



PROPERTY AND CASUALTY COMPANIES—ASSOCIATION EDITION

# QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2013  
OF THE CONDITION AND AFFAIRS OF THE

## Permanent General Assurance Corporation

NAIC Group Code	00473 (Current Period)	00473 (Prior Period)	NAIC Company Code	37648	Employer's ID Number	13-2960609
Organized under the Laws of	Ohio		State of Domicile or Port of Entry	Ohio		
Country of Domicile	United States					
Incorporated/Organized	09/28/1994		Commenced Business	09/28/1994		
Statutory Home Office	9700 Rockside Road, Suite 250 (Street and Number)		Valley View, OH, US 44125 (City or Town, State, Country and Zip Code)			
Main Administrative Office	2636 Elm Hill Pike, Suite 510 (Street and Number)		Nashville, TN, US 37214 (City or Town, State, Country and Zip Code)	615-242-1961 (Area Code) (Telephone Number)		
Mail Address	P.O. Box 305054 (Street and Number or P.O. Box)		Nashville, TN, US 37230-5054 (City or Town, State, Country and Zip Code)			
Primary Location of Books and Records	2636 Elm Hill Pike, Suite 510 (Street and Number)		Nashville, TN, US 37214 (City or Town, State, Country and Zip Code)	615-744-1221 (Area Code) (Telephone Number)		
Internet Web Site Address	www.pgac.com					
Statutory Statement Contact	R Burton Barnes Jr (Name)		615-744-1221 (Area Code) (Telephone Number) (Extension)			
	bbarnes@pgac.com (E-mail Address)		615-744-1608 (Fax Number)			

### OFFICERS

Name	Title	Name	Title
David Lee Hettinger	Sr.V.P., Chief Administrative Officer	Brian Michael Donovan	CFO, Treasurer & Assistant Secretary
Randy Philip Parker	Chairman, President & CEO		

### OTHER OFFICERS

Robert Eugene Nelson	Assistant Secretary	Sherrill Cleek Kaiser	Secretary
	V.P., Underwriting & Customer Service		
Allison Walker Garretson		Barry Scot Dice	V.P., Direct Sales & Marketing
Charles Wesley Kirkland	V.P., Claims	William Joseph Yeager	Sr. V.P., Claims
John Allen Hollar	Sr. V.P., Product Management	Eileen Manners	Assistant V.P., Claims
Kenton Lee Fourman	V.P., Chief Information Officer	Eric William Bur	V.P., IA Sales & Distribution
Andrew Peter Martin	Sr. V.P., Corporate-wide Sales & Distribution	Elizabeth Ann Roberts	V.P., Human Resources
Todd Raymond Hakala	V.P., Actuary Services		

### DIRECTORS OR TRUSTEES

Randy Philip Parker	David Lee Hettinger	Brian Michael Donovan	Andrew Peter Martin
Elizabeth Ann Roberts			

State of .....Tennessee.....

County of .....Davidson.....ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Daivd Lee Hettinger Sr. V.P., Chief Admininistrative Officer	Brian Michael Donovan CFO, Treasurer & Assistant Secretary	Sherrill Cleek Kaiser Secretary
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a. Is this an original filing? Yes  No 

b. If no:

1. State the amendment number
2. Date filed

3. Number of pages attached

Subscribed and sworn to before me this  
11th day of November, 2013Susan Hawk, Notary Public  
May 5, 2015

**STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation**

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	124,015,880		124,015,880	118,263,854
2. Stocks:				
2.1 Preferred stocks .....			0	0
2.2 Common stocks .....	946,927	946,927	0	0
3. Mortgage loans on real estate:				
3.1 First liens .....			0	0
3.2 Other than first liens .....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ ..... encumbrances) .....			0	0
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ ..... encumbrances) .....			0	0
5. Cash (\$ ..... 200,000 ), cash equivalents (\$ ..... 0 ) and short-term investments (\$ ..... 14,555,910 ) .....	14,755,910		14,755,910	25,059,363
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....			0	0
8. Other invested assets .....	0		0	0
9. Receivables for securities .....			0	0
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	3,915	.0	3,915	.25,407
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	139,722,632	946,927	138,775,705	143,348,624
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	1,161,822		1,161,822	1,246,451
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	6,918,925	8,333	6,910,592	7,041,958
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....	61,647,431		61,647,431	53,146,178
15.3 Accrued retrospective premiums .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	1,079,807		1,079,807	2,717,527
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon .....	69,297		69,297	0
18.2 Net deferred tax asset .....	7,400,337	719,261	6,681,076	6,203,258
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....			0	0
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....	5,064,073		5,064,073	0
24. Health care (\$ ..... ) and other amounts receivable .....			0	0
25. Aggregate write-ins for other than invested assets .....	0	.0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	223,064,324	1,674,521	221,389,803	213,703,996
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27) .....	223,064,324	1,674,521	221,389,803	213,703,996
<b>DETAILS OF WRITE-INS</b>				
1101. Income due on security .....	3,915		3,915	.25,407
1102. .....				
1103. .....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	.0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above) .....	3,915	0	3,915	25,407
2501. .....	0		0	
2502. .....				
2503. .....				
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	.0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	0	0	0	0

**STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation**

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$ 35,516,087 )	.50,915,830	45,568,627
2. Reinsurance payable on paid losses and loss adjustment expenses	0	0
3. Loss adjustment expenses	10,119,474	9,817,101
4. Commissions payable, contingent commissions and other similar charges	151,270	21,025
5. Other expenses (excluding taxes, licenses and fees)	0	0
6. Taxes, licenses and fees (excluding federal and foreign income taxes)	910,366	722,069
7.1 Current federal and foreign income taxes (including \$ on realized capital gains (losses))	608,935	0
7.2 Net deferred tax liability	0	0
8. Borrowed money \$ and interest thereon \$	0	0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$ 51,032,589 and including warranty reserves of \$ 0 and accrued accident and health experience rating refunds including \$ for medical loss ratio rebate per the Public Health Service Act)	.73,106,313	64,870,162
10. Advance premium	311,706	222,389
11. Dividends declared and unpaid:		
11.1 Stockholders	0	0
11.2 Policyholders	0	0
12. Ceded reinsurance premiums payable (net of ceding commissions)	598,958	913,713
13. Funds held by company under reinsurance treaties	0	0
14. Amounts withheld or retained by company for account of others	0	0
15. Remittances and items not allocated	0	0
16. Provision for reinsurance (including \$ certified)	0	0
17. Net adjustments in assets and liabilities due to foreign exchange rates	0	0
18. Drafts outstanding	0	0
19. Payable to parent, subsidiaries and affiliates	409,013	0
20. Derivatives	0	0
21. Payable for securities	0	0
22. Payable for securities lending	0	0
23. Liability for amounts held under uninsured plans	0	0
24. Capital notes \$ and interest thereon \$	0	0
25. Aggregate write-ins for liabilities	0	0
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25)	136,113,917	123,153,034
27. Protected cell liabilities	0	0
28. Total liabilities (Lines 26 and 27)	136,113,917	123,153,034
29. Aggregate write-ins for special surplus funds	0	0
30. Common capital stock	5,000,000	5,000,000
31. Preferred capital stock	0	0
32. Aggregate write-ins for other than special surplus funds	0	0
33. Surplus notes	0	0
34. Gross paid in and contributed surplus	34,274,183	34,274,183
35. Unassigned funds (surplus)	.46,001,703	51,276,779
36. Less treasury stock, at cost:		
36.1 shares common (value included in Line 30 \$ )	0	0
36.2 shares preferred (value included in Line 31 \$ )	0	0
37. Surplus as regards policyholders (Lines 29 to 35, less 36)	85,275,886	90,550,962
38. Totals (Page 2, Line 28, Col. 3)	221,389,803	213,703,996
<b>DETAILS OF WRITE-INS</b>		
2501.	0	0
2502.	0	0
2503.	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	0	0
2901.	0	0
2902.	0	0
2903.	0	0
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)	0	0
3201.	0	0
3202.	0	0
3203.	0	0
3298. Summary of remaining write-ins for Line 32 from overflow page	0	0
3299. Totals (Lines 3201 through 3203 plus 3298) (Line 32 above)	0	0

**STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation**

**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned:			
1.1 Direct (written \$ 156,506,317 )	146,311,721	134,302,049	177,804,219
1.2 Assumed (written \$ 87,001,789 )	83,239,340	72,271,559	96,069,798
1.3 Ceded (written \$ 100,084,800 )	94,363,906	85,033,475	112,691,479
1.4 Net (written \$ 143,423,306 )	135,187,155	121,540,133	161,182,538
DEDUCTIONS:			
2. Losses incurred (current accident year \$ 82,188,099 ):			
2.1 Direct	86,800,106	79,759,764	105,037,858
2.2 Assumed	57,315,239	45,149,918	60,907,291
2.3 Ceded	59,778,358	53,209,938	68,050,018
2.4 Net	84,336,987	71,699,744	97,895,131
3. Loss adjustment expenses incurred	12,922,169	13,309,509	15,214,929
4. Other underwriting expenses incurred	55,438,602	51,208,163	67,394,829
5. Aggregate write-ins for underwriting deductions	0	0	0
6. Total underwriting deductions (Lines 2 through 5)	152,697,758	136,217,416	180,504,889
7. Net income of protected cells	0	0	0
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7)	(17,510,603)	(14,677,283)	(19,322,351)
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	3,221,714	3,998,838	5,157,503
10. Net realized capital gains (losses) less capital gains tax of \$ 583,066	583,066	2,814,560	3,985,349
11. Net investment gain (loss) (Lines 9 + 10)	3,804,780	6,813,398	9,142,852
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$ amount charged off \$ )	0	0	0
13. Finance and service charges not included in premiums	15,307,788	13,895,081	18,528,824
14. Aggregate write-ins for miscellaneous income	52,240	119,834	179,793
15. Total other income (Lines 12 through 14)	15,360,028	14,014,915	18,708,617
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	1,654,205	6,151,030	8,529,118
17. Dividends to policyholders	0	0	0
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	1,654,205	6,151,030	8,529,118
19. Federal and foreign income taxes incurred	(191,793)	1,738,264	2,551,334
20. Net income (Line 18 minus Line 19)(to Line 22)	1,845,998	4,412,766	5,977,784
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	90,550,962	85,545,788	85,545,788
22. Net income (from Line 20)	1,845,998	4,412,766	5,977,784
23. Net transfers (to) from Protected Cell accounts		0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$ 136,347	505,040	1,010,053	1,604,486
25. Change in net unrealized foreign exchange capital gain (loss)		0	0
26. Change in net deferred income tax	689,460	181,946	(626,998)
27. Change in nonadmitted assets	(315,574)	(1,303,875)	(1,003,977)
28. Change in provision for reinsurance		0	0
29. Change in surplus notes		0	0
30. Surplus (contributed to) withdrawn from protected cells		0	0
31. Cumulative effect of changes in accounting principles		54,722	54,722
32. Capital changes:			
32.1 Paid in		0	0
32.2 Transferred from surplus (Stock Dividend)		0	0
32.3 Transferred to surplus		0	0
33. Surplus adjustments:			
33.1 Paid in		0	0
33.2 Transferred to capital (Stock Dividend)		0	0
33.3 Transferred from capital		0	0
34. Net remittances from or (to) Home Office		0	0
35. Dividends to stockholders	(8,000,000)	0	(1,000,000)
36. Change in treasury stock		0	0
37. Aggregate write-ins for gains and losses in surplus	0	(587)	(843)
38. Change in surplus as regards policyholders (Lines 22 through 37)	(5,275,076)	4,355,025	5,005,174
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	85,275,886	89,900,813	90,550,962
<b>DETAILS OF WRITE-INS</b>			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0
0599. TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)	0	0	0
1401. FINANCE ROYALTY INCOME			
1402. OTHER INTEREST (EXPENSE)	31,809	94,226	146,831
1403. OTHER INCOME		0	0
1498. Summary of remaining write-ins for Line 14 from overflow page	20,431	25,608	32,962
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	52,240	119,834	179,793
3701. OTHER INCREASES / (DECREASES)		(587)	(843)
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page	0	0	0
3799. TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above)	0	(587)	(843)

**STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation**

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance.....	134,839,527	123,281,074	160,208,239
2. Net investment income.....	3,905,337	5,135,775	5,610,758
3. Miscellaneous income.....	15,360,028	14,014,915	18,708,617
4. Total (Lines 1 to 3).....	154,104,892	142,431,764	184,527,614
5. Benefit and loss related payments.....	77,352,064	70,626,909	96,268,196
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions.....	67,739,856	64,590,342	83,735,177
8. Dividends paid to policyholders.....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....	486,439	(1,603,789)	(950,911)
10. Total (Lines 5 through 9).....	145,578,359	133,613,462	179,052,462
11. Net cash from operations (Line 4 minus Line 10).....	8,526,533	8,818,302	5,475,152
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	36,573,097	45,540,571	69,650,363
12.2 Stocks.....	0	0	0
12.3 Mortgage loans.....	0	0	0
12.4 Real estate.....	0	0	0
12.5 Other invested assets.....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	0	0	0
12.7 Miscellaneous proceeds.....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	36,573,097	45,540,571	69,650,363
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	41,951,488	37,226,530	62,623,610
13.2 Stocks.....	0	0	0
13.3 Mortgage loans.....	0	0	0
13.4 Real estate.....	0	0	0
13.5 Other invested assets.....	0	0	0
13.6 Miscellaneous applications.....	1	3,606,490	29,391
13.7 Total investments acquired (Lines 13.1 to 13.6).....	41,951,489	40,833,020	62,653,001
14. Net increase (or decrease) in contract loans and premium notes.....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(5,378,392)	4,707,551	6,997,362
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds.....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	0	0	0
16.5 Dividends to stockholders.....	8,000,000	0	1,000,000
16.6 Other cash provided (applied).....	(5,451,594)	(3,016,056)	1,846,482
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(13,451,594)	(3,016,056)	846,482
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17).....	(10,303,453)	10,509,797	13,318,996
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	25,059,363	11,740,367	11,740,367
19.2 End of period (Line 18 plus Line 19.1).....	14,755,910	22,250,164	25,059,363

## NOTES TO FINANCIAL STATEMENTS

### 1. Summary of Significant Accounting Policies

A. The accompanying financial statements of Permanent General Assurance Corporation have been prepared in accordance with the NAIC Accounting Practices and Procedures Manual except to the extent that Ohio law differs. However, as of this Statement date, there have been no requests from the State of Ohio to depart from the prescribed NAIC guidelines.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the state of Ohio is shown below:

	<u>2013</u>	<u>2012</u>
<u>Net Income</u>		
(1) Ohio company state basis (Page 4, Line 20, Column 1 & 3)	\$1,845,998	\$5,977,784
(2) State prescribed practices that increase / (decrease) NAIC SAP:		
(3) State permitted practices that increase / (decrease) NAIC SAP:		
(4) NAIC SAP	\$1,845,998	\$5,977,784
<u>Surplus</u>		
(5) Ohio company state basis (Page 3, Line 37, Column 1 & 2)	\$85,275,886	\$90,550,962
(6) State prescribed practices that increase / (decrease) NAIC SAP:		
(7) State permitted practices that increase / (decrease) NAIC SAP:		
(8) NAIC SAP	\$85,275,886	\$90,550,962

### B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets, liabilities, revenues and expenses. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

### C. Accounting Policy

Premiums are earned over the terms of the related insurance policies. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by pro rata methods for direct business.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sales commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

- (1) Short-term investments are stated at cost.
- (2) Investment in bonds rated "1" (highest quality), "2" (high quality) by the Securities Valuation Office ("SVO") of the NAIC are reported in the financial statements at amortized cost. Bonds rated "3" (medium quality), "4" (low quality), "5" (lower quality), or "6" (lowest quality) by the SVO are reported at the lower of amortized cost or fair value.
- (3) Common stocks, if owned are stated at lower of cost or market with exception to the stock of PGAC's wholly owned subsidiary (which is valued as described in the NAIC Valuation of Securities Manual).
- (4) The Company holds no preferred stock as of the statement date.
- (5) The Company holds no mortgage loans.
- (6) The basis for loan backed securities is amortized cost or the lower of amortized cost or fair market value. The adjustment methodology used for each type of security is prospective for securities which an OTTI loss has been recognized and retrospective for all other securities.
- (7) The Company values PGA Service Corporation (a wholly owned subsidiary) in accordance with the NAIC policies and procedures manual.
- (8) The company has no investments in joint ventures, partnerships & limited liability company.
- (9) The company has no investments in derivatives.
- (10) The Company does not anticipate investment income as a factor in the premium deficiency calculation.
- (11) Reserve for losses represents the estimated liability for claims reported to the Company and an amount, based on actuarially determined reserves for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability is continually reviewed and any adjustments are reflected in the period determined.
- (12) The Company has not modified its capitalization policy from the prior year.
- (13) The Company has no pharmaceutical rebate receivables.

### 2. Accounting Changes and Corrections of Errors:

None.

### 3. Business Combinations and Goodwill

None.

### 4. Discontinued Operations

None.

### 5. Investments

- A) The Company has no mortgage loans.
- B) The Company did not restructure any debt.
- C) The Company has no reverse mortgages.
- D) Loan-Backed Securities
  - (1) The source used to determine prepayment assumptions is Intex.
  - (2) Not applicable.
  - (3) Securities with recognized impairments currently held

## NOTES TO FINANCIAL STATEMENTS

<u>Cusip</u>	<u>Book / Adjusted Carry Value Before Current Period OTTI</u>	<u>Present Value of Projected Cashflows</u>	<u>Recognized Other Than Temporary Impairment CY</u>	<u>Amortized Cost After OtherThan Temporary Impairment</u>	<u>Fair Value at report date</u>	<u>Date of Financial Statement Where Reported</u>
12669GHZ7	124,107	108,758	0	124,107	99,622	09/30/2013
22545LAG4	1,427,626	1,593,807	0	1,427,626	1,590,255	09/30/2013
466247WV1	23,342	40,692	0	23,342	46,528	09/30/2013
73316PBB5	299,169	328,705	0	299,169	330,268	09/30/2013
73316PCK4	602,588	555,284	0	602,588	494,878	09/30/2013
Total	2,476,832	2,627,246	0	2,476,832	2,561,551	

(4) All impaired securities (fair value is less than amortized cost) for which an other-than-temporary impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains):

Continuous Unrealized Loss	Less than 12 Months	\$52,557
12 Months or Longer	\$ -	

Fair Value of Security with Continuous Unrealized Loss	Less than 12 Months	\$1,989,364
12 Months or Longer	\$ -	

(5) Not Applicable

- E) The Company has no repurchase agreements.
- F) The Company has no real estate investments.
- G) The Company has no low-income housing tax credits (LIHTC).

**6. Joint Ventures, Partnerships and Limited Liability Companies**  
None.

**7. Investment Income**

All investment income due and accrued is admitted.

**8. Derivative Instruments**

None.

**9. Income Taxes**

No change.

