



HEALTH QUARTERLY STATEMENT
AS OF SEPTEMBER 30, 2013
OF THE CONDITION AND AFFAIRS OF THE
UnitedHealthcare Community Plan of Ohio, Inc.

NAIC Group Code07070707NAIC Company Code12323Employer's ID Number56-2451429
(Current)(Prior)

Organized under the Laws ofOhio, State of Domicile or Port of EntryOhio

Country of DomicileUnited States of America

Licensed as business type:Health Maintenance Organization

Is HMO Federally Qualified? Yes [] No [X]

Incorporated/Organized03/29/2004Commenced Business10/01/2005

Statutory Home Office9200 Worthington Road OH020-1000Westerville , OH, US 43082
(Street and Number)(City or Town, State, Country and Zip Code)

Main Administrative Office9700 Health Care Lane MN017-E900Minnetonka , MN, US 55343952-979-6171
(Street and Number)(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Mail Address9700 Health Care Lane MN017-E900Minnetonka , MN, US 55343
(Street and Number or P.O. Box)(City or Town, State, Country and Zip Code)

Primary Location of Books and Records9700 Health Care Lane MN017-E900Minnetonka , MN, US 55343952-979-6171
(Street and Number)(City or Town, State, Country and Zip Code)(Area Code) (Telephone Number)

Internet Website Addresswww.uhccommunityplan.com

Statutory Statement ContactMollie Beth Viola952-979-6171
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OFFICERS

PresidentTracy Lynn DavidsonTreasurerRobert Worth Oberrender

SecretaryChristina Regina Palme-KrizakChief Financial OfficerDale Ray Moore

OTHER

Michelle Marie Huntley Dill Assistant Secretary

DIRECTORS OR TRUSTEES

Richard Gordon DunlopJoseph Gilbert GaudioBror Olof Hultgren

State ofCounty ofState ofCounty ofState ofCounty of

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Tracy Lynn DavidsonChristina Regina Palme-KrizakDale Ray Moore
PresidentSecretaryChief Financial Officer

Subscribed and sworn to before me thisSubscribed and sworn to before me thisSubscribed and sworn to before me this
day ofday ofday of

- a. Is this an original filing?..... Yes [x] No []
- b. If no,
1. State the amendment number.....
2. Date filed.....
3. Number of pages attached.....

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	91,317,343		91,317,343	61,964,458
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks			0	0
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens.....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances)			0	0
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$512,813), cash equivalents (\$3,000,000) and short-term investments (\$92,497,101)	96,009,914		96,009,914	87,644,248
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives			0	0
8. Other invested assets			0	0
9. Receivables for securities			0	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	187,327,257	0	187,327,257	149,608,706
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	736,526		736,526	692,489
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	7,815,955		7,815,955	6,772,914
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	962,399
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset	2,095,371	0	2,095,371	1,584,102
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software			0	0
21. Furniture and equipment, including health care delivery assets (\$)			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates			0	0
24. Health care (\$6,545,859) and other amounts receivable	9,798,999	3,253,140	6,545,859	3,786,477
25. Aggregate write-ins for other than invested assets	21,749	21,749	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	207,795,857	3,274,889	204,520,968	163,407,087
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	207,795,857	3,274,889	204,520,968	163,407,087
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Prepaid Expense	21,749	21,749	0	0
2502.				
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	21,749	21,749	0	0

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ 316,926 reinsurance ceded)	61,071,640		61,071,640	39,641,175
2. Accrued medical incentive pool and bonus amounts	636,703		636,703	850,000
3. Unpaid claims adjustment expenses	744,249		744,249	918,208
4. Aggregate health policy reserves, including the liability of \$ for medical loss ratio rebate per the Public Health Service Act			0	0
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves	1,347,663		1,347,663	1,181,766
8. Premiums received in advance			0	0
9. General expenses due or accrued	9,645,867		9,645,867	8,703,281
10.1 Current federal and foreign income tax payable and interest thereon (including \$ 0 on realized gains (losses))	1,401,333		1,401,333	1,255,957
10.2 Net deferred tax liability			0	0
11. Ceded reinsurance premiums payable	539,935		539,935	41,964
12. Amounts withheld or retained for the account of others			0	0
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	1,901,221		1,901,221	2,063,450
16. Derivatives			0	0
17. Payable for securities			0	0
18. Payable for securities lending			0	0
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers)			0	0
20. Reinsurance in unauthorized and certified (\$) companies			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22. Liability for amounts held under uninsured plans			0	0
23. Aggregate write-ins for other liabilities (including \$ 27,132 current)	98,828	0	98,828	1,651,813
24. Total liabilities (Lines 1 to 23)	77,387,439	0	77,387,439	56,307,614
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26. Common capital stock	XXX	XXX	1,000	1,000
27. Preferred capital stock	XXX	XXX		
28. Gross paid in and contributed surplus	XXX	XXX	21,227,057	21,227,057
29. Surplus notes	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	105,905,472	85,871,416
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	127,133,529	107,099,473
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	204,520,968	163,407,087
DETAILS OF WRITE-INS				
2301. Unearned Pay for Performance (current)	27,132		27,132	1,633,601
2302. Unclaimed Property (non-current)	71,696		71,696	18,212
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	98,828	0	98,828	1,651,813
2501.	XXX	XXX		
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	0
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	1,176,795	1,095,246	1,445,169
2. Net premium income (including \$0 non-health premium income).....	XXX	446,877,164	402,420,292	531,028,434
3. Change in unearned premium reserves and reserve for rate credits.....	XXX		(653)	(653)
4. Fee-for-service (net of \$ medical expenses).....	XXX			
5. Risk revenue	XXX			
6. Aggregate write-ins for other health care related revenues	XXX	812,121	0	1,645,711
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	447,689,285	402,419,639	532,673,492
Hospital and Medical:				
9. Hospital/medical benefits		238,713,151	185,620,025	247,504,140
10. Other professional services		24,181,242	27,883,393	36,765,422
11. Outside referrals				
12. Emergency room and out-of-area		10,147,478	14,479,598	19,868,259
13. Prescription drugs		74,507,906	70,960,931	93,160,152
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts		471,670	607,781	831,449
16. Subtotal (Lines 9 to 15)	0	348,021,447	299,551,728	398,129,422
Less:				
17. Net reinsurance recoveries		855,157	2,217,945	5,738,717
18. Total hospital and medical (Lines 16 minus 17)	0	347,166,290	297,333,783	392,390,705
19. Non-health claims (net)				
20. Claims adjustment expenses, including \$10,212,431 cost containment expenses		16,463,550	14,171,008	20,285,633
21. General administrative expenses		53,219,828	51,815,032	65,575,034
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only)				0
23. Total underwriting deductions (Lines 18 through 22).....	0	416,849,668	363,319,823	478,251,372
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	30,839,617	39,099,816	54,422,120
25. Net investment income earned		1,159,499	1,155,023	1,535,756
26. Net realized capital gains (losses) less capital gains tax of \$0		63,099	17,935	111,753
27. Net investment gains (losses) (Lines 25 plus 26)	0	1,222,598	1,172,958	1,647,509
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)].....				
29. Aggregate write-ins for other income or expenses	0	(71,000)	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	31,991,215	40,272,774	56,069,629
31. Federal and foreign income taxes incurred	XXX	11,007,661	13,939,624	19,828,336
32. Net income (loss) (Lines 30 minus 31)	XXX	20,983,554	26,333,150	36,241,293
DETAILS OF WRITE-INS				
0601. Performance Based Revenue	XXX	812,121		1,645,711
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	812,121	0	1,645,711
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901. Fines & Penalties		(71,000)		
2902.				
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	(71,000)	0	0

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	107,099,473	71,223,489	71,223,489
34. Net income or (loss) from Line 32	20,983,554	26,333,150	36,241,293
35. Change in valuation basis of aggregate policy and claim reserves			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$			
37. Change in net unrealized foreign exchange capital gain or (loss)			
38. Change in net deferred income tax	511,269	153,040	816,234
39. Change in nonadmitted assets	(1,460,767)	(437,259)	(1,181,543)
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in	0	0	0
45.2 Transferred to capital (Stock Dividend)			
45.3 Transferred from capital			
46. Dividends to stockholders			
47. Aggregate write-ins for gains or (losses) in surplus	0	0	0
48. Net change in capital & surplus (Lines 34 to 47)	20,034,056	26,048,931	35,875,984
49. Capital and surplus end of reporting period (Line 33 plus 48)	127,133,529	97,272,420	107,099,473
DETAILS OF WRITE-INS			
4701.			
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	446,332,094	402,427,747	531,237,725
2. Net investment income	2,013,407	2,176,702	2,752,307
3. Miscellaneous income	(794,348)	(1,957,659)	(958,221)
4. Total (Lines 1 to 3)	447,551,153	402,646,790	533,031,811
5. Benefit and loss related payments	329,033,725	302,242,595	404,758,957
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	68,997,012	67,095,916	85,831,696
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses)	10,906,957	17,234,377	22,754,376
10. Total (Lines 5 through 9)	408,937,694	386,572,888	513,345,029
11. Net cash from operations (Line 4 minus Line 10)	38,613,459	16,073,902	19,686,782
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	12,536,763	8,289,393	10,906,893
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	12,536,763	8,289,393	10,906,893
13. Cost of investments acquired (long-term only):			
13.1 Bonds	42,675,812	7,361,413	9,847,349
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	42,675,812	7,361,413	9,847,349
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(30,139,049)	927,980	1,059,544
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	(108,744)	(679,104)	(2,540,195)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(108,744)	(679,104)	(2,540,195)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..	8,365,666	16,322,778	18,206,131
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	87,644,248	69,438,117	69,438,117
19.2 End of period (Line 18 plus Line 19.1)	96,009,914	85,760,895	87,644,248

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	115,096	0	0	0	0	0	0	0	115,096	0
2. First Quarter	114,999	0	0	0	0	0	0	0	114,999	0
3. Second Quarter	114,595	0	0	0	0	0	0	0	114,595	0
4. Third Quarter	165,769								165,769	
5. Current Year	0									
6. Current Year Member Months	1,176,795								1,176,795	
Total Member Ambulatory Encounters for Period:										
7. Physician	534,880								534,880	
8. Non-Physician	125,233								125,233	
9. Total	660,113	0	0	0	0	0	0	0	660,113	0
10. Hospital Patient Days Incurred	58,730								58,730	
11. Number of Inpatient Admissions	10,932								10,932	
12. Health Premiums Written (a)	450,704,204								450,704,204	
13. Life Premiums Direct	0									
14. Property/Casualty Premiums Written	0									
15. Health Premiums Earned	450,704,204								450,704,204	
16. Property/Casualty Premiums Earned	0									
17. Amount Paid for Provision of Health Care Services.....	331,508,300								331,508,300	
18. Amount Incurred for Provision of Health Care Services	348,021,447								348,021,447	

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

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UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)					0	0
2. Medicare Supplement					0	0
3. Dental Only					0	0
4. Vision Only					0	0
5. Federal Employees Health Benefits Plan					0	0
6. Title XVIII - Medicare					0	0
7. Title XIX - Medicaid	31,612,458	297,698,698	1,325,372	61,093,931	32,937,830	40,822,941
8. Other health					0	0
9. Health subtotal (Lines 1 to 8)	31,612,458	297,698,698	1,325,372	61,093,931	32,937,830	40,822,941
10. Healthcare receivables (a)	681,721	8,885,298		231,980	681,721	5,586,100
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts	667,743	17,225		636,703	667,743	850,000
13. Totals (Lines 9-10+11+12)	31,598,480	288,830,625	1,325,372	61,498,654	32,923,852	36,086,841

(a) Excludes \$ loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

A. Accounting Practices

The financial statements of UnitedHealthcare Insurance Community Plan of Ohio Inc. (the “Company”) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance (the “Department”).

The Department recognizes only statutory accounting practices, prescribed or permitted by the State of Ohio, for determining and reporting the financial condition and results of operations of a health insuring company, for determining its solvency under Ohio Insurance Law. The state prescribes the use of the National Association of Insurance Commissioners’ (“NAIC”) Accounting Practices and Procedures manual (“NAIC SAP”) in effect for the accounting periods covered in the financial statement.

(1-8) No significant differences exist between the practices prescribed or permitted by the State of Ohio and those prescribed or permitted by the NAIC SAP which materially affect the statutory basis net income and capital and surplus as illustrated in the table below (in thousands):

	State of Domicile	September 30, 2013	December 31, 2012
NET INCOME			
(1) Company state basis	Ohio	\$ 20,984	\$ 36,241
(2) State Prescribed Practices that increase/(decrease) NAIC SAP: Not Applicable	Ohio	-	-
(3) State Permitted Practices that increase/(decrease) NAIC SAP: Not Applicable	Ohio	-	-
(4) NAIC SAP	Ohio	\$ 20,984	\$ 36,241
SURPLUS			
(5) Company state basis	Ohio	\$ 127,134	\$ 107,099
(6) State Prescribed Practices that increase/(decrease) NAIC SAP: Not Applicable	Ohio	-	-
Not Applicable	Ohio	-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP: Not Applicable	Ohio	-	-
(8) NAIC SAP	Ohio	\$ 127,134	\$ 107,099

B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

No significant change.

C. Accounting Policy

No significant change.

2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

No significant change.

3. BUSINESS COMBINATIONS AND GOODWILL

A–D. No significant change.

4. DISCONTINUED OPERATIONS

(1–5) No significant change.

5. INVESTMENTS AND OTHER INVESTED ASSETS

A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant change.

NOTES TO FINANCIAL STATEMENTS

B. Debt restructuring

No significant change.

C. Reverse Mortgages

No significant change.

D. Loan-Backed Securities

- (1) Corporate bonds and government obligations include mortgage-backed securities, which are valued using the retrospective adjustment methodology. Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from an external data source vendor.
- (2) The Company did not recognize any other-than-temporary impairments on mortgage-type investments as of September 30, 2013.
- (3) As of September 30, 2013, the Company did not have mortgage-type investments with any other-than-temporary impairment to report by CUSIP.
- (4) The following table illustrates the fair value, gross unrealized losses, and length of time that the mortgage-backed securities have been in a continuous unrealized loss position at September 30, 2013 and December 31, 2012 (in thousands):

	September 30, 2013
The aggregate amount of unrealized losses:	
1. Less than 12 months	\$ (191)
2. 12 Months or longer	-
The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months	6,902
2. 12 Months or longer	-
	December 31, 2012
The aggregate amount of unrealized losses:	
1. Less than 12 months	\$ -
2. 12 Months or longer	-
The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months	983
2. 12 Months or longer	-

- (5) The Company believes that it will collect all principal and interest due on all investments that have an amortized cost in excess of fair value. The unrealized losses as of September 30, 2013 were primarily caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities.

E. Repurchase Agreements and/or Securities Lending Transactions

The Company does not have any repurchase agreements and/or securities lending transactions.

F. Real Estate

No significant change.

G. Investments in Low-Income Housing Tax Credits

No significant change.

6. JOINT VENTURES, PARTNERSHIPS, AND LIMITED LIABILITY COMPANIES

A–B. No significant change.

NOTES TO FINANCIAL STATEMENTS

7. INVESTMENT INCOME

A–B. No significant change.

8. DERIVATIVE INSTRUMENTS

A–F. No significant change.

9. INCOME TAXES

A–G. The Company's net deferred tax asset increased approximately \$511,000 from December 31, 2012 to September 30, 2013 as a result of the increase of approximately \$1,461,000 in nonadmitted assets.

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES

A–L. Material Related Party Transactions

No significant change.

11. DEBT

A–B. No significant change.

12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES, AND OTHER POSTRETIREMENT BENEFIT PLANS

A–F. The Company has no defined benefit plans, defined contribution plans, multiemployer plans, consolidated/holding company plans, postemployment benefits, and compensated absences plans and is not impacted by the Medicare Modernization Act on postretirement benefits, since all personnel are employees of United HealthCare Services, Inc. (UHS), which provides services to the Company under the terms of a management agreement.

13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS, AND QUASI-REORGANIZATIONS

(1–13) No significant change.

14. CONTINGENCIES

A–E. No significant change.

15. LEASES

A–B. No significant change.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE-SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

(1–4) No significant change.

17. SALE, TRANSFER, AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

A. The Company did not have any transfers of receivables reported as sales as of September 30, 2013 or December 31, 2012.

B. The Company did not have any transfer and servicing of financial assets as of September 30, 2013 or December 31, 2012.

C. No transactions involving wash sales of securities with an NAIC designation of 3 or below or unrated securities occurred as of September 30, 2013 or the year ended December 31, 2012.

NOTES TO FINANCIAL STATEMENTS

18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

A–C. No significant change.

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD-PARTY ADMINISTRATORS

No significant change.

20. FAIR VALUE MEASUREMENT

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

Level 1 — Quoted (unadjusted) prices for identical assets in active markets.

Level 2 — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets
- Quoted prices for identical or similar assets in nonactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.)
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.)
- Inputs that are derived principally from or corroborated by other observable market data

Level 3 — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds and short-term investments (“investments”) are based on quoted market prices, where available. The Company obtains one price for each security primarily from a third-party pricing service (“pricing service”), which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to a secondary pricing source, prices reported by its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company’s internal price verification procedures and review of fair value methodology documentation provided by independent pricing services have not historically resulted in an adjustment in the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest level input that is significant to the fair value measurement in its entirety. The Company’s assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

NOTES TO FINANCIAL STATEMENTS

A. Fair Value

(1) Fair Value Measurements at Reporting Date

The Company does not have any financial assets that are measured and reported at fair value on the statutory basis statements of admitted assets, liabilities, and capital and surplus at September 30, 2013 and December 31, 2012.

(2) The Company does not have any financial assets with a fair value hierarchy of level 3.

