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HEALTH QUARTERLY STATEMENT

As of September 30, 2013

of the Condition and Affairs of the

Medical Mutual of Ohio

NAIC Group Code.....730, 730 (Current Period) (Prior Period) | NAIC Company Code..... 29076 | Employer's ID Number..... 34-0648820

Organized under the Laws of Ohio | State of Domicile or Port of Entry Ohio | Country of Domicile US

Licensed as Business Type Property/Casualty | Is HMO Federally Qualified? Yes [ ] No [ ]

Incorporated/Organized..... March 30, 1934 | Commenced Business..... January 1, 1934

Statutory Home Office	2060 East Ninth Street….. Cleveland ..... OH ..... US ..... 44115-1355 *(Street and Number) (City or Town, State, Country and Zip Code)*	
Main Administrative Office	2060 East Ninth Street….. Cleveland ..... OH ..... US ..... 44115-1355 *(Street and Number) (City or Town, State, Country and Zip Code)*	216-687-7000 *(Area Code) (Telephone Number)*
Mail Address	2060 East Ninth Street….. Cleveland ..... OH ..... US ..... 44115-1355 *(Street and Number) (City or Town, State, Country and Zip Code)*	
Primary Location of Books and Records	2060 East Ninth Street….. Cleveland ..... OH ..... US ..... 44115-1355 *(Street and Number) (City or Town, State, Country and Zip Code)*	216-687-7000 *(Area Code) (Telephone Number)*
Internet Web Site Address	www.MedMutual.com	
Statutory Statement Contact	Sharon Matonis *(Name)*	216-687-6049 *(Area Code) (Telephone Number) (Extension)*
	Sharon.Matonis@medmutual.com *(E-Mail Address)*	216-360-4073 *(Fax Number)*

OFFICERS

Name	Title	Name	Title
1. Richard Alan Chiricosta	President & CEO	2. Patrick Joseph Dugan	Secretary
3. Raymond Karl Mueller	Chief Financial Officer	4.	

OTHER

Jared Paul Chaney	EVP	Patrick Joseph Dugan	EVP
Kathleen Rose Golovan #	EVP	Steffany Matticola Larkins	EVP
Raymond Karl Mueller	EVP	Susan Marie Tyler	EVP

DIRECTORS OR TRUSTEES

Charles Arthur Bryan	Richard Alan Chiricosta	Robert John King Jr.	Samuel Henry Miller
Dennis John Roche	Greta Jane Russell	David Joseph Young	

State of........ Ohio
County of..... Cuyahoga

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Richard Alan Chiricosta	Patrick Joseph Dugan	Raymond Karl Mueller
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
President & CEO	Secretary	Chief Financial Officer
(Title)	(Title)	(Title)

Subscribed and sworn to before me

This ______ day of ______________

a. Is this an original filing? Yes [X] No [ ]

b. If no: 1. State the amendment number

2. Date filed

3. Number of pages attached

ASSETS

	Current Statement Date 1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Prior Year Net Admitted Assets
1. Bonds	827,281,903	5,240,655	822,041,248	790,427,450
2. Stocks:				
2.1 Preferred stocks			0	
2.2 Common stocks	260,951,636		260,951,636	216,915,726
3. Mortgage loans on real estate:				
3.1 First liens			0	
3.2 Other than first liens			0	
4. Real estate:				
4.1 Properties occupied by the company (less $..........0 encumbrances)			0	
4.2 Properties held for the production of income (less $..........0 encumbrances)			0	
4.3 Properties held for sale (less $..........0 encumbrances)			0	
5. Cash ($.....44,371,354), cash equivalents ($..........0) and short-term investments ($.....149,661,893)	194,033,247		194,033,247	146,939,245
6. Contract loans (including $..........0 premium notes)			0	
7. Derivatives			0	
8. Other invested assets	396,992,669	25,006,081	371,986,588	400,890,781
9. Receivables for securities	313,243		313,243	
10. Securities lending reinvested collateral assets			0	
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	1,679,572,698	30,246,736	1,649,325,962	1,555,173,202
13. Title plants less $..........0 charged off (for Title insurers only)			0	
14. Investment income due and accrued	6,565,490		6,565,490	6,570,562
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	12,372,240	85,622	12,286,618	14,015,238
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $..........0 earned but unbilled premiums)			0	
15.3 Accrued retrospective premiums			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	
16.2 Funds held by or deposited with reinsured companies			0	
16.3 Other amounts receivable under reinsurance contracts			0	
17. Amounts receivable relating to uninsured plans			0	
18.1 Current federal and foreign income tax recoverable and interest thereon			0	11,205,321
18.2 Net deferred tax asset	8,263,696	1,499,696	6,764,000	12,850,000
19. Guaranty funds receivable or on deposit	6,454,009		6,454,009	7,167,605
20. Electronic data processing equipment and software	8,589,999	8,589,999	0	
21. Furniture and equipment, including health care delivery assets ($..........0)	3,998,609	3,998,609	0	
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	
23. Receivables from parent, subsidiaries and affiliates	1,484,625		1,484,625	9,889,437
24. Health care ($.....22,781,916) and other amounts receivable	23,405,966	624,050	22,781,916	1,987,246
25. Aggregate write-ins for other than invested assets	37,660,406	21,672,641	15,987,765	15,495,084
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25)	1,788,367,738	66,717,353	1,721,650,385	1,634,353,695
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	
28. Total (Lines 26 and 27)	1,788,367,738	66,717,353	1,721,650,385	1,634,353,695

DETAILS OF WRITE-INS

1101.			0	
1102.			0	
1103.			0	
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. Note Receivable - Rose Building	6,425,785		6,425,785	6,940,631
2502. Cash Surrender Value - Life Insurance	8,462,771		8,462,771	8,302,311
2503. Other Assets	12,480,786	12,224,117	256,669	252,142
2598. Summary of remaining write-ins for Line 25 from overflow page	10,291,064	9,448,524	842,540	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)	37,660,406	21,672,641	15,987,765	15,495,084

LIABILITIES, CAPITAL AND SURPLUS

	Current Period 1 Covered	2 Uncovered	3 Total	Prior Year 4 Total
1. Claims unpaid (less $..........0 reinsurance ceded)	225,184,000		225,184,000	249,712,400
2. Accrued medical incentive pool and bonus amounts	3,313,569		3,313,569	2,726,230
3. Unpaid claims adjustment expenses	4,952,790		4,952,790	5,393,057
4. Aggregate health policy reserves, including the liability of $.....347,992 for medical loss ratio rebate per the Public Health Service Act	897,992		897,992	2,618,000
5. Aggregate life policy reserves			0	
6. Property/casualty unearned premium reserve			0	
7. Aggregate health claim reserves			0	
8. Premiums received in advance	79,624,471		79,624,471	68,442,307
9. General expenses due or accrued	87,581,116		87,581,116	94,927,863
10.1 Current federal and foreign income tax payable and interest thereon (including $..........0 on realized gains (losses))	14,670,708		14,670,708	
10.2 Net deferred tax liability			0	
11. Ceded reinsurance premiums payable			0	
12. Amounts withheld or retained for the account of others			0	
13. Remittances and items not allocated	1,333,026		1,333,026	1,143,361
14. Borrowed money (including $..........0 current) and interest thereon $..........0 (including $..........0 current)			0	
15. Amounts due to parent, subsidiaries and affiliates			0	
16. Derivatives			0	
17. Payable for securities	159,297		159,297	22,396
18. Payable for securities lending			0	
19. Funds held under reinsurance treaties with ($..........0 authorized reinsurers and $..........0 unauthorized reinsurers and certified $..........0 reinsurers)			0	
20. Reinsurance in unauthorized and certified ($..........0) companies			0	
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	
22. Liability for amounts held under uninsured plans			0	
23. Aggregate write-ins for other liabilities (including $.....11,378,374 current)	96,307,061	0	96,307,061	75,807,271
24. Total liabilities (Lines 1 to 23)	514,024,030	0	514,024,030	500,792,885
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26. Common capital stock	XXX	XXX		
27. Preferred capital stock	XXX	XXX		
28. Gross paid in and contributed surplus	XXX	XXX		
29. Surplus notes	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	1,207,626,355	1,133,560,810
32. Less treasury stock, at cost:				
32.1 .....0.000 shares common (value included in Line 26 $..........0)	XXX	XXX		
32.2 .....0.000 shares preferred (value included in Line 27 $..........0)	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	1,207,626,355	1,133,560,810
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	1,721,650,385	1,634,353,695

