 12/15/23		09/01/2013	Paydown		1,052	1,052	910	937	.0	115	.0	115	.0	1,052	.0	.0	.0	46	12/15/2023	1
36203W-NW-4	GNMA 30 YR # 361405 6.500% 02/15/24		09/01/2013	Paydown		2,495	2,495	2,237	2,287	.0	209	.0	209	.0	2,495	.0	.0	.0	98	02/15/2024	1
36203Y-2F-0	GNMA 30 YR # 363574 6.500% 12/15/23		09/01/2013	Paydown		170	170	152	156	.0	14	.0	14	.0	170	.0	.0	.0	7	12/15/2023	1
36204A-VJ-1	GNMA 30 YR # 364317 6.500% 08/15/23		09/01/2013	Paydown		751	751	646	667	.0	83	.0	83	.0	751	.0	.0	.0	33	08/15/2023	1
36204C-6Z-9	GNMA 30 YR # 366388 6.500% 12/15/23		09/01/2013	Paydown		4,430	4,430	3,974	4,064	.0	366	.0	366	.0	4,430	.0	.0	.0	211	12/15/2023	1
36204C-7L-9	GNMA 30 YR # 366399 6.500% 01/15/24		09/01/2013	Paydown		425	425	381	390	.0	35	.0	35	.0	425	.0	.0	.0	18	01/15/2024	1
36204D-MT-3	GNMA 30 YR # 366770 6.500% 05/15/24		09/01/2013	Paydown		1,186	1,186	1,026	1,055	.0	131	.0	131	.0	1,186	.0	.0	.0	51	05/15/2024	1
36204G-Y3-0	GNMA 30 YR # 369830 6.500% 12/15/23		09/01/2013	Paydown		767	767	655	676	.0	91	.0	91	.0	767	.0	.0	.0	35	12/15/2023	1
36204H-HF-0	GNMA # 370230 6.500% 01/15/24		09/01/2013	Paydown		13,226	13,226	11,854	12,122	.0	1,104	.0	1,104	.0	13,226	.0	.0	.0	643	01/15/2024	1
36204H-QF-0	GNMA # 370454 6.500% 12/15/23		09/01/2013	Paydown		320	320	287	293	.0	27	.0	27	.0	320	.0	.0	.0	14	12/15/2023	1
36204J-B2-1	GNMA # 370957 6.500% 12/15/23		09/01/2013	Paydown		858	858	769	787	.0	71	.0	71	.0	858	.0	.0	.0	37	12/15/2023	1
36204J-KH-8	GNMA # 371196 6.500% 12/15/23		09/01/2013	Paydown		960	960	861	880	.0	80	.0	80	.0	960	.0	.0	.0	42	12/15/2023	1
36204J-KN-5	GNMA # 371201 6.500% 12/15/23		09/01/2013	Paydown		824	824	738	755	.0	69	.0	69	.0	824	.0	.0	.0	36	12/15/2023	1
36204J-L2-0	GNMA # 371245 6.500% 01/15/24		09/01/2013	Paydown		2,504	2,504	2,170	2,233	.0	271	.0	271	.0	2,504	.0	.0	.0	109	01/15/2024	1
36204J-L7-9	GNMA # 371250 6.500% 01/15/24		09/01/2013	Paydown		1,332	1,332	1,152	1,186	.0	146	.0	146	.0	1,332	.0	.0	.0	58	01/15/2024	1
36204K-SW-9	GNMA # 372661 6.500% 01/15/24		09/01/2013	Paydown		43,374	43,374	37,587	38,688	.0	4,686	.0	4,686	.0	43,374	.0	.0	.0	2,108	01/15/2024	1
36204K-DN-0	GNMA # 371909 6.500% 02/15/24		09/01/2013	Paydown		2,408	2,408	2,082	2,143	.0	265	.0	265	.0	2,408	.0	.0	.0	104	02/15/2024	1
36204K-EA-7	GNMA # 371929 6.500% 03/15/24		09/01/2013	Paydown		531	531	476	487	.0	45	.0	45	.0	531	.0	.0	.0	23	03/15/2024	1
36204N-FX-8	GNMA 30 YR # 374670 6.500% 02/15/24		09/01/2013	Paydown		14,788	14,788	12,814	13,186	.0	1,601	.0	1,601	.0	14,788	.0	.0	.0	641	02/15/2024	1
36204N-ND-5	GNMA 30 YR # 374888 6.500% 01/15/24		09/01/2013	Paydown		924	924	799	823	.0	101	.0	101	.0	924	.0	.0	.0	40	01/15/2024	1
36204N-R9-0	GNMA 30 YR # 37501251																				

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
36205J-QF-5	GNMA 30 YR # 392054 6.500% 04/15/24		09/01/2013	Paydown		243	243	208	214	.0	29	.0	29	.0	243	.0	.0	.0	.11	04/15/2024	1
36205L-SZ-9	GNMA 30 YR # 394264 6.500% 03/15/24		09/01/2013	Paydown		2,791	2,791	2,384	2,459	.0	332	.0	332	.0	2,791	.0	.0	.0	.122	03/15/2024	1
36207N-D4-3	GNMA # 436723 7.500% 11/15/26		09/01/2013	Paydown		36,672	36,672	38,051	37,836	.0	(1,164)	.0	(1,164)	.0	36,672	.0	.0	.0	2,033	11/15/2026	1
36210E-7C-7	GNMA 30 YR # 490591 7.000% 09/15/28		09/01/2013	Paydown		2,604	2,604	2,665	2,658	.0	(54)	.0	(54)	.0	2,604	.0	.0	.0	.121	09/15/2028	1
36215J-JR-5	GNMA 30 YR # 136272 10.000% 10/15/15		09/01/2013	Paydown		427	427	418	423	.0	4	.0	4	.0	427	.0	.0	.0	.29	10/15/2015	1
362165-AQ-5	GNMA 30 YR # 183715 9.000% 11/15/16		09/01/2013	Paydown		322	322	313	318	.0	4	.0	4	.0	322	.0	.0	.0	.19	11/15/2016	1
362166-RY-8	GNMA 30 YR # 185103 10.000% 09/15/18		09/01/2013	Paydown		59	59	59	59	.0	.0	.0	.0	.0	59	.0	.0	.0	.4	09/15/2018	1
36216W-HB-2	GNMA 30 YR # 176726 10.000% 11/15/18		09/01/2013	Paydown		766	766	774	768	.0	(3)	.0	(3)	.0	766	.0	.0	.0	.51	11/15/2018	1
36217M-J5-4	GNMA 30 YR # 197484 6.500% 12/15/23		09/01/2013	Paydown		631	631	539	557	.0	75	.0	75	.0	631	.0	.0	.0	.27	12/15/2023	1
362180-EN-7	GNMA 30 YR # 236941 9.500% 05/15/18		09/01/2013	Paydown		318	318	309	313	.0	5	.0	5	.0	318	.0	.0	.0	.20	05/15/2018	1
36218C-EL-5	GNMA 30 YR # 218039 9.500% 08/15/17		09/01/2013	Paydown		544	544	530	537	.0	7	.0	7	.0	544	.0	.0	.0	.35	08/15/2017	1
36218E-A9-2	GNMA 30 YR # 219732 10.000% 09/15/17		09/01/2013	Paydown		635	635	616	625	.0	10	.0	10	.0	635	.0	.0	.0	.42	09/15/2017	1
36218P-VII-3	GNMA 30 YR # 228429 10.500% 06/15/19		09/01/2013	Paydown		473	473	463	466	.0	6	.0	6	.0	473	.0	.0	.0	.33	06/15/2019	1
36219G-EB-7	GNMA 30 YR # 248630 10.000% 10/15/18		09/01/2013	Paydown		238	238	243	240	.0	(2)	.0	(2)	.0	238	.0	.0	.0	.16	10/15/2018	1
36219L-RP-1	GNMA 30 YR # 252594 9.500% 05/15/18		09/01/2013	Paydown		628	628	601	614	.0	14	.0	14	.0	628	.0	.0	.0	.40	05/15/2018	1
36219P-MW-2	GNMA 30 YR # 255173 10.500% 06/15/19		09/01/2013	Paydown		453	453	445	447	.0	5	.0	5	.0	453	.0	.0	.0	.32	06/15/2019	1
36219S-CA-5	GNMA 30 YR # 257565 10.500% 03/15/19		09/01/2013	Paydown		204	204	200	202	.0	2	.0	2	.0	204	.0	.0	.0	.14	03/15/2019	1
36219S-Z7-7	GNMA 30 YR # 258266 10.000% 11/15/18		09/01/2013	Paydown		73	73	74	74	.0	.0	.0	.0	.0	73	.0	.0	.0	.5	11/15/2018	1
36219X-GU-6	GNMA 30 YR # 262211 10.000% 09/15/18		09/01/2013	Paydown		182	182	181	181	.0	1	.0	1	.0	182	.0	.0	.0	.12	09/15/2018	1
36219Y-Q7-4	GNMA 30 YR # 263378 10.000% 10/15/18		09/01/2013	Paydown		279	279	278	278	.0	1	.0	1	.0	279	.0	.0	.0	.19	10/15/2018	1
36220G-4F-6	GNMA 30 YR # 278122 10.000% 06/15/19		09/01/2013	Paydown		434	434	401	415	.0	19	.0	19	.0	434	.0	.0	.0	.29	06/15/2019	1
36220T-D4-3	GNMA 30 YR # 287323 9.000% 02/15/20		09/01/2013	Paydown		191	191	186	188	.0	3	.0	3	.0	191	.0	.0	.0	.11	02/15/2020	1
36223H-RR-1	GNMA 30 YR # 308140 7.500% 01/15/22		09/01/2013	Paydown		316	316	304	307	.0	9	.0	9	.0	316	.0	.0	.0	.16	01/15/2022	1
36224F-J2-7	GNMA 30 YR # 327081 7.500% 05/15/22		09/01/2013	Paydown		1,007	1,007	969	979	.0	28	.0	28	.0	1,007	.0	.0	.0	.51	05/15/2022	1
36224J-SW-8	GNMA 30 YR # 330361 7.500% 01/15/23		09/01/2013	Paydown		85	85	82	83	.0	2	.0	2	.0	85	.0	.0	.0	.4	01/15/2023	1
36224R-KG-8	GNMA 30 YR # 336095 6.500% 11/15/23		09/01/2013	Paydown		797	797	715	731	.0	66	.0	66	.0	797	.0	.0	.0	.34	11/15/2023	1
36225C-EV-4	GNMA ARM # 80147 2.000% 12/20/27		09/01/2013	Paydown		280	280	288	262	.0	18	.0	18	.0	280	.0	.0	.0	.4	12/20/2027	1
36225C-FM-3	GNMA ARM # 80171 1.625% 02/20/28		09/01/2013	Paydown		236	236	240	216	.0	20	.0	20	.0	236	.0	.0	.0	.3	02/20/2028	1
36296L-4X-5	GNMA 694838 5.602% 11/20/59		09/01/2013	Paydown		324,318	324,318	357,064	345,280	.0	(20,962)	.0	(20,962)	.0	324,318	.0	.0	.0	(3,001)	11/20/2059	1
690353-RM-1	OPIC VRDN 0.130% 03/15/17		09/01/2013	MERRILL LYNCH-NY-FX INC		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	1,347	03/15/2017	1
690353-RW-9	OPIC US Agency Floating MTN 0.130% 12/16/19		07/11/2013	MERRILL LYNCH-NY-FX INC		13,000,000	13,000,000	13,000,000	.0	.0	.0	.0	.0	.0	13,000,000	.0	.0	.0	6,037	12/16/2019	1
690353-SU-2	OPIC VRDN 0.130% 06/15/17		07/09/2013	MELLON CAPITAL MKT		10,000,000	10,000,000	10,000,000	.0	.0	.0	.0	.0	.0	10,000,000	.0	.0	.0	4,855	06/15/2017	1
912796-BN-8	TREASURY BILL 0.015% 12/05/13		09/09/2013	BARCLAYS		724,983	725,000	724,973	.0	.0	.0	.0	.0	.0	724,973	.0	10	10	.0	12/05/2013	1FE
912796-BN-8	TREASURY BILL 0.015% 12/05/13		09/09/2013	BARCLAYS		3,529,916	3,530,000	3,529,866	.0	.0	.0	.0	.0	.0	3,529,866	.0	50	50	.0	12/05/2013	1FE
912828-VE-7	U S TREASURY 1.000% 05/31/18		07/02/2013	SOCIETE GENERALE		24,583,984	25,000,000	24,482,422	.0	.0	2,082	.0	2,082	.0	24,484,504	.0	99,480	99,480	22,541	05/31/2018	1
912828-VK-3	U S TREASURY 1.375% 06/30/18		07/16/2013	SOCIETE GENERALE		25,003,906	25,000,000	24,888,672	.0	.0	500	.0	500	.0	24,889,172	.0	114,734	114,734	15,880	06/30/2018	1FE
05999999	Subtotal - Bonds - U.S. Governments					77,453,240	77,865,451	77,247,179	620,654	.0	(8,481)	.0	(8,481)	.0	77,238,966	.0	214,274	214,274	15,910	XXX	XXX
642866-DV-6	NEW BRUNSWICK PROV CDA 6.750% 08/15/13	A	08/15/2013	Maturity		5,000,000	5,000,000	4,950,600	4,996,747	.0	3,253	.0	3,253	.0	5,000,000	.0	.0	.0	337,500	08/15/2013	1FE
10999999	Subtotal - Bonds - All Other Governments					5,000,000	5,000,000	4,950,600	4,996,747	.0	3,253	.0	3,253	.0	5,000,000	.0	.0	.0	337,500	XXX	XXX
3128MB-XF-9	FGLMC # G13178 5.000% 06/01/23		09/01/2013	Paydown		533,845	533,845	549,610	547,982	.0	(14,138)	.0	(14,138)	.0	533,845	.0	.0	.0	.18	06/01/2023	1
3128MB-YE-1	FGLMC # G13209 5.000% 07/01/23		09/01/2013	Paydown		335,812	335,812	349,166	347,802	.0	(11,991)	.0	(11,991)	.0	335,812	.0	.0	.0	.11	07/01/2023	1
3128MT-PK-8	FGLCI # H01326 5.500% 08/01/35		09/01/2013	Paydown		226,940	226,940	225,787	225,818	.0	1,122	.0	1,122	.0	226,940	.0	.0	.0	.8	08/01/2035	1
3128PP-M3-4	FGLMC # J10378 4.500% 07/01/24		09/01/2013	Paydown		410,911	410,911	419,257	418,501	.0	(7,591)	.0	(7,591)	.0	410,911	.0	.0	.0	.12	07/01/2024	1
3128PP-MF-7	FGLMC # J10358 4.500% 07/01/24		09/01/2013	Paydown		1,030,514	1,030,5														

