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QUARTERLY STATEMENT

As of March 31, 2013

of the Condition and Affairs of the

United Transportation Union Insurance Association

NAIC Group Code..... , (Current Period) (Prior Period) | NAIC Company Code..... 56413 | Employer's ID Number..... 23-7131460

Organized under the Laws of Ohio | State of Domicile or Port of Entry Ohio | Country of Domicile US

Incorporated/Organized..... November 16, 1970 | Commenced Business..... March 10, 1971

Statutory Home Office: 24950 Country Club Blvd Ste 340….. North Olmsted ..... OH ..... US 44070-5333
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office: 24950 Country Club Blvd Ste 340….. North Olmsted ..... OH ..... US 44070-5333 216-228-9400
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address: 24950 Country Club Blvd Ste 340….. North Olmsted ..... OH ..... US 44070-5333
(Street and Number or P. O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records: 24950 Country Club Blvd Ste 340….. North Olmsted ..... OH ..... US 44070-5333 216-228-9400
(Street and Number) (City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address: utuia.org

Statutory Statement Contact: Richard A Kusnic Sr 216-228-9400
(Name) (Area Code) (Telephone Number) (Extension)
Rkusnic@utuia.org 216-228-0411
(E-Mail Address) (Fax Number)

OFFICERS

Name	Title	Name	Title
1. Malcolm Brown Futhey Jr	President	2. Bruce D Feltmeyer	Secretary
3. Bruce D Feltmeyer	Treasurer	4. John Previsich	Assistant President

OTHER

DIRECTORS OR TRUSTEES

Malcolm Brown Futhey Jr	John Previsich	Bruce D Feltmeyer	Frank James Riha
Nicholas J Dicicco Jr	James Arbin Stem Jr	William Jennings Thompson	William B Ryan

State of........
County of.....

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Malcolm Brown Futhey Jr	Bruce D Feltmeyer	Bruce D Feltmeyer
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
President	Secretary	Treasurer
(Title)	(Title)	(Title)

Subscribed and sworn to before me
This ______ day of ______________

a. Is this an original filing? Yes [X] No [ ]
b. If no: 1. State the amendment number
2. Date filed
3. Number of pages attached

ASSETS

	Current Statement Date			4
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1. Bonds	189,191,909		189,191,909	188,809,287
2. Stocks:				
2.1 Preferred stocks	3,039,904		3,039,904	3,039,892
2.2 Common stocks	19,997,591		19,997,591	18,672,191
3. Mortgage loans on real estate:				
3.1 First liens			0	
3.2 Other than first liens			0	
4. Real estate:				
4.1 Properties occupied by the company (less $..........0 encumbrances)			0	
4.2 Properties held for the production of income (less $..........0 encumbrances)	2,287,476		2,287,476	2,305,930
4.3 Properties held for sale (less $..........0 encumbrances)			0	
5. Cash ($.....248,194), cash equivalents ($.....1,039,972) and short-term investments ($..........0)	1,288,166		1,288,166	1,159,648
6. Contract loans (including $..........0 premium notes)	6,437,038		6,437,038	6,406,607
7. Derivatives			0	
8. Other invested assets			0	
9. Receivables for securities			0	
10. Securities lending reinvested collateral assets			0	
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	222,242,084	0	222,242,084	220,393,555
13. Title plants less $..........0 charged off (for Title insurers only)			0	
14. Investment income due and accrued	2,287,170		2,287,170	2,287,071
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	199,165		199,165	191,435
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $..........0 earned but unbilled premiums)	28,920		28,920	25,322
15.3 Accrued retrospective premiums			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers			0	
16.2 Funds held by or deposited with reinsured companies			0	
16.3 Other amounts receivable under reinsurance contracts			0	
17. Amounts receivable relating to uninsured plans			0	
18.1 Current federal and foreign income tax recoverable and interest thereon			0	
18.2 Net deferred tax asset			0	
19. Guaranty funds receivable or on deposit			0	
20. Electronic data processing equipment and software			0	
21. Furniture and equipment, including health care delivery assets ($..........0)			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	
23. Receivables from parent, subsidiaries and affiliates			0	
24. Health care ($..........0) and other amounts receivable			0	
25. Aggregate write-ins for other than invested assets	27,500	27,500	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25)	224,784,839	27,500	224,757,339	222,897,383
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	
28. Total (Lines 26 and 27)	224,784,839	27,500	224,757,339	222,897,383

DETAILS OF WRITE-INS

1101.			0	
1102.			0	
1103.			0	
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above)	0	0	0	0
2501. Agent loan	27,500	27,500	0	
2502.			0	
2503.			0	
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)	27,500	27,500	0	0