DETAILS OF WRITE-INS

	1 Covered	2 Uncovered	3 Total	4 Total
2301. Accrued Postemployment Benefits Other Than Pension	55,011,640		55,011,640	33,943,703
2302. Building Lease Liability	9,896,998		9,896,998	10,696,886
2303. Other Liabilities	14,522,219		14,522,219	13,760,375
2398. Summary of remaining write-ins for Line 23 from overflow page	16,876,204	0	16,876,204	17,406,307
2399. Totals (Lines 2301 thru 2303 plus 2398) (Line 23 above)	96,307,061	0	96,307,061	75,807,271
2501.				
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)	XXX	XXX	0	0
3001.				
3002.				
3003.				
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 thru 3003 plus 3098) (Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date 1 Uncovered	Current Year To Date 2 Total	Prior Year To Date 3 Total	Prior Year Ended December 31 4 Total
1. Member months	XXX	10,353,760	9,890,495	13,467,058
2. Net premium income (including $..........0 non-health premium income)	XXX	1,862,543,484	1,753,967,737	2,372,803,264
3. Change in unearned premium reserves and reserve for rate credits	XXX	(282,786)	(6,138,177)	(941,177)
4. Fee-for-service (net of $..........0 medical expenses)	XXX			
5. Risk revenue	XXX			
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	1,862,260,698	1,747,829,560	2,371,862,087
Hospital and Medical:				
9. Hospital/medical benefits		957,597,445	925,125,239	1,306,054,977
10. Other professional services		82,764,933	81,917,300	115,981,375
11. Outside referrals		14,729,673	18,373,471	24,263,276
12. Emergency room and out-of-area		183,386,135	180,176,656	244,518,455
13. Prescription drugs		211,714,592	197,024,262	275,862,489
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts		3,343,695	1,897,156	2,707,511
16. Subtotal (Lines 9 to 15)	0	1,453,536,473	1,404,514,084	1,969,388,083
Less:				
17. Net reinsurance recoveries		(65,783,467)	(48,450,180)	(71,923,859)
18. Total hospital and medical (Lines 16 minus 17)	0	1,519,319,940	1,452,964,264	2,041,311,942
19. Non-health claims (net)				
20. Claims adjustment expenses, including $.....19,433,623 cost containment expenses		45,339,218	50,096,800	69,662,362
21. General administrative expenses		194,827,697	174,720,340	237,425,592
22. Increase in reserves for life and accident and health contracts (including $..........0 increase in reserves for life only)		(1,364,000)	552,000	(1,334,000)
23. Total underwriting deductions (Lines 18 through 22)	0	1,758,122,855	1,678,333,404	2,347,065,896
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	104,137,843	69,496,156	24,796,191
25. Net investment income earned		20,347,961	21,105,789	28,449,824
26. Net realized capital gains (losses) less capital gains tax of $..........0		1,944,359	186,920	(4,869,713)
27. Net investment gains or (losses) (Lines 25 plus 26)	0	22,292,320	21,292,709	23,580,111
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered $..........0) (amount charged off $..........0)]				
29. Aggregate write-ins for other income or expenses	0	(231,800)	254,498	72,087
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	126,198,363	91,043,363	48,448,389
31. Federal and foreign income taxes incurred	XXX	23,510,029	11,964,337	5,030,455
32. Net income (loss) (Lines 30 minus 31)	XXX	102,688,334	79,079,026	43,417,934

DETAILS OF WRITE-INS

	1	2	3	4
0601.	XXX			
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)	XXX	0	0	0
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above)	0	0	0	0
2901. Other Income		(231,800)	254,498	72,087
2902.				
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above)	0	(231,800)	254,498	72,087

STATEMENT OF REVENUE AND EXPENSES (Continued)

CAPITAL AND SURPLUS ACCOUNT	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
33. Capital and surplus prior reporting year	1,133,560,810	1,120,901,254	1,120,901,254
34. Net income or (loss) from Line 32	102,688,334	79,079,026	43,417,934
35. Change in valuation basis of aggregate policy and claim reserves			
36. Change in net unrealized capital gains (losses) less capital gains tax of $..........0	(7,611,906)	(12,165,936)	(19,635,895)
37. Change in net unrealized foreign exchange capital gain or (loss)			
38. Change in net deferred income tax	(12,537,304)	(6,828,000)	(33,819,000)
39. Change in nonadmitted assets	10,281,718	10,918,499	22,894,799
40. Change in unauthorized and certified reinsurance			
41. Change in treasury stock			
42. Change in surplus notes			
43. Cumulative effect of changes in accounting principles		(3,100,000)	(2,480,000)
44. Capital changes:			
44.1 Paid in			
44.2 Transferred from surplus (Stock Dividend)			
44.3 Transferred to surplus			
45. Surplus adjustments:			
45.1 Paid in			
45.2 Transferred to capital (Stock Dividend)			
45.3 Transferred from capital			
46. Dividends to stockholders			
47. Aggregate write-ins for gains or (losses) in surplus	(18,755,297)	0	2,281,718
48. Net change in capital and surplus (Lines 34 to 47)	74,065,545	67,903,589	12,659,556
49. Capital and surplus end of reporting period (Line 33 plus 48)	1,207,626,355	1,188,804,843	1,133,560,810

DETAILS OF WRITE-INS			
4701. Correction of Error			2,281,718
4702. Transition Impact - SSAP No. 92 Accounting for Postretirement Benefits Other Than Pensions	(18,755,297)		
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 thru 4703 plus 4798) (Line 47 above)	(18,755,297)	0	2,281,718

CASH FLOW

		1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
	CASH FROM OPERATIONS			
1.	Premiums collected net of reinsurance	1,874,740,922	1,758,026,929	2,377,713,353
2.	Net investment income	23,721,873	23,685,048	32,095,857
3.	Miscellaneous income		254,498	1,366,079
4.	Total (Lines 1 through 3)	1,898,462,795	1,781,966,475	2,411,175,289
5.	Benefit and loss related payments	1,561,173,829	1,425,714,919	1,983,673,164
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	245,727,640	245,192,402	307,601,850
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of $..........0 tax on capital gains (losses)			
10.	Total (Lines 5 through 9)	1,806,901,469	1,670,907,321	2,291,275,014
11.	Net cash from operations (Line 4 minus Line 10)	91,561,326	111,059,154	119,900,275
	CASH FROM INVESTMENTS			
12.	Proceeds from investments sold, matured or repaid:			
	12.1 Bonds	106,659,146	118,117,489	165,664,175
	12.2 Stocks	20,648,353	1,142,642	2,316,133
	12.3 Mortgage loans			
	12.4 Real estate			
	12.5 Other invested assets	1,052,157	876,853	1,645,936
	12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
	12.7 Miscellaneous proceeds	136,901	1,940,558	22,396
	12.8 Total investment proceeds (Lines 12.1 to 12.7)	128,496,557	122,077,542	169,648,640
13.	Cost of investments acquired (long-term only):			
	13.1 Bonds	141,617,152	133,499,418	198,025,481
	13.2 Stocks	38,156,530	48,985,167	55,224,148
	13.3 Mortgage loans			
	13.4 Real estate			
	13.5 Other invested assets	3,568,417	2,659,300	3,011,602
	13.6 Miscellaneous applications	313,243	1,194,943	
	13.7 Total investments acquired (Lines 13.1 to 13.6)	183,655,342	186,338,828	256,261,231
14.	Net increase (decrease) in contract loans and premium notes			
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(55,158,785)	(64,261,286)	(86,612,591)
	CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16.	Cash provided (applied):			
	16.1 Surplus notes, capital notes			
	16.2 Capital and paid in surplus, less treasury stock			
	16.3 Borrowed funds			
	16.4 Net deposits on deposit-type contracts and other insurance liabilities			
	16.5 Dividends to stockholders			
	16.6 Other cash provided (applied)	10,691,461	26,277,424	28,188,740
17.	Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	10,691,461	26,277,424	28,188,740
	RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18.	Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)	47,094,002	73,075,292	61,476,424
19.	Cash, cash equivalents and short-term investments:			
	19.1 Beginning of year	146,939,245	85,462,821	85,462,821
	19.2 End of period (Line 18 plus Line 19.1)	194,033,247	158,538,113	146,939,245

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001				

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
	Total	2 Individual	3 Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at End of:										
1. Prior Year	1,159,793	121,773	469,355	9,004	60,566	76,025				423,070
2. First Quarter	1,154,520	121,835	458,096	9,275	62,714	76,460				426,140
3. Second Quarter	1,150,456	122,534	458,147	9,405	62,919	75,920				421,531
4. Third Quarter	1,137,988	121,746	445,774	9,711	61,277	75,223				424,257
5. Current Year	0									
6. Current Year Member Months	10,353,760	1,098,576	4,095,578	84,663	560,080	683,189				3,831,674
Total Member Ambulatory Encounters for Period:										
7. Physician	2,996,683	475,000	2,402,966	115,538	75	1,524				1,580
8. Non-Physician	2,967,493	518,374	2,299,269	88,829	1,172	58,909				940
9. Total	5,964,176	993,374	4,702,235	204,367	1,247	60,433	0	0	0	2,520
10. Hospital Patient Days Incurred	131,274	11,498	90,411	29,345						20
11. Number of Inpatient Admissions	26,691	2,642	21,134	2,907						8
12. Health Premiums Written (a)	1,808,001,661	237,214,749	1,468,539,941	19,208,955	1,522,917	12,727,522				68,787,577
13. Life Premiums Direct	0									
14. Property/Casualty Premiums Written	0									
15. Health Premiums Earned	1,808,001,661	237,214,749	1,468,539,941	19,208,955	1,522,917	12,727,522				68,787,577
16. Property/Casualty Premiums Earned	0									
17. Amount Paid for Provision of Health Care Services	1,495,671,962	187,491,720	1,229,994,484	13,813,488	2,060,846	9,617,403		166		52,693,855
18. Amount Incurred for Provision of Health Care Services	1,453,536,473	182,898,143	1,192,272,137	13,924,022	2,051,244	9,663,760		166		52,727,001

(a) For health premiums written: Amount of Medicare Title XVIII exempt from state taxes or fees $..........0.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
0599999. Unreported Claims and Other Claim Reserves						225,184,000
0799999. Total Claims Unpaid						225,184,000
0899999. Accrued Medical Incentive Pool and Bonus Amounts						3,313,569

UNDERWRITING AND INVESTMENT EXHIBIT

Analysis of Claims Unpaid - Prior Year - Net of Reinsurance

	Claims Paid Year to Date		Liability End of Current Quarter		5	6
Line of Business	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid December 31 of Prior Year	4 On Claims Incurred During the Year	Claims Incurred in Prior Years (Columns 1 + 3)	Estimated Claim Reserve and Claim Liability December 31 of Prior Year
1. Comprehensive (hospital and medical)	218,749,578	1,260,927,890	(216,968)	219,022,658	218,532,610	243,869,533
2. Medicare Supplement	2,241,085	11,929,801	23,583	2,965,617	2,264,668	2,668,290
3. Dental only	841,276	8,954,598	7,751	1,236,180	849,027	1,055,642
4. Vision only	10,338	2,068,891	130	13,984	10,468	28,682
5. Federal Employees Health Benefits Plan					0	
6. Title XVIII - Medicare	166				166	
7. Title XIX - Medicaid					0	
8. Other health	2,056,491	50,637,364	1,970	2,129,095	2,058,461	2,090,253
9. Health subtotal (Lines 1 to 8)	223,898,934	1,334,518,544	(183,534)	225,367,534	223,715,400	249,712,400
10. Healthcare receivables (a)	866,343	1,839,215		20,700,408	866,343	5,493,138
11. Other non-health					0	
12. Medical incentive pools and bonus amounts	2,058,851	697,500	402,275	2,911,294	2,461,126	2,726,230
13. Totals (Lines 9-10+11+12)	225,091,442	1,333,376,829	218,741	207,578,420	225,310,183	246,945,492

(a) Excludes $..........0 loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies

A. Accounting Practices

Nature of Operations and Significant Accounting Policies

Medical Mutual of Ohio (the Company) is a mutual casualty insurance organization domiciled in Ohio. The Company provides accident and health plans to individuals and employer groups in Ohio and selected other states. The Company's principal operating subsidiaries are Medical Mutual Services, LLC (MMS), a wholly-owned subsidiary which provides claims processing and network access services to uninsured accident and health plans, third party administrators, and other insurance companies; Medical Health Insuring Corporation of Ohio (MHICO) and Carolina Care Plan, Inc (CCP), both wholly-owned, for-profit health maintenance organizations; Consumers Life Insurance Company (CLIC), a wholly-owned life and accident and health insurance company; and MMO Agency Management, LLC (MMAM), which is comprised of wholly and majority-owned brokerage companies.