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
31295V-EH-9	FHLMC # A00136 9.500% 12/01/20		09/01/2013	Paydown		27	27	27	27	.0	.0	.0	.0	.0	27	.0	.0	.0	.2	12/01/2020	1
31295V-EJ-5	FHLMC # A00137 9.500% 12/01/20		09/01/2013	Paydown		61	61	61	61	.0	.0	.0	.0	.0	61	.0	.0	.0	.4	12/01/2020	1
31295V-UV-0	FHLMC # A00596 9.000% 05/01/21		09/01/2013	Paydown		253	253	247	249	.0	.4	.0	.4	.0	253	.0	.0	.0	.15	05/01/2021	1
31326V-KV-3	FG Q09908 3.000% 08/01/42		09/01/2013	Paydown	268,796	268,796	268,796	277,343	277,209	.0	(8,414)	.0	(8,414)	.0	268,796	.0	.0	.0	5,683	08/01/2042	1
313401-H4-6	FHLMC # 360043 10.000% 12/01/18		09/01/2013	Paydown		333	333	338	334	.0	(1)	.0	(1)	.0	333	.0	.0	.0	.22	12/01/2018	1
313401-P8-8	FHLMC # 360064 10.000% 07/01/19		09/01/2013	Paydown		136	136	138	136	.0	(1)	.0	(1)	.0	136	.0	.0	.0	.9	07/01/2019	1
313401-06-1	FHLMC # 360070 9.500% 07/01/19		09/01/2013	Paydown		74	74	73	73	.0	.1	.0	.1	.0	74	.0	.0	.0	.5	07/01/2019	1
313401-V8-1	FHLMC # 360103 9.500% 05/01/20		09/01/2013	Paydown		263	263	260	260	.0	.3	.0	.3	.0	263	.0	.0	.0	.16	05/01/2020	1
313602-PQ-1	FNMA - CMO 1989-17-E 10.400% 04/25/19		09/01/2013	Paydown		3,937	3,937	4,023	3,919	.0	.18	.0	.18	.0	3,937	.0	.0	.0	.273	04/25/2019	1
313602-YQ-1	FNMA - CMO 1989-44 44-H 9.000% 07/25/19		09/01/2013	Paydown		3,033	3,033	2,918	2,989	.0	.45	.0	.45	.0	3,033	.0	.0	.0	.171	07/25/2019	1
313614-4B-2	FNMA # 050318 10.000% 07/01/20		09/01/2013	Paydown		160	160	160	160	.0	.0	.0	.0	.0	160	.0	.0	.0	.11	07/01/2020	1
313615-A3-0	FNMA # 050426 9.500% 04/01/21		09/01/2013	Paydown		378	378	376	376	.0	.2	.0	.2	.0	378	.0	.0	.0	.24	04/01/2021	1
313615-HN-9	FNMA # 050637 8.000% 12/01/22		09/01/2013	Paydown		2,229	2,229	2,221	2,220	.0	.9	.0	.9	.0	2,229	.0	.0	.0	.122	12/01/2022	1
313638-TS-7	FNMA # 110461 10.000% 02/01/19		08/01/2013	Paydown		1,164	1,164	1,163	1,160	.0	.4	.0	.4	.0	1,164	.0	.0	.0	.74	02/01/2019	1
313642-W5-8	FNA 2011-M9 AB 2.773% 01/25/21		09/01/2013	Paydown		35,546	35,546	35,455	35,458	.0	.88	.0	.88	.0	35,546	.0	.0	.0	.775	01/25/2021	1
313643-TU-5	FNMR 2012-11 PV 4.000% 05/25/39		08/15/2013	BARCLAYS		4,592,042	4,416,918	4,771,652	4,718,201	.0	(77,510)	.0	(77,510)	.0	4,640,691	.0	(48,650)	(48,650)	127,109	05/25/2039	1
313643-TU-5	FNMR 2012-11 PV 4.000% 05/25/39		08/01/2013	Paydown		63,264	63,264	68,345	67,579	.0	(4,315)	.0	(4,315)	.0	63,264	.0	.0	.0	.1,582	05/25/2039	1
313648-WF-3	FNMR 2012-99 YG 2.500% 05/25/42		09/01/2013	Paydown		272,308	272,308	279,712	280,078	.0	(7,769)	.0	(7,769)	.0	272,308	.0	.0	.0	4,356	05/25/2042	1
313648-DK-6	FNMR 2012-147 TG 2.500% 12/28/32		09/01/2013	Paydown		73,079	73,079	75,465	75,462	.0	(2,383)	.0	(2,383)	.0	73,079	.0	.0	.0	1,275	12/28/2032	1
31374S-Y4-7	FNMA # 323031 6.000% 04/01/28		09/01/2013	Paydown		97,898	97,898	98,940	98,796	.0	(897)	.0	(897)	.0	97,898	.0	.0	.0	3,962	04/01/2028	1
3137AD-U9-6	FHR 3891 DK 4.500% 12/15/40		09/01/2013	Paydown		840,302	840,302	890,720	896,698	.0	(56,397)	.0	(56,397)	.0	840,302	.0	.0	.0	24,933	12/15/2040	1
3137AJ-JZ-8	FHMS 3962 KD 3.000% 10/15/26		09/01/2013	Paydown		27	27	28	28	.0	(1)	.0	(1)	.0	27	.0	.0	.0	.0	10/15/2026	1
3137AW-TR-6	FHR 4144 P 2.500% 12/15/42		09/01/2013	Paydown		83,831	83,831	85,704	85,701	.0	(1,870)	.0	(1,870)	.0	83,831	.0	.0	.0	1,326	12/15/2042	1
31381P-UL-0	FNMA # 466887 3.060% 12/01/17		09/01/2013	Paydown		42,687	42,687	42,734	42,703	.0	(16)	.0	(16)	.0	42,687	.0	.0	.0	.879	12/01/2017	1
3138EO-YE-3	FNMA # AJ7908 3.000% 01/01/27		09/01/2013	Paydown		655,244	655,244	636,505	637,067	.0	18,177	.0	18,177	.0	655,244	.0	.0	.0	.12	01/01/2027	1
				J P MORGAN SEC FIXED INC																	
3138E2-E5-0	FNMA # AJ9155 3.000% 12/01/26		08/15/2013			6,128,166	5,988,741	6,083,217	6,081,229	.0	(1,711)	.0	(1,711)	.0	6,079,518	.0	48,648	48,648	129,257	12/01/2026	1
3138E2-E5-0	FNMA # AJ9155 3.000% 12/01/26		08/01/2013	Paydown		233,026	233,026	236,702	236,625	.0	(3,599)	.0	(3,599)	.0	233,026	.0	.0	.0	.4	12/01/2026	1
3138EK-RW-7	FN AL3200 3.500% 02/01/33		09/01/2013	Paydown		182,776	182,776	195,599		.0	(12,823)	.0	(12,823)	.0	182,776	.0	.0	.0	1,071	02/01/2033	1
31392X-ZY-7	FHR 2528 HN 5.000% 11/15/17		09/01/2013	Paydown		26,172	26,172	27,845	27,304	.0	(1,131)	.0	(1,131)	.0	26,172	.0	.0	.0	.867	11/15/2017	1
31396Q-AB-5	FNMR 2009-37 KA 4.000% 03/25/24		09/01/2013	Paydown		340,225	340,225	355,217	350,131	.0	(9,906)	.0	(9,906)	.0	340,225	.0	.0	.0	9,109	03/25/2024	1
31397F-L9-1	FHR 3284 CA 5.000% 10/15/21		09/01/2013	Paydown		25,813	25,813	27,536	26,332	.0	(519)	.0	(519)	.0	25,813	.0	.0	.0	.854	10/15/2021	1
31397M-N3-7	FNMR 2008-95 AD 4.500% 12/25/23		09/01/2013	Paydown		284,566	284,566	300,618	294,694	.0	(10,128)	.0	(10,128)	.0	284,566	.0	.0	.0	8,508	12/25/2023	1
31397Q-T2-4	FNMR 2010-157 NA 3.500% 03/25/37		09/01/2013	Paydown		22,900	22,900	23,236	23,101	.0	(202)	.0	(202)	.0	22,900	.0	.0	.0	.534	03/25/2037	1
31398C-XT-0	FHR 3530 A 4.000% 05/15/24		09/01/2013	Paydown		177,885	177,885	185,223	183,304	.0	(5,419)	.0	(5,419)	.0	177,885	.0	.0	.0	4,740	05/15/2024	1
31398F-JR-3	FNMR 2009-80 EJ 4.500% 03/25/27		09/01/2013	Paydown		222,138	222,138	229,150	228,944	.0	(6,806)	.0	(6,806)	.0	222,138	.0	.0	.0	6,585	03/25/2027	1
31398K-VT-4	FHR 3599 A 4.000% 02/15/23		09/01/2013	Paydown		305,826	305,826	317,485	310,208	.0	(4,383)	.0	(4,383)	.0	305,826	.0	.0	.0	7,999	02/15/2023	1
31398L-NM-6	FHR 3609 LE 3.000% 12/15/24		09/01/2013	Paydown		222,805	222,805	228,530	225,581	.0	(2,776)	.0	(2,776)	.0	222,805	.0	.0	.0	4,439	12/15/2024	1
31410K-AB-0	FNMA # 889302 5.000% 04/01/23		09/01/2013	Paydown		225,623	225,623	221,265	221,641	.0	3,983	.0	3,983	.0	225,623	.0	.0	.0	7,248	04/01/2023	1
31412M-XM-5	FNMA # 929584 5.000% 06/01/23		09/01/2013	Paydown		310,117	310,117	304,127	304,631	.0	5,487	.0	5,487	.0	310,117	.0	.0	.0	10,434	06/01/2023	1
31412S-D3-6	FNMA # 933122 5.500% 01/01/38		09/01/2013	Paydown		33,752	33,752	34,193	34,176	.0	(424)	.0	(424)	.0	33,752	.0	.0	.0	1,274	01/01/2038	1
31414D-UF-1	FNMA # 963282 5.000% 05/01/23		09/01/2013	Paydown		108,841	108,841	112,769	112,339	.0	(3,498)	.0	(3,498)	.0	108,841	.0	.0	.0	3,409	05/01/2023	1
31414E-CQ-5	FNMA # 963679 5.000% 06/01/23		09/01/2013	Paydown		319,541	319,541	313,369	313,888	.0	5,654	.0									

