



QUARTERLY STATEMENT

As of September 30, 2013
of the Condition and Affairs of the

STONEBRIDGE CASUALTY INSURANCE COMPANY

NAIC Group Code.....0468, 0468
(Current Period) (Prior Period)

NAIC Company Code..... 10952

Employer's ID Number..... 31-4423946

Organized under the Laws of OHIO

State of Domicile or Port of Entry OHIO

Country of Domicile US

Incorporated/Organized..... November 15, 1957

Commenced Business..... April 15, 1958

Statutory Home Office

366 E. Broad Street, C/O Andrew J. Federico..... Columbus OH US 43215
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office

4333 Edgewood Road NE..... Cedar Rapids IA US 52499
(Street and Number) (City or Town, State, Country and Zip Code)

319-355-8511
(Area Code) (Telephone Number)

Mail Address

4333 Edgewood Road NE..... Cedar Rapids IA US 52499
(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records

4333 Edgewood Road NE..... Cedar Rapids IA US 52499
(Street and Number) (City or Town, State, Country and Zip Code)

319-355-8511
(Area Code) (Telephone Number)

Internet Web Site Address

Statutory Statement Contact

Brenda Kay Kraemer
(Name)
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OFFICERS

Name	Title	Name	Title
1. Edward H. Walker III	President	2. Michael Allen Eubanks	Senior Vice President, Secretary & Division General Counsel
3. Eric John Martin	Senior Vice President & Corporate Controller	4.	

OTHER

DIRECTORS OR TRUSTEES

Craig Dean Vermie	Brenda Kay Clancy	Martha Ann McConnell	Brian Arthur Smith
Michael Allen Eubanks	Glyn Douglas Mangum Jr	Edward H. Walker III	

State of..... Iowa
County of..... Linn

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature) Edward H. Walker III 1. (Printed Name) President	(Signature) Michael Allen Eubanks 2. (Printed Name) Senior Vice President, Secretary & Division General Counsel	(Signature) Eric John Martin 3. (Printed Name) Senior Vice President & Corporate Controller
(Title)	(Title)	(Title)

Subscribed and sworn to before me
This 12th day of November 2013

a. Is this an original filing?
b. If no:
1. State the amendment number
2. Date filed
3. Number of pages attached

Yes [X] No []

ASSETS

	Current Statement Date			4
	1	2	3	
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1. Bonds.....	249,942,706	0	249,942,706	234,126,428
2. Stocks:				
2.1 Preferred stocks.....	0	0	0	0
2.2 Common stocks.....	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens.....	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	0	0	0	0
4.2 Properties held for the production of income (less \$.....0 encumbrances).....	0	0	0	0
4.3 Properties held for sale (less \$.....0 encumbrances).....	0	0	0	0
5. Cash (\$.....(16,003,687)), cash equivalents (\$.....4,676,599) and short-term investments (\$.....28,183,712).....	16,856,624	0	16,856,624	26,941,652
6. Contract loans (including \$.....0 premium notes).....	0	0	0	0
7. Derivatives.....	0	0	0	0
8. Other invested assets.....	96,386	0	96,386	95,327
9. Receivables for securities.....	3,622,381	0	3,622,381	0
10. Securities lending reinvested collateral assets.....	28,973,290	0	28,973,290	17,727,242
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	299,491,387	0	299,491,387	278,890,649
13. Title plants less \$.....0 charged off (for Title insurers only).....	0	0	0	0
14. Investment income due and accrued.....	2,533,503	0	2,533,503	2,328,657
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	5,098,361	0	5,098,361	4,650,024
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	0	0	0	0
15.3 Accrued retrospective premiums.....	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	10,768,151	0	10,768,151	10,628,238
16.2 Funds held by or deposited with reinsured companies.....	20,414	0	20,414	20,414
16.3 Other amounts receivable under reinsurance contracts.....	0	0	0	0
17. Amounts receivable relating to uninsured plans.....	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon.....	0	0	0	0
18.2 Net deferred tax asset.....	7,561,623	3,110,771	4,450,852	4,397,417
19. Guaranty funds receivable or on deposit.....	7,134	0	7,134	7,299
20. Electronic data processing equipment and software.....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$.....0).....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates.....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates.....	0	0	0	0
24. Health care (\$.....0) and other amounts receivable.....	0	0	0	0
25. Aggregate write-ins for other than invested assets.....	2,455,132	0	2,455,132	3,394,302
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	327,935,705	3,110,771	324,824,934	304,317,000
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0	0
28. Total (Lines 26 and 27).....	327,935,705	3,110,771	324,824,934	304,317,000

DETAILS OF WRITE-INS

1101.	0	0	0	0
1102.	0	0	0	0
1103.	0	0	0	0
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Accounts receivable.....	2,362,203	0	2,362,203	3,159,725
2502. State transferrable tax credits.....	92,929	0	92,929	234,577
2503.	0	0	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	2,455,132	0	2,455,132	3,394,302

STONEBRIDGE CASUALTY INSURANCE COMPANY
LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$.....28,403,401).....	58,109,293	53,212,230
2. Reinsurance payable on paid losses and loss adjustment expenses.....	77,283	339,797
3. Loss adjustment expenses.....	3,205,580	3,202,183
4. Commissions payable, contingent commissions and other similar charges.....	8,213,784	8,163,672
5. Other expenses (excluding taxes, licenses and fees).....	1,434,474	727,056
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	(242,610)	2,914,684
7.1 Current federal and foreign income taxes (including \$.....10,778 on realized capital gains (losses)).....	4,037,310	1,127,451
7.2 Net deferred tax liability.....	0	0
8. Borrowed money \$.....1,981,152 and interest thereon \$.....5,833.....	1,986,985	7,413,020
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....37,337,405 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act.....	38,428,744	33,012,092
10. Advance premium.....	4	69
11. Dividends declared and unpaid:		
11.1 Stockholders.....	0	0
11.2 Policyholders.....	0	0
12. Ceded reinsurance premiums payable (net of ceding commissions).....	1,005,826	1,337,528
13. Funds held by company under reinsurance treaties.....	41,158,320	41,300,706
14. Amounts withheld or retained by company for account of others.....	0	0
15. Remittances and items not allocated.....	4,565,292	309,750
16. Provision for reinsurance (including \$.....0 certified).....	7,787,880	10,145,002
17. Net adjustments in assets and liabilities due to foreign exchange rates.....	0	0
18. Drafts outstanding.....	0	0
19. Payable to parent, subsidiaries and affiliates.....	4,697,655	13,828,385
20. Derivatives.....	0	0
21. Payable for securities.....	3,868,384	0
22. Payable for securities lending.....	28,973,290	17,727,242
23. Liability for amounts held under uninsured plans.....	0	0
24. Capital notes \$.....0 and interest thereon \$.....0.....	0	0
25. Aggregate write-ins for liabilities.....	3,753,892	3,903,588
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	211,061,386	198,664,455
27. Protected cell liabilities.....	0	0
28. Total liabilities (Lines 26 and 27).....	211,061,386	198,664,455
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	8,724,386	8,724,386
31. Preferred capital stock.....	0	0
32. Aggregate write-ins for other than special surplus funds.....	0	0
33. Surplus notes.....	0	0
34. Gross paid in and contributed surplus.....	67,217,108	67,217,108
35. Unassigned funds (surplus).....	37,822,054	29,711,051
36. Less treasury stock, at cost:		
36.10.000 shares common (value included in Line 30 \$.....0).....	0	0
36.20.000 shares preferred (value included in Line 31 \$.....0).....	0	0
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	113,763,548	105,652,545
38. Totals (Page 2, Line 28, Col. 3).....	324,824,934	304,317,000

DETAILS OF WRITE-INS		
2501. Accident & sickness active life reserves.....	2,802,468	2,901,815
2502. Contingency reserves.....	951,424	1,001,773
2503.	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	3,753,892	3,903,588
2901.	0	0
2902.	0	0
2903.	0	0
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201. Increase in admitted deferred tax assets pursuant to SSAP No. 10R.....	0	0
3202.	0	0
3203.	0	0
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	0

STONEBRIDGE CASUALTY INSURANCE COMPANY
STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct..... (written \$.....207,002,455).....	224,867,866	243,164,051	316,975,143
1.2 Assumed..... (written \$.....(60,813)).....	1,549,231	2,647,238	3,334,083
1.3 Ceded..... (written \$.....51,550,423).....	68,967,903	119,354,854	150,888,219
1.4 Net..... (written \$.....155,391,219).....	157,449,194	126,456,435	169,421,007
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....94,485,187):			
2.1 Direct.....	118,983,015	108,626,102	139,120,063
2.2 Assumed.....	2,454,043	3,360,769	625,485
2.3 Ceded.....	26,657,994	43,186,929	51,800,163
2.4 Net.....	94,779,064	68,799,942	87,945,385
3. Loss adjustment expenses incurred.....	59,022	61,849	1,153,518
4. Other underwriting expenses incurred.....	60,628,476	49,519,586	65,597,327
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	155,466,562	118,381,377	154,696,230
7. Net income of protected cells.....	0	0	0
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	1,982,632	8,075,058	14,724,777
INVESTMENT INCOME			
9. Net investment income earned.....	8,067,389	7,849,418	10,400,353
10. Net realized capital gains (losses) less capital gains tax of \$.....(10,778).....	(256,937)	147,656	89,095
11. Net investment gain (loss) (Lines 9 + 10).....	7,810,452	7,997,074	10,489,448
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0).....	0	0	0
13. Finance and service charges not included in premiums.....	0	0	0
14. Aggregate write-ins for miscellaneous income.....	568,086	159,055	36,727
15. Total other income (Lines 12 through 14).....	568,086	159,055	36,727
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	10,361,170	16,231,187	25,250,952
17. Dividends to policyholders.....	0	0	0
18. Net income after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	10,361,170	16,231,187	25,250,952
19. Federal and foreign income taxes incurred.....	4,986,755	5,281,357	8,219,912
20. Net income (Line 18 minus Line 19) (to Line 22).....	5,374,415	10,949,830	17,031,040
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year.....	105,652,545	89,811,706	89,811,706
22. Net income (from Line 20).....	5,374,415	10,949,830	17,031,040
23. Net transfers (to) from Protected Cell accounts.....	0	0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....96,489.....	179,193	933,418	961,798
25. Change in net unrealized foreign exchange capital gain (loss).....	0	0	0
26. Change in net deferred income tax.....	598,839	(323,003)	252,522
27. Change in nonadmitted assets.....	(448,915)	(88,180)	(397,206)
28. Change in provision for reinsurance.....	2,357,122	(829,757)	(1,995,216)
29. Change in surplus notes.....	0	0	0
30. Surplus (contributed to) withdrawn from protected cells.....	0	0	0
31. Cumulative effect of changes in accounting principles.....	0	0	0
32. Capital changes:			
32.1 Paid in.....	0	0	0
32.2 Transferred from surplus (Stock Dividend).....	0	0	0
32.3 Transferred to surplus.....	0	0	0
33. Surplus adjustments:			
33.1 Paid in.....	0	0	0
33.2 Transferred to capital (Stock Dividend).....	0	0	0
33.3 Transferred from capital.....	0	0	0
34. Net remittances from or (to) Home Office.....	0	0	0
35. Dividends to stockholders.....	0	0	0
36. Change in treasury stock.....	0	0	0
37. Aggregate write-ins for gains and losses in surplus.....	50,349	100,291	(12,099)
38. Change in surplus as regards policyholders (Lines 22 through 37).....	8,111,003	10,742,599	15,840,839
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	113,763,548	100,554,305	105,652,545
DETAILS OF WRITE-INS			
0501.	0	0	0
0502.	0	0	0
0503.	0	0	0
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. Miscellaneous income.....	906,330	908,890	1,227,360
1402. Funds withheld ceded investment income.....	(436,945)	(415,005)	(539,803)
1403. Accident and sickness active life reserve.....	99,347	(333,902)	(649,902)
1498. Summary of remaining write-ins for Line 14 from overflow page.....	(646)	(928)	(928)
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	568,086	159,055	36,727
3701. Change in contingency reserve.....	50,349	100,291	(12,099)
3702.	0	0	0
3703.	0	0	0
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	50,349	100,291	(12,099)

CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....	162,088,425	130,004,882	174,565,196
2. Net investment income.....	8,169,020	7,916,557	10,619,869
3. Miscellaneous income.....	450,688	393,175	566,313
4. Total (Lines 1 through 3).....	170,708,133	138,314,614	185,751,378
5. Benefit and loss related payments.....	90,340,054	59,350,583	89,932,072
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions.....	63,016,156	48,873,135	62,669,921
8. Dividends paid to policyholders.....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$10,778 tax on capital gains (losses).....	2,066,118	5,521,312	7,783,582
10. Total (Lines 5 through 9).....	155,422,328	113,745,030	160,385,575
11. Net cash from operations (Line 4 minus Line 10).....	15,285,805	24,569,584	25,365,803
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	75,542,236	45,365,192	58,460,596
12.2 Stocks.....	0	0	0
12.3 Mortgage loans.....	0	0	0
12.4 Real estate.....	0	0	0
12.5 Other invested assets.....	3,425	352	369
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	0	(1,306)	(1,306)
12.7 Miscellaneous proceeds.....	3,868,384	0	4,273,852
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	79,414,045	45,364,238	62,733,511
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	91,661,047	75,731,349	79,264,888
13.2 Stocks.....	0	0	0
13.3 Mortgage loans.....	0	0	0
13.4 Real estate.....	0	0	0
13.5 Other invested assets.....	12,963	97,794	128,042
13.6 Miscellaneous applications.....	14,868,429	4,118,692	0
13.7 Total investments acquired (Lines 13.1 to 13.6).....	106,542,439	79,947,835	79,392,930
14. Net increase (decrease) in contract loans and premium notes.....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(27,128,393)	(34,583,597)	(16,659,420)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds.....	(5,413,535)	7,304,062	7,394,687
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	0	0	0
16.5 Dividends to stockholders.....	0	0	0
16.6 Other cash provided (applied).....	7,171,099	5,177,861	(4,111,526)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	1,757,564	12,481,923	3,283,161
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(10,085,025)	2,467,910	11,989,544
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	26,941,649	14,952,104	14,952,104
19.2 End of period (Line 18 plus Line 19.1).....	16,856,624	17,420,014	26,941,649
Note: Supplemental disclosures of cash flow information for non-cash transactions:			
20.0001	0	0	0

NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed by the Ohio Department of Insurance. The Ohio Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operation of an insurance company for determining its solvency under Ohio Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio. While the Commissioner of Insurance has the right to permit specific practices that deviate from prescribed practices, none are included within this financial statement.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	State of Domicile	September 30, 2013	December 31, 2012
Net Income, State of Ohio Basis	OH	\$ 5,374,415	\$ 17,031,040
State Prescribed Practices	OH	0	0
State Permitted Practices	OH	0	0
Net Income, NAIC SAP	OH	<u>\$ 5,374,415</u>	<u>\$ 17,031,040</u>
Statutory Surplus, State of Ohio Basis	OH	\$ 113,763,548	\$ 105,652,545
State Prescribed Practices	OH	0	0
State Permitted Practices	OH	0	0
Statutory Surplus, NAIC SAP	OH	<u>\$ 113,763,548</u>	<u>\$ 105,652,545</u>

2. Accounting Changes and Corrections of Errors

Effective January 1, 2013, the Company adopted Statement of Statutory Accounting Principle (SSAP) No. 92, *Postretirement Benefits Other Than Pensions, A Replacement of SSAP No. 14*, and SSAP No. 102, *Accounting for Pensions, A Replacement of SSAP No. 89*. This guidance impacts accounting for defined benefit pension plans or other postretirement plans, along with related disclosures. SSAP No. 102 requires recognition of the funded status of the plan based on the projected benefit obligation instead of the accumulated benefit obligation as under SSAP No. 89, *Accounting for Pensions, A Replacement of SSAP No. 8*. In addition, SSAP No. 92 and SSAP No. 102 require consideration of non-vested participants. The adoption of these standards did not impact the Company's results of operations, financial position or disclosures as the Company does not sponsor the pension plan and is not directly liable under the plan.

Effective January 1, 2013, the Company adopted SSAP No. 103, *Accounting for Transfers and Servicing of Financial Assets and Extinguishments of Liabilities*, which adopted with modifications the guidance in Accounting Standards Update (ASU) 2009-16, *Transfers and Servicing (Topic 860): Accounting for Transfers of Financial Assets* and supersedes SSAP No. 91R, *Accounting for Transfers and Servicing of Financial Assets and Extinguishments of Liabilities*. The adoption of this standard did not impact the financial position or results of operations of the Company.

Effective January 1, 2013, the Company adopted non-substantive revisions to SSAP No. 36, *Troubled Debt Restructuring*. These revisions adopted guidance from ASU 2011-02, *Receivables - A Creditors' Determination of Whether a Restructuring is a Troubled Debt Restructuring*, which clarifies what constitutes a troubled debt restructuring and adopts with modification troubled debt restructuring disclosures for creditors from ASU 2010-20, *Receivables (Topic 310), Disclosures About the Credit Quality of Financing Receivables and the Allowance for Credit Losses*. The adoption of this revision did not impact the financial position or results of operations of the Company.

3. Business Combinations and Goodwill

No significant change.

4. Discontinued Operations

No significant change.

5. Investments

D. Loan-Backed Securities

- (1) Prepayment assumptions were obtained from BlackRock or Bloomberg prepayment models. If assumptions were not available from these sources, internal estimates were used.
- (2) There were no loan-backed securities with a recognized other-than-temporary impairment due to intent to sell or lack of intent and ability to hold during the nine months ended September 30, 2013.
- (3) The following loan-backed and structured securities were held at September 30, 2013, for which an other-than-temporary impairment had been previously recognized:

1	2	3	4	5	6	7
CUSIP	Book/Adj Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized Other-Than-Temporary Impairment	Amortized Cost After Other-Than-Temporary Impairment	Fair Value at Time of OTTI	Date of Financial Statement Where Reported
22942KCA6	\$ 491,068	\$ 453,135	\$ 37,933	\$ 453,135	\$ 253,559	3Q 2009
22942KCA6	453,135	442,465	10,670	442,465	262,698	3Q 2009
43718MAD6	5,620,894	5,307,233	313,661	5,307,233	2,898,766	4Q 2009
22942KCA6	406,690	402,099	4,592	402,099	298,213	1Q 2010
43718MAD6	5,226,795	5,002,824	223,971	5,002,824	2,919,386	1Q 2010
74925FAA1	917,213	876,094	41,119	876,094	812,403	1Q 2010
22942KCA6	383,425	380,456	2,969	380,456	273,804	2Q 2010
52522QAM4	662,553	633,842	28,711	633,842	451,831	2Q 2010
65536PAA8	157,996	148,508	9,488	148,508	135,313	2Q 2010
22942KCA6	365,655	364,641	1,014	364,641	284,816	3Q 2010
65536PAA8	87,271	80,446	6,825	80,446	75,595	3Q 2010
22942KCA6	350,148	326,654	23,494	326,654	266,028	4Q 2010
52522QAM4	579,986	574,453	5,534	574,453	442,345	4Q 2010
74925FAA1	766,041	733,740	32,301	733,740	720,926	4Q 2010
22942KCA6	306,869	272,405	34,464	272,405	232,293	2Q 2011
65536PAA8	61,066	59,655	1,411	59,655	33,419	2Q 2011
65536PAA8	58,247	56,731	1,516	56,731	27,816	3Q 2011
52522QAM4	502,892	470,482	32,410	470,482	373,392	4Q 2011

NOTES TO FINANCIAL STATEMENTS

1	2	3	4	5	6	7
CUSIP	Book/Adj Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized Other-Than-Temporary Impairment	Amortized Cost After Other-Than-Temporary Impairment	Fair Value at Time of OTTI	Date of Financial Statement Where Reported
65536PAA8	55,670	55,076	594	55,076	22,761	4Q 2011
74925FAA1	635,290	611,184	24,107	611,184	586,800	4Q 2011
65536PAA8	54,490	53,573	918	53,573	25,245	1Q 2012
36185MAF9	1,831,534	1,828,420	3,114	1,828,420	1,552,591	1Q 2012
52522QAM4	442,124	435,998	6,126	435,998	370,944	2Q 2012
65536PAA8	52,970	49,686	3,284	49,686	23,934	2Q 2012
74925FAA1	563,546	560,459	3,086	560,459	557,181	2Q 2012
36185MAF9	1,724,849	1,712,471	12,379	1,712,471	1,402,382	2Q 2012
65536PAA8	48,237	46,788	1,449	46,788	27,941	3Q 2012
Total	xxx	xxx	\$ 867,137	xxx	xxx	xxx

(4) The unrealized losses of loan-backed and structured securities where fair value is less than cost or amortized cost for which an other-than-temporary impairment has not been recognized in earnings as of September 30, 2013 are as follows:

a. The aggregate amount of unrealized losses:			
1.	Less than 12 Months	\$	1,074,509
2.	12 Months or Longer	\$	1,118,890
b. The aggregate related fair value of securities with unrealized losses:			
1.	Less than 12 Months	\$	19,328,011
2.	12 Months or Longer	\$	4,897,177

E. Repurchase Agreements and/or Securities Lending Transactions

(1) For mortgage-backed securities dollar roll agreements, 100% of the fair market value of the securities is transferred by the counterparty to the Company as collateral. Cash received as collateral will be invested as needed or used for general corporate purposes of the Company. At September 30, 2013, securities with a book value of \$2,073,257 and a fair value of \$2,037,457 were subject to dollar roll repurchase agreements. These securities have maturity dates that range from June 1, 2042 to October 1, 2042.

(3) Collateral Received

b. Aggregate fair value of all securities acquired from the sale, trade or use of the accepted collateral (reinvested collateral) \$ 28,968,961

6. Joint Ventures, Partnerships, and Limited Liability Companies

No significant change.

7. Investment Income

No significant change.

8. Derivative Instruments

No significant change.

9. Income Taxes

No significant change.

10. Information Concerning Parent, Subsidiaries and Affiliates

No significant change.

11. Debt

The Company has an outstanding liability for borrowed money in the amount of \$1,986,985 due to participation in dollar repurchase agreements which includes accrued interest.

12. Retirement Plans, Deferred Compensation, Post-Employment Benefits and Compensated Absences, and Other Post-Retirement Benefit Plans

A. Defined Benefit Plan - Not applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

14. Contingencies

No significant change.

15. Leases

No significant change.

16. Information About Financial Instruments with Off-Balance Sheet Risk And Financial Instruments With Concentrations of Credit Risk

No significant change.

NOTES TO FINANCIAL STATEMENTS

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

B. Transfer and Servicing of Financial Assets

(2) Not applicable.

(4) a. and b. Not applicable.

C. Wash Sales - None.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

19. Direct Premiums Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

20. Fair Value Measurements

A. (1) Fair Value Measurements at Reporting Date

The following table provides information about the Company's financial assets and liabilities measured at fair value as of September 30, 2013:

(1) Description	(2) (Level 1)	(3) (Level 2)	(4) (Level 3)	(5) Total
a. Assets at fair value				
Bonds				
Industrial and misc	\$ -	\$ 4,057,231	\$ -	\$ 4,057,231
Total bonds	\$ -	\$ 4,057,231	\$ -	\$ 4,057,231
Short-term				
Government	\$ -	\$ 338	\$ -	\$ 338
Industrial and misc	-	11,924,654	-	11,924,654
Mutual funds	-	20,935,319	-	20,935,319
Total short-term	\$ -	\$ 32,860,311	\$ -	\$ 32,860,311
Total assets at fair value	<u>\$ -</u>	<u>\$ 36,917,542</u>	<u>\$ -</u>	<u>\$ 36,917,542</u>
b. Liabilities at fair value	\$ -	\$ -	\$ -	\$ -
Total liabilities at fair value	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

(2) Fair Value Measurements in Level 3 of the Fair Value Hierarchy - None.

(3) The Company's policy is to recognize transfers in and out of Level 3 as of the beginning of the reporting period.

(4) Bonds classified in Level 2 are valued using inputs from third party pricing services or corroborated broker quotes.

Short-term investments are classified as Level 2 as they are carried at amortized cost, which approximates fair value.

C. Fair Value Hierarchy by Type of Financial Instrument

The following table sets forth a comparison of the estimated fair values and carrying amounts of the Company's financial instruments, including those not measured at fair value in the balance sheets, as of September 30, 2013:

(1) Type of Financial Instrument	(2) Aggregate Fair Value	(3) Admitted Assets/ Liabilities	(4) (Level 1)	(5) (Level 2)	(6) (Level 3)	(7) Not Practicable (Carrying Value)
Admitted assets:						
Cash equivalents & short-term investments, other than affiliates	\$ 32,860,311	\$ 32,860,311	\$ -	\$ 32,860,311	\$ -	\$ -
Bonds	259,129,343	249,942,706	51,064,030	207,715,313	350,000	-
Securities lending reinvested collateral	28,968,961	28,973,290	-	28,968,961	-	-
Liabilities:						
Payable to parents, subsidiaries and affiliates	4,697,655	4,697,655	-	4,697,655	-	-

D. Not Practicable to Estimate Fair Value - Not applicable.

21. Other Items

No significant change.

22. Events Subsequent

As of November 7, 2013, the Company is not aware of any Type I Recognized or Type II Non-recognized events subsequent to September 30, 2013 which would have a material adverse effect upon the financial condition of the Company.

23. Reinsurance

No significant change.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change.

NOTES TO FINANCIAL STATEMENTS

25. Change in Incurred Losses and Loss Adjustment Expenses

There were no significant changes during the nine months ended September 30, 2013.

26. Intercompany Pooling Arrangements

No significant change.

27. Structured Settlements

No significant change.

28. Health Care Receivables

No significant change.

29. Participating Policies

No significant change.

30. Premium Deficiency Reserves

No significant change.

31. High Deductibles

No significant change.

32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No significant change.

33. Asbestos/Environmental Reserves

No significant change.

34. Subscriber Savings Accounts

No significant change.

35. Multiple Peril Crop Insurance

No significant change.

36. Financial Guaranty Insurance

B. Not Applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES - GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [☐] No [☒]
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes [☐] No [☐]
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [☐] No [☒]
- 2.2

If yes, date of change:

.....
- 3.1

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [☐] No [☒]
- 3.2

If the response to 3.1 is yes, provide a brief description of those changes.

- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [☐] No [☒]

- 4.2

If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [☐] No [☒] N/A [☐]
-

- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2009.....
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2009.....
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

6/28/2011.....
- 6.4

By what department or departments?
Ohio, Iowa, New York, Arkansas and Vermont

- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [☒] No [☐] N/A [☐]

- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [☒] No [☐] N/A [☐]

- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [☐] No [☒]

- 7.2

If yes, give full information:

- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [☐] No [☒]

- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [☐] No [☒]

- 8.4

If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

Yes [☒] No [☐]

- (a)

Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b)

Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c)

Compliance with applicable governmental laws, rules and regulations;
- (d)

The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e)

Accountability for adherence to the code.

