



QUARTERLY STATEMENT
AS OF September 30, 2012
OF THE CONDITION AND AFFAIRS OF THE
DELTA DENTAL PLAN OF OHIO, INC.

NAIC Group Code	0477 (Current Period)	0477 (Prior Period)	NAIC Company Code	54402	Employer's ID Number	31-0685339
Organized under the Laws of	Ohio		State of Domicile or Port of Entry	Ohio		
Country of Domicile	United States of America					
Licensed as business type:	Life, Accident & Health[] Dental Service Corporation[] Other[X]		Property/Casualty[] Vision Service Corporation[] Is HMO Federally Qualified? Yes[] No[] N/A[X]		Hospital, Medical & Dental Service or Indemnity[] Health Maintenance Organization[]	
Incorporated/Organized	03/06/1960		Commenced Business	04/01/1964		
Statutory Home Office	5600 Blazer Pkwy., Suite 150 (Street and Number)		Dublin, OH 43017 (City, or Town, State and Zip Code)			
Main Administrative Office			4100 Okemos Road (Street and Number)			
	Okemos, MI 48864 (City or Town, State and Zip Code)		(517)349-6000 (Area Code) (Telephone Number)			
Mail Address	P.O. Box 30416 (Street and Number or P.O. Box)		Lansing, MI 48909-7916 (City, or Town, State and Zip Code)			
Primary Location of Books and Records			4100 Okemos Road (Street and Number)			
	Okemos, MI 48864 (City, or Town, State and Zip Code)		(517)349-6000 (Area Code) (Telephone Number)			
Internet Web Site Address	http://ddpoh.com/					
Statutory Statement Contact	Glenn R. Simon, CPA (Name)		(517)347-5405 (Area Code)(Telephone Number)(Extension)			
	gsimon@deltadentalmi.com (E-Mail Address)		(517)381-5572 (Fax Number)			

OFFICERS

Name	Title	#
Laura Linda Czelada, CPA	President & CEO	#
Ann Marie Flermoen, DDS	Secretary	
James Price Hallan	Chairperson	
Michael Thomas Schaeffer, DDS	Vice Chairperson	
Kelly Jubb Scheiderer, RHIA, MHA	Immediate Past Chairperson	
Douglas Robert Anderson, DDS, MS, JD	Treasurer	#

OTHERS

Goran Mike Jurkovic, CPA, Vice President & CFO
Jonathan Stong Groat, Vice President & General Counsel

DIRECTORS OR TRUSTEES

Douglas Robert Anderson, DDS, MS, JD
Frank Buzaki, Jr.
Patrick Thomas Cahill, JD
Ann Marie Flermoen, DDS
James Price Hallan
Michael Thomas Schaeffer, DDS
Kelly Jubb Scheiderer, RHIA, MHA
Bruce Randall Smith
James Robert Stahl, DDS
Timothy Eldon Moffit, DBA #

State of Michigan
County of Eaton ss

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of the said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature) Laura Linda Czelada., CPA (Printed Name) 1. President & CEO (Title)	(Signature) Ann Marie Flermoen, DDS (Printed Name) 2. Secretary (Title)	(Signature) Douglas Robert Anderson, DDS, MS, JD (Printed Name) 3. Treasurer (Title)
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Subscribed and sworn to before me this day of November, 2012	a. Is this an original filing? b. If no, 1. State the amendment number 2. Date filed 3. Number of pages attached	Yes[X] No[]
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(Notary Public Signature)

ASSETS

		Current Statement Date			4
		1	2	3	December 31 Prior Year Net Admitted Assets
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	
1.	Bonds	16,319,882		16,319,882	14,909,219
2.	Stocks:				
2.1	Preferred stocks				
2.2	Common stocks	58,284,664		58,284,664	52,141,600
3.	Mortgage loans on real estate:				
3.1	First liens				
3.2	Other than first liens				
4.	Real estate:				
4.1	Properties occupied by the company (less \$.....0 encumbrances)				
4.2	Properties held for the production of income (less \$.....0 encumbrances)				
4.3	Properties held for sale (less \$.....0 encumbrances)				
5.	Cash (\$.....(7,802,909)), cash equivalents (\$.....0) and short-term investments (\$.....14,650,161)	6,847,252		6,847,252	3,428,318
6.	Contract loans (including \$.....0 premium notes)				
7.	Derivatives				
8.	Other invested assets	3,750,000	2,353,710	1,396,290	1,444,801
9.	Receivables for securities				4,258
10.	Securities lending reinvested collateral assets				
11.	Aggregate write-ins for invested assets				
12.	Subtotals, cash and invested assets (Lines 1 to 11)	85,201,798	2,353,710	82,848,088	71,928,196
13.	Title plants less \$.....0 charged off (for Title insurers only)				
14.	Investment income due and accrued	65,743		65,743	53,225
15.	Premiums and considerations:				
15.1	Uncollected premiums and agents' balances in the course of collection	2,124,121	13,416	2,110,705	1,693,145
15.2	Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums)				
15.3	Accrued retrospective premiums				
16.	Reinsurance:				
16.1	Amounts recoverable from reinsurers				
16.2	Funds held by or deposited with reinsured companies				
16.3	Other amounts receivable under reinsurance contracts				
17.	Amounts receivable relating to uninsured plans	9,731,640		9,731,640	10,919,228
18.1	Current federal and foreign income tax recoverable and interest thereon				
18.2	Net deferred tax asset				
19.	Guaranty funds receivable or on deposit				
20.	Electronic data processing equipment and software				
21.	Furniture and equipment, including health care delivery assets (\$.....0)				
22.	Net adjustments in assets and liabilities due to foreign exchange rates				
23.	Receivables from parent, subsidiaries and affiliates	1,017,144		1,017,144	2,476,891
24.	Health care (\$.....0) and other amounts receivable	70		70	
25.	Aggregate write-ins for other than invested assets	998	998		
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	98,141,514	2,368,124	95,773,390	87,070,685
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28.	Total (Lines 26 and 27)	98,141,514	2,368,124	95,773,390	87,070,685
DETAILS OF WRITE-INS					
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page				
1199.	TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501.	Prepaid Capitation	998	998		
2502.					
2503.					
2598.	Summary of remaining write-ins for Line 25 from overflow page				
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	998	998		

LIABILITIES, CAPITAL AND SURPLUS

		Current Period			Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
1.	Claims unpaid (less \$.....0 reinsurance ceded)	6,640,100		6,640,100	5,709,540
2.	Accrued medical incentive pool and bonus amounts				
3.	Unpaid claims adjustment expenses	181,917		181,917	189,500
4.	Aggregate health policy reserves, including the liability of \$.....0 for medical loss ratio rebate per the Public Health Service Act	18,730		18,730	20,387
5.	Aggregate life policy reserves				
6.	Property/casualty unearned premium reserve				
7.	Aggregate health claim reserves				
8.	Premiums received in advance	464,211		464,211	1,653,577
9.	General expenses due or accrued	1,424,438		1,424,438	1,713,627
10.1	Current federal and foreign income tax payable and interest thereon (including \$.....0 on realized gains (losses))				
10.2	Net deferred tax liability				
11.	Ceded reinsurance premiums payable				
12.	Amounts withheld or retained for the account of others	1,272,420		1,272,420	1,195,442
13.	Remittances and items not allocated				
14.	Borrowed money (including \$.....0 current) and interest thereon \$.....0 (including \$.....0 current)				
15.	Amounts due to parent, subsidiaries and affiliates	16,964		16,964	40,401
16.	Derivatives				
17.	Payable for securities				
18.	Payable for securities lending				
19.	Funds held under reinsurance treaties with (\$.....0 authorized reinsurers and \$.....0 unauthorized reinsurers)				
20.	Reinsurance in unauthorized companies				
21.	Net adjustments in assets and liabilities due to foreign exchange rates				
22.	Liability for amounts held under uninsured plans	5,830,196		5,830,196	5,828,198
23.	Aggregate write-ins for other liabilities (including \$.....243,915 current)	243,915		243,915	233,625
24.	Total liabilities (Lines 1 to 23)	16,092,891		16,092,891	16,584,297
25.	Aggregate write-ins for special surplus funds	X X X	X X X		
26.	Common capital stock	X X X	X X X		
27.	Preferred capital stock	X X X	X X X		
28.	Gross paid in and contributed surplus	X X X	X X X	9,712,604	9,712,604
29.	Surplus notes	X X X	X X X		
30.	Aggregate write-ins for other than special surplus funds	X X X	X X X		
31.	Unassigned funds (surplus)	X X X	X X X	69,967,895	60,773,784
32.	Less treasury stock, at cost:				
32.1	0 shares common (value included in Line 26 \$.....0)	X X X	X X X		
32.2	0 shares preferred (value included in Line 27 \$.....0)	X X X	X X X		
33.	Total capital and surplus (Lines 25 to 31 minus Line 32)	X X X	X X X	79,680,499	70,486,388
34.	Total Liabilities, capital and surplus (Lines 24 and 33)	X X X	X X X	95,773,390	87,070,685
DETAILS OF WRITE-INS					
2301.	Uninsured Admin Reserve	243,915		243,915	233,625
2302.					
2303.					
2398.	Summary of remaining write-ins for Line 23 from overflow page				
2399.	TOTALS (Lines 2301 through 2303 plus 2398) (Line 23 above)	243,915		243,915	233,625
2501.		X X X	X X X		
2502.		X X X	X X X		
2503.		X X X	X X X		
2598.	Summary of remaining write-ins for Line 25 from overflow page	X X X	X X X		
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	X X X	X X X		
3001.		X X X	X X X		
3002.		X X X	X X X		
3003.		X X X	X X X		
3098.	Summary of remaining write-ins for Line 30 from overflow page	X X X	X X X		
3099.	TOTALS (Lines 3001 through 3003 plus 3098) (Line 30 above)	X X X	X X X		

STATEMENT OF REVENUE AND EXPENSES

		Current Year To Date		Prior Year To Date	Prior Year Ended December 31
		1 Uncovered	2 Total	3 Total	4 Total
1.	Member Months	X X X	4,880,768	4,576,266	6,122,308
2.	Net premium income (including \$.....0 non-health premium income)	X X X	119,311,677	109,002,881	145,619,801
3.	Change in unearned premium reserves and reserves for rate credits	X X X			
4.	Fee-for-service (net of \$.....0 medical expenses)	X X X			
5.	Risk revenue	X X X			
6.	Aggregate write-ins for other health care related revenues	X X X			
7.	Aggregate write-ins for other non-health revenues	X X X			
8.	Total revenues (Lines 2 to 7)	X X X	119,311,677	109,002,881	145,619,801
Hospital and Medical:					
9.	Hospital/medical benefits				
10.	Other professional services		104,204,111	92,660,451	124,087,725
11.	Outside referrals				
12.	Emergency room and out-of-area				
13.	Prescription drugs				
14.	Aggregate write-ins for other hospital and medical				
15.	Incentive pool, withhold adjustments and bonus amounts				
16.	Subtotal (Lines 9 to 15)		104,204,111	92,660,451	124,087,725
Less:					
17.	Net reinsurance recoveries				
18.	Total hospital and medical (Lines 16 minus 17)		104,204,111	92,660,451	124,087,725
19.	Non-health claims (net)				
20.	Claims adjustment expenses, including \$.....548,180 cost containment expenses		3,703,918	5,555,076	6,788,023
21.	General administrative expenses		8,043,165	6,073,643	9,784,248
22.	Increase in reserves for life and accident and health contracts (including \$.....0 increase in reserves for life only)				
23.	Total underwriting deductions (Lines 18 through 22)		115,951,194	104,289,170	140,659,996
24.	Net underwriting gain or (loss) (Lines 8 minus 23)	X X X	3,360,483	4,713,711	4,959,805
25.	Net investment income earned		1,412,675	1,333,201	2,049,564
26.	Net realized capital gains (losses) less capital gains tax of \$.....0		271,309	460,419	694,294
27.	Net investment gains or (losses) (Lines 25 plus 26)		1,683,984	1,793,620	2,743,858
28.	Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....0) (amount charged off \$.....0)]		923	2,848	4,998
29.	Aggregate write-ins for other income or expenses			160	(4,998,339)
30.	Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	X X X	5,045,390	6,510,339	2,710,322
31.	Federal and foreign income taxes incurred	X X X			
32.	Net income (loss) (Lines 30 minus 31)	X X X	5,045,390	6,510,339	2,710,322
DETAILS OF WRITE-INS					
0601.		X X X			
0602.		X X X			
0603.		X X X			
0698.	Summary of remaining write-ins for Line 6 from overflow page	X X X			
0699.	TOTALS (Lines 0601 through 0603 plus 0698) (Line 6 above)	X X X			
0701.		X X X			
0702.		X X X			
0703.		X X X			
0798.	Summary of remaining write-ins for Line 7 from overflow page	X X X			
0799.	TOTALS (Lines 0701 through 0703 plus 0798) (Line 7 above)	X X X			
1401.					
1402.					
1403.					
1498.	Summary of remaining write-ins for Line 14 from overflow page				
1499.	TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)				
2901.	Miscellaneous Income (Expense)			160	1,661
2902.	Contribution to Delta Dental Fund				(5,000,000)
2903.					
2998.	Summary of remaining write-ins for Line 29 from overflow page				
2999.	TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above)			160	(4,998,339)

