



QUARTERLY STATEMENT

As of September 30, 2013

of the Condition and Affairs of the

United Transportation Union Insurance Association

NAIC Group Code..... ,
(Current Period) (Prior Period)

NAIC Company Code..... 56413

Employer's ID Number..... 23-7131460

Organized under the Laws of Ohio

State of Domicile or Port of Entry Ohio

Country of Domicile US

Incorporated/Organized..... November 16, 1970

Commenced Business..... March 10, 1971

Statutory Home Office

24950 Country Club Blvd Ste 340..... North Olmsted OH US 44070-5333
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office

24950 Country Club Blvd Ste 340..... North Olmsted OH US 44070-5333 216-228-9400
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address

24950 Country Club Blvd Ste 340..... North Olmsted OH US 44070-5333
(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records

24950 Country Club Blvd Ste 340..... North Olmsted OH US 44070-5333 216-228-9400
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address

utuia.org

Statutory Statement Contact

Richard A Kusnic Sr

(Name)

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(E-Mail Address)

216-228-9400

(Area Code) (Telephone Number) (Extension)

216-228-0411

(Fax Number)

OFFICERS

Name	Title	Name	Title
1. John Previsich #	President	2. Bruce D Feltmeyer	Secretary
3. Bruce D Feltmeyer	Treasurer	4.	

OTHER

Malcolm Brown Futhey Jr
Nicholas J Dicicco Jr

John Previsich
James Arbin Stem Jr

Bruce D Feltmeyer
William Jennings Thompson

Frank James Riha
William B Ryan

State of.....
County of.....

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature) John Previsich 1. (Printed Name) President (Title)	(Signature) Bruce D Feltmeyer 2. (Printed Name) Secretary (Title)	(Signature) Bruce D Feltmeyer 3. (Printed Name) Treasurer (Title)
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Subscribed and sworn to before me
This _____ day of _____

a. Is this an original filing?
b. If no: 1. State the amendment number
2. Date filed
3. Number of pages attached

Yes [X] No []

Statement as of September 30, 2013 of the **United Transportation Union Insurance Association**
ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	184,775,428		184,775,428	188,809,287
2. Stocks:				
2.1 Preferred stocks.....	2,782,964		2,782,964	3,039,892
2.2 Common stocks.....	21,546,468		21,546,468	18,672,191
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....	2,250,568		2,250,568	2,305,930
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$....763,459), cash equivalents (\$....5,612,508) and short-term investments (\$.....0).....	6,375,967		6,375,967	1,159,648
6. Contract loans (including \$.....0 premium notes).....	6,458,783		6,458,783	6,406,607
7. Derivatives.....			0	
8. Other invested assets.....			0	
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	224,190,178	0	224,190,178	220,393,555
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	2,246,193		2,246,193	2,287,071
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	199,245		199,245	191,435
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	28,390		28,390	25,322
15.3 Accrued retrospective premiums.....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....			0	
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0	
18.2 Net deferred tax asset.....			0	
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....			0	
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	42,500	42,500	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	226,706,506	42,500	226,664,006	222,897,383
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	226,706,506	42,500	226,664,006	222,897,383

DETAILS OF WRITE-INS

1101.			0	
1102.			0	
1103.			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Agent loan.....	42,500	42,500	0	
2502.			0	
2503.			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	42,500	42,500	0	0

United Transportation Union Insurance Association

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts (including \$.....0 Modco Reserve).....	164,419,573	165,221,013
2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....	6,696,790	6,877,804
3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....	6,131,126	6,843,851
4. Contract claims:		
4.1 Life.....	1,423,245	1,149,607
4.2 Accident and health.....	159,828	238,043
5. Refunds due and unpaid.....	18,198	13,837
6. Provisions for refunds payable in following calendar year - estimated amounts:		
6.1 Apportioned for payment.....
6.2 Not yet apportioned.....
7. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$....149,610 accident and health premiums.....	427,481	437,394
8. Certificate and contract liabilities not included elsewhere:		
8.1 Surrender values on canceled contracts.....	251,165	157,292
8.2 Other amounts payable on reinsurance including \$.....0 assumed and \$.....0 ceded.....
8.3 Interest maintenance reserve (IMR).....	7,693,634	6,373,437
9. Commissions to fieldworkers due or accrued - life and annuity contracts \$....27,907, accident and health \$....45,030 and deposit-type contract funds \$.....0.....	72,937	80,389
10. Commissions and expense allowances payable on reinsurance assumed.....
11. General expenses due or accrued.....	419,610	367,168
12. Transfers to Separate Accounts due or accrued (net) (including \$.....0 accrued for expense allowances recognized in reserves).....
13. Taxes, licenses and fees due or accrued.....	40,636	35,433
14. Unearned investment income.....
15. Amounts withheld or retained by Society as agent or trustee.....
16. Amounts held for fieldworkers' account, including \$.....0 fieldworkers' credit balances.....
17. Remittances and items not allocated.....
18. Net adjustment in assets and liabilities due to foreign exchange rates.....
19. Liability for benefits for employees and fieldworkers if not included above.....
20. Borrowed money \$.....0 and interest thereon \$.....0.....
21. Miscellaneous liabilities:		
21.1 Asset valuation reserve.....	3,419,179	4,250,542
21.2 Reinsurance in unauthorized and certified (\$.....0) companies.....
21.3 Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers.....
21.4 Payable to subsidiaries and affiliates.....	475,007	693,930
21.5 Drafts outstanding.....
21.6 Funds held under coinsurance.....
21.7 Derivatives.....
21.8 Payable for securities.....
21.9 Payable for securities lending.....
22. Aggregate write-ins for liabilities.....	61,328	61,328
23. Total liabilities excluding Separate Accounts business (Lines 1 to 22).....	191,709,737	192,801,068
24. From Separate Accounts Statement.....
25. Total liabilities (Lines 23 to 24).....	191,709,737	192,801,068
26. Aggregate write-ins for other than liabilities and surplus funds.....	0	0
27. Surplus notes.....	0	0
28. Aggregate write-ins for surplus funds.....	0	0
29. Unassigned funds.....	34,954,269	30,096,315
30. Total (Lines 26 through 29) (including \$.....0 in Separate Accounts Statement).....	34,954,269	30,096,315
31. Totals (Lines 25 + 30) (Page 2, Line 28, Col. 3).....	226,664,006	222,897,383

DETAILS OF WRITE-INS

2201. Liability for uncashed checks.....	61,328	61,328
2202.
2203.
2298. Summary of remaining write-ins for Line 22 from overflow page.....	0	0
2299. Totals (Lines 2201 thru 2203 plus 2298) (Line 22 above).....	61,328	61,328
2601.
2602.
2603.
2698. Summary of remaining write-ins for Line 26 from overflow page.....	0	0
2699. Totals (Lines 2601 thru 2603 plus 2698) (Line 26 above).....	0	0
2801.
2802.
2803.
2898. Summary of remaining write-ins for Line 28 from overflow page.....	0	0
2899. Totals (Lines 2801 thru 2803 plus 2898) (Line 28 above).....	0	0

