



QUARTERLY STATEMENT

AS OF MARCH 31, 2013
OF THE CONDITION AND AFFAIRS OF THE

KAISER FOUNDATION HEALTH PLAN OF OHIO

NAIC Group Code	0601 (Current Period)	00601 (Prior Period)	NAIC Company Code	95204	Employer's ID Number	34-0922268
Organized under the Laws of			Ohio	State of Domicile or Port of Entry		
Country of Domicile			United States			
Licensed as business type:	Life, Accident & Health [] Dental Service Corporation [] Other []	Property/Casualty [] Vision Service Corporation []	Hospital, Medical & Dental Service or Indemnity [] Health Maintenance Organization [X] Is HMO, Federally Qualified? Yes [X] No []			
Incorporated/Organized	03/29/1962	Commenced Business			10/27/1976	
Statutory Home Office	1001 Lakeside Ave. Suite 1200 (Street and Number)			Cleveland, OH, US 44114-1153 (City or Town, State, County and Zip Code)		
Main Administrative Office	1001 Lakeside Ave. Suite 1200 (Street and Number)			Cleveland, OH, US 44114-1153 (City or Town, State, Country and Zip Code)	216-621-5600 (Area Code) (Telephone Number)	
Mail Address	1001 Lakeside Ave. Suite 1200 (Street and Number or P.O. Box)			Cleveland, OH, US 44114-1153 (City or Town, State, Country and Zip Code)		
Primary Location of Books and Records	1001 Lakeside Ave. Suite 1200 (Street and Number)			Cleveland, OH, US 44114-1153 (City or Town, State, Country and Zip Code)	216-621-5600 (Area Code) (Telephone Number)	
Internet Web Site Address				KP.org		
Statutory Statement Contact	William Trexler (Name) William.Trexler@kp.org (E-mail Address)			216-479-5116 (Area Code) (Telephone Number) (Extension) 216-623-8793 (FAX Number)		

OFFICERS

Name	Title	Name	Title
George C. Halvorson	Chairman of the Board & CEO	Bernard J. Tyson	Chairman of the Board-Elect, CEO-Elect, President & Chief Operating Officer
Donna Lynne	Group President	Patricia Kennedy-Scott	Regional President

OTHER OFFICERS

Kathy Lancaster	Executive Vice President-CFO	Arthur M. Southam MD	Executive Vice President-Health Plan Operations
Mark S. Zemelman	Senior Vice President, General Counsel, Secretary	Thomas R. Meier	Senior Vice President and Treasurer

DIRECTORS OR TRUSTEES

George C. Halvorson	Bernard J. Tyson	Daniel P. Garcia JD	Christine K. Cassel MD
Judith A. Johansen JD	Edward Pei	Cynthia A. Telles PhD	Thomas W. Chapman Edd
J. Eugene Grigsby III, PhD	Philip A. Marineau	William R. Gruber	Kim J. Kaiser
Jenny J. Ming	Meg Porfido JD		

State of Ohio
ss
County of Cuyahoga

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Patricia D. Kennedy-Scott
Regional President

Thomas R. Meier
Senior Vice President and Treasurer

a. Is this an original filing? Yes [X] No []

b. If no:

1. State the amendment number
2. Date filed
3. Number of pages attached

Subscribed and sworn to before me this
day of ,

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds186,904,281		186,904,281	.137,617,523
2. Stocks:				
2.1 Preferred stocks			0	0
2.2 Common stocks			0	0
3. Mortgage loans on real estate:				
3.1 First liens			0	0
3.2 Other than first liens			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ encumbrances).....	49,091,306		.49,091,306	.49,856,496
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$ 18,412,501), cash equivalents (\$ 3,399,444) and short-term investments (\$ 1,931,799).....	23,743,744		.23,743,744	.90,735,902
6. Contract loans (including \$ premium notes).....			0	0
7. Derivatives			0	0
8. Other invested assets	0		0	0
9. Receivables for securities	936,871		.936,871	0
10. Securities lending reinvested collateral assets.....			0	0
11. Aggregate write-ins for invested assets	0	.0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)260,676,202	.0	.260,676,202	.278,209,921
13. Title plants less \$ charged off (for Title insurers only).....			0	0
14. Investment income due and accrued924,866		.924,866	.718,757
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection9,633,946		.9,633,946	.8,914,380
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums).....			0	0
15.3 Accrued retrospective premiums.....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	0
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans			0	0
18.1 Current federal and foreign income tax recoverable and interest thereon			0	0
18.2 Net deferred tax asset.....			0	0
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software.....	209,185	.142,206	.66,979	.162,332
21. Furniture and equipment, including health care delivery assets (\$ 12,553,948)	12,980,654	.426,706	.12,553,948	.12,552,071
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	147,180		.147,180	.70,922
24. Health care (\$ 2,478,607) and other amounts receivable	2,723,206	.79,380	.2,643,826	.1,715,497
25. Aggregate write-ins for other than invested assets	413,566	.413,566	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25).....	287,708,805	1,061,858	.286,646,947	.302,343,880
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	0
28. Total (Lines 26 and 27)	287,708,805	1,061,858	.286,646,947	.302,343,880
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	.0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. Prepaid & Other Current Assets.....	413,566	.413,566	0	0
2502.			0	0
2503.			0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	.0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	413,566	413,566	0	0

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ reinsurance ceded)	26,370,137		26,370,137	27,288,303
2. Accrued medical incentive pool and bonus amounts			0	0
3. Unpaid claims adjustment expenses	868,610		868,610	868,610
4. Aggregate health policy reserves including the liability of \$ for medical loss ratio rebate per the Public Health Service Act	22,300,000		22,300,000	23,300,000
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves			0	0
8. Premiums received in advance	6,444,335		6,444,335	8,331,743
9. General expenses due or accrued	1,424,012		1,424,012	1,016,579
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))			0	0
10.2 Net deferred tax liability			0	0
11. Ceded reinsurance premiums payable			0	0
12. Amounts withheld or retained for the account of others	108,387		108,387	77,771
13. Remittances and items not allocated			0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	27,821,518		27,821,518	31,989,155
16. Derivatives			0	0
17. Payable for securities	1,663,884		1,663,884	342,623
18. Payable for securities lending			0	0
19. Funds held under reinsurance treaties (with \$ authorized reinsurers, \$ unauthorized reinsurers and \$ certified reinsurers)			0	0
20. Reinsurance in unauthorized and certified (\$) companies			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates			0	0
22. Liability for amounts held under uninsured plans			0	0
23. Aggregate write-ins for other liabilities (including \$ current)	146,070,950	0	146,070,950	140,820,772
24. Total liabilities (Lines 1 to 23)	233,071,833	0	233,071,833	234,035,556
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26. Common capital stock	XXX	XXX		0
27. Preferred capital stock	XXX	XXX		0
28. Gross paid in and contributed surplus	XXX	XXX		3,264
29. Surplus notes	XXX	XXX	205,000,000	205,000,000
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	(151,424,886)	(136,694,940)
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		0
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	53,575,114	68,308,324
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	286,646,947	302,343,880
DETAILS OF WRITE-INS				
2301. Post Retirement	50,155,514		50,155,514	46,584,537
2302. Accrued Payroll and Related	15,309,386		15,309,386	12,683,714
2303. Professional and Public Liability	0		0	0
2398. Summary of remaining write-ins for Line 23 from overflow page	80,606,050	0	80,606,050	81,552,521
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	146,070,950	0	146,070,950	140,820,772
2501.	XXX	XXX		0
2502.	XXX	XXX		0
2503.	XXX	XXX		0
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX	0	0
3001.	XXX	XXX		0
3002.	XXX	XXX		0
3003.	XXX	XXX		0
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX	0	0

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months.....	XXX	252,986	284,849	1,077,067
2. Net premium income (including \$ non-health premium income)	XXX	117,308,297	126,694,131	491,322,325
3. Change in unearned premium reserves and reserve for rate credits	XXX		0	0
4. Fee-for-service (net of \$ medical expenses)	XXX	544,923	679,569	2,745,905
5. Risk revenue	XXX		0	0
6. Aggregate write-ins for other health care related revenues	XXX	232,938	183,803	560,304
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7).....	XXX	118,086,158	127,557,503	494,628,534
Hospital and Medical:				
9. Hospital/medical benefits.....		38,077,029	33,267,476	138,617,809
10. Other professional services.....		12,226,390	18,705,446	68,692,548
11. Outside referrals.....		9,217,962	9,171,490	39,139,633
12. Emergency room and out-of-area.....		6,014,180	6,909,352	32,543,007
13. Prescription drugs.....		12,674,479	14,417,870	52,942,352
14. Aggregate write-ins for other hospital and medical.....	0	43,577,814	34,506,609	141,002,130
15. Incentive pool, withhold adjustments and bonus amounts.....			0	0
16. Subtotal (Lines 9 to 15).....	0	121,787,854	116,978,243	472,937,479
Less:				
17. Net reinsurance recoveries.....			0	0
18. Total hospital and medical (Lines 16 minus 17).....	0	121,787,854	116,978,243	472,937,479
19. Non-health claims (net).....			0	0
20. Claims adjustment expenses, including \$ 1,164,955 cost containment expenses.....		2,445,876	2,934,629	11,038,162
21. General administrative expenses.....		13,239,006	12,095,130	55,321,888
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....		(1,000,000)	2,000,000	18,900,000
23. Total underwriting deductions (Lines 18 through 22).....	0	136,472,736	134,008,002	558,197,529
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	(18,386,578)	(6,450,499)	(63,568,995)
25. Net investment income earned.....		4,276,243	533,830	2,545,862
26. Net realized capital gains (losses) less capital gains tax of \$.....		198,881	518,980	1,083,689
27. Net investment gains (losses) (Lines 25 plus 26).....	0	4,475,124	1,052,810	3,629,551
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)].....			0	0
29. Aggregate write-ins for other income or expenses.....	0	54,191	71,387	296,055
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29).....	XXX	(13,857,263)	(5,326,302)	(59,643,389)
31. Federal and foreign income taxes incurred.....	XXX		0	0
32. Net income (loss) (Lines 30 minus 31).....	XXX	(13,857,263)	(5,326,302)	(59,643,389)
DETAILS OF WRITE-INS				
0601. Other Revenues.....	XXX	232,938	183,803	560,304
0602.	XXX		0	0
0603.	XXX		0	0
0698. Summary of remaining write-ins for Line 6 from overflow page.....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above).....	XXX	232,938	183,803	560,304
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page.....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above).....	XXX	0	0	0
1401. Occupancy, Depreciation and Amortization.....		3,060,619	3,119,663	14,421,032
1402. Medical Office Facilities.....		13,262,794	8,046,281	33,153,345
1403. Professional Liability Insurance.....		762,556	1,300,468	4,831,635
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	26,491,845	22,040,197	88,596,118
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above).....	0	43,577,814	34,506,609	141,002,130
2901. Miscellaneous.....		54,191	71,387	296,055
2902.			0	0
2903.			0	0
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above).....	0	54,191	71,387	296,055

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year	68,308,324	28,227,428	28,227,428
34. Net income or (loss) from Line 32	(13,857,263)	(5,326,302)	(59,643,389)
35. Change in valuation basis of aggregate policy and claim reserves		0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$		0	0
37. Change in net unrealized foreign exchange capital gain or (loss)		0	0
38. Change in net deferred income tax		0	0
39. Change in nonadmitted assets	606,236	626,167	811,365
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock		0	0
42. Change in surplus notes	0	11,000,000	111,000,000
43. Cumulative effect of changes in accounting principles		0	0
44. Capital Changes:			
44.1 Paid in	0	0	0
44.2 Transferred from surplus (Stock Dividend)		0	0
44.3 Transferred to surplus		0	0
45. Surplus adjustments:			
45.1 Paid in	(3,263)	0	0
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital		0	0
46. Dividends to stockholders		0	0
47. Aggregate write-ins for gains or (losses) in surplus	(1,478,920)	0	(12,087,080)
48. Net change in capital and surplus (Lines 34 to 47)	(14,733,210)	6,299,865	40,080,896
49. Capital and surplus end of reporting period (Line 33 plus 48)	53,575,114	34,527,293	68,308,324
DETAILS OF WRITE-INS			
4701. Unassigned funds pension liability	459,523	0	0
4702. Unassigned funds postretirement liability	(1,938,443)	0	(11,779,236)
4703. Aggregate write-ins for gains or (losses) in surplus		0	(307,844)
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	(1,478,920)	0	(12,087,080)