B. Fair Value Combination — Not applicable.

C. The aggregate fair value by hierarchy of all financial instruments as of September 30, 2013 and December 31, 2012, is presented in the table below (in thousands):

Types of Financial Investment	September 30, 2013					Not Practical Carrying Value
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	
Bonds	\$ 185,046	\$ 183,814	\$ 96,082	\$ 88,964	\$ -	\$ -
Common stock	-	-	-	-	-	-
Perpetual preferred stock	-	-	-	-	-	-
Mortgage loans	-	-	-	-	-	-

Types of Financial Investment	December 31, 2012					Not Practical Carrying Value
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	
Bonds	\$ 155,053	\$ 152,583	\$ 94,949	\$ 60,105	\$ -	\$ -
Common stock	-	-	-	-	-	-
Perpetual preferred stock	-	-	-	-	-	-
Mortgage loans	-	-	-	-	-	-

Included as Level 1 in bonds in the fair value hierarchy table above is money market instruments of approximately \$92,461,000 and \$90,619,000 as of September 30, 2013 and December 31, 2012, respectively. Money market instruments are reflected in cash, cash equivalents and short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

D. Not Practicable to Estimate Fair Value — Not applicable.

21. OTHER ITEMS

A–H. No significant change.

The Company elected to use rounding in reporting amounts in the notes to statutory basis financial statements.

22. EVENTS SUBSEQUENT

No significant change.

23. REINSURANCE

A–C. No significant change.

24. RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

A–B. No significant change.

C. The Medicaid business contract with the state of Ohio includes Aged, Blind, and Disabled (ABD) program which is subject to the retrospective rating features. The Company estimates accrued retrospective premium adjustments for its ABD program based on the contract with Ohio Department of Jobs and Family Services (ODJFS). The amount of net premium income that is subject to the ABD program contract retrospective rating feature was approximately \$176,577,000 and \$216,250,000, representing 39.5% and 40.7% of total net premium income as of September 30, 2013 and December 31, 2012, respectively.

NOTES TO FINANCIAL STATEMENTS

D. Pursuant to the Health Reform Legislation, the Company does not have any business subject to specific minimum loss ratio requirements as of September 30, 2013 and December 31, 2012.

25. CHANGE IN INCURRED CLAIMS AND CLAIMS ADJUSTMENT EXPENSES

Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the accompanying statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in the claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves and health care receivable at September 30, 2013 and December 31, 2012 (in thousands):

	September 30, 2013		
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (41,673)	\$ (41,673)
Paid claims, net of health care receivables	297,716	31,318	329,034
End of year claim reserve	<u>61,731</u>	<u>1,325</u>	<u>63,056</u>
Incurred claims excluding the change in health care receivable and reinsurance recoverables as presented below	359,447	(9,030)	350,417
Beginning of year health care receivables and reinsurance recoverables	-	6,548	6,548
End of year health care receivables	<u>(9,117)</u>	<u>(682)</u>	<u>(9,799)</u>
Total incurred claims	<u>\$ 350,330</u>	<u>\$ (3,164)</u>	<u>\$ 347,166</u>

	December 31, 2012		
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (49,300)	\$ (49,300)
Paid claims, net of health care receivables and reinsurance reconveries collected	375,032	29,727	404,759
End of year claim reserve	<u>40,393</u>	<u>1,280</u>	<u>41,673</u>
Incurred claims excluding the change in health care receivables and reinsurance recoverables as presented below	415,425	(18,293)	397,132
Beginning of year health care receivables	-	1,807	1,807
End of year health care receivables and reinsurance recoverables	<u>(4,582)</u>	<u>(1,966)</u>	<u>(6,548)</u>
Total incurred claims	<u>\$ 410,843</u>	<u>\$ (18,452)</u>	<u>\$ 392,391</u>

The liability for claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves and health care receivables as of December 31, 2012 were approximately \$35,125,000. As of September 30, 2013, approximately \$31,318,000 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years are now approximately \$643,000 as a result of re-estimation of unpaid claims. Therefore, there has been approximately \$3,164,000 favorable prior year development since December 31, 2012 to September 30, 2013. The primary drivers consist of favorable development as a result of a change in the provision for adverse deviations in experience of approximately \$2,875,000 and favorable development of approximately \$150,000 in retroactivity for inpatient, outpatient, physician, and pharmacy claims. At December 31, 2012, the Company recorded approximately \$18,452,000 of favorable development related to insured events of prior years primarily as a result of ongoing analysis of loss development trends and changes to the provider settlement reserves. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. Included in this favorable development is the impact related to retrospectively rated policies. As a result of the prior year effects, on a regular basis, the Company adjusts revenue and the corresponding liability and/or receivable related to retrospectively rated policies and the impact of the change is included as a component of change in unearned premium reserves and reserve for rate credits in the statutory basis statements of operations.

NOTES TO FINANCIAL STATEMENTS

The Company incurred claims adjustment expenses of approximately \$16,464,000 and \$20,286,000 for the nine months ended September 30, 2013 and the year ended December 31, 2012, respectively. These costs are included in the management service fees paid by the Company to UHS as a part of its management agreement. The following tables disclose paid CAE, incurred CAE, and the balance in the unpaid claim adjustment expenses reserve for the nine months ended September 30, 2013 and the year ended December 31, 2012 (in thousands):

	September 30, 2013	December 31, 2012
Total claims adjustment expenses incurred	\$ 16,464	\$ 20,286
Less current year unpaid claims adjustment expenses	(744)	(918)
Add prior year unpaid claims adjustment expenses	918	1,026
Total claims adjustment expenses paid	\$ 16,638	\$ 20,394

26. INTERCOMPANY POOLING ARRANGEMENTS

A–G. No significant change.

27. STRUCTURED SETTLEMENTS

A–B. No significant change.

28. HEALTH CARE AND OTHER AMOUNTS RECEIVABLE

A–B. No significant change.

29. PARTICIPATING POLICIES

No significant change.

30. PREMIUM DEFICIENCY RESERVES

The following table summarizes the Company’s premium deficiency reserves as of September 30, 2013 and December 31, 2012 (in thousands):

	September 30, 2013
1. Liability carried for premium deficiency reserves	\$ -
2. Date of the most recent evaluation of this liability	9/30/2013
3. Was anticipated investment income utilized in this calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	December 31, 2012
1. Liability carried for premium deficiency reserves	\$ -
2. Date of the most recent evaluation of this liability	12/31/2012
3. Was anticipated investment income utilized in this calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

31. ANTICIPATED SALVAGE AND SUBROGATION

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [] No [X]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [] No []

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [X] No []

2.2

If yes, date of change:

04/04/2013

3.1

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [X] No []

3.2

If the response to 3.1 is yes, provide a brief description of those changes.

July 2, 2013 Optum Nevada Accountable Care Organization LLC, a Delaware limited liability company, was formed as a wholly owned subsidiary of Collaborative Care Holdings, LLC, a Delaware limited liability company.

July 4, 2013 Amil Participações S.A., a Brazilian joint stock company, and Mind Solutions, S.A., a Brazilian joint stock company, simultaneously merged with and into Amil Assistência Médica Internacional S.A. This resulted in Amico Saúde Ltda. becoming a direct subsidiary of Amil Assistência Médica Internacional. It also resulted in Polar II Fundo de Investimento em Participações owning 90.23% of Amil Assistência Médica Internacional S.A.

July 17, 2013 Optum Rocket, Inc., a Delaware corporation, was incorporated.

July 17, 2013 Rocket RCM, LLC, a Delaware limited liability company, was formed.

July 18, 2013 FrontierMedex Kenya Limited, a Kenyan company, was incorporated with FrontierMEDEX Limited, a United Kingdom company, having a 99.9% ownership interest and a director of the company owning the remaining 0.1%.

July 29, 2013 Picis, Inc. acquired 100% of the capital stock of Optum Rocket, Inc.

July 30, 2013 Optum Health & Technology Limited, a United Kingdom company, was formed as a wholly owned subsidiary of ScriptSwitch Holdings Limited, a United Kingdom company.

July 30, 2013 Optum Solutions & Services Limited, a United Kingdom company, was formed as a wholly owned subsidiary of ScriptSwitch Holdings Limited, a United Kingdom company.

July 31, 2013 Axolotl Corp., a Delaware corporation, merged with and into OptumInsight, Inc., a Delaware corporation.

July 31, 2013 Hospice Inspiris, LLC, a Tennessee limited liability company, merged with and into For Health of Arizona, Inc., an Arizona corporation.

July 31, 2013 Independent Physician Management Services, Inc., a Delaware corporation, merged with and into Monarch Management Services, Inc., a Delaware corporation.

July 31, 2013 Plus One Holdings, Inc., a Delaware corporation, was acquired as a wholly owned subsidiary of OptumHealth Care Solutions, Inc. Plus One Holdings, Inc. owns 100% of the interests in Plus One Health Management Inc. Puerto Rico, a Puerto Rico corporation.

August 14, 2013 Exlogs HSE Inc., a Canadian (Alberta) corporation was dissolved.

August 15, 2013 New Lynx Medical Systems, LLC, a Washington limited liability company, was formed as a wholly owned subsidiary of Optum Rocket, Inc.

August 19, 2013 Canadian Health Group International Ltd., a Canadian (Alberta) corporation, was dissolved. This resulted in FrontierMEDEX Canada Limited becoming a wholly owned subsidiary of FrontierMEDEX Canada Holdings Ltd.

August 21, 2013 Physicians Health Choice of New Mexico, Inc. was dissolved.

August 21, 2013 Rocket RCM, LLC changed its name to Optum360, LLC.

August 22, 2013 ScriptSwitch Holdings Limited changed its name to Optum UK Solutions Group Limited.

August 22, 2013 Ingenix UK Holdings Limited changed its name to Optum Solutions UK Holdings Limited.

August 22, 2013 DPCA, Inc., a Delaware corporation, was formed as a wholly owned subsidiary of UnitedHealth Group Ventures, LLC.

August 26, 2013 LighthouseMD, Inc., a Rhode Island corporation, merged with and into OptumInsight, Inc., a Delaware corporation.

August 26, 2013 Picis, Inc. contributed its ownership interest in Ingram & Associates, LLC, and 100% of the respective capital stock of A-Life Medical, Inc., CareMedic Systems, Inc. and Lynx Medical Systems, Inc., to Optum Rocket, Inc.

August 26, 2013 Lynx Medical Systems, Inc., a Washington corporation, merged with and into New Lynx Medical Systems, LLC, a Washington limited liability company, with the surviving entity of the merger adopting the name Lynx Medical Systems, LLC.

August 30, 2013 Orion Participações e Administração Ltda., a Brazilian company, merged with and into Esho - Empresa de Serviços Hospitalares S.A., a Brazilian company.

August 30, 2013 Ceame Centro Especializado de Atendimento Médico Ltda, a Brazilian company, merged with and into Cemed Care Empresa de Atendimento Clínico Geral Ltda., a Brazilian company.

August 31, 2013 ProcessWorks, Inc., a Wisconsin corporation, was merged with and into Southwest Michigan Health Network Inc., a Michigan corporation.

September 1, 2013 Optum Rocket, Inc. contributed 100% of its ownership interest in the following entities to Optum360, LLC:
• Ingram & Associates, LLC;
• Lynx Medical Systems, LLC;
• A-Life Medical, LLC; and
• CareMedic Systems, LLC.

September 19, 2013 Optum Labs Dimensions, Inc., a Delaware non-stock corporation, was formed as a wholly owned subsidiary of Optum Labs, Inc., a Delaware corporation.

September 30, 2013 Savvysherpa Asia, LLC, a Minnesota limited liability company, merged with and into United HealthCare Services, Inc., a Minnesota corporation.

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [] No [X]

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

11

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [X] N/A []
If yes, attach an explanation.
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2009
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2009
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 10/01/2010
- 6.4 By what department or departments?
Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with?Yes [] No [] N/A [X]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC
Optum Bank, Inc.	Salt Lake City, Utah	NO	NO	YES	NO

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [X] No []
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes [X] No []
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
In Q3 2013, non-material modifications were made to the UnitedHealth Group Code of Conduct.
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [] No [X]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [] No [X]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [] No [X]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$
13.

Amount of real estate and mortgages held in short-term investments:

\$
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]
- 14.2

If yes, please complete the following:
- | | 1 | 2 |
|---|---|--|
| | Prior Year-End
Book/Adjusted
Carrying Value | Current Quarter
Book/Adjusted
Carrying Value |
| 14.21 Bonds | \$0 | \$0 |
| 14.22 Preferred Stock | \$0 | \$0 |
| 14.23 Common Stock | \$0 | \$0 |
| 14.24 Short-Term Investments | \$0 | \$0 |
| 14.25 Mortgage Loans on Real Estate | \$0 | \$0 |
| 14.26 All Other | \$0 | \$0 |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) | \$0 | \$0 |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above | \$ | \$ |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes [] No []

GENERAL INTERROGATORIES

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ 0
- 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$ 0
- 16.3 Total payable for securities lending reported on the liability page

\$ 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes ☒ No ☐
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Northern Trust	50 S. LaSalle, Chicago, IL 60675
Bank of New York Mellon	Global Liquidity Services, 1 Wall St, 14th Floor, New York, NY 10286

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes ☐ No ☒
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
113972	Standish Mellon Asset Management Company	201 Washington Street, Suite 2900, Boston, MA 02108-4408

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes ☒ No ☐
- 18.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:
- 1.1 A&H loss percent

79.8 %
- 1.2 A&H cost containment percent

2.3 %
- 1.3 A&H expense percent excluding cost containment expenses

13.3 %
- 2.1 Do you act as a custodian for health savings accounts?

Yes [] No [X]
- 2.2 If yes, please provide the amount of custodial funds held as of the reporting date

.\$
- 2.3 Do you act as an administrator for health savings accounts?

Yes [] No [X]
- 2.4 If yes, please provide the balance of the funds administered as of the reporting date

.\$

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Is Insurer Authorized? (Yes or No)
			NONE			

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

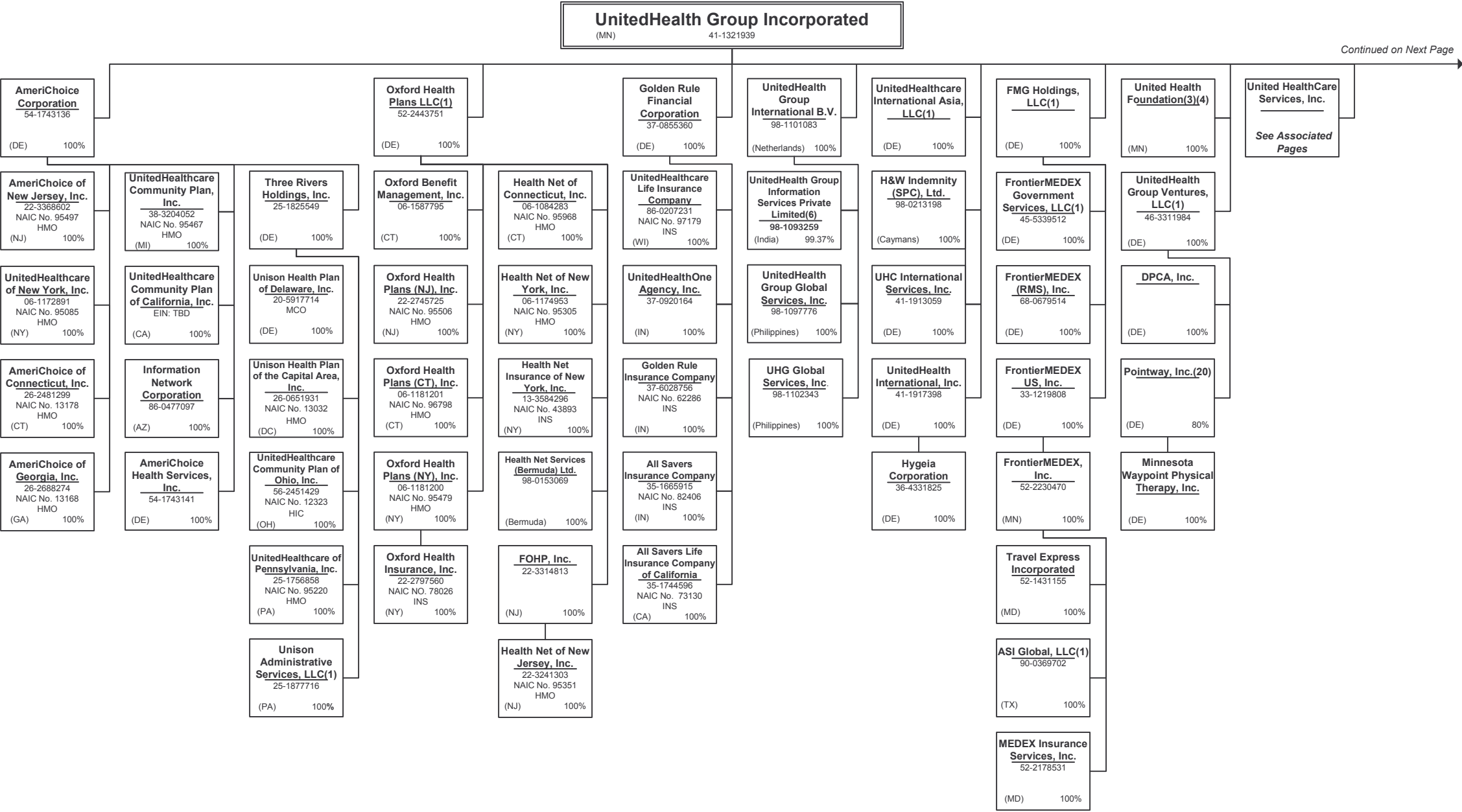
		1	Direct Business Only							
			2	3	4	5	6	7	8	9
States, etc.		Active Status	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums & Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1.	Alabama	AL	N						0	
2.	Alaska	AK	N						0	
3.	Arizona	AZ	N						0	
4.	Arkansas	AR	N						0	
5.	California	CA	N						0	
6.	Colorado	CO	N						0	
7.	Connecticut	CT	N						0	
8.	Delaware	DE	N						0	
9.	District of Columbia	DC	N						0	
10.	Florida	FL	N						0	
11.	Georgia	GA	N						0	
12.	Hawaii	HI	N						0	
13.	Idaho	ID	N						0	
14.	Illinois	IL	N						0	
15.	Indiana	IN	N						0	
16.	Iowa	IA	N						0	
17.	Kansas	KS	N						0	
18.	Kentucky	KY	N						0	
19.	Louisiana	LA	N						0	
20.	Maine	ME	N						0	
21.	Maryland	MD	N						0	
22.	Massachusetts	MA	N						0	
23.	Michigan	MI	N						0	
24.	Minnesota	MN	N						0	
25.	Mississippi	MS	N						0	
26.	Missouri	MO	N						0	
27.	Montana	MT	N						0	
28.	Nebraska	NE	N						0	
29.	Nevada	NV	N						0	
30.	New Hampshire	NH	N						0	
31.	New Jersey	NJ	N						0	
32.	New Mexico	NM	N						0	
33.	New York	NY	N						0	
34.	North Carolina	NC	N						0	
35.	North Dakota	ND	N						0	
36.	Ohio	OH	L		450,704,204				450,704,204	
37.	Oklahoma	OK	N						0	
38.	Oregon	OR	N						0	
39.	Pennsylvania	PA	N						0	
40.	Rhode Island	RI	N						0	
41.	South Carolina	SC	N						0	
42.	South Dakota	SD	N						0	
43.	Tennessee	TN	N						0	
44.	Texas	TX	N						0	
45.	Utah	UT	N						0	
46.	Vermont	VT	N						0	
47.	Virginia	VA	N						0	
48.	Washington	WA	N						0	
49.	West Virginia	WV	N						0	
50.	Wisconsin	WI	N						0	
51.	Wyoming	WY	N						0	
52.	American Samoa	AS	N						0	
53.	Guam	GU	N						0	
54.	Puerto Rico	PR	N						0	
55.	U.S. Virgin Islands	VI	N						0	
56.	Northern Mariana Islands	MP	N						0	
57.	Canada	CAN	N						0	
58.	Aggregate Other Aliens	OT	XXX	0	0	0	0	0	0	0
59.	Subtotal	XXX	0	0	450,704,204	0	0	0	450,704,204	0
60.	Reporting Entity Contributions for Employee Benefit Plans	XXX							0	
61.	Totals (Direct Business)	(a) 1	0	0	450,704,204	0	0	0	450,704,204	0
DETAILS OF WRITE-INS										
58001.	XXX								
58002.	XXX								
58003.	XXX								
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.
(a) Insert the number of L responses except for Canada and Other Alien.
Premiums allocated by state based upon Geographic Market.