Statement as of September 30, 2013 of the **United Transportation Union Insurance Association**
SUMMARY OF OPERATIONS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts.....	11,655,377	11,710,598	15,188,702
2. Considerations for supplementary contracts with life contingencies.....			
3. Net investment income.....	6,919,032	7,420,359	9,479,581
4. Amortization of Interest Maintenance Reserve (IMR).....	805,429	529,700	767,617
5. Separate Accounts net gain from operations excluding unrealized gains and losses.....			
6. Commissions and expense allowances on reinsurance ceded.....			
7. Reserve adjustments on reinsurance ceded.....			
8. Miscellaneous income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....			
8.2 Charges and fees for deposit-type contracts.....			
8.3 Aggregate write-ins for miscellaneous income.....	10,210	7,224	8,963
9. Totals (Lines 1 to 8.3).....	19,390,048	19,667,881	25,444,863
10. Death benefits.....	3,585,827	3,289,794	4,072,092
11. Matured endowments (excluding guaranteed annual pure endowments).....	44,838	122,208	166,561
12. Annuity benefits.....	7,759,204	4,994,335	7,868,398
13. Disability benefits and benefits under accident and health contracts including premiums waived \$.....0	801,741	1,086,489	1,439,195
14. Surrender benefits and withdrawals for life contracts.....	1,703,857	1,637,054	2,209,033
15. Interest and adjustments on contract or deposit-type contract funds.....	5,107	4,905	5,848
16. Payments on supplementary contracts with life contingencies.....			
17. Increase in aggregate reserve for life and accident and health contracts.....	(1,693,004)	1,317,877	(50,698)
18. Totals (Lines 10 to 17).....	12,207,570	12,452,662	15,710,429
19. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....	569,297	620,516	823,285
20. Commissions and expense allowances on reinsurance assumed.....			
21. General insurance expenses and fraternal expenses.....	4,827,821	5,094,158	6,193,111
22. Insurance taxes, licenses and fees.....	443,176	454,882	559,890
23. Increase in loading on deferred and uncollected premiums.....			
24. Net transfers to or (from) Separate Accounts net of reinsurance.....			
25. Aggregate write-ins for deductions.....	0	0	0
26. Totals (Lines 18 to 25).....	18,047,864	18,622,218	23,286,715
27. Net gain from operations before refunds to members (Line 9 minus Line 26).....	1,342,184	1,045,663	2,158,148
28. Refunds to members.....	4,304	11,245	2,087
29. Net gain from operations after refunds to members and before realized capital gains (losses) (Line 27 minus Line 28).....	1,337,880	1,034,418	2,156,061
30. Net realized capital gains (losses) less capital gains tax of \$.....0 (excluding \$.....0 transferred to the IMR).....	2,107,983	(218,163)	(122,389)
31. Net income (Lines 29 + 30).....	3,445,863	816,255	2,033,672
SURPLUS ACCOUNT			
32. Surplus, December 31, prior year.....	30,096,317	27,591,145	27,591,145
33. Net income from operations (Line 31).....	3,445,863	816,255	2,033,672
34. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0	603,228	2,258,513	2,316,039
35. Change in net unrealized foreign exchange capital gain (loss).....			
36. Change in nonadmitted assets.....	(22,500)	(12,500)	(20,000)
37. Change in liability for reinsurance in unauthorized and certified companies.....			
38. Change in reserve on account of change in valuation basis (increase) or decrease.....			
39. Change in asset valuation reserve.....	831,361	(1,740,049)	(1,824,539)
40. Surplus (contributed to) withdrawn from Separate Accounts during period.....			
41. Other changes in surplus in Separate Accounts Statement.....			
42. Change in surplus notes.....			
43. Cumulative effect of changes in accounting principles.....			
44. Change in surplus as a result of reinsurance.....			
45. Aggregate write-ins for gains and losses in surplus.....	0	0	0
46. Net change in surplus for the year (Lines 33 through 45).....	4,857,952	1,322,219	2,505,172
47. Surplus as of statement date (Lines 32 + 46).....	34,954,269	28,913,364	30,096,317

DETAILS OF WRITE-INS

08.301. Miscellaneous Income.....	388	(475)	(393)
08.302. Penalty on Early Withdrawal.....	9,822	7,699	9,356
08.303.			
08.398. Summary of remaining write-ins for Line 8.3 from overflow page.....	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	10,210	7,224	8,963
2501.			
2502.			
2503.			
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0	0
4501.			
4502.			
4503.			
4598. Summary of remaining write-ins for Line 45 from overflow page.....	0	0	0
4599. Totals (Lines 4501 thru 4503 plus 4598) (Line 45 above).....	0	0	0

Statement as of September 30, 2013 of the **United Transportation Union Insurance Association**
CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....	11,634,586	11,687,871	15,194,820
2. Net investment income.....	7,498,213	7,799,517	10,088,447
3. Miscellaneous income.....	10,210	7,224	8,963
4. Total (Lines 1 through 3).....	19,143,009	19,494,612	25,292,230
5. Benefit and loss related payments.....	13,611,278	11,469,506	16,155,408
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	5,790,101	6,104,053	7,596,660
8. Dividends paid to policyholders.....	(57)	12,113	2,249
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....			
10. Total (Lines 5 through 9).....	19,401,322	17,585,672	23,754,317
11. Net cash from operations (Line 4 minus Line 10).....	(258,313)	1,908,940	1,537,913
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	31,586,601	33,518,689	50,224,572
12.2 Stocks.....	16,635,493	3,222,943	5,510,480
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....			
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	48,222,094	36,741,632	55,735,052
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	25,903,132	35,724,170	55,007,543
13.2 Stocks.....	16,548,558	4,668,228	6,745,127
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....			
13.6 Miscellaneous applications.....			
13.7 Total investments acquired (Lines 13.1 to 13.6).....	42,451,690	40,392,398	61,752,670
14. Net increase (decrease) in contract loans and premium notes.....	52,176	(100,574)	(96,592)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	5,718,228	(3,550,192)	(5,921,026)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	(243,596)	27,147	15,519
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(243,596)	27,147	15,519
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	5,216,319	(1,614,105)	(4,367,594)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	1,159,649	5,527,243	5,527,243
19.2 End of period (Line 18 plus Line 19.1).....	6,375,968	3,913,138	1,159,649

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20,0001
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EXHIBIT 1**DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Life Insurance.....	4,540,772	4,596,550	6,132,453
2. Individual annuities.....	4,352,660	4,326,880	5,364,400
3. Accident and Health.....	2,706,975	2,742,611	3,604,622
4. Aggregate of all other lines of business.....	365,402	353,414	464,902
5. Subtotal (Lines 1 through 4).....	11,965,809	12,019,455	15,566,377
6. Fraternal.....			
7. Expenses.....			
8. Subtotal (Lines 5 through 7).....	11,965,809	12,019,455	15,566,377
9. Deposit-type contracts.....	365,402	(12,081)	464,902
10. Total.....	12,331,211	12,007,374	16,031,279