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
31417Y-E3-7	FNMA # MA0153 4.500% 08/01/24		09/01/2013	Paydown		203,346	203,346	209,192	208,657	.0	(5,311)	.0	(5,311)	.0	203,346	.0	.0	.0	6,156	08/01/2024	1
31418A-HJ-0	FN POOL # MA1132 3.000% 07/01/42		09/01/2013	Paydown		140,043	140,043	143,867	143,798	.0	(3,756)	.0	(3,756)	.0	140,043	.0	.0	.0	2,720	07/01/2042	1
38373R-6H-7	GNMA - CMO 2001-60 ZL 6.500% 12/20/31		09/01/2013	Paydown		59,944	59,944	59,944	59,944	.0	.0	.0	.0	.0	59,944	.0	.0	.0	2,610	12/20/2031	1
38373V-NB-9	GNMA - CMO 2002-81 Z 6.112% 09/16/42		09/01/2013	Paydown		267,440	267,440	264,641	261,986	.0	4,086	.0	4,086	.0	267,440	.0	.0	.0	2,745	09/16/2042	1
38373Y-LK-8	GNMA - CMO 2003-5 Z 5.731% 11/16/42		09/01/2013	Paydown		29,021	29,021	27,869	29,021	.0	.0	.0	.0	.0	29,021	.0	.0	.0	1,106	11/16/2042	1
38375B-JU-3	GNR 2011-H02 BA 4.450% 02/20/61		09/01/2013	Paydown		142,833	142,833	147,533	145,497	.0	(3,332)	.0	(3,332)	.0	142,833	.0	.0	.0	2,113	02/20/2061	1
45505R-BN-4	INDIANA ST FIN AUTH ECON 0.530% 05/01/34		07/01/2013	J P MORGAN SEC FIXED INC		800,000	800,000	800,000	.0	.0	.0	.0	.0	.0	800,000	.0	.0	.0	.0	05/01/2034	2FE
45505R-BN-4	INDIANA ST FIN AUTH ECON 0.530% 05/01/34		09/03/2013	Redemption 100.0000		800,000	800,000	800,000	.0	.0	.0	.0	.0	.0	800,000	.0	.0	.0	1,069	05/01/2034	2FE
49126R-AC-0	KENTUCKY ST FIN VRDN 0.530% 04/01/31		09/03/2013	Redemption 100.0000		1,670,000	1,670,000	1,670,000	.0	.0	.0	.0	.0	.0	1,670,000	.0	.0	.0	2,231	04/01/2031	2FE
60416Q-FT-6	MN HSG FIN AGY 2.600% 09/01/42		09/01/2013	Paydown		116,608	116,608	116,608	116,608	.0	.0	.0	.0	.0	116,608	.0	.0	.0	2,088	09/01/2042	1
605155-AF-7	MISSION TX SOLID WASTE 6.000% 08/01/20		08/01/2013	Redemption 100.0000		1,000,000	1,000,000	1,004,020	.0	.0	(4,020)	.0	(4,020)	.0	1,000,000	.0	.0	.0	30,000	08/01/2020	2AM
60535Q-LY-4	MISSISSIPPI ST HOME CORP SF MT 2.750% 12/01/32		09/16/2013	Redemption 100.0000		104,898	104,898	104,898	.0	.0	.0	.0	.0	.0	104,898	.0	.0	.0	.411	12/01/2032	1FE
3199999	Subtotal - Bonds - U.S. Special Revenues					31,739,446	31,424,897	32,177,971	27,489,988	.0	(349,932)	.0	(349,932)	.0	31,739,447	.0	(2)	(2)	739,938	XXX	XXX
02148J-AD-9	CWALT 2006-39CB 1A4 6.000% 01/25/37		09/01/2013	Paydown		.90,784	.126,612	107,131	108,472	.0	(17,688)	.0	(17,688)	.0	90,784	.0	.0	.0	5,164	01/25/2037	4FM
02660T-ER-0	AHM 2005-2 5A1 5.064% 09/25/35		09/01/2013	Paydown		241,674	241,674	240,957	240,643	.0	1,031	.0	1,031	.0	241,674	.0	.0	.0	8,133	09/25/2035	1FM
037833-AK-6	APPLE INC 2.400% 05/03/23		09/25/2013	FTN FINANCIAL SECURITIES		3,622,640	4,000,000	3,994,680	.0	.0	150	.0	150	.0	3,994,830	.0	(372,190)	(372,190)	39,200	05/03/2023	1FE
05946X-E7-4	BAFC 2005-5 2A1 5.500% 09/25/35		09/01/2013	Paydown		511,871	511,871	510,191	509,868	.0	2,003	.0	2,003	.0	511,871	.0	.0	.0	19,678	09/25/2035	3FM
05946X-S6-1	BAFC 2005-7 3A1 5.750% 11/25/35		09/01/2013	Paydown		213,231	213,231	211,792	211,792	.0	1,439	.0	1,439	.0	213,231	.0	.0	.0	8,569	11/25/2035	2FM
05946X-U9-2	BAFC 2005-7 4A3 5.750% 11/25/35		09/01/2013	Paydown		187,611	187,611	183,698	185,284	.0	2,327	.0	2,327	.0	187,611	.0	.0	.0	7,027	11/25/2035	1FM
05946X-ZZ-9	BAFC 2005-4 2A1 5.500% 08/25/35		09/01/2013	Paydown		220,171	220,171	209,506	214,265	.0	5,906	.0	5,906	.0	220,171	.0	.0	.0	8,089	08/25/2035	1FM
05947U-X2-9	BACM 2005-4 ASB 4.867% 07/10/45		09/01/2013	Paydown		994,221	994,221	1,009,600	998,317	.0	(4,095)	.0	(4,095)	.0	994,221	.0	.0	.0	29,965	07/10/2045	1FM
05948K-FY-0	BOAA 2003-9 1CB4 5.500% 11/25/33		09/01/2013	Paydown		136,341	136,341	130,259	132,724	.0	3,618	.0	3,618	.0	136,341	.0	.0	.0	4,988	11/25/2033	1FM
059497-AV-9	BACM 2007-1 A3 5.449% 01/15/49		08/01/2013	Paydown		71,054	71,054	75,056	74,826	.0	(3,772)	.0	(3,772)	.0	71,054	.0	.0	.0	2,581	01/15/2049	1FM
05949C-NH-5	BOAMS 2005-11 1A5 5.750% 12/25/35		09/01/2013	Paydown		421,478	421,478	413,048	418,138	.0	3,340	.0	3,340	.0	421,478	.0	.0	.0	17,083	12/25/2035	3FM
059515-BF-2	BAFC 2007-3 XA2 5.500% 09/25/34		09/01/2013	Paydown		94,533	94,533	79,144	84,476	.0	10,057	.0	10,057	.0	94,533	.0	.0	.0	3,434	09/25/2034	1FM
06051E-TG-2	BANK OF AMERICA CORP 2.000% 01/11/18		09/13/2013	BANK OF AMERICA SEC		6,770,190	7,000,000	7,001,190	.0	.0	(122)	.0	(122)	.0	7,001,068	.0	(230,878)	(230,878)	96,056	01/11/2018	1FE
07398Y-AD-4	BSOMS 2007-PW16 AAB 5.899% 06/11/40		09/01/2013	Paydown		240,666	240,666	255,482	247,775	.0	(7,110)	.0	(7,110)	.0	240,666	.0	.0	.0	9,237	06/11/2040	1FM
1248MG-AX-2	CBASS 2007-CB1 AF1B 5.971% 01/25/37		09/01/2013	Paydown		16,794	16,794	9,825	7,422	.0	9,373	.0	9,373	.0	16,794	.0	.0	.0	394	01/25/2037	1FM
12513X-AD-4	CD 2006-CD2 AAB 5.514% 01/15/46		09/01/2013	Paydown		701,043	701,043	717,200	705,781	.0	(4,737)	.0	(4,737)	.0	701,043	.0	.0	.0	25,145	01/15/2046	1FM
12644L-AQ-3	CSMC 2010-RRS 2A 5.342% 12/18/43		09/25/2013	BOSTON		3,282,422	3,000,000	3,440,039	3,431,847	.0	(79,163)	.0	(79,163)	.0	3,352,684	.0	(70,262)	(70,262)	133,105	12/18/2043	1FM
126673-W2-4	CIVL 2005-6 M1 0.669% 12/25/35		09/25/2013	Paydown		105,408	105,408	103,860	104,040	.0	1,369	.0	1,369	.0	105,408	.0	.0	.0	.475	12/25/2035	1FM
12667G-AH-6	CWALT 2005-13CB A8 5.500% 05/25/35		09/01/2013	Paydown		74,599	74,599	72,058	73,124	.0	1,475	.0	1,475	.0	74,599	.0	.0	.0	2,776	05/25/2035	3FM
12667G-PV-9	CWALT 2005-20CB 1A3 5.500% 07/25/35		09/01/2013	Paydown		144,349	144,349	130,573	130,567	.0	1,204	.0	1,204	.0	131,771	.0	.0	.0	5,344	07/25/2035	2FM
12667G-PW-7	CWALT 2005-20CB 1A4 5.500% 07/25/35		09/01/2013	Paydown		628,384	688,365	654,492	650,821	.0	(22,436)	.0	(22,436)	.0	628,384	.0	.0	.0	25,486	07/25/2035	3FM
12667G-XD-0	CWALT 2005-28CB 2A4 5.750% 08/25/35		09/01/2013	Paydown		134,604	134,604	126,154	132,833	.0	8,360	6,590	1,770	.0	134,604	.0	.0	.0	4,925	08/25/2035	3FM
12668A-AL-9	CWALT 2005-47CB A11 5.500% 10/25/35		09/01/2013	Paydown		258,702	400,460	364,861	362,704	.0	(104,002)	.0	(104,002)	.0	258,702	.0	.0	.0	14,950	10/25/2035	4FM
12668A-NW-1	CWALT 2005-54CB N11 5.500% 10/25/35		09/01/2013	Paydown		61,856	69,485	65,483	65,440	.0	(3,584)	.0	(3,584)	.0	61,856	.0	.0	.0	2,514	10/25/2035	4FM
12668B-YF-4	CWALT 2006-7CB 1A14 6.000% 05/25/36		09/01/2013	Paydown		38,520	58,384	46,617	47,413	.0	(8,893)	.0	(8,893)	.0	38,520	.0	.0	.0	2,345	05/25/2036	5FM
12668G-AD-4	CIVL 2006-S9 A4 5.794% 11/25/35		09/01/2013	Paydown		8,609	8,609	6,257	6,348	.0	2,261	.0	2,261	.0	8,609	.0	.0	.0	301	11/25/2035	4FM
12668G-AF-9	CIVL 2006-S9 A6 5.588% 08/25/36		09/01/2013	Paydown		48,738	48,738	38,290	39,903	.0	8,835	.0	8,835	.0	48,738	.0	.0	.0	1,791	08/25/2036	3FM
126694-JX-7	CIVHL 2005-24 A7 5.500% 11/25/35		09/19/2013	Paydown		153,850	163,478	160,011	162,806	.0	(3,034)	5,922	(8,956)	.0	153,850	.0	.0	.0	6,086	11/25/2035	2FM
166764-AB-6	CHEVRON CORPORATION 2.355% 12/05/22		09/24/2013	HONG KONG SHANGHAI BK		2,762,820	3,000,000	2,994,690	2,994,694	.0	328	.0	328	.0	2,995,023	.0	(232,203)	(232,203)	57,305	12/05/2022	1FE
17309A-AD-1	CWALT 2006-A1 1A4 5.750% 04/25/36		09/01/2013	Paydown		473,968	522,195	481,013	481,228	.0	(7,261)	.0	(7,261)	.0	473,968	.0	.0	.0	20,476	04/25/2036	3FM
221470-AA-5	COSO GEOTHERMAL 7.000% 07/15/26		09/25/2013	Various		1,301,639	2,317,458	1,585,836	1,015,232	562,398	(91,887)	.0	470,511	.0	1,485,742	.0	(184,104)	(184,104)	196,018	01/15/2018	6FE
221470-AA-5	COSO GEOTHERMAL 7.000% 07/15/26		07/15/2013	Redemption 100.0000		71,502	71,502	48,929	31,324	17,352	22,826	.0	40,178	.0	71,502	.0	.0	.0	5,005	01/15/2018	6FE
22160K-AF-2	COSTCO WHOLESALE CORP 1.700% 12/15/19		08/23/2013	FTN FINANCIAL SECURITIES		2,850,450	3,000,000	2,993,280	2,993,327	.0	587	.0	587	.0	2,993,915	.0	(143,465)	(143,465)	36,975	12/15/2019	1FE
22541Q-SG-8	CSFB 2003-C4 B 5.253% 08/15/36		07/01/2013	Paydown		3,279,690	3,279,690	3,393,966	3,342,225	.0	(62,535)	.0	(62,535)	.0	3,279,690	.0	.0	.0	100,498	08/15/2036	1FM
22541S-AD-0	CSFB 2004-C1 A4 4.750% 01/15/37		09/01/2013	Paydown		6,046,968	6,046,968	5,963,113	6,026,591	.0	20,377	.0	20,377	.0	6,046,968	.0	.0	.0	206,650	01/15/2037	1FM

