



QUARTERLY STATEMENT

As of September 30, 2012
of the Condition and Affairs of the

STONEBRIDGE CASUALTY INSURANCE COMPANY

NAIC Group Code.....0468, 0468
(Current Period) (Prior Period)

Organized under the Laws of OHIO
Incorporated/Organized..... November 15, 1957
Statutory Home Office

Main Administrative Office

Mail Address

Primary Location of Books and Records

Internet Web Site Address

Statutory Statement Contact

NAIC Company Code..... 10952

State of Domicile or Port of Entry OHIO

366 E. Broad Street, C/O Andrew J. Federico..... Columbus OH 43215
(Street and Number) (City or Town, State and Zip Code)

4333 Edgewood Road NE..... Cedar Rapids IA 52499
(Street and Number) (City or Town, State and Zip Code)

4333 Edgewood Road NE..... Cedar Rapids IA 52499
(Street and Number or P. O. Box) (City or Town, State and Zip Code)

4333 Edgewood Road NE..... Cedar Rapids IA 52499
(Street and Number) (City or Town, State and Zip Code)

www.aegonins.com

Brenda Kay Kraemer
(Name)
brenda.kraemer@transamerica.com
(E-Mail Address)

Employer's ID Number..... 31-4423946

Country of Domicile US

Commenced Business..... April 15, 1958

319-355-8511
(Area Code) (Telephone Number)

319-355-8511
(Area Code) (Telephone Number)

319-355-8549
(Area Code) (Telephone Number) (Extension)
319-355-2210
(Fax Number)

OFFICERS

Name	Title	Name	Title
1. Edward H. Walker III	President	2. Michael Allen Eubanks	Senior Vice President, Secretary & Division General Counsel
3. Eric John Martin	Senior Vice President & Corporate Controller	4.	

OTHER

DIRECTORS OR TRUSTEES

Craig Dean Vermie	Brenda Kay Clancy	Martha Ann McConnell	Brian Arthur Smith
Michael Allen Eubanks #	Glyn Douglas Mangum Jr	Edward H. Walker III	

State of..... Iowa
County of..... Linn

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Edward H. Walker III	Michael Allen Eubanks	Eric John Martin
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
President	Senior Vice President, Secretary & Division General Counsel	Senior Vice President & Corporate Controller
(Title)	(Title)	(Title)

Subscribed and sworn to before me

This 12th day of November, 2012

a. Is this an original filing?

b. If no:

1. State the amendment number

2. Date filed

3. Number of pages attached

Yes [X] No []

ASSETS

	Current Statement Date			4
	1	2	3	
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1. Bonds.....	243,780,885	0	243,780,885	211,968,336
2. Stocks:				
2.1 Preferred stocks.....	0	0	0	0
2.2 Common stocks.....	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens.....	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	0	0	0	0
4.2 Properties held for the production of income (less \$.....0 encumbrances).....	0	0	0	0
4.3 Properties held for sale (less \$.....0 encumbrances).....	0	0	0	0
5. Cash (\$.....(16,133,085)), cash equivalents (\$.....14,997,750) and short-term investments (\$.....18,555,347).....	17,420,012	0	17,420,012	14,952,105
6. Contract loans (including \$.....0 premium notes).....	0	0	0	0
7. Derivatives.....	0	0	0	0
8. Other invested assets.....	96,316	0	96,316	32,492
9. Receivables for securities.....	0	0	0	0
10. Securities lending reinvested collateral assets.....	26,119,786	0	26,119,786	22,001,094
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	287,416,999	0	287,416,999	248,954,027
13. Title plants less \$.....0 charged off (for Title insurers only).....	0	0	0	0
14. Investment income due and accrued.....	2,403,319	0	2,403,319	2,202,001
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	5,258,243	0	5,258,243	5,722,158
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	0	0	0	0
15.3 Accrued retrospective premiums.....	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	7,731,787	0	7,731,787	10,321,171
16.2 Funds held by or deposited with reinsured companies.....	20,414	0	20,414	20,415
16.3 Other amounts receivable under reinsurance contracts.....	0	0	0	0
17. Amounts receivable relating to uninsured plans.....	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon.....	0	0	0	0
18.2 Net deferred tax asset.....	6,499,029	2,352,829	4,146,200	5,059,994
19. Guaranty funds receivable or on deposit.....	7,173	0	7,173	6,471
20. Electronic data processing equipment and software.....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$.....0).....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates.....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates.....	253,799	0	253,799	473,685
24. Health care (\$.....0) and other amounts receivable.....	0	0	0	0
25. Aggregate write-ins for other than invested assets.....	4,722,290	0	4,722,290	3,015,251
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	314,313,053	2,352,829	311,960,224	275,775,173
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0	0
28. Total (Lines 26 and 27).....	314,313,053	2,352,829	311,960,224	275,775,173

DETAILS OF WRITE-INS

1101.	0	0	0	0
1102.	0	0	0	0
1103.	0	0	0	0
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Accounts receivable.....	4,487,713	0	4,487,713	2,303,526
2502. State transferrable tax credits.....	234,577	0	234,577	376,225
2503. Prepaid reinsurance premium.....	0	0	0	335,500
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	4,722,290	0	4,722,290	3,015,251