DETAILS OF WRITE-INS

0401. Supplenenty Contracts.....	365,402	353,414	464,902
0402.			
0403.			
0498. Summary of remaining write-ins for Line 4 from overflow page.....	0	0	0
0499. Total (Lines 0401 thru 0403 plus 0498) (Line 4 above).....	365,402	353,414	464,902

NOTES TO FINANCIAL STATEMENTS**1. Summary of Significant Accounting Policies**

- A. United Transportation Union Insurance Association's (Insurance Association or UTUIA), statutory-basis financial statements are prepared in accordance with the NAIC's *Accounting Practices and Procedures Manual*.
- B. Preparation of financial statements requires management to make estimates and assumptions that affect amounts reported in the financial statements and accompanying notes. Such estimates and assumptions could change in the future as more information becomes known, which could impact the amounts reported and disclosed herein.
- C. The United Transportation Union Insurance Association, a fraternal benefit society, is domiciled in Ohio. The Insurance Association's primary business is providing life, accident and health insurance and annuities to members of the United Transportation Union (Union) and to members of other transportation industries.

Life insurance and annuities comprise more than 81% of UTUIA's premiums, with accident and health products representing the balance. UTUIA sells insurance throughout most of the United States with the highest concentrations per schedule T.

Basis of Presentation

The accompanying financial statements of the Insurance Association have been prepared in conformity with statutory accounting practices as prescribed. Such practices vary from accounting principles generally accepted in the United States (GAAP). The more significant variances from GAAP are as follows:

Investments

Investments in bonds are reported at amortized cost or market value based on their National Association of Insurance Commissioners (NAIC) rating; but for GAAP, such fixed maturity investments are designated at purchase as either held-to-maturity, trading, or available-for-sale. Held-to-maturity fixed investments would be reported at amortized cost, and the remaining fixed maturity investments would be reported at fair value, with unrealized holding gains and losses reported in operations for those designated as trading or as a separate component of surplus for those designated as available-for-sale.

Fair values of certain investments in bonds and stocks are based on values specified by the NAIC rather than on actual or estimated market values. Changes between cost and admitted asset investment amounts are credited or charged directly to unassigned surplus rather than to a separate surplus account.

Under a formula prescribed by the NAIC, the Insurance Association defers the portion of realized capital gains and losses on sales of fixed income investments, that is attributable to changes in the general level of interest rates, and amortizes those deferrals over the remaining period to maturity. That net deferral is reported as the interest maintenance reserve (IMR), a required statutory reserve, in the accompanying balance sheets. Realized capital gains and losses are reported in income net of transfers to the IMR.

The asset valuation reserve (AVR) provides a valuation allowance for invested assets. The AVR is determined by a NAIC prescribed formula and is reported as a liability rather than unassigned surplus. Under GAAP, realized capital gains and losses are reported in the income statement in the period that the asset giving rise to the gains or losses are sold and valuation allowances are provided when there has been a decline in value deemed other than temporary, in which case, the provision for such declines are charged to income.

Policy Acquisition Costs

The costs of acquiring and renewing business are expensed when incurred. Under GAAP, acquisition costs related to traditional life insurance, to the extent recoverable from future policy revenues, are deferred and amortized over the premium-paying period of the related policies using assumptions consistent with those used in computing policy benefit reserves. For universal life insurance and investment products, to the extent recoverable from future gross profits, deferred policy acquisition costs are amortized generally in proportion to the present value of expected gross profits from surrender charges and investment, mortality, and expense margins.

Nonadmitted Assets

Certain assets designated as non-admitted, principally prepaid insurance and loans to Field Supervisors, are excluded from the accompanying balance sheets and are charged directly to unassigned surplus.

NOTES TO FINANCIAL STATEMENTS

Universal Life and Annuity Policies

Revenues for universal life and annuity policies consist of the entire premium received and benefits incurred represent the total death benefits paid and the change in policy reserves. Under GAAP, premiums received in excess of policy charges would not be recognized as premium revenue and benefits would represent the excess of benefits paid over the policy account value and interest credited to the account values.

Certificate Reserves

Certain certificate reserves are calculated based on statutorily required interest and mortality assumptions rather than on estimated expected experience and actual account balances.

Reinsurance

Certain premiums and benefits are ceded to provide the Insurance Association increased capacity to write larger policies and maintain its exposure to loss within its capital resources. UTUIA retains a maximum of \$250,000 per individual insured on life policies and reinsurance the remainder.

Certificate and contract liabilities ceded to reinsurers have been reported as reductions of the related reserves rather than as assets as is required under GAAP. At December 31, 2012 and 2011, reinsurance ceded is immaterial in relation to the financial statements taken as a whole. UTUIA remains obligated for amounts ceded in the event that the reinsurer does not meet its obligations.

Certificate Dividends

Certificate holder dividends are recognized when declared rather than over the term of the related policies.

Other significant accounting practices are as follows:

Investments

Bonds, common stocks, short-term investments, and other investments are stated at values prescribed by the NAIC as follows:

Bonds not backed by other loans are stated at amortized cost using the interest method .

Loan-backed bonds and structured securities are valued at amortized cost using the interest method including anticipated prepayments. Prepayment assumptions are obtained from dealer survey values and data from public sources including Bloomberg and are consistent with the current interest rate and economic environment. The retrospective adjustment method is used to record adjustments to bond premiums and discounts

Short-term investments include money market funds.

Common stocks, mutual funds and variable annuity investments are reported at market value as determined by the Securities Valuation Office of the NAIC.

Certificate loans are reported at unpaid principal balances

Cash and Short-Term Investments—The carrying amounts reported in the accompanying balance sheets for these financial instruments approximate their fair values.

Investment Securities—the fair values for fixed maturity securities are based on market values prescribed by the Securities Valuation Office of the NAIC or quoted market prices, where available. For investments for which the NAIC does not provide a value, the amortized cost amount is substituted. The fair values for equity securities are based on market values prescribed by the Securities Valuation Office of the NAIC.

Certificate Loans—the fair values for certificate loans are estimated using discounted cash flow analyses, using interest rates currently being offered for similar loans to borrowers with similar credit ratings.

Investment Contracts—the fair values for UTUIA's liabilities under investment-type insurance contracts are estimated as the amount payable to the contract holder upon demand. As of December 31, 2012 the carrying amount of such liabilities approximates the surrender value.

NOTES TO FINANCIAL STATEMENTS

The fair values of the Insurance Association's liabilities for insurance contracts, other than investment-type contracts, are not required to be disclosed.

Premiums

Premium revenues are recognized as follows: life policies—when due; annuities—when received; accident and health policies—ratably over the policy term. Revenues for universal life policies consist of premiums rather than policy charges.

Claims and Claim Adjustment Expenses

Claims and claim adjustment expenses on accident and health policies represent the estimated ultimate net cost of all reported and unreported claims incurred prior to year end. The reserves for unpaid claims and claim adjustment expenses are estimated using individual case-basis valuations and statistical analyses. Those estimates are subject to the effects of trends in claim severity and frequency. Although considerable variability is inherent in such estimates, management believes that the reserves for claims and claim adjustment expenses are adequate. The estimates are continually reviewed and adjusted as necessary as experience develops or new information becomes known; such adjustments are included in current operations.