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31 Prior Year
1.	Aggregate reserve for life contracts (including $..........0 Modco Reserve)	164,204,998	165,221,013
2.	Aggregate reserve for accident and health contracts (including $..........0 Modco Reserve)	6,745,662	6,877,804
3.	Liability for deposit-type contracts (including $..........0 Modco Reserve)	6,598,896	6,843,851
4.	Contract claims:		
	4.1 Life	1,496,513	1,149,607
	4.2 Accident and health	153,958	238,043
5.	Refunds due and unpaid	13,131	13,837
6.	Provisions for refunds payable in following calendar year - estimated amounts:		
	6.1 Apportioned for payment		
	6.2 Not yet apportioned		
7.	Premiums and annuity considerations for life and accident and health contracts received in advance less $..........0 discount; including $.....153,467 accident and health premiums	469,112	437,394
8.	Certificate and contract liabilities not included elsewhere:		
	8.1 Surrender values on canceled contracts	270,428	157,292
	8.2 Other amounts payable on reinsurance including $..........0 assumed and $..........0 ceded		
	8.3 Interest maintenance reserve (IMR)	7,194,837	6,373,437
9.	Commissions to fieldworkers due or accrued - life and annuity contracts $.....30,213, accident and health $.....47,374 and deposit-type contract funds $..........0	77,587	80,389
10.	Commissions and expense allowances payable on reinsurance assumed		
11.	General expenses due or accrued	401,839	367,168
12.	Transfers to Separate Accounts due or accrued (net) (including $..........0 accrued for expense allowances recognized in reserves)		
13.	Taxes, licenses and fees due or accrued	41,127	35,433
14.	Unearned investment income		
15.	Amounts withheld or retained by Society as agent or trustee		
16.	Amounts held for fieldworkers' account, including $..........0 fieldworkers' credit balances		
17.	Remittances and items not allocated		
18.	Net adjustment in assets and liabilities due to foreign exchange rates		
19.	Liability for benefits for employees and fieldworkers if not included above		
20.	Borrowed money $..........0 and interest thereon $..........0		
21.	Miscellaneous liabilities:		
	21.1 Asset valuation reserve	3,222,370	4,250,542
	21.2 Reinsurance in unauthorized and certified ($..........0) companies		
	21.3 Funds held under reinsurance treaties with unauthorized and certified ($..........0) reinsurers		
	21.4 Payable to subsidiaries and affiliates	722,378	693,930
	21.5 Drafts outstanding		
	21.6 Funds held under coinsurance		
	21.7 Derivatives		
	21.8 Payable for securities		
	21.9 Payable for securities lending		
22.	Aggregate write-ins for liabilities	61,328	61,328
23.	Total liabilities excluding Separate Accounts business (Lines 1 to 22)	191,674,164	192,801,068
24.	From Separate Accounts Statement		
25.	Total liabilities (Lines 23 to 24)	191,674,164	192,801,068
26.	Aggregate write-ins for other than liabilities and surplus funds	0	0
27.	Surplus notes		
28.	Aggregate write-ins for surplus funds	0	0
29.	Unassigned funds	33,083,175	30,096,315
30.	Total (Lines 26 through 29) (including $..........0 in Separate Accounts Statement)	33,083,175	30,096,315
31.	Totals (Lines 25 + 30) (Page 2, Line 28, Col. 3)	224,757,339	222,897,383

DETAILS OF WRITE-INS

2201.	Liability for uncashed checks	61,328	61,328
2202.			
2203.			
2298.	Summary of remaining write-ins for Line 22 from overflow page	0	0
2299.	Totals (Lines 2201 thru 2203 plus 2298) (Line 22 above)	61,328	61,328
2601.			
2602.			
2603.			
2698.	Summary of remaining write-ins for Line 26 from overflow page	0	0
2699.	Totals (Lines 2601 thru 2603 plus 2698) (Line 26 above)	0	0
2801.			
2802.			
2803.			
2898.	Summary of remaining write-ins for Line 28 from overflow page	0	0
2899.	Totals (Lines 2801 thru 2803 plus 2898) (Line 28 above)	0	0

SUMMARY OF OPERATIONS

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1.	Premiums and annuity considerations for life and accident and health contracts	3,424,266	3,532,775	15,188,702
2.	Considerations for supplementary contracts with life contingencies			
3.	Net investment income	2,403,883	2,501,838	9,479,581
4.	Amortization of Interest Maintenance Reserve (IMR)	256,401	153,520	767,617
5.	Separate Accounts net gain from operations excluding unrealized gains and losses			
6.	Commissions and expense allowances on reinsurance ceded			
7.	Reserve adjustments on reinsurance ceded			
8.	Miscellaneous income:			
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
	8.2 Charges and fees for deposit-type contracts			
	8.3 Aggregate write-ins for miscellaneous income	3,507	3,875	8,963
9.	Totals (Lines 1 to 8.3)	6,088,057	6,192,008	25,444,863
10.	Death benefits	1,332,503	1,118,126	4,072,092
11.	Matured endowments (excluding guaranteed annual pure endowments)	30,423	42,160	166,561
12.	Annuity benefits	2,545,133	1,805,644	7,868,398
13.	Disability benefits and benefits under accident and health contracts including premiums waived $..........0	210,222	414,944	1,439,195
14.	Surrender benefits and withdrawals for life contracts	681,812	582,382	2,209,033
15.	Interest and adjustments on contract or deposit-type contract funds	1,286	1,591	5,848
16.	Payments on supplementary contracts with life contingencies			
17.	Increase in aggregate reserve for life and accident and health contracts	(1,391,872)	(255,576)	(50,698)
18.	Totals (Lines 10 to 17)	3,409,507	3,709,271	15,710,429
19.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	206,508	197,403	823,285
20.	Commissions and expense allowances on reinsurance assumed			
21.	General insurance expenses and fraternal expenses	1,598,973	1,755,197	6,193,111
22.	Insurance taxes, licenses and fees	159,422	176,778	559,890
23.	Increase in loading on deferred and uncollected premiums			
24.	Net transfers to or (from) Separate Accounts net of reinsurance			
25.	Aggregate write-ins for deductions	0	0	0
26.	Totals (Lines 18 to 25)	5,374,410	5,838,649	23,286,715
27.	Net gain from operations before refunds to members (Line 9 minus Line 26)	713,647	353,359	2,158,148
28.	Refunds to members	(1,388)	19,854	2,087
29.	Net gain from operations after refunds to members and before realized capital gains (losses) (Line 27 minus Line 28)	715,035	333,505	2,156,061
30.	Net realized capital gains (losses) less capital gains tax of .....0 (excluding $..........0 transferred to the IMR)		9,808	(122,389)
31.	Net income (Lines 29 + 30)	715,035	343,313	2,033,672
	SURPLUS ACCOUNT			
32.	Surplus, December 31, prior year	30,096,317	27,591,145	27,591,145
33.	Net income from operations (Line 31)	715,035	343,313	2,033,672
34.	Change in net unrealized capital gains (losses) less capital gains tax of $..........0	1,251,153	1,715,926	2,316,039
35.	Change in net unrealized foreign exchange capital gain (loss)			
36.	Change in nonadmitted assets	(7,500)		(20,000)
37.	Change in liability for reinsurance in unauthorized and certified companies			
38.	Change in reserve on account of change in valuation basis (increase) or decrease			
39.	Change in asset valuation reserve	1,028,170	(1,465,925)	(1,824,539)
40.	Surplus (contributed to) withdrawn from Separate Accounts during period			
41.	Other changes in surplus in Separate Accounts Statement			
42.	Change in surplus notes			
43.	Cumulative effect of changes in accounting principles			
44.	Change in surplus as a result of reinsurance			
45.	Aggregate write-ins for gains and losses in surplus	0	0	0
46.	Net change in surplus for the year (Lines 33 through 45)	2,986,858	593,314	2,505,172
47.	Surplus as of statement date (Lines 32 + 46)	33,083,175	28,184,459	30,096,317