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance.....	113,701,323	139,655,026	512,586,608
2. Net investment income.....	4,309,967	801,116	3,082,145
3. Miscellaneous income.....	(150,468)	189,888	3,949,524
4. Total (Lines 1 to 3).....	117,860,822	140,646,030	519,618,277
5. Benefit and loss related payments.....	121,706,020	125,552,089	499,047,668
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions.....	15,223,258	16,918,302	67,007,109
8. Dividends paid to policyholders.....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ tax on capital gains (losses).....	0	0	0
10. Total (Lines 5 through 9).....	136,929,278	142,470,391	566,054,777
11. Net cash from operations (Line 4 minus Line 10).....	(19,068,456)	(1,824,361)	(46,436,500)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	15,594,982	22,656,624	63,295,476
12.2 Stocks.....	0	0	0
12.3 Mortgage loans.....	0	0	0
12.4 Real estate.....	0	0	0
12.5 Other invested assets.....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	0	0	0
12.7 Miscellaneous proceeds.....	1,386,289	1,655,070	4,578,764
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	16,981,271	24,311,694	67,874,240
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	65,000,945	22,457,571	97,532,590
13.2 Stocks.....	0	0	0
13.3 Mortgage loans.....	0	0	0
13.4 Real estate.....	158,456	563,899	2,255,086
13.5 Other invested assets.....	0	0	0
13.6 Miscellaneous applications.....	0	14,850	122,019
13.7 Total investments acquired (Lines 13.1 to 13.6).....	65,159,401	23,036,320	99,909,695
14. Net increase (or decrease) in contract loans and premium notes.....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(48,178,130)	1,275,374	(32,035,455)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	0	11,000,000	111,000,000
16.2 Capital and paid in surplus, less treasury stock.....	(3,264)	0	0
16.3 Borrowed funds.....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	0	0	0
16.5 Dividends to stockholders.....	0	0	0
16.6 Other cash provided (applied).....	257,692	449,845	8,687,548
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	254,428	11,449,845	119,687,548
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17).....	(66,992,158)	10,900,858	41,215,593
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	90,735,902	49,520,309	49,520,309
19.2 End of period (Line 18 plus Line 19.1).....	23,743,744	60,421,167	90,735,902

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
		2	3							
	Total	Individual	Group							
Total Members at end of:										
1. Prior Year	86,338	4,131	57,408	0	0	0	6,818	17,981	0	0
2. First Quarter	84,075	3,838	55,287	0	0	0	6,779	18,171	0	0
3. Second Quarter	0	0	0	0	0	0	0	0	0	0
4. Third Quarter	0									
5. Current Year	0									
6. Current Year Member Months	252,986	11,620	166,779				20,345	54,242		
Total Member Ambulatory Encounters for Period:										
7. Physician	335,079	10,971	179,750				24,746	119,612		
8. Non-Physician	118,355	3,648	71,478				9,394	33,835		
9. Total	453,434	14,619	251,228	0	0	0	34,140	153,447	0	0
10. Hospital Patient Days Incurred	0									
11. Number of Inpatient Admissions	1,106	33	567				82	424		
12. Health Premiums Written (a)	117,308,297	3,920,786	66,970,726				9,564,369	36,852,416		
13. Life Premiums Direct	0									
14. Property/Casualty Premiums Written	0									
15. Health Premiums Earned	117,308,397	3,920,786	66,970,726				9,564,369	36,852,516		
16. Property/Casualty Premiums Earned	0									
17. Amount Paid for Provision of Health Care Services	122,706,019	3,843,430	75,073,813				10,479,759	33,309,017		
18. Amount Incurred for Provision of Health Care Services	121,787,854	3,827,905	74,303,463				10,423,973	33,232,513		

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 27,738,505

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claim

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims unpaid (Reported) Due to Ohio Permanente Medical Group.	6,983,497	3,237,254				10,220,751
0199999 Individually listed claims unpaid	6,983,497	3,237,254	0	0	0	10,220,751
0299999 Aggregate accounts not individually listed-uncovered						0
0399999 Aggregate accounts not individually listed-covered	6,583,663					6,583,663
0499999 Subtotals	13,567,160	3,237,254	0	0	0	16,804,414
0599999 Unreported claims and other claim reserves	XXX	XXX	XXX	XXX	XXX	9,565,723
0699999 Total amounts withheld	XXX	XXX	XXX	XXX	XXX	
0799999 Total claims unpaid	XXX	XXX	XXX	XXX	XXX	26,370,134
0899999 Accrued medical incentive pool and bonus amounts	XXX	XXX	XXX	XXX	XXX	

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6 Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	15,885,367	63,204,003	1,332,082	15,796,112	17,217,449	17,914,068
2. Medicare Supplement					0	0
3. Dental only					0	0
4. Vision only					0	0
5. Federal Employees Health Benefits Plan	2,130,984	8,371,454	173,954	1,996,220	2,304,938	2,225,961
6. Title XVIII - Medicare	6,523,755	27,516,684	212,718	6,859,050	6,736,473	7,148,273
7. Title XIX - Medicaid					0	0
8. Other health					0	0
9. Health subtotal (Lines 1 to 8).....	24,540,106	99,092,141	1,718,754	24,651,382	26,258,860	27,288,302
10. Health care receivables (a).....		926,228			0	0
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts					0	0
13. Totals (Lines 9-10+11+12).....	24,540,106	98,165,913	1,718,754	24,651,382	26,258,860	27,288,302

(a) Excludes \$ loans or advances to providers not yet expensed.

Kaiser Foundation Health Plan of Ohio
 Notes to Financial Statements
 For the Three Months Ended March 31, 2013 and the Year Ended December 31, 2012

1) Summary of Significant Accounting Policies

A. Accounting Practices

The accompanying statutory financial statements of Kaiser Foundation Health Plan of Ohio (“Health Plan”) have been prepared in conformity with the National Association of Insurance Commissioners’ (“NAIC”) *Accounting Practices and Procedures* manual, (“NAIC SAP”), the NAIC Annual Statement Instructions, and other accounting practices as prescribed or permitted by the State of Ohio – Ohio Department of Insurance (ODI). There were no reported differences to net income, statutory surplus, or risked based capital for specific practices, prescribed or permitted by the State of Ohio, that deviate from NAIC SSAP in the reported periods.

	State of Domicile	Three Months Ended 03/31/13	Year Ended 12/31/12
		(in thousands)	(in thousands)
NET INCOME			
Health Plan state basis (page 4, Line 32,			
(1) Columns 2 & 3)	OH	\$ (13,857)	\$ (59,643)
State Prescribed Practices that increase / (2) (decrease) NAIC SAP:	OH	-	-
State Permitted Practices that increase / (3) (decrease) NAIC SAP:	OH	-	-
(4) NAIC SAP (1-2-3=4)	OH	<u>(13,857)</u>	<u>(59,643)</u>
SURPLUS			
Health Plan state basis (page 3, Line 33,			
(5) Columns 3 & 4)	OH	\$ 53,575	\$ 68,308
State Prescribed Practices that increase / (6) (decrease) NAIC SAP:	OH	-	-
State Permitted Practices that increase / (7) (decrease) NAIC SAP:	OH	-	-
(8) NAIC SAP (5-6-7=8)	OH	<u>53,575</u>	<u>68,308</u>

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of the statutory financial statements in conformity with NAIC SAP, the NAIC Annual Statement Instructions, and other accounting practices as prescribed or permitted by the ODI requires management to make estimates and assumptions that affect the reported amounts. Allowance for uncollectable premiums and health care receivables; estimated fair value of investments; Medicare revenue accruals; Medicare payables and reserves; reserves for unpaid claims and claims adjustment expense; pension and other retirement liabilities; premium deficiency reserves; self-insured workers' compensation and other legal liabilities; real estate, property, and equipment impairment and useful lives; and investment impairments represent significant estimates. Actual results could differ materially from those estimates. With respect to employee benefit plans, as occurs from time to time, negotiations with labor partners may result in changes to compensation and benefits. These changes are reflected in the statutory financial statements as appropriate when agreements are finalized.

C. Accounting Policies

Cash and Short Term Investments

Cash and short-term investments – net include interest-bearing deposits purchased with an original or remaining maturity of twelve months or less. Cash and investments that are restricted per contractual or regulatory requirements are classified as bonds and other invested assets and excluded from cash and short-term investments.

Premiums and Health Care Receivables

Premiums and health care receivables exclude nonadmitted balances. Certain receivables are not admissible in accordance with the NAIC SAP. Nonadmitted amounts include all nongovernmental premiums and health care receivable greater than 90 days past due. In addition, when premiums and health care receivables greater than 90 days past due are more than a de minimus portion of the entire premiums and health care receivable balance, the entire premiums and health care receivable balance is nonadmitted.

Bonds and Other Invested Assets

Bonds and other invested assets include money market funds, U.S. Treasury and government-sponsored agencies, loan-backed and or structured securities, industrial and miscellaneous bonds and all other government bonds. Recognized gains and losses are recorded on the specific identification basis. Interest income is included in net investment and other income.

Bonds are reported in accordance with NAIC Annual Statement Instructions (Statement Value). Accordingly, bonds that are designated highest quality, NAIC Designation 1 and 2, are reported at amortized cost using the effective interest method, and bonds that are classified as NAIC Designation 3 or lower are reported at lower of amortized cost or fair value.

Adjustments are made prospectively and repayment assumptions are obtained from a third party vendor data source for loan-backed and/or structured securities. The amortization method used is the scientific method.

Investments are regularly reviewed for impairment and a charge is recognized when the fair value is below cost basis and is judged to be other-than-temporary. Impairment is included in recognized losses. In its review of assets for impairment that is deemed other-than-temporary, management generally follows the following guidelines:

- Substantially all investments are managed by outside investment managers who do not need KFHP's management pre-approval for sales, therefore substantially all declines in value below amortized cost are recognized as impairments that are other-than-temporary.
- For other securities, losses are recognized for known matters, such as bankruptcies, regardless of ownership period, and investments that have been continuously below book value for an extended period of time are evaluated for impairment that is other-than-temporary.

Health Plan's investment transactions are recorded on a trade-date basis.

Health Plan is required to keep investments on deposit in the State of Ohio, where it is licensed. At both March 31, 2013 and December 31, 2012, \$400 thousand in long-term U.S. Treasury notes were restricted to satisfy the state's regulatory requirements.

Real Estate, Property and Equipment

Real estate, property and equipment, which include land, buildings and improvements, furniture, equipment and software are stated at cost less accumulated depreciation and amortization. Interest is capitalized on facilities construction in progress and is added to the cost of the underlying asset, in accordance with Statement of Statutory Accounting Principles (SSAP) No. 44, *Capitalization of Interest*. Depreciation begins when the project is substantially complete and ready for its intended use. Software is amortized on a straight-line basis over three years. Buildings and equipment are depreciated on a straight line basis over the shorter of the asset's useful life or the estimated useful lives of the various classes of assets, generally ranging from 3 to 33 years. Leasehold improvements are depreciated on a straight-line basis over the lesser of the useful life or the terms of the base period, generally 5 years.

Management evaluates alternatives for delivering services that may affect the current and future utilization of existing and planned assets and could result in an adjustment to the carrying values of such land, buildings, equipment and software in the future. Management evaluates and records impairment losses, where applicable, based on expected utilization, projected cash flows and recoverable values.

Maintenance and repairs are expensed as incurred. Major improvements that increase the estimated useful life of an asset are capitalized. Upon the sale or retirement of assets, recorded cost and related accumulated depreciation are removed from the accounts, and any gain or loss on disposal is reflected in operations.

Included in property and equipment are health care delivery assets representing pharmaceutical inventory, as well as medical center furniture, fixtures and equipment used in the direct delivery of care. Pharmaceutical inventory is included in the furniture and equipment category.

Pharmaceutical inventory is not subject to depreciation. Medical center furniture, fixtures and equipment used in the direct delivery of care are depreciated over their estimated useful lives but for a period not to exceed three years.

Insured and Self Insured Risks

Health Plan purchases insurance including professional and general liabilities coverage. Certain insurance is purchased from affiliated organizations, as discussed in the *Information Concerning Parent, Subsidiaries and Affiliates* note. Health Plan self insures other risks, including workers' compensation and other legal liabilities. Costs associated with self-insured risks are charged to operations based upon actual and estimated claims. The estimate for incurred but not reported self-insured claims is based on actuarial projections of costs using historical claims and other relevant data. Estimates are monitored and reviewed and, as settlements are made or estimates are revised, adjustments are reflected in current operations. Given the inherent variability of such estimates, the actual liability could differ significantly from the amounts provided. While the ultimate payments for self-insured claims are dependent on future developments, management is of the opinion that the reserve for self-insured risks is adequate. Insurance coverage, in excess of the per occurrence self-insured retention, has been secured with affiliated and unaffiliated insurers or reinsurers for specified amounts for workers' compensation liabilities. Decisions relating to the limit and scope of the self-insured layer and the amounts of excess insurance purchased are reviewed each year, subject to management's analysis of actuarial loss projections and the price and availability of acceptable commercial insurance.