Surplus

Under State of Ohio insurance regulations, the Insurance Association was required to maintain surplus of \$2,500,000 at December 31, 2012.

Note 2 - Accounting Changes and Corrections of Errors

No significant change.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

No significant change.

Note 5 - Investments**D. Loan Backed Securities**

1. Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from broker-dealer survey values or internal estimates.
2. Prepayment model sources are Bloomberg median and IDC pricing services
3. No retrospective to prospective changes were made as a result of negative yield calculations.
4. No impairments
5. No temporary impairments

E3b. This disclosure is required in every filing of the Quarterly Statement.

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments

No significant change.

Note 9 - Income Taxes

No significant change.

Note 10 - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

NOTES TO FINANCIAL STATEMENTS

No significant change.

Note 11 - Debt

No significant change.

12. Retirement Plans, Deferred Compensation, Post-employment Benefits and Compensated Absences and Other Postretirement Benefits Plans.

A. The Union has a non-contributory defined benefit pension plan (the Plan) covering substantially all of its employees. A share of the annual contribution is allocated to the Insurance Association based on a percentage of the total payroll allocated to the Insurance Association from the Union. Contributions paid by the Insurance Association were \$367,777 and \$326,288 in 2012 and 2011, respectively.

UTUIA participates in the defined pension plan on a voluntary basis and should UTUIA elect to not participate in the plan the amount of any liability or benefit from under or over funding is uncertain.

Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 - Contingencies

No significant change.

Note 15 - Leases

No significant change.

Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change.

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

UTUIA had no events requiring disclosure subject to this footnote.

B. UTUIA had no events requiring disclosure subject to this footnote.

C. UTUIA had no events requiring disclosure subject to this footnote.

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

Note 20 - Fair Value

A. (1.) The UTUIA holds assets at fair value including bonds, preferred stock and common stock. UTUIA holds no liabilities valued at fair value. The assets held at fair value are all considered Level 1 as being measured at fair value on a recurring basis using the NAIC Valuation Service or other nationally recognized valuation organization such as Moody's or Best.

NOTES TO FINANCIAL STATEMENTS

(2.), (3.), (4.), (5.) - N/A

B. (1.) N/A

C. (1.) N/A

D. (1.), (2.) - N/A

Note 21 - Other Items

No significant change.

Note 22 - Events Subsequent

No significant change.

Note 23 - Reinsurance

No significant change.

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change.

Note 25 - Change in Incurred Losses and Loss Adjustment Expenses

UTUIA had no events requiring disclosure subject to this footnote.

Note 26 - Intercompany Pooling Arrangements

No significant change.

Note 27 - Structured Settlements

No significant change.

Note 28 - Health Care Receivables

No significant change.

Note 29 - Participating Policies

No significant change.

Note 30 - Premium Deficiency Reserves

No significant change.

Note 31 - Reserves for Life Contracts and Annuity Contracts

No significant change.

Note 32 - Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant change.

Note 33 - Premiums and Annuity Considerations Deferred and Uncollected

No significant change.

Note 34 - Separate Accounts

NOTES TO FINANCIAL STATEMENTS

No significant change.

Note 35 - Loss/Claim Adjustment Expenses

No significant change.

Statement as of September 30, 2013 of the **United Transportation Union Insurance Association**
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES - GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]

2.2 If yes, date of change: _____

3.1 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No []

3.2 If the response to 3.1 is yes, provide a brief description of those changes.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [] N/A [X]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2012.....

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2012.....

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 9/23/2013.....

6.4 By what department or departments?
Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

PART 1 - FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: _____

PART 1 - INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$.....0

13. Amount of real estate and mortgages held in short-term investments: \$.....0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds.....	\$0	\$0
14.22 Preferred Stock.....	\$0	\$0
14.23 Common Stock.....	\$0	\$0
14.24 Short-Term Investments.....	\$0	\$0
14.25 Mortgage Loans on Real Estate.....	\$0	\$0
14.26 All Other.....	\$0	\$0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above.....	\$0	\$0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?

If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$.....0

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$.....0

16.3 Total payable for securities lending reporting on the liability page: \$.....0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes [] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed?

Yes [X] No []

18.2 If no, list exceptions:

United Transportation Union Insurance Association
GENERAL INTERROGATORIES (continued)

PART 2 - FRATERNAL

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1.1 Long-term mortgages in good standing:	Reponses
1.11 Farm mortgages.....
1.12 Residential mortgages.....
1.13 Commercial mortgages.....
1.14 Total mortgages in good standing.....	\$.....0
1.2 Long-term mortgages in good standing with restructured terms:	
1.21 Total mortgages in good standing with restructured terms.....
1.3 Long-term mortgage loans upon which interest is overdue more than three months:	
1.31 Farm mortgages.....
1.32 Residential mortgages.....
1.33 Commercial mortgages.....
1.34 Total mortgages with interest overdue more than three months.....	\$.....0
1.4 Long-term mortgage loans in process of foreclosure:	
1.41 Farm mortgages.....
1.42 Residential mortgages.....
1.43 Commercial mortgages.....
1.44 Total mortgages in process of foreclosure.....	\$.....0
1.5 Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2).....	\$.....0

1.6 Long-term mortgages foreclosed, properties transferred to real estate in current quarter:

1.61 Farm mortgages.....
1.62 Residential mortgages.....
1.63 Commercial mortgages.....
1.64 Total mortgages foreclosed and transferred to real estate.....	\$.....0

2.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?

Yes [] No [X]

2.2 If no, explain.....

3. Operating Percentages:

3.1 A&H loss percent.....
3.2 A&H cost containment percent.....
3.3 A&H expense percent excluding cost containment expenses.....

4.1 Do you act as a custodian for health savings accounts?.....

Yes [] No [X]

4.2 If yes, please provide the amount of custodial funds held as of the reporting date.....

\$.....

4.3 Do you act as an administrator for health savings accounts?.....

Yes [] No [X]

4.4 If yes, please provide the balance of the funds administered as of the reporting date.....

\$.....

5.1 Does the reporting entity have outstanding assessments in the form of liens against policy benefits that have increased surplus?.....