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

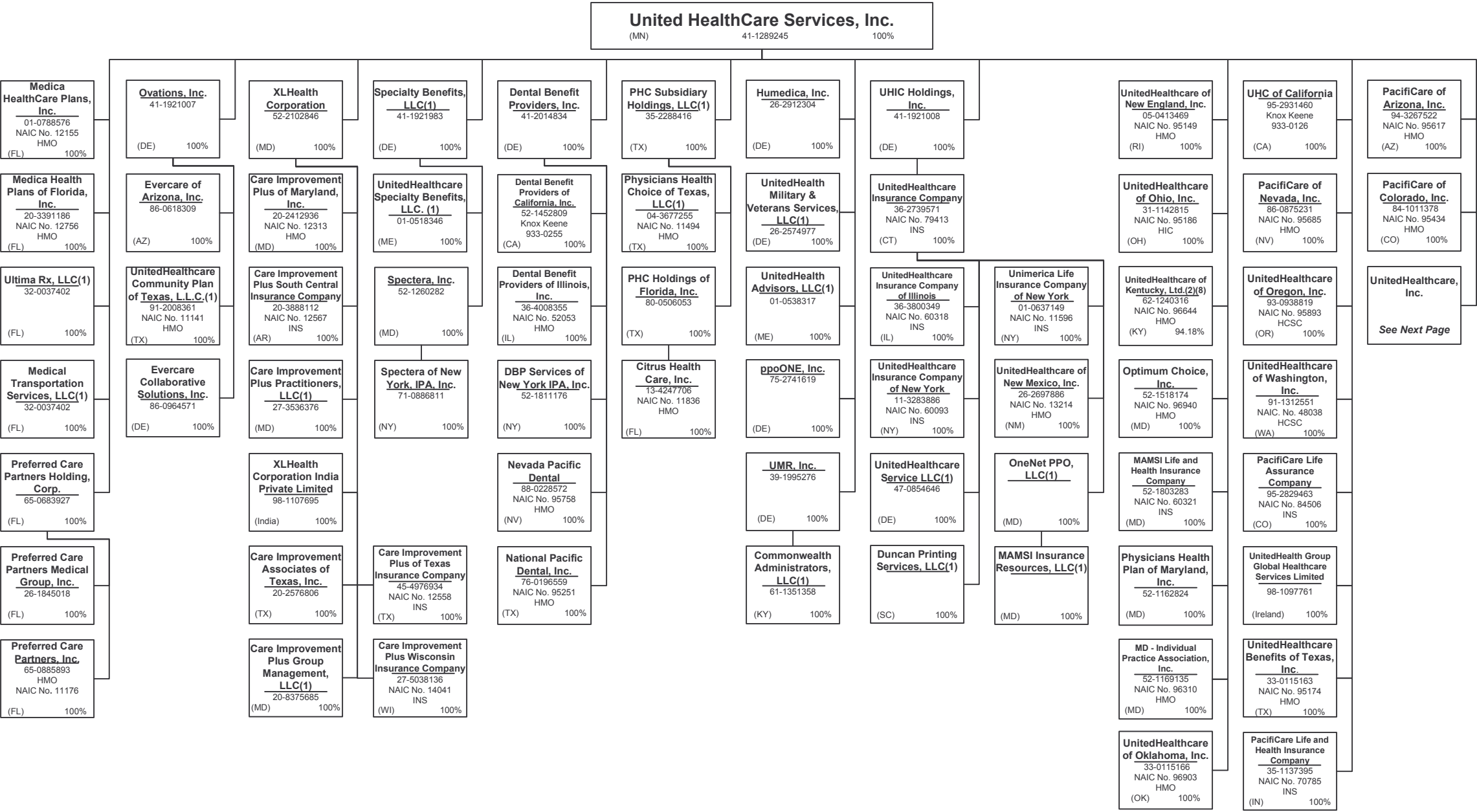
PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

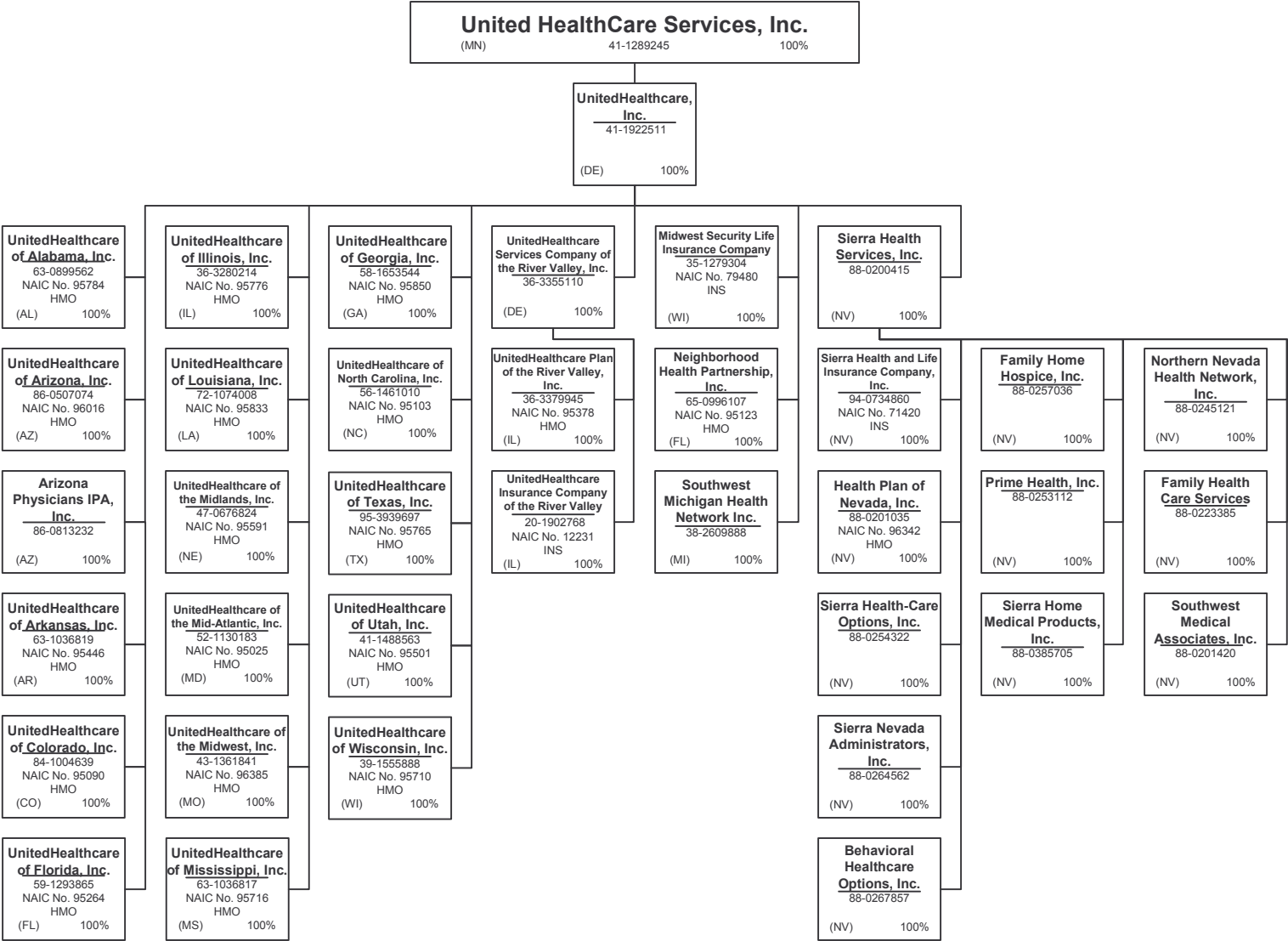
PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

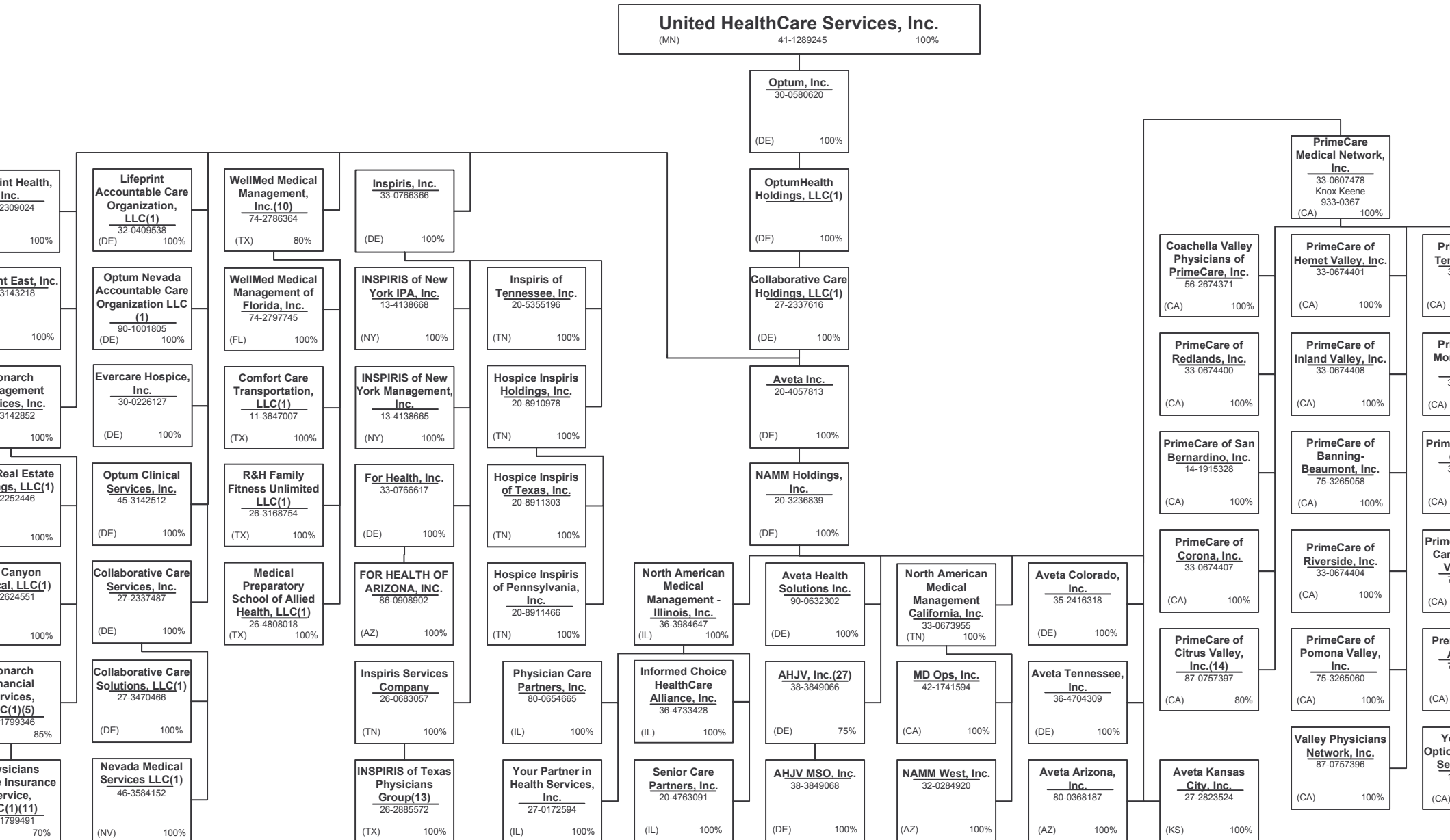
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

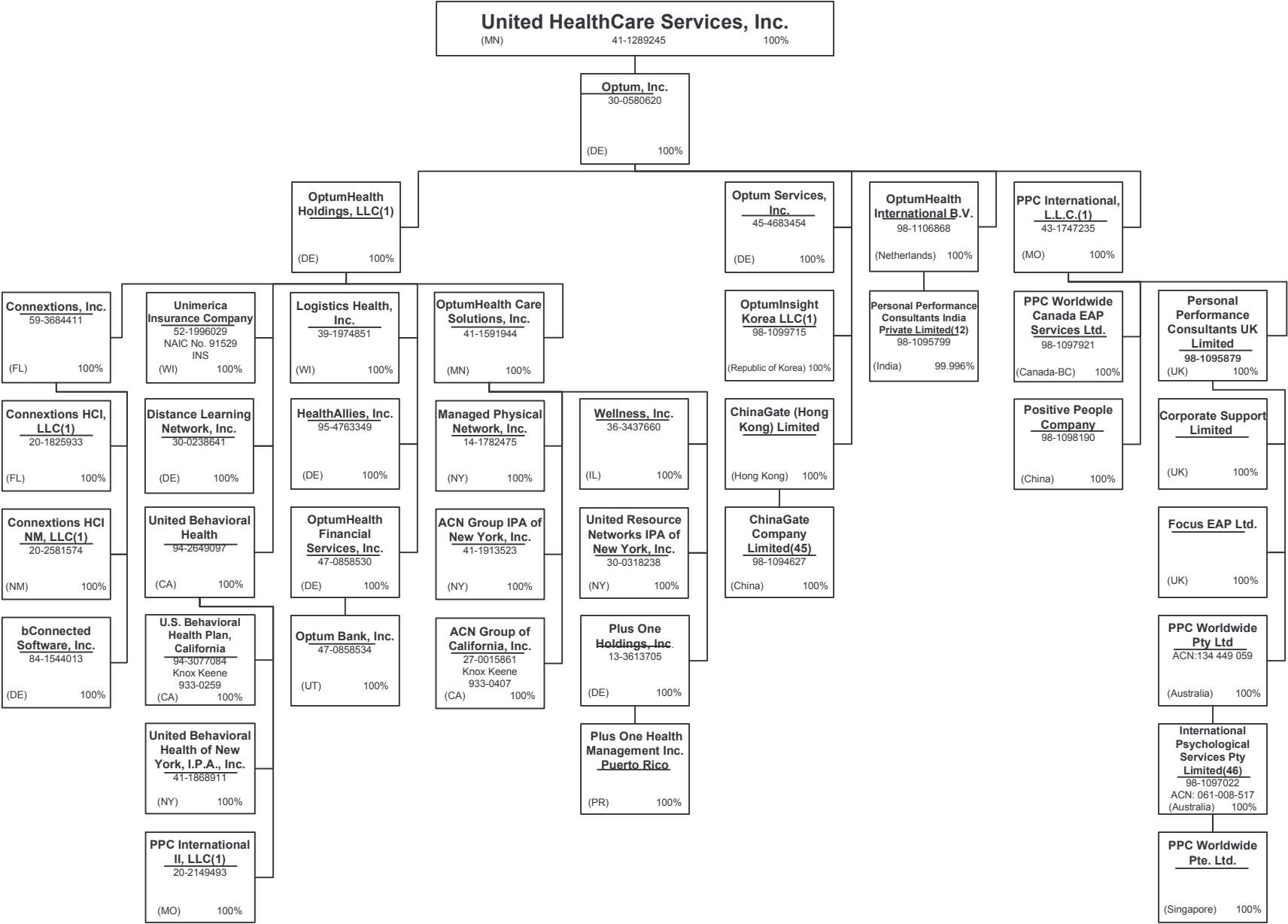
PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