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
225458-PR-3	CSFB 2005-4 2A4 5.500% 06/25/35		09/01/2013	Paydown		.62,410	.62,410	.58,632	.58,634	.0	3,777	.0	3,777	.0	.62,410	.0	.0	.0	2,350	.06/25/2035	3FM
22546B-AC-4	CSMC 2007-C5 A2 5.589% 09/15/40		08/01/2013	Paydown		102,905	102,905	104,512	102,937	.0	(33)	.0	(33)	.0	102,905	.0	.0	.0	4,670	.09/15/2040	1FM
225470-VY-6	CSMC 2006-1 11P3 5.500% 01/25/36		09/01/2013	Paydown		132,728	132,728	154,430	156,092	.0	(23,364)	.0	(23,364)	.0	132,728	.0	.0	.0	5,835	.01/25/2036	4FM
23305X-AA-9	DBUBS 2011-LC2A A1 3.527% 01/10/21		09/01/2013	Paydown		118,807	118,807	119,990	119,747	.0	(940)	.0	(940)	.0	118,807	.0	.0	.0	2,779	.01/10/2021	1FM
251510-ML-4	DBALT 2006-AB1 A3 5.865% 02/25/36		09/01/2013	Paydown		243,195	243,195	222,402	222,488	.0	20,707	.0	20,707	.0	243,195	.0	.0	.0	9,589	.02/25/2036	4FM
320516-RW-7	FHASI 2005 FA5 1A6 5.500% 08/25/35		09/01/2013	Paydown		145,865	145,865	147,004	146,446	.0	(582)	.0	(582)	.0	145,865	.0	.0	.0	5,426	.08/25/2035	3FM
320516-SD-8	FHASI 2005-FA5 3A1 5.500% 08/25/35		09/01/2013	Paydown		97,890	97,890	95,404	97,747	.0	2,366	2,223	143	.0	97,890	.0	.0	.0	3,694	.08/25/2035	4FM
3622EL-AF-3	GSAA 2006-18 AF5A 6.002% 12/25/36		09/19/2013	Paydown		120,281	120,281	108,610	106,468	.0	15,510	1,697	13,813	.0	120,281	.0	.0	.0	3,829	.12/25/2036	2FM
3622MW-AH-6	GSR 2007-3F 2A7 5.750% 05/25/37		09/01/2013	Paydown		242,630	242,630	231,143	236,437	.0	6,193	.0	6,193	.0	242,630	.0	.0	.0	9,363	.05/25/2037	1FM
362334-CZ-5	GSR 2006-2F 2A13 5.750% 02/25/36		09/01/2013	Paydown		253,452	253,452	253,571	252,706	.0	745	.0	745	.0	253,452	.0	.0	.0	9,571	.02/25/2036	3FM
362341-MR-7	GSAMP 2005-7F 2A6 5.500% 09/25/35		09/01/2013	Paydown		286,778	286,778	272,977	278,623	.0	8,154	.0	8,154	.0	286,778	.0	.0	.0	10,663	.09/25/2035	1FM
36828Q-DN-3	GECCM 2004-C1 A3 4.596% 11/10/38		09/01/2013	Paydown		4,172,623	4,172,623	4,081,347	4,158,239	.0	14,383	.0	14,383	.0	4,172,623	.0	.0	.0	127,892	.11/10/2038	1FM
38141G-DK-7	GOLDMAN SACHS GROUP INC 4.750% 07/15/13		07/01/2013	HONG KONG SHANGHAI BK		2,503,875	2,500,000	2,503,875	.0	.0	.0	.0	.0	.0	2,503,875	.0	.0	.0	116,111	.07/15/2013	1FE
38141G-DK-7	GOLDMAN SACHS GROUP INC 4.750% 07/15/13		07/15/2013	Maturity		2,500,000	2,500,000	2,503,875	.0	.0	(3,875)	.0	(3,875)	.0	2,500,000	.0	.0	.0	59,375	.07/15/2013	1FE
396789-JS-9	GCFCF 2005-GG3 A3 4.569% 08/10/42		09/01/2013	Paydown		11,715	11,715	11,781	11,716	.0	(1)	.0	(1)	.0	11,715	.0	.0	.0	345	.08/10/2042	1FM
45660L-ZV-0	RAST 2005-A16 A3 6.000% 02/25/36		09/01/2013	Paydown		243,207	274,211	244,675	242,604	.0	603	.0	603	.0	243,207	.0	.0	.0	10,929	.02/25/2036	4FM
466247-JU-8	JPMIT 2004-2 4A4 5.500% 11/25/34		09/01/2013	Paydown		204,488	204,488	205,254	205,238	.0	(751)	.0	(751)	.0	204,488	.0	.0	.0	8,195	.11/25/2034	3FM
466247-ZQ-9	JPMIT 2005-S3 1A3 5.750% 01/25/36		09/01/2013	Paydown		795,855	817,106	763,585	785,784	.0	28,480	18,410	10,070	.0	795,855	.0	.0	.0	31,455	.01/25/2036	3FM
46625Y-XQ-1	JPMCC 2005-LDP5 ASB 5.357% 12/15/44		09/01/2013	Paydown		110,961	110,961	114,586	112,301	.0	(1,339)	.0	(1,339)	.0	110,961	.0	.0	.0	3,872	.12/15/2044	1FM
46628S-AH-6	JPMAC 2006-WF1 A5 6.410% 07/25/36		09/01/2013	Paydown		73,857	73,857	51,249	51,215	.0	22,642	.0	22,642	.0	73,857	.0	.0	.0	2,644	.07/25/2036	1FM
46628S-AJ-2	JPMAC 2006-WF1 A6 6.000% 07/25/36		09/01/2013	Paydown		40,705	40,705	30,348	28,835	.0	11,871	.0	11,871	.0	40,705	.0	.0	.0	1,453	.07/25/2036	2FM
46638B-AA-6	JPTPE 2012-4 A 3.500% 07/27/38		07/01/2013	Various		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.07/27/2038	1FE
46638B-AA-6	JPTPE 2012-4 A 3.500% 07/27/38		09/01/2013	Paydown		2,011,158	2,011,158	2,129,314	2,134,661	.0	(123,503)	.0	(123,503)	.0	2,011,158	.0	.0	.0	43,221	.07/27/2038	1FE
52521H-AJ-2	LMT 2006-9 1A9 5.750% 01/25/37		09/01/2013	Paydown		68,622	121,921	100,605	101,431	.0	(32,808)	.0	(32,808)	.0	68,622	.0	.0	.0	4,542	.01/25/2037	4FM
52523K-AJ-3	LXS 2006-17 WF5 5.950% 11/25/36		09/19/2013	Paydown		.3	24,601	19,147	13,166	6,355	(19,086)	432	(13,163)	.0	.3	.0	.0	.0	1,003	.11/25/2036	5FM
59022H-MU-3	MLMT 2005-CK11 A6 5.282% 11/12/37		09/01/2013	Paydown		159,596	159,596	160,506	159,846	.0	(250)	.0	(250)	.0	159,596	.0	.0	.0	6,438	.11/12/2037	1FM
60467M-AB-7	MIRANT CORP 9.125% 06/30/17		07/01/2013	Various		1,532,549	1,532,549	1,563,950	1,543,538	.0	(10,988)	.0	(10,988)	.0	1,532,549	.0	.0	.0	69,923	.06/30/2016	4AM
617451-CP-2	NSC 2006-T21 A3 5.185% 10/12/52		09/01/2013	Paydown		186,284	186,284	187,623	186,000	.0	284	.0	284	.0	186,284	.0	.0	.0	6,956	.10/12/2052	1FM
61749E-AF-4	MORGAN STANLEY 2006-12XS A5A 6.092% 10/25/36		09/01/2013	Paydown		47,354	47,354	34,730	34,325	.0	13,029	.0	13,029	.0	47,354	.0	.0	.0	1,390	.10/25/2036	3FM
61751D-AH-7	MSM 2006-17XS A5W 5.941% 10/25/46		09/01/2013	Paydown		375,626	375,626	268,092	267,763	.0	107,862	.0	107,862	.0	375,626	.0	.0	.0	19,609	.10/25/2046	1FM
61752R-AL-6	MSM 2007-3XS 2A5 6.207% 01/25/47		09/01/2013	Paydown		12,023	12,023	9,995	9,676	.0	2,348	.0	2,348	.0	12,023	.0	.0	.0	457	.01/25/2047	4FM
65538P-AF-5	NAA 2007-1 1A5 6.347% 03/25/47		09/01/2013	Paydown		212,597	212,597	176,793	170,943	.0	41,654	.0	41,654	.0	212,597	.0	.0	.0	7,711	.03/25/2047	1FM
65584A-BM-9	NORFOLK SOUTHERN CORP 3.950% 10/01/42		08/16/2013	BANK of AMERICA SEC		2,496,600	3,000,000	2,549,790	.0	1,082	.0	1,082	.0	2,550,872	.0	(54,272)	(54,272)	46,083	.10/01/2042	2FE	
74456Q-AL-0	PUBLIC SVC EL & GAS 5.375% 09/01/13		09/01/2013	Maturity		6,500,000	6,500,000	6,493,110	6,498,893	.0	1,107	.0	1,107	.0	6,500,000	.0	.0	.0	349,375	.09/01/2013	1FE
74922E-AF-6	RALI 2006-GS6 1A6 6.250% 06/25/36		09/01/2013	Paydown		11,638	15,498	12,995	13,068	.0	(1,430)	.0	(1,430)	.0	11,638	.0	.0	.0	850	.06/25/2036	5FM
75952A-AF-4	REL IANT ENERGY INC 9.237% 07/02/17		07/02/2013	Various		762,162	762,162	773,007	765,812	.0	(3,650)	.0	(3,650)	.0	762,162	.0	.0	.0	70,401	.07/02/2017	5AM
761118-MD-7	RALI 2005-QS16 A4 5.750% 11/25/35		09/01/2013	Paydown		451,059	668,872	605,503	596,679	.0	(145,620)	.0	(145,620)	.0	451,059	.0	.0	.0	26,472	.11/25/2035	4FM
76111H-XQ-6	RALI 2006-GS3 1A12 6.000% 03/25/36		09/01/2013	Paydown		50,793	59,328	48,889	49,015	.0	1,778	.0	1,778	.0	50,793	.0	.0	.0	2,356	.03/25/2036	3FM
76112H-AD-9	RAST 2006-A9CB A4 6.000% 09/25/36		09/01/2013	Paydown		296,167	465,224	323,012	323,490	.0	(27,324)	.0	(27,324)	.0	296,167	.0	.0	.0	18,482	.09/25/2036	4FM
771196-AS-1	ROCHE HLDS INC 6.000% 03/01/19		08/29/2013	Call 100,0000		564,000	564,000	603,198	591,131	.0	(2,483)	.0	(2,483)	.0	588,648	.0	(24,648)	(24,648)	147,777	.03/01/2019	1FE
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STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
34919#-AD-7	FT WASHINGTON INVESTMENT ADVIS Serv 6.000%		01/04/15			2,380,000	2,380,000	2,380,000	2,380,000	.0	.0	.0	.0	.0	2,380,000	.0	.0	.0	128,123	01/04/2015	5*
5599999.	Subtotal - Bonds - Parent, Subsidiaries and Affiliates					2,380,000	2,380,000	2,380,000	2,380,000	0	0	0	0	0	2,380,000	0	0	0	128,123	XXX	XXX
8399997.	Total - Bonds - Part 4					193,749,087	197,886,877	195,935,332	95,549,093	586,105	(801,598)	35,666	(251,159)	0	195,095,175	0	(1,346,090)	(1,346,090)	3,988,062	XXX	XXX
8399998.	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999.	Total - Bonds					193,749,087	197,886,877	195,935,332	95,549,093	586,105	(801,598)	35,666	(251,159)	0	195,095,175	0	(1,346,090)	(1,346,090)	3,988,062	XXX	XXX
8999997.	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998.	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999.	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
018804-10-4	ALLIANT TECHSYSTEMS INC		08/08/2013	Various	25,615,000	2,461,603		1,840,598	1,587,105	253,492	.0	.0	253,492	.0	1,840,598	.0	621,005	621,005	13,320		
020002-10-1	ALLSTATE CORPORATION		08/06/2013	BNY CONVERG-SOFT	116,361,000	5,935,551		3,573,815	4,674,221	(1,100,407)	.0	.0	(1,100,407)	.0	3,573,815	.0	2,361,736	2,361,736	58,181		
021441-10-0	ALTERA CORP		09/20/2013	BARCLAYS	352,800,000	12,147,777		11,548,334		.0	.0	.0	.0	.0	11,548,334	.0	599,443	599,443	96,075		
037833-10-0	APPLE INC		08/16/2013	BARCLAYS	22,100,000	9,896,871		12,945,302	8,248,635	1,392,091	.0	.0	1,392,091	.0	12,945,302	.0	(3,048,432)	(3,048,432)	125,560		
038222-10-5	APPLIED MATERIALS		09/20/2013	BARCLAYS	200,800,000	3,116,020		2,700,800		.0	.0	.0	.0	.0	2,700,800	.0	415,220	415,220	21,300		
053807-10-3	AVNET INC		08/16/2013	BARCLAYS	101,300,000	3,594,254		3,432,198		.0	.0	.0	.0	.0	3,432,198	.0	162,055	162,055	.0		
057224-10-7	BAKER HUGHES INC		07/19/2013	BARCLAYS	50,000,000	2,372,499		2,263,760		.0	.0	.0	.0	.0	2,263,760	.0	108,739	108,739	7,500		
060505-10-4	BANK OF AMERICA CORP		09/20/2013	BARCLAYS	1,320,700,000	17,763,105		15,696,669		.0	.0	.0	.0	.0	15,696,669	.0	2,066,436	2,066,436	32,024		
064058-10-0	BANK OF NEW YORK MELLON CORP		09/20/2013	BARCLAYS	67,100,000	1,998,203		1,830,475		.0	.0	.0	.0	.0	1,830,475	.0	167,729	167,729	10,095		
09248U-61-9	BLACKROCK LIQ FD TEMPFUND-IN MONEY MARKET		09/04/2013	BLACKROCK MM - PUT	4,046,400,000	4,046,400		4,046,400		.0	.0	.0	.0	.0	4,046,400	.0	.0	.0	12		
131476-10-3	CALUMET SPECIALTY PRODUCTS LIMITED PARTNERS		08/09/2013	JP MORGAN - EQ	22,000,000	646,554		741,781		.0	.0	.0	.0	.0	741,781	.0	(95,227)	(95,227)	15,070		
165167-10-7	CHESAPEAKE ENERGY		08/16/2013	BARCLAYS	334,300,000	7,211,628		6,536,357		.0	.0	.0	.0	.0	6,536,357	.0	675,271	675,271	47,189		
192446-10-2	COGNIZANT TECH SOLUTIONS-A		08/16/2013	BARCLAYS	47,700,000	3,252,129		3,076,669		.0	.0	.0	.0	.0	3,076,669	.0	175,460	175,460	.0		
224399-10-5	CRANE CO		08/14/2013	Various	586,597,000	36,002,354		23,438,759	27,147,709	(3,708,950)	.0	.0	(3,708,950)	.0	23,438,759	.0	12,563,595	12,563,595	328,494		
226372-10-0	CRESTWOOD MIDSTREAM PART		07/18/2013	Various	15,000,000	418,229		406,673		83,723	.0	.0	83,723	.0	406,673	.0	11,556	11,556	15,300		
22765U-10-2	CROSTEX ENERGY LP LIMITED PARTNERS		07/19/2013	HOWARD WEIL	13,000,000	280,858		219,641		.0	.0	.0	.0	.0	219,641	.0	61,218	61,218	7,590		
23311P-10-0	DCP MIDSTREAM PARTNERS LP		07/18/2013	Various	20,000,000	1,087,973		586,579	459,250	(262,241)	.0	.0	(262,241)	.0	586,579	.0	501,393	501,393	21,590		
268648-10-2	EMC CORP/MASS		09/26/2013	BARCLAYS	157,100,000	4,004,409		3,450,906		.0	.0	.0	.0	.0	3,450,906	.0	553,504	553,504	15,710		
283702-10-8	EL PASO PIPELINE PARTNERS LP		09/27/2013	Various	20,099,000	867,415		567,013	743,060	(176,047)	.0	.0	(176,047)	.0	567,013	.0	300,402	300,402	27,934		
283702-10-8	EL PASO PIPELINE PARTNERS LP		07/19/2013	BARCLAYS	291,000,000	12,582,621		9,886,030	10,758,270	(872,240)	.0	.0	(872,240)	.0	9,886,030	.0	2,696,591	2,696,591	357,930		
444859-10-2	HUMANA INC		08/16/2013	BARCLAYS	65,000,000	4,925,612		4,444,908		.0	.0	.0	.0	.0	4,444,908	.0	480,704	480,704	34,450		
456615-10-3	INERGY LP		07/26/2013	JP MORGAN - EQ	6,000,000	95,665		138,258		.0	.0	.0	.0	.0	138,258	.0	(42,593)	(42,593)	290		
524707-30-4	LEGACY RESERVES LP LIMITED PARTNERS		07/02/2013	HOWARD WEIL	2,000,000	53,547		53,909		.0	.0	.0	.0	.0	53,909	.0	(363)	(363)	.0		
548661-10-7	LOWES COMPANIES		07/17/2013	Various	160,200,000	7,048,704		4,106,000	5,690,304	(1,584,304)	.0	.0	(1,584,304)	.0	4,106,000	.0	2,942,704	2,942,704	51,264		
655844-10-8	NORFOLK SOUTHERN CORP		08/01/2013	BLOOMBERG TRADEBOOK	.0	.0		.0	(184)	184	.0	.0	184	.0	.0	.0	.0	.0	(982)		
655844-10-8	NORFOLK SOUTHERN CORP		08/01/2013	BARCLAYS	.0	.0		.0	184	(184)	.0	.0	(184)	.0	.0	.0	.0	.0	(30,205)		
678049-10-7	OILTANKING PARTNERS LP		07/12/2013	HOWARD WEIL	2,000,000	98,771		88,065		.0	.0	.0	.0	.0	88,065	.0	10,706	10,706	810		
682680-10-3	ONEOK INC		07/26/2013	JP MORGAN - EQ	15,000,000	779,844		698,384		.0	.0	.0	.0	.0	698,384	.0	81,460	81,460	.0		
68268N-10-3	ONEOK PARTNERS LP MASTER LIMITED PARTNER		09/30/2013	Various	9,000,000	468,454		539,454		.0	.0	.0	.0	.0	539,454	.0	(71,000)	(71,000)	12,915		
718549-20-7	PHILLIPS 66 PARTNERS LP LIMITED PARTNERS		07/29/2013	HOWARD WEIL	5,000,000	172,307		115,000		.0	.0	.0	.0	.0	115,000	.0	57,307	57,307	.0		
75885Y-10-7	REGENCY ENERGY PARTNERS LP		08/15/2013	Various	24,000,000	675,382		274,291	520,320	(246,029)	.0	.0	(246,029)	.0	274,291	.0	401,091	401,091	23,940		
806857-10-8	SCHLUMBERGER LTD		08/16/2013	BARCLAYS	148,800,000	11,829,736		10,811,755		.0	.0	.0	.0	.0	10,811,755	.0	1,017,981	1,017,981	93,000		
854502-10-1	STANLEY BLACK & DECKER INC		08/16/2013	BARCLAYS	102,500,000	8,455,293		8,187,873		.0	.0	.0	.0	.0	8,187,873	.0	267,421	267,421	.0		
871503-10-8	SYMANTEC CORP		08/23/2013	INSTINET	5,000	131		113		.0	.0	.0	.0	.0	113	.0	18	18	2		
871503-10-8	SYMANTEC CORP		08/21/2013	BARCLAYS	220,600,000	5,466,428		4,989,288		.0	.0	.0	.0	.0	4,989,288	.0	477,140	477,140	33,090		
87611X-10-5	PARTNER		07/12/2013	HOWARD WEIL	5,000,000	264,137		219,674		.0	.0	.0	.0	.0	219,674	.0	44,463	44,463	3,488		
87612G-10-1	TARGA RESOURCES CORP		07/15/2013	HOWARD WEIL	5,000,000	327,259		342,084		.0	.0	.0	.0	.0	327,259	.0	14,824	14,824	990		
88732J-20-7	TIME WARNER CABLE INC		07/12/2013	Various	101,051,000	11,394,973		5,242,829	9,821,147	(4,578,317)	.0	.0	(4,578,317)	.0	5,242,829	.0	6,152,143	6,152,143	131,966		
902973-30-4	U S BANCORP		08/01/2013	BNY CONVERG-SOFT	659,000,000	24,775,463		1,427,776	21,048,460	(19,620,684)	.0	.0	(19,620,684)	.0	1,427,776	.0	23,347,688	23,347,688	408,580		
928563-40-2	VMWARE INC-CLASS A		08/16/2013	BARCLAYS	45,600,000	3,300,471		3,216,674		.0	.0	.0	.0	.0	3,216,674	.0	83,796	83,796	.0		
96950F-10-4	WILLIAMS PARTNERS		07/18/2013	Various	10,000,000	522,123		541,620	243,300	28,787	.0	.0	28,787	.0	541,620	.0	(19,496)	(19,496)	12,613		
97780T-10-3	ROYAL CARIBBEAN CRUISES LTD		09/20/2013	BARCLAYS	66,100,000	2,407,320		2,299,685		.0	.0	.0	.0	.0	2,299,685	.0	107,635	107,635	.0		
95177Q-10-5	FRANCE TELECOM SA-SPONS ADR	F	07/05/2013	Tax Free Exchange	1,332,649,000	21,666,478		21,666,478	14,725,771	6,940,707	.0	.0	6,940,707	.0	21,666,478	.0	.0	.0	272,716		
92857W-20-9	VODAFONE GROUP PLC RECEIPTS	F	08/29/2013	BOSTON	130,263,000	4,126,920		3,553,668	3,281,325	272,343	.0	.0	272,343	.0	3,553,668	.0	573,253	573,253	201,995		
9099999.	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					238,556,296	XXX	181,731,720	109,271,827	(23,178,076)	0	0	(23,178,076)	0	181,731,720	0	56,824,576	56,824,576	2,451,196	XXX	XXX