Yes [] No [X]

5.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amounts

United Transportation Union Insurance Association
SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Is Insurer Authorized? (YES or NO)

NONE

United Transportation Union Insurance Association

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

State, Etc.	1 Active Status	Direct Business Only					
		Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 through 5	7 Deposit-Type Contracts
		2 Life Insurance Premiums	3 Annuity Considerations				
1. Alabama.....	AL	73,331	195,094	26,805		295,230	
2. Alaska.....	AK	40	4,868	16		4,924	
3. Arizona.....	AZ	N	60,491	19,693	54,222	134,406	
4. Arkansas.....	AR	N	125,096	20,918	34,997	181,011	42,175
5. California.....	CA	L	546,138	374,392	246,369	1,166,899	
6. Colorado.....	CO	L	81,848	243,826	59,543	385,217	
7. Connecticut.....	CT	N	5,465	13,119	3,918	22,502	
8. Delaware.....	DE	N	6,390		3,127	9,517	
9. District of Columbia.....	DC	L	8,602		6,919	15,521	
10. Florida.....	FL	N	72,899	52,316	34,528	159,743	
11. Georgia.....	GA	N	205,062	115,345	117,036	437,443	
12. Hawaii.....	HI	N				0	
13. Idaho.....	ID	N	30,051	51,846	55,954	137,851	
14. Illinois.....	IL	N	416,940	88,021	283,733	788,694	
15. Indiana.....	IN	N	184,213	57,378	113,047	354,638	
16. Iowa.....	IA	N	80,750		41,754	122,504	
17. Kansas.....	KS	N	160,672	68,432	81,542	310,646	
18. Kentucky.....	KY	N	155,159	23,774	42,781	221,714	
19. Louisiana.....	LA	N	93,341	83,476	66,505	243,322	
20. Maine.....	ME	N	3,632		737	4,369	
21. Maryland.....	MD	N	57,330	12,556	15,689	85,575	
22. Massachusetts.....	MA	N	11,523		8,444	19,967	
23. Michigan.....	MI	N	52,491	321,410	19,619	393,520	
24. Minnesota.....	MN	N	65,604	159,158	17,364	242,126	
25. Mississippi.....	MS	N	21,495	38,078	16,142	75,715	
26. Missouri.....	MO	N	201,296	79,238	112,010	392,544	
27. Montana.....	MT	N	23,793	2,718	18,855	45,366	
28. Nebraska.....	NE	N	157,356	45,122	124,106	326,584	
29. Nevada.....	NV	N	11,125	3,246	3,921	18,292	
30. New Hampshire.....	NH	N			134	134	
31. New Jersey.....	NJ	N	116,241	86,291	239,472	442,004	
32. New Mexico.....	NM	L	21,504	527	15,551	37,582	
33. New York.....	NY	N	146,660	218,705	78,409	443,774	78,598
34. North Carolina.....	NC	N	88,929	42,485	37,480	168,894	
35. North Dakota.....	ND	N	74,547	12,909	37,495	124,951	
36. Ohio.....	OH	L	224,044	661,148	96,001	981,193	19,649
37. Oklahoma.....	OK	N	45,722	983	12,386	59,091	
38. Oregon.....	OR	N	15,379	60,950	12,479	88,808	
39. Pennsylvania.....	PA	L	127,324	119,189	143,837	390,350	
40. Rhode Island.....	RI	N				0	
41. South Carolina.....	SC	N	63,240	4,982	40,059	108,281	
42. South Dakota.....	SD	N	8,602	2,110	7,830	18,542	
43. Tennessee.....	TN	N	91,192	51,000	46,166	188,358	
44. Texas.....	TX	N	203,908	164,214	116,864	484,986	36,548
45. Utah.....	UT	N	31,899		14,312	46,211	
46. Vermont.....	VT	N	425		145	570	
47. Virginia.....	VA	N	99,066	171,915	36,376	307,357	86,466
48. Washington.....	WA	N	28,703	530,263	19,363	578,329	
49. West Virginia.....	WV	L	69,552	116,411	39,487	225,450	101,966
50. Wisconsin.....	WI	N	68,829	24,643	26,808	120,280	
51. Wyoming.....	WY	N	102,873	9,911	76,638	189,422	
52. American Samoa.....	AS	N				0	
53. Guam.....	GU	N				0	
54. Puerto Rico.....	PR	N				0	
55. US Virgin Islands.....	VI	N				0	
56. Northern Mariana Islands.....	MP	N				0	
57. Canada.....	CAN	N				0	
58. Aggregate Other Alien.....	OT	XXX	0	0	0	0	0
59. Subtotals.....		(a) 7	4,540,772	4,352,660	2,706,975	0	11,600,407
90. Reporting entity contributions for employee benefit plans		XXX					0
91. Dividends or refunds applied to purchase paid-up additions and annuities.....		XXX					0
92. Dividends or refunds applied to shorten endowment or premium paying period.....		XXX					0
93. Premium or annuity considerations waived under disability or other contract provisions.....		XXX					0
94. Aggregate other amounts not allocable by state.....		XXX	0	0	0	0	0
95. Totals (Direct Business).....		XXX	4,540,772	4,352,660	2,706,975	0	11,600,407
96. Plus reinsurance assumed.....		XXX					0
97. Totals (All Business).....		XXX	4,540,772	4,352,660	2,706,975	0	11,600,407
98. Less reinsurance ceded.....		XXX	234,841		75,591		310,432
99. Totals (All Business) less reinsurance ceded.....		XXX	4,305,931	4,352,660	2,631,384	0	11,289,975

DETAILS OF WRITE-INS

58001.....	XXX						0
58002.....	XXX						0
58003.....	XXX						0
58998. Summary of remaining write-ins for Line 58 from overflow page.....	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003 plus 58998) (Line 58 above).....	XXX	0	0	0	0	0	0
9401.....	XXX						0
9402.....	XXX						0
9403.....	XXX						0
9498. Summary of remaining write-ins for Line 94 from overflow page.....	XXX	0	0	0	0	0	0
9499. Totals (Lines 9401 thru 9403 plus 9498) (Line 94 above).....	XXX	0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;

(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

**Sch. Y-Part 1
NONE**

**Schedule Y-Part 1A
NONE**

United Transportation Union Insurance Association

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanations:

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

Bar Code:

NONE

Statement as of September 30, 2013 of the **United Transportation Union Insurance Association**
SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	2,305,930	2,379,745
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other than temporary impairment recognized.....		
8. Deduct current year's depreciation.....	55,362	73,815
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	2,250,568	2,305,930
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	2,250,568	2,305,930

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other than temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other than temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	0	0

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	210,521,416	199,998,411
2. Cost of bonds and stocks acquired.....	42,451,691	61,752,670
3. Accrual of discount.....	37,998	187,884
4. Unrealized valuation increase (decrease).....	603,228	2,316,041
5. Total gain (loss) on disposals.....	4,233,609	2,748,832
6. Deduct consideration for bonds and stocks disposed of.....	48,222,095	55,735,052
7. Deduct amortization of premium.....	520,939	747,370
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other than temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	209,104,908	210,521,416
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	209,104,908	210,521,416

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity

During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a).....	169,006,569		3,371,521	(2,987,179)	157,451,530	169,006,569	162,647,868	168,319,112
2. Class 2 (a).....	19,349,750		1,594	2,779,405	20,613,906	19,349,750	22,127,560	20,109,706
3. Class 3 (a).....								19,383
4. Class 4 (a).....								
5. Class 5 (a).....								
6. Class 6 (a).....								
7. Total Bonds.....	188,356,319	0	3,373,115	(207,774)	178,065,436	188,356,319	184,775,428	188,448,201
PREFERRED STOCK								
8. Class 1.....	500,000				500,000	500,000	500,000	500,000
9. Class 2.....	2,282,997			16	2,539,953	2,282,997	2,283,012	2,539,940
10. Class 3.....								
11. Class 4.....								
12. Class 5.....								
13. Class 6.....								
14. Total Preferred Stock.....	2,782,997	0	0	16	3,039,953	2,782,997	2,783,012	3,039,940
15. Total Bonds and Preferred Stock.....	191,139,316	0	3,373,115	(207,758)	181,105,389	191,139,316	187,558,440	191,488,141