DETAILS OF WRITE-INS

08.301.	Miscellaneous Income			(393)
08.302.	Penalty on Early Withdrawl	3,507	3,875	9,356
08.303.				
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	3,507	3,875	8,963
2501.				
2502.				
2503.				
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0	0
2599.	Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)	0	0	0
4501.				
4502.				
4503.				
4598.	Summary of remaining write-ins for Line 45 from overflow page	0	0	0
4599.	Totals (Lines 4501 thru 4503 plus 4598) (Line 45 above)	0	0	0

CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance	3,444,656	3,597,560	15,194,820
2. Net investment income	2,569,220	2,495,464	10,088,447
3. Miscellaneous income	3,507	3,875	8,963
4. Total (Lines 1 through 3)	6,017,383	6,096,899	25,292,230
5. Benefit and loss related payments	4,425,422	4,020,688	16,155,408
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	1,927,340	2,067,697	7,596,660
8. Dividends paid to policyholders	(682)	20,092	2,249
9. Federal and foreign income taxes paid (recovered) net of $..........0 tax on capital gains (losses)			
10. Total (Lines 5 through 9)	6,352,080	6,108,477	23,754,317
11. Net cash from operations (Line 4 minus Line 10)	(334,697)	(11,578)	1,537,913
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	16,342,773	9,656,917	50,224,572
12.2 Stocks		809,148	5,510,480
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds			
12.8 Total investment proceeds (Lines 12.1 to 12.7)	16,342,773	10,466,065	55,735,052
13. Cost of investments acquired (long-term only):			
13.1 Bonds	15,801,980	12,660,331	55,007,543
13.2 Stocks	74,246	1,740,476	6,745,127
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications			
13.7 Total investments acquired (Lines 13.1 to 13.6)	15,876,226	14,400,807	61,752,670
14. Net increase (decrease) in contract loans and premium notes	30,431	(4,033)	(96,592)
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	436,116	(3,930,709)	(5,921,026)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	27,099	105,869	15,519
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	27,099	105,869	15,519
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)	128,518	(3,836,418)	(4,367,594)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	1,159,649	5,527,243	5,527,243
19.2 End of period (Line 18 plus Line 19.1)	1,288,167	1,690,825	1,159,649

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Life Insurance		1,515,614	6,132,453
2. Individual annuities		1,280,079	5,364,400
3. Accident and Health		946,421	3,604,622
4. Aggregate of all other lines of business	0	0	464,902
5. Subtotal (Lines 1 through 4)	0	3,742,114	15,566,377
6. Fraternal			
7. Expenses			
8. Subtotal (Lines 5 through 7)	0	3,742,114	15,566,377
9. Deposit-type contracts		20,093	464,902
10. Total	0	3,762,207	16,031,279

DETAILS OF WRITE-INS

0401. Supplemental Contracts			464,902
0402.			
0403.			
0498. Summary of remaining write-ins for Line 4 from overflow page	0	0	0
0499. Total (Lines 0401 thru 0403 plus 0498) (Line 4 above)	0	0	464,902

NOTES TO FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies

A. NOTE: This disclosure is required in every filing of the Quarterly Statement.

Note 2 - Accounting Changes and Corrections of Errors

No significant change.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

No significant change.

Note 5 - Investments

D. NOTE: This disclosure is required in every filing of the Quarterly Statement.

E3b. This disclosure is required in every filing of the Quarterly Statement.

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments

No significant change.

Note 9 - Income Taxes

No significant change.

Note 10 - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant change.

Note 11 - Debt

No significant change.

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A6. NOTE: This disclosure is required in every filing of the Quarterly Statement.

Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 - Contingencies

No significant change.

Note 15 - Leases

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change.

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

B2b. NOTE: This disclosure is required in every filing of the Quarterly Statement.
B4a. NOTE: This disclosure is required in every filing of the Quarterly Statement.
B4b. NOTE: This disclosure is required in every filing of the Quarterly Statement.
C. NOTE: This disclosure is required in every filing of the Quarterly Statement.

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

Note 20 - Fair Value

NOTE: This disclosure is required in every filing for the Quarterly Statement.

Note 21 - Other Items

No significant change.

Note 22 - Events Subsequent

No significant change.

Note 23 - Reinsurance

No significant change.

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change.

Note 25 - Change in Incurred Losses and Loss Adjustment Expenses

NOTE: This disclosure is required in every filing for the Quarterly Statement.

Note 26 - Intercompany Pooling Arrangements

No significant change.

Note 27 - Structured Settlements

No significant change.

Note 28 - Health Care Receivables

No significant change.

Note 29 - Participating Policies

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 30 - Premium Deficiency Reserves

No significant change.

Note 31 - Reserves for Life Contracts and Annuity Contracts

No significant change.

Note 32 - Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant change.

Note 33 - Premiums and Annuity Considerations Deferred and Uncollected

No significant change.

Note 34 - Separate Accounts

No significant change.

Note 35 - Loss/Claim Adjustment Expenses

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES - GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [X]

2.2 If yes, date of change: ....

3.1 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [X] No [ ]

3.2 If the response to 3.1 is yes, provide a brief description of those changes.
Mr. Stuart Collins, Executive Director, resigned 4-1-2013

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [ ] N/A [X]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ....

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ....

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ....

6.4 By what department or departments?

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

PART 1 - FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ....