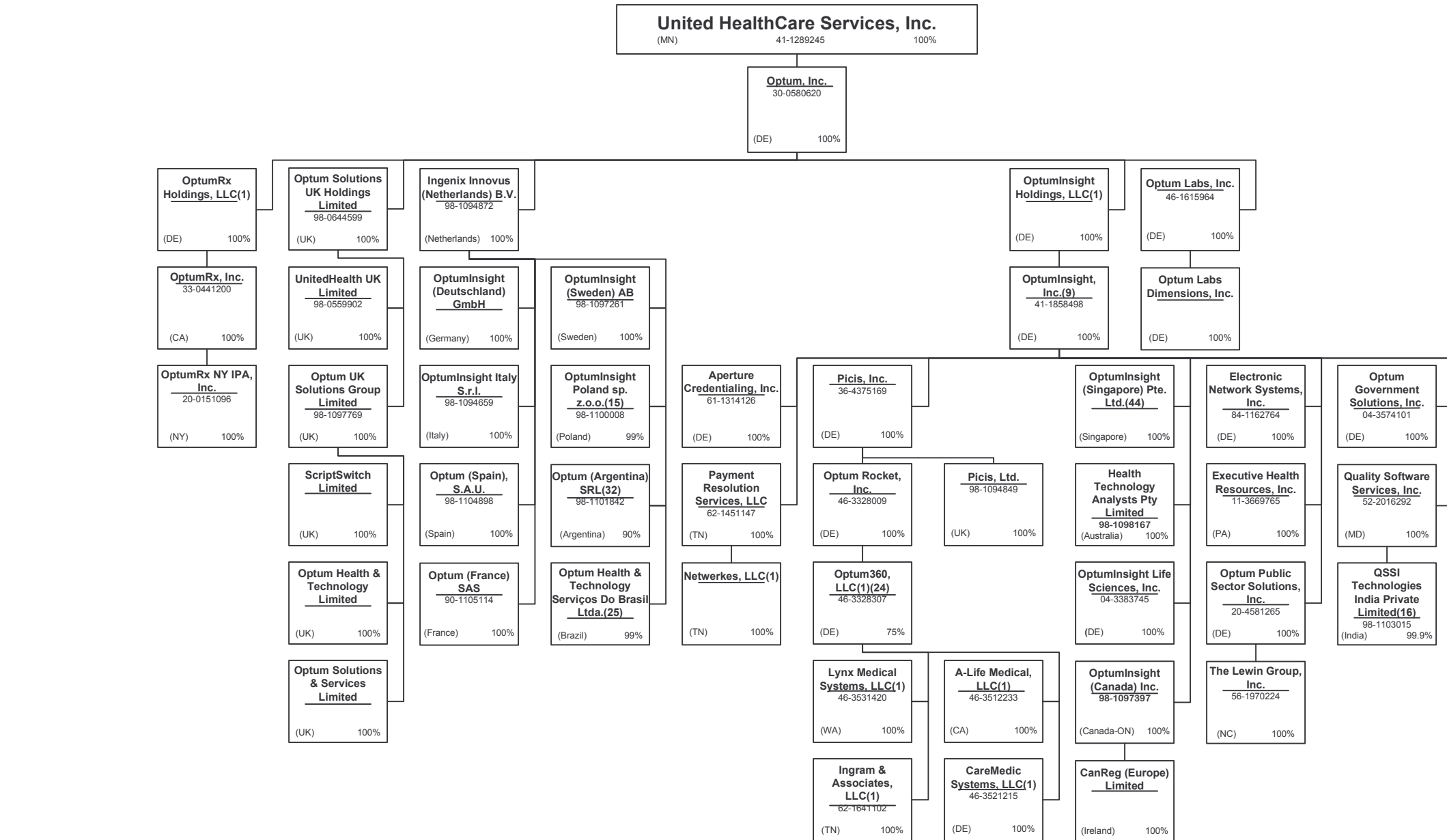
PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (1) Entity is a Limited Liability Company
- (2) Entity is a Partnership
- (3) Entity is a Non-Profit Corporation
- (4) Control of the Foundation is based on sole membership, not the ownership of voting securities
- (5) Monarch Financial Services, LLC is 85% owned by Monarch Management Services, Inc. and 15% owned by external shareholders.
- (6) UnitedHealth Group Information Services Private Limited is 99.37% owned by UnitedHealth Group International B.V.. The remaining 0.63% is owned by UnitedHealth International, Inc.
- (7) United Healthcare India Private Limited is 99.9935% owned by UnitedHealthcare International II B.V. and 0.0048% owned by UnitedHealth International, Inc.
- (8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.
- (9) Branch office located in Abut Dhabi, UAE.
- (10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.
- (11) Physicians Choice Insurance Service, LLC is 70% owned by Monarch Financial Services, LLC and 30% owned by external shareholders.
- (12) Personal Performance Consultants India Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.
- (13) INSPIRIS of Texas Physicians Group is a Texas non-profit (taxable) whose sole member is Inspiris Services Company.
- (14) PrimeCare of Citrus Valley, Inc. is 80% owned by PrimeCare Medical Network, Inc. and 20% owned by Citrus Valley Medical Associates, Inc.
- (15) OptumInsight Poland sp. z.o.o. is 99% owned by Ingenix Innovus (Netherlands) B.V. The remaining 1% is owned by OptumInsight, Inc.
- (16) QSSI Technologies India Private Limited is 99.9% owned by Quality Software Services, Inc. and 0.1% owned by an Indian citizen.
- (17) Amico Saúde Ltda. is 99.99% owned by Amil Participações S.A. and the remaining percent is owner by and officer of Amil.

- (18) Esho – Empresa de Serviços Hospitalares S.A is 94.72% owned by Amil Assistência Médica Internacional S.A.; 3.24% owned by Amico Saúde Ltda.; 0.01 owned by Treasury Shares and 2.02% owned by external shareholders.
- (19) Etho – Empresa de Tecnologia Hospitalar Ltda. 50.01% owned by Amil Assistência Médica Internacional S.A.and 49.99% owned by an external shareholder.
- (20) Waypoint Holdings is 80% owned by UnitedHealth Group Ventures, LLC and 20% owned by external shareholders.
- (21) Excellion Serviços Biomédicos S.A.is 99.78% owned by Esho – Empresa de Serviços Hospitalares S.A and 0.22% owned by external shareholders.
- (22) Branch offices in Iraq and Uganda and Representative office in Beijing.
- (23) Cemed Care Empresa de Atendimento Clínico Geral Ltda. Is 96.94% owned by Amil Assistência Médica Internacional S.A., 2.54% owned by Amico Saúde Ltd. and 0.53% owned by ASL Assistência a Saúde Ltda.
- (24) Optum 360, LLC is 75% owned by Optum Rocket, Inc. and 25% owned by an external interest holder.
- (25) Optum Health & Technology Serviços Do Brasil Ltda. is 99% owned by Ingenix Innovus (Netherlands) B.V. and 1 % owned by OptumInsight, Inc.
- (26) Bosque Medical Center S.A. is 45.17% owned by Amil Assistência Médica Internacional S.A.; 44.23% owned by Amico Saúde Ltd. and 10.60% owned by Esho – Empresa de Serviços Hospitalares S.A..
- (27) AHJV, Inc. is 75% owned by NAMM Holdings, Inc. and 25% owned by Humana, Inc.
- (28) ASL Assistência a Saúde Ltda. Is 99.99% owned by Amil Assistência Médica Internacional S.A and the remaining percent is owned by an officer of Amil.
- (29) Promarket Propaganda e Marketing Ltda.is 99.79% owned by Amil Assistência Médica Internacional S.A and 0.21% owned by Amico Saúde Ltd.
- (30) Amil Clinical Research Participações Ltda. is 99.95% owned by Amil Lifesciences Participações Ltda. and .05% owned by an officer of Amil.
- (31) Imed Star Serviços Médicos e Odontológicos Ltda.is 50% owned by Amil Assistência Médica Internacional S.A and 50% owned by Amico Saúde Ltd.
- (32) Optum Argentina is 90% owned by Ingenix Innovus (Netherlands) BV and 10% owned by ScriptSwitch Holdings Limited.
- (33) Hospital Alvorada Taguatinga Ltda. Is 99.99% owned by Amil Assistência Médica Internacional S.A. and the remaining percent is owned by an officer of Amil.

- (34) Amil Lifesciences Participações Ltda. Is 99.99% owned by Amil Assistência Médica Internacional S.A and the remaining percent is owned by an officer of Amil.
- (35) FrontierMedex Kenya Limited is 99.9% owned by FromtierMEDEX Limited and 0.1% owned by a director of Frontier Medex Kenya Limited.
- (36) Amil Planos por Administração Ltda. is 98.70% owned by Amil Assistência Médica Internacional S.A and 1.30% owned by Amico Saúde Ltd.
- (37) The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (2.9231%), UnitedHealthcare International LATAM Corporation (1.5228%), Hygeia Corporation (DE) (0.2158%) and UnitedHealth Group Incorporated (95.3384%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
- (38) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.
- (39) UnitedHealthcare International I, B.V. is 75.76% owned by UnitedHealth Group International L.P. and 24.24% owned by UnitedHealth Group International B.V.
- (40) Amil Assistência Médica Internacional S.A. is 90.23% owned by Polar II Fundo de Investimento em Participações and the remaining 9.77% is owned by the former controlling shareholders of Amil Participações S.A.
- (41) HPP A.C.E. is 70% owned by HPP - Hospitais Privados de Portugal, SGPS, S.A. The remaining 30% is owned by (1) HPP Boavista, S.A.,(2) HPP Lusiadas, S.A., (3) HPP Algarve, S.A., (4) HPP Saúde - Parcerias Cascais, S.A., and (5) HPP Viseu, S.A.; each owning 6%.
- (42) HPP Viseu, S.A. is 65% owned by HPP - Hospitais Privados de Portugal, SGPS, S.A.. The remaining 35% is jointly owned VISABEIRA Saúde - Serviços de Saúde, S.A.,VISABEIRA Participações Financeiras, SGPS, S.A., VISABEIRA Investimentos Financeiros SGPS, S.A. and Ciclorama - Estudos, Projectos e Produções, Lda.
- (43) Frontier Medex Tanzania Limited is 99% owned by FrontierMEDEX Limited. The remaining 1% is owned by an officer of FrontierMEDEX Limited.
- (44) Branch office located in Taiwan
- (45) Liaison office located in Beijing.
- (46) Branch office located in Hong Kong.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	27-2624551				310 Canyon Medical, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1913523				ACN Group IPA of New York, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-0015861				ACN Group of California, Inc.	CA	IA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	38-3849068				AHJV MSO, Inc.	DE	NIA	AHJV, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	38-3849066				AHJV, Inc.	CA	NIA	NAMM Holdings, Inc.	Ownership	75.000	UnitedHealth Group Incorporated	5
		00000	46-3512233				A-Life Medical, LLC	CA	NIA	Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	82406	35-1665915				All Savers Insurance Company	IN	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
							All Savers Life Insurance Company of California	CA	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	73130	35-1744596				AmeriChoice Corporation	DE	UIP	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	54-1743136				AmeriChoice Health Services, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13178	26-2481299				AmeriChoice of Connecticut, Inc.	CT	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13168	26-2688274				AmeriChoice of Georgia, Inc.	GA	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95497	22-3368602				AmeriChoice of New Jersey, Inc.	NJ	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1108620				Amico Saúde Ltda.	BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	99.990	UnitedHealth Group Incorporated	1
		00000					Amil Assistência Médica Internacional S.A.	BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	90.230	UnitedHealth Group Incorporated	5
		00000	98-1109085				Amil Clinical Research Participações Ltda.	BRA	NIA		Ownership	99.950	UnitedHealth Group Incorporated	1
		00000					AMIL International S.á.r.l.	LUX	NIA	Amil Lifesciences Participações Ltda.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1110579				Amil Lifesciences Participações Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	99.990	UnitedHealth Group Incorporated	1
		00000	98-1110993				Amil Planos por Administração Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	98.700	UnitedHealth Group Incorporated	8
		00000	98-1110993				Amil Planos por Administração Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	1.300	UnitedHealth Group Incorporated	8
		00000	61-1314126				Aperture Credentialing, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	86-0813232				Arizona Physicians IPA, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	90-0369702				ASI Global, LLC	TX	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1110592				ASL Assistência a Saúde Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	99.990	UnitedHealth Group Incorporated	1
		00000	80-0368187				Aveta Arizona, Inc.	AZ	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	35-2416318				Aveta Colorado, Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	90-0632302				Aveta Health Solutions Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4057813				Aveta Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2823524				Aveta Kansas City, Inc.	KS	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-4704309				Aveta Tennessee, Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	84-1544013				bConnected Software, Inc.	DE	NIA	Connexions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0267857				Behavioral Healthcare Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1112673				Bosque Medical Center S.A.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	45.170	UnitedHealth Group Incorporated	2
		00000	98-1112673				Bosque Medical Center S.A.	BRA	NIA	Amico Saúde Ltda.	Ownership	44.230	UnitedHealth Group Incorporated	2
		00000	98-1112673				Bosque Medical Center S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	10.600	UnitedHealth Group Incorporated	2
		00000					CanReg (Europe) Limited	JRL	NIA	OptumInsight (Canada) Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2576806				Care Improvement Associates of Texas, Inc.	TX	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8375685				Care Improvement Plus Group Management, LLC	MD	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12313	20-2412936				Care Improvement Plus of Maryland, Inc.	MD	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
...0707 ...	UnitedHealth Group Incorporated12558 ...00000	45-4976934 27-3536376	Care Improvement Plus of Texas Insurance CompanyTX.....	...IA.....	XLHealth Corporation	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....	Care Improvement Plus Practitioners, LLCMD.....	...NIA.....	XLHealth Corporation	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
...0707 ...	UnitedHealth Group Incorporated12567	20-3888112	Care Improvement Plus South Central Insurance CompanyAR.....	...IA.....	XLHealth Corporation	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
...0707 ...	UnitedHealth Group Incorporated14041 ...00000	27-5038136 46-3521215	Care Improvement Plus Wisconsin Insurance CompanyWI.....	...IA.....	XLHealth Corporation	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	CareMedic Systems, LLCCA.....	...NIA.....	Optum360, LLC	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	Carlton Life - Residências e Serviços S.A.PRT.....	...NIA.....	HPP - Hospitais Privados de Portugal, SOPS, S.A.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	98-1111491	Cemed Care Empresa de Atendimento Clínico Geral Ltda.BRA.....	...NIA.....	Amil Assistência Médica Internacional S.A.	Ownership.....	...96.940 ...	UnitedHealth Group Incorporated3
.....00000	98-1111491	Cemed Care Empresa de Atendimento Clínico Geral Ltda.BRA.....	...NIA.....	Amico Saúde Ltda.	Ownership.....	...2.540 ...	UnitedHealth Group Incorporated3
.....00000	98-1111491	Cemed Care Empresa de Atendimento Clínico Geral Ltda.BRA.....	...NIA.....	ASL Assistência a Saúde Ltda.	Ownership.....	...0.520 ...	UnitedHealth Group Incorporated3
.....00000	ChinaGate (Hong Kong) LimitedHKG.....	...NIA.....	Optum, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	98-1094627	ChinaGate Company LimitedCHN.....	...NIA.....	ChinaGate (Hong Kong) Limited	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
...0707 ...	UnitedHealth Group Incorporated11836	13-4247706	Citrus Health Care, Inc.FL.....	...IA.....	PHC Holdings of Florida, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	56-2674371	Coachella Valley Physicians of PrimeCare, Inc.CA.....	...NIA.....	PrimeCare Medical Network, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	27-2337616	Collaborative Care Holdings, LLCDE.....	...NIA.....	OptumHealth Holdings, LLC	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	27-2337487	Collaborative Care Services, Inc.DE.....	...NIA.....	Collaborative Care Holdings, LLC	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	27-3470466	Collaborative Care Solutions, LLCDE.....	...NIA.....	Collaborative Care Services, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	11-3647007	Comfort Care Transportation, LLCTX.....	...NIA.....	WellMed Medical Management, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	61-1351358	Commonwealth Administrators, LLCKY.....	...NIA.....	UMR, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	20-2581574	Connexions HCI NM, LLCNM.....	...NIA.....	Connexions, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	20-1825933	Connexions HCI, LLCFL.....	...NIA.....	Connexions, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	59-3684411	Connexions, Inc.FL.....	...NIA.....	OptumHealth Holdings, LLC	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	Corporate Support LimitedGBR.....	...NIA.....	Personal Performance Consultants UK Limited	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	52-1811176	DBP Services of New York IPA, Inc.NY.....	...NIA.....	Dental Benefit Providers, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....	Dental Benefit Providers of California, Inc.CA.....	...IA.....	Dental Benefit Providers, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....	Dental Benefit Providers of Illinois, Inc.IL.....	...IA.....	Dental Benefit Providers, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
...0707 ...	UnitedHealth Group Incorporated52053	36-4008355	Dental Benefit Providers, Inc.DE.....	...NIA.....	United HealthCare Services, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	41-2014834	Distance Learning Network, Inc.DE.....	...NIA.....	OptumHealth Holdings, LLC	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	30-0238641	DPCA, Inc.DE.....	...NIA.....	UnitedHealth Group Ventures, LLC	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	Duncan Printing Services, LLCSC.....	...NIA.....	UnitedHealthcare Insurance Company	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	84-1162764	Electronic Network Systems, Inc.DE.....	...NIA.....	OptumInsight, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	98-1103713	ELG FZEARE.....	...NIA.....	FrontierMEDEX Limited	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	98-1111172	Esho - Empresa de Serviços Hospitalares S.A.BRA.....	...NIA.....	Amil Assistência Médica Internacional S.A.	Ownership.....	...94.720 ...	UnitedHealth Group Incorporated4
.....00000	98-1111172	Esho - Empresa de Serviços Hospitalares S.A.BRA.....	...NIA.....	Amico Saúde Ltda.	Ownership.....	...3.240 ...	UnitedHealth Group Incorporated4
.....00000	Etho - Empresa de Tecnologia Hospitalar Ltda.BRA.....	...NIA.....	Amil Assistência Médica Internacional S.A.	Ownership.....	...50.010 ...	UnitedHealth Group Incorporated5
.....00000	86-0964571	Evercare Collaborative Solutions, Inc.DE.....	...NIA.....	Ovations, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	30-0226127	Evercare Hospice, Inc.DE.....	...NIA.....	Collaborative Care Holdings, LLC	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated
.....00000	86-0618309	Evercare of Arizona, Inc.AZ.....	...IA.....	Ovations, Inc.	Ownership.....	...100.000 ...	UnitedHealth Group Incorporated