The accompanying financial statements of the Company have been prepared in conformity with the National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual, as prescribed by the Ohio Department of Insurance (ODI).

Note 2 - Accounting Changes and Corrections of Errors

No significant change.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

At the end of June 2013, we announced our decision to exit the individual and group medical insurance business in the states of Indiana and Michigan and entered into an agreement with United Healthcare Services, Inc. to provide marketing assistance as they offer a renewal option for our customers.

Note 5 - Investments

D. Loan-Backed Securities

(1) Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or internal estimates.

(2) Not applicable.

(3) Not applicable.

(4) No loan backed securities held by the Company are considered impaired.

(5) Not applicable.

E. Repurchase Agreements and/or Securities Lending Transactions

(3)b Not applicable.

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments

No significant change.

Note 9 - Income Taxes

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 10 - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

Effective February 1, 2006, the Company entered into a quota share reinsurance agreement with CLIC to assume 80% of CLIC's health business written from that date. CLIC retains an expense allowance annually pursuant to the agreement. Under this agreement, the Company assumed $54,542,000 in premiums and $65,783,000 in claims during the first nine months of 2013.

Through September 30, 2013, commission expense includes $2,798,000 of net commissions paid to and retained by wholesale insurance agencies that are affiliates of the Company. For the comparable period in 2012, these commissions amounted to $2,756,000.

Note 11 - Debt

No significant change.

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A. Defined Benefit Plan

Effective January 1, 2013, the Company adopted SSAP No. 92, Accounting for Postretirement Benefits Other han Pensions, A Replacement of SSAP No. 14. The election was made to recognize the entire transition surplus impact of the new guidance as of January 1, 2013, in the amount of $15.0 million, net of tax.

Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 - Contingencies

No significant change.

Note 15 - Leases

No significant change.

Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change.

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

B. Transfer and Servicing of Financial Assets

(2b) Not applicable.
(4a) Not applicable.
(4b) Not applicable.

C. The Company has executed no wash sales through September 30, 2013.

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 20 - Fair Value

Note 20A

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at fair value				
Common Stock				
Industrial and Misc	$115,223,689	-	-	$115,223,689
Total Common Stocks	115,223,689	-	-	115,223,689
Other invested assets	8,396,946	-	-	8,396,946
Total assets at fair value	123,620,635	-	-	123,620,635
b. Liabilities at fair value				
Total liabilities at fair value	-	-	-	-

Note 20C

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	$853,693,214	$822,041,247	-	$853,693,214	-	-
Common Stock	115,223,689	115,223,689	115,223,689	-	-	-
Other invested assets	8,396,946	8,396,946	8,396,946	-	-	-

Note 21 - Other Items

No significant change.

Note 22 - Events Subsequent

No significant change.

Note 23 - Reinsurance

No significant change.

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 25 - Change in Incurred Claims and Claim Adjustment Expenses

Reserves for unpaid claims and claims adjustment expenses as of December 31, 2012 were $257.8 million. As of September 30, 2013, $231.3 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now $.2 million based on the estimation of unpaid claims and claim adjustment expenses at September 30, 2013. Therefore, there has been a $26.3 million favorable prior year development since December 31, 2012. Original estimates are increased or decreased as additional information becomes known regarding individual claims.

Note 26 - Intercompany Pooling Arrangements

No significant change.

Note 27 - Structured Settlements

Not applicable.

Note 28 - Health Care Receivables

Effective January 1, 2013, the Company amended its existing pharmacy benefit management services agreement with Express Scripts, Inc. (ESI) which included a change in the timing of pharmaceutical rebate payments. Previously, the Company carried immaterial rebate receivable balances, all of which were nonadmitted under SSAP No. 84, Certain Health Care Receivables and Receivables Under Government Insured Plans. Beginning in 2013, all rebates will be paid to the Company in arrears resulting in admitted and nonadmitted receivable balances, also in accordance with SSAP No. 84. Pharmaceutical rebate payments received from ESI are based on the guaranteed rebate minimums set forth in the management services agreement. Because of this methodology, a true-up of the actual rebates received by ESI from the pharmaceutical companies will be made in mid-2014. Any difference between the guaranteed minimums paid and the actual rebates earned will be reported to and, if necessary, settled with the Company in mid-2014.

Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Invoiced/ Confirmed	Actual Rebates Collected Within 90 Days of Invoicing/ Confirmation	Actual Rebates Collected Within 91 to 180 Days of Invoicing/ Confirmation	Actual Rebates Collected More Than 180 Days After Invoicing/ Confirmation
9/30/2013	10,878,000				
6/30/2013	10,800,000	11,091,370	1,088,601		
3/31/2013	10,950,000	10,693,296	10,693,296		

Note 29 - Participating Policies

No significant change.

Note 30 - Premium Deficiency Reserves

No significant change.

Note 31 - Anticipated Salvage and Subrogation

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES - GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change: ....

3.1 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]

3.2 If the response to 3.1 is yes, provide a brief description of those changes.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [ ] N/A [X]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2009......................

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2009......................

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 3/2/2011..........................

6.4 By what department or departments?
OHIO DEPARTMENT OF INSURANCE

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [X]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [X] No [ ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
Sections added regarding unlawful harrassment, political activities, social media guidelines and records management. Other sections amended for clarification.

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

PART 1 - FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: $...0

PART 1 - INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $................................0

13. Amount of real estate and mortgages held in short-term investments: $................................0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No [ ]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	$ 0	$ 0
14.22 Preferred Stock	$ 0	$ 0
14.23 Common Stock	$ 126,723,336	$ 145,352,395
14.24 Short-Term Investments	$ 0	$ 0
14.25 Mortgage Loans on Real Estate	$ 0	$ 0
14.26 All Other	$ 408,737,048	$ 376,971,604
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$ 535,460,384	$ 522,323,999
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	$ 0	$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $................................0
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $................................0
16.3 Total payable for securities lending reporting on the liability page: $................................0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
FIFTH THIRD BANK	5050 KINGSLEY DRIVE, CINCINNATI, OH 45263
PNC BANK	1900 EAST NINTH STREET, CLEVELAND, OH 44114

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
N/A	FIRST MERIT BANK	101 WEST PROSPECT AVE., CLEVELAND, OH 44115
N/A	PNC INSTITUTIONAL INVESTMENTS	1900 EAST NINTH STREET, CLEVELAND, OH 44114
124674	ANCORA ADVISORS	200 AUBURN DR. #300, CLEVELAND, OH 44122

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No [ ]

18.2 If no, list exceptions: ....

GENERAL INTERROGATORIES (continued)

PART 2 - HEALTH

1.	Operating Percentages:		
	1.1 A&H loss percent		82.6 %
	1.2 A&H cost containment percent		1.0 %
	1.3 A&H expense percent excluding cost containment expenses		11.9 %
2.1	Do you act as a custodian for health savings accounts?	Yes [ ]	No [X]
2.2	If yes, please provide the amount of custodial funds held as of the reporting date.		0
2.3	Do you act as an administrator for health savings accounts?	Yes [ ]	No [X]
2.4	If yes, please provide the amount of funds administered as of the reporting date.		0

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Is Insurer Authorized? (YES or NO)

NONE

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

	1	Direct Business Only							
		2	3	4	5	6	7	8	9
State, Etc.	Active Status	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums and Other Considerations	Property/ Casualty Premiums	Total Columns 2 through 7	Deposit-Type Contracts
1. Alabama AL	N							0	
2. Alaska AK	N							0	
3. Arizona AZ	N							0	
4. Arkansas AR	N							0	
5. California CA	N							0	
6. Colorado CO	N							0	
7. Connecticut CT	N							0	
8. Delaware DE	N							0	
9. District of Columbia DC	N							0	
10. Florida FL	N							0	
11. Georgia GA	L							0	
12. Hawaii HI	N							0	
13. Idaho ID	N							0	
14. Illinois IL	N							0	
15. Indiana IN	L	58,809,075						58,809,075	
16. Iowa IA	N							0	
17. Kansas KS	N							0	
18. Kentucky KY	N							0	
19. Louisiana LA	N							0	
20. Maine ME	N							0	
21. Maryland MD	N							0	
22. Massachusetts MA	N							0	
23. Michigan MI	L	1,571,266						1,571,266	
24. Minnesota MN	N							0	
25. Mississippi MS	N							0	
26. Missouri MO	N							0	
27. Montana MT	N							0	
28. Nebraska NE	N							0	
29. Nevada NV	N							0	
30. New Hampshire NH	N							0	
31. New Jersey NJ	N							0	
32. New Mexico NM	N							0	
33. New York NY	N							0	
34. North Carolina NC	L							0	
35. North Dakota ND	N							0	
36. Ohio OH	L	1,747,621,320						1,747,621,320	
37. Oklahoma OK	N							0	
38. Oregon OR	N							0	
39. Pennsylvania PA	L							0	
40. Rhode Island RI	N							0	
41. South Carolina SC	L							0	
42. South Dakota SD	N							0	
43. Tennessee TN	N							0	
44. Texas TX	N							0	
45. Utah UT	N							0	
46. Vermont VT	N							0	
47. Virginia VA	N							0	
48. Washington WA	N							0	
49. West Virginia WV	L							0	
50. Wisconsin WI	L							0	
51. Wyoming WY	N							0	
52. American Samoa AS	N							0	
53. Guam GU	N							0	
54. Puerto Rico PR	N							0	
55. U.S. Virgin Islands VI	N							0	
56. Northern Mariana Islands MP	N							0	
57. Canada CAN	N							0	
58. Aggregate Other alien OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	1,808,001,661	0	0	0	0	0	1,808,001,661	0
60. Reporting entity contributions for Employee Benefit Plans	XXX							0	
61. Total (Direct Business)	(a) 9	1,808,001,661	0	0	0	0	0	1,808,001,661	0