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

Sch. DA-Part 1
NONE

Sch. DA-Verification
NONE

Sch. DB-Pt A-Verification
NONE

Sch. DB-Pt B-Verification
NONE

Sch. DB-Pt C-Sn 1
NONE

Sch. DB-Pt C-Sn 2
NONE

Sch. DB-Verification
NONE

SCHEDULE E- VERIFICATION

Cash Equivalents

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	1,225,273	5,205,064
2. Cost of cash equivalents acquired.....	56,105,623	72,548,745
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	51,718,388	76,528,535
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/ adjusted carrying value.....		
9. Deduct current year's other than temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	5,612,508	1,225,273
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	5,612,508	1,225,273

Sch. A-Pt 2
NONE

Sch. A-Pt 3
NONE

Sch. B-Pt 2
NONE

Sch. B-Pt 3
NONE

Sch. BA-Pt 2
NONE

Sch. BA-Pt 3
NONE

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Common Stocks - Industrial and Miscellaneous									
04314H 10 5	ARTISAN PTRNS FDS INC.....		..08/15/2013	national financial serv.....	28,231.135	720,741	XXX.....		L.....
74439V 80 0	PRUDENTIAL INVT PORTFOLIOS INC.....		..09/04/2013	VARIOUS.....	67,057.763	680,634	XXX.....		L.....
922040 10 0	VANGUARD INSTL INDEX FD.....		..08/23/2013	Direct from Issuer.....	63,090.232	9,640,818	XXX.....		L.....
9099999.	Total - Common Stocks - Industrial & Miscellaneous.....					11,042,193	XXX.....	0	XXX.....
Common Stocks - Mutual Funds									
722005 62 6	Pimco All Asset Fund - Instl.....		..09/20/2013	Dividend Reinvestment.....	1,532.257	18,847	XXX.....		L.....
693390 87 4	Pimco Global Bond Fund.....		..09/03/2013	Dividend Reinvestment.....	385.739	3,580	XXX.....		L.....
76628T 64 5	Ridgeworth SEIX High Bond Fund.....		..08/01/2013	Dividend Reinvestment.....	675.479	6,770	XXX.....		L.....
922908 49 6	Vanguard 500 Index Fund - Sign.....		..08/15/2013	national financial serv.....	40,099.369	5,086,605	XXX.....		L.....
9299999.	Total - Common Stocks - Mutual Funds.....					5,115,802	XXX.....	0	XXX.....
9799997.	Total - Common Stocks - Part 3.....					16,157,995	XXX.....	0	XXX.....
9799999.	Total - Common Stocks.....					16,157,995	XXX.....	0	XXX.....
9899999.	Total - Preferred and Common Stocks.....					16,157,995	XXX.....	0	XXX.....
9999999.	Total - Bonds, Preferred and Common Stocks.....					16,157,995	XXX.....	0	XXX.....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 F o r e i g n Disposal Date	4 Name of Purchaser	5 Number of Shares of Stock	6 Consideration	7 Par Value	8 Actual Cost	9 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value At Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Desig- nation or Market Indicator (a)			
									11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's Temporary (Amortization)/ Accretion	13 Other Than Temporary Impairment	14 Total Change in B/A.C.V. (11+12-13)	15 Foreign Exchange Change in B/A.C.V.										
Bonds - U.S. Government																							
3128P7 X9 9	FHLMC PC GOLD CASH 20	09/15/2013	PRINCIPAL RECEIPT		56,261	56,261	58,050		(1,789)		(1,789)		(1,789)		56,261				0	710	01/01/2033	1.....	
31292S AQ 3	FHLMC PC GOLD CASH 30	09/15/2013	PRINCIPAL RECEIPT		30,372	30,372	31,687	31,696		(1,324)		(1,324)		(1,324)		30,372				0	530	10/01/2042	1.....
31292S AU 4	FHLMC PC GOLD CASH 30	09/15/2013	PRINCIPAL RECEIPT		38,531	38,531	40,415	40,436		(1,905)		(1,905)		(1,905)		38,531				0	666	12/01/2042	1.....
312946 N9 3	FHLMC PC GOLD COMB 30	09/15/2013	PRINCIPAL RECEIPT		80,908	80,908	88,240			(7,332)		(7,332)		(7,332)		80,908				0	522	03/01/2041	1.....
3132HM K2 6	FHLMC PC GOLD PC 30YR	09/15/2013	PRINCIPAL RECEIPT		37,163	37,163	38,870	38,893		(1,730)		(1,730)		(1,730)		37,163				0	632	09/01/2042	1.....
31417E SS 1	FNMA PASS-THRU LNG 30 YEAR	09/25/2013	PRINCIPAL RECEIPT		11,042	11,042	10,942		100		100		100		11,042				0	121	01/01/2043	1.....	
31417F ML 9	FNMA PASS-THRU LNG 30 YEAR	09/25/2013	PRINCIPAL RECEIPT		7,757	7,757	7,238		519		519		519		7,757				0	56	01/01/2043	1.....	
36202D AG 6	G2 2707	09/20/2013	PRINCIPAL RECEIPT		946	946	977		946		946		946		946				0	212	01/20/2014	1.....	
36202D YY 1	GNMA II Pool 3427	09/20/2013	PRINCIPAL RECEIPT		19,019	19,019	18,639	18,654		366		366		366		19,019				0	519	08/20/2033	1.....
36290S 5M 9	GNMA II Pool 616552	09/20/2013	PRINCIPAL RECEIPT		11,706	11,706	12,540	12,488		(781)		(781)		(781)		11,706				0	499	08/20/2034	1.....
36225A KR 0	GNMA PASS-THRU 780304	09/15/2013	PRINCIPAL RECEIPT		14,503	14,503	16,678	16,186		(1,683)		(1,683)		(1,683)		14,503				0	908	07/15/2021	1.....
36225B 4C 9	GNMA PASS-THRU 781719	09/15/2013	PRINCIPAL RECEIPT		27,909	27,909	29,348	29,352		(1,444)		(1,444)		(1,444)		27,909				0	1,024	02/15/2034	1.....
911759 GL 6	Housing Urban Development	08/01/2013	Undefined		55,000	55,000	52,525	53,558		132		132		132		53,690		1,310	1,310	2,959	08/01/2018	1.....	
0599999.	Total - Bonds - U.S. Government				391,117	391,117	406,149	241,263	0	(15,925)	0	(15,925)	0	(15,925)	0	389,807	0	1,310	1,310	9,358	XXX	XXX	
Bonds - U.S. Special Revenue and Special Assessment																							
3128M4 JF 1	FG G02662	09/15/2013	PRINCIPAL RECEIPT		15,888	15,888	16,920	16,802		(915)		(915)		(915)		15,888				0	703	07/01/2034	1.....
31292H NC 4	FGC 01287	09/15/2013	PRINCIPAL RECEIPT		13,442	13,442	13,644	13,615		(173)		(173)		(173)		13,442				0	526	01/01/2032	1.....
3128M7 VT 0	FGG 05726	09/15/2013	PRINCIPAL RECEIPT		45,937	45,937	48,750	48,679		(2,743)		(2,743)		(2,743)		45,937				0	1,326	08/01/2039	1.....
312935 H8 5	FHLMC PC A8-8355	09/15/2013	PRINCIPAL RECEIPT		49,016	49,016	49,522	49,521		(504)		(504)		(504)		49,016				0	1,298	09/01/2039	1.....
3128M7 LX 2	FHLMC PC 5442	09/15/2013	PRINCIPAL RECEIPT		19,209	19,209	21,634	21,343		(2,134)		(2,134)		(2,134)		19,209				0	941	07/01/2032	1.....
3128M8 3G 7	FHLMC PC G06799 GOLD COMB 30	09/16/2013	PRINCIPAL RECEIPT		69,469	69,469	71,119	71,093		(1,624)		(1,624)		(1,624)		69,469				0	1,408	11/01/2041	1.....
3138EB PJ 8	FN AK6724	09/25/2013	PRINCIPAL RECEIPT		11,257	11,257	11,501	11,500		(243)		(243)		(243)		11,257				0	197	03/01/2042	1.....
31371K Y7 0	FN 254634	09/25/2013	PRINCIPAL RECEIPT		12,429	12,429	12,487	12,457		(28)		(28)		(28)		12,429				0	429	02/01/2023	1.....
31383S TH 3	FN 511852	09/25/2013	PRINCIPAL RECEIPT		488	488	509	505		(17)		(17)		(17)		488				0	19	07/01/2029	1.....
31402C U6 7	FN 725205	09/25/2013	PRINCIPAL RECEIPT		31,381	31,381	31,401	31,385		(4)		(4)		(4)		31,381				0	978	03/01/2034	1.....
31404V TS 7	FN 780061	09/25/2013	PRINCIPAL RECEIPT		26,960	26,960	26,948	26,938		22		22		22		26,960				0	849	05/01/2034	1.....
31407F GC 8	FN 829195	09/25/2013	PRINCIPAL RECEIPT		22,634	22,634	21,842	21,921		714		714		714		22,634				0	722	07/01/2035	1.....
31408F GA 1	FN 849893	09/25/2013	PRINCIPAL RECEIPT		1,886	1,886	1,759	1,793		93		93		93		1,886				0	47	11/01/2023	1.....
31408G Y2 7	FN 851329	09/25/2013	PRINCIPAL RECEIPT		29,675	29,675	29,308	29,334		341		341		341		29,675				0	962	02/01/2036	1.....
31419A KZ 9	FN Pool AE0311	09/25/2013	PRINCIPAL RECEIPT		45,788	45,788	45,831	45,827		(38)		(38)		(38)		45,788				0	931	08/01/2040	1.....
31371K 7E 5	FNMA PASS-THRU 254793	09/25/2013	PRINCIPAL RECEIPT		48,320	48,320	48,441	48,435		(115)		(115)		(115)		48,320				0	1,519	07/01/2033	1.....
31381D 2J 3	FNMA PASS-THRU 458077	09/25/2013	PRINCIPAL RECEIPT		10,134	10,134	10,995	10,933		(799)		(799)		(799)		10,134				0	459	08/15/2027	1.....
31385J DJ 4	FNMA PASS-THRU 545605	09/25/2013	PRINCIPAL RECEIPT		15,285	15,285	16,775	16,770		(1,485)		(1,485)		(1,485)		15,285				0	672	05/01/2032	1.....
31403D T8 2	FNMA PASS-THRU 745875	09/25/2013	PRINCIPAL RECEIPT		52,110	52,110	55,929	55,944		(3,834)		(3,834)		(3,834)		52,110				0	2,153	09/01/2036	1.....
31403U PF 2	FNMA PASS-THRU 758322	09/25/2013	PRINCIPAL RECEIPT		26,235	26,235	27,404	27,412		(1,177)		(1,177)		(1,177)		26,235				0	907	12/01/2033	1.....