STATEMENT OF REVENUE AND EXPENSES (Continued)

		1	2	3
		Current Year To Date	Prior Year To Date	Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT				
33.	Capital and surplus prior reporting year	70,486,388	67,579,276	67,579,276
34.	Net income or (loss) from Line 32	5,045,390	6,510,339	2,710,322
35.	Change in valuation basis of aggregate policy and claim reserves			
36.	Change in net unrealized capital gains (losses) less capital gains tax of \$.....0	4,201,126	(3,680,030)	(1,792,681)
37.	Change in net unrealized foreign exchange capital gain or (loss)			
38.	Change in net deferred income tax			
39.	Change in nonadmitted assets	(52,405)	1,645,421	1,989,471
40.	Change in unauthorized reinsurance			
41.	Change in treasury stock			
42.	Change in surplus notes			
43.	Cumulative effect of changes in accounting principles			
44.	Capital Changes:			
44.1	Paid in			
44.2	Transferred from surplus (Stock Dividend)			
44.3	Transferred to surplus			
45.	Surplus adjustments:			
45.1	Paid in			
45.2	Transferred to capital (Stock Dividend)			
45.3	Transferred from capital			
46.	Dividends to stockholders			
47.	Aggregate write-ins for gains or (losses) in surplus			
48.	Net change in capital and surplus (Lines 34 to 47)	9,194,111	4,475,730	2,907,112
49.	Capital and surplus end of reporting period (Line 33 plus 48)	79,680,499	72,055,006	70,486,388
DETAILS OF WRITE-INS				
4701.				
4702.				
4703.				
4798.	Summary of remaining write-ins for Line 47 from overflow page			
4799.	TOTALS (Lines 4701 through 4703 plus 4798) (Line 47 above)			

CASH FLOW

		1	2	3
		Current	Prior	Prior
		Year	Year	Year Ended
		To Date	To Date	December 31
Cash from Operations				
1.	Premiums collected net of reinsurance	117,694,003	107,711,206	145,223,415
2.	Net investment income	1,428,073	1,375,529	2,099,744
3.	Miscellaneous income		160	1,660
4.	Total (Lines 1 to 3)	119,122,076	109,086,895	147,324,819
5.	Benefit and loss related payments	103,273,551	94,196,049	124,347,983
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	9,411,957	11,570,891	24,500,535
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses)			
10.	Total (Lines 5 through 9)	112,685,508	105,766,939	148,848,518
11.	Net cash from operations (Line 4 minus Line 10)	6,436,568	3,319,956	(1,523,699)
Cash from Investments				
12.	Proceeds from investments sold, matured or repaid:			
12.1	Bonds	1,772,810	2,116,832	2,508,592
12.2	Stocks	8,207,499	11,869,366	15,888,629
12.3	Mortgage loans			
12.4	Real estate			
12.5	Other invested assets			
12.6	Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7	Miscellaneous proceeds			
12.8	Total investment proceeds (Lines 12.1 to 12.7)	9,980,309	13,986,198	18,397,221
13.	Cost of investments acquired (long-term only):			
13.1	Bonds	3,183,082	3,291,168	3,757,509
13.2	Stocks	9,906,439	23,078,994	27,294,112
13.3	Mortgage loans			
13.4	Real estate			
13.5	Other invested assets			
13.6	Miscellaneous applications			
13.7	Total investments acquired (Lines 13.1 to 13.6)	13,089,521	26,370,162	31,051,621
14.	Net increase (or decrease) in contract loans and premium notes			
15.	Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(3,109,212)	(12,383,964)	(12,654,400)
Cash from Financing and Miscellaneous Sources				
16.	Cash provided (applied):			
16.1	Surplus notes, capital notes			
16.2	Capital and paid in surplus, less treasury stock			
16.3	Borrowed funds			
16.4	Net deposits on deposit-type contracts and other insurance liabilities			
16.5	Dividends to stockholders			
16.6	Other cash provided (applied)	91,578	(1,938,741)	(1,842,550)
17.	Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	91,578	(1,938,741)	(1,842,550)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS				
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	3,418,934	(11,002,749)	(16,020,649)
19.	Cash, cash equivalents and short-term investments:			
19.1	Beginning of year	3,428,318	19,448,967	19,448,967
19.2	End of period (Line 18 plus Line 19.1)	6,847,252	8,446,218	3,428,318

Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:

20.0001				
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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	514,641					514,641				
2. First Quarter	542,749					542,749				
3. Second Quarter	541,973					541,973				
4. Third Quarter	547,767					547,767				
5. Current Year										
6. Current Year Member Months	4,880,768					4,880,768				
Total Member Ambulatory Encounters for Period:										
7. Physician										
8. Non-Physician										
9. Total										
10. Hospital Patient Days Incurred										
11. Number of Inpatient Admissions										
12. Health Premiums Written (a)	119,311,677					119,311,677				
13. Life Premiums Direct										
14. Property/Casualty Premiums Written										
15. Health Premiums Earned	119,311,677					119,311,677				
16. Property/Casualty Premiums Earned										
17. Amount Paid for Provision of Health Care Services	103,273,551					103,273,551				
18. Amount Incurred for Provision of Health Care Services	104,204,111					104,204,111				

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$.0.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims						
1	2	3	4	5	6	7
Account	1 - 30 Days	31 - 60 Days	61 - 90 Days	91 - 120 days	Over 120 Days	Total
0199999 Individually Listed Claims Unpaid						
0299999 Aggregate Accounts Not Individually Listed - Uncovered						
0399999 Aggregate Accounts Not Individually Listed - Covered						
0499999 Subtotals						
0599999 Unreported claims and other claim reserves						6,640,100
0699999 Total Amounts Withheld						
0799999 Total Claims Unpaid						6,640,100
0899999 Accrued Medical Incentive Pool And Bonus Amounts						

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

Line of Business		Claims Paid Year to Date		Liability End of Current Quarter		5	6
		1	2	3	4	Claims Incurred in Prior Years (Columns 1+3)	Estimated Claim Reserve and Claim Liability Dec 31 of Prior Year
		On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec 31 of Prior Year	On Claims Incurred During the Year		
1.	Comprehensive (hospital & medical)						
2.	Medicare Supplement						
3.	Dental only	5,831,764	97,441,787	168,000	6,472,100	5,999,764	5,709,540
4.	Vision only						
5.	Federal Employees Health Benefits Plan						
6.	Title XVIII - Medicare						
7.	Title XIX - Medicaid						
8.	Other health						
9.	Health subtotal (Lines 1 to 8)	5,831,764	97,441,787	168,000	6,472,100	5,999,764	5,709,540
10.	Healthcare receivables (a)						
11.	Other non-health						
12.	Medical incentive pools and bonus amounts						
13.	Totals (Lines 9 - 10 + 11 + 12)	5,831,764	97,441,787	168,000	6,472,100	5,999,764	5,709,540

(a) Excludes \$.00 loans or advances to providers not yet expensed.

Notes to Financial Statement

1. Summary of Significant Accounting Policies

The financial statements of Delta Dental Plan of Ohio (Company) are presented on the basis of accounting practices prescribed or permitted by the State of Ohio Insurance Department in accordance with the National Association of Insurance Commissioners (NAIC) *Accounting Practices and Procedures Manual*.

The State of Ohio Insurance Department recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The NAIC *Accounting Practices and Procedures* manual has been adopted as a component of prescribed or permitted practices by the State of Ohio. The state has not adopted any accounting practices that differ from those found in NAIC SAP with regards to completion the Company’s financial statement.

2. Accounting Changes and Corrections of Errors

- A. 1. None.
- 2. None.
- 3. None.
- 4. None.

3. Business Combinations and Goodwill

No change.

4. Discontinued Operations

No change.

5. Investments

No change.

6. Joint Ventures, Partnerships and Limited Liability Companies

No change.

7. Investment Income

No change.

8. Derivative Instruments

No change.

9. Income Taxes

No change.

10. Information Concerning Parent, Subsidiaries and Affiliates

No change.

11. Debt

No change.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No change.

13. Capital and Surplus, Shareholders’ Dividend Restrictions and Quasi-Reorganizations.

No change.

14. Contingencies

No change.

15. Leases

No change.

Notes to Financial Statement

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No change.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. The Company had no transfers of receivables reported as sales.
- B. The Company had no transfer or servicing of Financial Assets.
- C. The Company was not involved in any wash sales during the periods presented in these statements.

18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

No material change.

19. Direct Premiums Written/Produced by Managing General Agents/Third Party Administrators

No change.

20. Fair Value Measurements

A. 1. Assets Measured at Fair Value on a Recurring Basis

(1) Description	(2) (Level 1)	(3) (Level 2)	(4) (Level 3)	(5) Total
a. Assets at fair value				
Preferred stock	\$0	\$0	\$0	\$0
Common stock	\$55,991,740	\$0	\$0	\$55,991,740
Separate account assets				
Total assets at fair value	\$55,991,740	\$0	\$0	\$55,991,740
b. Liabilities at fair value				
Derivative liabilities	\$0	\$0	\$0	\$0
Total liabilities at fair value	\$0	\$0	\$0	\$0

- 2. No change.
- 3. No change.

- B. 1. No change.
- 2. None
- 3. None

C. None

D. None

21. Other Items

No change.

22. Events Subsequent

The Company has no events subsequent to September 30, 2012, that may have a material effect on these financial statements.

23. Reinsurance

No change.

24. Retrospectively Rated Contracts & Contracts Subject to Re-determination

No material change.

25. Change in Incurred Claims and Claim Adjustment Expenses

Reserves as of December 31, 2011 were \$5,899,040. As of September 30, 2012, \$6,021,264 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Remaining reserves for prior years are now \$168,000 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$122,224 unfavorable prior-year gains development since December 31, 2011 to September 30, 2012. This increase is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. The business to which this relates does not include retrospectively rated policies, therefore there was no return premium accrued as a result of the prior year effects.

Notes to Financial Statement

26. Intercompany Pooling Arrangements

No change.

27. Structured Settlement

No change.

28. Health Care Receivables

No change.

29. Participating Policies

No change.

30. Premium Deficiency Reserves

No change.

31. Anticipated Salvage and Subrogation

No change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes[] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state?

Yes[] No[] N/A[X]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes[X] No[]
- 2.2 If yes, date of change:

08/03/2012
3. Have there been any substantial changes in the organizational chart since the prior quarter end?
If yes, complete the Schedule Y - Part 1 - organizational chart.

Yes[X] No[]
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes[] No[X]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes[] No[X] N/A[]
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2010
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2010
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

05/21/2012
- 6.4 By what department or departments?
State of Ohio Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes[] No[] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with?

Yes[X] No[] N/A[]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes[] No[X]
- 7.2 If yes, give full information
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes[] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?

Yes[] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
		Yes[] No[X]	Yes[] No[X]	Yes[] No[X]	Yes[] No[X]

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes[X] No[]
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended?

Yes[] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers?

Yes[] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes[X] No[]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$ 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes[] No[X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$ 0
13. Amount of real estate and mortgages held in short-term investments:

\$ 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes[X] No[]
- 14.2 If yes, please complete the following:

GENERAL INTERROGATORIES (Continued)

		1	2
		Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21	Bonds		
14.22	Preferred Stock		
14.23	Common Stock	1,980,752	2,292,924
14.24	Short-Term Investments		
14.25	Mortgages Loans on Real Estate		
14.26	All Other	3,750,000	3,750,000
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	5,730,752	6,042,924
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above		

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes[] No[X]
Yes[] No[] N/A[X]

16. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

Yes[X] No[]

1	2
Name of Custodian(s)	Custodian Address
Bank of America/Merrill Lynch	135. LaSalle St ., Chicago, IL 60603
Fifth Third Bank	P.O. Box 630900, Cincinnati, OH 45263-0900

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1	2	3
Name(s)	Location(s)	Complete Explanation(s)
.....		

16.3 Have there been any changes, including name changes, in the custodian(s) identified in 16.1 during the current quarter?