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog-nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con-tractual Maturity Date	NAIC Design-ation or Market In-dicator (a)
89154W-40-3	TOUCHSTONE INSTITUTIONAL MONEY MARKET		09/27/2013	TOUCHSTONE SECURITIES ...	2,809,847.000	2,809,847		2,809,847	101,360	.0	.0	.0	.0	.0	2,809,847	.0	.0	.0	.437		
89154W-79-1	TOUCHSTONE CORE BOND FUND-Y		07/03/2013	TOUCHSTONE SECURITIES ...	2,433.000	25,111		26,084	.0	.0	.0	.0	.0	.0	26,084	.0	(973)	(973)	.142		
89154W-81-7	TOUCHSTONE HIGH YIELD-Y		08/07/2013	TOUCHSTONE SECURITIES ...	6,511.000	59,096		58,923	59,900	(977)	.0	.0	(977)	.0	58,923	.0	.173	.173	2,005		
89154X-22-9	TOUCHSTONE FOCUSED EQUITY FUND CLASS Y		09/20/2013	TOUCHSTONE SECURITIES ...	20,634.000	641,499		604,441	.0	.0	.0	.0	.0	.0	604,441	.0	37,058	37,058	.0		
89154X-53-4	TOUCHSTONE MID CAP GROWTH - Y		07/03/2013	TOUCHSTONE SECURITIES ...	1,102.000	26,726		27,927	23,717	4,210	.0	.0	4,210	.0	27,927	.0	.0	(1,201)	.0		
89154X-58-3	TOUCHSTONE DIVERSIFIED SMALL CAP GROWTH I		08/13/2013	TOUCHSTONE SECURITIES ...	129,140.000	1,837,663		1,521,879	1,374,771	37,001	.0	.0	37,001	.0	1,521,879	.0	315,784	315,784	.0		
89154X-63-3	TOUCHSTONE LARGE CAP GROWTH FUND CLASS I		09/20/2013	TOUCHSTONE SECURITIES ...	20,508.000	651,860		519,865	548,781	(28,916)	.0	.0	(28,916)	.0	519,865	.0	131,995	131,995	.0		
89155H-24-9	TOUCHSTONE SMALL CAP CORE FUND CLASS Y		07/03/2013	TOUCHSTONE SECURITIES ...	3.000	51		38	44	(5)	.0	.0	(5)	.0	38	.0	.13	.13	.0		
89155H-46-2	TOUCHSTONE EMERGING MARKETS FUND CLASS Y		07/03/2013	TOUCHSTONE SECURITIES ...	84.000	942		1,123	1,090	34	.0	.0	34	.0	1,123	.0	(181)	(181)	.0		
9299999. Subtotal - Common Stocks - Mutual Funds						6,052,795	XXX	5,570,127	2,109,663	11,347	0	0	11,347	0	5,570,127	0	482,668	482,668	2,584	XXX	XXX
9799997. Total - Common Stocks - Part 4						244,609,091	XXX	187,301,847	111,381,490	(23,166,729)	0	0	(23,166,729)	0	187,301,847	0	57,307,244	57,307,244	2,453,780	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						244,609,091	XXX	187,301,847	111,381,490	(23,166,729)	0	0	(23,166,729)	0	187,301,847	0	57,307,244	57,307,244	2,453,780	XXX	XXX
9899999. Total - Preferred and Common Stocks						244,609,091	XXX	187,301,847	111,381,490	(23,166,729)	0	0	(23,166,729)	0	187,301,847	0	57,307,244	57,307,244	2,453,780	XXX	XXX
9999999 - Totals						438,358,178	XXX	383,237,179	206,930,583	(22,580,624)	(801,598)	35,666	(23,417,888)	0	382,397,022	0	55,961,154	55,961,154	6,441,842	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Premium (Received) Paid	Current Year Initial Cost of Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
0079999. Subtotal - Purchased Options - Hedging Effective										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
DEUTSCHE - SWAPTION ..	Debt Securities	D 1	Interest Rate	Deutsche Bank	05/31/2013	06/03/2014		101,000,000	2.75		1,984,650		47,258		47,258	(1,937,392)						100/98
DEUTSCHE BANK-SWAPTION	Debt Securities	D 1	Interest Rate	Deutsche Bank	03/14/2013	03/14/2014		75,000,000	2.61		1,350,000		2,085		2,085	(1,347,915)						100/98
MORGAN STANLEY - SWAPTION	Debt Securities	D 1	Interest Rate	Morgan Stanley	03/27/2013	03/27/2014		76,500,000	2.47		1,415,250		367		367	(1,414,883)						100/98
0089999. Subtotal - Purchased Options - Hedging Other - Call Options and Warrants										0	4,749,900	0	49,710	XXX	49,710	(4,700,190)	0	0	0	0	XXX	XXX
0149999. Subtotal - Purchased Options - Hedging Other										0	4,749,900	0	49,710	XXX	49,710	(4,700,190)	0	0	0	0	XXX	XXX
0219999. Subtotal - Purchased Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0289999. Subtotal - Purchased Options - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0359999. Subtotal - Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0369999. Total Purchased Options - Call Options and Warrants										0	4,749,900	0	49,710	XXX	49,710	(4,700,190)	0	0	0	0	XXX	XXX
0379999. Total Purchased Options - Put Options										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0389999. Total Purchased Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0399999. Total Purchased Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0409999. Total Purchased Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0419999. Total Purchased Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0429999. Total Purchased Options										0	4,749,900	0	49,710	XXX	49,710	(4,700,190)	0	0	0	0	XXX	XXX
0499999. Subtotal - Written Options - Hedging Effective										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
DEUTSCHE - SWAPTION ..	Debt Securities	D 1	Interest Rate	Deutsche Bank	05/31/2013	06/03/2014		50,500,000	4.00		(1,201,900)		(1,565,783)		(1,565,783)	(363,883)						100/98
DEUTSCHE BANK-SWAPTION	Debt Securities	D 1	Interest Rate	Deutsche Bank	03/14/2013	03/14/2014		37,500,000	3.86		(825,000)		(1,088,910)		(1,088,910)	(263,910)						100/98
MORGAN STANLEY - SWAPTION	Debt Securities	D 1	Interest Rate	Morgan Stanley	03/27/2013	03/27/2014		38,250,000	3.72		(905,250)		(1,601,114)		(1,601,114)	(695,864)						100/98
0519999. Subtotal - Written Options - Hedging Other - Put Options										0	(2,932,150)	0	(4,255,807)	XXX	(4,255,807)	(1,323,657)	0	0	0	0	XXX	XXX
0569999. Subtotal - Written Options - Hedging Other										0	(2,932,150)	0	(4,255,807)	XXX	(4,255,807)	(1,323,657)	0	0	0	0	XXX	XXX
0639999. Subtotal - Written Options - Replications										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
ADOBE SYSTEMS INC OPTION	ADOBE SYSTEMS INC 00724F101	N/A		US - Chicago Board	07/02/2013	10/19/2013	2,876		45.00		(958,439)		(1,998,820)		(1,998,820)	(1,040,381)						
APACHE CORP OPTION	APACHE CORP 037411105	N/A		US - Chicago Board	08/20/2013	10/19/2013	350		77.50		(90,529)		(259,000)		(259,000)	(168,471)						
APPLE INC OPTION	APPLE INC 037833100	N/A		US - Chicago Board	09/12/2013	10/19/2013	77		460.00		(175,018)		(172,095)		(172,095)	2,923						
APPLE INC OPTION	APPLE INC 037833100	N/A		US - Chicago Board	09/12/2013	10/19/2013	78		465.00		(155,841)		(145,860)		(145,860)	9,981						
APPLE INC OPTION	APPLE INC 037833100	N/A		US - Chicago Board	09/12/2013	10/19/2013	64		475.00		(101,278)		(83,840)		(83,840)	17,438						
BAXTER INTL INC OPTION	BAXTER INTL INC 071813109	N/A		US - Chicago Board	08/22/2013	10/19/2013	79		70.00		(18,012)		(1,106)		(1,106)	16,906						
BLACKROCK INC OPTION	BLACKROCK INC 09247X101	N/A		US - Chicago Board	08/26/2013	10/19/2013	150		270.00		(146,697)		(102,000)		(102,000)	44,697						
BROADCOM CORP-CL A OPTION	BROADCOM CORP-CL A 111320107	N/A		US - Chicago Board	09/25/2013	11/16/2013	380		25.00		(90,058)		(71,820)		(71,820)	18,238						
BROADCOM CORP-CL A OPTION	BROADCOM CORP-CL A 111320107	N/A		US - Chicago Board	09/25/2013	11/16/2013	1,230		26.00		(209,096)		(148,830)		(148,830)	60,266						
BROADCOM CORP-CL A OPTION	BROADCOM CORP-CL A 111320107	N/A		US - Chicago Board	09/25/2013	11/16/2013	2,200		27.00		(249,234)		(171,600)		(171,600)	77,634						
CAMERON INTERNATIONAL CORP OPTION	CAMERON INTERNATIONAL CORP COMMON 13342B105	N/A		US - Chicago Board	09/27/2013	11/16/2013	380		57.50		(132,238)		(114,000)		(114,000)	18,238						
CAMERON INTERNATIONAL CORP OPTION	CAMERON INTERNATIONAL CORP COMMON 13342B105	N/A		US - Chicago Board	09/27/2013	11/16/2013	380		60.00		(79,039)		(69,920)		(69,920)	9,119						
CARNIVAL CRUISE OPTION	CARNIVAL CRUISE UNIT 143658300	N/A		US - Chicago Board	07/11/2013	10/19/2013	782		35.00		(154,833)		(7,820)		(7,820)	147,013						