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Per-centage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	98-1111239				Excellion Serviços Biomédicos S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	99.780	UnitedHealth Group Incorporated	5
		00000	98-1111215				Excelsior Med S.A.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-3669765				Executive Health Resources, Inc.	PA	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Exploration for Mine Clearance LLC	JRO	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1113428				Exploration Logistics B.C. Ltd.	CAN	NIA	FrontierMEDEX Canada Limited	Ownership	100.000	UnitedHealth Group Incorporated	
							Exploration Logistics Group for Medical Services PLC LTD	JRQ	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1101521				Exploration Logistics Newfoundland Limited	CAN	NIA	FrontierMEDEX Canada Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Exploration Logistics Nova Scotia Limited	CAN	NIA	FrontierMEDEX Canada Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0223385				Family Health Care Services	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0257036				Family Home Hospice, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	35-2456267				FMG Holdings, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
							Focus EAP Ltd.	GBR	NIA	Personal Performance Consultants UK Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	22-3314813				FOHP, Inc.	NJ	NIA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	86-0908902				FOR HEALTH OF ARIZONA, INC.	AZ	NIA	For Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0766617				For Health, Inc.	DE	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Frontier Medex Tanzania Limited	TZA	NIA	FrontierMEDEX Limited	Ownership	99.000	UnitedHealth Group Incorporated	1
		00000	68-0679514				FrontierMEDEX (RMS), Inc.	DE	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1103497				FrontierMEDEX Canada Holdings Ltd.	CAN	NIA	UnitedHealthcare International I B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1104429				FrontierMEDEX Canada Limited	CAN	NIA	FrontierMEDEX Canada Holdings Ltd.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-5339512				FrontierMEDEX Government Services, LLC	DE	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					FrontierMedex Kenya Limited	KEN	NIA	FrontierMEDEX Limited	Ownership	99.900	UnitedHealth Group Incorporated	1
		00000					FrontierMEDEX Limited	GBR	NIA	UnitedHealthcare International I B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-1219808				FrontierMEDEX US, Inc.	DE	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2230470				FrontierMEDEX, Inc.	MN	NIA	FrontierMEDEX US, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	37-0855360				Golden Rule Financial Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	62286	37-6028756	3057283			Golden Rule Insurance Company	IN	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-0213198				H&W Indemnity (SPC), Ltd.	CYM	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	43893	13-3584296				Health Net Insurance of New York, Inc.	NY	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95968	06-1084283				Health Net of Connecticut, Inc.	CT	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95351	22-3241303				Health Net of New Jersey, Inc.	NJ	IA	FOHP, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95305	06-1174953				Health Net of New York, Inc.	NY	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-0153069				Health Net Services (Bermuda) Ltd.	BMU	NIA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96342	88-0201035				Health Plan of Nevada, Inc.	NV	IA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1098167				Health Technology Analysts Pty Limited	AUS	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	95-4763349				HealthAllies, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8910978				Hospice Inspiris Holdings, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8911466				Hospice Inspiris of Pennsylvania, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-8911303				Hospice Inspiris of Texas, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
							Hospital Alvorada de Taguatinga Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	99.990	UnitedHealth Group Incorporated	1
		00000					HPP – Hospitais Privados de Portugal, SGPS, S.A.	PRT	NIA	Amil International S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					HPP A.C.E.	PRT	NIA	SGPS, S.A.	Ownership	70.000	UnitedHealth Group Incorporated	6
		00000					HPP A.C.E.	PRT	NIA	HPP Algarve, S.A.	Ownership	6.000	UnitedHealth Group Incorporated	6
		00000					HPP A.C.E.	PRT	NIA	HPP Lusiadas, S.A.	Ownership	6.000	UnitedHealth Group Incorporated	6

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000					HPP A.C.E.	PRT	NIA	HPP Viseu, S.A.	Ownership	6.000	UnitedHealth Group Incorporated	6
		00000					HPP A.C.E.	PRT	NIA	HPP Boavista, S.A.	Ownership	6.000	UnitedHealth Group Incorporated	6
		00000					HPP A.C.E.	PRT	NIA	HPP Saúde - Parcerias Cascais, S.A.	Ownership	6.000	UnitedHealth Group Incorporated	6
		00000					HPP Algarve, S.A.	PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					HPP Boavista, S.A.	PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					HPP Lusiadas, S.A.	PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					HPP Saúde - Parcerias Cascais, S.A.	PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					HPP Viseu, S.A.	PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	65.000	UnitedHealth Group Incorporated	5
		00000	26-2912304				Humedica, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-4331825				Hygeia Corporation	DE	NIA	UnitedHealth International, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1099968				Hygeia Corporation (Ontario)	CAN	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Imed Star Serviços Médicos e Odontológicos Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	50.000	UnitedHealth Group Incorporated	7
		00000					Imed Star Serviços Médicos e Odontológicos Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	50.000	UnitedHealth Group Incorporated	7
		00000	86-0477097				Information Network Corporation	AZ	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Informed Choice HealthCare Alliance, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1094872				Ingenix Innovus (Netherlands) B.V.	NLD	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	62-1641102				Ingram & Associates, LLC	TN	NIA	Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-4138668				INSPIRIS of New York IPA, Inc.	NY	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-4138665				INSPIRIS of New York Management, Inc.	NY	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-5355196				Inspiris of Tennessee, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-2885572				INSPIRIS of Texas Physician Group	TX	NIA	Inspiris Services Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-0683057				Inspiris Services Company	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0766366				Inspiris, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					International Psychological Services Pty Limited	AUS	NIA	PPC Worldwide Pty Ltd	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Lifeprint Accountable Care Organization, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-3143218				Lifeprint East, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2309024				LifePrint Health, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	39-1974851				Logistics Health, Inc.	WI	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-3531420				Lynx Medical Systems, LLC	WA	NIA	Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2129787				MAMSI Insurance Resources, LLC	MD	NIA	OneNet PPO, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	60321	52-1803283				MAMSI Life and Health Insurance Company	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	14-1782475				Managed Physical Network, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					MD Ops, Inc.	CA	NIA	North American Medical Management California, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96310	52-1169135				MD-Individual Practice Association, Inc.	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2178531				MEDEX Insurance Services, Inc.	MD	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12756	20-3391186				Medica Health Plans of Florida, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12155	01-0788576				Medica HealthCare Plans, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Medical Preparatory School of Allied Health, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-4808018				Medical Transportation Services, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	32-0037402											

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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.0707	UnitedHealth Group Incorporated	.00000	27-2252446				MHC Real Estate Holdings, LLC	..CA	..NIA	Monarch Management Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.79480	35-1279304				Midwest Security Life Insurance Company	..WI	..IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Minnesota Waypoint Physical Therapy, Inc.	..DE	..NIA	Pointway, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	27-1799346				Monarch Financial Services, LLC	..CA	..NIA	Monarch Management Services, Inc.	Ownership	85.000	UnitedHealth Group Incorporated	5
		.00000	45-3142852				Monarch Management Services, Inc.	..DE	..NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	20-3236839				NAMM Holdings, Inc.	..DE	..NIA	Aveta Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.00000	32-0284920				NAMM West, Inc.	..AZ	..NIA	North American Medical Management California, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.95251	76-0196559				National Pacific Dental, Inc.	..TX	..IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.95123	65-0996107				Neighborhood Health Partnership, Inc.	..FL	..IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	20-4755277				Netwerkes, LLC	..TN	..NIA	Payment Resolution Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	46-3584152				Nevada Medical Services LLC	..NV	..NIA	Collaborative Care Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.95758	88-0228572				Nevada Pacific Dental	..NV	..IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.00000	36-3984647				North American Medical Management - Illinois, Inc.	..IL	..NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					North American Medical Management California, Inc.	..TN	..NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	88-0245121				Northern Nevada Health Network, Inc.	..NV	..NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	52-2129786				OneNet PPO, LLC	..MD	..NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
		.96940	52-1518174				Optimum Choice, Inc.	..MD	..IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1101842				Optum (Argentina) SRL	..ARG	..NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	90.000	UnitedHealth Group Incorporated	12
.0707	UnitedHealth Group Incorporated	.00000	98-1101842				Optum (Argentina) SRL	..ARG	..NIA	Optum UK Solutions Group Limited	Ownership	10.000	UnitedHealth Group Incorporated	12
		.00000	90-1105114				Optum (France) SAS	..FRA	..NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1104898				Optum (Spain), S.A.U.	..ESP	..NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	47-0858534	3202702			Optum Bank, Inc.	..UT	..NIA	OptumHealth Financial Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	45-3142512				Optum Clinical Services, Inc.	..DE	..NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	04-3574101				Optum Government Solutions, Inc.	..DE	..NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.00000					Optum Health & Technology Limited	..GBR	..NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Optum Health & Technology Serviços Do Brasil Ltda.	..BRA	..NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	99.000	UnitedHealth Group Incorporated	13
		.00000					Optum Health & Technology Serviços Do Brasil Ltda.	..BRA	..NIA	OptumInsight, Inc.	Ownership	1.000	UnitedHealth Group Incorporated	13
		.00000					Optum Labs Dimensions, Inc.	..DE	..NIA	Optum Labs, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	46-1615964				Optum Labs, Inc.	..DE	..NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Optum Nevada Accountable Care Organization LLC	..DE	..NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.00000	90-1001805				Optum Public Sector Solutions, Inc.	..DE	..NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	20-4581265				Optum Rocket, Inc.	..DE	..NIA	Picis, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	46-3328009				Optum Services, Inc.	..DE	..NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	45-4683454				Optum Solutions & Services Limited	..GBR	..NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-0644599				Optum Solutions UK Holdings Limited	..GBR	..NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1097769				Optum UK Solutions Group Limited	..GBR	..NIA	Optum Solutions UK Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.00000	30-0580620	3119994			Optum, Inc.	..DE	..NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	46-3328307				Optum360, LLC	..DE	..NIA	Optum Rocket, Inc.	Ownership	75.000	UnitedHealth Group Incorporated	5
		.00000	41-1591944				OptumHealth Care Solutions, Inc.	..MN	..NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	47-0858530				OptumHealth Financial Services, Inc.	..DE	..NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					OptumHealth Holdings, LLC	..DE	..NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1106868				OptumHealth International B.V.	..NLD	..NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.00000	98-1097397				OptumInsight (Canada) Inc.	..CAN	..NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1095252				OptumInsight (Deutschland) GmbH	..DEU	..NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-1095260				OptumInsight (Singapore) Pte. Ltd.	..SGP	..NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		00000	98-1097261				OptumInsight (Sweden) AB	SWE	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumInsight Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1094659				OptumInsight Italy S.r.l.	ITA	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1099715				OptumInsight Korea LLC	KOR	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	04-3383745				OptumInsight Life Sciences, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1100008				OptumInsight Poland sp. z o.o.	POL	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	99.000	UnitedHealth Group Incorporated	13
		00000	98-1100008				OptumInsight Poland sp. z o.o.	POL	NIA	OptumInsight, Inc.	Ownership	1.000	UnitedHealth Group Incorporated	13
		00000	41-1858498				OptumInsight, Inc.	DE	NIA	OptumInsight Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumRx Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-0151096				OptumRx NY IPA, Inc.	NY	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0441200				OptumRx, Inc.	CA	NIA	OptumRx Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1921007				Ovations, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	06-1587795				Oxford Benefit Management, Inc.	CT	NIA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	78026	22-2797560				Oxford Health Insurance, Inc.	NY	IA	Oxford Health Plans (NY), Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96798	06-1181201				Oxford Health Plans (CT), Inc.	CT	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95506	22-2745725				Oxford Health Plans (NJ), Inc.	NJ	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95479	06-1181200				Oxford Health Plans (NY), Inc.	NY	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2443751				Oxford Health Plans LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	70785	35-1137395				PacifiCare Life and Health Insurance Company	IN	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	84506	95-2829463				PacifiCare Life Assurance Company	CO	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95617	94-3267522				PacifiCare of Arizona, Inc.	AZ	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95434	84-1011378				PacifiCare of Colorado, Inc.	CO	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95685	86-0875231				PacifiCare of Nevada, Inc.	NV	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	62-1451147				Payment Resolution Services, LLC	TN	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1095799				Personal Performance Consultants India Private Limited	IND	NIA	OptumHealth International B.V.	Ownership	99.996	UnitedHealth Group Incorporated	14
		00000	98-1095799				Personal Performance Consultants India Private Limited	IND	NIA	United Behavioral Health	Ownership	0.004	UnitedHealth Group Incorporated	14
		00000	98-1095879				Personal Performance Consultants UK Limited	GBR	NIA	PPC International, L.L.C.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	80-0506053				PHC Holdings of Florida, Inc.	TX	NIA	PHC Subsidiary Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	35-2288416				PHC Subsidiary Holdings, LLC	TX	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	80-0654665				Physician Care Partners, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-1799491				Physicians Choice Insurance Service, LLC	CA	NIA	Monarch Financial Services, LLC	Ownership	70.000	UnitedHealth Group Incorporated	5
0707	UnitedHealth Group Incorporated	11494	04-3677255				Physicians Health Choice of Texas, LLC	TX	IA	PHC Subsidiary Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1162824				Physicians Health Plan of Maryland, Inc.	MD	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-4375169	3886791			Picis, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1094849				Picis, Ltd.	GBR	NIA	Picis, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Plus One Health Management Inc. Puerto Rico	PR	NIA	Plus One Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-3613705				Plus One Holdings, Inc.	DE	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1083164				Polar II Fundo de Investimento em Participações	BRA	NIA	UnitedHealthcare International IV S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1098190				Positive People Company	CHN	NIA	PPC International, L.L.C.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2149493				PPC International II, LLC	MO	NIA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	43-1747235				PPC International, L.L.C.	MO	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1097921				PPC Worldwide Canada EAP Services Ltd.	CAN	NIA	PPC International, L.L.C.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					PPC Worldwide Pte. Ltd.	SGP	NIA	International Psychological Services Pty Limited	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		..00000					PPC Worldwide Pty LtdAUS	..NIA	Personal Performance Consultants UK Limited	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	75-2741619				ppoONE, Inc.DE	..NIA	United HealthCare Services, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	65-0683927				Preferred Care Partners Holding, Corp.FL	..NIA	United HealthCare Services, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	26-1845018				Preferred Care Partners Medical Group, Inc.FL	..NIA	Preferred Care Partners Holding, Corp.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	26-1845018				Preferred Care Partners Medical Group, Inc.FL	..NIA	Preferred Care Partners Holding, Corp.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
..0707	UnitedHealth Group Incorporated11176	65-0885893				Preferred Care Partners, Inc.FL	..IA	Preferred Care Partners Holding, Corp.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	75-3265059				Premier Choice ACO, Inc.CA	..NIA	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	75-3265056				Prime Community Care of Central Valley, Inc.CA	..NIA	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	88-0253112				Prime Health, Inc.NV	..NIA	Sierra Health Services, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	33-0607478				PrimeCare Medical Network, Inc.CA	..IA	NAAM Holdings, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	75-3265058				PrimeCare of Banning-Beaumont, Inc.CA	..NIA	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	87-0757397				PrimeCare of Citrus Valley, Inc.CA	..NIA	PrimeCare Medical Network, Inc.	Ownership.....	..80.000	UnitedHealth Group Incorporated5
		..00000	33-0674407				PrimeCare of Corona, Inc.CA	..NIA	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	33-0674401				PrimeCare of Hemet Valley, Inc.CA	..NIA	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	33-0674408				PrimeCare of Inland Valley, Inc.CA	..NIA	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	33-0674402				PrimeCare of Moreno Valley, Inc.CA	..NIA	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	75-3265060				PrimeCare of Pomona Valley, Inc.CA	..NIA	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	33-0674400				PrimeCare of Redlands, Inc.CA	..NIA	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	33-0674404				PrimeCare of Riverside, Inc.CA	..NIA	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	14-1915328				PrimeCare of San Bernardino, Inc.CA	..NIA	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	33-0698439				PrimeCare of Sun City, Inc.CA	..NIA	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	33-0674409				PrimeCare of Temecula, Inc.CA	..NIA	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	98-1112304				Promarket Propaganda e Marketing Ltda.BRA	..NIA	S.A. Amil Assistência Médica Internacional	Ownership.....	..99.792	UnitedHealth Group Incorporated15
		..00000	98-1112304				Promarket Propaganda e Marketing Ltda.BRA	..NIA	Amico Saúde Ltda.	Ownership.....	..0.208	UnitedHealth Group Incorporated15
		..00000	98-1103015				QSSI Technologies India Private LimitedIND	..NIA	Quality Software Services, Inc.	Ownership.....	..99.900	UnitedHealth Group Incorporated5
		..00000	52-2016292				Quality Software Services, Inc.MD	..NIA	OptumInsight, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	26-3168754				R&H Family Fitness Unlimited LLCTX	..NIA	WellMed Medical Management, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000					ScriptSwitch LimitedGBR	..NIA	Optum UK Solutions Group Limited	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	20-4763091				Senior Care Partners, Inc.IL	..NIA	North American Medical Management - Illinois, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
..0707	UnitedHealth Group Incorporated71420	94-0734860				Sierra Health and Life Insurance Company, Inc.NV	..IA	Sierra Health Services, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	88-0200415				Sierra Health Services, Inc.NV	..NIA	UnitedHealthcare, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	88-0254322				Sierra Health-Care Options, Inc.NV	..NIA	Sierra Health Services, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	88-0385705				Sierra Home Medical Products, Inc.NV	..NIA	Sierra Health Services, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	88-0264562				Sierra Nevada Administrators, Inc.NV	..NIA	Sierra Health Services, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	88-0201420				Southwest Medical Associates, Inc.NV	..NIA	Sierra Health Services, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	38-2609888				Southwest Michigan Health Network Inc.MI	..NIA	UnitedHealthcare, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	41-1921983				Specialty Benefits, LLCDE	..NIA	United HealthCare Services, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	71-0886811				Spectera of New York, IPA, Inc.NY	..NIA	Spectera, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	52-1260282				Spectera, Inc.MD	..NIA	Specialty Benefits, LLC	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	56-1970224				The Lewin Group, Inc.NC	..NIA	Optum Public Sector Solutions, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	25-1825549				Three Rivers Holdings, Inc.DE	..UDP	AmeriChoice Corporation	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	52-1431155				Travel Express IncorporatedMD	..NIA	FrontierMEDEX, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	94-3077084				U.S. Behavioral Health Plan, CaliforniaCA	..IA	United Behavioral Health	Ownership.....	..100.000	UnitedHealth Group Incorporated	
		..00000	41-1913059				UHC International Services, Inc.DE	..NIA	UnitedHealth Group Incorporated	Ownership.....	..100.000	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	95-2931460				UHC of California	CA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UHG Brasil Participações S.A.	BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1102343				UHG Global Services, Inc.	PHL	NIA	UnitedHealth Group International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1921008				UHC Holdings, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	14-1892398				Ultima Rx, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	39-1995276				UMR, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	91529	52-1996029				Unimerica Insurance Company	WI	IA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
							Unimerica Life Insurance Company of New York	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11596	01-0637149				Unison Administrative Services, LLC	PA	NIA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	25-1877716				Unison Health Plan of Delaware, Inc.	DE	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-5917714				Unison Health Plan of the Capital Area, Inc.	DC	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13032	26-0651931				United Behavioral Health	CA	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	94-2649097				United Behavioral Health of New York, I.P.A., Inc.	NY	NIA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1868911				United Health Foundation	MN	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1289245	3410132			United HealthCare Services, Inc.	MN	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					United Resource Networks IPA of New York, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0318238				UnitedHealth Advisors, LLC	ME	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1097761				UnitedHealth Group Global Healthcare Services Limited	JRL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1097776				UnitedHealth Group Global Services, Inc.	PHL	NIA	UnitedHealth Group International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1321939		0000731766	New York Stock Exchange	UnitedHealth Group Incorporated	MN	UIP					
		00000	98-1093259				UnitedHealth Group Information Services Private Limited	JND	NIA	UnitedHealth Group International B.V.	Ownership	99.370	UnitedHealth Group Incorporated	16
		00000	98-1093259				UnitedHealth Group Information Services Private Limited	JND	NIA	UnitedHealth International, Inc.	Ownership	0.630	UnitedHealth Group Incorporated	16
		00000	98-1101083				UnitedHealth Group International B.V.	NLD	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1079826				UnitedHealth Group International GP	CYM	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	UnitedHealth Group Incorporated	Ownership	95.338	UnitedHealth Group Incorporated	17
		00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	FMG Holdings, LLC	Ownership	2.923	UnitedHealth Group Incorporated	17
		00000	98-1080118				UnitedHealthcare International LATAM Corporation	CYM	NIA	Corporation	Ownership	1.523	UnitedHealth Group Incorporated	17
		00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	Hygeia Corporation	Ownership	0.216	UnitedHealth Group Incorporated	17
		00000	46-3311984				UnitedHealth Group Ventures, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1917398				UnitedHealth International, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-2574977				UnitedHealth Military & Veterans Services, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-0559902				UnitedHealth UK Limited	GBR	NIA	Optum Solutions UK Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95174	33-0115163				UnitedHealthcare Benefits of Texas, Inc.	TX	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealthcare Community Plan of California, Inc.	CA	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12323	56-2451429				UnitedHealthcare Community Plan of Ohio, Inc.	OH		Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11141	91-2008361				UnitedHealthcare Community Plan of Texas, L.L.C.	TX	IA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95467	38-3204052				UnitedHealthcare Community Plan, Inc.	MI	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	98-1099116				UnitedHealthcare India Private Limited	IND	NIA	UnitedHealthcare International II B.V.	Ownership	99.994	UnitedHealth Group Incorporated	9
		00000	98-1099116				UnitedHealthcare India Private Limited	IND	NIA	UnitedHealth International, Inc.	Ownership	0.007	UnitedHealth Group Incorporated	9
0707	UnitedHealth Group Incorporated	79413	36-2739571				UnitedHealthcare Insurance Company	CT	IA	UHC Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
							UnitedHealthcare Insurance Company of Illinois							
0707	UnitedHealth Group Incorporated	60318	36-3800349				UnitedHealthcare Insurance Company of New York	IL	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
							UnitedHealthcare Insurance Company of the River Valley	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	60093	11-3283886				UnitedHealthcare Insurance Company of the River Valley, Inc.	IL	IA	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1988797				UnitedHealthcare International Asia, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1100512				UnitedHealthcare International I B.V.	NLD	NIA	UnitedHealth Group International L.P.	Ownership	75.760	UnitedHealth Group Incorporated	10
		00000	98-1100512				UnitedHealthcare International I B.V.	NLD	NIA	UnitedHealth Group International B.V.	Ownership	24.240	UnitedHealth Group Incorporated	10
		00000	98-1079595				UnitedHealthcare International I S.á.r.l.	LUX	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1100980				UnitedHealthcare International II B.V.	NLD	NIA	UnitedHealth Group International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
							UnitedHealthcare International II S.á.r.l.			UnitedHealthcare International I				
		00000	98-1079459				UnitedHealthcare International III	LUX	NIA	UnitedHealthcare International II	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1077436				S.á.r.l.	LUX	NIA	S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1080926				UnitedHealthcare International IV S.á.r.l.	LUX	NIA	UnitedHealthcare International II	Ownership	100.000	UnitedHealth Group Incorporated	
							UnitedHealthcare International LATAM Corporation	DE	NIA	S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	00000	99-0382287				UnitedHealthcare Life Insurance Company	WI	IA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	97179	86-0207231				UnitedHealthcare of Alabama, Inc.	AL	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95784	63-0899562				UnitedHealthcare of Arizona, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96016	86-0507074				UnitedHealthcare of Arkansas, Inc.	AR	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95446	63-1036819				UnitedHealthcare of Colorado, Inc.	CO	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95090	84-1004639				UnitedHealthcare of Florida, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95264	59-1293865				UnitedHealthcare of Georgia, Inc.	GA	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95850	58-1653544				UnitedHealthcare of Illinois, Inc.	IL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95776	36-3280214				UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthCare Services, Inc.	Ownership	94.180	UnitedHealth Group Incorporated	11
0707	UnitedHealth Group Incorporated	96644	62-1240316				UnitedHealthcare of Louisiana, Inc.	LA	IA	UnitedHealthcare, Inc.	Ownership	5.820	UnitedHealth Group Incorporated	11
0707	UnitedHealth Group Incorporated	95833	72-1074008				UnitedHealthcare of Mississippi, Inc.	MS	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95716	63-1036817				UnitedHealthcare of New England, Inc.	RI	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95149	05-0413469				UnitedHealthcare of New Mexico, Inc.	NM	IA	UnitedHealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13214	26-2697886				UnitedHealthcare of New York, Inc.	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95085	06-1172891				UnitedHealthcare of North Carolina, Inc.	NC	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95103	56-1461010				UnitedHealthcare of Ohio, Inc.	OH	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95186	31-1142815				UnitedHealthcare of Oklahoma, Inc.	OK	IA	UnitedHealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96903	33-0115166				UnitedHealthcare of Oregon, Inc.	OR	IA	UnitedHealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95893	93-0938819				UnitedHealthcare of Pennsylvania, Inc.	PA	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95220	25-1756858				UnitedHealthcare of Texas, Inc.	TX	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95765	95-3939697				UnitedHealthcare of the Mid-Atlantic, Inc.	MD	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95025	52-1130183				UnitedHealthcare of the Midlands, Inc.	NE	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95591	47-0676824				UnitedHealthcare of the Midwest, Inc.	MO	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96385	43-1361841				UnitedHealthcare of Utah, Inc.	UT	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95501	41-1488563				UnitedHealthcare of Washington, Inc.	WA	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	48038	91-1312551				UnitedHealthcare of Wisconsin, Inc.	WI	IA	UnitedHealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95710	39-1555888											