DETAILS OF WRITE-INS

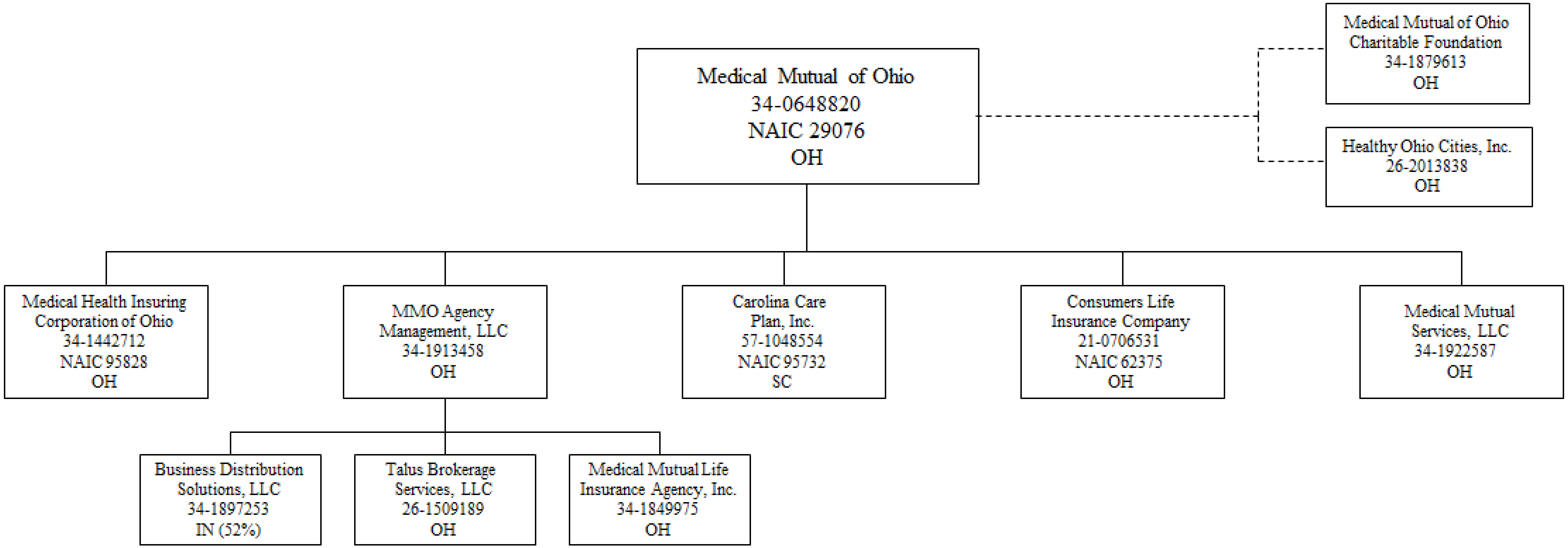
	2	3	4	5	6	7	8	9
58001.							0	
58002.							0	
58003.							0	
58998. Summary of remaining write-ins for line 58 from overflow page	0	0	0	0	0	0	0	0
58999. Total (Lines 58001 thru 58003 plus 58998) (Line 58 above)	0	0	0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domicilied RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;
(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



As of 9/30/13

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 Federal ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
Members														
0730	Medical Mutual of Ohio	29076	34-0648820				Medical Mutual of Ohio	OH	UDP	Medical Mutual of Ohio	Ownership	100.000	Medical Mutual of Ohio	
0730	Medical Mutual of Ohio	95828	34-1442712				Medical Health Insuring Corporation of Ohio	OH	DS	Medical Mutual of Ohio	Ownership	100.000	Medical Mutual of Ohio	
0730	Medical Mutual of Ohio	95732	57-1048554				Carolina Care Plan, Inc.	SC	DS	Medical Mutual of Ohio	Ownership	100.000	Medical Mutual of Ohio	
0730	Medical Mutual of Ohio	62375	21-0706531				Consumers Life Insurance Company	OH	DS	Medical Mutual of Ohio	Ownership	100.000	Medical Mutual of Ohio	
	Medical Mutual of Ohio		34-1922587				Medical Mutual Services, LLC	OH	DS	Medical Mutual of Ohio	Ownership	100.000	Medical Mutual of Ohio	
	Medical Mutual of Ohio		34-1913458				MMO Agency Management, LLC	OH	DS	Medical Mutual of Ohio	Ownership	100.000	Medical Mutual of Ohio	
	Medical Mutual of Ohio		34-1897253				Business Distribution Solutions, LLC	IN	DS	MMO Agency Management, LLC	Ownership	52.000	Medical Mutual of Ohio	
	Medical Mutual of Ohio		26-1509189				Talus Brokerage Services, LLC	OH	DS	MMO Agency Management, LLC	Ownership	100.000	Medical Mutual of Ohio	
	Medical Mutual of Ohio		34-1849975				Medical Mutual Life Insurance Agency, Inc.	OH	DS	MMO Agency Management, LLC	Ownership	100.000	Medical Mutual of Ohio	

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

1.

Bar Code:

29076201336500003

Overflow Page for Write-Ins

Additional Write-ins for Assets:

	Current Statement Date			4
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	December 31, Prior Year Net Admitted Assets
2504. Prepaid Assets	8,527,995	8,527,995	0	
2505. Other Receivables	1,763,069	920,529	842,540	
2597. Summary of remaining write-ins for Line 25	10,291,064	9,448,524	842,540	0

Additional Write-ins for Liabilities:

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
2304. Reinsurance Payable	7,402,822		7,402,822	7,376,412
2305. Unclaimed Funds	4,073,382		4,073,382	2,929,895
2306. Guaranty Fund Liability	5,400,000		5,400,000	7,100,000
2397. Summary of remaining write-ins for Line 23	16,876,204	0	16,876,204	17,406,307

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8)	0	0
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)	0	0

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	0	0
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	426,705,241	450,598,813
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition	3,568,417	3,011,602
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)	(32,228,832)	(25,259,238)
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals	1,052,157	1,645,936
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	396,992,669	426,705,241
12. Deduct total nonadmitted amounts	25,006,081	25,814,460
13. Statement value at end of current period (Line 11 minus Line 12)	371,986,588	400,890,781

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	1,012,574,911	930,234,128
2. Cost of bonds and stocks acquired	179,773,682	253,249,629
3. Accrual of discount	336,377	583,380
4. Unrealized valuation increase (decrease)	24,616,926	5,623,343
5. Total gain (loss) on disposals	4,648,138	1,265,002
6. Deduct consideration for bonds and stocks disposed of	127,307,499	167,980,308
7. Deduct amortization of premium	3,705,217	4,375,148
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized	2,703,779	6,025,115
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	1,088,233,539	1,012,574,911
11. Deduct total nonadmitted amounts	5,240,655	5,231,735
12. Statement value at end of current period (Line 10 minus Line 11)	1,082,992,884	1,007,343,176

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a)	816,916,930	101,369,759	28,416,384	(712,299)	830,738,992	816,916,930	889,158,006	822,038,765
2. Class 2 (a)	83,373,871		500,000	(328,735)	80,225,372	83,373,871	82,545,136	76,477,243
3. Class 3 (a)								
4. Class 4 (a)								
5. Class 5 (a)								
6. Class 6 (a)								
7. Total Bonds	900,290,801	101,369,759	28,916,384	(1,041,034)	910,964,364	900,290,801	971,703,142	898,516,008
PREFERRED STOCK								
8. Class 1								
9. Class 2								
10. Class 3								
11. Class 4								
12. Class 5								
13. Class 6								
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	900,290,801	101,369,759	28,916,384	(1,041,034)	910,964,364	900,290,801	971,703,142	898,516,008

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:
NAIC 1 $..........0; NAIC 2 $..........0; NAIC 3 $..........0; NAIC 4 $..........0; NAIC 5 $..........0; NAIC 6 $..........0.