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value At Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Desig- nation or Market Indicator (a)		
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Other Than Temporary Impairment	14 Total Change in B/A.C.V. (11+12-13)	15 Foreign Exchange Change in B/A.C.V.									
31416C FS 0	FNMA PASS-THRU 995777		09/25/2013	PRINCIPAL RECEIPT.....		47,376	47,376	51,521	51,525		(4,149)		(4,149)		47,376		0	0	1,852	04/01/2033	1.....		
60415N E7 3	MNSHSG 6.51		07/01/2013	Call.....		5,000	5,000	5,150	5,058		(9)		(9)		5,049		(49)	(49)	327	01/01/2032	1FE.....		
3199999.	Total - Bonds - U.S. Special Revenue & Assessment.....				599,919	599,919	619,390	618,790	0	(18,821)	0	(18,821)	0	599,968	0	(49)	(49)	19,225	XXX..	XXX..			
Bonds - Industrial and Miscellaneous																							
05947U W8 7	BACM 2005-4 A3.....		09/10/2013	PRINCIPAL RECEIPT.....		15,402	15,402	15,595	15,537		(134)		(134)		15,402		0	0	463	07/10/2045	1FE.....		
07388Y AB 8	BEAR STEARNS CMBS 2007-PWR16.....		09/13/2013	PRINCIPAL RECEIPT.....		220,762	220,762	228,489	229,729		(8,967)		(8,967)		220,762		0	0	7,436	06/11/2040	1FE.....		
12513X AB 8	CD 2006-CD2 MTG TR.....		09/17/2013	PRINCIPAL RECEIPT.....		1,646	1,646	1,673	1,676		(29)		(29)		1,646		0	0	60	01/18/2046	1FE.....		
12513Y AC 4	CD 2007 - CD4 A2B.....		09/13/2013	PRINCIPAL RECEIPT.....		280,974	280,974	283,081	280,974				0		280,974		0	0	11,097	12/11/2049	1FE.....		
172967 EY 3	Citigroup Inc.....		09/16/2013	tender offer.....		258,915	246,000	261,011	255,612		(4,150)		(4,150)		251,462		7,453	7,453	17,164	08/12/2014	1FE.....		
36828Q KP 0	GE CAP CMBS 2005-C1.....		09/10/2013	PRINCIPAL RECEIPT.....		16,606	16,606	16,990	16,943		(337)		(337)		16,606		0	0	578	06/10/2048	1.....		
20173Q AB 7	GREENWICH CAP CMBS 2007-GG9.....		09/12/2013	PRINCIPAL RECEIPT.....		11,013	11,013	11,378	11,380		(367)		(367)		11,013		0	0	380	03/10/2039	1.....		
46632H AB 7	JP MORGAN CHASE 2007-LDP12.....		09/15/2013	PRINCIPAL RECEIPT.....		65,674	65,674	67,398	67,779		(2,105)		(2,105)		65,674		0	0	2,750	02/15/2051	1FE.....		
59022K AC 9	ML MTG TRUST 2006-C2.....		09/12/2013	PRINCIPAL RECEIPT.....		899,944	899,944	928,067			(28,123)		(28,123)		899,944		0	0	25,625	08/12/2043	1.....		
61754K AC 9	MORGAN STANLEY CAP 2007-IQ14.....		08/15/2013	PRINCIPAL RECEIPT.....		37,947	37,947	39,370	39,409		(1,462)		(1,462)		37,947		0	0	1,175	04/15/2049	1FE.....		
61755B AC 8	MSC 2007 - HQ12 A2.....		09/13/2013	PRINCIPAL RECEIPT.....		1,594	1,594	1,642	1,628		(33)		(33)		1,594		0	0	54	04/12/2049	2FE.....		
90783W AA 1	Union Pacific RR Co.....		07/02/2013	Sink PMT @ 100.000000.....		9,498	9,498	9,498	9,498				0		9,498		0	0	557	07/02/2030	1FE.....		
92343V AV 6	Verizon Communications.....		08/13/2013	KGS Alpha Capital Markets.....		590,140	500,000	601,565	577,063		(6,863)		(6,863)		570,201		19,939	19,939	27,781	04/01/2019	1FE.....		
929227 ZC 3	WAMU 2002 - AR18 A.....		09/25/2013	PRINCIPAL RECEIPT.....		365	365	363	362		3		3		365		0	0	5	01/25/2033	1FE.....		
92978Y AB 6	WBCMT 2007-C32 A2.....		07/17/2013	PRINCIPAL RECEIPT.....		253	253	259	264		(12)		(12)		253		0	0	8	06/15/2049	1FE.....		
3899999.	Total - Bonds - Industrial & Miscellaneous.....				2,410,733	2,307,678	2,466,379	1,507,854	0	(52,579)	0	(52,579)	0	2,383,341	0	27,392	27,392	95,133	XXX..	XXX..			
8399997.	Total - Bonds - Part 4.....				3,401,769	3,298,714	3,491,918	2,367,907	0	(87,325)	0	(87,325)	0	3,373,116	0	28,653	28,653	123,716	XXX..	XXX..			
8399999.	Total - Bonds.....				3,401,769	3,298,714	3,491,918	2,367,907	0	(87,325)	0	(87,325)	0	3,373,116	0	28,653	28,653	123,716	XXX..	XXX..			
Common Stocks - Mutual Funds																							
197199 40 9	Columbia Acorn Fund CI Z.....		08/14/2013	VARIOUS.....		20,245,530	720,741	XXX.....	597,664	608,736	(19,503)			(19,503)		597,664		123,077	123,077	776	XXX..	L.....	
0075W0 67 6	LSV Conservative Value Equity.....		08/14/2013	national financial serv.....		181,598,665	1,890,442	XXX.....	1,492,430	1,494,557	(2,127)			(2,127)		1,492,430		398,012	398,012	XXX..	L.....		
641224 37 3	Neuberger Berman L C Dis Ins.....		08/14/2013	national financial serv.....		195,474,177	1,649,802	XXX.....	1,360,850	1,411,324	(50,474)			(50,474)		1,360,850		288,952	288,952	XXX..	L.....		
76628T 64 5	Ridgeworth SEIX High Bond Fund.....		08/14/2013	national financial serv.....		67,831,629	679,673	XXX.....	659,606	662,539	(29,669)			(29,669)		659,606		20,067	20,067	28,286	XXX..	L.....	
922908 49 6	Vanguard 500 Index Fund - Sign.....		08/23/2013	Direct from Issuer.....		75,876,108	9,640,818	XXX.....	8,844,772	3,844,649	(128,142)			(128,142)		8,844,772		796,047	796,047	41,660	XXX..	L.....	
921908 85 1	VANGUARD SPECIALIZED PORTFOLIO.....		08/14/2013	national financial serv.....		55,604,487	1,546,361	XXX.....	1,072,188	1,312,320	(253,496)			(253,496)		1,072,188		474,173	474,173	13,364	XXX..	L.....	
9299999.	Total - Common Stocks - Mutual Funds.....				16,127,837	XXX.....	14,027,510	9,334,125	(483,411)	0	0	(483,411)	0	14,027,510	0	2,100,328	2,100,328	84,086	XXX..	XXX..			
9799997.	Total - Common Stocks - Part 4.....				16,127,837	XXX.....	14,027,510	9,334,125	(483,411)	0	0	(483,411)	0	14,027,510	0	2,100,328	2,100,328	84,086	XXX..	XXX..			
9799999.	Total - Common Stocks.....				16,127,837	XXX.....	14,027,510	9,334,125	(483,411)	0	0	(483,411)	0	14,027,510	0	2,100,328	2,100,328	84,086	XXX..	XXX..			
9899999.	Total - Preferred and Common Stocks.....				16,127,837	XXX.....	14,027,510	9,334,125	(483,411)	0	0	(483,411)	0	14,027,510	0	2,100,328	2,100,328	84,086	XXX..	XXX..			
9999999.	Total - Bonds, Preferred and Common Stocks.....				19,529,606	XXX.....	17,519,428	11,702,032	(483,411)	(87,325)	0	(570,736)	0	17,400,626	0	2,128,981	2,128,981	207,802	XXX..	XXX..			