16.4 If yes, give full and complete information relating thereto:

Yes[] No[X]

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason
.....			

16.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1	2	3
Central Registration Depository	Name(s)	Address
108633	AEW REIT	Two Seaport Lane, Boston, MA 02210-2021
104859	Eaton Vance	P.O. Box 9653, Providence, RI 02940-9653
105360	Invesco Advisers, Inc.	1555 Peachtree Street, NE, Atlanta, GA 30309
107038	JPM International	270 Park Avenue, New York, NY 10017
74069	McDonnell Investment Management, LLC	1515 W 22nd St., 11th Fl., Oak Brook, IL 60523
2908	Neuberger Berman, LLC, Managed Account Group	605 Third Ave., New York, NY 10158-3698
121876	NWQ Investment Management	2049 Century Park East 4th Floor, Los Angeles, CA 90067

17.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed?

17.2 If no, list exceptions:

Yes[X] No[]

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:	
1.1 A&H loss percent	88.000%
1.2 A&H cost containment percent	0.000%
1.3 A&H expense percent excluding cost containment expenses	7.000%
2.1 Do you act as a custodian for health savings accounts?	Yes[] No[X]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.	\$..... 0
2.3 Do you act as an administrator for health savings accounts?	Yes[] No[X]
2.4 If yes, please provide the balance of the funds administered as of the reporting date.	\$..... 0

SCHEDULE S - CEDED REINSURANCE
Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Is Insurer Authorized? (Yes or No)
			NONE			

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS
Current Year to Date - Allocated by States and Territories

		1	Direct Business Only							
			2	3	4	5	6	7	8	9
State, Etc.		Active Status	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums and Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1.	Alabama (AL)	N								
2.	Alaska (AK)	N								
3.	Arizona (AZ)	N								
4.	Arkansas (AR)	N								
5.	California (CA)	N								
6.	Colorado (CO)	N								
7.	Connecticut (CT)	N								
8.	Delaware (DE)	N								
9.	District of Columbia (DC)	N								
10.	Florida (FL)	N								
11.	Georgia (GA)	N								
12.	Hawaii (HI)	N								
13.	Idaho (ID)	N								
14.	Illinois (IL)	N								
15.	Indiana (IN)	N								
16.	Iowa (IA)	N								
17.	Kansas (KS)	N								
18.	Kentucky (KY)	N								
19.	Louisiana (LA)	N								
20.	Maine (ME)	N								
21.	Maryland (MD)	N								
22.	Massachusetts (MA)	N								
23.	Michigan (MI)	N								
24.	Minnesota (MN)	N								
25.	Mississippi (MS)	N								
26.	Missouri (MO)	N								
27.	Montana (MT)	N								
28.	Nebraska (NE)	N								
29.	Nevada (NV)	N								
30.	New Hampshire (NH)	N								
31.	New Jersey (NJ)	N								
32.	New Mexico (NM)	N								
33.	New York (NY)	N								
34.	North Carolina (NC)	N								
35.	North Dakota (ND)	N								
36.	Ohio (OH)	L	119,311,677						119,311,677	
37.	Oklahoma (OK)	N								
38.	Oregon (OR)	N								
39.	Pennsylvania (PA)	N								
40.	Rhode Island (RI)	N								
41.	South Carolina (SC)	N								
42.	South Dakota (SD)	N								
43.	Tennessee (TN)	N								
44.	Texas (TX)	N								
45.	Utah (UT)	N								
46.	Vermont (VT)	N								
47.	Virginia (VA)	N								
48.	Washington (WA)	N								
49.	West Virginia (WV)	N								
50.	Wisconsin (WI)	N								
51.	Wyoming (WY)	N								
52.	American Samoa (AS)	N								
53.	Guam (GU)	N								
54.	Puerto Rico (PR)	N								
55.	U.S. Virgin Islands (VI)	N								
56.	Northern Mariana Islands (MP)	N								
57.	Canada (CN)	N								
58.	Aggregate other alien (OT)	X X X								
59.	Subtotal	X X X	119,311,677						119,311,677	
60.	Reporting entity contributions for Employee Benefit Plans	X X X								
61.	Total (Direct Business)	(a)..... 1	119,311,677						119,311,677	
DETAILS OF WRITE-INS										
5801.		X X X								
5802.		X X X								
5803.		X X X								
5898.	Summary of remaining write-ins for Line 58 from overflow page	X X X								
5899.	TOTALS (Lines 5801 through 5803 plus 5898) (Line 58 above)	X X X								

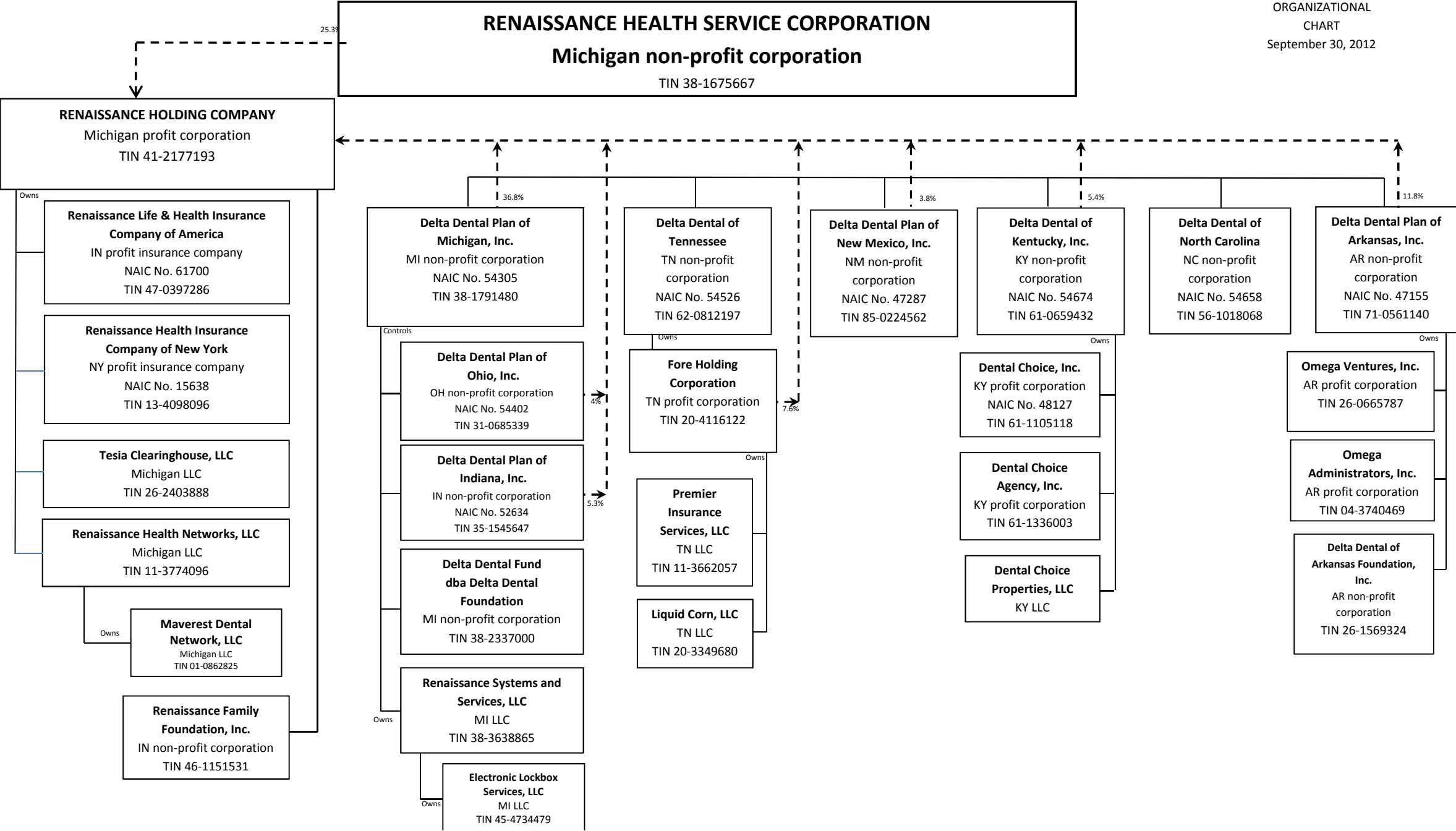
(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART

ORGANIZATIONAL
CHART
September 30, 2012

Q15



SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Comp- any Code	Federal ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Name of Parent Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
916		0	38-1675667				Renaissance Health Service Corporation	MI	UIP					
		0	46-1151531				Renaissance Family Foundation, Inc.	IN	NIA					
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Michigan, Inc.	Ownership	41.7	Renaissance Health Service Corporation	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Ohio, Inc.	Ownership	4.5	Renaissance Health Service Corporation	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Indiana, Inc.	Ownership	6.0	Renaissance Health Service Corporation	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Fore Holding Corporation	Ownership	8.6	Renaissance Health Service Corporation	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental of Kentucky, Inc.	Ownership	6.2	Renaissance Health Service Corporation	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of New Mexico, Inc.	Ownership	4.3	Renaissance Health Service Corporation	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Renaissance Health Service Corporation	Ownership	28.7	Renaissance Health Service Corporation	
	477						Renaissance Life & Health Insurance Company of America	IN	IA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	
	477						Renaissance Health Insurance Company of New York	NY	IA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	
							Tesia Clearinghouse, LLC	MI	NIA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	
		0	11-3774096				Renaissance Health Networks, LLC	MI	NIA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporation	
		0	01-0862825				Maverest Dental Network, LLC	MI	NIA	Renaissance Health Networks, LLC	Ownership	100.0	Renaissance Health Service Corporation	
	477						Delta Dental Plan of Michigan, Inc.	MI	UDP	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	
	477						Delta Dental Plan of Ohio, Inc.	OH		Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	
	477						Delta Dental Plan of Indiana, Inc.	IN	IA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	
							Delta Dental Fund dba Delta Dental Foundation	MI	NIA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporation	
		0	38-3638865				Renaissance Systems and Services, LLC	MI	NIA	Delta Dental Plan of Michigan, Inc.	Ownership	100.0	Renaissance Health Service Corporation	
		0	45-4734479				Electronic Lockbox Services, LLC	MI	NIA	Renaissance Systems and Services, LLC	Ownership	100.0	Renaissance Health Service Corporation	
	477						Delta Dental of Tennessee	TN	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	
		0	62-0812197				Fore Holding Corporation	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	
		0	11-3662057				Premier Insurance Services, LLC	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	
		0	20-3349680				Liquid Corn, LLC	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporation	
	477						Delta Dental Plan of New Mexico, Inc.	NM	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Comp- any Code	Federal ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Name of Parent Subsidiaries or Affiliates	Domic- iliary Loca- tion	Relation- ship to Report- ing Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	*
477	Renaissance Health Service Corporation	54674 0	61-0659432 61-1336003				Delta Dental of Kentucky, Inc.	KY	... IA ...	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	
.....							Dental Choice Agency, Inc.	KY	... NIA ..	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Service Corporation	
.....		48127	61-1105118				Dental Choice Inc.	KY	... NIA ..	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Service Corporation	
.....		0					Dental Choice Properties, LLC ..	KY	... NIA ..	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Service Corporation	
477	Renaissance Health Service Corporation	54658	56-1018068				Delta Dental of North Carolina ..	NC	... IA ...	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	
477	Renaissance Health Service Corporation	47155 0	71-0561140 26-0665787				Delta Dental Plan of Arkansas, Inc.	AR	... IA ...	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporation	
.....							Omega Ventures, Inc.	AR	... NIA ..	Delta Dental Plan of Arkansas, Inc.	Ownership	100.0	Renaissance Health Service Corporation	
.....		0	04-3740469				Omega Administrators, Inc.	AR	... NIA ..	Delta Dental Plan of Arkansas, Inc.	Ownership	100.0	Renaissance Health Service Corporation	
.....		0	26-1569324				Delta Dental of Arkansas Foundation, Inc.	AR	... NIA ..	Delta Dental Plan of Arkansas, Inc.	Board of Directors		Renaissance Health Service Corporation	
.....		0												

Q16.1

Asterisk	Explanation
0000001	Footnote

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

RESPONSE
No

Explanations:

Bar Codes:

Medicare Part D Coverage Supplement



OVERFLOW PAGE FOR WRITE-INS

STATEMENT AS OF **September 30, 2012** OF THE **DELTA DENTAL PLAN OF OHIO, INC.**
SCHEDULE A - VERIFICATION

Real Estate		
	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans		
	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points		
9. Total foreign exchange change in book value/recorded investment		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets		
	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	3,750,000	3,750,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)	3,750,000	3,750,000
12. Deduct total nonadmitted amounts	2,353,710	2,305,199
13. Statement value at end of current period (Line 11 minus Line 12)	1,396,290	1,444,801