- 9.11

If the response to 9.1 is No, please explain:

- 9.2

Has the code of ethics for senior managers been amended?

Yes [☐] No [☒]

- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).

- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [☐] No [☒]

- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

PART 1 - FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes [☐] No [☒]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$.....0

PART 1 - INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes [X] No []

11.2 If yes, give full and complete information relating thereto:
There are assets subject to Dollar Repurchase Agreements.

12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$.....96,386

13. Amount of real estate and mortgages held in short-term investments:

\$.....0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes [] No [X]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds.....	\$0	\$0
14.22 Preferred Stock.....	\$0	\$0
14.23 Common Stock.....	\$0	\$0
14.24 Short-Term Investments.....	\$0	\$0
14.25 Mortgage Loans on Real Estate.....	\$0	\$0
14.26 All Other.....	\$0	\$0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above.....	\$0	\$0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes [] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes [] No []

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$.....28,968,961

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$.....28,973,290

16.3 Total payable for securities lending reporting on the liability page: \$.....28,973,290

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
BNY Mellon	New York, NY

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter?

Yes [] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
114537	AEGON USA Investment Management, LLC	Cedar Rapids, IA

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed?

Yes [X] No []

18.2 If no, list exceptions:

STONEBRIDGE CASUALTY INSURANCE COMPANY
GENERAL INTERROGATORIES (continued)

PART 2

PROPERTY & CASUALTY INTERROGATORIES

1.

If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change?
If yes, attach an explanation.

Yes [☐] No [☒] N/A [☐]

2.

Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?
If yes, attach an explanation.

Yes [☐] No [☒]

3.1

Have any of the reporting entity's primary reinsurance contracts been canceled?

Yes [☐] No [☒]

3.2

If yes, give full and complete information thereto:

4.1

Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero?

Yes [☐] No [☒]

4.2

If yes, complete the following schedule:

1	2	3	Total Discount				Discount Taken During Period			
Line of Business	Maximum Interest	Disc. Rate	4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.000	0.000	0	0	0	0	0	0	0	0
Total.....	XXX...	XXX.....	0	0	0	0	0	0	0	0

5.

Operating Percentages:

5.1

A&H loss percent

25.0 %

5.2

A&H cost containment percent

0.0 %

5.3

A&H expense percent excluding cost containment expenses

29.0 %

6.1

Do you act as a custodian for health savings accounts?

Yes [☐] No [☒]

6.2

If yes, please provide the amount of custodial funds held as of the reporting date.

0

6.3

Do you act as an administrator for health savings accounts?

Yes [☐] No [☒]

6.4

If yes, please provide the amount of funds administered as of the reporting date.

0

Q08

STONEBRIDGE CASUALTY INSURANCE COMPANY
SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 Federal ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Is Insurer Authorized? (YES or NO)
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NONE

STONEBRIDGE CASUALTY INSURANCE COMPANY
SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

		1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
			2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
States, Etc.								
1.	Alabama.....AL	L	1,810,105	1,981,534	1,263,101	2,051,350	16,623,102	19,205,958
2.	Alaska.....AK	L	243,640	277,432	121,225	113,627	38,328	51,269
3.	Arizona.....AZ	L	4,976,999	5,541,902	2,854,805	2,819,146	886,588	1,326,545
4.	Arkansas.....AR	L	747,428	990,257	526,552	465,452	160,746	209,012
5.	California.....CA	L	27,117,964	26,751,610	16,081,809	13,162,274	4,951,562	5,980,530
6.	Colorado.....CO	L	2,846,124	3,093,579	1,952,489	1,423,830	571,569	616,819
7.	Connecticut.....CT	L	3,653,166	3,767,364	2,437,049	1,992,373	706,287	850,848
8.	Delaware.....DE	L	539,701	924,049	309,029	275,160	93,159	120,488
9.	District of Columbia.....DC	L	547,327	666,173	353,431	219,803	112,806	113,075
10.	Florida.....FL	L	16,859,766	19,513,551	11,505,582	10,207,948	3,405,730	4,508,271
11.	Georgia.....GA	L	3,906,469	4,638,496	2,875,763	3,097,506	1,868,366	2,246,524
12.	Hawaii.....HI	L	867,004	1,153,827	455,607	321,661	138,305	146,047
13.	Idaho.....ID	L	552,780	593,866	409,741	307,737	123,700	136,314
14.	Illinois.....IL	L	5,911,101	6,191,505	3,761,385	3,148,521	1,133,353	1,428,743
15.	Indiana.....IN	L	2,038,055	2,079,734	1,365,783	910,130	411,333	424,180
16.	Iowa.....IA	L	4,212,673	4,195,234	789,314	620,705	229,818	269,460
17.	Kansas.....KS	L	1,389,903	1,372,002	869,044	668,877	362,114	388,177
18.	Kentucky.....KY	L	1,631,770	1,716,042	846,464	673,573	253,289	301,496
19.	Louisiana.....LA	L	1,615,502	1,708,503	892,615	803,596	320,665	382,722
20.	Maine.....ME	L	1,264,906	1,160,014	656,644	491,886	237,333	287,492
21.	Maryland.....MD	L	4,480,966	4,986,878	2,405,507	2,253,216	752,966	1,073,395
22.	Massachusetts.....MA	L	15,348,213	15,617,959	5,793,606	4,430,563	1,687,340	1,914,737
23.	Michigan.....MI	L	4,249,104	4,536,387	2,472,123	2,023,036	774,522	986,356
24.	Minnesota.....MN	L	2,187,522	2,065,702	1,090,349	940,677	325,568	420,241
25.	Mississippi.....MS	L	543,471	642,041	354,990	397,278	1,364,602	1,614,755
26.	Missouri.....MO	L	2,599,184	2,565,263	1,688,617	1,243,550	553,888	602,181
27.	Montana.....MT	L	342,788	390,491	351,712	195,371	106,031	88,542
28.	Nebraska.....NE	L	1,252,458	1,104,829	474,191	408,107	177,480	194,038
29.	Nevada.....NV	L	1,611,032	1,893,939	1,011,147	1,038,363	444,238	567,153
30.	New Hampshire.....NH	L	2,754,300	1,823,652	537,680	379,809	158,044	165,028
31.	New Jersey.....NJ	L	7,867,025	10,192,843	5,577,896	4,369,997	1,690,813	1,997,293
32.	New Mexico.....NM	L	1,168,093	1,146,958	672,185	432,992	281,580	289,057
33.	New York.....NY	L	21,084,441	23,797,559	14,196,148	11,898,706	4,163,390	5,237,347
34.	North Carolina.....NC	L	3,747,095	6,334,043	2,141,454	1,926,241	631,446	850,238
35.	North Dakota.....ND	L	155,847	138,249	118,129	75,502	35,583	28,387
36.	Ohio.....OH	L	5,520,543	6,230,480	3,653,152	3,155,224	1,155,666	1,498,102
37.	Oklahoma.....OK	L	1,846,206	1,708,691	1,052,639	843,487	300,356	417,509
38.	Oregon.....OR	L	2,332,541	3,052,249	1,326,239	1,295,075	527,944	654,114
39.	Pennsylvania.....PA	L	7,101,328	9,808,222	4,202,180	4,402,824	1,225,928	1,927,170
40.	Rhode Island.....RI	L	888,232	1,250,672	570,266	389,087	173,914	181,088
41.	South Carolina.....SC	L	2,108,602	2,980,072	1,216,647	1,225,904	12,651,662	14,628,913
42.	South Dakota.....SD	L	517,397	541,628	270,481	166,726	78,267	92,164
43.	Tennessee.....TN	L	2,400,434	3,015,813	1,889,803	1,941,043	18,230,330	20,993,992
44.	Texas.....TX	L	14,580,666	12,667,007	9,928,746	7,270,934	3,923,036	4,115,607
45.	Utah.....UT	L	1,830,826	1,706,163	643,536	432,201	427,355	373,938
46.	Vermont.....VT	L	401,022	316,744	196,121	164,548	58,073	71,739
47.	Virginia.....VA	L	6,689,860	6,941,502	3,689,431	2,568,037	1,055,148	1,237,759
48.	Washington.....WA	L	3,928,684	3,904,891	2,352,827	1,852,351	701,434	831,875
49.	West Virginia.....WV	L	560,967	643,966	333,957	348,613	111,146	168,332
50.	Wisconsin.....WI	L	2,239,549	2,204,773	1,285,941	1,073,702	385,933	489,561
51.	Wyoming.....WY	L	250,174	243,078	112,824	83,962	34,041	36,671
52.	American Samoa.....AS	N	781	22,877	14,524	0	4,210	0
53.	Guam.....GU	L	902	3,699	0	775	0	331
54.	Puerto Rico.....PR	N	149,713	178,277	86,092	103,684	24,956	44,239
55.	US Virgin Islands.....VI	N	35,208	19,284	50,932	19,762	14,764	8,432
56.	Northern Mariana Islands.....MP	N	0	0	0	0	0	0
57.	Canada.....CAN	N	1,367,661	1,943,473	2,787,411	2,808,552	807,994	1,198,330
58.	Aggregate Other Alien.....OT	XXX	127,237	121,974	76,660	52,908	22,220	20,960
59.	Totals.....	(a).....52	207,002,455	225,059,002	124,953,575	105,987,962	87,690,021	104,013,544

DETAILS OF WRITE-INS

58001.	Taiwan.....	XXX	39,907	0	0	0	0
58002.	Department of Defense.....	XXX	32,120	12,473	58,530	0	15,095
58003.	Spain.....	XXX	29,799	54,411	0	0	0
58998.	Summary of remaining write-ins for Line 58 from overflow page.....	XXX	25,411	55,090	18,130	52,908	7,125
58999.	Totals (Lines 58001 thru 58003+ Line 58998) (Line 58 above).....	XXX	127,237	121,974	76,660	52,908	22,220

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;
(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.
(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
Members														
0.....		00000.....		0.....	0.....		44764 YUKON INC.....	CAN.....	NIA.....	CREDITOR RESOURCES, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	56-1358257	0.....	0.....		AEGON ALLIANCES, INC.....	VA.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON ASSET MANAGEMENT (CANADA) B.V...	NLD.....	NIA.....	AEGON INTERNATIONAL B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	39-1884868	0.....	0.....		AEGON ASSET MANAGEMENT SERVICES, INC.	DE.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	61-1314968	0.....	0.....		AEGON ASSIGNMENT CORPORATION OF KENTUCKY	KY.....	NIA.....	AEGON FINANCIAL SERVICES GROUP, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1477359	0.....	0.....		AEGON ASSIGNMENT CORPORATION.....	IL.....	NIA.....	AEGON FINANCIAL SERVICES GROUP, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON CANADA HOLDING B.V.....	NLD.....	NIA.....	AEGON INTERNATIONAL B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON CANADA ULC.....	CAN.....	NIA.....	AEGON CANADA HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON CAPITAL MANAGEMENT, INC.....	CAN.....	NIA.....	AEGON ASSET MANAGEMENT (CANADA) B.V.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DERIVATIVES N.V.....	NLD.....	NIA.....	AEGON N.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT & AFFINITY MARKETING SERVICES LIMITED	HKG.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT & AFFINITY MARKETING SERVICES (THAILAND), LTD.	THA.....	NIA.....	TRANSAMERICA INTERNATIONAL DIRECT MARKETING CONSULTANTS, LLC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	08-2524785	0.....	0.....		AEGON DIRECT & AFFINITY MARKETING SERVICES AUSTRALIA PTY., LTD.	AUS.....	NIA.....	TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY, LTD.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT MARKETING SERVICES EUROPE, LTD.	GBR.....	NIA.....	CORNERSTONE INTERNATIONAL HOLDINGS, LTD.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT MARKETING SERCIVES INSURANCE BROKER (HK), LTD.	HKG.....	NIA.....	AEGON DIRECT & AFFINITY MARKETING SERVICES LIMITED	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1291367	0.....	0.....		AEGON DIRECT MARKETING SERVICES INTERNATIONAL, INC.	MD.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT & AFFINITY MARKETING SERCIVES CO., LTD.	JPN.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT MARKETING SERVICES KOREA CO., LTD.	KOR.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT MARKETING SERVICES MEXICO SERVICIOS, S.A. DE C.V.	MEX.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