Reserves for Claims Unpaid and Unpaid Claims Adjustment Expenses

The cost of health care services is recognized in the period in which services are provided. Reserves for unpaid claims and claims adjustment expense consists of unpaid health care expenses, which include an estimate of the cost of services provided to Health Plan's members by third-party providers that have been incurred but not reported. The estimate for incurred but not reported claims is based on actuarial projections of costs using historical paid claims and other relevant data. Estimates are monitored and reviewed and, as settlements are made or estimates are revised, adjustments are reflected in current operations. Such estimates are subject to the impact of changes in the regulatory environment and economic conditions. Given the inherent variability of such estimates, the actual liability could differ significantly from the amounts provided. While the ultimate amount of paid claims is dependent on future developments, management is of the opinion that the reserves for unpaid claims and claims adjustment expense are adequate to cover such claims. Negative amounts reported for incurred related to prior years result from claims being adjudicated and paid for amounts less than originally estimated.

The Ohio Permanente Medical Group, P.C. (the Medical Group) cooperates with Health Plan in conducting the Kaiser Permanente Medical Care Program. Health Plan contracts with the Medical Group to provide or arrange for hospital and medical services for members. Contract payments to the Medical Group represent a substantial portion of the expenses for medical services reported in the statutory financial statements. Included in the reserves for unpaid claims and claims adjustment expense is Health Plan's due to associated medical group. Due to associated medical group consists primarily of unpaid medical expenses owed to the Medical Group for medical services provided to members under a medical services agreement with Health Plan. Under the agreement, Health Plan reimburses the Medical Group for the cost of physician services provided by Medical Group and claims expense for

referred medical services. The cost of medical services, including an estimate of claims incurred but not reported, is recognized by Health Plan in the period in which services are provided and is reflected as a component of medical and hospital expenses.

The payable to the Medical Group was \$10.2 million and \$8.5 million as of March 31, 2013 and December 31, 2012, respectively. Based upon the terms of the agreement with the Medical Group, Health Plan paid \$20.9 million and \$84.5 million to the Medical Group for the three months ended March 31, 2013 and the year ended December 31, 2012, respectively.

Premium Deficiency Reserve

Premium deficiency reserve and related expense are recognized when it is probable that expected future health care and maintenance costs under a group of existing contracts will exceed anticipated future premiums and reinsurance recoveries over the contract period. Expected investment income and interest expense are included in the calculation of premium deficiency reserves, as appropriate. The level at which contracts are grouped for evaluation purposes is generally by geographic region. The methods for making such estimates and for establishing the resulting reserves are reviewed and updated, and any resulting adjustments are reflected in current operations. Given the inherent variability of such estimates, the actual liability could differ significantly from the calculated amount.

Receivables and Payables for Securities

Receivables and payables for securities represent current amounts for unsettled securities purchases or sales.

Donations and Grants Made or Received

Donations and grants made are recognized at fair value in the period in which a commitment is made, provided the payment of the donation or grant is probable and the amount is determinable. Donations or grants received are recognized at fair value in the period the donation or grant was committed unconditionally by the grantor or in the period the donation or grant requirements are met, if later.

Revenue Recognition

Net premium revenue includes premiums from employer groups, individuals and Medicare. Revenue is recognized over the period in which the members are entitled to health care services.

The majority of Health Plan's Medicare revenues are paid based on cost, with interim payments using pre-established rates, and the final settlement is made after the end of the year. Estimates of final settlements of the cost report are recorded by Health Plan. At March 31, 2013 and December 31, 2012, in connection with Health Plan's Medicare cost contract, Health Plan recorded allowances and reserves for adjustments of recorded revenues in the amount of \$27.5 and \$25.9 million, respectively. For the three months ended March 31, 2013 and the year ended December 31, 2012, Medicare revenues increased by approximately \$1.2 million and \$9.3 million, respectively, due to prior year retrospective adjustments in excess of amounts previously estimated.

In addition, Medicare benefits include a voluntary prescription drug benefit (Part D).

Revenues for Part D include capitated payments made from Medicare adjusted for health risk factor scores. Revenues also include amounts to reflect a portion of the health care costs for low-income Medicare beneficiaries and a risk-sharing arrangement to limit the exposure to unexpected expenses. Related accruals are recognized monthly based on cumulative

experience and membership data. Part D revenue is finalized after all data is submitted to Medicare, and the final settlement is made after the end of the fiscal year.

Medicare Cost revenue and Medicare Part D revenue are subject to governmental audits and potential payment adjustments. The Centers for Medicare & Medicaid Services (CMS) performs coding audits to validate the supporting documentation maintained by Health Plan and its care providers.

Estimates of retrospective adjustments resulting from coding audits, cost reports and other contractual adjustments are recorded in the time period in which members are entitled to health care services. Actual retroactive adjustments may differ from initial estimates.

Premiums collected in advance are deferred and recorded as premiums received in advance. Revenue is adjusted to reflect estimates of collectability, including retroactive membership adjustment trends and economic conditions. Revenue and related receivables are exclusive of charity care. A portion of revenues derived under contracts with the United States Office of Personnel Management is subject to audit and potential retrospective adjustments.

Pension and Other Postretirement Benefits

Health Plan participates in defined benefit pension and postretirement plans that are administered by KFHP. Effective January 1, 2013, the plans are accounted for within the framework of SSAP No. 102 *Accounting for Pensions* and SSAP No. 92 *Accounting for Postretirement Benefits Other Than Pensions*, respectively, as described in Note 2, *Accounting Changes and Correction of Errors*. The plans are actuarially evaluated and involve various assumptions. Critical assumptions include the discount rate and the expected rate of return on plan assets (for pension) and the rate of increase for health care costs (for postretirement benefit plans other than pension), which are important elements of expense and/or liability measurement. Other assumptions involve demographic factors such as retirement age, mortality, turnover and the rate of compensation increases. KFHP evaluates assumptions annually or when significant plan adjustments occur and modifies them as appropriate. Pension and postretirement costs are allocated over the service period of the employees in the plan.

KFHP uses a discount rate to determine the present value of the future benefit obligations. The discount rate is established based on rates available for high-quality fixed-income debt at the measurement date whose maturity dates match the expected cash flows of the retirement plans.

Differences between actual and expected plan experience and changes in actuarial assumptions, in excess of a 10% corridor around the larger of plan assets or plan liabilities, are recognized into benefits expense over the expected average future service of active participants. Prior service costs and credits arise from plan amendments and are amortized into postretirement benefits expense over the expected average future service to full eligibility of active participants.

The defined benefit pension plan (Plan) administered by KFHP constitutes a single plan in which multiple employers who are related parties participate. The Employee Retirement Income Security Act provides for joint and several liability for all employers in the Health Plan's tax controlled group. The pension liability for Health Plan represents the estimated amount of liability for current and former employees of Health Plan only. Management believes it is remote that Health Plan would be required to pay benefits attributable to current or former employees of other controlled group members.

The other post-retirement benefits (primarily healthcare) are generally offered through a multiple-employer welfare plan (Health and Welfare Plan). Under the terms of the Health and Welfare Plan, each participating employer is legally liable for the benefits for their own employees and retirees, and the Employee Retirement Income Security Act does not specify joint and several liability for all employers participating in a multiple-employer welfare plan. Management believes it is remote that Health Plan would be required to pay benefits attributable to current or former employees of any other employers participating in the Health and Welfare Plan.

Guarantee Funds and Assessments

Health Plan participates in the State of Ohio Health Reinsurance Program Open Enrollment Pool. This pool is designed to provide reinsurance for small group plans. There was no liability at March 31, 2013 and December 31, 2012.

Health Plan is annually required to support the operations of the ODI through an administrative expenses assessment. There was no liability at March 31, 2013 and December 31, 2012.

The Patient Protection and Affordable Care Act (PPACA) imposes a new Patient-Centered Outcomes Research Institute (PCORI) fee on issuers of individual and group health insurance policies. Health Plan is subject to the PCORI fee and as of March 31, 2013 has accrued \$90 thousand for policies issued in 2012 with policy years ending after September 31, 2012. As of December 31, 2012, Health Plan had accrued \$63 thousand for policies issued in 2012 with policy years ending after September 31, 2012.

Cost Allocations

For reporting lines of business activity, expenses are allocated based on utilization and experience.

2) Accounting Changes and Corrections of Errors

New Accounting Pronouncements

In March 2012, the NAIC adopted SSAP No. 92 *Accounting for Postretirement Benefits Other Than Pensions* and SSAP No. 102 *Accounting for Pensions*. These new statutory reporting standards are effective January 1, 2013. Companies may choose to recognize the effect of this guidance as of January 1, 2013 or adopt a phase in period of up to 10 years. Health Plan has elected a 10 year phase in period. Management has evaluated the effect of this guidance on Health Plan's financial statements for 2013. Effective January 1, 2013, Health Plan recorded additional liability for statutory reporting for retirement benefits of \$4.0 million. Had Health Plan chosen to adopt immediate recognition of the impact, an additional liability of \$76.7 million would have been required for statutory reporting as of January 1, 2013. Retirement benefits expense for statutory reporting now includes accruals for both vested and unvested plan participants. Statutory accounting principles in effect prior to these new standards did not require expense accruals for unvested plan participants. For additional information, see Note 12, *Retirement Plans, Deferred Compensation, Post-employment Benefits and Compensated Absences, and Post-retirement Benefit Plans*.

3) Business Combinations and Goodwill

No significant changes from the 2012 annual statement.

4) Discontinued Operations

No significant changes from the 2012 annual statement.

5) Investments

A -C

For the three months ending March 31, 2013 and the year ended December 31, 2012, Health Plan had no investments in mortgage loans, restructured debt or reverse mortgages.

D) Loan-Backed Securities

(1) & (2) Fair Values and Concentrations of Credit Risk

Health Plan's accounting policies regarding investments, including prepayment assumptions, are described in Note 1, "Summary of Significant Accounting Policies."

At March 31, 2013 Health Plan held loan-backed securities with the following fair values and concentrations of credit risk (in thousands):

Type	Class	Book Value	Fair Value
Commercial Mortgage Backed and Other Loan-Backed and Structured Securities	Industrial & Misc	\$ 17,523	\$ 17,580
Total		<u>\$17,523</u>	<u>\$17,580</u>

At December 31, 2012, Health Plan held loan-backed securities with the following fair values and concentrations credit risk (in thousands):

Type	Class	Book Value	Fair Value
Commercial Mortgage Backed and Other Loan-Backed and Structured Securities	Industrial & Misc	\$ 12,891	\$ 12,969
Total		<u>\$12,891</u>	<u>\$12,969</u>

Other-than-temporary impairment (OTTI) recognized for the three months ended March 31, 2013 related to loan-backed securities is as follows (in thousands):

	(1)	(2)	(3)
Amortized Cost Basis	Other-than-Temporary Impairment	Recognized in Loss	Fair Value (1) - (2)
Amortized Cost Basis Before Other-than-Temporary Impairment			
OTTI recognized 1st Quarter			
a. Intent to sell	\$ -	\$ -	\$ -
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.	\$ 3,410	\$ 10	\$ 3,400
c. Total 1st Quarter	\$ 3,410	\$ 10	\$ 3,400

Other-than-temporary impairment (OTTI) recognized for the year ended December 31, 2012 related to loan-backed securities is as follows (in thousands):

	(1)	(2)	(3)
	Amortized Cost Basis Before Other-than- Temporary Impairment	Other-than- Temporary Impairment Recognized in Loss	Fair Value (1) - (2)
OTTI recognized 1st Quarter			
a. Intent to sell	\$ -	\$ -	\$ -
b. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.	\$ 354	\$ 1	\$ 353
c. Total 1st Quarter	\$ 354	\$ 1	\$ 353
OTTI recognized 2nd Quarter			
d. Intent to sell	\$ -	\$ -	\$ -
e. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.	\$ 852	\$ 2	\$ 850
f. Total 2nd Quarter	\$ 852	\$ 2	\$ 850
OTTI recognized 3rd Quarter			
g. Intent to sell	\$ -	\$ -	\$ -
h. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.	\$ -	\$ -	\$ -
i. Total 3rd Quarter	\$ -	\$ -	\$ -
OTTI recognized 4th Quarter			
j. Intent to sell	\$ -	\$ -	\$ -
k. Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover the amortized cost basis.	\$ 489	\$ 1	\$ 488
l. Total 4th Quarter	\$ 489	\$ 1	\$ 488
m. Annual Aggregate Total	\$ 4		

(3) Loan-backed securities held as of March 31, 2013 with a recognized other-than-temporary impairment are as follows (in thousands):