PART 1 - INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $..................................0

13. Amount of real estate and mortgages held in short-term investments: $..................................0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]

14.2 If yes, please complete the following:

		1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21	Bonds	$ 0	$ 0
14.22	Preferred Stock	$ 0	$ 0
14.23	Common Stock	$ 0	$ 0
14.24	Short-Term Investments	$ 0	$ 0
14.25	Mortgage Loans on Real Estate	$ 0	$ 0
14.26	All Other	$ 0	$ 0
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$ 0	$ 0
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above	$ 0	$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]
If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as current statement date:
16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $..................................0
16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: $..................................0
16.3 Total payable for securities lending reporting on the liability page: $..................................0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank	1350 Euclid Ave Cleveland Ohio 44115

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
801-115421	Wasmer - Schroeder	1111 Superior Ave. Cleveland Ohio 44115

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No [ ]

18.2 If no, list exceptions:

GENERAL INTERROGATORIES (continued)

PART 2 - FRATERNAL

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:

		Reponses
1.1	Long-term mortgages in good standing:	
1.11	Farm mortgages	
1.12	Residential mortgages	
1.13	Commercial mortgages	
1.14	Total mortgages in good standing	$0
1.2	Long-term mortgages in good standing with restructured terms:	
1.21	Total mortgages in good standing with restructured terms	
1.3	Long-term mortgage loans upon which interest is overdue more than three months:	
1.31	Farm mortgages	
1.32	Residential mortgages	
1.33	Commercial mortgages	
1.34	Total mortgages with interest overdue more than three months	$0
1.4	Long-term mortgage loans in process of foreclosure:	
1.41	Farm mortgages	
1.42	Residential mortgages	
1.43	Commercial mortgages	
1.44	Total mortgages in process of foreclosure	$0
1.5	Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	$0
1.6	Long-term mortgages foreclosed, properties transferred to real estate in current quarter:	
1.61	Farm mortgages	
1.62	Residential mortgages	
1.63	Commercial mortgages	
1.64	Total mortgages foreclosed and transferred to real estate	$0

2.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [ ] No [X]

2.2 If no, explain

3. Operating Percentages:

3.1	A&H loss percent	46.7
3.2	A&H cost containment percent	
3.3	A&H expense percent excluding cost containment expenses	91.3

4.1 Do you act as a custodian for health savings accounts? Yes [ ] No [X]

4.2 If yes, please provide the amount of custodial funds held as of the reporting date $

4.3 Do you act as an administrator for health savings accounts? Yes [ ] No [X]

4.4 If yes, please provide the balance of the funds administered as of the reporting date $

5.1 Does the reporting entiy have outstanding assessments in the form of liens against policy benefits that have increased surplus? Yes [ ] No [X]

5.2 If yes, what is the date(s) of the original lien and the total outstanding balance of liens that remain in surplus?

Date	Outstanding Lien Amounts

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Is Insurer Authorized? (YES or NO)

NONE

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

	1	Direct Business Only					
		Life Contracts		4	5	6	7
		2	3				
State, Etc.	Active Status	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 through 5	Deposit-Type Contracts
1. Alabama AL	N	24,269	50,016	9,099		83,384	
2. Alaska AK	N	13	1,248	5		1,266	
3. Arizona AZ	N	20,019	5,049	18,406		43,474	
4. Arkansas AR	N	41,400	5,363	11,880		58,643	6,979
5. California CA	L	180,740	95,977	83,635		360,352	
6. Colorado CO	L	27,087	62,509	20,212		109,808	
7. Connecticut CT	N	1,809	3,363	1,330		6,502	
8. Delaware DE	N	2,115		1,062		3,177	
9. District of Columbia DC	L	2,847		2,349		5,196	
10. Florida FL	N	24,126	13,412	11,721		49,259	
11. Georgia GA	N	67,865	29,571	39,729		137,165	
12. Hawaii HI	N					0	
13. Idaho ID	N	9,945	13,292	18,994		42,231	
14. Illinois IL	N	137,985	22,566	96,315		256,866	
15. Indiana IN	N	60,965	14,710	38,375		114,050	
16. Iowa IA	N	26,724		14,174		40,898	
17. Kansas KS	N	53,174	17,544	27,680		98,398	
18. Kentucky KY	N	51,349	6,095	14,522		71,966	
19. Louisiana LA	N	30,891	21,400	22,576		74,867	
20. Maine ME	N	1,202		250		1,452	
21. Maryland MD	N	18,973	3,219	5,326		27,518	
22. Massachusetts MA	N	3,814		2,866		6,680	
23. Michigan MI	N	17,372	82,399	6,660		106,431	
24. Minnesota MN	N	21,711	40,803	5,894		68,408	
25. Mississippi MS	N	7,114	9,762	5,480		22,356	
26. Missouri MO	N	66,618	20,314	38,023		124,955	
27. Montana MT	N	7,874	697	6,400		14,971	
28. Nebraska NE	N	52,076	11,568	42,129		105,773	
29. Nevada NV	N	3,682	832	1,331		5,845	
30. New Hampshire NH	N			46		46	
31. New Jersey NJ	N	38,469	22,122	81,291		141,882	
32. New Mexico NM	L	7,117	135	5,279		12,531	
33. New York NY	N	48,537	56,069	26,616		131,222	13,006
34. North Carolina NC	N	29,431	10,892	12,723		53,046	
35. North Dakota ND	N	24,671	3,310	12,728		40,709	
36. Ohio OH	L	74,147	169,496	32,588		276,231	3,251
37. Oklahoma OK	N	15,132	252	4,204		19,588	
38. Oregon OR	N	5,090	15,625	4,236		24,951	
39. Pennsylvania PA	L	42,137	30,556	48,827		121,520	
40. Rhode Island RI	N					0	
41. South Carolina SC	N	20,929	1,277	13,598		35,804	
42. South Dakota SD	N	2,847	541	2,658		6,046	
43. Tennessee TN	N	30,180	13,075	15,671		58,926	
44. Texas TX	N	67,483	42,099	39,670		149,252	6,048
45. Utah UT	N	10,557		4,858		15,415	
46. Vermont VT	N	141		49		190	
47. Virginia VA	N	32,785	44,073	12,348		89,206	14,308
48. Washington WA	N	9,499	135,942	6,573		152,014	
49. West Virginia WV	L	23,018	29,844	13,404		66,266	16,873
50. Wisconsin WI	N	22,779	6,318	9,100		38,197	
51. Wyoming WY	N	34,045	2,541	26,015		62,601	
52. American Samoa AS	N					0	
53. Guam GU	N					0	
54. Puerto Rico PR	N					0	
55. US Virgin Islands VI	N					0	
56. Northern Mariana Islands MP	N					0	
57. Canada CAN	N					0	
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Subtotals	(a) 7	1,502,753	1,115,876	918,905	0	3,537,534	60,465
90. Reporting entity contributions for employee benefit plans	XXX					0	
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX					0	
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX					0	
93. Premium or annuity considerations waived under disability or other contract provisions	XXX					0	
94. Aggregate other amounts not allocable by state	XXX	0	0	0	0	0	0
95. Totals (Direct Business)	XXX	1,502,753	1,115,876	918,905	0	3,537,534	60,465
96. Plus reinsurance assumed	XXX					0	
97. Totals (All Business)	XXX	1,502,753	1,115,876	918,905	0	3,537,534	60,465
98. Less reinsurance ceded	XXX	98,141		75,591		173,732	
99. Totals (All Business) less reinsurance ceded	XXX	1,404,612	1,115,876	843,314	0	3,363,802	60,465