Q12

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12.1

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....		0.....	0.....		AEGON DIRECT MARKETING SERVICES MEXICO, S.A. DE C.V.	MEX.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1470697	0.....	0.....		AEGON DIRECT MARKETING SERVICES, INC...	TWN.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1470697	0.....	0.....		AEGON DIRECT MARKETING SERVICES, INC...	MD.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	73.500	AEGON N.V.....	0.....
0.....		00000.....	42-1470697	0.....	0.....		AEGON DIRECT MARKETING SERVICES, INC...	MD.....	NIA.....	COMMONWEALTH GENERAL CORPORARION	OWNERSHIP BOARD	26.500	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DMS HOLDING B.V.....	NLD.....	NIA.....	AEGON INTERNATIONAL B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	41-1479568	0.....	0.....		AEGON FINANCIAL SERVICES GROUP, INC.....	MN.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON FUND MANAGEMENT, INC.....	CAN.....	NIA.....	AEGON ASSET MANAGEMENT (CANADA) B.V.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1489646	0.....	0001160709		AEGON FUNDING COMPANY, LLC.....	DE.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	61-1085329	0.....	0.....		AEGON INSTITUTIONAL MARKETS, INC.....	DE.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON INTERNATIONAL B.V.....	NLD.....	UIP.....	AEGON N.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON IRELAND HOLDING B.V.....	NLD.....	NIA.....	AEGON INTERNATIONAL B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON LIFE INSURANCE AGENCY, INC.....	TWN.....	NIA.....	AEGON DIRECT MARKETING SERVICES INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	30-0445264	0.....	0.....		AEGON MANAGED ENHANCED CASH, LLC.....	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	91.240	AEGON N.V.....	0.....
0.....		00000.....	30-0445264	0.....	0.....		AEGON MANAGED ENHANCED CASH, LLC.....	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	8.760	AEGON N.V.....	0.....
0.....		00000.....	35-1113520	0.....	0.....		AEGON MANAGEMENT COMPANY.....	IN.....	NIA.....	AEGON U.S. HOLDING CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0000769218	NYSE.....	AEGON N.V.....	NLD.....	UIP.....		OWNERSHIP BOARD	32.160		0.....
0.....		00000.....		0.....	0.....		AEGON NEDERLAND N.V.....	NLD.....	NIA.....	AEGON N.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON NEVAK HOLDING B.V.....	NLD.....	NIA.....	AEGON N.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON SERVICES (DUBLIN) LIMITED.....	IRL.....	NIA.....	AEGON IRELAND HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12.2

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	61-1068209	0.....	0.....		AEGON STRUCTURED SETTLEMENTS, INC.....	KY.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	13-3350744	0.....	0.....		AEGON U.S. HOLDING CORPORATION.....	DE.....	UIP.....	TRANSAMERICA CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1549874	0.....	0.....		AEGON USA ASSET MANAGEMENT HOLDING, LLC.	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1549874	0.....	0001454937		AEGON USA INVESTMENT MANAGEMENT, LLC.	IA.....	NIA.....	AEGON USA ASSET MANAGEMENT HOLDING, LLC	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	61-1098396	0.....	0.....		AEGON USA REAL ESTATE SERVICES, INC.....	DE.....	NIA.....	AEGON USA REALTY ADVISORS, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-5023693	0.....	0.....		AEGON USA REALTY ADVISORS OF CALIFORNIA, INC.	IA.....	NIA.....	AEGON USA REALTY ADVISORS, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1205796	0.....	0.....		AEGON USA REALTY ADVISORS, LLC.....	IA.....	NIA.....	AEGON USA ASSET MANAGEMENT HOLDING, LLC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1310237	0.....	0.....		AEGON USA, LLC.....	IA.....	UDP.....	AEGON U.S. HOLDING CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	94-3026780	0.....	0.....		AEGON-CMF GP, LLC.....	DE.....	NIA.....	TRANSAMERICA REALTY SERVICES, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	23-2421076	0.....	0000799183		AFSG SECURITIES CORPORATION.....	PA.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	39-0989781	0.....	0.....		AMERICAN BOND SERVICES, LLC.....	IA.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		ASIA BUSINESS CONSULTING COMPANY.....	CA.....	NIA.....	ASIA INVESTMENTS HOLDINGS, LTD.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		ASIA INVESTMENT HOLDING LIMITED.....	HKG.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1549874	0.....	0.....		AUSA HOLDING COMPANY.....	MD.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	27-1275705	0.....	0.....		AUSA PROPERTIES, INC.....	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	32-0342677	0.....	0.....		AXA EQUITABLE AGRIFINANCE, LLC.....	DE.....	NIA.....	AEGON USA REALTY ADVISORS, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	94-3392750	0.....	0.....		BAY AREA COMMUNITY INVESTMENTS I, LP....	CA.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	70.000	AEGON N.V.....	0.....
0.....		00000.....	94-3392750	0.....	0.....		BAY AREA COMMUNITY INVESTMENTS I, LP....	CA.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	30.000	AEGON N.V.....	0.....
0.....		00000.....	52-0419790	0.....	0.....		BAY STATE COMMUNITY INVESTMENTS I, LLC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12.3

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	52-0419790	0.....	0.....		BAY STATE COMMUNITY INVESTMENTS II, LLC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		BEIJING DAFU INSURANCE AGENCY CO., LTD.	CA.....	NIA.....	WFG CHINA HOLDINGS, INC.....	OWNERSHIP BOARD	10.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		BLUE SQUARE RE N.V.....	NLD.....	NIA.....	AEGON N.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		CANADIAN PREMIER LIFE INSURANCE COMPANY	CAN.....	IA.....	TRANSAMERICA LIFE CANADA.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	73-1652928	0.....	0.....		CBC INSURANCE REVENUE SECURITIZATION, LLC.	DE.....	NIA.....	CLARK CONSULTING, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	98-1055613	0.....	0.....		CEDAR FUNDING, LTD.....	CYM.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	50-2103928	3578760....	0.....		CLARK CONSULTING, LLC.....	DE.....	NIA.....	CLARK, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	38-3768457	0.....	0.....		CLARK INVESTMENT STRATEGIES, INC.....	DE.....	NIA.....	CLARK CONSULTING, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	95-4295824	0.....	0.....		CLARK SECURITIES, INC.....	CA.....	NIA.....	CLARK CONSULTING, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-2103926	0.....	0.....		CLARK, LLC.....	DE.....	NIA.....	TRANSAMERICA RETIREMENT SOLUTIONS CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	51-0108922	0.....	0.....		COMMONWEALTH GENERAL CORPORATION...	DE.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		CONSUMER MEMBERSHIP SERVICES CANADA, INC.	CAN.....	NIA.....	AEGON CANADA ULC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		CORNERSTONE INTERNATIONAL HOLDINGS, LTD.	GBR.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1079584	0.....	0.....		CREDITOR RESOURCES, INC.....	MI.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	95-4123166	0.....	0.....		CRG INSURANCE AGENCY, INC.....	CA.....	NIA.....	CLARK CONSULTING, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		CRI CANADA LTD.....	CAN.....	NIA.....	44764 YUKON INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1363611	0.....	0.....		CRI SOLUTIONS, INC.....	MD.....	NIA.....	CREDITOR RESOURCES, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-4498171	0.....	0.....		CUPPLES STATE LIHTC INVESTORS, LLC.....	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		ERFAHRUNGSSCHATZ GMBH.....	DEU.....	NIA.....	CORNERSTONE INTERNATIONAL HOLDINGS, LTD.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12.4

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....		0.....	0.....		FD TLIC, LTD.....	GBR.....	NIA.....	FD TLIC, LIMITED LIABILITY COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	01-0969916	0.....	0001494550		FD TLIC, LIMITED LIABILITY COMPANY.....	NY.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	23-2130174	0.....	0.....		FINANCIAL PLANNING SERVICES, INC.....	DC.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-8736609	0.....	0.....		FONG LCS ASSOCIATES, LLC.....	DE.....	NIA.....	INVESTORS WARRANTY OF AMERICA, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	14-1893533	0.....	0.....		GARNET ASSURANCE CORPORATION II.....	IA.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	98-0164807	0.....	0.....		GLOBAL PREFERRED RE LIMITED.....	BMU.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1517005	0.....	0.....		INTERSECURITIES INSURANCE AGENCY, INC.	CA.....	NIA.....	WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1154276	0.....	0.....		INTERSTATE NORTH OFFICE PARK OWNER, LLC	DE.....	NIA.....	INVESTORS WARRANTY OF AMERICA, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1154276	0.....	0.....		INVESTORS WARRANTY OF AMERICA, INC.....	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		IWA COMMERCIAL VENTURE, LLC.....	GA.....	NIA.....	INVESTORS WARRANTY OF AMERICA, INC..	OWNERSHIP BOARD	99.900	AEGON N.V.....	0.....
0.....		00000.....	20-8687142	0.....	0.....		LCS ASSOCIATES, LLC.....	DE.....	NIA.....	INVESTORS WARRANTY OF AMERICA, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		LEGACY GENERAL INSURANCE COMPANY.....	CAN.....	IA.....	AEGON CANADA ULC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1483973	0.....	0.....		LIFE INVESTORS ALLIANCE, LLC.....	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-0191090	0.....	0.....		LIICA HOLDINGS, LLC.....	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-5984601	0.....	0.....		LIICA RE I, INC.....	VT.....	IA.....	LIICA HOLDINGS, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-5927773	0.....	0.....		LIICA RE II, INC.....	VT.....	IA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-0947998	0.....	0.....		MASSACHUSETTS FIDELITY TRUST COMPANY	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	34-1843466	0.....	0.....		MCDONALD CORPORATE TAX CREDIT FUND IV LIMITED PARTNERSHIP	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	99.900	AEGON N.V.....	0.....
0.....		00000.....	34-1843466	0.....	0.....		MCDONALD CORPORATE TAX CREDIT FUND IV LIMITED PARTNERSHIP	DE.....	NIA.....	TAH-MCd IV, LLC.....	OWNERSHIP BOARD	0.100	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12.5

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	01-0930908	0.....	0.....		MLIC RE I, INC.....	VT.....	IA.....	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1079580	0.....	0.....		MONEY SERVICES, INC.....	DE.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1243288	0.....	0.....		MONUMENTAL GENERAL ADMINISTRATORS, INC.	MD.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	66281.....	52-0419790	0.....	0.....		MONUMENTAL LIFE INSURANCE COMPANY....	IA.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	12.280	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	66281.....	52-0419790	0.....	0.....		MONUMENTAL LIFE INSURANCE COMPANY....	IA.....	IA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	87.720	AEGON N.V.....	0.....
0.....		00000.....	20-3318246	0.....	0.....		NEW MARKETS COMMUNITY INVESTMENT FUND, LLC.	IA.....	NIA.....	AEGON INSTITUTIONAL MARKETS, INC.....	OWNERSHIP BOARD	50.000	AEGON N.V.....	0.....
0.....		00000.....	20-3318246	0.....	0.....		NEW MARKETS COMMUNITY INVESTMENT FUND, LLC.	IA.....	NIA.....	AEGON USA REALTY ADVISORS, LLC.....	OWNERSHIP BOARD	50.000	AEGON N.V.....	0.....
0.....		00000.....	35-2283987	0.....	0.....		nVISION FINANCIAL, INC.....	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	26-2311888	0.....	0.....		ONCOR INSURANCE SERVICES, LLC.....	IA.....	NIA.....	TRANSAMERICA AGENCY NETWORK, INC....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-1063558	0.....	0.....		PEARL HOLDINGS, INC. I.....	DE.....	NIA.....	AEGON USA ASSET MANAGEMENT HOLDING, LLC	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-1063571	0.....	0.....		PEARL HOLDINGS, INC. II.....	DE.....	NIA.....	AEGON USA ASSET MANAGEMENT HOLDING, LLC	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	23-1705984	0.....	0.....		PEOPLES BENEFIT SERVICES, LLC.....	PA.....	NIA.....	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	26-1552330	0.....	0.....		PINE FALLS RE, INC.....	VT.....	IA.....	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0001170593		PRIMUS GUARANTY, LTD.....	BMU.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	20.000	AEGON N.V.....	0.....
0.....		00000.....	42-1154276	0.....	0.....		PSL ACQUISITIONS OPERATING, LLC.....	IA.....	NIA.....	INVESTORS WARRANTY OF AMERICA, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	98-0087891	0.....	0.....		PYRAMID INSURANCE COMPANY, LTD.....	HI.....	IA.....	TRANSAMERICA CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	13-3256226	0.....	0.....		RCC NORTH AMERICA, LLC.....	DE.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	75-2980951	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 1, LLC.	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	90.960	AEGON N.V.....	0.....
0.....		00000.....	75-2980951	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 1, LLC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	6.300	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12.6

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	75-2980951	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 1, LLC.	DE.....	NIA.....	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	2.740	AEGON N.V.....	0.....
0.....		00000.....	02-0685017	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 2, LLC.	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	90.250	AEGON N.V.....	0.....
0.....		00000.....	02-0685017	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 2, LLC.	DE.....	NIA.....	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	7.500	AEGON N.V.....	0.....
0.....		00000.....	02-0685017	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 2, LLC.	DE.....	NIA.....	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	22.500	AEGON N.V.....	0.....
0.....		00000.....	20-1378364	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 3, LLC.	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	73.400	AEGON N.V.....	0.....
0.....		00000.....	20-1378364	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 3, LLC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	25.600	AEGON N.V.....	0.....
0.....		00000.....	20-1378364	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 3, LLC.	DE.....	NIA.....	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	1.000	AEGON N.V.....	0.....
0.....		00000.....	20-1627078	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC.	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	52.600	AEGON N.V.....	0.....
0.....		00000.....	20-1627078	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	37.000	AEGON N.V.....	0.....
0.....		00000.....	20-1627078	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC.	DE.....	NIA.....	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	9.400	AEGON N.V.....	0.....
0.....		00000.....	20-1627078	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC.	DE.....	NIA.....	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	1.000	AEGON N.V.....	0.....
0.....		00000.....	20-3878375	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 4HR, LLC.	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	64.000	AEGON N.V.....	0.....
0.....		00000.....	20-3878375	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 4HR, LLC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	32.000	AEGON N.V.....	0.....
0.....		00000.....	20-3878375	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 4HR, LLC.	DE.....	NIA.....	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	4.000	AEGON N.V.....	0.....
0.....		00000.....	20-3878417	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 4MR, LLC.	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	64.000	AEGON N.V.....	0.....
0.....		00000.....	20-3878417	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 4MR, LLC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	32.000	AEGON N.V.....	0.....
0.....		00000.....	20-3878417	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 4MR, LLC.	DE.....	NIA.....	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	4.000	AEGON N.V.....	0.....
0.....		00000.....	42-1418160	0.....	0.....		REALTY INFORMATION SYSTEMS, INC.....	IA.....	NIA.....	TRANSAMERICA REALTY SERVICES, LLC....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-0877184	0.....	0.....		RIVER RIDGE INSURANCE COMPANY.....	VT.....	IA.....	AEGON MANAGEMENT COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12.7