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999	149,661,893	XXX	149,661,893	10,371	

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	108,088,558	78,627,876
2. Cost of short-term investments acquired	41,573,335	144,433,576
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals		114,972,894
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	149,661,893	108,088,558
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	149,661,893	108,088,558

Sch. DB-Pt A-Verification
NONE

Sch. DB-Pt B-Verification
NONE

Sch. DB-Pt C-Sn 1
NONE

Sch. DB-Pt C-Sn 2
NONE

Sch. DB-Verification
NONE

Sch. E-Verification
NONE

Sch. A-Pt 2
NONE

Sch. A-Pt 3
NONE

Sch. B-Pt 2
NONE

Sch. B-Pt 3
NONE

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1	2	Location		5	6	7	8	9	10	11	12	13
		3	4									
CUSIP Identification	Name or Description	City	State	Name of Vendor or General Partner	NAIC Desig-nation	Date Originally Acquired	Type and Strategy	Actual Cost at Time of Acquisition	Additional Investment Made After Acquisition	Amount of Encumbrances	Commitment for Additional Investment	Percentage of Ownership
Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated												
000000 00 0	Foundation Medical Partners III, LP	Rowayton	CT	Foundation Medical Managers III, LLC		07/14/2008	1		725,000		1,187,500	8.3
1599999. Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated								0	725,000	0	1,187,500	XXX
Any Other Class of Asset - Unaffiliated												
000000 00 0	Employee Benefit Trust	Cleveland	OH	Fidelity Management Trust		07/01/2004			194,744			100.0
3799999. Total - Any Other Class of Asset - Unaffiliated								0	194,744	0	0	XXX
3999999. Subtotal - Unaffiliated								0	919,744	0	1,187,500	XXX
4199999. Totals								0	919,744	0	1,187,500	XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1	2	Location		5	6	7	8	Changes in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in B./A.C.V (9+10-11+12)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income
Any Other Class of Asset - Unaffiliated																			
000000 00 0	Employee Benefit Trust	Cleveland	OH	Participant Withdrawals	0701/2004	09/30/2013						0		70,235	70,235			0	
3799999. Total - Any Other Class of Asset - Unaffiliated							0	0	0	0	0	0	0	70,235	70,235	0	0	0	0
3999999. Subtotal - Unaffiliated							0	0	0	0	0	0	0	70,235	70,235	0	0	0	0
4199999. Totals							0	0	0	0	0	0	0	70,235	70,235	0	0	0	0

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - U.S. Special Revenue and Special Assessment									
3137EA DR 7	FEDERAL HOME LOAN MORTGAGE CORP.		08/16/2013	PERSHING LLC.		4,653,696	5,000,000	25,781	1
313373 UU 4	FEDERAL HOME LOAN BANKS.		07/30/2013	ANCORA SECURITIES.		4,226,920	4,000,000	16,194	1
313379 DT 3	FEDERAL HOME LOAN BANKS.		08/06/2013	PIPER JAFFRAY INC.		986,620	1,000,000	2,049	1
313383 EB 3	FEDERAL HOME LOAN BANKS.		09/05/2013	ANCORA SECURITIES.		2,763,240	3,000,000	13,953	1
3135G0 NT 6	FEDERAL NATIONAL MORTGAGE ASSN.		07/30/2013	ANCORA SECURITIES.		4,860,000	5,000,000	36,125	1
3136G1 RV 3	FEDERAL NATIONAL MORTGAGE ASSN.		07/24/2013	NATIONAL FINANCIAL SERVIC.		1,000,000	1,000,000		1
3137B0 TR 5	FHLMC REMIC SERIES 4186 MC.		07/22/2013	ANCORA SECURITIES.		7,101,613	7,414,409	7,414	1
3137B2 BC 3	FHLMC REMIC SERIES 4199 YV.		08/27/2013	ANCORA SECURITIES.		7,028,277	6,794,708	19,157	1
3137B1 XV 9	FHLMC REMIC SERIES 4204 HA.		09/25/2013	ANCORA SECURITIES.		5,811,805	5,784,689	11,650	1
46246K J2 9	IOWA FINANCE AUTHORITY REVENUE.		09/17/2013	ANCORA SECURITIES.		1,812,282	1,750,000	8,885	1FE
469487 CN 0	JACKSONVILLE FLORIDA SPL REVENUE.		09/13/2013	ANCORA SECURITIES.		2,397,890	2,200,000	50,926	1FE
3199999.	Total - Bonds - U.S. Special Revenue & Special Assessments.					42,642,343	42,943,807	192,134	XXX
Bonds - Industrial and Miscellaneous									
055451 AU 2	BHP BILLITON FIN USA LTD.	R	09/25/2013	GOLDMAN, SACHS & CO.		1,098,647	1,100,000		1FE
05574L PT 9	BNP PARIBAS / BNP PARIBAS US.	R	08/13/2013	BNP PSRIBAS SECURITIES.		998,140	1,000,000		1FE
084670 BC 1	BERKSHIRE HATHAWAY INC DEL.		08/08/2013	CITIGROUP GLOBAL MARKET.		1,565,520	1,500,000	27,812	1FE
459200 HP 9	INTERNATIONAL BUSINESS MACHINES.		08/08/2013	DEUTSCHE BANK SECURITIES.		1,495,710	1,500,000	1,688	1FE
46625H HS 2	JPMORGAN CHASE & CO.		08/20/2013	PIPER JAFFRAY INC.		1,048,220	1,000,000	3,789	1FE
579780 AJ 6	MCCORMICK & CO INC.		08/14/2013	WACHOVIA CAPTIAL MARKETS.		991,380	1,000,000		1FE
3899999.	Total - Bonds - Industrial & Miscellaneous.					7,197,617	7,100,000	33,289	XXX
8399997.	Total - Bonds - Part 3.					49,839,960	50,043,807	225,423	XXX
8399999.	Total - Bonds.					49,839,960	50,043,807	225,423	XXX
Common Stocks - Industrial and Miscellaneous									
G5785G 10 7	MALLINCKRODT PUB LTD CO.	R	08/22/2013	VARIOUS.	10,000.000	423,127	XXX		L
63905A 10 1	NATUZZI S P A.	R	09/30/2013	PERBON FINANCIAL PRODUCTS.	10,100.000	19,891	XXX		L
01167P 10 1	ALASKA COMMUNICATIONS SYS GRP.		08/01/2013	VARIOUS.	27,500.000	59,296	XXX		L
014491 10 4	ALEXANDER & BALDWIN INC.		08/21/2013	ANCORA SECURITIES.	2,750.000	106,546	XXX		L
00164V 10 3	AMC NETWORKS INC.		08/21/2013	ANCORA SECURITIES.	3,400.000	221,717	XXX		L
037833 10 0	APPLE INC.		09/04/2013	ANCORA SECURITIES.	300.000	149,758	XXX		L
043632 10 8	ASCENT CAP GROUP INC.		08/23/2013	ANCORA SECURITIES.	4,300.000	326,386	XXX		L
05366Y 10 2	AVIAT NETWORKS INC.		09/11/2013	PERBON FINANCIAL PRODUCTS.	4,000.000	10,440	XXX		L
073730 10 3	BEAM INC.		08/21/2013	SIDOTI & CO.	4,200.000	261,035	XXX		L
09238E 10 4	BLACKHAWK NETWORK HLDGS INC.		08/29/2013	ANCORA SECURITIES.	13,200.000	331,006	XXX		L
056032 10 5	BTU INTL INC.		07/22/2013	ANCORA SECURITIES.	930.000	2,530	XXX		L
12811R 10 4	CALAMOS ASSET MGMT INC.		09/27/2013	ANCORA SECURITIES.	10,000.000	99,841	XXX		L
171871 10 6	CINCINNATI BELL INC.		09/16/2013	ANCORA SECURITIES.	67,547.000	207,727	XXX		L
19421R 20 0	COLLECTORS UNIVERSE INC.		09/13/2013	ANCORA SECURITIES.	3,500.000	54,334	XXX		L
221006 10 9	CORVEL CORP.		08/23/2013	ANCORA SECURITIES.	5,000.000	170,325	XXX		L
22149T 10 2	COSTA INC.		08/23/2013	ANCORA SECURITIES.	5,000.000	97,905	XXX		L

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
227483 10 4	CROSS CTRY HEALTHCARE INC.		09/16/2013	IVY SECURITES INC.	10,000.000	58,516	XXX		L
12646R 10 5	CST BRANDS INC.		08/23/2013	ANCORA SECURITIES	5,000.000	158,333	XXX		L
234264 10 9	DAKTRONICS INC.		09/19/2013	VARIOUS	20,000.000	223,247	XXX		L
242370 20 3	DEAN FOODS CO.		08/21/2013	ANCORA SECURITIES	11,000.000	107,713	XXX		L
253827 10 9	DIGIRAD CORP.		08/01/2013	ANCORA SECURITIES	2,500.000	6,100	XXX		L
25400B 10 8	DIGITAL GENERATION INC.		08/23/2013	ANCORA SECURITIES	19,000.000	227,703	XXX		L
285229 10 0	ELECTRO SCIENTIFIC INDS INC.		09/18/2013	ANCORA SECURITIES	10,551.000	121,738	XXX		L
291087 20 3	EMERSON RADIO CORP.		09/27/2013	VARIOUS	20,000.000	37,106	XXX		L
313549 40 4	FEDERAL MOGUL CORP.		08/23/2013	ANCORA SECURITIES	6,000.000	97,803	XXX		L
346233 10 9	FORESTAR GROUP INC.		08/22/2013	VARIOUS	11,000.000	218,624	XXX		L
357023 10 0	FREIGHTCAR AMER INC.		09/10/2013	ANCORA SECURITIES	4,000.000	72,600	XXX		L
413833 10 4	HARRIS & HARRIS GROUP INC.		09/25/2013	VARIOUS	56,900.000	177,836	XXX		L
416196 10 3	HARTE-HANKS INC.		08/23/2013	ANCORA SECURITIES	24,000.000	221,289	XXX		L
42805E 30 6	HESKA CORP.		07/02/2013	VARIOUS	5,000.000	34,052	XXX		L
432589 10 9	HILLSHIRE BRANDS CO.		08/21/2013	IVY SECURITES INC.	6,500.000	211,324	XXX		L
449172 10 5	HYSTER YALE MATLS HANDLING INC.		08/21/2013	BARCLAYS CAPITAL INC.	3,500.000	259,923	XXX		L
45245A 10 7	IMATION CORP.		09/13/2013	VARIOUS	10,000.000	42,546	XXX		L
45685E 10 6	ING U S INC.		08/23/2013	ANCORA SECURITIES	7,000.000	212,634	XXX		L
47012E 10 6	JAKKS PAC INC.		07/18/2013	ANCORA SECURITIES	2,500.000	17,492	XXX		L
501014 10 4	KRISPY KREME DOUGHNUTS INC.		08/21/2013	BARCLAYS CAPITAL INC.	5,000.000	109,241	XXX		L
53071M 10 4	LIBERTY INTERACTIVE CORP.		08/21/2013	ANCORA SECURITIES	15,000.000	335,209	XXX		L
531229 10 2	LIBERTY MEDIA CORP.		08/21/2013	ANCORA SECURITIES	1,500.000	208,911	XXX		L
53071M 88 0	LIBERTY VENTURES		08/27/2013	ANCORA SECURITIES	5,000.000	417,650	XXX		L
533535 10 0	LINCOLN EDUCATIONAL SERVICES CORP.		09/17/2013	VARIOUS	7,500.000	37,865	XXX		L
563420 10 8	MANITEX INTL INC.		08/26/2013	ANCORA SECURITIES	11,000.000	113,545	XXX		L
57686G 10 5	MATSON INC.		08/21/2013	SIDOTI & CO.	4,000.000	114,580	XXX		L
587118 10 0	MENS WEARHOUSE INC.		08/21/2013	SIDOTI & CO.	3,000.000	115,487	XXX		L
629579 10 3	NACCO INDS INC.		08/21/2013	SIDOTI & CO.	2,000.000	113,981	XXX		L
714157 10 4	PERMA-FIX ENVIRONMENTAL SERVICES		07/22/2013	IVY SECURITES INC.	30,000.000	14,099	XXX		L
73172K 10 4	POLYCOM INC.		09/13/2013	ANCORA SECURITIES	10,090.000	110,363	XXX		L
737446 10 4	POST HLDGS INC.		08/22/2013	VARIOUS	6,400.000	281,670	XXX		L
74733V 10 0	QEP RES INC.		08/21/2013	IVY SECURITES INC.	4,000.000	109,790	XXX		L
75605L 70 8	REALNETWORKS INC.		09/26/2013	IVY SECURITES INC.	10,000.000	77,380	XXX		L
779287 10 1	ROUSE PPTYS INC.		08/22/2013	VARIOUS	5,500.000	106,670	XXX		L
794093 10 4	SALEM COMMUNICATIONS CORP.		08/23/2013	ANCORA SECURITIES	10,000.000	77,674	XXX		L
810186 10 6	SCOTTS MIRACLE GRO CO.		08/21/2013	ANCORA SECURITIES	4,000.000	217,040	XXX		L
846822 10 4	SPARTAN STORES INC.		08/26/2013	ANCORA SECURITIES	13,000.000	273,232	XXX		L
853790 10 3	STANDARD PARKING CORP.		08/21/2013	SIDOTI & CO.	10,000.000	223,616	XXX		L
854305 20 8	STANLEY FURNITURE CO INC.		09/20/2013	IVY SECURITES INC.	5,929.000	21,441	XXX		L
85571Q 10 2	STARZ		08/21/2013	IVY SECURITES INC.	4,500.000	109,406	XXX		L