**10. Information Concerning Parent, Subsidiaries Affiliates and Other Related Parties**

- A,B,C. On August 20, 2013 PGAC paid a dividend of \$8,000,000 to it's parent Permanent General Companies, who in turn paid a dividend of \$8,000,000 to it's parent PGC Holdings Corporation, who in turn made a capital contribution of \$8,000,000 to it's wholly owned subsidiary PGAC of Ohio, who in turn made a capital contribution of \$8,000,000 to it's wholly owned subsidiary GAIC.
- D. At September 30, 2013, the Company reported \$5,064,073 net receivable from it's parent and affiliates. The terms of the settlement require these amounts are settled within 90 days.
- E. The Company has made no guarantees or undertakings for the benefit of the parent or affiliates.
- F. PGAC has a service agreement in place with its parent Permanent General Companies, Inc (PGC), which is also a wholly owned subsidiary of PGC Holdings Corp. Under this agreement PGC performs administrative services on behalf of PGAC. These services include; claims administration, underwriting, policy issuance and record keeping, legal services, data processing and accounting.
- G. All outstanding shares of the company are owned by the parent company, Permanent General Companies, Inc., a non-insurance holding company domiciled in the State of Tennessee.
- H. The Company wholly owns PGA Service Corporation, an insurance premium finance company domiciled in the State of Tennessee.
- I.J. The Company has no investments in an SCA entity that exceeds 10% of admitted assets.
- K. None.
- L. None.

**11. Debt**

None.

**12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans.**

None.

**13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations**

- (1) The company has 20,000 shares authorized; 10,000 shares issued of \$500 par common stock; & 10,000 shares outstanding. All shares are Class A.
- (2) The company has no preferred stock issued.

## **NOTES TO FINANCIAL STATEMENTS**

- (3) The maximum amount of dividends which can be paid by an Ohio domiciled company without approval of the insurance commissioner is subject to restrictions based upon statutory surplus.
- (4) On August 20, 2013 the Company paid a ordinary dividend of \$8,000,000 to its parent Permanent General Companies.
- (5) The portion of the Company's profits that may be paid as ordinary dividends to stockholders is limited by # (3) above. However, the maximum dividend payout which can be made in 2013 without prior approval is \$9,055,096.
- (6) The company has no restrictions on the unassigned surplus.
- (7) The company has not made advances of surplus.
- (8) The stock of the company has not been allocated for any special purposes.
- (9) The company has no special surplus funds.
- (10) Refer to page 4 lines 21 through 37 and Exhibit of Capital Gain (Losses) .
- (11) The company has no surplus notes.
- (12) The company has not been reorganized.
- (13) N/A

**14. Contingencies**

- (A) The company has no contingent commitments to an SCA entity, joint ventures, partnerships, or limited liability companies.
- (B) The company has not been notified of any assessments that could have a material financial effect.
- (C) The company has no gain contingencies.
- (D) Claims related extra contractual obligations and bad faith losses stemming from lawsuits.

The company paid the following amounts in the reporting period to settle claims related extra contractual obligations or bad faith claims stemming from lawsuits.

	<u>Direct</u>
Claim payments made during reporting period	\$709,372

Number of claims where amounts were paid to settle claims resulting from lawsuits during the reporting period.

(A)	(B)	(C)	(D)	(E)
0-25	26-50	51-100	101-500	More than 500 Claims
X				

Indicate whether claim count information is disclosed per claim or claimant.

- (F) Per Claim  (G) Per Claimant
- (E) The company has no warranty liabilities.
- (F) All Other Contingencies.

Various lawsuits against the Company have arisen in the course of the Company's business. Contingent liabilities arising from litigation, income taxes and other matters are not considered material in relation to the financial position of the Company.

**15. Leases.**

None.

**16. Information about Financial Instruments with Off-balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk.**

None.

**17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities.**  
None.

**18. Gain or Loss to the Report Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans.**  
None.

**19. Direct Premium Written/Produced by MGA/3rd Party Administration**  
None.

**20. Fair Value Measurements.**

A. (1) Fair Value Measurements at Reporting Date

<u>Description</u>	<u>Level 1</u>	<u>Level 2</u>	<u>Level 3</u>	<u>Total</u>
<u>Assets at fair value</u>				
<u>Bonds</u>				
U.S. Governments Industrial & Misc.				

## NOTES TO FINANCIAL STATEMENTS

RMBS	594,501	594,501
CMBS	1,989,364	1,989,364
Special Revenue	639,178	639,178
Other		
Total	<u>0</u>	<u>3,223,043</u>
		<u>0</u>
		<u>3,223,043</u>

(2) Fair Value Measurements in Level 3 of the Fair Value Hierarchy

Description	Bal. @ 01/01/13	Trans. into Level 3	Trans. out of Level 3	Gains / (Losses)		Gains / (Losses)		Bal. @ 09/30/13				
				in Net Income	in Surplus	in Purch.	Iss.					
<u>Assets</u>												
<u>Bonds</u>												
U.S. Govts.								0				
Ind & Misc.												
RMBS								0				
CMBS												
Special Rev.												
Other												
Total Assets	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>				

(3) Transfers between levels are recognized at the end of the reporting period.

(4) The Financial assets recorded on the Balance Sheet at fair value are categorized based on the reliability of inputs to the valuation techniques as follows:

Level 1 Financial assets whose values are based on unadjusted quoted prices for identical assets in an active market that the Company can access.

Level 2 Financial assets whose values are based on the following:

Quoted prices for similar assets in active markets;

Quoted Prices for identical or similar assets in non-active markets; or

Valuation models whose inputs are observable, directly or indirectly, for substantially the full term of the asset.

Level 3 Financial assets whose values are based on prices or valuation techniques that require inputs that are both unobservable and significant to the overall fair value measurement. These inputs may reflect the Company's estimates of the assumptions that market participants would use in valuing the financial assets.

The availability of observable inputs varies by instrument. In situations where fair value is based on internally developed pricing models or inputs that are unobservable in the market, the determination of fair value requires more judgment. In many instances, inputs used to measure fair value fall into different levels of the fair value hierarchy. In those instances, for disclosure purposes, the level in the fair value hierarchy within which the fair value measurement is categorized is determined based on the lowest level input that is significant to the fair value measurement in its entirety.

The fair value guidance establishes a hierarchy for inputs used in determining fair value that maximize the use of observable inputs and minimizes the use of unobservable inputs by requiring that observable inputs be used when available.

Fair value is a market-based measure considered from the perspective of a market participant who owns an asset. Accordingly, when market observable data is not readily available, the Company's own assumptions are set to reflect those that market participants would be presumed to use in pricing the asset at the measurement date. The Company uses prices and inputs that are current as of the measurement date, including during periods of market disruption. In periods of market disruption, the ability to observe prices and inputs may be reduced for many instruments. This condition could cause an instrument to be reclassified from Level 1 to Level 2 or from Level 2 to Level 3.

As of September 30, 2013, the reported fair value of the reporting entity's investment in level 2 commercial and residential mortgage-backed securities was \$2,583,865. The company had one level 2 municipal bond with a fair value of \$639,178. These securities have a weighted average coupon rate of 5.05% and a weighted average maturity of 15.5 years. These securities are currently rated below investment grade.

(5) Not applicable.

B. No change.

C. Valuation, Methods, and Assumptions.

(1) The following table summarizes the fair value of the Company's financial assets as of September 30, 2013.

Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carry Value)
Bonds	125,806,386	124,015,880	1,158,516	114,542,252	10,105,618	-
Common Stock	-	-	-	-	-	-
Cash Equivalents	-	-	-	-	-	-
Short-Term Investments	14,555,910	14,555,910	14,555,910	-	-	-

## **NOTES TO FINANCIAL STATEMENTS**

(2) The following valuation methods and assumptions were used to measure the fair values of each type of financial asset:

**Bonds:** The fair value of Level 1 bonds, consisting of U.S. Treasury Notes, is determined using unadjusted quoted prices in an active market. Valuation methods and assumptions for the majority of Level 2 bonds are discussed in Note 20.A.4. A small segment of Level 2 and all Level 3 bonds are valued internally using matrix pricing, broker quotes, discounted cash flow models, and benchmark and spread analysis, or externally using a pricing vendor that specializes in esoteric securities.

**Short-Term Investments:** Comprised primarily of actively traded Level 1 money market funds that have a daily quoted net asset values for identical assets the Company can access.

D. Not applicable.

**21. Other Items.**

A. Extraordinary Items  
None.

B. Troubled Debt Restructuring. Debtors  
None.

C. Other Disclosers  
Assets in the amount of \$2,772,779 and \$2,747,473 at September 30, 2013 and December 31, 2012, respectively, were on deposit with government authorities or trustees as required by law.

D. The Company routinely assesses the collectibility of premium and agent balances. The uncollectible amounts are not material to the Company's financial condition.

E. Business Interruption Insurance Recoveries.  
None.

F. State Transferable Tax Credits  
None.

G. Subprime Mortgage Related Risk Exposure

(1) Factors used to determine the investment in a specific sub-prime security include the quality of underwriter / loan servicer, types of loans (fixed, floating, hybrid ARM), loan quality (documentation, purpose, occupancy), loan origination year (vintage), loan geographic distribution, average loan to values, average FICO scores and the securities credit support level. Loan loss estimates are computed based on historical loan delinquency and foreclosure statistics and projecting future delinquencies and losses under multiple economic scenarios including various home price changes and employment estimates, as well as servicer style and the foreclosure rules for each state. Total projected losses for all mortgages in each deal are then compared to the credit support (subordination plus over collateralization) to determine the percentage of principal loss expected for each tranche. To minimize specific security risk and concentration risk to the sector, issue limitations and sector limitations as a percentage of the portfolio have been established.

(2) Direct Exposure through investment in subprime mortgage loans.  
None.

(3) Direct Exposure through other investments.