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Perce-ntage	Ultimate Controlling Entity(ies)/Person(s)	*
...0707 ...	UnitedHealth Group Incorporated95378	36-3379945	UnitedHealthcare Plan of the River Valley, Inc.IL.....	..IA.....	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated
		..00000	47-0854646	UnitedHealthcare Service LLCDE.....	..NIA.....	UnitedHealthcare Insurance Company	Ownership.....	..100.000	UnitedHealth Group Incorporated
		..00000	36-3355110	UnitedHealthcare Services Company of the River Valley, Inc.DE.....	..NIA.....	UnitedHealthcare, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated
		..00000	01-0518346	UnitedHealthcare Specialty Benefits, LLCME.....	..NIA.....	Specialty Benefits, LLC	Ownership.....	..100.000	UnitedHealth Group Incorporated
		..00000	41-1922511	UnitedHealthcare, Inc.DE.....	..NIA.....	United HealthCare Services, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated
		..00000	37-0920164	UnitedHealthOne Agency, Inc.IN.....	..NIA.....	Golden Rule Financial Corporation	Ownership.....	..100.000	UnitedHealth Group Incorporated
		..00000	87-0757396	Valley Physicians Network, Inc.CA.....	..NIA.....	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated
		..00000	74-2797745	WellMed Medical Management of Florida, Inc.FL.....	..NIA.....	WellMed Medical Management, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated
		..00000	74-2786364	WellMed Medical Management, Inc.TX.....	..NIA.....	Collaborative Care Holdings, LLC	Ownership.....	..80.000	UnitedHealth Group Incorporated5....
		..00000	36-3437660	Wellness, Inc.IL.....	..NIA.....	OptumHealth Care Solutions, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated
		..00000	52-2102846	XLHealth CorporationMD.....	..NIA.....	United HealthCare Services, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated
		..00000	98-1107695	XLHealth Corporation India Private LimitedJND.....	..NIA.....	XLHealth Corporation	Ownership.....	..100.000	UnitedHealth Group Incorporated
		..00000	11-3764012	Your Health Options Insurance Services, Inc.CA.....	..NIA.....	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated
		..00000	27-0172594	Your Partner in Health Services, Inc.IL.....	..NIA.....	North American Medical Management - Illinois, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated

Asterisk	Explanation
01	The remaining percentage is owned by one or more officers of the Company.
02	The remaining 44.23% owned by Amico Saúde Ltda. and 10.60% owned by Esho – Empresa de Serviços Hospitalares S.A.
03	The remaining 2.54% owned by Amico Saúde Ltda. and 0.52% owned by ASL Assistência a Saúde Ltda.
04	The remaining 3.24% owned by Amico Saúde Ltda.; 0.01 owned by Treasury Shares and 2.02% owned by external shareholders.
05	The remaining percentage is owned by one or more non-affiliated companies or one or more external shareholders.
06	The remaining 30% is owned by HPP Boavista, S.A., HPP Lusiadas, S.A., HPP Algarve, S.A., HPP Saúde – Parcerias Cascais, S.A., and HPP Viseu, S.A. each owning 6%.
07	The remaining 50% is owned by Amico Saúde Ltda.
08	The remaining 1.30% owned by Amico Saúde Ltd.
09	The remaining 0.0065% is owned by UnitedHealth International, Inc.
10	The remaining 24.24% is owned by UnitedHealth Group International B.V.
11	The general partnership interest of 89.77% is held by UnitedHealthCare Services, Inc. (UHS) and 10.23% is held by UnitedHealthcare, Inc. (UHC). UHS also holds 100% of the limited partnership interests. When combining general and limited partner interests, UHS owns 94.18% and UHC owns 5.83%.
12	The remaining 10% is owned by Optum UK Solutions Group Limited.
13	The remaining 1 % owned by OptumInsight, Inc.
14	The remaining 0.004 % is owned by United Behavioral Health.
15	The remaining 0.208% is owned by Amico Saúde Ltda.
16	The remaining 0.63% is owned by UnitedHealth International, Inc.
17	The limited partners include FMG Holdings, LLC (2.9231%), UnitedHealthcare International LATAM Corporation (1.5228%), Hygeia Corporation (DE) (0.2158%) and UnitedHealth Group Incorporated (95.3384%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

Explanation:

Bar Code:

OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	61,964,458	64,031,829
2. Cost of bonds and stocks acquired	42,675,812	9,847,349
3. Accrual of discount	8,752	2,605
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals	107,771	167,868
6. Deduct consideration for bonds and stocks disposed of	12,536,763	10,906,893
7. Deduct amortization of premium	902,687	1,178,300
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	91,317,343	61,964,458
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	91,317,343	61,964,458

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a)	144,553,733	235,694,922	201,040,729	(664,158)	149,581,077	144,553,733	178,543,768	147,871,620
2. Class 2 (a)	6,049,388	2,739,669	876,904	358,523	5,724,265	6,049,388	8,270,676	5,711,383
3. Class 3 (a)	0				0	0	0	
4. Class 4 (a)	0				0	0	0	
5. Class 5 (a)	0				0	0	0	
6. Class 6 (a)	0				0	0	0	
7. Total Bonds	150,603,121	238,434,591	201,917,633	(305,635)	155,305,342	150,603,121	186,814,444	153,583,003
PREFERRED STOCK								
8. Class 1	0				0	0	0	0
9. Class 2	0				0	0	0	0
10. Class 3	0				0	0	0	0
11. Class 4	0				0	0	0	0
12. Class 5	0				0	0	0	0
13. Class 6	0				0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	150,603,121	238,434,591	201,917,633	(305,635)	155,305,342	150,603,121	186,814,444	153,583,003

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$36,086 ; NAIC 2 \$0 ; NAIC 3 \$0 ;
NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	92,497,101	xxx	92,497,200	8,019	156

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	90,618,571	69,436,259
2. Cost of short-term investments acquired	447,162,325	513,868,369
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	445,283,696	492,675,737
7. Deduct amortization of premium	99	10,320
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	92,497,101	90,618,571
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	92,497,101	90,618,571

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards
N O N E

Schedule DB - Part B - Verification - Futures Contracts
N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open
N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open
N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives
N O N E

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	999,974	
2. Cost of cash equivalents acquired	5,859,937	999,968
3. Accrual of discount	89	6
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	3,860,000	
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	3,000,000	999,974
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	3,000,000	999,974