(1) CUSIP	(2) Book/Adjusted Carrying Value Amortized Cost Before Current Period OTTI	(3) Present Value of Projected Cash Flows	(4) Recognized Other-Than- Temporary Impairment	(5) Amortized Cost after Other-Than- Temporary Impairment	(6) Fair Value at time of OTTI	(7) Date of Financial Statement Where Reported
12513EAF1	\$298	\$295	\$3	\$295	\$295	3/31/2013
396789FT1	\$1,042	\$1,038	\$4	\$1,038	\$1,038	3/31/2013
46625YXP3	\$1,103	\$1,102	\$1	\$1,102	\$1,102	3/31/2013
52108HUM4	\$391	\$390	\$1	\$390	\$390	3/31/2013
52108HXM1	\$576	\$575	\$1	\$575	\$575	3/31/2013
52108HXM1	\$292	\$291	\$1	\$291	\$291	12/31/2012
34529VAD4	\$501	\$500	\$1	\$500	\$500	6/30/2012
36828QHK5	\$351	\$350	\$1	\$350	\$350	6/30/2012
36828QHK5	\$354	\$353	\$1	\$353	\$353	3/31/2012
07383FAT5	\$459	\$458	\$1	\$458	\$458	12/31/2011
20047GBQ9	\$550	\$549	\$1	\$549	\$549	12/31/2011
36828QDN3	\$940	\$938	\$2	\$938	\$938	9/30/2011
20047AAD2	\$914	\$911	\$3	\$911	\$911	9/30/2011
12513EAF1	\$475	\$473	\$2	\$473	\$473	9/30/2011
59023BAD6	\$297	\$295	\$2	\$295	\$295	9/30/2011
36828QRX6	\$171	\$169	\$2	\$169	\$169	9/30/2011
36828QRX6	\$121	\$120	\$1	\$120	\$120	9/30/2011
20047GBQ9	\$550	\$549	\$1	\$549	\$549	9/30/2011
07383FAT5	\$464	\$463	\$1	\$463	\$463	9/30/2011
07383FAT5	\$470	\$468	\$2	\$468	\$468	6/30/2011
52108HUM4	\$461	\$460	\$1	\$460	\$460	3/31/2011
14041NED9	\$561	\$560	\$1	\$560	\$560	3/31/2011

- (4) For the three months ended March 31, 2013 and the year ended December 31, 2012, there were no impaired loan-backed securities for which an other-than-temporary impairment has not been recognized in earnings as a realized loss.
- E) For the three months ending March 31, 2013 and the year ending December 31, 2012, Health Plan was not a party to repurchase agreements or securities lending transactions.

F) Real Estate

There were no impairment losses on real estate for the three months ending March 31, 2013 and the year ended December 31, 2012. Additionally, Health Plan held no real estate investments held for sale, and had no real estate investments with participating mortgage loan features during the three months ended March 31, 2013 and the year ending December 31, 2012.

- G) During the three months ended March 31, 2013 and the year ended December 31, 2012, Health Plan had no investments in low-income housing credits.

6) Joint Ventures, Partnerships & Limited Liability Companies

No significant changes from the 2012 annual statement.

7) Investment Income

No significant changes from the 2012 annual statement.

8) Derivative Instruments

No significant changes from the 2012 annual statement.

9) Income Taxes

No significant changes from the 2012 annual statement.

10) Information Concerning Parent, Subsidiaries and Affiliates

A - L

Health Plan is a subsidiary of Kaiser Foundation Health Plan, Inc. (KFHP). KFHP is affiliated with Kaiser Foundation Hospitals (Hospitals) because their governing boards and management are substantially the same. Health Plan contracts with Kaiser Foundation Hospitals to provide or arrange hospital services to members. Based upon the terms of the Hospital Service Agreement, Health Plan was charged \$16.6 million and \$52.3 million by Hospitals for the three months ended March 31, 2013 and the year ended December 31, 2012, respectively.

Costs of services provided by KFHP and Hospitals to Health Plan were based on the actual cost incurred to provide those services. Services provided include, but are not limited to the following: information technology, treasury, general management, administrative support, and transaction processing. In addition to the services noted above, effective January 2013, many of Health Plan's accounts payable and accounting transactions are processed by KFHP under the terms of the amended Administrative Services Agreement. Charges for services provided by KFHP and Hospitals were approximately \$13.3 million and \$57.6 million for the three months ended March 31, 2013 and the year ended December 31, 2012, respectively. For the three months ended March 31, 2013, Health Plan received no investment income from Hospitals. For the year ended December 31, 2012, Health Plan received \$6 thousand in investment income from Hospitals. In addition, Health Plan was charged interest expense of \$17 thousand and \$81 thousand by KFHP and Hospitals with respect to amounts paid by KFHP and Hospitals on behalf of Health Plan for the three months ended March 31, 2013 and the year ended December 31, 2012, respectively.

Additionally, Health Plan purchased professional liability and other insurance from affiliated organizations primarily Lokahi Assurance, Ltd. (Lokahi). Health Plan's premium expense under these arrangements for the three months ended March 31, 2013 and the year ended December 31, 2012 was \$1 million and \$5 million, respectively.

Health Plan contracts with Kaiser Permanente Insurance Company, a subsidiary of KFHP, to provide administrative services including, but not limited to, product development, rating and underwriting, marketing and sales, advertising, claims adjudication, member services,

utilization management, and premium billing and collection. Pursuant to this contract, Health Plan received revenues of \$230 thousand and \$560 thousand for the three months ended March 31, 2013 and the year ended December 31, 2012, respectively. In addition, Health Plan and KPIC cooperate in the delivery of services under Point of Service products. Under this arrangement, premiums from customers are allocated between Health Plan and KPIC based on prospective estimates of utilization. Pursuant to this arrangement, Health Plan received \$3.7 million and \$16.9 million in premium revenue from KPIC for the three months ended March 31, 2013 and the year ended December 31, 2012, respectively.

Health Plan has also entered into reciprocal business relationships with KFHP whereby Health Plan and KFHP and its subsidiaries provided medical services to visiting members. Net revenue recorded for services provided by Health Plan for the three months ended March 31, 2013 and the year ended December 31, 2012 in 2012 was \$243 thousand and \$1,147 thousand, respectively. Net expense for services provided to Health Plan members for the three months ended March 31, 2013 and the year ended December 31, 2012 was \$251 thousand and \$932 thousand, respectively.

Health Plan has a guaranty agreement with the parent, KFHP, in which the parent, without exception, guarantees all obligations of Health Plan, including a guarantee to provide health care services to Health Plan's subscribers, enrollees and dependents in the event that Health Plan is discontinued prior to the expiration of Health Plan's contracts. In addition, Hospitals has loaned certain subordinated debt to Health Plan as described in the footnote *Minimum Capital and Surplus*.

Due from (due to) Hospitals generally represents funds transferred by Hospitals to Health Plan to satisfy Health Plan's operational requirements and liability for payment of Hospitals' claims payable of \$8.1 million and \$7.7 million at March 31, 2013 and December 31, 2012, respectively. Hospitals' claims expense is included in Health Plan's operating expenses, primarily hospital services. Health Plan used proceeds from the sale of short-term investments to settle \$28.9 million due Hospitals in the second quarter of 2012.

Amounts due from (due to) affiliated organizations at March 31, 2012 and December 31, 2012 (in thousands):

	<u>3/31/2013</u>	<u>12/31/2012</u>
Due to Related Parties:		
Kaiser Foundation Health Plan, Inc.	\$ 9,055	\$ 6,121
Kaiser Foundation Hospitals	18,562	24,756
Kaiser Permanente Insurance Corp	-	837
Other Related Parties	204	275
Total Due to Related Parties	<u>\$ 27,821</u>	<u>\$ 31,989</u>
Due from Related Parties:		
Lokahi Assurance, Ltd.	37	68
Kaiser Permanente Insurance Corp	102	3
Other Related Parties	8	3
Total Due from Related Parties	<u>\$ 147</u>	<u>\$ 71</u>

During the three months ended March 31, 2013 and the year ended December 31, 2012, Health Plan had no amount deducted from its asset value or from KFHP's asset value due to their affiliation. Additionally, Health Plan had no investments in subsidiary, controlled or affiliated entities as of March 31, 2013 or December 31, 2012.

11) Debt

No significant changes from the 2012 annual statement.

- 12) Retirement Plans, Deferred Compensation, Post-employment Benefits and Compensated Absences, and Post-retirement Benefit Plans

a. Defined Benefit Plan- Pension

Health Plan participates with affiliated organizations in a defined benefit pension plan covering substantially all its employees. Benefits are based on age at retirement, years of credited service, and average compensation for a specified period prior to retirement. Contributions are intended to provide not only for benefits attributed to service to date but also for those expected to be earned in the future.

The pension plan is administered by KFHP. Plan assets for Health Plan are not segregated and, accordingly, are not disclosed below. However, KFHP separately accounts for Health Plan liability and expense, and KFHP allocates pension expense and related prepaid or accrued benefit costs to Health Plan based on participant demographics and plan provisions.

The accrued pension plan liability at December 31, 2012 and the change through March 31, 2013 are as follows (in millions):

Pension liability at December 31, 2012	\$ 2,854
Reversal of additional minimum pension liability	(2,195)
January 1, 2013 recognized transition liability	2,359
Provision	284
Payments	<u>(284)</u>
Pension plan liability at March 31, 2013	3,018
Unrecognized transition liability	3,525
Additional GAAP basis provision	<u>3</u>
GAAP basis pension obligation at March 31, 2013	\$ <u>6,546</u>

For the three months ended March 31, pension expense was as follows (in millions):

	2013
Service cost	\$ 263
Interest cost	149
Expected return on plan assets	(140)
Special termination benefits	12
Amortization of net actuarial loss	87
Amortization of prior service cost	34
Amortization of incremental asset	<u>(19)</u>
Net pension expense	<u>386</u>
Other changes in plan assets and benefit obligations recognized in capital and surplus:	
Reversal of additional minimum pension liability	(2,195)
January 1, 2013 recognized transition liability	2,359
Amortization of net actuarial loss	(87)
Amortization of prior service cost	(34)
Amortization of incremental asset	<u>19</u>
Total recognized in surplus	<u>62</u>
Total recognized in net periodic benefit cost and surplus	<u>\$ 448</u>

Plan assets and actuarial assumptions are consistent with the 2012 annual statement.

Health Plan Allocations

The accrued pension plan liability allocated to Health Plan at December 31, 2012 and the change through March 31, 2013 are as follows (in thousands):

Allocated pension plan liability at December 31, 2012	\$ 54,639
Reversal of additional minimum pension liability	(39,686)
January 1, 2013 recognized transition liability	41,055
Provision	3,172
Payments	<u>(3,119)</u>
Allocated pension plan liability at March 31, 2013	56,061
Unrecognized transition liability	59,259
Additional GAAP basis provision	<u>69</u>
Allocated GAAP basis pension obligation at March 31, 2013	<u>\$ 115,389</u>

Of the \$59.3 million unrecognized transition liability at March 31, 2013, Health Plan will recognize annually at least \$10.0 million as a reduction to surplus and will fully recognize the transition liability no later than 2019. Recognition amounts could differ due to actual experience different from actuarial assumptions or if Health Plan chooses to accelerate the reflection of the unrecognized transition liability.

For the three months ended March 31, pension expense allocated to Health Plan was as follows (in thousands):

	2013
Service cost	\$ 3,032
Interest cost	2,501
Expected return on plan assets	(2,361)
Special termination benefits	—
Amortization of net actuarial loss	1,461
Amortization of prior service cost	400
Amortization of incremental asset	(33)
 Net pension expense	 5,000
 Other changes in plan assets and benefit obligations recognized in capital and surplus:	
Reversal of additional minimum pension liability	(39,686)
January 1, 2013 recognized transition liability	41,055
Amortization of net actuarial loss	(1,461)
Amortization of prior service cost	(400)
Amortization of incremental asset	33
 Total recognized in surplus	 (459)
 Total recognized in net periodic benefit cost and surplus	 \$ 4,541

Defined Benefit Plan- Postretirement Benefits Other than Pensions

Certain employees may become eligible for postretirement health care and life insurance benefits while working for Health Plan. Benefits available to retirees, through both affiliated and unaffiliated provider networks, vary by employee group. Postretirement health care benefits available to retirees include subsidized Medicare premiums, medical, and prescription drug benefits.

The accrued liability for postretirement benefits at December 31, 2012 and the change through March 31, 2013 are as follows (in thousands):

Postretirement benefits liability at December 31, 2012	\$ 46,585
January 1, 2013 recognized transition liability	2,584
Provision	1,289
Benefits paid or provided	(302)
Postretirement benefits liability at March 31, 2013	50,156
 Unrecognized transition liability	 13,493
 GAAP basis accumulated postretirement obligation at March 31, 2013	 \$ 63,649

Of the \$13.5 million unrecognized transition liability at March 31, 2013, Health Plan will recognize annually at least \$1.6 million as a reduction to surplus and will fully recognize the transition liability no later than 2022. Recognition amounts could

differ due to actual experience different from actuarial assumptions or if Health Plan chooses to accelerate the reflection of the unrecognized transition liability.