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
871543 10 4	SYMMETRICOM INC		09/11/2013	VARIOUS	16,270.000	79,617	XXX		L
87240R 10 7	TFS FINL CORP		08/23/2013	VARIOUS	30,000.000	337,886	XXX		L
00101J 10 6	THE ADT CORPORATION		08/21/2013	ANCORA SECURITIES	5,000.000	205,063	XXX		L
891826 10 9	TOWER INTL INC		08/21/2013	ANCORA SECURITIES	5,500.000	111,215	XXX		L
896047 50 3	TRIBUNE CO NEW		08/21/2013	SIDOTI & CO	9,000.000	543,780	XXX		L
896945 20 1	TRIPADVISOR INC		09/24/2013	ANCORA SECURITIES	4,490.000	322,590	XXX		L
911268 10 0	UNITED ONLINE INC		08/21/2013	ANCORA SECURITIES	13,500.000	108,535	XXX		L
917488 10 8	UTAH MED PRODS INC		08/23/2013	ANCORA SECURITIES	2,200.000	110,594	XXX		L
91851C 20 1	VAALCO ENERGY INC		09/12/2013	ANCORA SECURITIES	7,500.000	41,840	XXX		L
92552R 40 6	VIAD CORP		08/22/2013	IVY SECURITES INC	20,000.000	468,097	XXX		L
925811 10 1	VICON INDS INC		07/01/2013	ANCORA SECURITIES	1,300.000	3,378	XXX		L
93564A 10 0	WARREN RES INC		08/14/2013	ANCORA SECURITIES	7,500.000	22,778	XXX		L
959802 10 9	WESTERN UN CO		08/21/2013	IVY SECURITES INC	12,500.000	224,670	XXX		L
966244 10 5	WHITEWAVE FOODS CO		08/21/2013	IVY SECURITES INC	6,000.000	117,265	XXX		L
968223 20 6	WILEY JOHN & SONS INC		08/21/2013	BARCLAYS CAPITAL INC	5,000.000	219,877	XXX		L
98884U 10 8	ZAGG INC		09/09/2013	ANCORA SECURITIES	6,000.000	30,373	XXX		L
98944B 10 8	ZEP INC		08/27/2013	VARIOUS	16,000.000	227,706	XXX		L
9099999.	Total - Common Stocks - Industrial & Miscellaneous					11,412,557	XXX	0	XXX
Common Stocks - Mutual Funds									
317609 35 2	GRANDEUR PEAK INTERNATIONAL OPPORTUNITY FUND		08/09/2013	MATRIX CLEARING CORP	830,564.784	2,500,000	XXX		L
55273E 82 2	MFS INTERNATIONAL VALUE FUND		08/14/2013	MATRIX CLEARING CORP	130,980.861	4,380,000	XXX		L
9299999.	Total - Common Stocks - Mutual Funds					6,880,000	XXX	0	XXX
9799997.	Total - Common Stocks - Part 3					18,292,557	XXX	0	XXX
9799999.	Total - Common Stocks					18,292,557	XXX	0	XXX
9899999.	Total - Preferred and Common Stocks					18,292,557	XXX	0	XXX
9999999.	Total - Bonds, Preferred and Common Stocks					68,132,517	XXX	225,423	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues: 0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Government																					
38376G TM 2	GNMA REMIC TRUST 2010-102 AD		09/16/2013	PRINCIPAL RECEIPT		1,375,641	1,375,641	1,436,126	1,408,353		(32,712)		(32,712)		1,375,641			0	32,983	11/16/2039	1
38377L ZD 3	GNMA REMIC TRUST 2010-127 NH		09/20/2013	PRINCIPAL RECEIPT		142,843	142,843	147,530	145,204		(2,361)		(2,361)		142,843			0	2,856	02/20/2039	1
38376X L5 0	GNMA REMIC TRUST 2010-31 AP		09/20/2013	PRINCIPAL RECEIPT		161,701	161,701	169,445	166,150		(4,449)		(4,449)		161,701			0	4,258	08/20/2038	1
38376X W9 0	GNMA REMIC TRUST 2010-51 NC		09/20/2013	PRINCIPAL RECEIPT		142,408	142,408	148,083	145,814		(3,406)		(3,406)		142,408			0	3,293	04/20/2039	1
38375G 2G 5	GNMA REMIC TRUST 2012-102 DN		09/20/2013	PRINCIPAL RECEIPT		104,695	104,695	104,646	104,651		44		44		104,695			0	1,050	09/20/2040	1
38378E JV 6	GNMA REMIC TRUST 2012-65 MJ		09/20/2013	PRINCIPAL RECEIPT		118,997	118,997	123,199	122,893		(3,896)		(3,896)		118,997			0	1,989	07/20/2039	1
38375G DJ 7	GNMA REMIC TRUST 2012-73 NK		09/20/2013	PRINCIPAL RECEIPT		397,572	397,572	417,078	415,409		(17,837)		(17,837)		397,572			0	7,615	08/20/2040	1
0599999.	Total - Bonds - U.S. Government					2,443,857	2,443,857	2,546,107	2,508,474	0	(64,617)	0	(64,617)	0	2,443,857	0	0	0	54,044	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment																					
31331T BW 0	FEDERAL FARM CREDIT BANKS		08/26/2013	MATURITY		1,000,000	1,000,000	962,800	995,975		4,025		4,025		1,000,000			0	49,200	08/26/2013	1
3133XG J9 6	FEDERAL HOME LOAN BANKS		09/13/2013	MATURITY		1,000,000	1,000,000	1,009,230	1,001,127		(1,127)		(1,127)		1,000,000			0	52,500	09/13/2013	1
3133XR X8 8	FEDERAL HOME LOAN BANKS		09/06/2013	MATURITY		1,000,000	1,000,000	999,500	999,924		75		75		1,000,000			0	40,000	09/06/2013	1
31359M SL 8	FEDERAL NATIONAL MORTGAGE ASSN		07/17/2013	MATURITY		3,000,000	3,000,000	2,875,497	2,988,493		11,507		11,507		3,000,000			0	131,250	07/17/2013	1
31394H QL 8	FHLMC REMIC SERIES 2663 VQ		09/15/2013	PRINCIPAL RECEIPT		1,421,351	1,421,351	1,456,440	1,432,297		(10,946)		(10,946)		1,421,351			0	49,628	06/15/2022	1
31394R ZY 8	FHLMC REMIC SERIES 2769 LB		09/15/2013	PRINCIPAL RECEIPT		407,914	407,914	413,523	410,243		(2,329)		(2,329)		407,914			0	10,858	03/15/2019	1
31394T YQ 2	FHLMC REMIC SERIES 2770 AU		09/15/2013	PRINCIPAL RECEIPT		214,385	214,385	219,677	216,641		(2,257)		(2,257)		214,385			0	5,775	03/15/2019	1
31394W FL 7	FHLMC REMIC SERIES 2773 TB		09/15/2013	PRINCIPAL RECEIPT		273,022	273,022	275,582	274,111		(1,088)		(1,088)		273,022			0	7,249	04/15/2019	1
31394W YN 2	FHLMC REMIC SERIES 2783 AY		09/15/2013	PRINCIPAL RECEIPT		254,972	254,972	258,996	256,505		(1,534)		(1,534)		254,972			0	6,702	04/15/2019	1
31394W GS 1	FHLMC REMIC SERIES 2784 HJ		09/15/2013	PRINCIPAL RECEIPT		369,273	369,273	369,504	369,369		(96)		(96)		369,273			0	9,985	04/15/2019	1
31394W AF 5	FHLMC REMIC SERIES 2786 BC		09/15/2013	PRINCIPAL RECEIPT		260,270	260,270	268,647	263,914		(3,644)		(3,644)		260,270			0	6,902	04/15/2019	1
31394Y F3 3	FHLMC REMIC SERIES 2796 LB		09/15/2013	PRINCIPAL RECEIPT		146,892	146,892	150,702	149,189		(2,298)		(2,298)		146,892			0	4,366	05/15/2024	1
31395F H7 2	FHLMC REMIC SERIES 2855 WN		09/15/2013	PRINCIPAL RECEIPT		271,907	271,907	291,025	283,819		(11,912)		(11,912)		271,907			0	7,053	09/15/2019	1
31395J MW 3	FHLMC REMIC SERIES 2892 DB		09/15/2013	PRINCIPAL RECEIPT		241,803	241,803	244,221	242,766		(963)		(963)		241,803			0	7,183	11/15/2019	1
31395U RE 3	FHLMC REMIC SERIES 2977 AY		09/15/2013	PRINCIPAL RECEIPT		357,765	357,765	370,623	365,394		(7,629)		(7,629)		357,765			0	10,847	05/15/2025	1
31396E TN 6	FHLMC REMIC SERIES 3075 PD		09/15/2013	PRINCIPAL RECEIPT		546,265	546,265	563,847	552,135		(5,870)		(5,870)		546,265			0	19,969	01/15/2035	1
31396H 6E 4	FHLMC REMIC SERIES 3102 PJ		09/15/2013	PRINCIPAL RECEIPT		871,776	871,776	902,152	878,941		(7,165)		(7,165)		871,776			0	28,786	05/15/2034	1
3137GA T2 3	FHLMC REMIC SERIES 3742 EA		09/15/2013	PRINCIPAL RECEIPT		256,742	256,742	263,281	261,775		(5,033)		(5,033)		256,742			0	5,722	10/15/2024	1
3137A2 SC 6	FHLMC REMIC SERIES 3759 AB		09/15/2013	PRINCIPAL RECEIPT		252,086	252,086	261,342	257,074		(4,988)		(4,988)		252,086			0	6,564	02/15/2029	1
3137AB KB 6	FHLMC REMIC SERIES 3874 PD		09/15/2013	PRINCIPAL RECEIPT		383,604	383,604	398,109	393,931		(10,327)		(10,327)		383,604			0	7,549	06/15/2038	1
3137AE LS 2	FHLMC REMIC SERIES 3910 JC		09/15/2013	PRINCIPAL RECEIPT		196,961	196,961	201,331	201,160		(4,199)		(4,199)		196,961			0	2,564	12/15/2037	1
3137AG 6Y 1	FHLMC REMIC SERIES 3934 EL		09/15/2013	PRINCIPAL RECEIPT		236,585	236,585	244,773	244,016		(7,431)		(7,431)		236,585			0	4,627	12/15/2025	1
3137AJ 6A 7	FHLMC REMIC SERIES 3955 BA		09/15/2013	PRINCIPAL RECEIPT		172,465	172,465	181,088	179,847		(7,382)		(7,382)		172,465			0	4,021	02/15/2041	1
3137AJ HW 7	FHLMC REMIC SERIES 3960 YH		09/15/2013	PRINCIPAL RECEIPT		201,911	201,911	206,328	206,158		(4,247)		(4,247)		201,911			0	2,664	08/15/2040	1
3137AL TS 8	FHLMC REMIC SERIES 3996 GN		09/15/2013	PRINCIPAL RECEIPT		135,942	135,942	141,295	140,509		(4,566)		(4,566)		135,942			0	2,696	11/15/2038	1
3137AP BF 6	FHLMC REMIC SERIES 4033 ED		09/15/2013	PRINCIPAL RECEIPT		120,755	120,755	126,189	126,025		(5,270)		(5,270)		120,755			0	1,962	10/15/2036	1