DETAILS OF WRITE-INS

58001.	XXX					0	
58002.	XXX					0	
58003.	XXX					0	
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
58999. Totals (Lines 58001 thru 58003 plus 58998) (Line 58 above)	XXX	0	0	0	0	0	0
9401.	XXX					0	
9402.	XXX					0	
9403.	XXX					0	
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX	0	0	0	0	0	0
9499. Totals (Lines 9401 thru 9403 plus 9498) (Line 94 above)	XXX	0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;
(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

Sch. Y-Part 1
NONE

Schedule Y-Part 1A
NONE

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanations:

1.
2.
3.
4.
5.
6.
7.

Bar Code:

* 5 6 4 1 3 2 0 1 3 4 9 0 0 0 0 0 1 *

* 5 6 4 1 3 2 0 1 3 3 6 5 0 0 0 0 1 *

* 5 6 4 1 3 2 0 1 3 4 4 5 0 0 0 0 1 *

* 5 6 4 1 3 2 0 1 3 4 4 6 0 0 0 0 1 *

* 5 6 4 1 3 2 0 1 3 4 4 7 0 0 0 0 1 *

* 5 6 4 1 3 2 0 1 3 4 4 8 0 0 0 0 1 *

* 5 6 4 1 3 2 0 1 3 4 4 9 0 0 0 0 1 *

Overflow Page for Write-Ins

NONE

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	2,305,930	2,379,745
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation	18,454	73,815
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8)	2,287,476	2,305,930
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)	2,287,476	2,305,930

SCHEDULE B - VERIFICATION

Mortgage Loans

NONE

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	0	0
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

NONE

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	0	0

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	210,521,416	199,998,411
2. Cost of bonds and stocks acquired	15,876,227	61,752,670
3. Accrual of discount	11,439	187,884
4. Unrealized valuation increase (decrease)	1,251,153	2,316,041
5. Total gain (loss) on disposals	1,077,803	2,748,832
6. Deduct consideration for bonds and stocks disposed of	16,342,771	55,735,052
7. Deduct amortization of premium	165,812	747,370
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	212,229,455	210,521,416
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	212,229,455	210,521,416

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a)	168,680,198	14,238,404	14,282,234		168,578,003			168,680,198
2. Class 2 (a)	20,109,706	1,456,200	1,029,748		20,613,906			20,109,706
3. Class 3 (a)	19,383							19,383
4. Class 4 (a)								
5. Class 5 (a)								
6. Class 6 (a)								
7. Total Bonds	188,809,287	15,694,604	15,311,982	0	189,191,909	0	0	188,809,287
PREFERRED STOCK								
8. Class 1	500,000				500,000			
9. Class 2	2,539,940			13	2,539,953			
10. Class 3								
11. Class 4								
12. Class 5								
13. Class 6								
14. Total Preferred Stock	3,039,940	0	0	13	3,039,953	0	0	0
15. Total Bonds and Preferred Stock	191,849,227	15,694,604	15,311,982	13	192,231,862	0	0	188,809,287

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:
NAIC 1 $..........0; NAIC 2 $..........0; NAIC 3 $..........0; NAIC 4 $..........0; NAIC 5 $..........0; NAIC 6 $..........0.

Sch. DA-Part 1
NONE

Sch. DA-Verification
NONE

Sch. DB-Pt A-Verification
NONE

Sch. DB-Pt B-Verification
NONE

Sch. DB-Pt C-Sn 1
NONE

Sch. DB-Pt C-Sn 2
NONE

Sch. DB-Verification
NONE

SCHEDULE E- VERIFICATION

Cash Equivalents

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,225,273	5,205,064
2. Cost of cash equivalents acquired	18,859,196	72,548,745
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	19,044,498	76,528,535
7. Deduct amortization of premium		
8. Total foreign exchange change in book/ adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	1,039,972	1,225,273
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	1,039,972	1,225,273