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Premium (Received) Paid	Current Year Initial Cost of Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
CATERPILLAR INC OPTION	CATERPILLAR INC 149123101	N/A		US - Chicago Board	09/05/2013	10/19/2013	1,324		85.00		(178,737)		(91,356)		(91,356)	87,381						
CENTERPOINT ENERGY OPTION	CENTERPOINT ENERGY 15189T107	N/A		US - Chicago Board	09/23/2013	11/16/2013	2,357		25.00		(116,080)		(129,635)		(129,635)	(13,555)						
DEERE & COMPANY OPTION	DEERE & COMPANY 244199105	N/A		US - Chicago Board	09/18/2013	10/19/2013	1,369		85.00		(169,321)		(34,225)		(34,225)	135,096						
DEERE & COMPANY OPTION	DEERE & COMPANY 244199105	N/A		US - Chicago Board	08/14/2013	12/21/2013	298		85.00		(84,595)		(49,766)		(49,766)	34,829						
DEVON ENERGY CORPORATION OPTION	DEVON ENERGY CORPORATION 25179M103	N/A		US - Chicago Board	09/04/2013	10/19/2013	758		57.50		(131,533)		(106,878)		(106,878)	24,655						
DEVON ENERGY CORPORATION OPTION	DEVON ENERGY CORPORATION 25179M103	N/A		US - Chicago Board	07/17/2013	10/19/2013	69		62.50		(4,071)		(1,104)		(1,104)	2,967						
ENCANA CORP OPTION	ENCANA CORP 292505104	N/A		US - Chicago Board	09/26/2013	11/16/2013	515		17.00		(43,259)		(43,775)		(43,775)	(516)						
EXELON CORP OPTION	EXELON CORP 30161N101	N/A		US - Chicago Board	08/22/2013	10/19/2013	1,983		30.00		(232,998)		(59,490)		(59,490)	173,508						
GOLDMAN SACHS GROUP INC OPTION	GOLDMAN SACHS GROUP INC 38141G104	N/A		US - Chicago Board	08/29/2013	10/19/2013	144		155.00		(80,999)		(82,800)		(82,800)	(1,801)						
GOLDMAN SACHS GROUP INC OPTION	GOLDMAN SACHS GROUP INC 38141G104	N/A		US - Chicago Board	08/22/2013	10/19/2013	475		160.00		(248,183)		(139,175)		(139,175)	109,008						
HALLIBURTON COMPANY OPTION	HALLIBURTON COMPANY 406216101	N/A		US - Chicago Board	08/22/2013	10/19/2013	445		43.00		(221,606)		(236,740)		(236,740)	(15,134)						
HEALTH CARE REIT OPTION	HEALTH CARE REIT REIT 42217K106	N/A		US - Chicago Board	08/21/2013	10/19/2013	400		60.00		(90,998)		(110,000)		(110,000)	(19,002)						
HELMERICH & PAYNE OPTION	HELMERICH & PAYNE 423452101	N/A		US - Chicago Board	09/04/2013	10/19/2013	1,320		65.00		(234,956)		(528,000)		(528,000)	(293,044)						
HEWLETT PACKARD OPTION	HEWLETT PACKARD 428236103	N/A		US - Chicago Board	09/12/2013	10/19/2013	1,367		22.00		(110,725)		(39,643)		(39,643)	71,082						
IBM OPTION	IBM 459200101	N/A		US - Chicago Board	08/19/2013	10/19/2013	165		185.00		(89,511)		(66,825)		(66,825)	22,686						
JOY GLOBAL INC OPTION	JOY GLOBAL INC 481165108	N/A		US - Chicago Board	06/28/2013	10/19/2013	228		55.00		(35,567)		(7,524)		(7,524)	28,043						
JOY GLOBAL INC OPTION	JOY GLOBAL INC 481165108	N/A		US - Chicago Board	09/06/2013	10/19/2013	1,282		52.50		(199,426)		(103,842)		(103,842)	95,584						
JP MORGAN CHASE & CO OPTION	JP MORGAN CHASE & CO 46625H100	N/A		US - Chicago Board	08/22/2013	10/19/2013	1,920		52.50		(316,483)		(130,560)		(130,560)	185,923						
KEYCORP OPTION	KEYCORP 493267108	N/A		US - Chicago Board	09/23/2013	11/16/2013	1,540		11.00		(92,907)		(93,940)		(93,940)	(1,033)						
MAXIM INTEGRATED PRODUCTS OPTION	MAXIM INTEGRATED PRODUCTS 57772K101	N/A		US - Chicago Board	09/19/2013	11/16/2013	1,145		28.00		(232,431)		(257,625)		(257,625)	(25,194)						
MAXIM INTEGRATED PRODUCTS OPTION	MAXIM INTEGRATED PRODUCTS 57772K101	N/A		US - Chicago Board	09/27/2013	11/16/2013	2,500		29.00		(319,994)		(387,500)		(387,500)	(67,506)						
MEDTRONIC INC OPTION	MEDTRONIC INC 585055106	N/A		US - Chicago Board	06/19/2013	11/16/2013	734		50.00		(273,777)		(253,964)		(253,964)	19,813						
MICROSOFT CORP OPTION	MICROSOFT CORP 594918104	N/A		US - Chicago Board	09/05/2013	10/19/2013	2,281		32.00		(195,211)		(335,307)		(335,307)	(140,096)						
MONDELEZ INTERNATIONAL INC OPTION	MONDELEZ INTERNATIONAL INC 609207105	N/A		US - Chicago Board	09/17/2013	10/19/2013	605		32.00		(35,694)		(18,755)		(18,755)	16,939						
MONDELEZ INTERNATIONAL INC OPTION	MONDELEZ INTERNATIONAL INC 609207105	N/A		US - Chicago Board	09/03/2013	10/19/2013	877		33.00		(17,785)		(8,770)		(8,770)	9,015						
MONDELEZ INTERNATIONAL INC OPTION	MONDELEZ INTERNATIONAL INC 609207105	N/A		US - Chicago Board	09/19/2013	12/21/2013	600		31.00		(142,198)		(88,800)		(88,800)	53,398						
NATIONAL OILWELL VARCO INC OPTION	NATIONAL OILWELL VARCO INC 637071101	N/A		US - Chicago Board	08/26/2013	10/19/2013	2,086		72.50		(579,898)		(1,209,880)		(1,209,880)	(629,982)						
NEWMONT MINING CORP OPTION	NEWMONT MINING CORP 651639106	N/A		US - Chicago Board	08/26/2013	11/16/2013	840		33.00		(197,397)		(26,040)		(26,040)	171,357						
OMEGA HEALTHCARE OPTION	OMEGA HEALTHCARE REIT 681936100	N/A		US - Chicago Board	08/21/2013	10/19/2013	1,000		30.00		(91,058)		(61,000)		(61,000)	30,058						