SCHEDULE D - VERIFICATION

Bonds and Stocks		
	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	67,050,819	55,523,442
2. Cost of bonds and stocks acquired	13,089,521	31,051,621
3. Accrual of discount	11,101	23,698
4. Unrealized valuation increase (decrease)	4,201,126	(1,792,681)
5. Total gain (loss) on disposals	271,309	694,294
6. Deduct consideration for bonds and stocks disposed of	9,980,309	18,397,221
7. Deduct amortization of premium	39,021	52,334
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	74,604,546	67,050,819
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	74,604,546	67,050,819

SCHEDULE D - PART 1B
Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1	2	3	4	5	6	7	8
	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a)	27,146,691	3,683,785	576,220	(14,654)	27,213,183	27,146,691	30,239,602	29,564,141
2. Class 2 (a)	730,441				685,112	730,441	730,441	736,689
3. Class 3 (a)								
4. Class 4 (a)								
5. Class 5 (a)								
6. Class 6 (a)								
7. Total Bonds	27,877,132	3,683,785	576,220	(14,654)	27,898,295	27,877,132	30,970,043	30,300,830
PREFERRED STOCK								
8. Class 1								
9. Class 2								
10. Class 3								
11. Class 4								
12. Class 5								
13. Class 6								
14. Total Preferred Stock								
15. Total Bonds & Preferred Stock	27,877,132	3,683,785	576,220	(14,654)	27,898,295	27,877,132	30,970,043	30,300,830

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....14,650,161; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

SCHEDULE DA - PART 1

Short - Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999. Totals	14,650,161	X X X	14,650,161	10,578	

SCHEDULE DA - Verification

Short-Term Investments

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	15,391,611	13,939,631
2.	Cost of short-term investments acquired	1,709,604	5,793,949
3.	Accrual of discount		
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	2,451,054	4,341,969
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	14,650,161	15,391,611
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	14,650,161	15,391,611

SI04	Schedule DB - Part A Verification	NONE
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SI04	Schedule DB - Part B Verification	NONE
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SI05	Schedule DB Part C Section 1	NONE
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SI06 Schedule DB Part C Section 2 NONE

SI07	Schedule DB - Verification	NONE
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SI08 Schedule E - Verification (Cash Equivalents) NONE

E01 Schedule A Part 2 NONE

E01 Schedule A Part 3 NONE

E02 Schedule B Part 2 NONE

E02 Schedule B Part 3 NONE

E03 Schedule BA Part 2 NONE

E03 Schedule BA Part 3 NONE

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments									
29270CYM1	ENERGY NORTHWEST WASH ELEC REV		09/18/2012	McDonnell	X X X	86,114	85,000.00	145	1
912828RC6	UNITED STATES TREAS NTS		09/26/2012	McDonnell	X X X	121,571	115,000.00	143	1
912828LZ1	US TREASURY NOTE		09/26/2012	MERRILL LYNCH	X X X	467,843	450,000.00	3,109	1
0599999 Subtotal - Bonds - U.S. Governments					X X X	675,528	650,000.00	3,397	X X X
Bonds - U.S. Special Revenue, Special Assessment									
3137EACM9	FEDERAL HOME LN MTG CORP		09/26/2012	McDonnell	X X X	207,700	200,000.00	165	1
3135G0GY3	FEDERAL NAT'L MTG CORP		09/26/2012	McDonnell	X X X	205,166	200,000.00	396	1
31417CB95	FNMA PAB 5463		07/20/2012	McDonnell	X X X	103,728	99,589.14	199	1
31417B5T0	FNMA PASS-THRU INT 15 YEAR 2.5		07/01/2012	McDonnell	X X X	91,565	88,858.54	100	1
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment					X X X	608,159	588,447.68	860	X X X
Bonds - Industrial and Miscellaneous (Unaffiliated)									
025816AX7	AMERICAN EXPRESS CO NT 6.15%17		09/18/2012	McDonnell	X X X	78,796	65,000.00	1,321	1FE
03523TAN8	ANHEUSER BUSCH INBEV WORLDWIDE		09/19/2012	McDonnell	X X X	37,077	30,000.00	309	2FE
00206RBD3	AT&T INC		09/19/2012	McDonnell	X X X	26,379	25,000.00	81	1FE
073902RU4	BEAR STEARNS COS LLC		09/19/2012	McDonnell	X X X	37,660	30,000.00	320	1FE
149123BV2	CATERPILLAR INC		09/19/2012	McDonnell	X X X	22,584	20,000.00	254	1FE
125509BS7	CIGNA CORP		09/19/2012	McDonnell	X X X	26,883	25,000.00	108	2FE
172967EM9	CITIGROUP INC		09/19/2012	McDonnell	X X X	29,454	25,000.00	523	1FE
12572QAE5	CME GROUP INC		09/19/2012	McDonnell	X X X	59,929	60,000.00	23	1FE
20030NBA8	COMCAST CORP		09/19/2012	McDonnell	X X X	29,699	25,000.00	82	2FE
219350AW5	CORNING INC		09/19/2012	McDonnell	X X X	21,531	20,000.00	24	1FE
25459HBA2	DIRECTV HLDG/FIN INC		09/19/2012	McDonnell	X X X	33,700	30,000.00	96	2FE
26138EAM1	DR PEPPER SNAPPLE GROUP INC SR		09/18/2012	McDonnell	X X X	21,197	20,000.00	106	2FE
278642AG8	EBAY INC		09/18/2012	McDonnell	X X X	55,532	55,000.00	118	1FE
36962G4R2	GENERAL ELEC CAP CORP MTN BE S		09/19/2012	McDonnell	X X X	21,983	20,000.00	19	1FE
458140AJ9	INTEL CORP		09/19/2012	McDonnell	X X X	27,113	25,000.00	396	1FE
46625HHP8	JPMORGAN CHASE & CO		09/19/2012	McDonnell	X X X	47,790	45,000.00	296	1FE
50075NAS3	KRAFT FOODS INC NT 6.5%17		09/19/2012	McDonnell	X X X	30,681	25,000.00	194	2FE
58155QAC7	McKESSON CORP		09/19/2012	McDonnell	X X X	32,313	30,000.00	62	1FE
59018YJ69	MERRILL LYNCH & CO		09/19/2012	McDonnell	X X X	23,267	20,000.00	92	1FE
68389XAE5	ORACLE CORP		09/20/2012	McDonnell	X X X	21,279	15,000.00	433	1FE
71644EAJ1	PETRO-CDA SR NT		07/17/2012	McDonnell	X X X	38,782	30,000.00	368	1FE
89233P6D3	TOYOTA MTR CRD CORP		09/18/2012	McDonnell	X X X	20,532	20,000.00	116	1FE
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	744,161	660,000.00	5,341	X X X
8399997 Subtotal - Bonds - Part 3					X X X	2,027,848	1,898,447.68	9,598	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	2,027,848	1,898,447.68	9,598	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
Common Stock - Industrial and Miscellaneous (Unaffiliated)									
000375204	ABB LTD		09/17/2012	MERRILL LYNCH	151.000	3,001	X X X		L
00687A107	ADIDAS AG SPN ADR		09/17/2012	MERRILL LYNCH	112.000	4,698	X X X		L
015271109	ALEXANDRIA REAL ESTATE EQ INC COM		09/18/2012	MERRILL LYNCH	203.000	15,174	X X X		1

QE04

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

QE04.1

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
018805101	ALLINZ SE SPD ADR		09/18/2012	MERRILL LYNCH	605.000	7,466	X X X		L
02263T104	AMADEUS IT HOLDING		09/17/2012	MERRILL LYNCH	122.000	2,874	X X X		1
02364W105	America Movilsa Sab De Cv		09/17/2012	MERRILL LYNCH	160.000	4,066	X X X		L
024013104	AMERICAN ASSETS TR INC COM		09/18/2012	MERRILL LYNCH	140.000	3,892	X X X		1
024835100	AMERICAN CAMPUS CMNTYS INC COM		09/18/2012	MERRILL LYNCH	239.000	10,995	X X X		1
026874784	AMERICAN INTERNATIONAL		09/11/2012	MERRILL LYNCH	1,590.000	53,817	X X X		1
03524A108	ANHEUSER-BUSCH INBEV		09/06/2012	MERRILL LYNCH	347.000	29,117	X X X		1
03938L104	ARCELORMITTAL SA. LUXEMBOURG		09/18/2012	MERRILL LYNCH	137.000	2,244	X X X		L
053484101	AVALONBAY COMMUNICATION INC		09/18/2012	MERRILL LYNCH	151.000	21,205	X X X		L
054536107	AXA-SPONS ADR		09/18/2012	MERRILL LYNCH	1,527.000	21,642	X X X		1
056752108	BAIDU INC		09/17/2012	MERRILL LYNCH	113.000	12,633	X X X		1
05946K101	Banco Bilbao Vizcaya Argentaria		09/18/2012	MERRILL LYNCH	901.000	6,468	X X X		L
059460303	BANCO BRADESCO		09/17/2012	MERRILL LYNCH	285.000	5,052	X X X		L
06738E204	BARCLAYS PLC ADR		09/18/2012	MERRILL LYNCH	392.000	5,703	X X X		L
055262505	Basf Ag Spons Adr		09/18/2012	MERRILL LYNCH	36.000	3,141	X X X		L
072730302	BAYER AG SP ADR		09/18/2012	MERRILL LYNCH	85.000	7,195	X X X		L
055434203	BG GROUP PLC SP ADR		09/17/2012	MERRILL LYNCH	194.000	4,095	X X X		L
088606108	BHP BILLIRON LTD ADR		09/17/2012	MERRILL LYNCH	47.000	3,364	X X X		L
09063H107	BIOMED REALTY TRUST INC COM		09/18/2012	MERRILL LYNCH	472.000	9,068	X X X		1
05565A202	BNP PARIBAS SPN ADR		09/18/2012	MERRILL LYNCH	516.000	12,063	X X X		L
101121101	BOSTON PPTYS INC		09/18/2012	MERRILL LYNCH	198.000	22,760	X X X		L
105105100	BRAMBLES LTD		09/17/2012	MERRILL LYNCH	575.000	8,171	X X X		L
110122108	BRISTOL-MYERS SQUIBB CO.		09/24/2012	MERRILL LYNCH	1,794.000	59,252	X X X		L
110448107	BRITISH AMN TOBACO SPADR		09/18/2012	MERRILL LYNCH	55.000	5,675	X X X		L
111013108	BRITISH SKY BDCT SPD ADR		09/17/2012	MERRILL LYNCH	84.000	3,960	X X X		1
112900105	BROOKFIELD OFFICE PPTYS INC COM		09/18/2012	MERRILL LYNCH	365.000	6,252	X X X		1
120738406	BUNZL PLC ADR		09/17/2012	MERRILL LYNCH	49.000	4,344	X X X		L
133131102	CAMDEN PPTY TR SH BEN INT		09/18/2012	MERRILL LYNCH	202.000	13,766	X X X		1
136375102	CANADIAN NATL RY CO		09/17/2012	MERRILL LYNCH	31.000	2,902	X X X		L
138006309	CANON INC ADR REP5SH		09/18/2012	MERRILL LYNCH	224.000	7,863	X X X		L
139098107	CAP GEMINI		09/17/2012	MERRILL LYNCH	100.000	2,151	X X X		L
14040H105	CAPITAL ONE FINL CORP		09/05/2012	MERRILL LYNCH	819.000	45,858	X X X		L
151020104	CELEGENE CORP		07/12/2012	MERRILL LYNCH	505.000	32,038	X X X		L
15135U109	CENOVUS ENERGY INC		09/17/2012	MERRILL LYNCH	38.000	1,402	X X X		L
15639K300	CENTRICA PLC		09/18/2012	MERRILL LYNCH	325.000	7,079	X X X		L
39945C109	CGI GROUP INC		09/17/2012	MERRILL LYNCH	99.000	2,653	X X X		1
166744201	CHEUNG KONG HLDG ADR		09/17/2012	MERRILL LYNCH	245.000	3,582	X X X		L
168919108	CHINA CONSTR BK CORP ADR		09/18/2012	MERRILL LYNCH	121.000	1,621	X X X		1
16941M109	CHINA MOBILE LTD SPN ADR		09/17/2012	MERRILL LYNCH	41.000	2,184	X X X		1
171778202	CIELO S A SP ADR		09/17/2012	MERRILL LYNCH	74.000	2,107	X X X		L
126132109	CNOOC LTD		09/18/2012	MERRILL LYNCH	37.000	7,656	X X X		1
191085208	COCA COLA AMATIL SPD ADR		09/17/2012	MERRILL LYNCH	92.000	2,588	X X X		L
1912EP104	COCA COLA HELLENIC S ADS		09/17/2012	MERRILL LYNCH	82.000	1,596	X X X		L
20030N101	COMCAST CORP CL A-aletheia		08/30/2012	MERRILL LYNCH	353.000	12,009	X X X		L
20449X203	COMPASS GROUP PLC ADR		09/17/2012	MERRILL LYNCH	530.000	6,182	X X X		L
G2554F113	COVIDIEN LTD		08/02/2012	MERRILL LYNCH	240.000	13,435	X X X		L
225401108	CREDIT SUISSE GP SP ADR		09/18/2012	MERRILL LYNCH	805.000	18,906	X X X		L
229663109	CUBESMART COM		09/18/2012	MERRILL LYNCH	284.000	3,762	X X X		1