Sch. A-Pt 2
NONE

Sch. A-Pt 3
NONE

Sch. B-Pt 2
NONE

Sch. B-Pt 3
NONE

Sch. BA-Pt 2
NONE

Sch. BA-Pt 3
NONE

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - U.S. Government									
3128P7 X9 9	FHLMC PC GOLD CASH 20		01/22/2013	JP Morgan		3,095,391	3,000,000	4,375	
31417E SS 1	FNMA PASS-THRU LNG 30 YEAR		02/19/2013	MLPFS Inc.		987,228	996,257	1,245	
31417F ML 9	FNMA PASS-THRU LNG 30 YEAR		03/15/2013	First Tennessee		1,161,486	1,244,728	968	1
0599999.	Total - Bonds - U.S. Government					5,244,105	5,240,984	6,588	XXX
Bonds - U.S. Special Revenue and Special Assessment									
052451 AP 6	AUSTIN TEX RENT CAR SPL FAC RE		02/21/2013	Wells Fargo		300,000	300,000		1
91412G QL 2	UNIVERSITY CALIF REVS FOR PREV		03/14/2013	J.P. Morgan		300,000	300,000		1
3199999.	Total - Bonds - U.S. Special Revenue & Special Assessments					600,000	600,000	0	XXX
Bonds - Industrial and Miscellaneous									
00287Y AC 3	ABBVIE INC.		01/29/2013	BNY Capital Markets Inc.		501,980	500,000	3,061	1
06051G EU 9	BANK AMER CORP		01/24/2013	RBC Capital Mkts.		497,055	500,000	596	1
3137EA DK 2	FEDERAL HOME LN MTG CORP		02/15/2013	Scotia Capital (USA) Inc.		991,310	1,000,000	486	1
36966T HT 2	GENERAL ELECTRIC CAPITAL CORP		03/14/2013	Baird, Robert W & Co.		1,000,000	1,000,000		1
38141G RD 8	GOLDMAN SACHS GROUP INC.		01/24/2013	RBC Capital Mkts.		498,630	500,000	101	1
565849 AK 2	MARATHON OIL CORP		02/14/2013	mitsubishi securities		1,456,200	1,500,000	12,250	2
59022K AC 9	ML MTG TRUST 2006-C2		03/25/2013	Morgan Stanley		1,031,250	1,000,000	3,843	1
713448 CG 1	PEPSICO INC.		02/28/2013	JP Morgan		999,040	1,000,000		1
91324P BZ 4	UNITEDHEALTH GROUP INC.		02/21/2013	Morgan Stanley		986,560	1,000,000	458	1
748149 AG 6	QUEBEC PROV CDA		02/13/2013	National Bank of Canada		995,900	1,000,000		1
78011D AC 8	ROYAL BK CDA		02/27/2013	CIBC World Markets		999,950	1,000,000	5,267	1
3899999.	Total - Bonds - Industrial & Miscellaneous					9,957,875	10,000,000	26,062	XXX
8399997.	Total - Bonds - Part 3					15,801,980	15,840,984	32,650	XXX
8399999.	Total - Bonds					15,801,980	15,840,984	32,650	XXX
Common Stocks - Mutual Funds									
722005 62 6	Pimco All Asset Fund - Instl		03/22/2013	Dividend Reinvestment	2,735.157	34,360	XXX		L
693390 87 4	Pimco Global Bond Fund		03/01/2013	Dividend Reinvestment	368.142	3,645	XXX		L
76628T 64 5	Ridgeworth SEIX High Bond Fund		03/04/2013	Dividend Reinvestment	987.144	10,072	XXX		L
922908 49 6	Vanguard 500 Index Fund - Sign		03/22/2013	Dividend Reinvestment	168.375	19,804	XXX		L
922908 44 7	Vanguard Mid Cap Index - Sign		03/20/2013	Dividend Reinvestment	8.564	306	XXX		L
921908 85 1	VANGUARD SPECIALIZED PORTFOLIO		03/22/2013	Dividend Reinvestment	234.530	6,060	XXX		L
9299999.	Total - Common Stocks - Mutual Funds					74,247	XXX	0	XXX
9799997.	Total - Common Stocks - Part 3					74,247	XXX	0	XXX
9799999.	Total - Common Stocks					74,247	XXX	0	XXX
9899999.	Total - Preferred and Common Stocks					74,247	XXX	0	XXX
9999999.	Total - Bonds, Preferred and Common Stocks					15,876,227	XXX	32,650	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:...............0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Desig-nation or Market Indicator (a)
Bonds - U.S. Government																					
3128P7 X9 9	FHLMC PC GOLD CASH 20		03/15/2013	PRINCIPAL RECEIPT		19,695	19,695	20,321			(626)		(626)		19,695			0	22	01/01/2033	
31292S AQ 3	FHLMC PC GOLD CASH 30		03/15/2013	PRINCIPAL RECEIPT		25,428	25,428	26,528	26,536		(1,108)		(1,108)		25,428			0	87	10/01/2042	1
31292S AU 4	FHLMC PC GOLD CASH 30		03/15/2013	PRINCIPAL RECEIPT		23,625	23,625	24,781	24,794		(1,168)		(1,168)		23,625			0	79	12/01/2042	1
3132HM K2 6	FHLMC PC GOLD PC 30YR		03/15/2013	PRINCIPAL RECEIPT		34,947	34,947	36,553	36,574		(1,627)		(1,627)		34,947			0	117	09/01/2042	1
3138MQ HN 6	FNMA PASS-THRU INT 15 YEAR		01/25/2013	VARIOUS		2,085,210	2,000,000	2,091,562	2,093,957		(1,816)		(1,816)		2,092,141		(6,931)	(6,931)	6,378	12/01/2027	1
31417E SS 1	FNMA PASS-THRU LNG 30 YEAR		03/25/2013	PRINCIPAL RECEIPT		2,304	2,304	2,283			21		21		2,304			0		01/01/2043	
36202D AG 6	G2 2707		03/20/2013	PRINCIPAL RECEIPT		2,701	2,701	2,789			2,701		2,701		2,701			0	20	01/20/2014	1
36202D YY 1	GNMA II Pool 3427		03/20/2013	PRINCIPAL RECEIPT		25,143	25,143	24,640	24,660		483		483		25,143			0	89	08/20/2033	1
36290S 5M 9	GNMA II Pool 616552		03/20/2013	PRINCIPAL RECEIPT		21,339	21,339	22,859	22,763		(1,424)		(1,424)		21,339			0	28	08/20/2034	1
36225A KR 0	GNMA PASS-THRU 780304		03/15/2013	PRINCIPAL RECEIPT		17,031	17,031	19,585	19,007		(1,976)		(1,976)		17,031			0	194	07/15/2021	1
36225B 4C 9	GNMA PASS-THRU 781719		03/15/2013	PRINCIPAL RECEIPT		34,715	34,715	36,505	36,511		(1,796)		(1,796)		34,715			0	222	02/15/2034	1
36202F YA 8	GNMA PASS-THRU M SINGLE FAMILY		03/20/2013	PRINCIPAL RECEIPT		201,549	201,549	224,538	224,843		(23,294)		(23,294)		201,549			0	949	10/20/2041	1
0599999.	Total - Bonds - U.S. Government					2,493,687	2,408,476	2,532,944	2,509,645	0	(31,630)	0	(31,630)	0	2,500,618	0	(6,931)	(6,931)	8,185	XXX	XXX
Bonds - U.S. States, Territories and Possessions																					
680616 UG 2	OLENTANGY LOC SCH DIST OHIO SCH		01/30/2013	Cantor Fitzgerald		344,526	300,000	305,043	303,213		(54)		(54)		303,158		41,368	41,368	2,625	12/01/2018	1FE
1799999.	Total - Bonds - U.S. States, Territories & Possessions					344,526	300,000	305,043	303,213	0	(54)	0	(54)	0	303,158	0	41,368	41,368	2,625	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment																					
3133XH K6 8	FEDERAL HOME LN BKS		03/22/2013	VARIOUS		1,742,577	1,500,000	1,659,615	1,585,293		(2,655)		(2,655)		1,582,639		159,938	159,938	25,098	10/19/2016	1
3128M4 JF 1	FG G02662		03/15/2013	PRINCIPAL RECEIPT		12,563	12,563	13,380	13,287		(723)		(723)		12,563			0	114	07/01/2034	1
31292H NC 4	FGC 01287		03/15/2013	PRINCIPAL RECEIPT		12,946	12,946	13,141	13,113		(167)		(167)		12,946			0	95	01/01/2032	1
3128M7 VT 0	FGG 05726		03/15/2013	PRINCIPAL RECEIPT		44,117	44,117	46,819	46,751		(2,634)		(2,634)		44,117			0	232	08/01/2039	1
3137EA CA 5	FHLMC 3 3/4		03/22/2013	VARIOUS		766,685	670,000	662,101	664,228		195		195		664,423		102,263	102,263	11,822	03/27/2019	1
312935 H8 5	FHLMC PC A8-8355		03/15/2013	PRINCIPAL RECEIPT		60,298	60,298	60,920	60,918		(620)		(620)		60,298			0	280	09/01/2039	1
3128M7 LX 2	FHLMC PC 5442		03/15/2013	PRINCIPAL RECEIPT		18,189	18,189	20,485	20,209		(2,020)		(2,020)		18,189			0	194	07/01/2032	1
3128M8 3G 7	FHLMC PC G06799 GOLD COMB 30		03/15/2013	PRINCIPAL RECEIPT		134,040	134,040	137,223	137,173		(3,134)		(3,134)		134,040			0	515	11/01/2041	1
3138EB PJ 8	FN AK6724		03/25/2013	PRINCIPAL RECEIPT		31,747	31,747	32,436	32,432		(685)		(685)		31,747			0	108	03/01/2042	1
31371K Y7 0	FN 254634		03/25/2013	PRINCIPAL RECEIPT		14,087	14,087	14,154	14,119		(32)		(32)		14,087			0	83	02/01/2023	1
31383S TH 3	FN 511852		03/25/2013	PRINCIPAL RECEIPT		471	471	492	487		(16)		(16)		471			0	3	07/01/2029	1
31402C U6 7	FN 725205		03/25/2013	PRINCIPAL RECEIPT		41,683	41,683	41,709	41,689		(5)		(5)		41,683			0	228	03/01/2034	1
31404V TS 7	FN 780061		03/25/2013	PRINCIPAL RECEIPT		42,027	42,027	42,007	41,992		35		35		42,027			0	310	05/01/2034	1
31407F GC 8	FN 829195		03/25/2013	PRINCIPAL RECEIPT		30,291	30,291	29,231	29,336		955		955		30,291			0	174	07/01/2035	1
31408F GA 1	FN 849893		03/25/2013	PRINCIPAL RECEIPT		1,665	1,665	1,553	1,583		82		82		1,665			0	7	11/01/2023	1
31408G Y2 7	FN 851329		03/25/2013	PRINCIPAL RECEIPT		15,815	15,815	15,620	15,634		182		182		15,815			0	74	02/01/2036	1
31419A KZ 9	FN Pool AE0311		03/25/2013	PRINCIPAL RECEIPT		101,130	101,130	101,225	101,214		(84)		(84)		101,130			0	372	08/01/2040	1