For the three months ended March 31, postretirement benefits expense was as follows (in thousands):

	2013
Service cost	\$ 539
Interest cost	750
Amortization of prior service cost	646
Amortization of net actuarial loss	<hr/> —
Postretirement benefits expense	<hr/> 1,935
Other changes in plan assets and benefit obligations recognized in capital and surplus:	
January 1, 2013 recognized transition liability	2,584
Amortization of prior service cost	(646)
Amortization of net actuarial loss	<hr/> —
Total recognized in surplus	<hr/> 1,938
Total recognized in net periodic benefit cost and surplus	<hr/> \$ 3,873

Actuarial assumptions are consistent with the 2012 annual statement.

13) Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) – (2)

Health Plan is a nonprofit, charitable corporation and does not issue stock. KFHP is the sole corporate member of Health Plan and no individual or entity has any ownership interest in Health Plan. Health Plan, KFHP and Hospitals share a common Board of Directors in addition to sharing certain corporate officers.

(3) – (6)

For the three months ended March 31, 2013 and the year ended December 31, 2012, Health Plan paid no dividends. In accordance with the Ohio Revised Code, Health Plan must receive approval from the ODI to pay a dividend or distribution during 2013 which, when combined with dividends or distributions paid within the preceding 12 months exceeds the greater of either (a) 10% of Health Plan's statutory capital and surplus at December 31, 2012 or (b) Health Plan's net gain from operations on a statutory basis for the year ended December 31, 2012. Accordingly, during 2013, prior approval from the ODI is required for any dividend or distribution payment, which exceeds \$6.8 million.

(7) - (9)

At March 31, 2013 and December 31, 2012, Health Plan held no stock for special purposes. Additionally, Health Plan is not a mutual reciprocal, and had no special surplus funds.

- (10) No portion of surplus is represented by cumulative unrealized gains or losses.
- (11) Subordinated notes (the Notes) issued by Health Plan to Hospitals were as follows (in thousands):

Date issued	Interest rate	Amount of Notes	Par value (Face Value of Note)	Interest And / Or Principal			Total Interest And / Or Principal	Unapproved Interest And / Or Principal	Date of Maturity
				Current Year	Paid	Principal Paid			
December 28, 2009	8.50%	-	\$ 5,000				\$ 1,328	December 28, 2016	
December 28, 2009	8.50%	-	10,000				2,655	December 28, 2016	
September 2, 2010	7.50%	-	25,000				4,569	September 2, 2017	
September 27, 2010	7.50%	-	20,000				3,540	September 27, 2017	
March 31, 2011	7.50%	-	5,000				698	March 29, 2018	
October 31, 2011	7.50%	-	10,000				956	October 31, 2018	
November 30, 2011	7.50%	-	15,000				1,342	November 30, 2018	
December 28, 2011	7.50%	-	4,000				335	December 28, 2018	
January 30, 2012	7.50%	-	11,000				846	January 30, 2019	
May 7, 2012	7.50%	-	10,000				568	May 7, 2019	
August 17, 2012	7.50%	-	20,000				717	August 17, 2019	
October 18, 2012	7.50%	-	15,000				347	October 18, 2019	
December 28, 2012	7.50%	-	55,000				468	December 28, 2019	
			<u>205,000</u>				<u>18,369</u>		

Payment of principal or interest is subject to approval by the ODI. Payment of the principal or interest of these Notes is subordinated to the prior payment of all general liabilities of Health Plan and the claims of its policyholders and all classes of creditors. Unapproved interest payable at March 31, 2013 and 2012 totaled \$18.4 million and \$16.8 million, respectively. The Notes, which have been issued to maintain risk-based capital requirement, have been recorded as an increase to capital for statutory purposes. Unapproved interest payable is not accrued for statutory purposes.

Beginning January 1, 2013, Hospitals waived, on a prospective basis, certain amounts of interest on the Notes issued as of December 19, 2012, and any notes issued after December 19, 2012, to the extent interest due per the terms of the Notes exceeds the cost of debt to hospitals, which is determined on a monthly basis. The waiver extends for four consecutive rolling quarters, subject to termination and reversion by Hospitals. Hospitals will provide notice 30 days prior to the start of any quarter, informing Health Plan of the termination of the waiver and that the interest rate will

revert to the rate stated in the Notes, which termination and reversion shall become effective at the end of four consecutive quarters from the date of the notice.

Unassigned surplus is reduced by \$1.1 million for the three months ended March 31, 2013 and \$1.7 million for the year ended December 31, 2012 for nonadmitted assets.

(12) – (13)

Health Plan did not undergo any quasi-reorganization in the three months ended March 31, 2013 or the year ended December 31, 2012.

14) Contingencies

No significant changes from the 2012 annual statement.

15) Leases

No significant changes from the 2012 annual statement.

16) Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No significant changes from the 2012 annual statement.

17) Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

Health Plan has no transactions subject to the disclosure requirements of this footnote during the reporting period.

A-B Health Plan had no transfers of receivables or transfers of financial assets.

C SSAP No. 91R, *Accounting for Transfers and Servicing of Financial Assets and Extinguishment of Liabilities (SSAP No.91R)*, paragraph 100 requires a reporting entity to disclose any wash sales involving securities with a NAIC designation of 3 or below. Health Plan's investment strategy does not include purchasing any securities with a NAIC designation of 3 or below. During the three months ended March 31, 2013 and the year ended December 31, 2012, Health Plan did not participate in any wash sale as defined by SSAP No. 91.

18) Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans.

A-B Health Plan had no ASO or ASC plans on which to report.

C (1) – (4) No significant changes from the 2012 annual statement.

19) Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant changes from the 2012 annual statement.

20) Fair Value Measurements

- A - B Health Plan has no assets or liabilities that are measured and reported at fair value in the statement of financial position after initial recognition.
- C Health Plan utilizes a three-level valuation hierarchy for fair value measurements. An instrument's categorization within the hierarchy is based upon the lowest level of input that is significant to the fair value measurement. For instruments classified in Level 1 of the hierarchy, valuation inputs are quoted prices for identical instruments in active markets at the measurement date. For instruments classified in Level 2 of the hierarchy, valuation inputs are directly observable but do not qualify as Level 1 inputs. Examples of Level 2 inputs include: quoted prices for similar instruments in active markets; quoted prices for identical or similar instruments in inactive markets; other observable inputs such as interest rates and yield curves observable at commonly quoted intervals, volatilities, prepayment speeds, loss severities, credit risks and default rates; and market-correlated inputs that are derived principally from or corroborated by observable market data. For instruments classified in Level 3 of the hierarchy, valuation inputs are unobservable inputs for the instrument. Level 3 inputs incorporate assumptions about the factors that market participants would use in pricing the instrument.

Investments are reported at lower of amortized cost or fair value, with impairment recorded if amortized cost is greater than fair value. The fair values of investments are based on quoted market prices, if available, or estimated using quoted market prices for similar investments. If listed prices or quotes are not available, fair value is based upon other observable inputs or models that primarily use market-based or independently sourced market parameters as inputs. In addition to market information, models also incorporate transaction details such as maturity. Fair value adjustments, including credit, liquidity and other factors, are included, as appropriate, to arrive at a fair value measurement.

Investments at statement value and estimated fair value, at March 31, 2013 included (in thousands):

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
Short-term investments:						
Money market funds	\$ 1,932	\$ 1,932	\$ —	\$ 1,932	\$ —	\$ —
Total short-term investments	1,932	1,932	—	1,932	—	—
Bonds and other invested assets:						
U.S. Treasury and government-sponsored agencies	9,074	8,943	—	9,074	—	—
All other government bonds	8,020	7,953	—	8,020	—	—
Loan-backed and/or structured securities	17,580	17,523	—	17,580	—	—
Industrial and miscellaneous bonds	154,583	152,485	—	154,583	—	—
Total bonds and other invested assets	189,257	186,904	—	189,257	—	—
Total investments	\$ 191,189	\$ 188,836	\$ —	\$ 191,189	\$ —	\$ —

Investments at statement value and estimated fair value, at December 31, 2012 included (in thousands):

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Not Practicable (Carrying Value)
Short-term investments:						
Money market funds	\$ 73,640	\$ 73,640	\$ —	\$ 73,640	\$ —	\$ —
Total short-term investments	73,640	73,640	—	73,640	—	—
Bonds and other invested assets:						
U.S. Treasury and government-sponsored agencies	12,369	12,175	—	12,369	—	—
All other government bonds	8,801	8,711	—	8,801	—	—
Loan-backed and/or structured securities	12,969	12,891	—	12,969	—	—
Industrial and miscellaneous bonds	105,923	103,841	—	105,923	—	—
Total bonds and other invested assets	140,062	137,618	—	140,062	—	—
Total investments	\$ 213,702	\$ 211,258	\$ —	\$ 213,702	\$ —	\$ —

D There were no investments at March 31, 2013 or December 31, 2012 for which it was not practicable to estimate fair value.

21) Other Items

No significant changes from the 2012 annual statement.

22) Events Subsequent

Health Plan has no subsequent events to report.

23) Reinsurance

No significant changes from the 2012 annual statement.

24) Retrospectively Rated Contracts

No significant changes from the 2012 annual statement.

25) Change in Incurred Claims and Claim Adjustment Expenses

Analysis of claims activity as of and for the three months ended March 31, 2013 and the year ended December 31, 2012 (in thousands):

	3/31/2013	12/31/2012
Claims Payable:		
Balance at Beginning of Period	\$27,288	\$34,498
Balance at End of Period	<u>26,370</u>	<u>27,288</u>
Change in Claims Payable - Increase (Decrease)	<u>(918)</u>	<u>(7,210)</u>
Incurred Claims:		
Insured Events of Current Year	122,817	474,060
Increase/(Decrease) Insured Events of Prior Year	<u>(1,029)</u>	<u>(1,122)</u>
Total Incurred Claims	<u>121,788</u>	<u>472,938</u>
Payment of Claims:		
Claims Incurred in Prior Years	24,540	33,007
Claims Incurred in Current Year	<u>98,166</u>	<u>447,141</u>
Total Claims Paid	<u><u>\$122,706</u></u>	<u><u>\$480,148</u></u>

No additional premiums or return premiums have been accrued as a result of the prior-year effects.

26) Intercompany Pooling Arrangements

No significant changes from the 2012 annual statement.

27) Structured Settlements

No significant changes from the 2012 annual statement.

28) Health Care Receivables

No significant changes from the 2012 annual statement.

29) Participating Policies

No significant changes from the 2012 annual statement.

30) Premium Deficiency Reserves

At March 31, 2013 and December 31, 2012, Health Plan established premium deficiency reserves as follows (in thousands):

	3/31/2013	12/31/2012
1 Liability carried for premium deficiency reserves	\$ 22.3	\$ 23.3
2 Date of the most recent evaluation of this liability	3/31/2013	12/31/2012
3 Was anticipated investment income utilized in the calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

31) Anticipated Salvage and Subrogation

No significant changes from the 2012 annual statement.

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

GENERAL INTERROGATORIES

**PART 1 - COMMON INTERROGATORIES
GENERAL**

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]

2.2 If yes, date of change:

3.1 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]

3.2 If the response to 3.1 is yes, provide a brief description of those changes.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]

4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [] No [] NA [X]

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2010

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2010

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 07/09/2012

6.4 By what department or departments?

Ohio Department of Insurance.

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] NA [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] NA [X]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO**GENERAL INTERROGATORIES**

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.

- 9.11 If the response to 9.1 is No, please explain:
-

- 9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]

- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
-

- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]

- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).
-

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No []

- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No [X]

- 11.2 If yes, give full and complete information relating thereto:
-

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 0

13. Amount of real estate and mortgages held in short-term investments: \$ 0

- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No [X]

- 14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$	\$
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 0	\$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

- 15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]

- 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []

If no, attach a description with this statement.

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

GENERAL INTERROGATORIES

- 16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$.....0
 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$.....0
 16.3 Total payable for securities lending reported on the liability page \$.....0
17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? Yes [X] No []

- 17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Key Bank Association.....	P O Box 94718 Cleveland, OH 44114.....
State Street Bank and Trust Company.....	2 Ave de Lafayette, Boston, MA 02111.....

- 17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

- 17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
104973.....	Wells Capital Management.....	525 Market St, 10th Fl, San Francisco, CA 94510.....