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

QE04.2

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
23317H102	DDR CORP COM		09/18/2012	MERRILL LYNCH	589.000	9,283	X X X		1
24872B100	DENSO CP UNSPONSORED ADR		09/17/2012	MERRILL LYNCH	202.000	3,583	X X X		L
26613Q106	DUPONT FABROS TECHNOLOGY INC COM		09/18/2012	MERRILL LYNCH	320.000	8,583	X X X		1
268780103	E ONAG SPONS ADR		09/18/2012	MERRILL LYNCH	322.000	7,577	X X X		L
278058102	EATON CORP		08/13/2012	MERRILL LYNCH	374.000	16,864	X X X		L
268648102	EMC CORP/MASS		08/15/2012	MERRILL LYNCH	754.000	19,918	X X X		L
26874R108	ENI Spa Sponsored Adr		09/18/2012	MERRILL LYNCH	198.000	9,454	X X X		L
29380T105	ENTERTAINMENT PPTYS TR COM SH BEN		09/18/2012	MERRILL LYNCH	320.000	14,786	X X X		1
26875P101	EOG RESOURCES INC		08/31/2012	MERRILL LYNCH	262.000	28,614	X X X		L
29472R108	EQUITY LIFESTYLE PPTYS INC COM		09/18/2012	MERRILL LYNCH	116.000	8,108	X X X		1
29476L107	EQUITY RESIDENTIAL SH BEN INT		09/18/2012	MERRILL LYNCH	615.000	36,683	X X X		1
294821608	ERICSSON LM TEL CL B ADR		09/18/2012	MERRILL LYNCH	599.000	5,744	X X X		L
297178105	ESSEX PPTY TR INC COM		09/18/2012	MERRILL LYNCH	29.000	4,470	X X X		1
30215C101	EXPERIAN PLC SPONSORED ADR		09/18/2012	MERRILL LYNCH	103.000	1,730	X X X		1
30225T102	EXTRA SPACE STORAGE INC COM		09/18/2012	MERRILL LYNCH	262.000	8,917	X X X		1
307305102	FANUC LTD-UNSP		09/17/2012	MERRILL LYNCH	170.000	4,825	X X X		L
313747206	FEDERAL REALTY INVT TR SH BEN INT		09/18/2012	MERRILL LYNCH	118.000	12,728	X X X		1
33610F109	FIRST POTOMAC RLTY TR COM		09/18/2012	MERRILL LYNCH	312.000	3,997	X X X		1
344419106	FOMENTO ECNMCO MEX SPADR		09/17/2012	MERRILL LYNCH	31.000	2,857	X X X		1
345550107	FOREST CITY ENTERPRISES INC CL A		09/18/2012	MERRILL LYNCH	330.000	5,518	X X X		1
358029106	FRESENIUS MEDICAL CARE		09/17/2012	MERRILL LYNCH	38.000	2,767	X X X		L
36318L104	GALAXY ENTMT GROUP TLD		09/17/2012	MERRILL LYNCH	64.000	2,052	X X X		L
36160B105	GDF SUEZ SPONS ADR		09/19/2012	MERRILL LYNCH	187.000	4,823	X X X		1
37733W105	Glaxosmithkline PLC		09/18/2012	MERRILL LYNCH	67.000	3,150	X X X		L
38141G104	Goldman Sachs Group Inc		08/30/2012	MERRILL LYNCH	91.000	9,620	X X X		L
40049J206	GRUPO TELEVISA SA ADR		09/17/2012	MERRILL LYNCH	265.000	6,222	X X X		L
416515104	HARTFORD FINANCIAL SVCS GRP		08/07/2012	MERRILL LYNCH	517.000	8,365	X X X		L
40414L109	HCP INC COM		09/18/2012	MERRILL LYNCH	627.000	28,512	X X X		1
42217K106	HEALTH CARE REIT INC COM		09/18/2012	MERRILL LYNCH	70.000	3,973	X X X		1
428236103	HEWLETT-PACKARD CO		08/07/2012	MERRILL LYNCH	679.000	12,014	X X X		L
438128308	HONDA MOTOR ADR NEW		09/18/2012	MERRILL LYNCH	186.000	6,207	X X X		L
44107P104	HOST HOTELS & RESORTS INC COM		09/18/2012	MERRILL LYNCH	914.000	15,427	X X X		1
404280406	HSBC Holdings PLC Spons ADR New		09/18/2012	MERRILL LYNCH	307.000	14,091	X X X		L
448415208	HUTCHISN WHAMPOA ADR		09/18/2012	MERRILL LYNCH	436.000	8,219	X X X		L
453142101	IMPERIAL TOB GRP SPS ADR		09/17/2012	MERRILL LYNCH	89.000	6,782	X X X		L
455807107	INDUSTRIAL AND COMMCL BNK		09/17/2012	MERRILL LYNCH	313.000	3,578	X X X		1
456837103	Ing Group NV Netherlands		08/14/2012	MERRILL LYNCH	1,475.000	9,943	X X X		L
45857P301	INTERCONTINENTAL HTLS GRP PLC SPON		09/18/2012	MERRILL LYNCH	128.000	3,403	X X X		1
459200101	INTERNATIONAL BUSINESS MACHINES CO		09/21/2012	MERRILL LYNCH	256.000	50,831	X X X		L
46115H107	INTESA SANPAOLO SPON ADR		09/18/2012	MERRILL LYNCH	1,042.000	10,061	X X X		L
4812A4831	JPMORGAN TR I INTL VA SMA SH		09/19/2012	MERRILL LYNCH	7,723.000	88,702	X X X		1
492051305	KEPPEL LTD SPONS ADR		09/17/2012	MERRILL LYNCH	214.000	3,991	X X X		L
49427F108	KILROY RLTY CORP COM		09/18/2012	MERRILL LYNCH	326.000	15,701	X X X		1
49456B101	KINDER MORGAN INC		08/09/2012	MERRILL LYNCH	1,180.000	42,256	X X X		1
495724403	KINGFISHER PLC SP ADR		09/17/2012	MERRILL LYNCH	549.000	4,820	X X X		L
49803T102	KITE RLTY GROUP TR COM		09/18/2012	MERRILL LYNCH	800.000	4,100	X X X		1
500458401	KOMATSU NEW NEW SPNSDARD		09/17/2012	MERRILL LYNCH	106.000	2,306	X X X		L
500467402	KONINKLIJKE AHOLD NV ADR		09/17/2012	MERRILL LYNCH	58.000	744	X X X		L

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

QE04.3

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
50075N104	KRAFT FOODS INC CL A		07/05/2012	MERRILL LYNCH	249.000	9,494	X X X		L
502117203	L OREAL CO		09/17/2012	MERRILL LYNCH	91.000	2,321	X X X		1
531172104	LIBERTY PPTY TR SH BEN INT		09/18/2012	MERRILL LYNCH	268.000	10,236	X X X		1
53578A108	LINKEDIN CORP		07/16/2012	MERRILL LYNCH	105.000	11,100	X X X		1
548661107	LOWES COMPANY INCORPORATED		08/30/2012	MERRILL LYNCH	668.000	18,600	X X X		L
N53745100	LYONDELLBASELL INDUSTRIES		07/13/2012	MERRILL LYNCH	227.000	9,012	X X X		1
554382101	MACERICH CO COM		09/18/2012	MERRILL LYNCH	295.000	17,801	X X X		1
594918104	MICROSOFT CORPORATION		08/06/2012	MERRILL LYNCH	507.000	14,804	X X X		L
637417106	NATIONAL RETAIL PPTYS INC COM		09/18/2012	MERRILL LYNCH	256.000	7,932	X X X		1
637071101	National-Oilwell Varco		08/13/2012	MERRILL LYNCH	293.000	21,053	X X X		L
641069406	NESTLE S A SPONSORED ADR		09/17/2012	MERRILL LYNCH	66.000	4,170	X X X		L
65339F101	NEXTERA ENERGY INC SHS		07/13/2012	MERRILL LYNCH	185.000	12,722	X X X		L
654090109	NIDEC CORP ADR		09/17/2012	MERRILL LYNCH	228.000	4,389	X X X		L
654744408	NISSAN MTR LTD SPN ADR		09/18/2012	MERRILL LYNCH	78.000	1,410	X X X		L
66987V109	Novartis AG		09/17/2012	MERRILL LYNCH	46.000	2,768	X X X		L
670100205	NOVO NORDISK A S ADR		09/17/2012	MERRILL LYNCH	15.000	2,315	X X X		L
67103H107	O'REILLY AUTOMOTIVE INC		07/19/2012	MERRILL LYNCH	461.000	42,944	X X X		1
368287207	OAO GAZPROM SPON ADR		09/17/2012	MERRILL LYNCH	223.000	2,462	X X X		L
674599105	OCCIDENTAL PETE CORP CAL		08/30/2012	MERRILL LYNCH	365.000	32,132	X X X		L
681936100	OMEGA HEALTHCARE INVS INC COM		09/18/2012	MERRILL LYNCH	386.000	9,324	X X X		1
686330101	ORIX CORP SP ADR		09/18/2012	MERRILL LYNCH	78.000	3,766	X X X		L
705015105	PEARSON		09/18/2012	MERRILL LYNCH	420.000	8,095	X X X		1
70509V100	PEBBLEBROOK HOTEL TR COM		09/18/2012	MERRILL LYNCH	88.000	2,186	X X X		1
717081103	PFIZER INC		07/13/2012	MERRILL LYNCH	1,825.000	41,697	X X X		L
718546104	PHILLIPS 66		08/13/2012	MERRILL LYNCH	444.000	17,693	X X X		1
720190206	PIEDMONT OFFICE REALTY TR INC COM		09/18/2012	MERRILL LYNCH	244.000	4,357	X X X		1
73755L107	POTASH CORP SASKATCHEWAN		09/17/2012	MERRILL LYNCH	144.000	6,044	X X X		L
74340W103	PROLOGIS INC COM		09/18/2012	MERRILL LYNCH	646.000	23,376	X X X		1
74435K204	PRUDENTIAL PLC ADR		09/18/2012	MERRILL LYNCH	198.000	5,285	X X X		1
74460D109	PUBLIC STORAGE COM		09/18/2012	MERRILL LYNCH	432.000	62,758	X X X		1
74463M106	PUBLICIS GROUPE SPON ADR		09/17/2012	MERRILL LYNCH	268.000	3,907	X X X		L
751452202	RAMCO-GERSHENSON PPTYS TR COM SH B		09/18/2012	MERRILL LYNCH	170.000	2,265	X X X		1
758205207	REED ELSEVIER PLC		09/17/2012	MERRILL LYNCH	172.000	6,572	X X X		L
758849103	REGENCY CTRS CORP COM		09/18/2012	MERRILL LYNCH	182.000	9,075	X X X		1
76131N101	RETAIL OPPORTUNITY INVTS CORP COM		09/18/2012	MERRILL LYNCH	435.000	5,541	X X X		1
76131V202	RETAIL PPTYS AMER INC CL A		09/18/2012	MERRILL LYNCH	694.000	7,267	X X X		1
767204100	Rio Tinto PLC Spnsrd ADR		09/18/2012	MERRILL LYNCH	342.000	16,576	X X X		L
74965L101	RLJ LODGING TR COM		09/18/2012	MERRILL LYNCH	327.000	6,042	X X X		1
771195104	ROCHE HLDG LTD		09/17/2012	MERRILL LYNCH	139.000	6,568	X X X		L
775109200	ROGERS COMMUNICATIONS		08/17/2012	MERRILL LYNCH	416.000	16,788	X X X		L
780259107	ROYAL DUTCH SHEL PLC		09/17/2012	MERRILL LYNCH	55.000	4,149	X X X		L
780259206	ROYAL DUTCH SHELL PLC		09/18/2012	MERRILL LYNCH	136.000	9,863	X X X		L
80105N105	Sanofi Aventis		09/18/2012	MERRILL LYNCH	478.000	18,940	X X X		L
803054204	SAP AG SHS		09/17/2012	MERRILL LYNCH	91.000	6,536	X X X		L
806857108	SCHLUMBERGER LTD		07/05/2012	MERRILL LYNCH	160.000	10,329	X X X		L
80687P106	SCHNEIDER ELEC SA		09/17/2012	MERRILL LYNCH	171.000	2,309	X X X		1
81618T100	SELECT INCOME REIT COM SH BEN INT		09/18/2012	MERRILL LYNCH	86.000	2,141	X X X		1
824348106	SHERWIN-WILLIAMS CO		08/13/2012	MERRILL LYNCH	204.000	28,766	X X X		L