Description	Actual Cost	Book/Adjusted Carrying Value (excluding interest)	Fair Value	OTTI Losses Recognized
A. Residential mortgage-backed securities	\$902,409	\$791,310	\$825,146	\$1,063,264
B. Commercial mortgage-backed securities	0	0	0	0
C. Collateralized debt obligations	0	0	0	0
D. Structured securities	0	0	0	0
E. Equity investment in SCA's	0	0	0	0
F. Other Assets	0	0	0	0
G.Total	\$902,409	\$791,310	\$825,146	\$1,063,264

(4) Underwriting exposure to subprime mortgage risk through Mortgage Guaranty or Financial Guaranty insurance coverage.  
None.

**22. Events Subsequent.**

None.

**23. Reinsurance**

A. Unsecured Reinsurance Recoverable  
None.

B. Reinsurance Recoverable in Dispute.  
None.

C. Reinsurance Assumed and Ceded  
No change.

	Assumed Reinsurance		Ceded Reinsurance		Net	
	Premium Reserve	Commission Equity	Premium Reserve	Commission Equity	Premium Reserve	Commission Equity
a. Affiliates	45,972,666	16,090,433	50,802,365	19,304,898	(4,829,699)	(3,214,465)
b. All Other			230,224	27,626	(230,224)	(27,626)
c. Total	45,972,666	16,090,433	51,032,589	19,332,524	(5,059,923)	(3,242,091)
d. Dir. UE Prem Res.	78,166,236					

## NOTES TO FINANCIAL STATEMENTS

- D. Uncollectible Reinsurance.  
None.
- E. Commutation of Ceded Reinsurance  
None.
- F. Retroactive Reinsurance  
None.
- G. Reinsurance Accounted for as a Deposit.  
None.
- H. Transfer of Property and Casualty Run-Off Agreements.  
None.

**24. Retrospectively Rated Contracts & Contracts Subject to Redetermination.**  
None.

**25. Change in Incurred Losses and Loss Adjustment Expenses**

Loss & lae reserves as of December 31, 2012 were \$55,386,000. As of September 30, 2013, \$37,337,000 has been paid for incurred loss & lae expenses attributable to insured events or prior years. Reserves remaining for prior years are now \$20,198,000 as a result of re-estimation of unpaid claims and lae expenses. Therefore, there has been a \$2,149,000 unfavorable prior year development from 12/31/2012 to 09/30/2013 principally on liability lines of business.

We saw development of year-end 2012 reserves of \$2,149,000. Over 58% of this is from the 2012 accident year, about 17.5% from the 2011 accident year, and the balance from prior years. The variance is a result of an earlier recognition of Bodily Injury Liability exposures due to a reworking of the claims handling process. BI exposures are being set up earlier than in past accident periods, and manual reserve adjustments are also up, as the investigation of potential liability is being more closely evaluated and at an earlier point in time than prior. This process affected both newly reported claims, and many open exposures. Georgia, Virginia and Texas combined for more than 80% of the adverse development due to claim frequency and severity coming in higher than projected at year-end 2012.

The development is generally the result of an ongoing analysis of recent loss development trends and are increased or decreased as additional information becomes known regarding individual claims. The Company has no retrospectively rated policies that are subject to premium adjustments. The development is generally the result of an ongoing analysis of recent loss development trends and are increased or decreased as additional information becomes known regarding individual claims. The Company has no retrospectively rated policies that are subject to premium adjustments.

**26. Intercompany Pooling Arrangements**

Effective January 1, 2010, the Company (lead entity) entered into a reinsurance pooling agreement with PGAC of Ohio (NAIC company code - 22906) and The General Automobile Insurance Company, Inc. (GAIC) (NAIC company code - 13703), both of which are affiliated property and casualty insurance companies incorporated in Ohio. The business includes private passenger auto liability & auto physical damage with PGAC receiving 59%, PGAC-Ohio receiving 33%, & GAIC receiving 8%. This Agreement applies only to that portion of any insurance or reinsurance which the parties hereto retain net for their own account and in calculating the amount of any loss hereunder, only loss or losses in respect of that portion of any insurance or reinsurance which the parties hereto retain net for their own account shall be included. The Company has a net receivable balance of \$549,096 at 09/30/2013.

**27. Structured Settlements**  
None.

**28. Health Care Receivables**  
None.

**29. Participating Policies**  
None.

**30. Premium Deficiency Reserves**  
1. Liability carried for premium deficiency reserves. \$0  
2. Date of the most recent evaluation of this liability. 09/30/2013  
3. Was anticipated investment income utilized in the calculation? Yes  No

**31. High Deductibles**  
None.

**32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses**  
None.

**33. Asbestos/Environmental Reserves**  
None.

**34. Subscriber Savings accounts**  
None.

**35. Multi Peril Crop Insurance**  
None.

**36. Financial Guaranty Insurance**  
None.

**STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation****GENERAL INTERROGATORIES****PART 1 - COMMON INTERROGATORIES  
GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? ..... Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? ..... Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? ..... Yes [ ] No [X]

2.2 If yes, date of change: .....

3.1 Have there been any substantial changes in the organizational chart since the prior quarter end? ..... Yes [ ] No [X]

3.2 If the response to 3.1 is yes, provide a brief description of those changes.  
.....

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? ..... Yes [ ] No [X]

4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? .....  
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2009

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2009

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 02/28/2011

6.4 By what department or departments?  
Ohio Department of Insurance.....

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? ..... Yes [ ] No [ ] NA [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? ..... Yes [X] No [ ] NA [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? ..... Yes [ ] No [X]

7.2 If yes, give full information:  
.....

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? ..... Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
.....

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? ..... Yes [ ] No [X]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

**STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation**

## GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [X] No [ ]

- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:  
.....

9.2 Has the code of ethics for senior managers been amended? ..... Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).  
.....

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).  
.....

## FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?..... Yes [X] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

## INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) .....

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: ..... \$ ..... 0

13. Amount of real estate and mortgages held in short-term investments: ..... \$ ..... 0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [X] No [ ]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ .....	\$ .....
14.22 Preferred Stock .....	\$ .....	\$ .....
14.23 Common Stock .....	\$ .....	\$ .....
14.24 Short-Term Investments .....	\$ .....	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ .....	\$ .....
14.26 All Other .....	\$ .....	\$ .....
<b>14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....</b>	<b>\$ .....</b>	<b>\$ .....</b>
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? .....

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? .....

If no, attach a description with this statement.

**STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation**

**GENERAL INTERROGATORIES**

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$.....0
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$.....0
16.3 Total payable for securities lending reported on the liability page	\$.....0

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? ..... Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Bank of America.....	225 Franklin Street, Boston, Ma. 02110.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
.....	.....	.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? .....

Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
.....	.....	.....	.....

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
110497.....	Brookfield Investment Management Inc.....	Three World Financial Center, New York, N.Y. 10281.....

18.1 Have all the filing requirements of the *Purposes and Procedures Manual* of the NAIC Securities Valuation Office been followed? .....

Yes [X] No [ ]

18.2 If no, list exceptions:

.....

## GENERAL INTERROGATORIES

### PART 2 - PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? ..... Yes [ ] No [X] NA [ ]  
 If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? ..... Yes [ ] No [X]  
 If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? ..... Yes [ ] No [X]  
 3.2 If yes, give full and complete information thereto.  
 .....  
 .....

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see *Annual Statement Instructions* pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? ..... Yes [ ] No [X]

4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT			DISCOUNT TAKEN DURING PERIOD			11 TOTAL
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	
TOTAL			0	0	0	0	0	0	0