- 18.1 Have all the filing requirements of the *Purposes and Procedures Manual* of the NAIC Securities Valuation Office been followed? Yes [X] No []

- 18.2 If no, list exceptions:
-

GENERAL INTERROGATORIES
PART 2 - HEALTH

1. Operating Percentages:

1.1 A&H loss percent.....	104.0 %
1.2 A&H cost containment percent.....	1.0 %
1.3 A&H expense percent excluding cost containment expenses.....	12.4 %
2.1 Do you act as a custodian for health savings accounts?.....	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.....	\$ _____
2.3 Do you act as an administrator for health savings accounts?.....	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
2.4 If yes, please provide the balance of the funds administered as of the reporting date.....	\$ _____

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

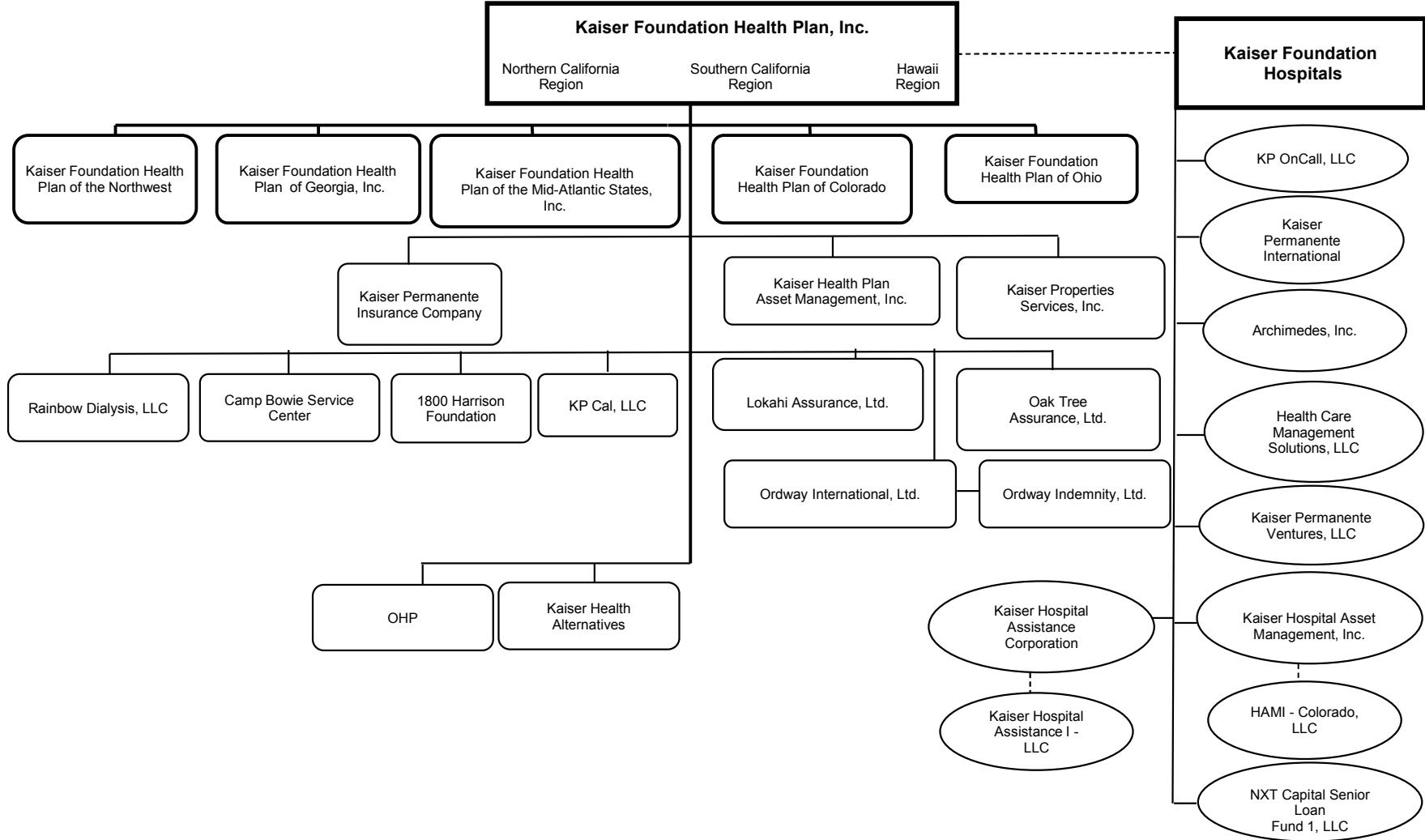
States, Etc.	1 Active Status	Direct Business Only							
		2 Accident & Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life & Annuity Premiums & Other Considerations	7 Property/ Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts
1. Alabama	AL	N.						0	
2. Alaska	AK	N.						0	
3. Arizona	AZ	N.						0	
4. Arkansas	AR	N.						0	
5. California	CA	N.						0	
6. Colorado	CO	N.						0	
7. Connecticut	CT	N.						0	
8. Delaware	DE	N.						0	
9. Dist. Columbia	DC	N.						0	
10. Florida	FL	N.						0	
11. Georgia	GA	N.						0	
12. Hawaii	HI	N.						0	
13. Idaho	ID	N.						0	
14. Illinois	IL	N.						0	
15. Indiana	IN	N.						0	
16. Iowa	IA	N.						0	
17. Kansas	KS	N.						0	
18. Kentucky	KY	N.						0	
19. Louisiana	LA	N.						0	
20. Maine	ME	N.						0	
21. Maryland	MD	N.						0	
22. Massachusetts	MA	N.						0	
23. Michigan	MI	N.						0	
24. Minnesota	MN	N.						0	
25. Mississippi	MS	N.						0	
26. Missouri	MO	N.						0	
27. Montana	MT	N.						0	
28. Nebraska	NE	N.						0	
29. Nevada	NV	N.						0	
30. New Hampshire	NH	N.						0	
31. New Jersey	NJ	N.						0	
32. New Mexico	NM	N.						0	
33. New York	NY	N.						0	
34. North Carolina	NC	N.						0	
35. North Dakota	ND	N.						0	
36. Ohio	OH	L. 78,200,328	27,738,505		11,369,463			117,308,296	
37. Oklahoma	OK	N.						0	
38. Oregon	OR	N.						0	
39. Pennsylvania	PA	N.						0	
40. Rhode Island	RI	N.						0	
41. South Carolina	SC	N.						0	
42. South Dakota	SD	N.						0	
43. Tennessee	TN	N.						0	
44. Texas	TX	N.						0	
45. Utah	UT	N.						0	
46. Vermont	VT	N.						0	
47. Virginia	VA	N.						0	
48. Washington	WA	N.						0	
49. West Virginia	WV	N.						0	
50. Wisconsin	WI	N.						0	
51. Wyoming	WY	N.						0	
52. American Samoa	AS	N.						0	
53. Guam	GU	N.						0	
54. Puerto Rico	PR	N.						0	
55. U.S. Virgin Islands	VI	N.						0	
56. Northern Mariana Islands	MP	N.						0	
57. Canada	CAN	N.						0	
58. Aggregate other alien	OT	XXX	0	0	0	0	0	0	0
59. Subtotal		XXX	78,200,328	27,738,505	0	11,369,463	0	117,308,296	0
60. Reporting entity contributions for Employee Benefit Plans		XXX						0	
61. Total (Direct Business)	(a) 1	78,200,328	27,738,505	0	11,369,463	0	0	117,308,296	0
DETAILS OF WRITE-INS									
58001		XXX						0	
58002		XXX						0	
58003		XXX						0	
58998	Summary of remaining write-ins for Line 58 from overflow page.	XXX	0	0	0	0	0	0	0
58999	Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)	XXX	0	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and other Alien.

**KAIser FOUNDATION HEALTH PLAN, INC. AND KAIser FOUNDATION HOSPITALS
SUBSIDIARIES AND AFFILIATED CORPORATIONS**

31-Mar-13



STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 Federal ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Name of Parent Subsidiaries or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/ Person(s)	15 *
00601	KAISER FOUNDATION HEALTH PLAN INC.	95669	84-0591617				KAISER FOUNDATION HLTH PLAN OF Colorado	CO	NIA	KPHP	Ownership	100.0	KFHP	0
	KAISER FOUNDATION HEALTH PLAN INC.	00000	03-0329760				Oak Tree Assurance, Ltd.	VT	OTH	KFHP	Ownership	100.0	KFHP	1
00601	KAISER FOUNDATION HEALTH PLAN INC.	95639	52-0954463				KAISER FOUNDATION HEALTH PLAN OF THE MID-ATLANTIC STATES INC.	MD	NIA	KFHP	Ownership	100.0	KFHP	0
00601	KAISER FOUNDATION HEALTH PLAN INC.	96237	58-1592076				KAISER FOUNDATION HEALTH PLAN OF GEORGIA, INC.	GA	NIA	KFHP	Ownership	100.0	KFHP	0
00601	KAISER FOUNDATION HEALTH PLAN INC.	95204	34-0922268				KAISER FOUNDATION HEALTH PLAN OF OHIO	OH		KFHP	Ownership	100.0	KFHP	0
	KAISER FOUNDATION HEALTH PLAN INC.	00000	94-3299124				KAISER HEALTH PLAN ASSET MANAGEMENT, INC.	CA	NIA	KFHP	Ownership	100.0	KFHP	0
00601	KAISER FOUNDATION HEALTH PLAN INC.	60053	94-3203402				KAISER PERMANENTE INS CO	CA	IA	KFHP	Ownership	100.0	KFHP	2
00601	KAISER FOUNDATION HEALTH PLAN INC.	00000	94-1340523				KAISER FOUNDATION HEALTH PLAN, INC. ("KFHP")	CA	UDP		Board of Directors	0.0	KFHP	0
00601	KAISER FOUNDATION HEALTH PLAN INC.	95540	93-0798039				KAISER FOUNDATION HEALTH PLAN OF THE NORTHWEST ("KFHP-NW")	OR	NIA	KFHP	Ownership	100.0	KFHP	0
	KAISER FOUNDATION HEALTH PLAN INC.	00000	94-3259432				KAISER PROPERTIES SERVICES, INC.	CA	NIA	KFHP	Ownership	100.0	KFHP	0
	KAISER FOUNDATION HEALTH PLAN INC.	00000	93-0954562				KAISER HEALTH ALTERNATIVES	OR	NIA	KFHP	Ownership	100.0	KFHP	0
	KAISER FOUNDATION HOSPITALS	00000	94-3245176				KAISER PERMANENTE INTERNATIONAL	CA	NIA	KFH	Ownership	100.0	KFH	0
	KAISER FOUNDATION HOSPITALS	00000	94-3299125				KAISER HOSPITAL ASSET MANAGEMENT, INC.	CA	NIA	KFH	Ownership	100.0	KFH	0
	KAISER FOUNDATION HOSPITALS	00000	94-3299123				CAMP BOWIE SERVICE CENTER	CA	NIA	KFHP	Ownership	100.0	KFHP	0
	KAISER FOUNDATION HOSPITALS	00000	94-1105628				KAISER FOUNDATION HOSPITALS ("KFH")	CA	NIA		Board of Directors	0.0	KFH	0
	KAISER FOUNDATION HOSPITALS	00000	91-2171891				LOKAHI ASSURANCE LTD.	HI	OTH	KFHP	Ownership	100.0	KFHP	1
	KAISER FOUNDATION HOSPITALS	00000	20-2712661				KP CAL, LLC	CA	NIA	KFHP	Ownership	100.0	KFHP	0
	KAISER FOUNDATION HOSPITALS	00000	90-0031974				ORDWAY INDEMNITY, LTD.	BMU	OTH	KFHP	Ownership	100.0	KFHP	1
	KAISER FOUNDATION HOSPITALS	00000	20-2712661				ORDWAY INTERNATIONAL, LTD.	BMU	OTH	KFHP	Ownership	100.0	KFHP	3
	KAISER FOUNDATION HOSPITALS	00000	93-0480268				OHP	WA	NIA	KFHP	Ownership	100.0	KFHP	0
	KAISER FOUNDATION HOSPITALS	00000	20-3774729				HAMI-COLORADO, LLC	DE	NIA	KFHP	Management	0.0	KFH	0
	KAISER FOUNDATION HOSPITALS	00000	20-3924985				ARCHIMEDES, INC.	CA	NIA	KFH	Ownership	83.0	KFH	5
	KAISER FOUNDATION HOSPITALS	00000	91-2166347				HEALTH CARE MANAGEMENT SOLUTIONS, LLC	CA	NIA	KFH	Ownership	100.0	KFH	0
	KAISER FOUNDATION HOSPITALS	00000	94-3317484				KP ONCALL, LLC	CA	NIA	KFH	Board of Directors	0.0	KFHP	0
							1800 HARRISON FOUNDATION	CA	NIA	KFHP				

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE Y
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

Asterisk	Explanation
0000001	Relation to reporting entity-captive insurance company controlled by KFHP.
0000002	100% of preferred stock owned by KFHP, 50% of voting stock owned by KFHP and 50% owned by Permanente Medical Groups.
0000003	Relation to reporting entity - holding company - holds 100% of the shares of Ordway Indemnity, Ltd..
0000004	Remaining ownership interest of 5.058% is held by The Permanente Federation LLC.
0000005	KFH owns 100% of the preferred shares of HCMS. In addition, KFH owns 50% of the common shares and The Permanente Federation LLC owns the remaining 50% of the common shares of HCMS.
0000006	KFH and the Kaiser Permanente Group Trust are the Participation members of this LLC, and KFH owns 75.1% and Kaiser Permanente Group Trust owns 24.9%. Kaiser Foundation Health Plan, Inc. is the fiduciary of Kaiser Permanente Group Trust. NXT Capital Loan Servicing, LLC is the Designated member.
0000007	There is also a Series B with a different tax id #. No member of the Holding Company Group is an owner.