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
82481R106	SHIRE PLC-ADR		09/17/2012	MERRILL LYNCH	25.000	2,319	X X X		L
828806109	SIMON PROPERTY GROUP DEL		09/18/2012	MERRILL LYNCH	416.000	67,148	X X X		1
83175M205	SMITH-NPHW PLC SPADR NEW		09/17/2012	MERRILL LYNCH	44.000	2,453	X X X		L
85590A401	STARWOOD HOTELS		09/18/2012	MERRILL LYNCH	142.000	8,227	X X X		1
857477103	STATE STREET CORP		08/30/2012	MERRILL LYNCH	250.000	10,310	X X X		L
865613103	SUMITOMO CORP SP ADR		09/18/2012	MERRILL LYNCH	286.000	4,070	X X X		L
86562M209	SUMITOMO MITSUI-UNSPONS		09/18/2012	MERRILL LYNCH	1,258.000	8,278	X X X		L
867224107	SUNCOR ENERGY-NEW		09/17/2012	MERRILL LYNCH	113.000	3,985	X X X		L
870195104	SWEDBANK A B ADR		09/18/2012	MERRILL LYNCH	240.000	4,515	X X X		L
87160A100	SYNGENTA AG ADR		09/17/2012	MERRILL LYNCH	59.000	4,350	X X X		L
874039100	TAIWAN S MANUFCTRING ADR		09/17/2012	MERRILL LYNCH	220.000	3,328	X X X		L
87425E103	TALISMAN ENERGY INC		09/25/2012	MERRILL LYNCH	968.000	13,234	X X X		L
88076W103	TERADATA CORP		07/16/2012	MERRILL LYNCH	427.000	29,314	X X X		1
881624209	Teva Pharmaceutical Ind ADR		09/18/2012	MERRILL LYNCH	363.000	14,645	X X X		L
892331307	TOYOTA MOTOR CORP ADR		09/17/2012	MERRILL LYNCH	26.000	2,129	X X X		L
89353D107	TRANSCANADA CORP		09/17/2012	MERRILL LYNCH	81.000	3,755	X X X		L
907818108	UNION PACIFIC CORP		08/02/2012	MERRILL LYNCH	138.000	16,956	X X X		L
91529Y106	UNUM GROUP		08/15/2012	MERRILL LYNCH	710.000	13,705	X X X		L
92276F100	VENTAS INC COM		09/19/2012	MERRILL LYNCH	492.000	31,197	X X X		1
92343V104	VERIZON COMMUNICATIONS		08/01/2012	MERRILL LYNCH	317.000	14,235	X X X		L
92532F100	VERTEX PHARMCTLS INC		07/05/2012	MERRILL LYNCH	213.000	11,870	X X X		L
92857W209	VODAFONE GROUP PLC NEW		09/18/2012	MERRILL LYNCH	305.000	8,725	X X X		L
928662402	VOLKSWAGON A G		09/17/2012	MERRILL LYNCH	109.000	4,341	X X X		L
928856400	VOLVO AKTIEBOLAGET ADR		09/25/2012	MERRILL LYNCH	369.000	5,244	X X X		L
929042109	VORNADO REALTY TRUST COMPANY		09/18/2012	MERRILL LYNCH	282.000	23,661	X X X		L
98161Q101	WORLEY PARSONS LTD		09/17/2012	MERRILL LYNCH	138.000	3,979	X X X		1
92933H101	WPP PLC ADR		09/17/2012	MERRILL LYNCH	56.000	3,900	X X X		L
J95534103	YAMADA DENKI 9831		09/17/2012	MERRILL LYNCH	31.000	1,395	X X X		L
433578507	Hitachi Ltd Adr	F	09/18/2012	MERRILL LYNCH	67.000	4,067	X X X		L
654624105	Nippon Telephone Adr	F	09/18/2012	MERRILL LYNCH	123.000	2,822	X X X		L
76026T205	Repsol S A Spns Adr	F	09/18/2012	MERRILL LYNCH	149.000	3,192	X X X		L
904784709	Unilever NV NY Reg Shs	F	09/17/2012	MERRILL LYNCH	113.000	4,055	X X X		L
9099999 Subtotal - Common Stock - Industrial and Miscellaneous (Unaffiliated)					X X X	2,048,910	X X X		X X X
Common Stock - Mutual Funds									
057071870	BAIRD CORE PLUS BOND FUND		09/26/2012	Bank of America	1,602.349	17,987	X X X		1
592905848	METROPOLITAN WEST HIY BOND		09/01/2012	Bank of America	4,176.603	42,386	X X X		L
68380T509	OPPENHEIMER INT'L BOND FD CLASS Y		09/01/2012	Bank of America	3,837.662	24,560	X X X		L
74144Q500	T ROWE PRICE INSTL INTL BOND FUND		09/17/2012	Bank of America	20,080.322	200,000	X X X		1
9299999 Subtotal - Common Stock - Mutual Funds					X X X	284,933	X X X		X X X
Common Stock - Money Market Mutual Funds									
111111118	BBIF Money Fund Class 4		09/30/2012	Merrill Lynch	353,760.000	353,760	X X X		
9399999 Subtotal - Common Stock - Money Market Mutual Funds							X X X	353,760	X X X
9799997 Subtotal - Common Stock - Part 3					X X X	2,687,603	X X X		X X X

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SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
9799998	Summary Item from Part 5 for Common Stocks (N/A to Quarterly)				 X X X	 X X X	 X X X	 X X X	 X X X
9799999	Subtotal - Common Stocks				 X X X	 2,687,603	 X X X		 X X X
9899999	Subtotal - Preferred and Common Stocks				 X X X	 2,687,603	 X X X		 X X X
9999999	Total - Bonds, Preferred and Common Stocks				 X X X	 4,715,451	 X X X	 9,598	 X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

CUSIP Identification	Description	3 F o r e i g n Disposal Date	4 Name of Purchaser	5 Number of Shares of Stock	6 Consideration	7 Par Value	8 Actual Cost	9 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation or Market Indicator (a)	
									11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.								
Bonds - U.S. Special Revenue, Special Assessment																					
312932ZG4	FHLMC A8 6143	09/17/2012	PRINCIPAL RECEIPT	X X X	4,751	4,750.51	4,876	4,872	(121)	(121)	4,751				156	05/01/2039	1				
312934M58	FHLMC A8 7580	09/17/2012	PRINCIPAL RECEIPT	X X X	7,958	7,958.43	8,080	8,072	(113)	(113)	7,958				264	07/01/2039	1				
312936KB2	FHLMC A8 9290	09/17/2012	PRINCIPAL RECEIPT	X X X	5,143	5,142.83	5,326	5,315	(173)	(173)	5,143				172	10/01/2039	1				
3137A1TK9	FHLMC CMO 2010 - 3725	09/17/2012	PRINCIPAL RECEIPT	X X X	4,425	4,425.13	4,627	4,600	(175)	(175)	4,425				104	09/15/2024	1				
3137AA5U3	FHLMC CMO 2011	09/17/2012	PRINCIPAL RECEIPT	X X X	6,044	6,043.75	6,249	6,233	(189)	(189)	6,044				145	07/15/2025	1				
3128MIGB0	FHLMC GO 8193	09/17/2012	PRINCIPAL RECEIPT	X X X	6,378	6,377.53	6,676	6,664	(286)	(286)	6,378				257	04/01/2037	1				
312940EJ9	FHLMC PC GOLD COMB 30 5.000 20	09/17/2012	PRINCIPAL RECEIPT	X X X	9,887	9,886.75	10,224	10,203	(316)	(316)	9,887				330	04/01/2040	1				
3137A5LS1	FHLMC REMIC SERIES 3795	09/15/2012	PRINCIPAL RECEIPT	X X X	8,486	8,486.41	8,715	8,728	(242)	(242)	8,486				199	03/24/2015	1				
3137A8FP8	FLHMC CMO 2011	09/17/2012	PRINCIPAL RECEIPT	X X X	6,071	6,071.07	6,264	6,252	(181)	(181)	6,071				141	02/15/2025	1				
31416TDX4	FN AA9117	09/25/2012	PRINCIPAL RECEIPT	X X X	9,407	9,406.84	9,346	9,348	59	59	9,407				269	07/01/2039	1				
31417CB95	FNMA PAB 5463	09/25/2012	PRINCIPAL RECEIPT	X X X	765	765.04	797	(32)	(32)	765				2	06/01/2042	1					
31398MAQ9	FNMA 2010-9	09/25/2012	PRINCIPAL RECEIPT	X X X	4,384	4,383.50	4,440	4,430	(47)	(47)	4,384				95	01/25/2024	1				
31403DUB3	FNMA P745878	09/25/2012	PRINCIPAL RECEIPT	X X X	10,665	10,665.09	11,246	11,202	(537)	(537)	10,665				374	10/01/2036	1				
31410KXY5	FNMA P889995	09/25/2012	PRINCIPAL RECEIPT	X X X	10,497	10,496.51	11,116	11,080	(583)	(583)	10,497				369	09/01/2038	1				
31410XGC4	FNMA P900295	09/25/2012	PRINCIPAL RECEIPT	X X X	5,299	5,299.03	5,548	5,531	(232)	(232)	5,299				212	09/01/2036	1				
31416A4W7	FNMA P994637	09/25/2012	PRINCIPAL RECEIPT	X X X	16,062	16,062.01	17,360	17,349	(1,287)	(1,287)	16,062				569	11/01/2038	1				
31416H4V4	FNMA PAA0835	09/25/2012	PRINCIPAL RECEIPT	X X X	8,776	8,775.87	8,918	8,908	(132)	(132)	8,776				280	01/01/2039	1				
31416SWB3	FNMA PAA8741	09/25/2012	PRINCIPAL RECEIPT	X X X	4,649	4,648.96	4,789	4,783	(134)	(134)	4,649				134	07/01/2039	1				
31417LBR5	FNMA PAC1847	09/25/2012	PRINCIPAL RECEIPT	X X X	2,003	2,002.65	2,038	2,032	(30)	(30)	2,003				57	09/01/2024	1				
31417LD36	FNMA PAC1921	09/25/2012	PRINCIPAL RECEIPT	X X X	5,825	5,825.21	5,868	5,866	(41)	(41)	5,825				149	09/01/2039	1				
31417NAQ4	FNMA PAC3614	09/25/2012	PRINCIPAL RECEIPT	X X X	2,192	2,192.31	2,189	2,189	3	3	2,192				60	08/01/2024	1				
31417QRZ9	FNMA PAC5003	09/25/2012	PRINCIPAL RECEIPT	X X X	19,701	19,700.56	19,943	19,928	(227)	(227)	19,701				574	01/01/2040	1				
31419A4N4	FNMA PAE 0828	09/25/2012	PRINCIPAL RECEIPT	X X X	9,631	9,631.47	9,978	(346)	(346)	9,631				90	02/01/2041	1					
31419FD60	FNMA PAE4624 4% 2040	09/25/2012	PRINCIPAL RECEIPT	X X X	6,118	6,118.06	5,970	5,971	147	147	6,118				169	10/01/2040	1				
3138AV3Q6	FNMA PASS THRU	09/25/2012	PRINCIPAL RECEIPT	X X X	26,167	26,166.97	26,707	(538)	(538)	26,167				610	10/01/2041	1					
31412PXC0	FNMA PASS THRU LNG 30 YEAR	09/25/2012	PRINCIPAL RECEIPT	X X X	12,526	12,526.03	12,630	12,621	(95)	(95)	12,526				355	06/01/2039	1				
31417B5T0	FNMA PASS-THRU INT 15 YEAR 2.5	09/25/2012	PRINCIPAL RECEIPT	X X X	1,299	1,298.69	1,338	(40)	(40)	1,299				3	06/01/2027	1					
31418UBC7	FNMA PASS-THRU LNG 30 YEAR 4.5	09/25/2012	PRINCIPAL RECEIPT	X X X	12,060	12,059.57	12,254	12,243	(183)	(183)	12,060				365	05/01/2040	1				
31371M7H4	FNMA PASS-THRU LNG 30 YEAR 5.0	09/25/2012	PRINCIPAL RECEIPT	X X X	11,626	11,625.53	12,076	12,018	(392)	(392)	11,626				393	02/01/2037	1				
31418AE53	FNMA PMA 1044	09/25/2012	PRINCIPAL RECEIPT	X X X	6,947	6,947.49	7,119	(172)	(172)	6,947				40	04/01/2042	1					
36294SYE1	GNM P658709	09/17/2012	PRINCIPAL RECEIPT	X X X	11,755	11,754.63	12,155	12,128	(374)	(374)	11,755				449	05/15/2037	1				
38373MR44	GNMA CMO 2008-14	09/17/2012	PRINCIPAL RECEIPT	X X X	15,849	15,849.29	16,769	16,669	(820)	(820)	15,849				457	10/16/2042	1				
38376GTK6	GNMA CMO 2010 102-AB	09/17/2012	PRINCIPAL RECEIPT	X X X	14,926	14,926.30	15,076	15,063	(136)	(136)	14,926				202	07/16/2032	1				
38376GC24	GNMA CMO 2011	09/17/2012	PRINCIPAL RECEIPT	X X X	1,239	1,239.30	1,231	1,232	8	8	1,239				16	04/16/2032	1				
38376GF21	GNMA CMO 2011	09/17/2012	PRINCIPAL RECEIPT	X X X	779	779.35	788	788	(8)	(8)	779				12	11/16/2034	1				
38376GL57	GNMA CMO 2011	09/17/2012	PRINCIPAL RECEIPT	X X X	1,014	1,013.92	1,019	1,019	(5)	(5)	1,014				15	12/16/2035	1				
38378BQQ5	GNMA CMO 2012	09/17/2012	PRINCIPAL RECEIPT	X X X	454	453.85	458	(5)	(5)	454				4	03/16/2040	1					
38374USR3	GNMA REMIC TRUST 2009-29 20340	09/17/2012	PRINCIPAL RECEIPT	X X X	2,850	2,850.03	3,011	3,017	(167)	(167)	2,850				85	06/16/2034	1				
38376GVY3	GNMA REMIC TRUST 2010-122 2037	09/17/2012	PRINCIPAL RECEIPT	X X X	2,903	2,902.66	2,964	2,960	(57)	(57)	2,903				47	11/16/2037	1				
38376GRF9	GNMA REMIC TRUST 2010-71	09/17/2012	PRINCIPAL RECEIPT	X X X	10,248	10,247.76	10,350	10,341	(94)	(94)	10,248				199	03/16/2039	1				
38378BSP5	GNMA REMIC TRUST 2012-46 20381	09/17/2012	PRINCIPAL RECEIPT	X X X	633	632.74	639	(6)	(6)	633				4	11/16/2038	1					
38376GJ35	GNT 2011-47A CMO 2011	09/17/2012	PRINCIPAL RECEIPT	X X X	781	780.75	787	787	(6)	(6)	781				11	04/16/2032	1				
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment				X X X	309,173	309,170.38	317,954	297,147	(8,305)	(8,305)	309,173				8,438	X X X	X X X				
Bonds - Industrial and Miscellaneous (Unaffiliated)																					
0258MODD8	AMERICAN EXPRESS CREDIT	08/22/2012	McDonnell	X X X	47,035	45,000.00	44,891		336		45,227				1,809		1,809	448	03/24/2017	1FE	
05530AAA3	BB&T CAPITAL TRUST II	07/16/2012	McDonnell	X X X	40,000	40,000.00	40,628	40,618	(7)	(7)	40,611				(611)	(611)			06/07/2036	2FE	
36828QRV0	GE CAP COML MTG CMO 2006	09/10/2012	VARIOUS	X X X	30,027	29,848.68	30,110	30,084	(5)	(5)	30,080				(52)	(52)			03/10/2044	1FE	
47836GAR8	JOHNSON CTLS INC NT	09/06/2012	MERRILL LYNCH	X X X	33,999	30,000.00	34,026	33,081	(496)	(496)	32,585				1,414	1,414			01/15/2016	2FE	
46623EJEO	JP MORGAN CHASE & CO	09/19/2012	MERRILL LYNCH	X X X	40,727	40,000.00	40,062	40,044	(15)	(15)	40,029				699	699			01/24/2014	1FE	
65476BAC7	NISSAN AUTO REC'V 2010-A 201407	09/15/2012	VARIOUS	X X X	39,948	39,888.50	39,883	39,886	1	1	39,887				61	61			07/15/2014	1FE	
87938WAH6	TELEFONICA EMISIONES S A U GTD	07/23/2012	McDonnell	X X X	27,000	30,000.00	33,348	32,984	(190)	(190)	32,794				(5,794)	(5,794)			07/15/2019	2FE	
89235XAC1	TOYOTA AUTO REC ABS 2011	09/17/2012	PRINCIPAL RECEIPT	X X X	8,316	8,315.68	8,315		(4)	(4)	8,316								10/15/2014	1FE	
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				X X X	267,052	263,052.86	271,263	225,016	(380)	(380)	269,529				(2,474)	(2,474)			8,172	X X X	X X X
8399997 Subtotal - Bonds - Part 4				X X X	576,225	572,223.24	589,217	522,163	(8,685)	(8,685)	578,702				(2,474)	(2,474)			16,610	X X X	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X				X X X	X X X			X X X	X X X	X X X
8399999 Subtotal - Bonds				X X X	576,225	572,223.24	589,217	522,163	(8,685)	(8,685)	578,702				(2,474)	(2,474)			16,610	X X X	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X				X X X	X X X			X X X	X X X	X X X