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Premium (Received) Paid	Current Year Initial Cost of Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)
ORACLE CORPORATION OPTION	ORACLE CORPORATION 68389X105	N/A		US - Chicago Board	09/19/2013	11/16/2013	1,750		32.00		(334,244)		(299,250)		(299,250)	34,994						
ORACLE CORPORATION OPTION	ORACLE CORPORATION 68389X105	N/A		US - Chicago Board	09/19/2013	11/16/2013	780		33.00		(95,938)		(73,320)		(73,320)	22,618						
ORACLE CORPORATION OPTION	ORACLE CORPORATION 68389X105	N/A		US - Chicago Board	09/19/2013	11/16/2013	1,550		34.00		(110,048)		(72,850)		(72,850)	37,198						
QUALCOMM OPTION	QUALCOMM 747525103	N/A		US - Chicago Board	08/22/2013	10/19/2013	1,434		65.00		(427,325)		(377,142)		(377,142)	50,183						
RELIANCE STEEL & ALUMINUM OPTION	RELIANCE STEEL & ALUMINUM 759509102	N/A		US - Chicago Board	09/27/2013	11/16/2013	342		75.00		(64,124)		(70,110)		(70,110)	(5,986)						
SENIOR HOUSING PROPS TR OPTION	SENIOR HOUSING PROPS TR REIT 81721M109	N/A		US - Chicago Board	09/19/2013	12/21/2013	813		22.50		(96,745)		(89,430)		(89,430)	7,315						
SUNTRUST BANKS INC OPTION	SUNTRUST BANKS INC 867914103	N/A		US - Chicago Board	09/23/2013	11/16/2013	900		33.00		(85,867)		(78,300)		(78,300)	7,567						
SYMANTEC CORP OPTION	SYMANTEC CORP 871503108	N/A		US - Chicago Board	09/26/2013	11/16/2013	353		25.00		(39,006)		(32,476)		(32,476)	6,530						
TIME WARNER CABLE INC OPTION	TIME WARNER CABLE INC 88732J207	N/A		US - Chicago Board	07/02/2013	10/19/2013	623		100.00		(815,181)		(716,450)		(716,450)	98,731						
TIME WARNER CABLE INC OPTION	TIME WARNER CABLE INC 88732J207	N/A		US - Chicago Board	09/27/2013	11/16/2013	270		115.00		(131,758)		(132,300)		(132,300)	(542)						
TRANSOCEAN LTD OPTION	TRANSOCEAN LTD H8817H100	N/A		US - Chicago Board	08/23/2013	10/19/2013	745		48.00		(84,593)		(8,195)		(8,195)	76,398						
U S BANCORP OPTION	U S BANCORP 902973304	N/A		US - Chicago Board	08/06/2013	01/18/2014	1,330		37.00		(236,071)		(146,300)		(146,300)	89,771						
U S BANCORP OPTION	U S BANCORP 902973304	N/A		US - Chicago Board	08/01/2013	01/18/2014	1,322		38.00		(176,087)		(85,930)		(85,930)	90,157						
U S BANCORP OPTION	U S BANCORP 902973304	N/A		US - Chicago Board	07/31/2013	01/18/2014	1,318		39.00		(122,611)		(47,448)		(47,448)	75,163						
U S BANCORP OPTION	U S BANCORP 902973304	N/A		US - Chicago Board	07/31/2013	01/18/2014	1,318		40.00		(80,489)		(30,314)		(30,314)	50,175						
U S BANCORP OPTION	U S BANCORP 902973304	N/A		US - Chicago Board	08/01/2013	01/18/2014	1,322		41.00		(51,888)		(13,220)		(13,220)	38,668						
VALERO ENERGY CORP OPTION	VALERO ENERGY CORP 91913Y100	N/A		US - Chicago Board	09/26/2013	11/16/2013	258		35.00		(31,426)		(28,638)		(28,638)	2,788						
VENTAS INC OPTION	VENTAS INC REIT 92276F100	N/A		US - Chicago Board	08/20/2013	10/19/2013	400		60.00		(80,999)		(84,000)		(84,000)	(3,001)						
VENTAS INC OPTION	VENTAS INC REIT 92276F100	N/A		US - Chicago Board	08/23/2013	10/19/2013	230		65.00		(18,745)		(4,600)		(4,600)	14,145						
WALGREEN CO OPTION	WALGREEN CO 931422109	N/A		US - Chicago Board	09/12/2013	10/19/2013	335		55.00		(25,798)		(33,165)		(33,165)	(7,367)						
WELL POINT HEALTH NETWORKS OPTION	WELL POINT HEALTH NETWORKS 94973V107	N/A		US - Chicago Board	09/26/2013	11/16/2013	106		85.00		(25,811)		(25,016)		(25,016)	795						
WILLIAMS COS INC OPTION	WILLIAMS COS INC 969457100	N/A		US - Chicago Board	09/27/2013	11/16/2013	1,893		35.00		(380,486)		(410,781)		(410,781)	(30,295)						
0649999. Subtotal - Written Options - Income Generation - Call Options and Warrants										0	(10,842,950)	0	(10,908,865)	XXX	(10,908,865)	(65,915)	0	0	0	0	XXX	XXX
TRANSOCEAN LTD OPTION	TRANSOCEAN LTD H8817H100	N/A		US - Chicago Board	09/27/2013	11/16/2013	354		44.00		(42,355)		(47,790)		(47,790)	(5,435)						
0659999. Subtotal - Written Options - Income Generation - Put Options										0	(42,355)	0	(47,790)	XXX	(47,790)	(5,435)	0	0	0	0	XXX	XXX
0709999. Subtotal - Written Options - Income Generation										0	(10,885,305)	0	(10,956,655)	XXX	(10,956,655)	(71,350)	0	0	0	0	XXX	XXX
0779999. Subtotal - Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0789999. Total Written Options - Call Options and Warrants										0	(10,842,950)	0	(10,908,865)	XXX	(10,908,865)	(65,915)	0	0	0	0	XXX	XXX
0799999. Total Written Options - Put Options										0	(2,974,505)	0	(4,303,597)	XXX	(4,303,597)	(1,329,092)	0	0	0	0	XXX	XXX
0809999. Total Written Options - Caps										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0819999. Total Written Options - Floors										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0829999. Total Written Options - Collars										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0839999. Total Written Options - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX
0849999. Total Written Options										0	(13,817,455)	0	(15,212,462)	XXX	(15,212,462)	(1,395,007)	0	0	0	0	XXX	XXX
0909999. Subtotal - Swaps - Hedging Effective										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	
Description	Description of Item(s) Hedged, Used for Income Generation or Replicated	Schedule/ Exhibit Identifier	Type(s) of Risk(s) (a)	Exchange, Counterparty or Central Clearinghouse	Trade Date	Date of Maturity or Expiration	Number of Contracts	Notional Amount	Strike Price, Rate or Index Received (Paid)	Cumulative Prior Year(s) Initial Cost of Premium (Received) Paid	Current Year Initial Cost of Premium (Received) Paid	Current Year Income	Book/ Adjusted Carrying Value	Code	Fair Value	Unrealized Valuation Increase/ (Decrease)	Total Foreign Exchange Change in B./A.C.V.	Current Year's (Amortization)/ Accretion	Adjustment to Carrying Value of Hedged Item	Potential Exposure	Credit Quality of Reference Entity	Hedge Effectiveness at Inception and at Quarter-end (b)	
Deutsche Bank Financial Interest Rate Swap	Debt Securities	D 1	Interest Rate	Deutsche Bank	7LTWIFY1ONSX8D621K86	05/31/2013	06/03/2044	101,000,000	3 Month LIBOR / (3.404)				7,245,008		7,245,008	7,245,008				2,796,943		100/98	
Deutsche Bank Financial Interest Rate Swap	Debt Securities	D 1	Interest Rate	Deutsche Bank	7LTWIFY1ONSX8D621K86	03/14/2013	03/18/2044	75,000,000	3 Month LIBOR / (3.26)				6,930,490		6,930,490	6,930,490				2,069,873		100/98	
Morgan Stanley Interest Rate Swap	Debt Securities	D 1	Interest Rate	Morgan Stanley	4PQUHN3JPF6GFNF3B8653	03/27/2013	03/31/2044	76,500,000	3 Month LIBOR / (3.12)				9,212,605		9,212,605	9,212,605				2,112,425		100/98	
0919999. Subtotal - Swaps - Hedging Other - Interest Rate										0	0	0	23,388,103	XXX	23,388,103	23,388,103	0	0	0	6,979,241	XXX	XXX	
0969999. Subtotal - Swaps - Hedging Other										0	0	0	23,388,103	XXX	23,388,103	23,388,103	0	0	0	6,979,241	XXX	XXX	
1029999. Subtotal - Swaps - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
1089999. Subtotal - Swaps - Income Generation										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
1149999. Subtotal - Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
1159999. Total Swaps - Interest Rate										0	0	0	23,388,103	XXX	23,388,103	23,388,103	0	0	0	6,979,241	XXX	XXX	
1169999. Total Swaps - Credit Default										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
1179999. Total Swaps - Foreign Exchange										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
1189999. Total Swaps - Total Return										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
1199999. Total Swaps - Other										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
1209999. Total Swaps										0	0	0	23,388,103	XXX	23,388,103	23,388,103	0	0	0	6,979,241	XXX	XXX	
1269999. Subtotal - Forwards										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
1399999. Subtotal - Hedging Effective										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
1409999. Subtotal - Hedging Other										0	1,817,750	0	19,182,006	XXX	19,182,006	17,364,256	0	0	0	6,979,241	XXX	XXX	
1419999. Subtotal - Replication										0	0	0	0	XXX	0	0	0	0	0	0	XXX	XXX	
1429999. Subtotal - Income Generation										0	(10,885,305)	0	(10,956,655)	XXX	(10,956,655)	(71,350)	0	0	0	0	XXX	XXX	
1439999. Subtotal - Other										0	0	0	0	XXX	0	0	0	0	0	0	0	XXX	XXX
1449999 - Totals										0	(9,067,555)	0	8,225,351	XXX	8,225,351	17,292,906	0	0	0	6,979,241	XXX	XXX	

(a)	Code	Description of Hedged Risk(s)

(b)	Code	Financial or Economic Impact of the Hedge at the End of the Reporting Period

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

SCHEDULE DB - PART D - SECTION 1

[illegible]

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE The Western and Southern Life Insurance Company

SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
			NONE					
0199999 - Total							XXX	XXX

Collateral Pledged to Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book/Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
Deutsche Bank	Cash.....	000000-00-0	Cash	1,100,000		XXX.....		V.....
Morgan Stanley	Cash.....	000000-00-0	Cash	7,873,171		XXX.....		V.....
0299999 - Total				8,973,171	0	XXX	XXX	XXX

SCHEDULE DL - PART 1
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date						
1 CUSIP Identification	2 Description	3 Code	4 NAIC Designation/ Market Indicator	5 Fair Value	6 Book/Adjusted Carrying Value	7 Maturity Date
0599999. Total - U.S. Government Bonds				0	0	XXX
1099999. Total - All Other Government Bonds				0	0	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds				0	0	XXX
2499999. Total - U.S. Political Subdivisions Bonds				0	0	XXX
3199999. Total - U.S. Special Revenues Bonds				0	0	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds				0	0	XXX
4899999. Total - Hybrid Securities				0	0	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds				0	0	XXX
6199999. Total - Issuer Obligations				0	0	XXX
6299999. Total - Residential Mortgage-Backed Securities				0	0	XXX
6399999. Total - Commercial Mortgage-Backed Securities				0	0	XXX
6499999. Total - Other Loan-Backed and Structured Securities				0	0	XXX
6599999. Total Bonds				0	0	XXX
7099999. Total - Preferred Stocks				0	0	XXX
7599999. Total - Common Stocks				0	0	XXX
7699999. Total - Preferred and Common Stocks				0	0	XXX
.....	Short term investment from reverse repo program	36,625,054	36,625,05410/01/2013
8999999. Total - Short-Term Invested Assets (Schedule DA type)				36,625,054	36,625,054	XXX
9999999 - Totals				36,625,054	36,625,054	XXX