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

RESPONSE

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

.....NO.....

Explanation:

1.

Bar Code:

1.



OVERFLOW PAGE FOR WRITE-INS

MQ003 Additional Aggregate Lines for Page 03 Line 23.

*LIAB

	1 Covered	2 Uncovered	3 Total	4 Total
2304. Workers Comp.....	2,707,613		2,707,613	2,704,617
2305. Rent Payable.....	357,129		357,129	362,842
2306. Liability for Pension Benefits.....	56,060,709		56,060,709	54,639,410
2307. Medicare Reserves / Payables.....	21,480,599		21,480,599	23,845,652
2308.			0	0
2309.			0	0
2397. Summary of remaining write-ins for Line 23 from Page 03	80,606,050	0	80,606,050	81,552,521

MQ004 Additional Aggregate Lines for Page 04 Line 14.

*REVEX1

	1 Current Year To Date Uncovered	2 Current Year To Date Total	3 Prior Year To Date Total	4 Prior Year Ended December 31 Total
1404. Medical Administration..... Other Benefits (Home Care, Hospice, Admin Excep, DME) excluding payroll.....		19,121,028	17,605,584	71,005,018
1405. payroll.....		4,566,037	1,607,332	4,881,549
1406. Community Service.....		2,804,780	2,827,281	12,709,551
1407.			0	0
1408.			0	0
1409.			0	0
1410.			0	0
1411.			0	0
1412.			0	0
1413.			0	0
1414.			0	0
1497. Summary of remaining write-ins for Line 14 from Page 04	0	26,491,845	22,040,197	88,596,118

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE A – VERIFICATION

Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	49,856,495	51,707,113
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Current year change in encumbrances	158,456	2,263,504
4. Total gain (loss) on disposals	0	0
5. Deduct amounts received on disposals	0	0
6. Total foreign exchange change in book/adjusted carrying value	0	0
7. Deduct current year's other than temporary impairment recognized	0	0
8. Deduct current year's depreciation	923,645	4,114,122
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)	49,091,306	49,856,495
10. Deduct total nonadmitted amounts	0	0
11. Statement value at end of current period (Line 9 minus Line 10)	49,091,306	49,856,495

SCHEDULE B – VERIFICATION

Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and mortgage interest points and commitment fees	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Total valuation allowance	0	0
13. Subtotal (Line 11 plus Line 12)	0	0
14. Deduct total nonadmitted amounts	0	0
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	0	0
2.2 Additional investment made after acquisition	0	0
3. Capitalized deferred interest and other	0	0
4. Accrual of discount	0	0
5. Unrealized valuation increase (decrease)	0	0
6. Total gain (loss) on disposals	0	0
7. Deduct amounts received on disposals	0	0
8. Deduct amortization of premium and depreciation	0	0
9. Total foreign exchange change in book/adjusted carrying value	0	0
10. Deduct current year's other than temporary impairment recognized	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	0	0

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	137,617,523	102,847,727
2. Cost of bonds and stocks acquired	65,000,942	97,532,590
3. Accrual of discount	24,883	94,486
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	198,880	1,205,708
6. Deduct consideration for bonds and stocks disposed of	15,594,976	63,295,476
7. Deduct amortization of premium	264,716	645,493
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	78,253	122,019
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7+8-9)	186,904,283	137,617,523
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	186,904,283	137,617,523

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a).....	176,960,823	72,745,909	101,860,148	(1,464,087)	146,382,497	0	0	176,960,823
2. Class 2 (a).....	34,296,907	14,013,809	3,608,279	1,150,587	45,853,024	0	0	34,296,907
3. Class 3 (a).....	0				0	0	0	0
4. Class 4 (a).....	0				0	0	0	0
5. Class 5 (a).....	0				0	0	0	0
6. Class 6 (a).....	0				0	0	0	0
7. Total Bonds.....	211,257,730	86,759,718	105,468,427	(313,500)	192,235,521	0	0	211,257,730
PREFERRED STOCK								
8. Class 1.....	0				0	0	0	0
9. Class 2.....	0				0	0	0	0
10. Class 3.....	0				0	0	0	0
11. Class 4.....	0				0	0	0	0
12. Class 5.....	0				0	0	0	0
13. Class 6.....	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock.....	211,257,730	86,759,718	105,468,427	(313,500)	192,235,521	0	0	211,257,730

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$3,399,444 ; NAIC 2 \$;

NAIC 3 \$; NAIC 4 \$; NAIC 5 \$; NAIC 6 \$

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STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999	1,931,799	XXX	1,931,799	55	

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	73,640,210	32,596,839
2. Cost of short-term investments acquired	14,864,667	230,401,434
3. Accrual of discount		434
4. Unrealized valuation increase (decrease).....		0
5. Total gain (loss) on disposals		0
6. Deduct consideration received on disposals	86,573,078	189,358,497
7. Deduct amortization of premium.....		0
8. Total foreign exchange change in book/adjusted carrying value.....		0
9. Deduct current year's other than temporary impairment recognized.....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	1,931,799	73,640,210
11. Deduct total nonadmitted amounts.....		0
12. Statement value at end of current period (Line 10 minus Line 11)	1,931,799	73,640,210

Schedule DB - Part A - Verification

NONE

Schedule DB - Part B - Verification

NONE

Schedule DB - Part C - Section 1

NONE

Schedule DB - Part C - Section 2

NONE

Schedule DB - Verification

NONE

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	0
2. Cost of cash equivalents acquired	6,894,110	21,198,451
3. Accrual of discount	4,587	1,549
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals.....	338	0
6. Deduct consideration received on disposals	3,499,591	21,200,000
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	3,399,444	0
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	3,399,444	0

Schedule A - Part 2

NONE

Schedule A - Part 3

NONE

Schedule B - Part 2

NONE

Schedule B - Part 3

NONE

Schedule BA - Part 2

NONE

Schedule BA - Part 3

NONE

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - Industrial and Miscellaneous (Unaffiliated)									
.023135-AK-2..	Amazon Com Inc 0.650% 11/27/15..		.01/02/2013..	Credit Suisse Securities..	1,598,864		.1,600,000		.1,098
.03523T-BM-9..	Anheuser Busch Inbrev Wor 0.800% 07/15/..		.01/14/2013..	Bonds.com..	402,529		.401,000		.18
.052769-AA-4..	Autodesk Inc 1.950% 12/15/17..		.01/10/2013..	Chase Securities..	697,221		.700,000		.1,213
.05947U-2R-8..	Bank of America Commercial Mtg Ser 2005-..		.03/06/2013..	MESIROW..	962,431		.880,000		.1,250
.06051G-EG-0..	Bank of America Corp MTN 3.625% 03/17/..		.01/04/2013..	RBC Capital..	414,590		.390,000		.4,398
.060516-ER-6..	Bank of America Corp 1.500% 10/09/15/..		.03/05/2013..	Oppenheimert Co Inc..	502,560		.500,000		.3,083
.084664-BS-9..	Berkshire Hathaway Fin 1.600% 05/15/17..		.01/07/2013..	Goldman Sachs Co..	3,549,875		.3,500,000		.9,333
.101137-AJ-6..	Boston Scientific Corp 4.500% 01/15/15..		.02/25/2013..	Barclays Capital Inc..	954,274		.900,000		.4,838
.126650-BT-6..	Cvs Caremark Corp 3.250% 05/18/15..		.02/07/2013..	US Bank NA..	1,082,000		.1,025,000		.7,680
.14040H-AV-7..	Capital One Financial Co 2.125% 07/15/..		.02/07/2013..	US Bank NA..	1,017,720		.1,000,000		.1,535
.14149Y-AX-6..	Cardinal Health Inc 1.700% 03/15/18..		.02/19/2013..	UBS Securities..	998,400		.1,000,000		.2FE
.166764-AA-8..	Chevron Corp 1.104% 12/05/17..		.01/08/2013..	KGS ALPHA CAPITAL MARKETS..	3,018,770		.3,000,000		.3,312
.172967-CG-0..	Citigroup Inc 1.250% 01/15/16..		.03/05/2013..	Various..	1,498,227		.1,500,000		.1,813
.200339-CG-2..	Comerica Bank BKNT 5.750% 11/21/16..		.03/13/2013..	KEYBANC CAPITAL MARKETS INC..	1,548,693		.1,335,000		.24,948
.205887-BP-6..	Conagra Foods Inc 1.300% 01/25/16..		.01/15/2013..	Oppenheimert Co Inc..	679,701		.680,000		.2FE
.233851-AI-1..	Daimler Finance Na Llc 144A 1.250% 01/..		.01/07/2013..	Chase Securities..	1,508,143		.1,510,000		.1FE
.30219G-AB-4..	Express Scripts Holding Co WI 2.100% 0..		.01/11/2013..	Tax Free Exchange..	273,974		.275,000		.2,390
.316773-CK-4..	Fifth Third Bancorp 3.625% 01/25/16..		.01/18/2013..	Oppenheimert Co Inc..	1,547,861		.1,450,000		.26,135
.35671D-BE-4..	Freeport-McMoran Copper & Gold 144A 2..		.02/28/2013..	Oppenheimert Co Inc..	414,959		.415,000		.2FE
.38141G-RG-0..	Goldman Sachs Group Inc 2.375% 01/22/1..		.01/16/2013..	Goldman Sachs Co..	999,580		.1,000,000		.1FE
.396789-FT-1..	Greenwich Capital Commercial F Ser 2004-..		.01/07/2013..	Citigroup Global Markets..	8,259		.7,937		.11
.396789-FT-1..	Greenwich Capital Commercial F Ser 2004-..		.01/07/2013..	Citigroup Global Markets..	1,051,148		.1,010,264		.1,343
.41283L-AA-3..	Harley-Davidson Finl Service 144A 3.87..		.03/25/2013..	MITSUBISHI UFJ SEC..	408,416		.380,000		.532
.44921S-AE-2..	Hyundai Auto Lease Securitizat Ser 2013-..		.02/27/2013..	Chase Securities..	1,699,829		.1,700,000		.1FE
.45688E-AC-0..	Ing Us Inc 144A 2.900% 02/15/18..		.02/06/2013..	Chase Securities..	998,240		.1,000,000		.2FE
.458140-AL-4..	Intel Corp 1.350% 12/15/17..		.01/10/2013..	KGS ALPHA CAPITAL MARKETS..	1,599,950		.1,600,000		.2,040
.459200-HC-8..	IBM 1.250% 02/06/17..		.02/05/2013..	HSBC Securities..	3,016,380		.3,000,000		.208
.46625Y-GP-2..	Jp Morgan Chase Commercial Mor Ser 2005-..		.02/05/2013..	Citigroup Global Markets..	529,959		.495,000		.485
.46625Y-XP-3..	Jp Morgan Chase Commercial Mor 2005-LDP5..		.03/07/2013..	Morgan Stanley Co..	1,104,793		.1,005,000		.1,596
.50076Q-AK-2..	Kraft Foods Inc WI 1.625% 06/04/15..		.01/08/2013..	Tax Free Exchange..	972,960		.975,000		.1,496
.577081-AX-0..	Mattel Inc 1.700% 03/15/18..		.03/04/2013..	Oppenheimert Co Inc..	899,784		.900,000		.2FE
.581557-AY-1..	Mckesson Corp 0.950% 12/04/15..		.01/08/2013..	JMP Securities..	1,255,925		.1,250,000		.1,220
.59217G-AM-1..	Met Life Global Funding I 144A 2.000%..		.01/04/2013..	Jeffries & Co..	2,048,980		.2,000,000		.1FE
.61746B-DG-8..	Morgan Stanley 1.750% 02/25/16..		.02/20/2013..	Various..	1,188,487		.1,190,000		.1FE
.63946C-AD-0..	Nbcuniversal Enterprise 144A 1.974% 04..		.03/20/2013..	Chase Securities..	474,729		.475,000		.182
.683389X-AN-5..	Oracle Corp 1.200% 10/15/17..		.03/20/2013..	RBC Capital..	49,985		.50,000		.250
.742718-DS-5..	Procter & Gamble Co 1.800% 11/15/15..		.01/15/2013..	KGS ALPHA CAPITAL MARKETS..	1,035,130		.1,000,000		.3,150
.91324P-BX-9..	Unitedhealth Group Inc 0.850% 10/15/15..		.01/08/2013..	JMP Securities..	2,765,483		.2,750,000		.5,130
.94973V-AZ-0..	Wellpoint Inc 1.250% 09/10/15..		.02/06/2013..	Various..	1,471,197		.1,460,000		.7,156
.891140-AE-8..	Toronto-Dominion Bank 2.375% 10/19/16..	A	.01/17/2013..	Goldman Sachs Co..	2,101,180		.2,000,000		.12,403
.000848-AF-7..	Abn Amro Bank Nv 144A 1.375% 01/22/16..	F	.01/17/2013..	Morgan Stanley Co..	864,801		.865,000		.1FE
.055650-CC-0..	BP Capital Markets Plc 1.375% 11/06/17..	F	.01/14/2013..	Citigroup Global Markets..	952,518		.950,000		.2,576
.449786-AX-0..	Ing Bank Nv Series 144A 1.375% 03/07/1..	F	.03/04/2013..	Goldman Sachs Co..	1,447,249		.1,450,000		.1FE
.6325CO-BN-0..	National Australia Bank 144A 2.750% 09..	F	.01/08/2013..	MIZUHO SECURITIES USA INC..	3,143,820		.3,000,000		.23,833
.654624-AE-5..	Nippon Telegr & Teleph 1.400% 07/18/17..	F	.01/02/2013..	Bonds.com..	.66,530		.66,000		.434
.65535H-AD-1..	Nomura Holdings Inc MTN 2.000% 09/13/1..	F	.03/06/2013..	NOMURA..	.996,640		.1,000,000		.2FE
.74977E-PZ-0..	Rabobank Nederland 1.700% 03/19/18..	F	.03/12/2013..	Barclays Capital Inc..	.748,358		.750,000		.1FE
.872882-AC-9..	Tsmc Global Ltd 144A 1.625% 04/03/18..	F	.03/27/2013..	Goldman Sachs Co..	1,663,884		.1,665,000		.1FE
.874060-AA-4..	Takeda Pharmaceutical 144A 1.031% 03/1..	F	.01/10/2013..	JMP Securities..	2,519,700		.2,500,000		.8,448
.92857W-BA-7..	Vodafone Group Plc 0.900% 02/19/16..	F	.02/11/2013..	MITSUBISHI UFJ SEC..	1,797,984		.1,800,000		.1FE
.950840-AC-2..	Wesfarmers Ltd 144A 1.874% 03/20/18..	F	.03/13/2013..	Barclays Capital Inc..	.950,000		.950,000		.1FE
.961214-BY-8..	Westpac Banking Corp GMTN 0.950% 01/12..	F	.01/07/2013..	Citigroup Global Markets..	1,498,275		.1,500,000		.1FE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)					65,000,945		63,805,201		165,537
8399997 - Subtotals- Bonds - Part 3					65,000,945		63,805,201		165,537
8399999 - Subtotals - Bonds					65,000,945		63,805,201		165,537
9999999 Totals					65,000,945		XXX		165,537