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....		0.....	0.....		SELIENT INC.....	CAN.....	NIA.....	AEGON CANADA ULC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1338496	0.....	0.....		SHORT HILLS MANAGEMENT COMPANY.....	NJ.....	NIA.....	AEGON U.S. HOLDING CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	98426.....	86-0455577	0.....	0.....		SOUTHWEST EQUITY LIFE INSURANCE COMPANY	AZ.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	75-2548428	0.....	0.....		STONEBRIDGE BENEFIT SERVICES, INC.....	DE.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	10952.....	31-4423946	0.....	0.....		STONEBRIDGE CASUALTY INSURANCE COMPANY	OH.....		AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		STONEBRIDGE INTERNATIONAL INSURANCE, LTD.	GBR.....	NIA.....	CORNERSTONE INTERNATIONAL HOLDINGS, LTD.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	65021.....	03-0164230	0.....	0.....		STONEBRIDGE LIFE INSURANCE COMPANY....	VT.....	IA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	61-1497252	0.....	0.....		STONEBRIDGE REINSURANCE COMPANY.....	VT.....	IA.....	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-5234047	0.....	0.....		TAHP FUND 1, LLC.....	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	84-0642550	0.....	0.....		TCF ASSET MANAGEMENT CORPORATION.....	CO.....	NIA.....	TCFC ASSET HOLDINGS, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	32-0092333	0.....	0.....		TCFC AIR HOLDINGS, INC.....	DE.....	NIA.....	TRANSAMERICA COMMERCIAL FINANCE CORPORATION, I	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	32-0092334	0.....	0.....		TCFC ASSET HOLDINGS, INC.....	DE.....	NIA.....	TRANSAMERICA COMMERCIAL FINANCE CORPORATION, I	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	51-6513848	0.....	0.....		THE AEGON TRUST.....	DE.....	UIP.....	AEGON INTERNATIONAL B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1154276	0.....	0.....		THH ACQUISITIONS, LLC.....	IA.....	NIA.....	INVESTORS WARRANTY OF AMERICA, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TIHI CANADA HOLDING, LLC.....	IA.....	NIA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	14146.....	45-3193055	0.....	0.....		TLIC RIVERWOOD REINSURANCE, INC.....	IA.....	IA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1154276	0.....	0.....		TRADITION LAND COMPANY, LLC.....	IA.....	NIA.....	INVESTORS WARRANTY OF AMERICA, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	36-4162154	0.....	0.....		TRANSAMERICA ACCOUNTS HOLDING CORPORATION	DE.....	NIA.....	TCFC ASSET HOLDINGS, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	82848.....	16-1020455	0.....	0000862923		TRANSAMERICA ADVISORS LIFE INSURANCE COMPANY OF NEW YORK	NY.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12.8

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0468.....	AEGON US HOLDING GRP.....	79022.....	91-1325756	0.....	0000845091		TRANSAMERICA ADVISORS LIFE INSURANCE COMPANY	AR.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA AFFINITY MARKETING CORRETORA DE SEGUROS LTDA	BRA.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1523438	0.....	0.....		TRANSAMERICA AFFINITY SERVICES, INC.....	MD.....	NIA.....	AEGON DIRECT MARKETING SERVICES, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	94-3252196	0.....	0.....		TRANSAMERICA AFFORDABLE HOUSING, INC.	CA.....	NIA.....	TRANSAMERICA REALTY SERVICES, LLC....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	61-1513662	0.....	0.....		TRANSAMERICA AGENCY NETWORK, INC.....	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	1,000.000	AEGON N.V.....	0.....
0.....		00000.....	85-0325648	0.....	0.....		TRANSAMERICA ANNUITY SERVICE CORPORATION	NM.....	NIA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	59-3403585	0.....	0001191808		TRANSAMERICA ASSET MANAGEMENT, INC.....	FL.....	NIA.....	WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OWNERSHIP BOARD	...77.000	AEGON N.V.....	0.....
0.....		00000.....	59-3403585	0.....	0001191808		TRANSAMERICA ASSET MANAGEMENT, INC....	FL.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...23.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA AVIATION, LLC.....	DE.....	NIA.....	TCFC AIR HOLDINGS, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA (BERMUDA) SERVICES CENTER, LTD.	BMU.....	NIA.....	AEGON INTERNATIONAL B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	95-3141953	0.....	0000316561		TRANSAMERICA CAPITAL, INC.....	CA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	94-3054228	0.....	0.....		TRANSAMERICA COMMERICAL FINANCE CORPORATION, I.	DE.....	NIA.....	TRANSAMERICA FINANCE CORPORATION...	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	95-4631538	0.....	0.....		TRANSAMERICA CONSUMER FINANCE HOLDING COMPANY	DE.....	NIA.....	TCFC ASSET HOLDINGS, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	98-6021219	0.....	0.....		TRANSAMERICA CORPORATION (OR).....	OR.....	NIA.....	TRANSAMERICA CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1484983	0.....	0000099189		TRANSAMERICA CORPORATION.....	DE.....	UIP.....	THE AEGON TRUST.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	08-2507515	0.....	0.....		TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY, LTD.	AUS.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA DIRECT MARKETING CONSULTANTS PRIVATE LIMITED	IND.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	36-4254366	0.....	0.....		TRANSAMERICA DISTRIBUTION FINANCE-OVERSEAS, INC.	DE.....	NIA.....	TCFC ASSET HOLDINGS, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	95-1077235	0.....	0000099193		TRANSAMERICA FINANCE CORPORATION.....	DE.....	NIA.....	TRANSAMERICA CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12.9

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	59-2476008	0.....	0.....		TRANSAMERICA FINANCIAL ADVISORS, INC....	DE.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	51.600	AEGON N.V.....	0.....
0.....		00000.....	59-2476008	0.....	0.....		TRANSAMERICA FINANCIAL ADVISORS, INC....	DE.....	NIA.....	AEGON ASSET MANAGEMENT SERVICES, INC.	OWNERSHIP BOARD	37.620	AEGON N.V.....	0.....
0.....		00000.....	59-2476008	0.....	0.....		TRANSAMERICA FINANCIAL ADVISORS, INC....	DE.....	NIA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	10.780	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	70688.....	36-6071399	0.....	0.....		TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	NY.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	87.400	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	70688.....	36-6071399	0.....	0.....		TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	NY.....	IA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	12.600	AEGON N.V.....	0.....
0.....		00000.....	59-3403587	0.....	0001308973		TRANSAMERICA FUND SERVICES, INC.....	FL.....	NIA.....	WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OWNERSHIP BOARD	44.000	AEGON N.V.....	0.....
0.....		00000.....	59-3403587	0.....	0001308973		TRANSAMERICA FUND SERVICES, INC.....	FL.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	56.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA FUNDING LP.....	GBR.....	NIA.....	TRANSAMERICA LEASING HOLDINGS, INC...	OWNERSHIP BOARD	99.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA FUNDING LP.....	GBR.....	NIA.....	TRANSAMERICA COMMERCIAL FINANCE CORPORATION	OWNERSHIP BOARD	1.000	AEGON N.V.....	0.....
0.....		00000.....	95-4390993	0.....	0.....		TRANSAMERICA HOME LOAN.....	CA.....	NIA.....	TRANSAMERICA CONSUMER FINANCE HOLDING COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	08-2524730	0.....	0.....		TRANSAMERICA INSURANCE MARKETING ASIA PACIFIC PTY, LTD.	AUS.....	NIA.....	TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY, LTD.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1470697	0.....	0.....		TRANSAMERICA INTERNATIONAL DIRECT MARKETING CONSULTANTS, LLC.	MD.....	NIA.....	AEGON DIRECT MARKETING SERVICES, INC.	OWNERSHIP BOARD	49.000	AEGON N.V.....	0.....
0.....		00000.....	94-2873401	0.....	0.....		TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	DE.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	98-0199561	0.....	0.....		TRANSAMERICA INTERNATIONAL RE (BERMUDA), LTD.	BMU.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA INTERNATIONAL RE ESCRITORIO DE REPRESENTACAO NO BRASIL, LTD.	BRA.....	NIA.....	TRANSAMERICA INTERNATIONAL RE(BERMUDA), LTD.	OWNERSHIP BOARD	95.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA INTERNATIONAL RE ESCRITORIO DE REPRESENTACAO NO BRASIL, LTD.	BRA.....	NIA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	5.000	AEGON N.V.....	0.....
0.....		00000.....	06-1564377	0.....	0000099201		TRANSAMERICA INVESTMENT MANAGEMENT, LLC.	DE.....	NIA.....	AEGON USA ASSET MANAGEMENT HOLDING, LLC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	13-3696753	0.....	0.....		TRANSAMERICA INVESTORS SECURITIES CORPORATION	DE.....	NIA.....	TRANSAMERICA RETIREMENT SOLUTIONS CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12.10

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	13-3452993	0.....	0.....		TRANSAMERICA LEASING HOLDINGS INC.....	DE.....	NIA.....	TRANSAMERICA FINANCE CORPORATION...	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	98-0481010	0.....	0.....		TRANSAMERICA LIFE (BERMUDA), LTD.....	BMU.....	IA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA LIFE CANADA.....	CAN.....	IA.....	AEGON CANADA ULC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	86231.....	39-0989781	0.....	0.....		TRANSAMERICA LIFE INSURANCE COMPANY..	IA.....	IA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	86231.....	39-0989781	0.....	0.....		TRANSAMERICA LIFE INSURANCE COMPANY..	IA.....	IA.....	TRANSAMERICA CORPORATION.....	OWNERSHIP BOARD	...74.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	86231.....	39-0989781	0.....	0.....		TRANSAMERICA LIFE INSURANCE COMPANY..	IA.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...26.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA LIFE INTERNATIONAL (BERMUDA), LTD.	BMU.....	IA.....	AEGON SERVICES (DUBLIN) LIMITED.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	94-2993381	0.....	0.....		TRANSAMERICA OAKMONT CORPORATION....	CA.....	NIA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	94-3304740	0.....	0.....		TRANSAMERICA PACIFIC INSURANCE COMPANY, LTD.	HI.....	IA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...96.300	AEGON N.V.....	0.....
0.....		00000.....	94-3304740	0.....	0.....		TRANSAMERICA PACIFIC INSURANCE COMPANY, LTD.	HI.....	IA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	...3.700	AEGON N.V.....	0.....
0.....		00000.....	52-0419790	0.....	0.....		TRANSAMERICA PYRAMID PROPERTIES, LLC..	IA.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-0419790	0.....	0.....		TRANSAMERICA REALTY INVESTMENT PROPERTIES, LLC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	94-3026780	0.....	0.....		TRANSAMERICA REALTY SERVICES, LLC.....	DE.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1525601	0.....	0.....		TRANSAMERICA RESOURCES, INC.....	MD.....	NIA.....	MONUMENTAL GENERAL ADMINISTRATORS, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	13-3689044	0.....	0.....		TRANSAMERICA RETIREMENT ADVISORS, INC.	DE.....	NIA.....	TRANSAMERICA RETIREMENT SOLUTIONS CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	46-2720367	0.....	0.....		TRANSAMERICA RETIREMENT INSURANCE AGENCY, INC.	DE.....	NIA.....	TRANSAMERICA RETIREMENT SOLUTIONS CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	13-3689044	0.....	0.....		TRANSAMERICA RETIREMENT SOLUTIONS CORPORATION	DE.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	36-4251204	0.....	0.....		TRANSAMERICA SMALL BUSINESS CAPITAL, INC.	DE.....	NIA.....	TCFC ASSET HOLDINGS, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	27-0648897	0.....	0.....		TRANSAMERICA STABLE VALUE SOLUTIONS, INC.	DE.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Q12.11