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

Sch. DB-Pt A-Sn 1
NONE

Sch. DB-Pt B-Sn 1
NONE

Sch. DB-Pt B-Sn 1B-Broker List
NONE

Sch. DB-Pt D-Sn 1
NONE

Sch. DB-Pt D-Sn 2
NONE

Sch. DL-Pt. 1
NONE

Sch. DL-Pt. 2
NONE

United Transportation Union Insurance Association
SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Open Depositories								
Fifth Third Bank.....	Cleveland, Ohio.....			830,245657,660763,459	XXX..
0199999. Total Open Depositories.....	...XXX.....	...XXX.....00830,245657,660763,459	XXX..
0399999. Total Cash on Deposit.....	...XXX.....	...XXX.....00830,245657,660763,459	XXX..
0599999. Total Cash.....	...XXX.....	...XXX.....00830,245657,660763,459	XXX..

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
U.S. Government Bonds - Issuer Obligations							
First Amer Prime Oblig Fund Cl Y.....	 various.....		 5,612,508		
0199999. U.S. Government Bonds - Issuer Obligations.....				 5,612,508 0 0
0599999. Total - U.S. Government Bonds.....				 5,612,508 0 0
Total Bonds							
7799999. Subtotals - Issuer Obligations.....				 5,612,508 0 0
8399999. Subtotals - Bonds.....				 5,612,508 0 0
8699999. Total - Cash Equivalents.....				 5,612,508 0 0