SCHEDULE D - PART 4
Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

QE05.1

CUSIP Identification	Description	F o r e i g n Date	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.							
Common Stock - Industrial and Miscellaneous (Unaffiliated)																					
018490102	ALLERGAN INC		08/03/2012	MERRILL LYNCH	417.000	34.309	X X X	30,154	36,588	(6,433)			(6,433)		30,154		4,154	4,154	45	X X X	L
02364W105	America Movilsa Sab De Cv		08/06/2012	MERRILL LYNCH	85.000	2,239	X X X	2,411	1,921	490			490		2,411		(172)	(172)		X X X	L
03076C106	AMERIPRISE FNL INC		08/30/2012	MERRILL LYNCH	209.000	11,325	X X X	10,765							10,765		560	560	126	X X X	1
031162100	AMGEN INC		07/05/2012	MERRILL LYNCH	250.000	18,182	X X X	13,766	16,053	(2,286)			(2,286)		13,766		4,416	4,416	416	X X X	L
037411105	Apache Corp.		08/13/2012	MERRILL LYNCH	186.000	16,464	X X X	19,433	16,848	2,585			2,585		19,433		(2,969)	(2,969)	158	X X X	L
037833100	Apple Computer Co		07/25/2012	MERRILL LYNCH	90.000	51,646	X X X	12,909	36,450	(23,541)			(23,541)		12,909		38,737	38,737		X X X	L
00206R102	AT&T Inc.		08/13/2012	MERRILL LYNCH	828.000	30,253	X X X	23,784	25,039	(1,255)			(1,255)		23,784		6,469	6,469	69	X X X	L
052528304	AUST NW ZBG ADR		09/18/2012	MERRILL LYNCH	141.000	3,585	X X X	3,022							3,022		564	564		X X X	L
05946K101	Banco Bilbao Vizcaya Argentaria		09/17/2012	MERRILL LYNCH	946.000	8,211	X X X	5,979							5,979		2,232	2,232	143	X X X	L
075896100	BED BATH & BEYOND INC		08/31/2012	MERRILL LYNCH	255.000	17,002	X X X	16,872							16,872		130	130		X X X	L
055622104	BP Amoco PLC Sponsored ADR		07/05/2012	MERRILL LYNCH	583.000	23,021	X X X	22,235							22,235		786	786		X X X	L
110448107	BRITISH AMN TOBACO SPADR		09/05/2012	MERRILL LYNCH	98.000	10,050	X X X	9,328							9,328		721	721		X X X	L
120738406	BUNZL PLC ADR		07/17/2012	MERRILL LYNCH	32.000	2,688	X X X	1,888	2,202	(314)			(314)		1,888		800	800	20	X X X	L
131311102	CAMDEN PPTY TR SH BEN INT		08/24/2012	MERRILL LYNCH	36.000	2,478	X X X	2,368							2,368		110	110	26	X X X	1
138006309	CANON INC ADR REP5SH		07/20/2012	MERRILL LYNCH	61.000	2,254	X X X	2,643	2,686	(44)			(44)		2,643		(389)	(389)		X X X	L
15639K300	CENTRICA PLC		09/20/2012	MERRILL LYNCH	244.000	5,302	X X X	4,699							4,699		603	603		X X X	L
156700106	CENTURYLINK INC		08/30/2012	MERRILL LYNCH	243.000	10,201	X X X	9,208							9,208		993	993		X X X	1
166764100	CHEVRONTEXACO CORP		07/12/2012	MERRILL LYNCH	107.000	11,267	X X X	11,350	11,385	(35)			(35)		11,350		(83)	(83)	573	X X X	L
191085208	COCA COLA AMATIL SPD ADR		08/02/2012	MERRILL LYNCH	74.000	2,131	X X X	1,871	1,726	145			145		1,871		260	260		X X X	L
200340107	COMERICA INC		09/06/2012	MERRILL LYNCH	1,059.000	32,560	X X X	34,936	21,027	6,777			6,777		34,936		(2,376)	(2,376)	365	X X X	L
225401108	CREDIT SUISSE GP SP ADR		07/05/2012	MERRILL LYNCH	621.000	11,313	X X X	12,108							12,108		(795)	(795)		X X X	L
126650100	CVS CORPORATION		07/19/2012	MERRILL LYNCH	271.000	12,528	X X X	8,019	11,051	(3,033)			(3,033)		8,019		4,509	4,509	145	X X X	L
23636T100	DANONE-SPONS ADR		08/17/2012	MERRILL LYNCH	625.000	7,538	X X X	8,412	4,626	417			417		8,412		(874)	(874)	131	X X X	L
D18190898	DEUTSCHE BK AG REG SHS		07/09/2012	MERRILL LYNCH	464.000	15,741	X X X	16,483							16,483		(742)	(742)		X X X	L
26613Q106	DUPONT FABROS TECHNOLOGY INC																				
28176E108	EDWARDS LIFESCIENCES		09/19/2012	MERRILL LYNCH	139.000	3,685	X X X	3,608							3,608		78	78	28	X X X	1
29380T105	EDWARDS LIFESCIENCES		08/14/2012	MERRILL LYNCH	408.000	40,681	X X X	28,068	7,989	(539)			(539)		28,068		12,613	12,613		X X X	1
	ENTERTAINMENT PPTYS TR COM SH																				
29476L107	BEN		08/24/2012	MERRILL LYNCH	33.000	1,488	X X X	1,412							1,412		76	76	32	X X X	1
30225T102	EQUITY RESIDENTIAL SH BEN INT		07/23/2012	MERRILL LYNCH	52.000	3,302	X X X	3,196							3,196		106	106	23	X X X	1
30225T102	EXTRA SPACE STORAGE INC COM		08/24/2012	MERRILL LYNCH	87.000	2,828	X X X	2,504							2,504		324	324		X X X	1
30231G102	EXXON MOBILE CORP		07/12/2012	MERRILL LYNCH	131.000	11,033	X X X	8,790	11,104	(2,314)			(2,314)		8,790		2,243	2,243		X X X	L
313747206	FEDERAL REALTY INVT TR SH BEN INT		07/23/2012	MERRILL LYNCH	14.000	1,484	X X X	1,393							1,393		91	91	13	X X X	1
33610F109	FIRST POTOMAC RLTY TR COM		07/23/2012	MERRILL LYNCH	209.000	2,380	X X X	2,554							2,554		(174)	(174)		X X X	1
344419106	FOMENTO ECNMCO MEX SPADR		08/09/2012	MERRILL LYNCH	15.000	1,298	X X X	925	1,046	(121)			(121)		925		374	374	22	X X X	1
345370860	Ford Motor Co Del Par \$.01		07/12/2012	MERRILL LYNCH	2,289.000	20,941	X X X	28,294							28,294		(7,352)	(7,352)	114	X X X	L
369604103	GENERAL ELECTRIC CO		08/13/2012	MERRILL LYNCH	892.000	18,092	X X X	16,340	15,976	364			364		16,340		1,752	1,752	236	X X X	L
37733W105	Glaxosmithkline PLC		08/01/2012	MERRILL LYNCH	119.000	5,418	X X X	5,180	3,696	(215)			(215)		5,180		239	239	111	X X X	L
42217K106	HEALTH CARE REIT INC COM		07/23/2012	MERRILL LYNCH	78.000	4,692	X X X	4,304							4,304		389	389		X X X	1
444859102	HUMANA INC		08/30/2012	MERRILL LYNCH	322.000	22,517	X X X	22,092	28,210	(6,118)			(6,118)		22,092		424	424	245	X X X	1
452327109	ILLUMINA INC		08/24/2012	MERRILL LYNCH	217.000	9,090	X X X	13,928	6,614	7,313			7,313		13,928		(4,837)	(4,837)		X X X	1
456837103	Ing Group NV Netherlands		09/18/2012	MERRILL LYNCH	443.000	3,838	X X X	2,751							2,751		1,087	1,087		X X X	L
458140100	INTEL CORP		07/13/2012	MERRILL LYNCH	1,223.000	30,505	X X X	27,968	29,658	(1,690)			(1,690)		27,968		2,537	2,537	245	X X X	L
46018M104	INTL POWER PLC SP ADR		07/25/2012	MERRILL LYNCH	75.000	4,876	X X X	3,933	3,915	18			18		3,933		943	943		X X X	L
478366107	JOHNSON CONTROLS INC		08/13/2012	MERRILL LYNCH	454.000	11,640	X X X	15,860							15,860		(4,220)	(4,220)	163	X X X	L
4812A4831	JPMORGAN TR I INTL VA SMA SH		08/16/2012	MERRILL LYNCH	1,425.000	15,875	X X X	14,820							14,820		1,055	1,055		X X X	1
495724403	KINGFISHER PLC SP ADR		09/04/2012	MERRILL LYNCH	370.000	3,173	X X X	3,197	2,845	352			352		3,197		(24)	(24)		X X X	L
49803T102	KITE RLTY GROUP TR COM		09/25/2012	MERRILL LYNCH	1,278.000	6,592	X X X	6,336							6,336		256	256	203	X X X	1
500467402	KONINKLIJKE AHOLD NV ADR		09/04/2012	MERRILL LYNCH	184.000	2,259	X X X	2,547	2,475	72			72		2,547		(287)	(287)	97	X X X	L
48268K101	KT CORP SPONSORED ADR		08/10/2012	MERRILL LYNCH	673.000	9,464	X X X	8,293							8,293		1,171	1,171		X X X	L
517834107	LAS VEGAS SANDS CORP		09/24/2012	MERRILL LYNCH	647.000	29,506	X X X	32,191	9,443	864			864		32,191		(2,685)	(2,685)	274	X X X	L
518439104	LAUDER ESTEE COS INC		07/16/2012	MERR																	