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	42-1079580	0.....	0.....		TRANSAMERICA TRAVEL AND CONFERENCE SERVICES, INC.	IA.....	NIA.....	MONEY SERVICES, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	36-4134790	0.....	0.....		TRANSAMERICA VENDOR FINANCIAL SERVICES CORPORATION	DE.....	NIA.....	TCFC ASSET HOLDINGS, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1263786	0.....	0.....		UNITED FINANCIAL SERVICES, INC.....	MD.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1334744	0.....	0.....		UNIVERSAL BENEFITS, LLC.....	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		VERENIGING AEGON NETHERLANDS MEMBERSHIP ASSOCIATION	NLD.....	NIA.....		OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	91413.....	43-1162657	0.....	0.....		WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OH.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-2541057	0.....	0.....		WFG CHINA HOLDINGS. INC.....	DE.....	NIA.....	WORLD FINANCIAL GROUP, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	66-0621281	0.....	0.....		WFG INSURANCE AGENCY OF PUERTO RICO, INC.	PR.....	NIA.....	WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-2133638	0.....	0.....		WFG PROPERTIES HOLDINGS, LLC.....	GA.....	NIA.....	WORLD FINANCIAL GROUP, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		WFG REINSURANCE LIMITED.....	BMU.....	NIA.....	WORLD FINANCIAL GROUP, INC.....	OWNERSHIP BOARD	51.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		WFG SECURITIES OF CANADA, INC.....	CAN.....	NIA.....	WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		WORLD FINANCIAL GROUP CANADA, INC.....	CAN.....	NIA.....	WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC.	CAN.....	NIA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		WORLD FINANCIAL GROUP INSURANCE AGENCY OF CANADA, INC.	CAN.....	NIA.....	WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC.	OWNERSHIP BOARD	50.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		WORLD FINANCIAL GROUP INSURANCE AGENCY OF CANADA, INC.	CAN.....	NIA.....	WORLD FINANCIAL GROUP SUBHOLDING COMPANY OF CANADA, INC.	OWNERSHIP BOARD	50.000	AEGON N.V.....	0.....
0.....		00000.....	99-0277127	0.....	0.....		WORLD FINANCIAL GROUP INSURANCE AGENCY OF HAWAII, INC.	HI.....	NIA.....	WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	04-3182849	0.....	0.....		WORLD FINANCIAL GROUP INSURANCE AGENCY OF MASSACHUSETTS, INC.	MA.....	NIA.....	WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1519076	0.....	0.....		WORLD FINANCIAL GROUP INSURANCE AGENCY OF WYOMING, INC.	WY.....	NIA.....	WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	95-3809372	0.....	0.....		WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	CA.....	NIA.....	WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....		0.....	0.....		WORLD FINANCIAL GROUP SUBHOLDING COMPANY OF CANADA, INC.	CAN.....	NIA.....	WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1518386	0.....	0.....		WORLD FINANCIAL GROUP, INC.....	DE.....	NIA.....	AEGON ASSET MANAGEMENT SERVICES, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	95-2775959	0.....	0000109152		ZAHORIK COMPANY, INC.....	CA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	26-1298094	0.....	0.....		ZERO BETA FUND, LLC.....	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	82.350	AEGON N.V.....	0.....
0.....		00000.....	26-1298094	0.....	0.....		ZERO BETA FUND, LLC.....	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	16.160	AEGON N.V.....	0.....
0.....		00000.....	26-1298094	0.....	0.....		ZERO BETA FUND, LLC.....	DE.....	NIA.....	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	1.490	AEGON N.V.....	0.....

STONEBRIDGE CASUALTY INSURANCE COMPANY
PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....	0	0	0.0	0.0
2. Allied lines.....	0	0	0.0	0.0
3. Farmowners multiple peril.....	0	0	0.0	0.0
4. Homeowners multiple peril.....	0	0	0.0	0.0
5. Commercial multiple peril.....	0	0	0.0	0.0
6. Mortgage guaranty.....	0	0	0.0	0.0
8. Ocean marine.....	0	0	0.0	0.0
9. Inland marine.....	187,028,740	111,207,643	59.5	51.8
10. Financial guaranty.....	0	0	0.0	0.0
11.1. Medical professional liability - occurrence.....	0	0	0.0	0.0
11.2. Medical professional liability - claims-made.....	0	0	0.0	0.0
12. Earthquake.....	0	0	0.0	0.0
13. Group accident and health.....	154,658	42,378	27.4	5.5
14. Credit accident and health.....	309,936	24,650	8.0	9.9
15. Other accident and health.....	13,702	(18)	(0.1)	0.1
16. Workers' compensation.....	0	(500,560)	0.0	0.0
17.1 Other liability-occurrence.....	2,250,000	(12,472)	(0.6)	0.0
17.2 Other liability-claims made.....	0	0	0.0	0.0
17.3 Excess workers' compensation.....	0	0	0.0	0.0
18.1 Products liability-occurrence.....	0	0	0.0	0.0
18.2 Products liability-claims made.....	0	0	0.0	0.0
19.1, 19.2 Private passenger auto liability.....	0	0	0.0	0.0
19.3, 19.4 Commercial auto liability.....	0	0	0.0	0.0
21. Auto physical damage.....	0	0	0.0	0.0
22. Aircraft (all perils).....	0	0	0.0	0.0
23. Fidelity.....	0	0	0.0	0.0
24. Surety.....	0	0	0.0	0.0
26. Burglary and theft.....	0	0	0.0	0.0
27. Boiler and machinery.....	0	0	0.0	0.0
28. Credit.....	23,298,912	5,009,421	21.5	7.4
29. International.....	0	0	0.0	0.0
30. Warranty.....	842,182	401,074	47.6	47.1
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	10,969,679	2,810,897	25.6	33.6
35. Totals.....	224,867,809	118,983,013	52.9	44.7
DETAILS OF WRITE-INS				
3401. Credit unemployment.....	3,969,052	167,435	4.2	7.2
3402. Contract liability.....	0	0	0.0	64.8
3403. Vehicle service contracts.....	0	0	0.0	20.9
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	7,000,627	2,643,462	37.8	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	10,969,679	2,810,897	25.6	33.6

PART 2 - DIRECT PREMIUMS WRITTEN

Lines of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire.....	0	0	0
2. Allied lines.....	0	0	0
3. Farmowners multiple peril.....	0	0	0
4. Homeowners multiple peril.....	0	0	0
5. Commercial multiple peril.....	0	0	0
6. Mortgage guaranty.....	0	0	0
8. Ocean marine.....	0	0	0
9. Inland marine.....	64,529,430	179,195,933	198,256,225
10. Financial guaranty.....	0	0	0
11.1 Medical professional liability - occurrence.....	0	0	0
11.2 Medical professional liability - claims made.....	0	0	0
12. Earthquake.....	0	0	0
13. Group accident and health.....	54,871	154,574	249,700
14. Credit accident and health.....	97,270	309,936	367,570
15. Other accident and health.....	4,325	13,615	48,750
16. Workers' compensation.....	0	0	0
17.1 Other liability-occurrence.....	0	3,000,000	3,000,000
17.2 Other liability-claims made.....	0	0	0
17.3 Excess workers' compensation.....	0	0	0
18.1 Products liability-occurrence.....	0	0	0
18.2 Products liability-claims made.....	0	0	0
19.1 19.2 Private passenger auto liability.....	0	0	0
19.3 19.4 Commercial auto liability.....	0	0	0
21. Auto physical damage.....	0	0	0
22. Aircraft (all perils).....	0	0	0
23. Fidelity.....	0	0	0
24. Surety.....	0	0	0
26. Burglary and theft.....	0	0	0
27. Boiler and machinery.....	0	0	0
28. Credit.....	4,924,216	12,810,829	10,278,289
29. International.....	0	0	0
30. Warranty.....	(100)	(870)	649,278
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	4,107,526	11,518,434	12,206,720
35. Totals.....	73,717,538	207,002,451	225,056,532
DETAILS OF WRITE-INS			
3401. Credit unemployment.....	1,219,210	3,969,052	6,862,054
3402. Contract liability.....	0	0	5,347,383
3403. Vehicle service contracts.....	0	0	(2,717)
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	2,888,316	7,549,382	0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	4,107,526	11,518,434	12,206,720

PART 3 (000 omitted)

LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2013 Loss and LAE Payments on Claims Reported as of Prior Year-End	2013 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2013 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserve Developed (Savings)/Deficiency (Cols. 11 + 12)
1. 2010 + Prior.....	9,222	27,808	37,030	2,033	0	2,033	8,731	76	25,210	34,017	1,542	(2,522)	(980)
2. 2011.....	392	1,037	1,429	242	0	242	0	175	62	237	(150)	(800)	(950)
3. Subtotals 2011 + Prior.....	9,614	28,845	38,459	2,275	0	2,275	8,731	251	25,272	34,254	1,392	(3,322)	(1,930)
4. 2012.....	1,176	19,680	20,856	21,325	0	21,325	0	377	1,183	1,560	20,149	(18,120)	2,029
5. Subtotals 2012 + Prior.....	10,790	48,525	59,315	23,600	0	23,600	8,731	628	26,455	35,814	21,541	(21,442)	99
6. 2013.....	XXX.....	XXX.....	XXX.....	XXX.....	66,082	66,082	XXX.....	960	27,344	28,304	XXX.....	XXX.....	XXX.....
7. Totals.....	10,790	48,525	59,315	23,600	66,082	89,682	8,731	1,588	53,799	64,118	21,541	(21,442)	99
8. Prior Year-End's Surplus As Regards Policyholders	105,653										Col. 11, Line 7 As % of Col. 1, Line 7	Col. 12, Line 7 As % of Col. 2, Line 7	Col. 13, Line 7 As % of Col. 3, Line 7
											1.199.6 %	2.(44.2)%	3.0.2 %
											Col. 13, Line 7 Line 8		
									4.0.1 %				

Q14

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

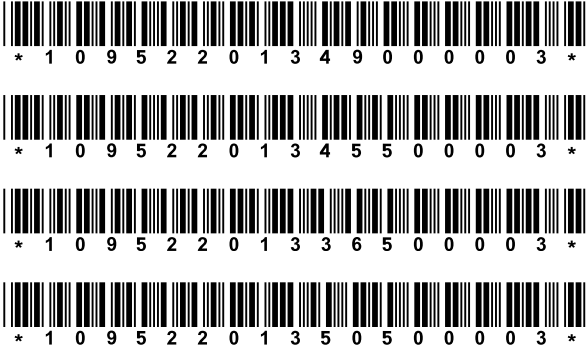
The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

1.
2.
3.
4.

Bar Code:



STONEBRIDGE CASUALTY INSURANCE COMPANY
Overflow Page for Write-Ins

Additional Write-ins for Statement of Income:

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1404. Fines and Penalties.....	(646)	(928)	(928)
1497. Summary of remaining write-ins for Line 14.....	(646)	(928)	(928)

Additional Write-ins for Schedule T:

States, Etc.	1	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
	Active Status	2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
58004. Trinidad and Tobago.....	...XXX...	6,073	0	0	0	0	0
58005. Netherlands.....	...XXX...	4,925	13,405	3,231	0	0	0
58006. Italy.....	...XXX...	4,018	9,538	0	0	0	0
58007. Japan.....	...XXX...	2,237	0	0	0	0	0
58008. Bolivia.....	...XXX...	1,823	5,438	0	0	0	0
58009. Czech Republic.....	...XXX...	1,651	1,305	0	0	0	0
58010. Poland.....	...XXX...	1,302	1,305	0	0	0	0
58011. Hong Kong.....	...XXX...	732	1,158	0	0	0	0
58012. Qatar.....	...XXX...	634	1,158	0	0	0	0
58013. Russia.....	...XXX...	505	921	0	0	0	0
58014. Korea.....	...XXX...	329	107	0	0	0	0
58015. Cayman Islands.....	...XXX...	316	0	0	0	0	0
58016. UK.....	...XXX...	316	0	0	0	0	0
58017. Israel.....	...XXX...	298	0	0	0	0	0
58018. Thailand.....	...XXX...	230	419	0	0	0	0
58019. Marshall Island.....	...XXX...	22	0	0	0	0	0
58020. Turkey.....	...XXX...	0	17,014	0	1,226	5,006	4,401
58021. Jamaica.....	...XXX...	0	2,164	0	0	0	0
58022. Cyprus.....	...XXX...	0	578	0	0	0	0
58023. Hungary.....	...XXX...	0	203	0	0	0	0
58024. Finland.....	...XXX...	0	184	0	0	0	0
58025. San Marino.....	...XXX...	0	180	0	0	0	0
58026. ARMED FORCES-EUROPE.....	...XXX...	0	11	0	20,320	0	8,670
58027. ARMED FORCES-PACIFIC.....	...XXX...	0	2	0	17,529	0	7,479
58028. Dominican Republic.....	...XXX...	0	0	0	10,499	0	237
58029. England.....	...XXX...	0	0	2,435	1,785	0	0
58030. Gambia.....	...XXX...	0	0	0	931	0	0
58031. Venezuela.....	...XXX...	0	0	11,530	618	197	173
58032. Brazil.....	...XXX...	0	0	0	0	1,922	0
58033. Sweden.....	...XXX...	0	0	934	0	0	0
58997. Summary of remaining write-ins for Line 58 from overflow.....	...XXX...	25,411	55,090	18,130	52,908	7,125	20,960

Additional Write-ins for Part 1:

Lines of Business	Current Year to Date			4
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	Prior Year to Date Direct Loss Percentage
3404. Debt Cancellation.....	7,000,627	2,643,462	37.8	0.0
3497. Summary of remaining write-ins for Line 34.....	7,000,627	2,643,462	37.8	XXX.....