5. Operating Percentages:

5.1 A&H loss percent ..... 0.0 %

5.2 A&H cost containment percent ..... 0.0 %

5.3 A&H expense percent excluding cost containment expenses ..... 0.0 %

6.1 Do you act as a custodian for health savings accounts? ..... Yes [ ] No [X]

6.2 If yes, please provide the amount of custodial funds held as of the reporting date ..... \$ \_\_\_\_\_

6.3 Do you act as an administrator for health savings accounts? ..... Yes [ ] No [X]

6.4 If yes, please provide the balance of the funds administered as of the reporting date ..... \$ \_\_\_\_\_

## **SCHEDULE F - CEDED REINSURANCE**

**Showing All New Reinsurers - Current Year to Date**

**STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation**

**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN**

**Current Year to Date - Allocated by States and Territories**

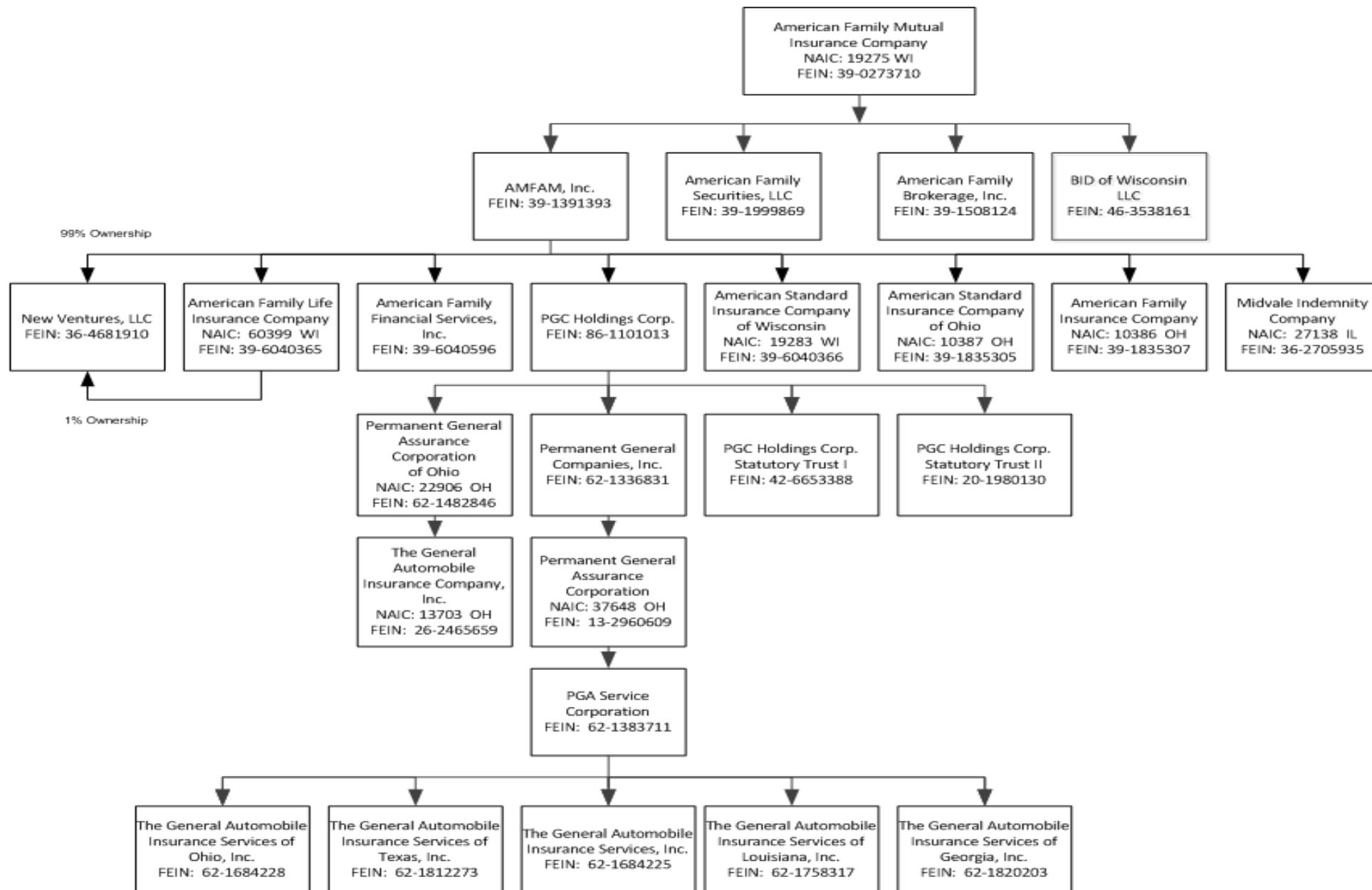
States, etc.	1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
1. Alabama	AL. L	3,854,896	1,238,692	1,363,979	270,732	864,873	.238,565
2. Alaska	AK. N		0		0		0
3. Arizona	AZ. L	9,174,025	9,020,022	5,008,771	4,690,659	3,563,470	3,499,821
4. Arkansas	AR. N		0		0		0
5. California	CA. L	31,677,533	32,748,120	17,410,020	16,830,880	9,435,076	10,265,358
6. Colorado	CO. L	8,109,245	5,476,351	3,626,814	3,166,346	2,384,050	2,183,356
7. Connecticut	CT. L	2,353,800	1,615,166	.838,189	.554,689	912,637	.632,498
8. Delaware	DE. L		0		0		0
9. Dist. Columbia	DC. N		0		0		0
10. Florida	FL. L	16,745,767	15,118,519	7,688,769	10,575,012	4,504,260	6,340,791
11. Georgia	GA. L	5,333,874	4,265,675	4,476,649	2,825,519	2,469,491	1,349,967
12. Hawaii	HI. N		0		0		0
13. Idaho	ID. L		0		0		0
14. Illinois	IL. L	2,613,290	2,567,728	1,423,214	1,310,255	892,989	1,204,386
15. Indiana	IN. L	5,840,619	5,895,118	3,081,807	3,762,933	2,561,996	2,448,658
16. Iowa	IA. L		0		0		0
17. Kansas	KS. L		0		0		0
18. Kentucky	KY. N		0		0		0
19. Louisiana	LA. L	2,959,729	3,398,813	1,817,571	2,322,857	865,858	1,256,579
20. Maine	ME. N		0		0		0
21. Maryland	MD. N		0		0		0
22. Massachusetts	MA. L		0		0		0
23. Michigan	MI. N		0		0		0
24. Minnesota	MN. N		0		0		0
25. Mississippi	MS. L	1,335,784	91,344	.514,563	0	329,678	11,300
26. Missouri	MO. L	4,887,601	2,829,573	2,320,080	1,543,481	1,469,188	.927,192
27. Montana	MT. L		0		0		0
28. Nebraska	NE. L		0		0		0
29. Nevada	NV. L	3,207,266	3,031,709	1,782,854	1,722,463	1,291,160	1,448,258
30. New Hampshire	NH. N		0		0		0
31. New Jersey	NJ. L		0		0		0
32. New Mexico	NM. N		0		0		0
33. New York	NY. L	1,776,193	4,867,421	3,622,756	.5,555,435	3,563,068	4,848,171
34. No. Carolina	NC. L		0		0		0
35. No. Dakota	ND. L		0		0		0
36. Ohio	OH. L	2,095,937	3,069,171	1,721,446	2,048,205	1,017,449	1,119,601
37. Oklahoma	OK. L	4,674,912	1,103,680	1,604,206	122,975	1,032,058	.117,448
38. Oregon	OR. L		0		0		0
39. Pennsylvania	PA. L	16,408,015	15,546,560	8,148,413	.7,293,908	6,202,900	6,049,803
40. Rhode Island	RI. L		0		0		0
41. So. Carolina	SC. L	2,125,687	1,884,537	1,273,831	1,277,600	1,057,652	.714,361
42. So. Dakota	SD. L		0		0		0
43. Tennessee	TN. L	14,496,797	11,607,781	6,369,065	.5,565,266	3,689,829	3,201,847
44. Texas	TX. L	7,275,333	4,478,878	5,111,560	2,066,212	2,359,602	1,397,952
45. Utah	UT. L	201,396	0	.564	0	.4,626	0
46. Vermont	VT. N		0		0		0
47. Virginia	VA. L	7,234,047	6,812,644	3,921,659	3,656,956	3,209,384	2,923,089
48. Washington	WA. L	565,654	145,942	.76,649	0	.72,296	.39,162
49. West Virginia	WV. L	.94,951	0	.1,693	0	.7,501	0
50. Wisconsin	WI. L	1,463,966	.605,524	.513,246	.109,386	404,703	.51,769
51. Wyoming	WY. N		0		0		0
52. American Samoa	AS. N		0		0		0
53. Guam	GU. N		0		0		0
54. Puerto Rico	PR. N		0		0		0
55. U.S. Virgin Islands	VI. N		0		0		0
56. Northern Mariana Islands	MP. N		0		0		0
57. Canada	CAN. N		0		0		0
58. Aggregate Other Alien	OT. XXX	0	0	0	0	0	0
59. Totals	(a) 38	156,506,317	137,418,968	83,718,368	77,271,769	54,165,794	52,269,932
<b>DETAILS OF WRITE-INS</b>							
58001.	XXX.						
58002.	XXX.						
58003.	XXX.						
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX.	0	0	0	0	0	0
58999. TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**



STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

1 Group Code	2 Group Name	3 NAIC Company Code	4 Federal ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Name of Parent Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/ Person(s)	15 *
00473	American Family Insurance Group.	19275	39-0273710			American Family Mutual Insurance Company		WI		American Family Mutual Insurance Company - Board of Directors	Board of Directors	0.0	American Family Mutual Insurance Company - Board of Directors	0
		00000	39-1999869			American Family Securities, LLC		WI	DS	American Family Mutual Insurance Company	Ownership	100.0	American Family Mutual Insurance Company	0
		00000	39-1508124			American Family Brokerage, Inc.		WI	DS	American Family Mutual Insurance Company	Ownership	100.0	American Family Mutual Insurance Company	0
		00000	39-1391393			AMFAM, Inc.		WI	DS	American Family Mutual Insurance Company	Ownership	100.0	American Family Mutual Insurance Company	0
00473	American Family Insurance Group.	19283	39-6040366			American Standard Insurance Co. of WI		WI	IA	AMFAM, Inc.	Ownership	100.0	American Family Mutual Insurance Company	0
00473	American Family Insurance Group.	10386	39-1835307			American Family Insurance Company		OH	IA	AMFAM, Inc.	Ownership	100.0	American Family Mutual Insurance Company	0
00473	American Family Insurance Group.	10387	39-1835305			American Standard Insurance Co. of OH		OH	IA	AMFAM, Inc.	Ownership	100.0	American Family Mutual Insurance Company	0
00473	American Family Insurance Group.	60399	39-6040365			American Family Life Insurance Co.		WI	IA	AMFAM, Inc.	Ownership	100.0	American Family Mutual Insurance Company	0
		00000	39-6040596			American Family Financial Services, Inc.		WI	IA	AMFAM, Inc.	Ownership	100.0	American Family Mutual Insurance Company	0
		00000	36-4681910			New Ventures, LLC		WI	NIA	AMFAM, Inc.	Ownership	99.0	American Family Mutual Insurance Company	0
		00000	36-4681910			New Ventures, LLC		WI	NIA	American Family Life Insurance Co.	Ownership	1.0	American Family Mutual Insurance Company	0
		27138	36-2705935			Midvale Indemnity Company		IL	IA	AMFAM, Inc.	Ownership	100.0	American Family Mutual Insurance Company	0
		00000	86-1101013			PGC Holdings Corporation		DE	NIA	AMFAM, Inc.	Ownership	100.0	American Family Mutual Insurance Company	0
		00000	42-6653388			PGC Holdings Statutory Trust 1		DE	NIA	PGC Holdings Corporation	Ownership	100.0	American Family Mutual Insurance Company	0
		00000	20-1980130			PGC Holdings Statutory Trust 2		DE	NIA	PGC Holdings Corporation	Ownership	100.0	American Family Mutual Insurance Company	0

## STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

12.