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
31371K 7E 5	FNMA PASS-THRU 254793		03/25/2013	PRINCIPAL RECEIPT		53,226	53,226	53,359	53,353		(127)		(127)		53,226			0	294	07/01/2033	1
31381D 2J 3	FNMA PASS-THRU 458077		03/25/2013	PRINCIPAL RECEIPT		10,941	10,941	11,870	11,803		(863)		(863)		10,941			0	100	08/15/2027	1
31385J DJ 4	FNMA PASS-THRU 545605		03/25/2013	PRINCIPAL RECEIPT		15,220	15,220	16,704	16,699		(1,479)		(1,479)		15,220			0	125	05/01/2032	1
31403D T8 2	FNMA PASS-THRU 745875		03/25/2013	PRINCIPAL RECEIPT		59,806	59,806	64,189	64,206		(4,400)		(4,400)		59,806			0	430	09/01/2036	1
31403U PF 2	FNMA PASS-THRU 758322		03/25/2013	PRINCIPAL RECEIPT		41,230	41,230	43,067	43,079		(1,849)		(1,849)		41,230			0	244	12/01/2033	1
31417J NH 9	FNMA PASS-THRU AC0391		02/25/2013	VARIOUS		1,190,633	1,113,608	1,124,745	1,124,583		(1,206)		(1,206)		1,123,377		67,256	67,256	9,546	07/01/2039	1
31416C FS 0	FNMA PASS-THRU 995777		03/25/2013	PRINCIPAL RECEIPT		39,251	39,251	42,685	42,688		(3,437)		(3,437)		39,251			0	280	04/01/2033	1
3128X6 F5 0	Freddie Mac		02/07/2013	CALLED @ 100.0000000		1,461,000	1,461,000	1,481,089	1,462,066		(1,066)		(1,066)		1,461,000			0	37,256	02/07/2028	1
60415N E7 3	MNSHSG 6.51		01/02/2013	Call		5,000	5,000	5,150	5,058				0		5,058		(58)	(58)	163	01/01/2032	1FE
93730P AG 1	Wa St Biomed Res		02/15/2013	RBC Capital Mkts		1,173,640	1,000,000	1,000,000	1,000,000				0		1,000,000		173,640	173,640	30,371	07/01/2019	1FE
3199999.	Total - Bonds - U.S. Special Revenue & Assessment					7,120,278	6,530,353	6,734,969	6,642,993	0	(25,753)	0	(25,753)	0	6,617,240	0	503,039	503,039	118,518	XXX	XXX
Bonds - Industrial and Miscellaneous																					
010392 EW 4	ALABAMA PWR CO		02/14/2013	Morgan Stanley		775,726	670,000	724,036	701,849		(842)		(842)		701,007		74,719	74,719	19,935	02/01/2017	1FE
05947U W8 7	BACM 2005-4 A3		03/10/2013	PRINCIPAL RECEIPT		108,220	108,220	109,572	109,164		(945)		(945)		108,220			0	469	07/10/2045	1FE
06051G DY 2	BANK OF AMERICA FDG CORP		01/24/2013	national financial serv		539,920	500,000	528,645	517,271		(792)		(792)		516,479		23,441	23,441	7,068	05/15/2014	1FE
071813 BD 0	Baxter International Inc		01/08/2013	Southwest Securities		516,120	500,000	499,705	499,759		2		2		499,761		16,359	16,359	4,445	01/15/2017	1FE
07388Y AB 8	BEAR STEARNS CMBS 2007-PWR16		03/13/2013	PRINCIPAL RECEIPT		15,810	15,810	16,363	16,452		(642)		(642)		15,810			0	88	06/11/2040	1FE
12513X AB 8	CD 2006-CD2 MTG TR		03/15/2013	PRINCIPAL RECEIPT		45,310	45,310	46,033	46,122		(812)		(812)		45,310			0	359	01/18/2046	1FE
12513Y AC 4	CD 2007 - CD4 A2B		03/13/2013	PRINCIPAL RECEIPT		3,675	3,675	3,703	3,675				0		3,675			0	32	12/11/2049	1FE
209111 EP 4	Con Edison		02/14/2013	Morgan Stanley		691,620	600,000	598,296	599,195				0		599,195		92,425	92,425	6,448	12/01/2016	1FE
36828Q KP 0	GE CAP CMBS 2005-C1		03/10/2013	PRINCIPAL RECEIPT		223,938	223,938	229,116	228,483		(4,545)		(4,545)		223,938			0	1,692	06/10/2048	1
36962G 3U 6	General Elec Cap Corp		03/12/2013	Sumridge Partners		1,183,050	1,000,000	1,004,345	1,002,947		(88)		(88)		1,002,859		180,191	180,191	20,469	05/01/2018	1FE
38141G DQ 4	Goldman Sachs Group Inc		01/24/2013	Stifel Nicolaus		516,030	500,000	519,455	508,922		(717)		(717)		508,205		7,825	7,825	7,219	10/15/2013	1FE
20173Q AB 7	GREENWICH CAP CMBS 2007-GG9		02/12/2013	PRINCIPAL RECEIPT		509	509	526	526		(17)		(17)		509			0	2	03/10/2039	1
46632H AB 7	JP MORGAN CHASE 2007-LDP12		03/15/2013	PRINCIPAL RECEIPT		1,289	1,289	1,322	1,330		(41)		(41)		1,289			0	11	02/15/2051	1FE
61754K AC 9	MORGAN STANLEY CAP 2007-IQ14		03/15/2013	PRINCIPAL RECEIPT		39,683	39,683	41,171	41,212		(1,528)		(1,528)		39,683			0	193	04/15/2049	1FE
61755B AC 8	MSC 2007 - HQ12 A2		03/14/2013	PRINCIPAL RECEIPT		36,564	36,564	37,650	37,329		(765)		(765)		36,564			0	173	04/12/2049	1FE
70109H AE 5	Parker-Hannifin Mtn Med Term Note		01/24/2013	Cantor Fitzgerald		624,040	500,000	491,245	496,329		42		42		496,371		127,669	127,669	17,194	07/15/2018	1FE
883556 AX 0	Thermo Fisher		01/30/2013	JP Morgan		537,300	500,000	525,110	522,682		(189)		(189)		522,494		14,807	14,807	9,563	03/01/2021	2FE
883556 AZ 5	Thermo Fisher		01/30/2013	national financial serv		510,145	500,000	508,335	507,307		(53)		(53)		507,254		2,891	2,891	8,450	08/15/2021	2FE
90783W AA 1	Union Pacific RR Co		01/02/2013	Sink PMT @ 100.0000000		9,339	9,339	9,339	9,339				0		9,339			0	274	07/02/2030	1FE
929227 ZC 3	WAMU 2002 - AR18 A		03/25/2013	PRINCIPAL RECEIPT		592	592	589	588		4		4		592			0	2	01/25/2033	1
92978Y AB 6	WBCMT 2007-C32 A2		01/17/2013	PRINCIPAL RECEIPT		5,400	5,400	5,545	5,646		(246)		(246)		5,400			0	26	06/15/2049	1FE
3899999.	Total - Bonds - Industrial & Miscellaneous					6,384,280	5,760,330	5,900,101	5,856,127	0	(12,174)	0	(12,174)	0	5,843,954	0	540,327	540,327	104,112	XXX	XXX