SCHEDULE D - PART 4
Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

QE05.2

1	2	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.							
70509V100	PEBBLEBROOK HOTEL TR COM		07/24/2012	MERRILL LYNCH	165.000	3,676	X X X	3,616							3,616		60	60	26	X X X	1
717081103	PFIZER INC		07/18/2012	MERRILL LYNCH	1,176.000	27,796	X X X	16,851	25,449	(8,598)			(8,598)		16,851		10,945	10,945	827	X X X	L
718172109	PHILIP MORRIS INTL INC		09/11/2012	MERRILL LYNCH	200.000	17,775	X X X	9,221	15,696	(6,475)			(6,475)		9,221		8,554	8,554	237	X X X	L
74005P104	PRAXAIR INC		08/30/2012	MERRILL LYNCH	263.000	28,259	X X X	26,000	28,115	(2,115)			(2,115)		26,000		2,259	2,259	298	X X X	L
74340W103	PROLOGIS INC COM		08/24/2012	MERRILL LYNCH	191.000	6,433	X X X	6,131							6,131		302	302		X X X	1
758849103	REGENCY CTRS CORP COM		07/23/2012	MERRILL LYNCH	90.000	4,183	X X X	3,964							3,964		219	219		X X X	1
773903109	Rockwell Automation Inc.		07/17/2012	MERRILL LYNCH	439.000	27,347	X X X	33,079	16,582	670			670		33,079		(5,732)	(5,732)	168	X X X	L
79466L302	Salesforce.com Inc.		08/02/2012	MERRILL LYNCH	172.000	21,640	X X X	21,273		3,822			3,822		21,273		367	367		X X X	L
80687P106	SCHNEIDER ELEC SA		09/20/2012	MERRILL LYNCH	841.000	10,721	X X X	9,344							9,344		1,377	1,377		X X X	1
828806109	SIMON PROPERTY GROUP DEL		09/19/2012	MERRILL LYNCH	255.000	40,310	X X X	31,897	26,562	(1,910)			(1,910)		31,897		8,413	8,413	365	X X X	1
865613103	SUMITOMO CORP SP ADR		08/28/2012	MERRILL LYNCH	210.000	2,896	X X X	2,841							2,841		55	55		X X X	L
870195104	SWEDBANK A B ADR		09/04/2012	MERRILL LYNCH	90.000	1,519	X X X	1,638	1,157	482			482		1,638		(119)	(119)		X X X	L
874039100	TAIWAN S MANUFCTRING ADR		08/14/2012	MERRILL LYNCH	126.000	1,813	X X X	1,505	1,627	(121)			(121)		1,505		308	308		X X X	L
881575302	TESCO PLC SPNDR ADR		08/10/2012	MERRILL LYNCH	182.000	2,766	X X X	3,289	2,374	121			121		3,289		(523)	(523)	87	X X X	L
254687106	THE WALT DISNEY CO		07/05/2012	MERRILL LYNCH	210.000	10,158	X X X	7,356	7,875	(519)			(519)		7,356		2,802	2,802	404	X X X	L
887317303	TIME WARNER INC SHS		08/30/2012	MERRILL LYNCH	243.000	10,194	X X X	8,350	8,782	(432)			(432)		8,350		1,844	1,844	104	X X X	L
89151E109	TOTAL S.A. SP ADR		08/02/2012	MERRILL LYNCH	117.000	5,339	X X X	6,296		578			578		6,296		(956)	(956)	124	X X X	L
89353D107	TRANSCANADA CORP		07/16/2012	MERRILL LYNCH	40.000	1,706	X X X	1,661	1,747	(86)			(86)		1,661		46	46	27	X X X	L
902494103	TYSON FOODS INC		09/06/2012	MERRILL LYNCH	2,294.000	36,084	X X X	42,168	38,349	(4,548)			(4,548)		42,168		(6,084)	(6,084)	558	X X X	L
904311107	UNDER ARMOUR INC		08/30/2012	MERRILL LYNCH	162.000	8,957	X X X	7,998							7,998		959	959		X X X	L
91324P102	UNITEDHEALTH GROUP INC		08/30/2012	MERRILL LYNCH	271.000	14,850	X X X	9,000	13,734	(4,734)			(4,734)		9,000		5,850	5,850		X X X	L
92553P201	Viacom Inc New Class B		09/13/2012	MERRILL LYNCH	419.000	21,433	X X X	13,408	19,027	(5,619)			(5,619)		13,408		8,025	8,025	346	X X X	L
92719A106	VIMPLECOM LTD		08/24/2012	MERRILL LYNCH	364.000	3,787	X X X	4,355	2,292	1,130			1,130		4,355		(568)	(568)		X X X	L
949746101	WELLS FARGO & CO NEW		09/19/2012	MERRILL LYNCH	555.000	19,637	X X X	14,595	15,296	(701)			(701)		14,595		5,041	5,041	257	X X X	L
988498101	YUM BRAND INC		07/13/2012	MERRILL LYNCH	456.000	29,245	X X X	25,014	26,909	(1,894)			(1,894)		25,014		4,231	4,231	293	X X X	1
76026T205	Repsol S A Spns Adr	F	08/27/2012	MERRILL LYNCH	221.000	3,983	X X X	3,505							3,505		478	478	181	X X X	L
9099999 Subtotal - Common Stock - Industrial and Miscellaneous (Unaffiliated)					X X X	1,174,669	X X X	1,042,234	718,044	(78,220)			(78,220)		1,042,234		132,441	132,441	9,284	X X X	X X X
Common Stock - Mutual Funds																					
399874825	AMERICAN GROWTH FUND		09/14/2012	MERRILL LYNCH	11,584.130	400,000	X X X	296,189	332,465	(36,276)			(36,276)		296,189		103,811	103,811		X X X	L
464287598	Ishares Russell 1000 Value Indx Fd		09/14/2012	Bank of America	10,150.000	751,565	X X X	698,864	644,322	54,542			54,542		698,864		52,702	52,702	7,463	X X X	L
592905848	METROPOLITAN WEST HY BOND		09/17/2012	Bank of America	28,708.134	300,000	X X X	291,962	281,914	10,048			10,048		291,962		8,038	8,038		X X X	L
68380T509	OPPENHEIMER INTL BOND FD CLASS Y		09/17/2012	Bank of America	30,557.696	200,000	X X X	200,302	189,458	10,845			10,845		200,302		(302)	(302)		X X X	L
922040100	Vanguard Institutional Index Fund		09/14/2012	Bank of America	1,853.498	250,000	X X X	198,215	213,226	(15,011)			(15,011)		198,215		51,785	51,785	4,419	X X X	L
9299999 Subtotal - Common Stock - Mutual Funds					X X X	1,901,565	X X X	1,685,532	1,661,385	24,148			24,148		1,685,532		216,034	216,034	11,882	X X X	X X X
9799997 Subtotal - Common Stocks - Part 4					X X X	3,076,234	X X X	2,727,766	2,379,429	(54,072)			(54,072)		2,727,766		348,475	348,475	21,166	X X X	X X X
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
9799999 Subtotal - Common Stocks					X X X	3,076,234	X X X	2,727,766	2,379,429	(54,072)			(54,072)		2,727,766		348,475	348,475	21,166	X X X	X X X
9899999 Subtotal - Preferred and Common Stocks					X X X	3,076,234	X X X	2,727,766	2,379,429	(54,072)			(54,072)		2,727,766		348,475	348,475	21,166	X X X	X X X
9999999 Total - Bonds, Preferred and Common Stocks					X X X	3,652,459	X X X	3,316,983	2,901,592	(54,072)	(8,685)		(62,757)		3,306,468		346,001	346,001	37,776	X X X	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

E06 Schedule DB Part A Section 1 NONE

E07 Schedule DB Part B Section 1 NONE

E08 Schedule DB Part D NONE

E09 Schedule DL - Part 1 - Securities Lending Collateral Assets NONE

E10 Schedule DL - Part 2 - Securities Lending Collateral Assets NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1			2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
Depository			Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	6	7	8	*
							First Month	Second Month	Third Month	
open depositories										
Fifth Third Admin	Cincinnati, OH						(238,005)	(243,867)	(252,931)	X X X
Fifth Third Claims Checking	Cincinnati, OH						(12,041,156)	(8,588,695)	(8,089,636)	X X X
Fifth Third Lockbox	Cincinnati, OH						(1,271)	(1,990)	(1,552)	X X X
Fifth Third Dedicated Claims Account	Cincinnati, OH						(520,094)	(489,579)	(428,634)	X X X
Fifth Third Control Account	Cincinnati, OH						853,532	259,228	317,630	X X X
Bank of America Cash Account	Tampa, FL							192,571	170,110	X X X
Wells Fargo Deferred Compensation	Baton Rouge, LA						167,462	178,674	186,884	X X X
Bank of America Cash Account	Tampa, FL						275,952	275,952	295,220	X X X
										X X X
0199998 Deposits in0 depositories that do not exceed the allowable limit in any one depository - open depositories			X X X	X X X						X X X
0199999 Totals - Open Depositories			X X X	X X X			(11,503,580)	(8,417,706)	(7,802,909)	X X X
0299998 Deposits in0 depositories that do not exceed the allowable limit in any one depository - suspended depositories			X X X	X X X						X X X
0299999 Totals - Suspended Depositories			X X X	X X X						X X X
0399999 Total Cash On Deposit			X X X	X X X			(11,503,580)	(8,417,706)	(7,802,909)	X X X
0499999 Cash in Company's Office			X X X	X X X	X X X	X X X				X X X
0599999 Total Cash			X X X	X X X			(11,503,580)	(8,417,706)	(7,802,909)	X X X

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
NONE							
8699999 Total - Cash Equivalents							

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