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identifi- cation	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Design- ation or Market Indicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B.A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B.A.C.V.								
Bonds - U.S. Governments																						
912828-RU-6...	US Treasury N/B 0.875% 11/30/16...		03/07/2013...	Barclays Capital Inc...		1,213,730	1,200,000	1,194,936	1,195,738		205		205		1,195,942		17,788	17,788	17,788	2,942	11/30/2016...	1...
05999999 - Bonds - U.S. Governments						1,213,730	1,200,000	1,194,936	1,195,738	0	205	0	205	0	1,195,942	0	17,788	17,788	17,788	2,942	XXX	XXX
Bonds - U.S. States, Territories and Possessions																						
452152-HP-9...	Illinois St 4.026% 03/01/14...		01/17/2013...	Goldman Sachs Co...		772,358	750,000	748,065	749,168		49		49		749,217		23,140	23,140	23,140	11,910	03/01/2014...	1FE...
17999999 - Bonds - U.S. States, Territories and Possessions						772,358	750,000	748,065	749,168	0	49	0	49	0	749,217	0	23,140	23,140	23,140	11,910	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions																						
31398A-4M-1...	Fannie Mae 1.625% 10/26/15...		03/13/2013...	Morgan Stanley Co...		2,063,340	2,000,000	2,049,620	2,036,801		(2,559)		(2,559)		2,034,243		29,097	29,097	29,097	12,323	10/26/2015...	1...
31999999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						2,063,340	2,000,000	2,049,620	2,036,801	0	(2,559)	0	(2,559)	0	2,034,243	0	29,097	29,097	29,097	12,323	XXX	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)																						
0010EQ-AB-2...	AEP Texas North Company Ser B 5.500% 0...		03/01/2013...	Maturity...		230,000	230,000	240,111	231,450		(1,450)		(1,450)		230,000		0	0	0	6,325	03/01/2013...	2FE...
04044T-AG-1...	Aristotle Holding Inc 144A 2.100% 02/1...		01/11/2013...	Tax Free Exchange...		273,974	275,000	273,647	273,977		(4)		(4)		273,974		0	0	0	2,390	02/12/2015...	2FE...
06051G-ER-6...	Bank of America Corp 1.500% 10/09/15...		01/04/2013...	RBC Capital...		392,656	390,000	390,055	390,053		0		0		390,053		2,603	2,603	2,603	1,446	10/09/2015...	1FE...
12513E-AD-1...	Citigroup/Deutsche Bank Comer Ser 2005-CD...		03/01/2013...	Paydown...		27,126	27,126	28,529	27,947		(821)		(821)		27,126		0	0	0	247	07/15/2044...	1FM...
20047A-AD-2...	Commercial Mortgage Pass- Throu Ser 2004...		03/01/2013...	Paydown...		16,347	16,347	17,049	16,767		(420)		(420)		16,347		0	0	0	114	03/10/2039...	1FM...
20047G-BP-1...	Commercial Mortgage Pass- Throu Ser 2004...		03/01/2013...	Paydown...		92,469	92,469	94,699	94,098		(1,629)		(1,629)		92,469		0	0	0	830	07/10/2037...	1FM...
26138E-AM-1...	Dr Pepper Snapple Group 2.900% 01/15/1...		02/14/2013...	Scott & Stringfellow Inc...		553,928	525,000	520,585	521,857		42		42		521,899		32,029	32,029	32,029	9,093	01/15/2016...	2FE...
26884T-AC-6...	ERAC USA Finance Enterp 144A 2.250% 01...		02/08/2013...	US Bank NA...		623,290	615,000	614,720	614,822		(57)		(57)		614,766		8,525	8,525	8,525	8,187	01/10/2014...	2FE...
36828G-DN-3...	GE Capital Commercial Mortgage Ser 2004...		03/01/2013...	Paydown...		9,463	9,463	9,859	9,612		(148)		(148)		9,463		0	0	0	75	11/10/2038...	1FM...
36828G-RX-6...	GE Capital Commercial Mortgage Ser 2006...		03/01/2013...	Paydown...		3,767	3,767	3,906	3,849		(82)		(82)		3,767		0	0	0	33	03/10/2044...	1FM...
38143U-SC-6...	Goldman Sachs Group Inc 3.625% 02/07/1...		01/16/2013...	Morgan Stanley Co...		1,376,570	1,300,000	1,376,021	1,370,998		(1,291)		(1,291)		1,369,706		6,864	6,864	6,864	21,599	02/07/2016...	1FE...
396789-FT-1...	Greenwich Capital Commercial F Ser 2004...		03/01/2013...	Paydown...		7,937	7,937	8,259			(321)		(321)		7,937		0	0	0	59	06/10/2036...	1FE...
50076Q-AH-9...	Kraft Foods Inc 144A 1.625% 06/04/15...		01/08/2013...	Tax Free Exchange...		972,960	975,000	972,475	972,944		15		15		972,960		0	0	0	1,496	06/04/2015...	2FE...
52108H-JM-4...	LB-UBS Commercial Mortgage Ser 2003-C7 C...		03/11/2013...	Paydown...		256,759	256,759	270,425	259,886		(3,127)		(3,127)		256,759		0	0	0	2,505	09/15/2035...	1FM...
52108H-XM-1...	LB-UBS Commercial Mortgage Ser 2003-C8 C...		03/11/2013...	Paydown...		222,750	222,750	231,558	227,329		(4,579)		(4,579)		222,750		0	0	0	2,853	11/15/2032...	1FM...
577081-AX-0...	Mattel Inc 1.700% 03/15/18...		03/28/2013...	STERNE AGEE & LEACH IN		907,413	900,000	899,784			(1)		(1)		899,783		7,631	7,631	7,631	1,082	03/15/2018...	2FE...
59023B-AD-6...	Merrill Lynch Mortgage Trust Ser 2006-C1...		03/01/2013...	Paydown...		8,268	8,268	8,775	8,592		(324)		(324)		8,268		0	0	0	76	05/12/2039...	1FM...
651229-AN-6...	Newell Rubbermaid Inc 2.050% 12/01/17...		03/14/2013...	Bonds.com...		95,104	95,000	94,893	94,894		4		4		94,897		206	206	206	568	12/01/2017...	2FE...
92343V-BA-1...	Verizon Communications 1.950% 03/28/14...		02/20/2013...	KEYBANC CAPITAL MARKETS INC...		813,208	800,000	816,688	811,186		(1,378)		(1,378)		809,808		3,400	3,400	3,400	6,370	03/28/2014...	1FE...
06739F-GP-0...	Deutsche Bank Ag London 2.375% 01/23/13...	F	01/23/2013...	Maturity...		500,000	500,000	496,615	499,829		171		171		500,000		0	0	0	6,250	01/23/2013...	1FE...
2515A0-T4-5...	Ing Bank N 144A 2.000% 10/18/13...	F	01/11/2013...	Maturity...		500,000	500,000	496,295	499,893		107		107		500,000		0	0	0	5,938	01/11/2013...	1FE...
449786-AF-9...	National Australia Bank 144A 2.500% 01...	F	03/04/2013...	Various...		1,008,382	1,000,000	976,710	989,486		2,358		2,358		991,845		16,538	16,538	16,538	7,668	10/18/2013...	1FE...
6325C0-AZ-4...	Sanofi-Aventis Sa 144A 2.500% 01...	F	01/08/2013...	Maturity...		1,000,000	1,000,000	1,000,000	1,000,000		0		0		1,000,000		0	0	0	12,500	01/08/2013...	1FE...
80105N-AE-5...	0.484% 03/28/13...	F	03/28/2013...	Maturity...		850,000	850,000	849,677	849,967		33		33		850,000		0	0	0	1,084	03/28/2013...	1FE...

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 For eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Design- ation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							
90261X-FY-3	UBS Ag Stamford Ct DPNT 3.875% 01/15/11	F	02/22/2013	Corporate Action		803,176	754,000	750,826	751,996		120		120		752,116		51,060	51,060	17,612	01/15/2015	1FF
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						11,545,547	11,353,886	11,442,161	10,521,432	0	(12,782)	0	(12,782)	0	11,416,693	0	128,856	128,856	116,400	XXX	XXX
8399997 - Subtotals - Bonds - Part 4						15,594,975	15,303,886	15,434,782	14,503,139	0	(15,087)	0	(15,087)	0	15,396,095	0	198,881	198,881	143,575	XXX	XXX
8399999 - Subtotals - Bonds						15,594,975	15,303,886	15,434,782	14,503,139	0	(15,087)	0	(15,087)	0	15,396,095	0	198,881	198,881	143,575	XXX	XXX
99999999 Totals						15,594,975	XXX	15,434,782	14,503,139	0	(15,087)	0	(15,087)	0	15,396,095	0	198,881	198,881	143,575	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

E05.1

Schedule DB - Part A - Section 1

NONE

Schedule DB - Part B - Section 1

NONE

Schedule DB - Part D - Section 1

NONE

Schedule DB - Part D - Section 2

NONE

Schedule DL - Part 1

NONE

Schedule DL - Part 2

NONE

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Open Depositories								
Citi Bank General 1078.....					(3,160,629)	(3,340,738)	(3,257,879)	XXX
KeyBank Concentration 1000.....					1,183,085	3,393,859	1,634,984	XXX
Misc Cash 1101 Keybank.....					20,000,000	20,000,000	20,000,000	XXX
0199998 Deposits in depositories that do not exceed the allowable limit in any one depository (See Instructions) - Open Depositories	XXX	XXX						XXX
0199999 Total Open Depositories	XXX	XXX	0	0	18,022,456	20,053,121	18,377,105	XXX
0399999 Total Cash on Deposit	XXX	XXX	0	0	18,022,456	20,053,121	18,377,105	XXX
0499999 Cash in Company's Office	XXX	XXX	XXX	XXX	35,396	35,396	35,396	XXX
0599999 Total	XXX	XXX	0	0	18,057,852	20,088,517	18,412,501	XXX

STATEMENT AS OF MARCH 31, 2013 OF THE KAISER FOUNDATION HEALTH PLAN OF OHIO

SCHEDULE E - PART 2 - CASH EQUIVALENTS