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Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
3137AS Q8 0	FHLMC REMIC SERIES 4088 PA		09/15/2013	PRINCIPAL RECEIPT		81,284	81,284	85,145	84,939		(3,655)		(3,655)		81,284			0	1,629	12/15/2040	1
3137AV 2S 5	FHLMC REMIC SERIES 4122 PA		09/15/2013	PRINCIPAL RECEIPT		161,382	161,382	162,467	162,450		(1,068)		(1,068)		161,382			0	1,620	02/15/2042	1
3137AW 6M 2	FHLMC REMIC SERIES 4125 KP		09/15/2013	PRINCIPAL RECEIPT		94,582	94,582	97,966	97,957		(3,375)		(3,375)		94,582			0	1,562	05/15/2041	1
3137AW VA 0	FHLMC REMIC SERIES 4145 UC		09/15/2013	PRINCIPAL RECEIPT		155,996	155,996	155,265			731		731		155,996			0	1,003	12/15/2027	1
3137B0 TR 5	FHLMC REMIC SERIES 4186 MC		09/15/2013	PRINCIPAL RECEIPT		76,261	76,261	73,043			3,217		3,217		76,261			0	143	03/15/2028	1
3137B1 MQ 2	FHLMC REMIC SERIES 4198 QD		09/15/2013	PRINCIPAL RECEIPT		113,671	113,671	115,181			(1,510)		(1,510)		113,671			0	570	01/15/2033	1
3137B2 BC 3	FHLMC REMIC SERIES 4199 YV		09/15/2013	PRINCIPAL RECEIPT		35,302	35,302	36,516			(1,213)		(1,213)		35,302			0	103	05/15/2026	1
31416W 6C 1	FN AB1766		09/25/2013	PRINCIPAL RECEIPT		166,336	166,336	172,782	172,078		(5,742)		(5,742)		166,336			0	3,751	11/01/2025	1
31415Y LW 7	FNMA PASS-THRU POOL 993241		09/25/2013	PRINCIPAL RECEIPT		277,516	277,516	291,826	290,530		(13,014)		(13,014)		277,516			0	7,565	06/01/2024	1
31381Q BW 5	FNMA PASS-THRU 467253		09/25/2013	PRINCIPAL RECEIPT		18,892	18,892	19,046	18,990		(98)		(98)		18,892			0	462	02/01/2018	1
31417Y GK 7	FNMA PASS-THRU 15 YEAR		09/25/2013	PRINCIPAL RECEIPT		305,486	305,486	315,605	314,159		(8,673)		(8,673)		305,486			0	8,076	10/01/2024	1
31393Y YS 8	FNMA REMIC TRUST 2004-45 VB		09/25/2013	PRINCIPAL RECEIPT		807,449	807,449	818,804	809,337		(1,888)		(1,888)		807,449			0	23,759	10/25/2028	1
31394B MD 3	FNMA REMIC TRUST 2004-89 AQ		09/25/2013	PRINCIPAL RECEIPT		493,329	493,329	504,737	499,155		(5,826)		(5,826)		493,329			0	14,920	12/25/2024	1
31396L JT 8	FNMA REMIC TRUST 2006-102 PD		09/25/2013	PRINCIPAL RECEIPT		225,905	225,905	230,988	226,990		(1,085)		(1,085)		225,905			0	7,322	01/25/2035	1
31398F JG 7	FNMA REMIC TRUST 2009-80 MA		09/25/2013	PRINCIPAL RECEIPT		257,988	257,988	270,161	264,655		(6,668)		(6,668)		257,988			0	6,802	04/25/2027	1
31398N BS 2	FNMA REMIC TRUST 2010-102 DE		09/25/2013	PRINCIPAL RECEIPT		714,600	714,600	744,971			(30,370)		(30,370)		714,600			0	12,281	06/25/2029	1
3136A1 EJ 0	FNMA REMIC TRUST 2011-104A		09/25/2013	PRINCIPAL RECEIPT		244,329	244,329	251,965	250,737		(6,407)		(6,407)		244,329			0	4,779	04/25/2026	1
3136A2 AR 4	FNMA REMIC TRUST 2011-110 EC		09/25/2013	PRINCIPAL RECEIPT		298,243	298,243	303,602			(5,359)		(5,359)		298,243			0	2,979	04/25/2041	1
3136A2 MY 6	FNMA REMIC TRUST 2011-128 QB		09/25/2013	PRINCIPAL RECEIPT		245,233	245,233	254,046	253,463		(8,230)		(8,230)		245,233			0	4,083	03/25/2039	1
31397S XM 1	FNMA REMIC TRUST 2011-40 KA		09/25/2013	PRINCIPAL RECEIPT		11	11	11	11				0		11			0		03/25/2026	1
31397U RJ 0	FNMA REMIC TRUST 2011-63 MV		09/25/2013	PRINCIPAL RECEIPT		82,202	82,202	84,861	83,796		(1,593)		(1,593)		82,202			0	1,919	07/25/2024	1
3136A8 ZR 4	FNMA REMIC TRUST 2012-103 DA		09/25/2013	PRINCIPAL RECEIPT		156,092	156,092	164,750	163,899		(7,807)		(7,807)		156,092			0	3,600	10/25/2041	1
3136A3 TS 0	FNMA REMIC TRUST 2012-11 PN		09/25/2013	PRINCIPAL RECEIPT		472,110	472,110	494,978	486,116		(14,006)		(14,006)		472,110			0	11,798	11/25/2040	1
3136AA 6K 6	FNMA REMIC TRUST 2012-151 YA		09/25/2013	PRINCIPAL RECEIPT		172,264	172,264	177,028			(4,764)		(4,764)		172,264			0	1,238	01/25/2028	1
3136A3 X9 7	FNMA REMIC TRUST 2012-3 BA		09/25/2013	PRINCIPAL RECEIPT		155,356	155,356	155,307	155,317		38		38		155,356			0	2,070	04/25/2040	1
3136A5 XR 2	FNMA REMIC TRUST 2012-30 PB		09/25/2013	PRINCIPAL RECEIPT		220,565	220,565	221,530	221,333		(768)		(768)		220,565			0	3,306	10/25/2040	1
3136A4 2C 2	FNMA REMIC TRUST 2012-34 PB		09/25/2013	PRINCIPAL RECEIPT		114,186	114,186	115,042	114,908		(722)		(722)		114,186			0	1,526	01/25/2032	1
3136A5 P6 7	FNMA REMIC TRUST 2012-53 PB		09/25/2013	PRINCIPAL RECEIPT		139,407	139,407	143,154	142,705		(3,298)		(3,298)		139,407			0	2,097	02/25/2041	1
3136A6 4N 1	FNMA REMIC TRUST 2012-72 QE		09/25/2013	PRINCIPAL RECEIPT		64,995	64,995	68,204	67,944		(2,949)		(2,949)		64,995			0	1,311	01/25/2038	1
3136A7 5E 8	FNMA REMIC TRUST 2012-96 PD		09/25/2013	PRINCIPAL RECEIPT		417,543	417,543	422,958	422,484		(4,940)		(4,940)		417,543			0	5,479	07/25/2041	1
3136AE Z4 2	FNMA REMIC TRUST 2013-70 VA		09/25/2013	PRINCIPAL RECEIPT		109,861	109,861	112,710			(2,849)		(2,849)		109,861			0	550	08/25/2026	1
3199999.	Total - Bonds - U.S. Special Revenue & Assessment					20,473,022	20,473,022	20,720,351	18,995,291	0	(240,585)	0	(240,585)	0	20,473,022	0	0	0	624,925	XXX	XXX
Bonds - Industrial and Miscellaneous																					
44328M AD 6	HSBC BANK PLC	R	08/12/2013	MATURITY		500,000	500,000	502,550	501,142		(1,142)		(1,142)		500,000			0	8,125	08/12/2013	1FE
060505 BD 5	BANK OF AMERICA CORP		08/15/2013	MATURITY		500,000	500,000	485,285	498,833		1,167		1,167		500,000			0	23,750	08/15/2013	2FE
144141 CT 3	CAROLINA POWER & LIGHT CO		09/15/2013	MATURITY		500,000	500,000	488,750	498,660		1,340		1,340		500,000			0	25,625	09/15/2013	1FE