Asterisk	Explanation
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**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire			0.0	0.0
2. Allied lines			0.0	0.0
3. Farmowners multiple peril			0.0	0.0
4. Homeowners multiple peril			0.0	0.0
5. Commercial multiple peril			0.0	0.0
6. Mortgage guaranty			0.0	0.0
8. Ocean marine			0.0	0.0
9. Inland marine			0.0	0.0
10. Financial guaranty			0.0	0.0
11.1 Medical professional liability -occurrence			0.0	0.0
11.2 Medical professional liability -claims made			0.0	0.0
12. Earthquake			0.0	0.0
13. Group accident and health			0.0	0.0
14. Credit accident and health			0.0	0.0
15. Other accident and health			0.0	0.0
16. Workers' compensation			0.0	0.0
17.1 Other liability occurrence			0.0	0.0
17.2 Other liability-claims made			0.0	0.0
17.3 Excess Workers' Compensation			0.0	0.0
18.1 Products liability-occurrence			0.0	0.0
18.2 Products liability-claims made			0.0	0.0
19.1,19.2 Private passenger auto liability	111,762,064	66,489,175	59.5	61.0
19.3,19.4 Commercial auto liability			0.0	0.0
21. Auto physical damage	34,549,657	20,310,931	58.8	54.1
22. Aircraft (all perils)			0.0	0.0
23. Fidelity			0.0	0.0
24. Surety			0.0	0.0
26. Burglary and theft			0.0	0.0
27. Boiler and machinery			0.0	0.0
28. Credit			0.0	0.0
29. International			0.0	0.0
30. Warranty			0.0	0.0
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0.0	0.0
<b>TOTALS</b>	<b>146,311,721</b>	<b>86,800,106</b>	<b>59.3</b>	<b>59.4</b>
<b>DETAILS OF WRITE-INS</b>				
3401.				
3402.				
3403.				
3498. Sum. of remaining write-ins for Line 34 from overflow page	0	0	0.0	0.0
<b>3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34)</b>	<b>0</b>	<b>0</b>	<b>0.0</b>	<b>0.0</b>

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire	0		0
2. Allied lines	0		0
3. Farmowners multiple peril	0		0
4. Homeowners multiple peril	0		0
5. Commercial multiple peril	0		0
6. Mortgage guaranty	0		0
8. Ocean marine	0		0
9. Inland marine	0		0
10. Financial guaranty	0		0
11.1 Medical professional liability-occurrence	0		0
11.2 Medical professional liability-claims made	0		0
12. Earthquake	0		0
13. Group accident and health	0		0
14. Credit accident and health	0		0
15. Other accident and health	0		0
16. Workers' compensation	0		0
17.1 Other liability occurrence	0		0
17.2 Other liability-claims made	0		0
17.3 Excess Workers' Compensation	0		0
18.1 Products liability-occurrence	0		0
18.2 Products liability-claims made	0		0
19.1,19.2 Private passenger auto liability	37,288,407	119,605,776	105,214,850
19.3,19.4 Commercial auto liability	0		0
21. Auto physical damage	11,701,750	36,900,541	32,204,118
22. Aircraft (all perils)	0		0
23. Fidelity	0		0
24. Surety	0		0
26. Burglary and theft	0		0
27. Boiler and machinery	0		0
28. Credit	0		0
29. International	0		0
30. Warranty	0		0
31. Reinsurance - Nonproportional Assumed Property	XXX	XXX	XXX
32. Reinsurance - Nonproportional Assumed Liability	XXX	XXX	XXX
33. Reinsurance - Nonproportional Assumed Financial Lines	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business	0	0	0
<b>TOTALS</b>	<b>48,990,157</b>	<b>156,506,317</b>	<b>137,418,968</b>
<b>DETAILS OF WRITE-INS</b>			
3401.			
3402.			
3403.			
3498. Sum. of remaining write-ins for Line 34 from overflow page	0	0	0
<b>3499. Totals (Lines 3401 through 3403 plus 3498) (Line 34)</b>	<b>0</b>	<b>0</b>	<b>0</b>

**STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation**

**PART 3 (000 omitted)**

**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

Years in Which Losses Occurred	1 Prior Year-End Known Case Loss and LAE Reserves	2 Prior Year-End IBNR Loss and LAE Reserves	3 Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	4 2013 Loss and LAE Payments on Claims Reported as of Prior Year-End	5 2013 Loss and LAE Payments on Claims Unreported as of Prior Year-End	6 Total 2013 Loss and LAE Payments (Cols. 4 + 5)	7 Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened and Open as of Prior Year End	8 Q.S. Date IBNR Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year End	9 Q.S. Date IBNR Loss and LAE Reserves	10 Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	11 Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1)	12 Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	13 Prior Year-End Total Loss and LAE Reserve Developed (Savings)/ Deficiency (Cols. 11 + 12)
1. 2010 + Prior .....	3,086	.929	4,015	2,954	.30	2,984	1,497	.15	101	1,613	1,365	(783)	582
2. 2011 .....	7,390	3,513	10,903	6,463	.65	6,528	3,275	.33	1,347	4,655	2,348	(2,068)	280
3. Subtotals 2011 + prior .....	10,476	4,442	14,918	9,417	.95	9,512	4,772	.48	1,448	6,268	3,713	(2,851)	862
4. 2012 .....	26,151	14,317	40,468	27,547	.278	27,825	10,188	.103	3,639	13,930	11,584	(10,297)	1,287
5. Subtotals 2012 + prior .....	36,627	18,759	55,386	36,964	.373	37,337	14,960	.151	5,087	20,198	15,297	(13,148)	2,149
6. 2013 .....	XXX	XXX	XXX	XXX	54,273	54,273	XXX	28,781	12,056	40,837	XXX	XXX	XXX
7. Totals .....	36,627	18,759	55,386	36,964	54,646	91,610	14,960	28,932	17,143	61,035	15,297	(13,148)	2,149
Prior Year-End Surplus As Regards Policy-holders											Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
8. Surplus As Regards Policy-holders	90,551										1. 41.8	2. (70.1)	3. 3.9
											Col. 13, Line 7 Line 8		
											4. 2.4		

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

### Response

1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement? .....NO.....
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement? .....NO.....
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? .....NO.....
4. Will the Director and Officer Supplement be filed with the state of domicile and the NAIC with this statement? .....NO.....

### **Explanation:**

- 1.
- 2.
- 3.
- 4.

### **Bar Code:**

1.   
3 7 6 4 8 2 0 1 3 4 9 0 0 0 0 0 3
2.   
3 7 6 4 8 2 0 1 3 4 5 0 0 0 0 0 3
3.   
3 7 6 4 8 2 0 1 3 3 6 5 0 0 0 0 0 3
4.   
3 7 6 4 8 2 0 1 3 5 0 5 0 0 0 0 0 3

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**OVERFLOW PAGE FOR WRITE-INS**

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PQ004 Additional Aggregate Lines for Page 04 Line 14.

\*STMTCOMI

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1404. SUBROGATION FEES.....	20,431	25,608	32,962
1497. Summary of remaining write-ins for Line 14 from Page 04	20,431	25,608	32,962

**SCHEDULE A – VERIFICATION**

## Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	0	0
2.2 Additional investment made after acquisition .....	0	0
3. Current year change in encumbrances .....	0	0
4. Total gain (loss) on disposals .....	0	0
5. Deduct amounts received on disposals .....	0	0
6. Total foreign exchange change in book/adjusted carrying value .....	0	0
7. Deduct current year's other than temporary impairment recognized .....	0	0
8. Deduct current year's depreciation .....	0	0
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	0	0
10. Deduct total nonadmitted amounts .....	0	0
11. Statement value at end of current period (Line 9 minus Line 10) .....	0	0

**NONE****SCHEDULE B – VERIFICATION**

## Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	0	0
2.2 Additional investment made after acquisition .....	0	0
3. Capitalized deferred interest and other .....	0	0
4. Accrual of discount .....	0	0
5. Unrealized valuation increase (decrease) .....	0	0
6. Total gain (loss) on disposals .....	0	0
7. Deduct amounts received on disposals .....	0	0
8. Deduct amortization of premium and mortgage interest points and commitment fees .....	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....	0	0
10. Deduct current year's other than temporary impairment recognized .....	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	0	0
12. Total valuation allowance .....	0	0
13. Subtotal (Line 11 plus Line 12) .....	0	0
14. Deduct total nonadmitted amounts .....	0	0
15. Statement value at end of current period (Line 13 minus Line 14) .....	0	0

**NONE****SCHEDULE BA – VERIFICATION**

## Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	0	0
2.2 Additional investment made after acquisition .....	0	0
3. Capitalized deferred interest and other .....	0	0
4. Accrual of discount .....	0	0
5. Unrealized valuation increase (decrease) .....	0	0
6. Total gain (loss) on disposals .....	0	0
7. Deduct amounts received on disposals .....	0	0
8. Deduct amortization of premium and depreciation .....	0	0
9. Total foreign exchange change in book/adjusted carrying value .....	0	0
10. Deduct current year's other than temporary impairment recognized .....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	0	0
12. Deduct total nonadmitted amounts .....	0	0
13. Statement value at end of current period (Line 11 minus Line 12) .....	0	0