General Interrogatories:

1. Total activity for the year to date Fair Value \$14,809,916 Book/Adjusted Carrying Value \$15,102,627
2. Average balance for the year to date Fair Value \$33,005,314 Book/Adjusted Carrying Value \$33,005,314
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
 NAIC 1 \$29,290,476 NAIC 2 \$7,334,577 NAIC 3 \$ NAIC 4 \$ NAIC 5 \$ NAIC 6 \$

SCHEDULE DL - PART 2
SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date						
1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation/ Market Indicator	Fair Value	Book/Adjusted Carrying Value	Maturity Date
05999999. Total - U.S. Government Bonds				0	0	XXX
13606Y-CW-4	CANADIAN IMP BANK CD Adj % Due 2/3/2014 Sched		1FE	1,500,000	1,500,000	02/03/2014
13606Y-YB-7	CANADIAN IMP BANK CD Flt % Due 3/21/2014 MJSD21		1FE	1,000,000	1,000,000	03/21/2014
06999999. Subtotal - Bonds - All Other Governments - Issuer Obligations				2,500,000	2,500,000	XXX
10999999. Total - All Other Government Bonds				2,500,000	2,500,000	XXX
17999999. Total - U.S. States, Territories and Possessions Bonds				0	0	XXX
24999999. Total - U.S. Political Subdivisions Bonds				0	0	XXX
45505R-BT-1	INDIANA ST FIN AUTH EON Adj % Due 12/1/2037 MJSD3		2AM	3,700,037	3,700,000	12/01/2037
605155-AF-7	MISSION TX SOLID WASTE 6% Due 8/1/2020 FA1		3AM	1,005,760	1,000,000	08/01/2020
28999999. Subtotal - Bonds - U.S. Special Revenues - Other Loan-Backed and Structured Securities				4,705,797	4,700,000	XXX
31999999. Total - U.S. Special Revenues Bonds				4,705,797	4,700,000	XXX
06366X-TU-6	BMO CD FLOAT Flt % Due 7/24/2014 JAJ024		1FE	2,000,000	2,000,000	07/24/2014
06538E-MJ-3	BANK OF TOKYO CD FLOAT Flt % Due 3/7/2014 MJSD7		1FE	602,616	601,041	03/07/2014
081437-AG-0	BEMIS COMPANY INC 5.65% Due 8/1/2014 FA1		2FE	1,560,770	1,560,808	08/01/2014
12626P-AE-3	CRH AMERICA INC 5.3% Due 10/15/2013 A015		2FE	3,054,615	3,055,380	10/15/2013
421915-EH-8	HEALTH CARE PPTY INV INC 5.65% Due 12/15/2013 JD15		2FE	252,450	252,580	12/15/2013
69331C-AE-8	PACIFIC GAS & ELECTRIC 5 3/4% Due 4/1/2014 A01		2FE	2,597,270	2,599,360	04/01/2014
742718-DX-4	PROCTER & GAMBLE CD FNM Adj % Due 2/6/2014 FMAN6		1FE	1,999,306	2,000,000	02/06/2014
32999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations				12,067,026	12,069,170	XXX
96041U-AA-0	WLAKE ABS 0.55% Due 10/15/2014 Mo-15		1FE	1,800,004	1,800,000	10/15/2014
35999999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities				1,800,004	1,800,000	XXX
38999999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds				13,867,030	13,869,170	XXX
48999999. Total - Hybrid Securities				0	0	XXX
55999999. Total - Parent, Subsidiaries and Affiliates Bonds				0	0	XXX
61999999. Total - Issuer Obligations				14,567,026	14,569,170	XXX
62999999. Total - Residential Mortgage-Backed Securities				0	0	XXX
63999999. Total - Commercial Mortgage-Backed Securities				0	0	XXX
64999999. Total - Other Loan-Backed and Structured Securities				6,505,801	6,500,000	XXX
65999999. Total Bonds				21,072,827	21,069,170	XXX
70999999. Total - Preferred Stocks				0	0	XXX
75999999. Total - Common Stocks				0	0	XXX
76999999. Total - Preferred and Common Stocks				0	0	XXX
172967-FE-6	CITIGROUP 6% Due 12/13/2013 JD15			808,677	808,728	12/13/2013
316175-40-5	FIDELITY INST INV FUND PRIME			42,014	42,014	
46623E-JF-7	JP MORGAN CHASE & CO Flt % Due 1/24/2014 JAJ024			3,508,428	3,508,407	01/24/2014
842587-CE-5	SOUTHERN CO CORP 4.15% Due 5/15/2014 MN15			540,805	540,959	05/15/2014
98420J-XM-1	XSTRATA CP 0.57% Due 10/21/2013 At Mat			997,578	997,578	10/21/2013
89999999. Total - Short-Term Invested Assets (Schedule DA type)				5,897,501	5,897,685	XXX
000000-00-0	Huntington National Bank Money Market Account			7,002,897	7,002,897	
90999999. Total - Cash (Schedule E Part 1 type)				7,002,897	7,002,897	XXX
000000-00-0	AGL CAPITAL CORP CP 0.27% Due 10/8/2013 At Mat			7,197,840	7,197,840	10/08/2013
000000-00-0	BALTIMORE GAS & ELECTRIC CP 0.22% Due 10/7/2013 At Mat			8,499,636	8,499,636	10/07/2013
000000-00-0	DUKE ENERGY CP 0.16% Due 10/1/2013 At Mat			6,399,972	6,399,972	10/01/2013
000000-00-0	DUKE ENERGY CP 0.26% Due 10/2/2013 At Mat			6,997,523	6,997,523	10/02/2013
000000-00-0	ENBRIDGE CP 0.3% Due 10/1/2013 At Mat			6,398,293	6,398,293	10/01/2013
000000-00-0	ENBRIDGE CP 0.27% Due 10/15/2013 At Mat			599,919	599,919	10/15/2013
000000-00-0	GLENCORE CP 0.6% Due 12/20/2013 At Mat			6,283,515	6,283,515	12/20/2013
000000-00-0	IDACORP CP 0.28% Due 10/4/2013 At Mat			1,699,524	1,699,524	10/04/2013
000000-00-0	IDACORP CP 0.3% Due 10/9/2013 At Mat			1,699,575	1,699,575	10/09/2013
000000-00-0	KENTUCKY UTILITIES CO CP 0.22% Due 10/4/2013 At Mat			5,099,782	5,099,782	10/04/2013
000000-00-0	LOUISVILLE G&E CP 0.21% Due 10/4/2013 At Mat			6,999,714	6,999,714	10/04/2013
000000-00-0	MDU RESOURCES CP 0.26% Due 10/1/2013 At Mat			9,599,931	9,599,931	10/01/2013
000000-00-0	MDU RESOURCES CP 0.26% Due 10/11/2013 At Mat			3,199,676	3,199,676	10/11/2013
000000-00-0	MARRIOTT CP 0.27% Due 10/30/2013 At Mat			1,799,150	1,799,150	10/30/2013
000000-00-0	NATIONAL GRID USA CP 0.38% Due 11/21/2013 At Mat			6,993,128	6,993,128	11/21/2013
000000-00-0	NOBLE CP 0.34% Due 10/3/2013 At Mat			999,471	999,471	10/03/2013
000000-00-0	NOBLE CP 0.39% Due 11/14/2013 At Mat			1,998,310	1,998,310	11/14/2013
000000-00-0	NOBLE CP 0.4% Due 12/17/2013 At Mat			3,996,000	3,996,000	12/17/2013
000000-00-0	NOREUT CP 0.22% Due 10/8/2013 At Mat			6,999,529	6,999,529	10/08/2013
000000-00-0	SPECTRA CP 0.4% Due 10/9/2013 At Mat			5,310,229	5,310,229	10/09/2013
000000-00-0	SPECTRA CP 0.45% Due 10/24/2013 At Mat			1,999,275	1,999,275	10/24/2013
000000-00-0	VECTREN UTILITY CP 0.28% Due 10/4/2013 At Mat			6,999,238	6,999,238	10/04/2013
91999999. Total - Cash Equivalents (Schedule E Part 2 type)				107,769,231	107,769,231	XXX
99999999 - Totals				141,742,455	141,738,982	XXX

General Interrogatories:

1. Total activity for the year to date	Fair Value \$	56,474,031	Book/Adjusted Carrying Value \$	56,470,698
2. Average balance for the year to date	Fair Value \$	86,677,013	Book/Adjusted Carrying Value \$	86,783,263

SCHEDULE E - PART 1 - CASH

[illegible]

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due and Accrued	Amount Received During Year
TREASURY BILL		09/30/2013	0.002	12/26/2013	1,639,992	0	0
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations					1,639,992	0	0
0599999. Total - U.S. Government Bonds					1,639,992	0	0
1099999. Total - All Other Government Bonds					0	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds					0	0	0
2499999. Total - U.S. Political Subdivisions Bonds					0	0	0
3199999. Total - U.S. Special Revenues Bonds					0	0	0
AGL CAPITAL CORP CP		08/29/2013	0.270	10/08/2013	7,197,840	1,782	0
AGL CAPITAL CORP CP		07/25/2013	0.340	11/21/2013	4,295,167	2,762	0
BALTIMORE GAS & ELECTRIC CP		09/30/2013	0.220	10/07/2013	8,499,636	52	0
DTE ELECTRIC CP		09/30/2013	0.170	10/01/2013	4,880,977	23	0
DUKE ENERGY CP		09/30/2013	0.160	10/01/2013	14,399,936	64	0
DUKE ENERGY CP		08/14/2013	0.260	10/02/2013	8,696,921	3,016	0
ENBRIDGE CP		08/30/2013	0.300	10/01/2013	6,398,293	1,707	0
ENBRIDGE CP		09/27/2013	0.270	10/15/2013	599,919	18	0
GLENCORE CP		08/21/2013	0.500	11/18/2013	7,990,111	4,556	0
GLENCORE CP		07/16/2013	0.600	12/20/2013	6,283,515	8,085	0
IDACORP CP CP		08/29/2013	0.280	10/04/2013	1,699,524	436	0
IDACORP CP CP		09/09/2013	0.300	10/09/2013	1,699,575	312	0
KENTUCKY UTILITIES CO CP		09/27/2013	0.220	10/04/2013	5,099,782	125	0
LOUISVILLE G&E CP		09/27/2013	0.210	10/04/2013	6,999,714	163	0
MDU RESOURCES CP		09/30/2013	0.260	10/01/2013	9,599,931	69	0
MDU RESOURCES CP		09/27/2013	0.260	10/11/2013	3,199,676	92	0
MARRIOTT CP		08/28/2013	0.270	10/30/2013	1,799,150	459	0
NATIONAL GRID USA CP		08/19/2013	0.380	11/21/2013	13,786,453	6,118	0
NEXTERA CP		09/16/2013	0.280	10/02/2013	6,799,154	793	0
NOBLE CP		08/08/2013	0.340	10/03/2013	999,471	510	0
NOBLE CP		08/28/2013	0.390	11/14/2013	1,998,310	737	0
NOBLE CP		09/18/2013	0.400	12/17/2013	3,996,000	578	0
NOREUT CP		09/27/2013	0.220	10/08/2013	6,999,529	171	0
SPECTRA CP		09/09/2013	0.400	10/09/2013	5,310,229	1,298	0
SPECTRA CP		09/25/2013	0.450	10/24/2013	8,996,738	675	0
VECTREN UTILITY CP		09/20/2013	0.280	10/04/2013	8,299,096	710	0
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations					156,524,647	35,311	0
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds					156,524,647	35,311	0
4899999. Total - Hybrid Securities					0	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds					0	0	0
7799999. Total - Issuer Obligations					158,164,639	35,311	0
7899999. Total - Residential Mortgage-Backed Securities					0	0	0
7999999. Total - Commercial Mortgage-Backed Securities					0	0	0
8099999. Total - Other Loan-Backed and Structured Securities					0	0	0
8399999. Total Bonds					158,164,639	35,311	0
8699999 - Total Cash Equivalents					158,164,639	35,311	0