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1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
373334 JT 9	GEORGIA POWER CO		09/15/2013	MATURITY		500,000	500,000	502,000	500,576		(576)		(576)		500,000			0	6,500	09/15/2013	1FE
44266R AA 5	HOWARD HUGHES MED INST		08/19/2013	CALLED @ 103.3057070		1,033,057	1,000,000	997,740	999,210		295		295		999,505		33,552	33,552	33,063	09/01/2014	1FE
74456Q AL 0	PUBLIC SERVICE ELECTRIC & GAS		09/01/2013	MATURITY		1,000,000	1,000,000	1,027,130	1,002,379		(2,379)		(2,379)		1,000,000			0	53,750	09/01/2013	1FE
87244E AG 7	TIAA GLOBAL MKTS INC		07/15/2013	MATURITY		2,000,000	2,000,000	1,999,480	1,999,938		62		62		2,000,000			0	99,000	07/15/2013	1FE
3899999.	Total - Bonds - Industrial & Miscellaneous					6,033,057	6,000,000	6,002,935	6,000,738	0	(1,233)	0	(1,233)	0	5,999,505	0	33,552	33,552	249,813	XXX	XXX
8399997.	Total - Bonds - Part 4					28,949,936	28,916,879	29,269,393	27,504,503	0	(306,435)	0	(306,435)	0	28,916,384	0	33,552	33,552	928,782	XXX	XXX
8399999.	Total - Bonds					28,949,936	28,916,879	29,269,393	27,504,503	0	(306,435)	0	(306,435)	0	28,916,384	0	33,552	33,552	928,782	XXX	XXX
Common Stocks - Industrial and Miscellaneous																					
01167P 10 1	ALASKA COMMUNICATIONS SYS GRP		07/22/2013	IVY SECURITES INC	15,000.000	44,218	XXX	26,949					0		26,949		17,269	17,269		XXX	L
012423 10 9	ALBANY MOLECULAR RESH INC		07/08/2013	SIDOTI & CO	5,000.000	66,882	XXX	17,915	26,400	(8,485)			(8,485)		17,915		48,967	48,967		XXX	L
359360 10 4	FROZEN FOOD EXPRESS INDS INC		08/22/2013	VARIOUS	14,942.000	30,999	XXX	19,096	13,298	5,797			5,797		19,096		11,903	11,903		XXX	L
382410 40 5	GOODRICH PETE CORP		08/14/2013	ANCORA SECURITIES	2,000.000	45,881	XXX	18,640	18,640				0		18,640		27,241	27,241		XXX	L
449593 20 1	IGO INC		07/12/2013	IVY SECURITES INC	5,833.000	19,997	XXX	13,649	19,950	10,748		17,048	(6,300)		13,649		6,348	6,348		XXX	L
45825N 10 7	INTELIQUENT INC		07/15/2013	IVY SECURITES INC	15,000.000	126,028	XXX	41,389	25,700	(59)			(59)		41,389		84,639	84,639	19,687	XXX	L
464287 50 7	ISHARES S&P MIDCAP 400 INDEX ETF		09/23/2013	ANCORA SECURITIES	52,613.000	6,393,390	XXX	4,888,867	4,900,109	(497,686)			(497,686)		4,888,867		1,504,523	1,504,523	41,804	XXX	L
516012 10 1	LANNET INC		09/27/2013	VARIOUS	26,000.000	459,516	XXX	102,805	128,960	(26,155)			(26,155)		102,805		356,711	356,711		XXX	U
587118 10 0	MENS WEARHOUSE INC		09/24/2013	ANCORA SECURITIES	3,000.000	98,268	XXX	115,486					0		115,486		(17,219)	(17,219)	540	XXX	L
707051 10 8	PENFORD CORP		07/23/2013	ANCORA SECURITIES	5,000.000	73,324	XXX	24,638	36,750	(12,112)			(12,112)		24,638		48,687	48,687		XXX	L
71902E 60 4	PHOENIX COS INC		09/05/2013	ANCORA SECURITIES	5,000.000	191,147	XXX	147,068	98,920	20,341			20,341		147,068		44,079	44,079		XXX	L
73936T 78 9	POWERSHARES FTSE RAFI DEV MKTS ETF		08/08/2013	ANCORA SECURITIES	110,089.000	4,380,079	XXX	3,809,663	3,648,353	(215,604)			(215,604)		3,809,663		570,416	570,416	43,877	XXX	L
883375 10 7	THERAGENICS CORP		09/09/2013	IVY SECURITES INC	25,000.000	53,999	XXX	40,199	39,750	449			449		40,199		13,800	13,800		XXX	L
922042 71 8	VANGUARD FTSE ALL WORLD EX-US SMAL		08/13/2013	ANCORA SECURITIES	26,429.000	2,544,368	XXX	2,316,313	2,150,821	(93,923)			(93,923)		2,316,313		228,055	228,055	22,042	XXX	L
922908 75 1	VANGUARD SMALL-CAP ETF		08/23/2013	ANCORA SECURITIES	47,362.000	4,676,367	XXX	3,490,532	3,458,394	(368,213)			(368,213)		3,490,532		1,185,835	1,185,835	805	XXX	L
931142 10 3	WAL-MART STORES INC		09/26/2013	ANCORA SECURITIES	2,000.000	148,967	XXX	120,236	136,460	(16,224)			(16,224)		120,236		28,732	28,732	3,760	XXX	L
9099999.	Total - Common Stocks - Industrial & Miscellaneous					19,353,430	XXX	15,193,445	14,702,505	(1,201,126)	0	17,048	(1,218,174)	0	15,193,445	0	4,159,986	4,159,986	132,515	XXX	XXX
9799997	Total - Common Stocks - Part 4					19,353,430	XXX	15,193,445	14,702,505	(1,201,126)	0	17,048	(1,218,174)	0	15,193,445	0	4,159,986	4,159,986	132,515	XXX	XXX
9799999.	Total - Common Stocks					19,353,430	XXX	15,193,445	14,702,505	(1,201,126)	0	17,048	(1,218,174)	0	15,193,445	0	4,159,986	4,159,986	132,515	XXX	XXX
9899999.	Total - Preferred and Common Stocks					19,353,430	XXX	15,193,445	14,702,505	(1,201,126)	0	17,048	(1,218,174)	0	15,193,445	0	4,159,986	4,159,986	132,515	XXX	XXX
9999999.	Total - Bonds, Preferred and Common Stocks					48,303,366	XXX	44,462,838	42,207,008	(1,201,126)	(306,435)	17,048	(1,524,609)	0	44,109,829	0	4,193,538	4,193,538	1,061,297	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....1.

Sch. DB-Pt A-Sn 1
NONE

Sch. DB-Pt B-Sn 1
NONE

Sch. DB-Pt B-Sn 1B-Broker List
NONE

Sch. DB-Pt D-Sn 1
NONE

Sch. DB-Pt D-Sn 2
NONE

Sch. DL-Pt. 1
NONE

Sch. DL-Pt. 2
NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1		2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
			Rate	Amount of Interest	Amount of Interest Accrued	6	7	8	
Depository		Code	of Interest	Received During Current Quarter	at Current Statement Date	First Month	Second Month	Third Month	*
Open Depositories									
PNC BANK	CLEVELAND, OHIO		N/A			12,346,022	16,110,647	41,169,372	XXX
HUNTINGTON BANK	CLEVELAND, OHIO		0.100	64		252,491	252,512	252,533	XXX
PARKVIEW BANK DUE 11/1/2013	CLEVELAND, OHIO		0.400		940	256,718	256,718	256,718	XXX
0199998. Deposits in.....13 depositories that do not exceed the allowable limit in any one depository (see Instructions) - Open Depositories		XXX	XXX	1,250	5,406	2,943,283	2,537,764	2,692,731	XXX
0199999. Total Open Depositories		XXX	XXX	1,314	6,346	15,798,514	19,157,641	44,371,354	XXX
0399999. Total Cash on Deposit		XXX	XXX	1,314	6,346	15,798,514	19,157,641	44,371,354	XXX
0599999. Total Cash		XXX	XXX	1,314	6,346	15,798,514	19,157,641	44,371,354	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year

NONE