**NONE****SCHEDULE D – VERIFICATION**

## Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	118,958,956	120,836,592
2. Cost of bonds and stocks acquired .....	41,951,489	62,623,610
3. Accrual of discount .....	215,081	285,137
4. Unrealized valuation increase (decrease) .....	641,386	1,604,486
5. Total gain (loss) on disposals .....	583,066	4,841,769
6. Deduct consideration for bonds and stocks disposed of .....	36,573,096	69,650,363
7. Deduct amortization of premium .....	814,075	725,856
8. Total foreign exchange change in book/adjusted carrying value .....	0	0
9. Deduct current year's other than temporary impairment recognized .....	0	856,419
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	124,962,807	118,958,956
11. Deduct total nonadmitted amounts .....	946,927	695,102
12. Statement value at end of current period (Line 10 minus Line 11) .....	124,015,880	118,263,854

**STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation**

**SCHEDULE D - PART 1B**

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. Class 1 (a).....	109,616,909	12,601,378	14,286,186	(2,367,669)	112,250,237	109,616,909	105,564,433	111,350,267
2. Class 2 (a).....	24,928,327	69,792	1,046,047	3,725,128	24,239,538	24,928,327	27,677,200	25,153,777
3. Class 3 (a).....	2,967,141			(1,571,031)	3,965,675	2,967,141	1,396,110	3,989,909
4. Class 4 (a).....	2,553,872			(8,175)	2,621,167	2,553,872	2,545,697	2,629,263
5. Class 5 (a).....	1,391,193			(2,844)	299,160	1,391,193	1,388,350	0
6. Class 6 (a).....	0				0	0	0	0
7. Total Bonds.....	141,457,442	12,671,170	15,332,233	(224,590)	143,375,776	141,457,442	138,571,790	143,123,217
<b>PREFERRED STOCK</b>								
8. Class 1.....	0				0	0	0	0
9. Class 2.....	0				0	0	0	0
10. Class 3.....	0				0	0	0	0
11. Class 4.....	0				0	0	0	0
12. Class 5.....	0				0	0	0	0
13. Class 6.....	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock.....	141,457,442	12,671,170	15,332,233	(224,590)	143,375,776	141,457,442	138,571,790	143,123,217

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ .....0 ; NAIC 2 \$ .....0 ;

NAIC 3 \$ .....0 ; NAIC 4 \$ .....0 ; NAIC 5 \$ .....0 ; NAIC 6 \$ .....0

**SCHEDULE DA - PART 1**

## Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999	14,555,910	XXX	14,555,910	2	0

**SCHEDULE DA - VERIFICATION**

## Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	24,859,363	11,740,367
2. Cost of short-term investments acquired .....	33,322,497	61,106,354
3. Accrual of discount .....	0	0
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals .....	0	0
6. Deduct consideration received on disposals .....	43,625,950	47,987,358
7. Deduct amortization of premium.....	0	0
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other than temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	14,555,910	24,859,363
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	14,555,910	24,859,363

Schedule DB - Part A - Verification

**NONE**

Schedule DB - Part B - Verification

**NONE**

Schedule DB - Part C - Section 1

**NONE**

Schedule DB - Part C - Section 2

**NONE**

Schedule DB - Verification

**NONE**

Schedule E - Verification

**NONE**

Schedule A - Part 2

**NONE**

Schedule A - Part 3

**NONE**

Schedule B - Part 2

**NONE**

Schedule B - Part 3

**NONE**

Schedule BA - Part 2

**NONE**

**Schedule BA - Part 3**  
**NONE**

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation

**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator <sup>(a)</sup>
<b>Bonds - U.S. Special Revenue</b>									
3137EA-CW-7.....	FHLMC 2 08/25/2016 2.000% 08/25/16.....		09/17/2013.....	DIRECT.....		1,033,281	1,000,000	1,278	.1Z.....
<b>3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Government and Their Political Subdivisions</b>									
						1,033,281	1,000,000	1,278	XXX.....
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>									
.00510R-AC-7.....	ACUTY BRANDS LIGHTING 6 12/15/2019 6.....		.07/26/2013.....	MARKET AXESS CORPORATION.....		.69,792	.64,000	.491	.2FE.....
.20173V-AE-0.....	GCCFC 2007-GG11 A4 5.736% 12/10/49.....		.08/13/2013.....	NOMURA SECURITIES.....		1,125,625	1,000,000	2,390	.1FM.....
.57629W-BS-8.....	MASSMUTUAL GLOBAL FUNDING II 2.1 08/02/2.....		.07/26/2013.....	MORGAN STANLEY.....		1,497,240	1,500,000		.1FE.....
<b>3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)</b>									
						2,692,657	2,564,000	2,881	XXX.....
<b>8399997 - Subtotals- Bonds - Part 3</b>									
						3,725,938	3,564,000	4,158	XXX.....
<b>8399999 - Subtotals - Bonds</b>									
						3,725,938	3,564,000	4,158	XXX.....
<b>9999999 Totals</b>									
						3,725,938	XXX	4,158	XXX.....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....

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**STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation**

**SCHEDULE D - PART 4**

**Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter**

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Design- ation or Market Indicator (a)			
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.										
<b>Bonds - U.S. Governments</b>																								
36241K-XF-0...	GNMA POOL#762478 5.000% 11/15/38...	..09/01/2013..	Paydown..			.69,302	.69,302	.69,865	.70,017			-(715)		..(715)		.69,302			..0	.2,307	.11/15/2038..	.1...		
36290V-RT-3...	5.500% 09/15/33... GNMA POOL #623806 5.500% 11/15/33...	..09/01/2013..	Paydown..			.961	.961	.979	.987			(26)		..(26)		.961			..0	.31	.09/15/2033..	.1FE...		
36291C-AF-2...		..09/01/2013..	Paydown..			.129	.129	.131	.131			(2)		..(2)		.129			..0	.5	.11/15/2033..	.1FE...		
<b>0599999 - Bonds - U.S. Governments</b>						<b>70,393</b>	<b>70,393</b>	<b>70,975</b>	<b>71,135</b>			<b>0</b>		<b>(742)</b>		<b>0</b>		<b>70,393</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,343</b>	<b>XXX</b>	<b>XXX</b>
<b>Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions</b>																								
190789-AX-5...	COBB COUNTY GA DEV AUTH UNIV 4.710% 07/...	..07/15/2013..	Maturity..			.500,000	.500,000	.500,000	.500,000			0				.500,000			..0	.23,550	.07/15/2013..	.1FE...		
29270M-BU-6...	ENERGY NW WASHINGTON 5.000% 07/01/13...	..07/01/2013..	Maturity..			1,500,000	1,500,000	1,645,815	1,509,938			..(9,938)		..(9,938)		1,500,000			..0	.75,000	.07/01/2013..	.1FE...		
3128MJ-RM-4...	FHLMC GOLD POOL #608491 3.500% 05/01/14...	..09/01/2013..	Paydown..			.80,014	.80,014	.84,603	.84,552			..(4,537)		..(4,537)		.80,014			..0	.1,805	.05/01/2042..	.1...		
3128NH-W8-2...	FHARM ARM POOL #1J1571 2.817% 04/01/37...	..09/01/2013..	Paydown..			.5	.5	.5	.4			0		0		.5			..0	.0	.04/01/2037..	.1...		
312931-E7-9...	FHLMC GOLD POOL# A84658 4.500% 02/01/39...	..09/01/2013..	Paydown..			.58,799	.58,799	.58,964	.58,961			..(162)		..(162)		.58,799			..0	.1,649	.02/01/2039..	.1FE...		
312933-ML-5...	FGLMC POOL# A86663 4.500% 06/01/39...	..09/01/2013..	Paydown..			.63,394	.63,394	.63,374	.63,355			.38		.38		..63,394			..0	.1,816	.06/01/2039..	.1...		
312962-VE-0...	FHLMC POOL #B10613 4.500% 10/01/18...	..09/01/2013..	Paydown..			.1,053	.1,053	.1,049	.1,052			1		1		.1,053			..0	.31	.10/01/2018..	.1FE...		
3132J9-HP-6...	FG POOL #017937 3.500% 05/01/43...	..09/01/2013..	Paydown..			.20,904	.20,904	.21,910				..(1,006)		..(1,006)		.20,904			..0	.156	.05/01/2043..	.1...		
31377U-JH-7...	FNMA DUS POOL #387364 (TN) 5.005% 05/...	..09/01/2013..	Paydown..			.4,820	.4,820	.4,824	.4,807			.13		.13		.4,820			..0	.162	.05/01/2015..	.1FE...		
31387H-R9-3...	FNMA POOL # 584712 6.500% 05/01/31...	..09/01/2013..	Paydown..			.224	.224	.234	.239			..(15)		..(15)		.224			..0	.10	.05/01/2031..	.1FE...		
31388WS-QE-1...	FG POOL #A74952 3.500% 05/01/43...	..09/01/2013..	Paydown..			.156,696	.156,696	.163,931				..(7,235)		..(7,235)		.156,696			..0	.1,300	.05/01/2043..	.1...		
31391Y-S7-5...	FNMA POOL #680142 5.000% 01/01/18...	..09/01/2013..	Paydown..			.869	.869	.882	.875			..(6)		..(6)		.869			..0	.29	.01/01/2018..	.1FE...		
31392E-40-0...	FNR 2002-72 B 6.500% 11/25/17...	..09/01/2013..	Paydown..			.3,336	.3,336	.3,536	.3,442			..(106)		..(106)		.3,336			..0	.143	.11/25/2017..	.1FE...		
31395F-NK-6...	FHR 285% EY 4.500% 09/15/24...	..09/01/2013..	Paydown..			.51,688	.51,688	.48,123	.49,855			1,832		1,832		.51,688			..0	.1,503	.09/15/2024..	.1FE...		
31396J-NB-7...	FHR 3131 MC 5.500% 04/15/33...	..09/01/2013..	Paydown..			.176,744	.176,744	.175,997	.176,305			..439		..439		.176,744			..0	.6,498	.04/15/2033..	.1...		
31401P-A6-1...	FNMA POOL #713829 4.500% 10/01/18...	..09/01/2013..	Paydown..			.1,530	.1,530	.1,542	.1,538			..(8)		..(8)		.1,530			..0	.45	.10/01/2018..	.1FE...		
31403Y-BN-2...	FNMA POOL #761545 (TENN) 5.000% 11/01/...	..09/01/2013..	Paydown..			.66,400	.66,400	.67,707	.67,104			..(704)		..(704)		..66,400			..0	.2,317	.11/01/2019..	.1FE...		
31405A-3E-1...	FNMA POOL #783897 100% TN 5.000% 12/0...	..09/01/2013..	Paydown..			.99,460	.99,460	.99,098	.99,106			.353		.353		.99,460			..0	.3,251	.12/01/2034..	.1FE...		
31405C-XD-6...	FNMA POOL #785576 (TENN) 5.000% 11/01/...	..09/01/2013..	Paydown..			.12,907	.12,907	.13,153	.13,020			..(112)		..(112)		.12,907			..0	.430	.11/01/2019..	.1FE...		
31405C-XG-9...	FNMA POOL #785579 100% TN 5.000% 12/01/...	..09/01/2013..	Paydown..			.70,960	.70,960	.72,452	.71,769			..(809)		..(809)		.70,960			..0	.2,100	.12/01/2019..	.1FE...		
31406B-M6-4...	FNMA POOL #805081 100% TN 5.000% 12/01/...	..09/01/2013..	Paydown..			.4,547	.4,547	.4,629	.4,587			..(40)		..(40)		.4,547			..0	.152	.12/01/2019..	.1FE...		
31406B-N4-8...	FNMA POOL #805111 100% TN 5.500% 12/01/...	..09/01/2013..	Paydown..			.2,949	.2,949	.3,006	.2,987			..(38)		..(38)		.2,949			..0	.108	.12/01/2034..	.1FE...		
31413S-HT-4...	FNMA POOL #953942 100% TN 6.000% 11/01/...	..08/01/2013..	Paydown..			.149,188	.149,188	.150,214	.150,785			..(1,597)		..(1,597)		.149,188			..0	.5,966	.11/01/2037..	.1...		
31416N-TU-6...	FNMA POOL# AA5062 4.500% 03/01/39...	..09/01/2013..	Paydown..			.131,249	.131,249	.131,680	.131,478			..(229)		..(229)		.131,249			..0	.3,891	.03/01/2039..	.1FE...		
31416P-WC-7...	FNMA POOL# AA6042 4.500% 05/01/39...	..09/01/2013..	Paydown..			.104,703	.104,703	.106,286	.105,814			..(1,111)		..(1,111)		.104,703			..0	.3,059	.05/01/2039..	.1...		
88045R-DM-1...	TENNESSEE HSG DEV AGY CALLABLE 01/01/201...	..07/01/2013..	Call 100.0000			.55,000	.55,000	.49,632	.50,201			.68		.68		.50,269			..4,731	.4,731	.2,750	.07/01/2032..	.1FE...	
<b>3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions</b>						<b>3,317,439</b>	<b>3,317,439</b>	<b>3,472,647</b>	<b>3,151,774</b>			<b>0</b>		<b>(24,907)</b>		<b>0</b>		<b>3,312,708</b>	<b>0</b>	<b>4,731</b>	<b>4,731</b>	<b>137,725</b>	<b>XXX</b>	<b>XXX</b>
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>																								

**STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation**

**SCHEDULE D - PART 4**

**Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter**

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Design- ation or Market Indicator (a)		
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.									
09254D-AA-3...	BS IS 2006-4A A 0.506% 04/20/19...		07/22/2013...	Paydown...		.102,843	.102,843	.97,700	.98,282			4,560			.102,843				0	.420	.04/20/2019...	1FE...	
12646X-AJ-1...	CMSC 2013-IVR3 A2 3.00% 05/25/43 02/25/35...		09/01/2013...	Paydown...		.8,601	.8,601	.8,712				-(111)			.8,601				0	.70	.05/25/2043...	1FE...	
12669G-HZ-7...	CWH 2004-29 1A2 1.079% 02/25/35...		09/25/2013...	Paydown...		.1,241	.1,241	.1,239	.1,044		.204	-(7)		.197				0		.10	.02/25/2035...	1FM...	
166764-AE-0...	CHEVRONTEXACO CORP CALLABLE 05/24/2018 @ GENERAL ELECTRIC CAPITAL CORP 1.875 09/1/2018 JPMT 2005-7 B1 5.607%		08/08/2013...	MARKET AXESS CORPORATION...		.497,770	.500,000	.500,000				0			.500,000				-(2,230)	-(2,230)	.1,169	.06/24/2018...	1FE...
36962G-4Q-4...			09/16/2013...	Maturity...		.1,000,000	.1,000,000	.1,003,460	.1,000,843			-(843)			.1,000,000				0	.18,750	.09/16/2013...	1FE...	
466247-WV-1...	10/25/35...		09/01/2013...	Paydown...				.13,931	.638			-(638)			.638				0		.10/25/2035...	1FM...	
59217G-AX-7...	MET LIFE GLOB FUNDING 1 3 01/10/2023 3...		07/26/2013...	WELLS FARGO ADVISORS...		.953,090	.1,000,000	.999,490				.25			.999,515				-(46,425)	-(46,425)	.16,750	.01/10/2023...	1FE...
61751N-AD-4...	MSC 2007-H011 A31 5.439% 02/20/44...		09/01/2013...	Paydown...		.19,298	.19,298	.19,403	.19,294			.4			.19,298				0	.810	.02/20/2044...	1FM...	
66858X-AA-9...	WOODS 2005-5A A1A 0.518% 12/07/20...		09/09/2013...	Paydown...		.238,483	.238,483	.227,513	.229,387			.9,096			.238,483				0	.1,003	.12/07/2020...	1FE...	
98310W-AJ-7...	WYNDHAM WORLDWIDE CORP CALLABLE 12/01/20...		07/26/2013...	SUMRIDGE PARTNERS LLC...		.988,640	.1,000,000	.1,049,300	.1,048,727			-(2,680)			.1,046,047				-(57,407)	-(57,407)	.38,958	.03/01/2022...	2FE...
131243-AA-5...	CALD 7A A 1.016% 01/21/21...	F	07/22/2013...	Paydown...		.337,647	.337,647	.335,958				1,688			.337,647				0	.1,764	.01/21/2021...	1FE...	
15134D-AA-6...	CEN11 2006-11A A1 0.526% 04/25/19...	F	07/25/2013...	Paydown...		.54,841	.54,841	.52,096	.52,610			2,231			.54,841				0	.232	.04/25/2019...	1FE...	
24822U-AB-5...	DENC6 6A A1L 0.526% 04/21/20...	F	07/22/2013...	Paydown...		.67,213	.67,213	.65,566	.65,476			1,737			.67,213				0	.285	.04/21/2020...	1FE...	
26243Y-AA-5...	DRYD 2005-8A A 0.512% 05/22/17...	F	08/22/2013...	Paydown...		.87,888	.87,888	.85,032	.85,195			2,693			.87,888				0	.358	.05/22/2017...	1FE...	
363206-AA-1...	GALE 2007-3A A1 0.506% 04/19/21...	F	07/19/2013...	Paydown...		.230,733	.230,733	.213,428	.217,355			13,378			.230,733				0	.945	.04/19/2021...	1FE...	
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						4,588,288	4,662,718	4,659,535	2,818,851	204	31,134	0	31,338	0	4,694,349	0	(106,061)	(106,061)	81,525	XXX	XXX		
8399997 - Subtotals - Bonds - Part 4						7,976,120	8,050,550	8,203,157	6,041,760	204	5,485	0	5,689	0	8,077,450	0	(101,330)	(101,330)	221,592	XXX	XXX		
8399999 - Subtotals - Bonds						7,976,120	8,050,550	8,203,157	6,041,760	204	5,485	0	5,689	0	8,077,450	0	(101,330)	(101,330)	221,592	XXX	XXX		
9999999 Totals																							
(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues																							

E05.1

Schedule DB - Part A - Section 1

**NONE**

Schedule DB - Part B - Section 1

**NONE**

Schedule DB - Part D - Section 1

**NONE**

Schedule DB - Part D - Section 2

**NONE**

Schedule DL - Part 1

**NONE**

Schedule DL - Part 2

**NONE**

**STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances							9	
1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			
					6 First Month	7 Second Month	8 Third Month	*
<b>Open Depositories</b>								
Florida Treasury Trust Fund.....Tallahassee, Florida.....	SD	2.050	.576	.0	200,000	200,000	200,000	XXX
0199998 Deposits in ..... depositories that do not exceed the allowable limit in any one depository (See Instructions) - Open Depositories	XXX	XXX						XXX
0199999 Total Open Depositories	XXX	XXX	576	0	200,000	200,000	200,000	XXX
0399999 Total Cash on Deposit	XXX	XXX	576	0	200,000	200,000	200,000	XXX
0499999 Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
<b>0599999 Total</b>	<b>XXX</b>	<b>XXX</b>	<b>576</b>	<b>0</b>	<b>200,000</b>	<b>200,000</b>	<b>200,000</b>	<b>XXX</b>

**STATEMENT AS OF SEPTEMBER 30, 2013 OF THE Permanent General Assurance Corporation**

## **SCHEDULE E - PART 2 - CASH EQUIVALENTS**

Show Investments Owned End of Current Quarter							
1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year

**NONE**

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