Additional Write-ins for Part 2:

	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
3404. Tuition reimbursement.....	267,227	769,895	0
3405. Debt Cancellation.....	2,621,089	6,779,487	0
3497. Summary of remaining write-ins for Line 34.....	2,888,316	7,549,382	0

STONEBRIDGE CASUALTY INSURANCE COMPANY
SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Current year change in encumbrances.....	0	0
4. Total gain (loss) on disposals.....	0	0
5. Deduct amounts received on disposals.....	0	0
6. Total foreign exchange change in book/adjusted carrying value.....	0	0
7. Deduct current year's other than temporary impairment recognized.....	0	0
8. Deduct current year's depreciation.....	0	0
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....	0	0
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	0	0
5. Unrealized valuation increase (decrease).....	0	0
6. Total gain (loss) on disposals.....	0	0
7. Deduct amounts received on disposals.....	0	0
8. Deduct amortization of premium and mortgage interest points and commitment fees.....	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....	0	0
10. Deduct current year's other than temporary impairment recognized.....	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....	0	0
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....	0	0
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	95,326	32,491
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	67,250
2.2 Additional investment made after acquisition.....	12,963	60,792
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	0	0
5. Unrealized valuation increase (decrease).....	0	87,950
6. Total gain (loss) on disposals.....	0	(87,935)
7. Deduct amounts received on disposals.....	3,425	369
8. Deduct amortization of premium and depreciation.....	8,479	64,855
9. Total foreign exchange change in book/adjusted carrying value.....	0	0
10. Deduct current year's other than temporary impairment recognized.....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	96,385	95,326
12. Deduct total nonadmitted amounts.....	0	0
13. Statement value at end of current period (Line 11 minus Line 12).....	96,385	95,326

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	234,126,434	211,968,339
2. Cost of bonds and stocks acquired.....	91,661,048	79,264,888
3. Accrual of discount.....	340,247	452,836
4. Unrealized valuation increase (decrease).....	275,682	1,391,739
5. Total gain (loss) on disposals.....	(267,715)	268,370
6. Deduct consideration for bonds and stocks disposed of.....	75,542,239	58,460,595
7. Deduct amortization of premium.....	650,745	731,058
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other than temporary impairment recognized.....	0	28,085
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	249,942,712	234,126,434
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	249,942,712	234,126,434

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1	2	3	4	5	6	7	8
	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a).....	199,338,147	93,276,369	110,446,943	(2,844,968)	198,147,695	199,338,147	179,322,605	207,004,178
2. Class 2 (a).....	86,591,042	9,453,793	2,968,146	2,676,976	81,577,172	86,591,042	95,753,665	63,401,789
3. Class 3 (a).....	0	0	0	0	0	0	0	0
4. Class 4 (a).....	4,113,782	0	224,996	42,584	4,349,205	4,113,782	3,931,370	3,171,146
5. Class 5 (a).....	190,058	0	11,697	3,088	196,014	190,058	181,449	204,398
6. Class 6 (a).....	3,571,858	0	80,108	122,178	3,648,589	3,571,858	3,613,928	3,678,857
7. Total Bonds.....	293,804,887	102,730,162	113,731,890	(142)	287,918,675	293,804,887	282,803,017	277,460,368
PREFERRED STOCK								
8. Class 1.....	0	0	0	0	0	0	0	0
9. Class 2.....	0	0	0	0	0	0	0	0
10. Class 3.....	0	0	0	0	0	0	0	0
11. Class 4.....	0	0	0	0	0	0	0	0
12. Class 5.....	0	0	0	0	0	0	0	0
13. Class 6.....	0	0	0	0	0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	293,804,887	102,730,162	113,731,890	(142)	287,918,675	293,804,887	282,803,017	277,460,368

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....	28,183,713	XXX.....	28,182,157	5,091	0

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	29,638,355	15,666,813
2. Cost of short-term investments acquired.....	177,577,568	208,084,065
3. Accrual of discount.....	1,555	0
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals.....	0	0
6. Deduct consideration received on disposals.....	179,033,766	194,112,523
7. Deduct amortization of premium.....	0	0
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other than temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	28,183,713	29,638,355
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	28,183,713	29,638,355

Sch. DB-Pt A-Verification
NONE

Sch. DB-Pt B-Verification
NONE

Sch. DB-Pt C-Sn 1
NONE

Sch. DB-Pt C-Sn 2
NONE

Sch. DB-Verification
NONE

STONEBRIDGE CASUALTY INSURANCE COMPANY
SCHEDULE E- VERIFICATION
Cash Equivalents

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	13,695,585	14,994,222
2. Cost of cash equivalents acquired.....	26,778,754	98,783,085
3. Accrual of discount.....	7,854	13,061
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals.....	0	964
6. Deduct consideration received on disposals.....	35,805,595	100,095,748
7. Deduct amortization of premium.....	0	0
8. Total foreign exchange change in book/ adjusted carrying value.....	0	0
9. Deduct current year's other than temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	4,676,598	13,695,585
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	4,676,598	13,695,585

Sch. A-Pt 2

NONE

Sch. A-Pt 3

NONE

Sch. B-Pt 2

NONE

Sch. B-Pt 3

NONE

Sch. BA-Pt 2

NONE

Sch. BA-Pt 3

NONE

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1	2					3	4	5		6	7	8	9	10
CUSIP Identification	Description					Foreign	Date Acquired	Name of Vendor		Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Bonds - U.S. Special Revenue and Special Assessment														
3138WM	XK	2	FNMA AT0681	3.000%	03/01/43.....		...08/08/2013	Various.....			5,197,266	5,000,001	4,584	1.....
3138WM	XL	0	FNMA AT0682	3.000%	04/01/43.....		...09/10/2013	CITIGROUP.....			1,251,759	1,204,248	1,104	1.....
3138X0	Y2	8	FNMA AU1628	3.000%	07/01/43.....		...09/10/2013	CITIGROUP.....			486,602	468,133	429	1.....
3138X3	XH	0	FNMA AU4279	3.000%	09/01/43.....		...09/10/2013	CITIGROUP.....			2,970,145	2,857,411	2,619	1.....
31417E	ZB	0	FNMA AB7937	3.000%	02/01/43.....		...09/10/2013	CITIGROUP.....			488,759	470,208	431	1.....
3199999.	Total - Bonds - U.S. Special Revenue & Special Assessments.....										10,394,531	10,000,001	9,167	XXX.....
Bonds - Industrial and Miscellaneous														
45685E	AB	2	ING US INC WI EXCHGD	5.500%	07/15/22.....	E.....	...08/08/2013	Tax Free Exchange.....			2,325,176	2,115,000	7,432	2FE.....
652482	AS	9	NEWS AMERICA INC	6.750%	01/09/38.....		...09/27/2013	J.P. MORGAN SECURITIES INC.....			3,813,915	3,500,000	54,469	2FE.....
74340X	AW	1	PROLOGIS LP	4.250%	08/15/23.....		...08/08/2013	CITIGROUP.....			1,994,840	2,000,000	0	2FE.....
449786	AY	8	ING BANK NV 144A	5.800%	09/25/23.....	F.....	...09/16/2013	DEUTSCHE BANC ALEX BROWN.....			676,892	680,000	0	2FE.....
71654Q	BG	6	PETROLEOS MEXICANOS WI	3.500%	01/30/23.....	F.....	...08/29/2013	Tax Free Exchange.....			642,970	650,000	0	2FE.....
3899999.	Total - Bonds - Industrial & Miscellaneous.....										9,453,793	8,945,000	61,901	XXX.....
8399997.	Total - Bonds - Part 3.....										19,848,324	18,945,001	71,068	XXX.....
8399999.	Total - Bonds.....										19,848,324	18,945,001	71,068	XXX.....
9999999.	Total - Bonds, Preferred and Common Stocks.....										19,848,324	XXX.....	71,068	XXX.....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

QE04

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2			3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value At Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Desig- nation or Market Indicator (a)
												11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							

Bonds - U.S. Government

36203V	ZX	1	GNMA 360858 7.000% 04/01/24.....		09/01/2013	Paydown.....		151	151	143	144	0	6	0	6	0	151	0	0	0	7	04/01/2024	1.....
36204N	F3	6	GNMA 374686 7.000% 04/01/24.....		09/01/2013	Paydown.....		1,116	1,116	1,058	1,070	0	46	0	46	0	1,116	0	0	0	52	04/01/2024	1.....
36204T	HK	3	GNMA 379234 7.000% 04/01/24.....		09/01/2013	Paydown.....		373	373	354	358	0	15	0	15	0	373	0	0	0	17	04/01/2024	1.....
36204T	LK	8	GNMA 379330 7.000% 04/01/24.....		09/01/2013	Paydown.....		372	372	353	357	0	15	0	15	0	372	0	0	0	17	04/01/2024	1.....
36205H	5Z	8	GNMA 391564 7.000% 05/01/24.....		09/01/2013	Paydown.....		693	693	657	664	0	29	0	29	0	693	0	0	0	29	05/01/2024	1.....
36205M	2G	2	GNMA 395075 7.000% 05/01/24.....		09/01/2013	Paydown.....		7,063	7,063	6,696	6,770	0	292	0	292	0	7,063	0	0	0	329	05/01/2024	1.....
36223A	RJ	3	GNMA 302089 7.000% 05/01/24.....		09/01/2013	Paydown.....		330	330	313	316	0	14	0	14	0	330	0	0	0	15	05/01/2024	1.....
36225A	QF	0	GNMA 780454 7.000% 10/01/26.....		09/01/2013	Paydown.....		95	95	96	95	0	0	0	0	0	95	0	0	0	4	10/01/2026	1.....
0599999.	Total - Bonds - U.S. Government.....							10,193	10,193	9,670	9,774	0	417	0	417	0	10,193	0	0	0	470	XXX...	..XXX...

Bonds - U.S. Special Revenue and Special Assessment

3134A4	TZ	7	FHLMC 4.500% 07/15/13.....		07/15/2013	Maturity.....		767,000	767,000	736,700	764,359	0	2,641	0	2,641	0	767,000	0	0	0	34,515	07/15/2013	1.....
31374W	5D	0	FNMA 326744 7.000% 12/01/25.....		09/01/2013	Paydown.....		615	615	618	617	0	(1)	0	(1)	0	615	0	0	0	29	12/01/2025	1.....
3138WM	XK	2	FNMA AT0681 3.000% 03/01/43.....		09/10/2013	Various.....		5,197,266	5,000,001	5,197,266	0	0	0	0	0	0	5,197,266	0	0	0	17,084	03/01/2043	1.....
31417C	B7	9	FNMA AB5461 3.000% 06/01/42.....		08/08/2013	CITIGROUP.....		5,197,265	5,000,000	5,197,265	5,197,265	0	0	0	0	0	5,197,265	0	0	0	104,583	06/01/2042	1.....
876385	DA	0	TARRANT CNTY TEX HEALTH 5.000% 09/01/15....		09/01/2013	Redemption 100.0000.....		160,000	160,000	162,696	160,352	0	(352)	0	(352)	0	160,000	0	0	0	8,000	09/01/2015	1.....
3199999.	Total - Bonds - U.S. Special Revenue & Assessment.....							11,322,146	10,927,616	11,294,545	6,122,593	0	2,288	0	2,288	0	11,322,146	0	0	0	164,211	XXX...	..XXX...

Bonds - Industrial and Miscellaneous

04650N	AB	0	AT&T INC EXCHGD 5.350% 09/01/40.....		09/26/2013	GOLDMAN SACHS & CO.....		3,605,663	3,750,000	3,777,989	3,777,313	0	(230)	0	(230)	0	3,777,084	0	...(171,421)	...(171,421)	217,344	09/01/2040	1FE.....
05531G	AA	9	BB&T CORP 3.950% 03/22/22.....		09/16/2013	CITIGROUP.....		168,014	170,000	183,330	182,798	0	(877)	0	(877)	0	181,921	0	...(13,907)	...(13,907)	6,659	03/22/2022	1FE.....
059512	AD	5	BANC OF A COMM MTGE 200 5.857% 06/01/49....		09/01/2013	Paydown.....		27,657	27,657	27,251	27,567	0	91	0	91	0	27,657	0	0	0	1,065	06/01/2049	1FM....
126650	AQ	3	CVS CAREMARK CORP 144A 5.789% 01/10/26....		09/10/2013	Redemption 100.0000.....		12,571	12,571	11,766	11,948	0	623	0	623	0	12,571	0	0	0	485	01/10/2026	1FE.....
172967	GB	1	CITIGROUP INC 2.250% 08/07/15.....		09/16/2013	DEUTSCHE BANC ALEX BROWN.....		509,210	500,000	498,820	498,967	0	276	0	276	0	499,244	0	9,966	9,966	12,563	08/07/2015	1FE.....
22942K	CA	6	CREDIT SUISSE MTG 2006- 7.000% 08/01/36.....		09/25/2013	Capital Distribution.....		9,646	0	9,646	9,646	0	0	0	0	0	9,646	0	0	0	0	08/01/2036	5FM....
22942K	CA	6	CREDIT SUISSE MTG 2006- 7.000% 08/01/36.....		09/01/2013	Redemption 14.5515.....		2,051	14,092	2,051	2,051	0	0	0	0	0	2,051	0	0	0	0	08/01/2036	5FM....
263534	CB	3	DU PONT E I DE NEMOURS 3.625% 01/15/21.....		08/07/2013	J.P. MORGAN SECURITIES INC.....		2,566,175	2,500,000	2,705,500	2,691,369	0	(13,289)	0	(13,289)	0	2,678,080	0	...(111,905)	...(111,905)	97,422	01/15/2021	1FE.....
36185M	AF	9	GMAC MTGE CORP LN TR 4.893% 11/01/35.....		09/25/2013	Capital Distribution.....		35,806	0	35,806	35,806	0	0	0	0	0	35,806	0	0	0	0	11/01/2035	4FM....
36185M	AF	9	GMAC MTGE CORP LN TR 4.893% 11/01/35.....		09/01/2013	Redemption 80.4508.....		69,621	86,539	69,622	69,622	0	0	0	0	0	69,622	0	0	0	0	11/01/2035	4FM....
36228C	VR	1	GS MTG SEC CORP II 2005 4.680% 07/01/39.....		09/01/2013	Paydown.....		233,575	233,575	235,903	233,575	0	0	0	0	0	233,575	0	0	0	7,278	07/01/2039	1FM....
36228C	ZS	5	GS MTG SEC CORP II 2007 1.267% 03/06/20.....		07/08/2013	Paydown.....		671,466	671,466	668,279	671,241	0	225	0	225	0	671,466	0	0	0	6,775	03/06/2020	1.....
43718M	AD	6	RESIDENTIAL FNDG II 5.720% 09/01/36.....		09/25/2013	Capital Distribution.....		80,108	0	80,108	80,108	0	0	0	0	0	80,108	0	0	0	0	09/01/2036	6FM....
45685E	AA	4	ING US INC 144A 5.500% 07/15/22.....	E.....	08/08/2013	Tax Free Exchange.....		2,325,176	2,115,000	2,334,347	0	0	(9,170)	0	(9,170)	0	2,325,176	0	0	0	65,741	07/15/2022	2FE.....
46616Y	AA	2	321 HENDERSON REC LLC 3.840% 10/15/59.....		09/15/2013	Paydown.....		11,645	11,645	11,641	11,641	0	4	0	4	0	11,645	0	0	0	310	10/15/2059	1FE.....
46631B	AF	2	JPMORGAN CHASE COMM 6.002% 06/01/49.....		09/01/2013	Paydown.....		459,270	459,270	458,391	458,391	0	879	0	879	0	459,270	0	0	0	17,939	06/01/2049	1FM....
52108H	4U	5	LBUBS COMM MTGE TR 2005 4.664% 07/11/30....		09/11/2013	Paydown.....		30,481	30,481	30,633	30,481	0	0	0	0	0	30,481	0	0	0	963	07/11/2030	1FM....
52522Q	AM	4	LEHMAN MTGE TR 2007-10 6.000% 12/01/37.....		09/25/2013	Capital Distribution.....		11,764	0	11,764	11,764	0	0	0	0	0	11,764	0	0	0	0	12/01/2037	1FM....