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
36204D-UG-2	GNMA Pool GN366983 MBS 4.000% 06/15/41		07/24/2013	Goldman Sachs		988,516	944,113	2,937	1
3620A5-AB-2	GNMA Pool 719231 MBS 4.500% 07/15/40		08/16/2013	CitiGroup		434,449	408,893	.971	1
3620A9-4H-1	GNMA Pool 723624 MBS 4.500% 01/15/40		08/15/2013	JP Morgan Chase		452,739	424,547	1,008	1
3620AP-KC-8	GNMA Pool 735691 MBS 4.500% 04/15/40		08/06/2013	Barclays Group Inc.		530,916	497,782	1,182	1
3620AR-YR-6	GNMA Pool 737920 MBS 4.500% 01/15/41		08/13/2013	Nomura Securities		475,467	445,924	1,059	1
0599999. Subtotal - Bonds - U.S. Governments						2,882,087	2,721,259	7,157	XXX
13063B-L3-4	CALIFORNIA ST GO Cont Call 5.000% 09/01/23		08/27/2013	Goldman Sachs		1,674,915	1,500,000	37,292	1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						1,674,915	1,500,000	37,292	XXX
64966J-RU-2	NEW YORK NY GO Cont Call 5.000% 10/01/22		07/25/2013	Goldman Sachs		1,142,350	1,000,000	16,528	1FE
64966J-UY-0	NEW YORK NY GO Non Call 5.000% 08/01/21		07/12/2013	CitiGroup		1,170,710	1,000,000	23,056	1FE
758449-PR-7	REEDY CREEK FL I GO Cont Call 5.000% 06/01/24		08/29/2013	Raymond James & Assoc		1,093,450	1,000,000	.0	1FE
796237-YW-9	SAN ANTONIO TX GO Cont Call 5.000% 02/01/24		08/08/2013	JP Morgan Chase		1,147,730	1,000,000	1,667	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						4,554,240	4,000,000	41,251	XXX
047870-LQ-1	ATLANTA GA WTR & Rev Bond Non Call 5.000% 11/01/18		08/28/2013	Goldman Sachs		1,148,870	1,000,000	.0	1FE
3135G0-WU-8	FNMA Note Non Call 0.875% 05/21/18		07/25/2013	Nomura Securities		966,592	1,000,000	1,580	1
3135G0-YE-7	FNMA Note Non Call 0.625% 08/26/16		08/13/2013	Nomura Securities		2,855,056	2,870,000	1,246	1
46613P-U2-0	JEI FL WTR & SWR Rev Bond Non Call 5.000% 10/01/20	E	07/26/2013	JP Morgan Chase		1,921,920	1,650,000	.0	1FE
57583U-YX-0	MASSACHUSETTS ST Rev Bond Non Call 5.000% 07/01/20		08/14/2013	Barclays Group Inc.		1,172,580	1,000,000	.0	1FE
59259N-V4-2	MET TRANSPRTN AU Rev Bond Cont Call 5.000% 11/15/24		08/07/2013	CitiGroup		797,552	700,000	.0	1FE
59259Y-F9-5	MET TRANSPRTN AU Rev Bond Cont Call 5.000% 11/15/24	E	07/03/2013	RBC Capital Markets		561,825	500,000	.0	1FE
60636M-DJ-3	MISSOURI ST REGL Rev Bond Non Call 5.000% 08/15/19		08/01/2013	Wachovia Bank		1,165,380	1,000,000	.0	1FE
60636M-DL-8	MISSOURI ST REGL Rev Bond Non Call 5.000% 08/15/21		08/12/2013	Wachovia Bank		1,156,450	1,000,000	.0	1FE
649907-TX-0	NEW YORK ST DORM Rev Bond Non Call 5.000% 07/01/23		08/22/2013	Merrill Lynch		1,343,274	1,205,000	.0	1FE
89602N-ZE-7	TRIBOROUGH NY BR Rev Bond Cont Call 5.000% 11/15/23	E	07/17/2013	CitiGroup		578,070	500,000	4,653	1FE
913366-FV-7	UNIV OF CALIFORN Rev Bond Cont Call 5.000% 05/15/24		08/08/2013	Wachovia Bank		561,825	500,000	.0	1FE
3199999. Subtotal - Bonds - U.S. Special Revenues						14,229,394	12,925,000	7,479	XXX
00206R-AJ-1	AT&T Inc Corp Note Cont Call 5.500% 02/01/18		07/26/2013	Wachovia Bank		115,265	100,000	2,750	1FE
020002-AZ-4	Allstate Corp Note MW 15BP 3.150% 06/15/23		07/25/2013	Millennium Advisors		98,178	100,000	464	1FE
025537-AF-8	AMER ELEC PWR Corp Note Call MW 1.650% 12/15/17	E	07/26/2013	Merrill Lynch		98,092	100,000	211	2FE
025816-BG-3	AMERICAN EXPRESS Corp Note Non Call 1.550% 05/22/18	E	07/25/2013	Nomura Securities		146,330	150,000	439	1FE
03065C-AE-9	AMERICREDIT AUTO AMCAR 2013-4 C ABS 2.720% 09/09/19		08/07/2013	JP Morgan Chase		249,937	250,000	.0	1FE
039483-AY-8	Archer Daniels Corp Note MW 30BP PPut 5.450% 03/15/18		07/29/2013	Millennium Advisors		115,837	100,000	2,059	1FE
053332-AL-6	AutoZone Inc Corp Note Call MW 25BP 4.000% 11/15/20		07/29/2013	Millennium Advisors		103,486	100,000	844	2FE
06051G-ET-2	Bank of America Corp Note Non Call 2.000% 01/11/18		07/25/2013	CitiGroup		195,492	200,000	211	1FE
101137-AM-9	BOSTON SCIENTIFC Corp Note MW 2.650% 10/01/18		08/08/2013	Merrill Lynch		94,956	95,000	.0	2FE
12189T-BA-1	BURLINGTON NORTH Corp Note MW PPut 5.750% 03/15/18	E	07/29/2013	Merrill Lynch		232,490	200,000	4,344	2FE
13975D-AF-2	CAPITAL AUTO REC AFIN 2013-3 C ABS 2.790% 10/22/18		08/14/2013	Credit Suisse		449,939	450,000	.0	1FE
14313N-AB-8	CARMAX AUTO OWNE CARMAX 2013-3 A2 ABS 0.590% 04/15/15		07/31/2013	JP Morgan Chase		729,934	730,000	.0	1FE
172967-GS-4	CITIGROUP INC Corp Note Non Call 1.750% 05/01/18	E	07/25/2013	Deutsche Bank		193,026	200,000	865	1FE
20030N-BF-7	COMCAST CORP Corp Note MW 2.850% 01/15/23	E	07/26/2013	CitiGroup		95,630	100,000	127	1FE
25459H-BH-7	DirectTV Holding Corp Note MW 20BP 1.750% 01/15/18		07/26/2013	Wachovia Bank		97,480	100,000	.78	2FE
25746U-BL-2	Dominion Resources Corp Note MW 15BP 4.450% 03/15/21		07/26/2013	Goldman Sachs		108,484	100,000	1,681	2FE
280543-BX-0	Dow Chemical Corp Note MW 50BP 8.550% 05/15/19		07/26/2013	Barclays Group Inc.		129,476	100,000	1,805	2FE
283534-CX-3	Dupont E I De Nemours Corp Note MW 12.5BP 2.800% 02/15/23		07/26/2013	FTN Financial Services		94,262	100,000	1,291	1FE
28875P-AK-7	EOG Resources Corp Note Call MW 20BP 2.625% 03/15/23		07/26/2013	Credit Suisse		93,974	100,000	992	1FE
28884L-AB-5	EQT Corp Note MW 45BP 4.875% 11/15/21		07/26/2013	Millennium Advisors		104,130	100,000	1,029	2FE
30161M-AE-3	EXELON GENERATIO Corp Note MW 6.200% 10/01/17		08/19/2013	Millennium Advisors		114,329	100,000	2,428	2FE
34540U-AA-7	Ford Motor Credit Corp Note Non Call 2.375% 01/16/18		07/26/2013	Merrill Lynch		197,170	200,000	198	2FE
38141G-RC-0	GOLDMAN SACHS GP Corp Note Non Call 2.375% 01/22/18		07/25/2013	Jefferys and Company		98,833	100,000	.53	1FE
40414L-AH-2	HCP INC Corp Note Call MW 2.625% 02/01/20	E	07/25/2013	Wachovia Bank		142,602	150,000	1,958	2FE
44890P-AB-1	HYUNDAI AUTO LEA HALST 2013-B A2 ABS Prv Plc 0.750% 03/15/16		08/07/2013	Merrill Lynch		499,960	500,000	.0	1FE
46625H-JJ-0	JP Morgan Chase Corp Note Non Call 3.375% 05/01/23		07/25/2013	Nomura Securities		186,016	200,000	1,669	1FE
46640L-AA-0	JPMBB 2013-C14 A1 JPMBB 2013-C14 A1 CMBS 1.260% 08/15/46		08/02/2013	JP Morgan Chase		435,000	435,000	274	1FE
494550-BL-9	KINDER MORGAN EN Corp Note Call MW 3.950% 09/01/22	E	07/26/2013	Wachovia Bank		100,088	100,000	1,646	2FE
501044-CQ-2	KROGER CO/THE Corp Note Call MW 3.400% 04/15/22	E	07/29/2013	Morgan Stanley		148,124	150,000	1,502	2FE
59156R-AX-6	METLIFE INC Corp Note MW 25BP 4.750% 02/08/21		07/25/2013	Millennium Advisors		220,184	200,000	4,539	1FE
652482-CH-1	NEWS AMER INC Corp Note MW Prv Plc 4.000% 10/01/23		09/25/2013	JP Morgan Chase		44,729	45,000	.0	2FE
65477L-AD-2	Nissan Auto Rec Tr NAROT 2013-B A4 ABS 1.310% 10/15/19		07/24/2013	JP Morgan Chase		499,889	500,000	.0	1FE
658444-BL-1	NORFOLK SOUTHERN Corp Note Call MW 2.903% 02/15/23	E	07/26/2013	Deutsche Bank		190,214	200,000	2,677	2FE
69352P-AF-0	PPL Cap Fnd Inc Corp Note Call MW 25BP 3.400% 06/01/23		07/26/2013	Barclays Group Inc.		191,590	200,000	1,266	2FE
74432Q-BT-1	PRUDENTIAL FIN Corp Note Call MW 40BP 4.500% 11/16/21		07/25/2013	Wachovia Bank		214,624	200,000	1,850	2FE

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
760759-AM-2	REPUBLIC SVCS Corp Note Call MW 4.750% 05/15/23	E	.07/26/2013	Merrill Lynch		106,118	100,000	1,003	2FE
80283H-AE-2	Santander Drive Auto SDART 2013-4 C ABS 3.250% 01/15/20		.07/11/2013	Credit Suisse		104,983	105,000	.0	1FE
828807-CN-5	Simon Property Corp Note Call MW 20BP 2.750% 02/01/23		.07/26/2013	Deutsche Bank		93,143	100,000	1,711	1FE
887317-AK-1	Time Warner Corp Note MW 25BP 4.750% 03/29/21		.07/26/2013	JP Morgan Chase		108,590	100,000	1,610	2FE
89417E-AG-4	Travelers Corp Note MW 15BP 3.900% 11/01/20		.08/19/2013	CitiGroup		105,355	100,000	1,203	1FE
92890P-AB-0	WF-RBS COMM WFRBS 2013-C14 A2 CMBS 2.133% 06/15/46		.08/09/2013	Wachovia Bank		469,927	470,000	.362	1FE
94106L-AY-5	Waste Management Corp Note Call MW 20BP 2.900% 09/15/22		.07/29/2013	Deutsche Bank		93,570	100,000	1,096	2FE
94974B-FC-9	WELLS FARGO CO Corp Note Non Call 3.500% 03/08/22		.07/25/2013	Barclays Group Inc.		151,441	150,000	2,071	1FE
055650-CE-6	BP CAPITAL PLC Corp Note MW 10BP 1.375% 05/10/18	F	.07/26/2013	Nomura Securities		194,628	200,000	.619	1FE
76720A-AE-6	RIO TINTO FINANC Corp Note Call MW 1.625% 08/21/17	F	.07/26/2013	SG Cowen and Company		147,957	150,000	1,083	1FE
89641U-AA-9	TRINITY ACQ PLC Corp Note MW 4.625% 08/15/23	F	.08/08/2013	Barclays Group Inc.		119,327	120,000	.0	2FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						8,524,789	8,450,000	50,008	XXX
8399997. Total - Bonds - Part 3						31,865,425	29,596,259	143,187	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX
8399999. Total - Bonds						31,865,425	29,596,259	143,187	XXX
8999997. Total - Preferred Stocks - Part 3						0	XXX	0	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	XXX
9799997. Total - Common Stocks - Part 3						0	XXX	0	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	XXX
9999999 - Totals						31,865,425	XXX	143,187	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Ident-ification	Description	For-ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)	
36204D-UG-2	GNMA Pool GN366983 MBS 4.000% 06/15/41		08/01/2013	Paydown		21,675	21,675	22,695	0	0	(1,019)	0	(1,019)	0	21,675	0	0	0	.72	06/15/2041	1	
36204D-UG-2	GNMA Pool GN366983 MBS 4.000% 06/15/41		09/01/2013	Paydown		12,556	12,556	13,146	0	0	(591)	0	(591)	0	12,556	0	0	0	.84	06/15/2041	1	
3620A5-A8-2	GNMA Pool 719231 MBS 4.500% 07/15/40		09/01/2013	Paydown		12,067	12,067	12,821	0	0	(754)	0	(754)	0	12,067	0	0	0	.45	07/15/2040	1	
3620A9-4H-1	GNMA Pool 723624 MBS 4.500% 01/15/40		09/01/2013	Paydown		8,734	8,734	9,314	0	0	(580)	0	(580)	0	8,734	0	0	0	.33	01/15/2040	1	
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		07/01/2013	Paydown		7,831	7,831	8,387	0	0	(556)	0	(556)	0	7,831	0	0	0	.26	10/15/2039	1	
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		08/01/2013	Paydown		581	581	622	0	0	(41)	0	(41)	0	581	0	0	0	.4	10/15/2039	1	
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		09/01/2013	Paydown		3,210	3,210	3,438	0	0	(228)	0	(228)	0	3,210	0	0	0	.32	10/15/2039	1	
3620AP-KC-8	GNMA Pool 735691 MBS 4.500% 04/15/40		09/01/2013	Paydown		10,244	10,244	10,926	0	0	(682)	0	(682)	0	10,244	0	0	0	.38	04/15/2040	1	
3620AR-YR-6	GNMA Pool 737920 MBS 4.500% 01/15/41		09/01/2013	Paydown		3,050	3,050	3,252	0	0	(202)	0	(202)	0	3,050	0	0	0	.11	01/15/2041	1	
0599999. Subtotal - Bonds - U.S. Governments											0	(4,653)	0	(4,653)	0	79,948	0	0	0	345	XXX	XXX
052430-FG-5	AUSTIN TX INDEP GO Non Call 5.000% 08/01/13		08/01/2013	Maturity		900,000	900,000	1,009,512	922,248	0	(22,248)	0	(22,248)	0	900,000	0	0	0	45,000	08/01/2013	1FE	
64966J-ZU-3	NEW YORK NY GO Non Call 5.000% 08/01/14		09/03/2013	Tax Free Exchange		36,185	35,000	38,022	37,054	0	(869)	0	(869)	0	36,185	0	0	0	1,906	08/01/2014	1FE	
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions											0	(23,117)	0	(23,117)	0	936,185	0	0	0	46,906	XXX	XXX
3128PR-LN-7	FHLMC Pool J12133 MBS 4.000% 05/01/25		07/01/2013	Paydown		134,819	134,819	141,160	140,690	0	(5,871)	0	(5,871)	0	134,819	0	0	0	3,146	05/01/2025	1	
3128PR-LN-7	FHLMC Pool J12133 MBS 4.000% 05/01/25		08/01/2013	Paydown		7,977	7,977	8,352	8,324	0	(347)	0	(347)	0	7,977	0	0	0	213	05/01/2025	1	
3128PR-LN-7	FHLMC Pool J12133 MBS 4.000% 05/01/25		09/01/2013	Paydown		6,979	6,979	7,307	7,283	0	(304)	0	(304)	0	6,979	0	0	0	209	05/01/2025	1	
3128PT-MF-9	FHLMC Pool J13958 MBS 4.000% 12/01/25		07/01/2013	Paydown		13,968	13,968	14,424	14,395	0	(427)	0	(427)	0	13,968	0	0	0	326	12/01/2025	1	
3128PT-MF-9	FHLMC Pool J13958 MBS 4.000% 12/01/25		08/01/2013	Paydown		10,189	10,189	10,522	10,501	0	(312)	0	(312)	0	10,189	0	0	0	272	12/01/2025	1	
3128PT-MF-9	FHLMC Pool J13958 MBS 4.000% 12/01/25		09/01/2013	Paydown		11,218	11,218	11,584	11,561	0	(343)	0	(343)	0	11,218	0	0	0	337	12/01/2025	1	
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		07/01/2013	Paydown		1,230	1,230	1,283	1,283	0	(53)	0	(53)	0	1,230	0	0	0	22	11/01/2042	1	
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		08/01/2013	Paydown		448	448	467	467	0	(19)	0	(19)	0	448	0	0	0	9	11/01/2042	1	
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		09/01/2013	Paydown		477	477	498	498	0	(20)	0	(20)	0	477	0	0	0	11	11/01/2042	1	
3132HQ-DB-5	FHLMC Pool Q13698 MBS 3.000% 12/01/42		07/01/2013	Paydown		4,323	4,323	4,527	4,526	0	(203)	0	(203)	0	4,323	0	0	0	76	12/01/2042	1	
3132HQ-DB-5	FHLMC Pool Q13698 MBS 3.000% 12/01/42		08/01/2013	Paydown		7,917	7,917	8,290	8,289	0	(373)	0	(373)	0	7,917	0	0	0	158	12/01/2042	1	
3132HQ-DB-5	FHLMC Pool Q13698 MBS 3.000% 12/01/42		09/01/2013	Paydown		327	327	343	343	0	(15)	0	(15)	0	327	0	0	0	7	12/01/2042	1	
3132HQ-M4-1	FHLMC Pool Q13979 MBS 3.000% 12/01/42		07/01/2013	Paydown		221	221	231	231	0	(10)	0	(10)	0	221	0	0	0	4	12/01/2042	1	
3132HQ-M4-1	FHLMC Pool Q13979 MBS 3.000% 12/01/42		08/01/2013	Paydown		222	222	232	232	0	(10)	0	(10)	0	222	0	0	0	4	12/01/2042	1	
3132HQ-M4-1	FHLMC Pool Q13979 MBS 3.000% 12/01/42		09/01/2013	Paydown		278	278	291	291	0	(13)	0	(13)	0	278	0	0	0	6	12/01/2042	1	
3132HQ-MR-0	FHLMC Pool Q13968 MBS 3.000% 12/01/42		07/01/2013	Paydown		181	181	190	190	0	(9)	0	(9)	0	181	0	0	0	3	12/01/2042	1	
3132HQ-MR-0	FHLMC Pool Q13968 MBS 3.000% 12/01/42		08/01/2013	Paydown		182	182	191	191	0	(9)	0	(9)	0	182	0	0	0	4	12/01/2042	1	
3132HQ-MR-0	FHLMC Pool Q13968 MBS 3.000% 12/01/42		09/01/2013	Paydown		181	181	190	190	0	(9)	0	(9)	0	181	0	0	0	4	12/01/2042	1	
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		07/01/2013	Paydown		1,970	1,970	2,070	2,070	0	(101)	0	(101)	0	1,970	0	0	0	7	12/01/2040	1	
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		08/01/2013	Paydown		1,465	1,465	1,540	1,540	0	(75)	0	(75)	0	1,465	0	0	0	10	12/01/2040	1	
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		09/01/2013	Paydown		1,077	1,077	1,132	1,132	0	(55)	0	(55)	0	1,077	0	0	0	11	12/01/2040	1	
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		07/01/2013	Paydown		4,073	4,073	4,287	4,287	0	(214)	0	(214)	0	4,073	0	0	0	14	03/01/2041	1	
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		08/01/2013	Paydown		3,271	3,271	3,444	3,444	0	(172)	0	(172)	0	3,271	0	0	0	22	03/01/2041	1	
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		09/01/2013	Paydown		1,994	1,994	2,099	2,099	0	(105)	0	(105)	0	1,994	0	0	0	20	03/01/2041	1	
3138AM-3U-7	FNMA Pool A18010 MBS 3.500% 08/01/26		07/01/2013	Paydown		18,656	18,656	19,628	19,628	0	(971)	0	(971)	0	18,656	0	0	0	381	08/01/2026	1	
3138AM-3U-7	FNMA Pool A18010 MBS 3.500% 08/01/26		08/01/2013	Paydown		1,272	1,272	1,340	1,338	0	(66)	0	(66)	0	1,272	0	0	0	30	08/01/2026	1	
3138AM-3U-7	FNMA Pool A18010 MBS 3.500% 08/01/26		09/01/2013	Paydown		1,269	1,269	1,337	1,335	0	(66)	0	(66)	0	1,269	0	0	0	33	08/01/2026	1	
3138AV-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		07/01/2013	Paydown		1,334	1,334	1,408	1,408	0	(74)	0	(74)	0	1,334	0	0	0	4	10/01/2041	1	
3138AV-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		08/01/2013	Paydown		97	97	103	103	0	(5)	0	(5)	0	97	0	0	0	1	10/01/2041	1	
3138AV-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		09/01/2013	Paydown		1,286	1,286	1,357	1,357	0	(71)	0	(71)	0	1,286	0	0	0	13	10/01/2041	1	
3138EO-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		07/01/2013	Paydown		4,104	4,104	4,323	4,323	0	(219)	0	(219)	0	4,104	0	0	0	14	12/01/2041	1	
3138EO-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		0																			