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Desig- nation or Market Indicator (a)
8399997.	Total - Bonds - Part 4					16,342,771	14,999,159	15,473,057	15,311,978	0	(69,611)	0	(69,611)	0	15,264,970	0	1,077,803	1,077,803	233,440	XXX	XXX
8399999.	Total - Bonds					16,342,771	14,999,159	15,473,057	15,311,978	0	(69,611)	0	(69,611)	0	15,264,970	0	1,077,803	1,077,803	233,440	XXX	XXX
9999999.	Total - Bonds, Preferred and Common Stocks					16,342,771	XXX	15,473,057	15,311,978	0	(69,611)	0	(69,611)	0	15,264,970	0	1,077,803	1,077,803	233,440	XXX	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:...............0.

Sch. DB-Pt A-Sn 1
NONE

Sch. DB-Pt B-Sn 1
NONE

Sch. DB-Pt B-Sn 1B-Broker List
NONE

Sch. DB-Pt D-Sn 1
NONE

Sch. DB-Pt D-Sn 2
NONE

Sch. DL-Pt. 1
NONE

Sch. DL-Pt. 2
NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	First Month	Second Month	Third Month	*
Open Depositories								
Fifth Third Bank.......... Cleveland, Ohio..........	....	....	....	....	611,209	576,020	248,194	XXX..
0199999. Total Open Depositories..........	....XXX........	......XXX........	0	0	611,209	576,020	248,194	XXX..
0399999. Total Cash on Deposit..........	....XXX........	......XXX........	0	0	611,209	576,020	248,194	XXX..
0599999. Total Cash..........	....XXX........	......XXX........	0	0	611,209	576,020	248,194	XXX..

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
U.S. Government Bonds - Issuer Obligations							
First Amer Prime Oblig Fund Cl Y		various			1,039,972		
0199999. U.S. Government Bonds - Issuer Obligations					1,039,972	0	0
0599999. Total - U.S. Government Bonds					1,039,972	0	0
Total Bonds							
7799999. Subtotals - Issuer Obligations					1,039,972	0	0
8399999. Subtotals - Bonds					1,039,972	0	0
8699999. Total - Cash Equivalents					1,039,972	0	0