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2			3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
												11	12	13	14	15							
CUSIP Identification	Description			F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Contractual Maturity Date	NAIC Desig- nation or Market Indicator (a)
52522Q AM 4	LEHMAN MTGE TR 2007-10	6.000%	12/01/37.....		09/01/2013	Redemption 60.0236.....		5,586	9,306	5,585	5,585	0	0	0	0	0	5,585	0	0	0	0	12/01/2037	1FM.....
65536P AA 8	NOMURA ASSET ACC CORP	0.279%	05/25/36.....		09/25/2013	Capital Distribution.....		430	0	430	430	0	0	0	0	0	430	0	0	0	0	05/25/2036	1FM.....
65536P AA 8	NOMURA ASSET ACC CORP	0.279%	05/25/36.....		09/01/2013	Redemption 27.0420.....		142	527	143	143	0	0	0	0	0	143	0	0	0	0	05/25/2036	1FM.....
71656L AP 6	PETROLEOS MEXICANOS 144	3.500%	01/30/23... F...	F...	08/29/2013	Tax Free Exchange.....		642,970	650,000	642,688	0	0	282	0	282	0	642,970	0	0	0	11,375	01/30/2023	2FE.....
74925F AA 1	RESIDENTIAL AC LN INC	1.579%	08/25/36.....		09/25/2013	Various.....		6,184	0	6,184	6,184	0	0	0	0	0	6,184	0	0	0	0	08/25/2036	1FM.....
74925F AA 1	RESIDENTIAL AC LN INC	1.579%	08/25/36.....		09/01/2013	Various.....		17,038	25,479	17,038	17,038	0	0	0	0	0	17,038	0	0	0	0	08/25/2036	1FM.....
884903 BA 2	THOMSON REUTERS CORP	5.950%	07/15/13.....		07/15/2013	Maturity.....		5,250,000	5,250,000	5,257,403	5,250,794	0	(794)	0	(794)	0	5,250,000	0	0	0	312,375	07/15/2013	1FE.....
921796 MR 6	VANDERBILT MTGE & FIN	7.820%	12/01/32.....		09/01/2013	Paydown.....		119,568	119,568	123,828	120,719	0	(1,151)	0	(1,151)	0	119,568	0	0	0	6,288	12/01/2032	4AM.....
92976B HK 1	WACHOVIA BANK COM	0.302%	09/15/21.....		08/16/2013	Paydown.....		1,733,263	1,733,263	1,713,289	1,732,198	0	1,065	0	1,065	0	1,733,263	0	0	0	3,739	09/15/2021	1.....
3899999.	Total - Bonds - Industrial & Miscellaneous.....							18,605,080	18,370,439	18,919,435	15,937,379	0	(22,066)	0	(22,066)	0	18,892,348	0	(287,267)	(287,267)	768,321	XXX...	..XXX....
8399997.	Total - Bonds - Part 4.....							29,937,419	29,308,248	30,223,650	22,069,746	0	(19,361)	0	(19,361)	0	30,224,687	0	(287,267)	(287,267)	933,002	XXX...	..XXX....
8399999.	Total - Bonds.....							29,937,419	29,308,248	30,223,650	22,069,746	0	(19,361)	0	(19,361)	0	30,224,687	0	(287,267)	(287,267)	933,002	XXX...	..XXX....
9999999.	Total - Bonds, Preferred and Common Stocks.....							29,937,419	XXX.....	30,223,650	22,069,746	0	(19,361)	0	(19,361)	0	30,224,687	0	(287,267)	(287,267)	933,002	XXX...	..XXX....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

Sch. DB-Pt A-Sn 1
NONE

Sch. DB-Pt B-Sn 1
NONE

Sch. DB-Pt B-Sn 1B-Broker List
NONE

Sch. DB-Pt D-Sn 1
NONE

Sch. DB-Pt D-Sn 2
NONE

STONEBRIDGE CASUALTY INSURANCE COMPANY

SCHEDULE DL - PART 1

SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

1			2	3	4	5	6	7
CUSIP Identification			Description	Code	NAIC Designation /Market Indicator	Fair Value	Book/Adjusted Carrying Value	Maturity Dates
Industrial & Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities								
83613G	AA	7	SOUNDVIEW HM EQ LN 2008-1.....		5.....	151,836	151,836	02/25/2038...
3399999.	Industrial & Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities.....					151,836	151,836	...XX
Industrial & Miscellaneous (Unaffiliated) - Commercial Mortgage-Backed Securities								
02005A	BC	1	ALLY MASTER OWNER TRUST 2011-1.....		1.....	1,280,585	1,280,920	01/15/2016...
03064U	AB	6	AMERICREDIT AUTO REC TR 2012-1.....		1.....	89,697	89,685	10/08/2015...
03065C	AA	7	AMERICREDIT AUTO REC TR 2013-4.....		1.....	662,430	662,430	08/08/2014...
05377R	AP	9	AESOP FUNDING II LLC 2011-1A.....		1.....	333,740	333,899	11/20/2014...
14313J	AB	7	CARMAX AUTO OWNER TRUST 2012-2.....		1.....	404,306	404,317	05/15/2015...
254683	AP	0	DISCOVER CARD EXEC NOTE TRUST.....		1.....	400,440	400,372	08/15/2016...
34528Q	BK	9	FORD CREDIT FLOORPLAN MSTR TR.....		1.....	1,365,887	1,366,319	01/15/2016...
404225	AM	6	HLSS SERVICER ADV REC 2013-T1.....		1.....	1,264,241	1,265,433	01/15/2044...
43813X	AB	0	HONDA AUTO REC OWNER TR 2013-3.....		1.....	203,459	203,464	12/15/2014...
44923X	AD	1	HYUNDAI AUTO LEASE TR 2011-A.....		1.....	360,205	360,459	11/15/2016...
46629R	AB	0	JPMORGAN CHASE COMM 2006-FL2A.....		1.....	256,048	257,993	11/15/2018...
587727	AD	0	MERC-BENZ AUTO LEASE TR 2011-B.....		1.....	300,333	300,356	07/17/2017...
65475N	AD	0	NISSAN AUTO LEASE TR 2011-B.....		1.....	472,513	472,550	02/16/2015...
80282V	AB	8	SANTANDER DR AUTO REC 2012-2.....		1.....	508,793	508,918	05/15/2015...
80283H	AA	0	SANTANDER DR AUTO REC 2013-4.....		1.....	604,554	604,554	07/15/2014...
98158N	AC	7	WRLD OMNI AUTO LEASE SE 2011-A.....		1.....	102,136	102,197	10/15/2014...
3499999.	Industrial & Miscellaneous - Commercial Mortgage-Backed Securities.....					8,609,367	8,613,865	...XX
3899999.	Total - Industrial & Miscellaneous (Unaffiliated).....					8,761,203	8,765,701	...XX
6299999.	Total - Residential Mortgage-Backed Securities.....					151,836	151,836	...XX
6399999.	Total - Commercial Mortgage-Backed Securities.....					8,609,367	8,613,865	...XX
6599999.	Subtotal - Bonds.....					8,761,203	8,765,701	...XX
Short-Term Invested Assets (Schedule DA Type)								
06740B	AB	3	BARCLAYS PLC.....			750,000	750,000	11/01/2013...
09248U	61	9	BLACKROCK LIQUIDITY FUNDS.....			2,664,618	2,664,618	10/01/2013...
12625H	AA	0	CNH EQUIPMENT TRUST CNH_13-B.....			548,878	548,708	06/15/2014...
15963T	ZB	8	CHARIOT FUNDING.....			999,526	999,526	12/11/2013...
17178B	Z9	9	CIESCO LLC.....			1,499,281	1,499,281	12/09/2013...
19422D	CH	2	JPMORGAN CHASE & CO.....			998,701	998,701	03/17/2014...
25153J	YS	3	DEUTSCHE BK AG.....			999,642	999,642	11/26/2013...
48802W	UC	0	KELLS FUNDING.....			1,499,019	1,499,019	01/07/2014...
6117P4	XH	8	MONT BLANC CAPITAL CORP.....			999,893	999,893	10/17/2013...
8999999.	Total - Short-Term Invested Assets (Schedule DA Type).....					10,959,559	10,959,390	...XX
Cash Equivalents (Schedle E Part 2 Type)								
000000	00	0	ALPINE SECURITIZATION.....			1,499,877	1,499,877	10/22/2013...
000000	00	0	AT&T INC.....			999,872	999,872	10/21/2013...
000000	00	0	CAFCO LLC.....			999,745	999,745	11/04/2013...
000000	00	0	CONCORD MINUTEMEN CAPITAL CO.....			999,995	999,995	10/02/2013...
000000	00	0	DOMINION RESOURCES INC.....			999,928	999,928	10/11/2013...
000000	00	0	GEMINI SECURITIZATION CORP.....			1,749,224	1,749,224	12/16/2013...
000000	00	0	SUMITOMO MITSUI FINANCIAL GRP.....			999,708	999,708	11/20/2013...
000000	00	0	VODAFONE AIRTOUCH PLC.....			999,850	999,850	10/21/2013...
9199999.	Total - Cash Equivalents (Schedule E Part 2 Type).....					9,248,199	9,248,199	...XX
9999999.	Totals.....					28,968,961	28,973,290	...XX

General Interrogatories:

1. The activity for the year to date: Fair Value \$.....11,256,588 Book/Adjusted Carrying Value \$.....11,246,048
2. Average balance for the year to date: Fair Value \$.....26,515,780 Book/Adjusted Carrying Value \$.....26,574,749
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
NAIC 1: \$.....8,613,865 NAIC 2: \$.....0 NAIC 3: \$.....0 NAIC 4: \$.....0 NAIC 5: \$.....151,836 NAIC 6: \$.....0

STONEBRIDGE CASUALTY INSURANCE COMPANY

SCHEDULE DL - PART 2

SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation /Market Indicator	Fair Value	Book/Adjusted Carrying Value	Maturity Dates

General Interrogatory:

1. Total activity for the year to date: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0
2. Average balance for the year to date: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0

NONE

STONEBRIDGE CASUALTY INSURANCE COMPANY
SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Open Depositories								
HSBC Bank USA New York, New York.....		0.000	0	0	196,295	171,040	1,490,441	XXX..
J.P. Morgan Chase North Syracuse, New York.....		0.000	0	0	(18,648,119)	(4,870,530)	(17,494,128)	XXX..
0199999. Total Open Depositories.....	...XXX.....	...XXX.....	0	0	(18,451,824)	(4,699,490)	(16,003,687)	XXX..
0399999. Total Cash on Deposit.....	...XXX.....	...XXX.....	0	0	(18,451,824)	(4,699,490)	(16,003,687)	XXX..
0599999. Total Cash.....	...XXX.....	...XXX.....	0	0	(18,451,824)	(4,699,490)	(16,003,687)	XXX..

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations							
GOTHAM FUNDING CORP CP 144A.....		09/16/2013	0.150	10/17/2013	2,262,849	0	141
NATIXIS US FINANCE CO LLC CP.....		09/16/2013	0.200	11/14/2013	688,832	0	57
SHEFFIELD RECEIVABLES CP 144A.....		07/16/2013	0.190	10/10/2013	1,724,918	0	701
3299999. Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations.....					4,676,599	0	899
3899999. Total - Industrial and Miscellaneous (Unaffiliated).....					4,676,599	0	899
Total Bonds							
7799999. Subtotals - Issuer Obligations.....					4,676,599	0	899
8399999. Subtotals - Bonds.....					4,676,599	0	899
8699999. Total - Cash Equivalents.....					4,676,599	0	899