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation or Market In-dicator (a)
3138LY-HB-6	FNMA Pool A07425 MBS 3.500% 08/01/42		08/01/2013	Paydown		258	258	273	273	.0	(15)	.0	(15)	.0	258	.0	.0	.0	.6	08/01/2042	1FE
3138LY-HB-6	FNMA Pool A07425 MBS 3.500% 08/01/42		09/01/2013	Paydown		258	258	273	273	.0	(15)	.0	(15)	.0	258	.0	.0	.0	.7	08/01/2042	1FE
3138M1-JG-4	FNMA Pool A09262 MBS 3.500% 07/01/42		07/01/2013	Paydown		4,938	4,938	5,209	5,207	.0	(269)	.0	(269)	.0	4,938	.0	.0	.0	101	07/01/2042	1FE
3138M1-JG-4	FNMA Pool A09262 MBS 3.500% 07/01/42		08/01/2013	Paydown		6,062	6,062	6,394	6,392	.0	(330)	.0	(330)	.0	6,062	.0	.0	.0	141	07/01/2042	1FE
3138M1-JG-4	FNMA Pool A09262 MBS 3.500% 07/01/42		09/01/2013	Paydown		317	317	335	335	.0	(17)	.0	(17)	.0	317	.0	.0	.0	.8	07/01/2042	1FE
3138MH-3J-0	FNMA Pool A02600 MBS 3.500% 12/01/42		07/01/2013	Paydown		149	149	160	.0	.0	(11)	.0	(11)	.0	149	.0	.0	.0	.3	12/01/2042	1FE
3138MH-3J-0	FNMA Pool A02600 MBS 3.500% 12/01/42		08/01/2013	Paydown		174	174	187	.0	.0	(13)	.0	(13)	.0	174	.0	.0	.0	.4	12/01/2042	1FE
3138MH-3J-0	FNMA Pool A02600 MBS 3.500% 12/01/42		09/01/2013	Paydown		173	173	186	.0	.0	(13)	.0	(13)	.0	173	.0	.0	.0	.4	12/01/2042	1FE
3138ML-FS-8	FNMA Pool A04676 MBS 3.500% 11/01/42		07/01/2013	Paydown		417	417	447	.0	.0	(30)	.0	(30)	.0	417	.0	.0	.0	.7	11/01/2042	1FE
3138ML-FS-8	FNMA Pool A04676 MBS 3.500% 11/01/42		08/01/2013	Paydown		335	335	359	.0	.0	(24)	.0	(24)	.0	335	.0	.0	.0	.7	11/01/2042	1FE
3138ML-FS-8	FNMA Pool A04676 MBS 3.500% 11/01/42		09/01/2013	Paydown		409	409	439	.0	.0	(30)	.0	(30)	.0	409	.0	.0	.0	.8	11/01/2042	1FE
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		07/01/2013	Paydown		484	484	510	510	.0	(26)	.0	(26)	.0	484	.0	.0	.0	.8	11/01/2042	1FE
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		08/01/2013	Paydown		464	464	489	489	.0	(25)	.0	(25)	.0	464	.0	.0	.0	.9	11/01/2042	1FE
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		09/01/2013	Paydown		465	465	490	490	.0	(25)	.0	(25)	.0	465	.0	.0	.0	.9	11/01/2042	1FE
3138MN-R2-8	FNMA Pool A06804 MBS 3.500% 11/01/42		07/01/2013	Paydown		198	198	212	.0	.0	(15)	.0	(15)	.0	198	.0	.0	.0	.3	11/01/2042	1FE
3138MN-R2-8	FNMA Pool A06804 MBS 3.500% 11/01/42		08/01/2013	Paydown		5,999	5,999	6,441	.0	.0	(442)	.0	(442)	.0	5,999	.0	.0	.0	123	11/01/2042	1FE
3138MN-R2-8	FNMA Pool A06804 MBS 3.500% 11/01/42		09/01/2013	Paydown		186	186	200	.0	.0	(14)	.0	(14)	.0	186	.0	.0	.0	.4	11/01/2042	1FE
3138MS-A4-1	FNMA Pool A09926 MBS 3.000% 12/01/42		07/01/2013	Paydown		154	154	162	162	.0	(8)	.0	(8)	.0	154	.0	.0	.0	.3	12/01/2042	1FE
3138MS-A4-1	FNMA Pool A09926 MBS 3.000% 12/01/42		08/01/2013	Paydown		154	154	162	162	.0	(8)	.0	(8)	.0	154	.0	.0	.0	.3	12/01/2042	1FE
3138MS-A4-1	FNMA Pool A09926 MBS 3.000% 12/01/42		09/01/2013	Paydown		154	154	162	162	.0	(8)	.0	(8)	.0	154	.0	.0	.0	.4	12/01/2042	1FE
3138NW-YS-3	FNMA Pool A06888 MBS 3.000% 12/01/42		07/01/2013	Paydown		73	73	77	77	.0	(4)	.0	(4)	.0	73	.0	.0	.0	.1	12/01/2042	1FE
3138NW-YS-3	FNMA Pool A06888 MBS 3.000% 12/01/42		08/01/2013	Paydown		74	74	77	77	.0	(4)	.0	(4)	.0	74	.0	.0	.0	.1	12/01/2042	1FE
3138NW-YS-3	FNMA Pool A06888 MBS 3.000% 12/01/42		09/01/2013	Paydown		74	74	77	77	.0	(4)	.0	(4)	.0	74	.0	.0	.0	.2	12/01/2042	1FE
3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		07/01/2013	Paydown		1,165	1,165	1,209	.0	.0	(45)	.0	(45)	.0	1,165	.0	.0	.0	.3	02/01/2043	1FE
3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		08/01/2013	Paydown		983	983	1,021	.0	.0	(38)	.0	(38)	.0	983	.0	.0	.0	.6	02/01/2043	1FE
3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		09/01/2013	Paydown		1,071	1,071	1,112	.0	.0	(41)	.0	(41)	.0	1,071	.0	.0	.0	.9	02/01/2043	1FE
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		07/01/2013	Paydown		2,731	2,731	2,986	2,949	.0	(218)	.0	(218)	.0	2,731	.0	.0	.0	.88	06/01/2020	1FE
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		08/01/2013	Paydown		2,980	2,980	3,258	3,218	.0	(238)	.0	(238)	.0	2,980	.0	.0	.0	109	06/01/2020	1FE
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		09/01/2013	Paydown		2,731	2,731	2,986	2,949	.0	(218)	.0	(218)	.0	2,731	.0	.0	.0	113	06/01/2020	1FE
31417A-4Z-9	FNMA Pool AB4439 MBS 3.500% 02/01/42		07/01/2013	Paydown		285	285	302	301	.0	(17)	.0	(17)	.0	285	.0	.0	.0	.6	02/01/2042	1FE
31417A-4Z-9	FNMA Pool AB4439 MBS 3.500% 02/01/42		08/01/2013	Paydown		286	286	303	302	.0	(17)	.0	(17)	.0	286	.0	.0	.0	.7	02/01/2042	1FE
31417A-4Z-9	FNMA Pool AB4439 MBS 3.500% 02/01/42		09/01/2013	Paydown		287	287	304	303	.0	(17)	.0	(17)	.0	287	.0	.0	.0	.8	02/01/2042	1FE
31417D-M7-5	FNMA Pool AB6681 MBS 3.000% 10/01/42		07/01/2013	Paydown		249	249	261	261	.0	(12)	.0	(12)	.0	249	.0	.0	.0	.4	10/01/2042	1FE
31417D-M7-5	FNMA Pool AB6681 MBS 3.000% 10/01/42		08/01/2013	Paydown		290	290	304	304	.0	(14)	.0	(14)	.0	290	.0	.0	.0	.6	10/01/2042	1FE
31417D-M7-5	FNMA Pool AB6681 MBS 3.000% 10/01/42		09/01/2013	Paydown		1,738	1,738	1,823	1,823	.0	(86)	.0	(86)	.0	1,738	.0	.0	.0	.39	10/01/2042	1FE
31417E-CM-1	FNMA Pool AB7275 MBS 3.000% 12/01/42		07/01/2013	Paydown		356	356	373	373	.0	(18)	.0	(18)	.0	356	.0	.0	.0	.6	12/01/2042	1FE
31417E-CM-1	FNMA Pool AB7275 MBS 3.000% 12/01/42		08/01/2013	Paydown		4,208	4,208	4,417	4,416	.0	(208)	.0	(208)	.0	4,208	.0	.0	.0	.84	12/01/2042	1FE
31417E-CM-1	FNMA Pool AB7275 MBS 3.000% 12/01/42		09/01/2013	Paydown		1,660	1,660	1,742	1,742	.0	(82)	.0	(82)	.0	1,660	.0	.0	.0	.37	12/01/2042	1FE
31418V-CB-6	FNMA Pool AD7265 MBS 4.000% 07/01/25		07/01/2013	Paydown		3,810	3,810	3,990	3,978	.0	(168)	.0	(168)	.0	3,810	.0	.0	.0	.89	07/01/2025	1FE
31418V-CB-6	FNMA Pool AD7265 MBS 4.000% 07/01/25		08/01/2013	Paydown		16,833	16,833	17,627	17,575	.0	(742)	.0	(742)	.0	16,833	.0	.0	.0	449	07/01/2025	1FE
31418V-CB-6	FNMA Pool AD7265 MBS 4.000% 07/01/25		09/01/2013	Paydown		12,732	12,732	13,333	13,294	.0	(561)	.0	(561)	.0	12,732	.0	.0	.0	382	07/01/2025	1FE
31419H-A2-8	FNMA Pool AE6324 MBS 4.000% 11/01/25		07/01/2013	Paydown		2,908	2,908	3,093	3,080	.0	(172)	.0	(172)	.0	2,908	.0	.0	.0	.68	11/01/2025	1FE
31419H-A2-8	FNMA Pool AE6324 MBS 4.000% 11/01/25		08/01/2013	Paydown		5,629	5,629	5,987	5,961	.0	(332)	.0	(332)	.0	5,629	.0	.0	.0	150	11/01/2025	1FE
31419H-A2-8	FNMA Pool AE6324 MBS 4.000% 11/01/25		09/01/2013	Paydown		2,846	2,846	3,027	3,014	.0	(168)	.0	(168)	.0	2,846	.0	.0	.0	.85	11/01/2025	1FE
544525-EF-1	07/01/13		07/01/2013	Maturity		1,000,000	1,000,000	1,093,610	1,019,719	.0	(19,719)	.0	(19,719)	.0	1,000,000	.0	.0	.0	50,000	07/01/2013	1FE
745190-3A-4	5.000% 07/01/13		07/01/2013	Maturity		225,000	225,000	233,169	227,375	.0	(2,375)	.0	(2,375)	.0	225,000	.0	.0	.0	11,250	07/01/2013	1FE
745190-3B-2	5.000% 07/01/13		07/01/2013	Maturity		775,000	775,000	803,137	783,180	.0	(8,180)	.0	(8,180)	.0	775,000	.0	.0	.0	38,750	07/01/2013	2FE
3199999	Subtotal - Bonds - U.S. Special Revenues					2,373,303	2,373,303	2,521,704	2,343,337	0	(47,845)	0	(47,845)	0	2,373,303	0	0	0	107,862	XXX	XXX
29372E-AB-4	Enterprise Fleet EFF 2011-2 A2 ABS Prv Plc 1.430% 10/20/16		07/20/2013	Paydown		14,252	14,252	14,252	14,252	.0	.0	.0	.0	.0	14,252	.0	.0	.0	119	10/20/2016	1FE
29372E-AB-4	Enterprise Fleet EFF 2011-2 A2 ABS Prv Plc 1.430% 10/20/16		08/20/2013	Paydown		14,923	14,923	14,923	14,923	.0	.0	.0	.0	.0	14,923	.0	.0	.0	142	10/20/2016	1FE
29372E-AB-4	Enterprise Fleet EFF 2011-2 A2 ABS Prv Plc 1.430% 10/20/16		09/20/2013	Paydown		13,892	13,892	13,892	13,892	.0	.0	.0	.0	.0	13,892	.0	.0	.0	149	10/20/2016	1FE

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received DuringYear	Stated Con- tractual Maturity Date	NAIC Desig- nation or Market In- dicator (a)
46640L-AA-0	JPMBB 2013-C14 A1 JPMBB 2013-C14 A1 CMBS 1.260% 08/15/46		09/01/2013	Paydown		5,589	5,589	5,589	.0	.0	.0	.0	.0	.0	5,589	.0	.0	.0	.6	08/15/2046	1FE
493268-BY-1	Keycorp Student Loan Tr KSLT 2004-A 2A2 ABS 0.564% 10/28/41		07/29/2013	Paydown		18,357	18,357	18,206	.0	.0	152	.0	152	.0	18,357	.0	.0	.0	.31	10/28/2041	1FE
73328W-AB-7	Porsche Lease Own PILOT 2012-1 A2 ABS Prv Plc 0.440% 02/23/15		07/21/2013	Paydown		10,607	10,607	10,606	10,606	.0	.1	.0	.1	.0	10,607	.0	.0	.0	.27	02/23/2015	1FE
73328W-AB-7	Porsche Lease Own PILOT 2012-1 A2 ABS Prv Plc 0.440% 02/23/15		08/21/2013	Paydown		13,208	13,208	13,207	13,208	.0	.1	.0	.1	.0	13,208	.0	.0	.0	.39	02/23/2015	1FE
73328W-AB-7	Porsche Lease Own PILOT 2012-1 A2 ABS Prv Plc 0.440% 02/23/15		09/21/2013	Paydown		11,403	11,403	11,402	11,402	.0	.1	.0	.1	.0	11,403	.0	.0	.0	.38	02/23/2015	1FE
81744Y-AB-2	Sequoia Mtg Tr SEMT 2013-4 A2 ABS 2.500% 04/25/43		07/01/2013	Paydown		829	829	810	.0	.0	19	.0	19	.0	829	.0	.0	.0	.3	04/25/2043	1FE
81744Y-AB-2	Sequoia Mtg Tr SEMT 2013-4 A2 ABS 2.500% 04/25/43		08/01/2013	Paydown		1,228	1,228	1,200	.0	.0	28	.0	28	.0	1,228	.0	.0	.0	.8	04/25/2043	1FE
81744Y-AB-2	Sequoia Mtg Tr SEMT 2013-4 A2 ABS 2.500% 04/25/43		09/01/2013	Paydown		952	952	931	.0	.0	21	.0	21	.0	952	.0	.0	.0	.8	04/25/2043	1FE
90270Y-BC-2	UBS-Barclays Comm Mtge Tr UBSBB 2013-C5 A1 CMBS 0.779% 03/10/46		07/01/2013	Paydown		4,848	4,848	4,848	.0	.0	.0	.0	.0	.0	4,848	.0	.0	.0	.16	03/10/2046	1FE
90270Y-BC-2	UBS-Barclays Comm Mtge Tr UBSBB 2013-C5 A1 CMBS 0.779% 03/10/46		08/01/2013	Paydown		4,470	4,470	4,470	.0	.0	.0	.0	.0	.0	4,470	.0	.0	.0	.17	03/10/2046	1FE
90270Y-BC-2	UBS-Barclays Comm Mtge Tr UBSBB 2013-C5 A1 CMBS 0.779% 03/10/46		09/01/2013	Paydown		4,582	4,582	4,582	.0	.0	.0	.0	.0	.0	4,582	.0	.0	.0	.21	03/10/2046	1FE
909439-AC-5	United Auto Tr UACST 2012-1 A2 ABS Prv Plc 1.100% 03/16/15		07/15/2013	Paydown		13,205	13,205	13,204	13,204	.0	.1	.0	.1	.0	13,205	.0	.0	.0	.85	03/16/2015	1FE
909439-AC-5	United Auto Tr UACST 2012-1 A2 ABS Prv Plc 1.100% 03/16/15		08/15/2013	Paydown		14,028	14,028	14,026	14,027	.0	.1	.0	.1	.0	14,028	.0	.0	.0	.103	03/16/2015	1FE
909439-AC-5	United Auto Tr UACST 2012-1 A2 ABS Prv Plc 1.100% 03/16/15		09/15/2013	Paydown		13,208	13,208	13,207	13,207	.0	.1	.0	.1	.0	13,208	.0	.0	.0	.109	03/16/2015	1FE
92937F-AA-9	WF-RBS Comm Mtg WFRBS 2013-C12 A1 CMBS 0.735% 03/15/48		07/01/2013	Paydown		1,926	1,926	1,926	.0	.0	.0	.0	.0	.0	1,926	.0	.0	.0	.5	03/15/2048	1FE
92937F-AA-9	WF-RBS Comm Mtg WFRBS 2013-C12 A1 CMBS 0.735% 03/15/48		08/01/2013	Paydown		1,784	1,784	1,784	.0	.0	.0	.0	.0	.0	1,784	.0	.0	.0	.5	03/15/2048	1FE
92937F-AA-9	WF-RBS Comm Mtg WFRBS 2013-C12 A1 CMBS 0.735% 03/15/48		09/01/2013	Paydown		1,791	1,791	1,791	.0	.0	.0	.0	.0	.0	1,791	.0	.0	.0	.7	03/15/2048	1FE
15135U-AB-5	Cenovus Energy Corp Note MW 35BP 4.500% 09/15/14	A	09/16/2013	Call	103.9620	103,959	100,000	107,597	103,220	.0	(1,315)	.0	(1,315)	.0	101,904	.0	2,058	2,058	4,513	09/15/2014	2FE
20825T-AA-5	Conoco Phillips Corp Note Cont Call 5.625% 10/15/16	A	08/13/2013	RBC Capital Markets		113,970	100,000	116,141	110,166	.0	(1,598)	.0	(1,598)	.0	108,568	.0	5,402	5,402	4,703	10/15/2016	1FE
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						383,011	365,082	388,594	332,107	0	(2,687)	0	(2,687)	0	375,554	0	7,460	7,460	10,154	XXX	XXX
8399997. Total - Bonds - Part 4						3,772,447	3,753,333	4,042,433	3,634,746	0	(78,302)	0	(78,302)	0	3,764,990	0	7,460	7,460	165,267	XXX	XXX
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999. Total - Bonds						3,772,447	3,753,333	4,042,433	3,634,746	0	(78,302)	0	(78,302)	0	3,764,990	0	7,460	7,460	165,267	XXX	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						3,772,447	XXX	4,042,433	3,634,746	0	(78,302)	0	(78,302)	0	3,764,990	0	7,460	7,460	165,267	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D - Section 2 - Collateral for Derivative Instruments Open
N O N E

Schedule DB - Part D - Section 2 - Collateral for Derivative Instruments Open
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

STATEMENT AS OF SEPTEMBER 30, 2013 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

[illegible]

SCHEDULE E - PART 2 - CASH EQUIVALENTS

[illegible]