STONEBRIDGE CASUALTY INSURANCE COMPANY

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Losses (current accident year \$....25,898,026).....	60,579,478	53,684,656
2. Reinsurance payable on paid losses and loss adjustment expenses.....	98,274	64,190
3. Loss adjustment expenses.....	3,524,375	3,531,459
4. Commissions payable, contingent commissions and other similar charges.....	6,683,154	7,065,320
5. Other expenses (excluding taxes, licenses and fees).....	887,429	789,168
6. Taxes, licenses and fees (excluding federal and foreign income taxes).....	1,970,754	1,413,687
7.1 Current federal and foreign income taxes (including \$....93,097 on realized capital gains (losses)).....	480,363	316,672
7.2 Net deferred tax liability.....	0	0
8. Borrowed money \$....7,304,062 and interest thereon \$....18,333.....	7,322,395	0
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$....69,089,183 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act.....	32,091,851	27,168,556
10. Advance premium.....	0	0
11. Dividends declared and unpaid:		
11.1 Stockholders.....	0	0
11.2 Policyholders.....	0	0
12. Ceded reinsurance premiums payable (net of ceding commissions).....	1,270,315	3,109,078
13. Funds held by company under reinsurance treaties.....	42,675,999	41,404,991
14. Amounts withheld or retained by company for account of others.....	0	0
15. Remittances and items not allocated.....	297,771	205,475
16. Provision for reinsurance.....	8,979,543	8,149,786
17. Net adjustments in assets and liabilities due to foreign exchange rates.....	0	0
18. Drafts outstanding.....	0	0
19. Payable to parent, subsidiaries and affiliates.....	14,949,234	13,817,748
20. Derivatives.....	0	0
21. Payable for securities.....	0	0
22. Payable for securities lending.....	26,119,786	22,001,094
23. Liability for amounts held under uninsured plans.....	0	0
24. Capital notes \$.....0 and interest thereon \$.....0.....	0	0
25. Aggregate write-ins for liabilities.....	3,475,198	3,241,587
26. Total liabilities excluding protected cell liabilities (Lines 1 through 25).....	211,405,919	185,963,467
27. Protected cell liabilities.....	0	0
28. Total liabilities (Lines 26 and 27).....	211,405,919	185,963,467
29. Aggregate write-ins for special surplus funds.....	0	0
30. Common capital stock.....	8,724,386	8,724,386
31. Preferred capital stock.....	0	0
32. Aggregate write-ins for other than special surplus funds.....	0	1,969,200
33. Surplus notes.....	0	0
34. Gross paid in and contributed surplus.....	67,217,108	67,217,108
35. Unassigned funds (surplus).....	24,612,811	11,901,012
36. Less treasury stock, at cost:		
36.10.000 shares common (value included in Line 30 \$.....0).....	0	0
36.20.000 shares preferred (value included in Line 31 \$.....0).....	0	0
37. Surplus as regards policyholders (Lines 29 to 35, less 36).....	100,554,305	89,811,706
38. Totals.....	311,960,224	275,775,173

DETAILS OF WRITE-INS		
2501. Accident & sickness active life reserves.....	2,585,815	2,251,913
2502. Contingency reserves.....	889,383	989,674
2503.	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	3,475,198	3,241,587
2901.	0	0
2902.	0	0
2903.	0	0
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	0	0
3201. Increase in admitted deferred tax assets pursuant to SSAP No. 10R.....	0	1,969,200
3202.	0	0
3203.	0	0
3298. Summary of remaining write-ins for Line 32 from overflow page.....	0	0
3299. Totals (Lines 3201 thru 3203 plus 3298) (Line 32 above).....	0	1,969,200

STONEBRIDGE CASUALTY INSURANCE COMPANY
STATEMENT OF INCOME

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned:			
1.1 Direct..... (written \$.....225,056,539).....	243,164,051	194,272,523	263,443,547
1.2 Assumed..... (written \$.....(93,062)).....	2,647,238	3,372,847	4,541,116
1.3 Ceded..... (written \$.....92,086,157).....	119,354,854	111,607,438	144,845,686
1.4 Net..... (written \$.....132,877,320).....	126,456,435	86,037,932	123,138,977
DEDUCTIONS:			
2. Losses incurred (current accident year \$.....73,052,418):			
2.1 Direct.....	108,626,102	90,221,525	126,046,859
2.2 Assumed.....	3,360,769	2,361,557	1,844,290
2.3 Ceded.....	43,186,929	41,816,321	56,910,207
2.4 Net.....	68,799,942	50,766,761	70,980,942
3. Loss adjustment expenses incurred.....	61,849	(71,311)	2,535,205
4. Other underwriting expenses incurred.....	49,519,586	32,415,528	46,315,330
5. Aggregate write-ins for underwriting deductions.....	0	0	0
6. Total underwriting deductions (Lines 2 through 5).....	118,381,377	83,110,978	119,831,477
7. Net income of protected cells.....	0	0	0
8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7).....	8,075,058	2,926,954	3,307,500
INVESTMENT INCOME			
9. Net investment income earned.....	7,849,418	7,662,628	10,172,971
10. Net realized capital gains (losses) less capital gains tax of \$.....91,146.....	147,656	100,995	140,946
11. Net investment gain (loss) (Lines 9 + 10).....	7,997,074	7,763,623	10,313,917
OTHER INCOME			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....0 amount charged off \$.....0).....	0	0	0
13. Finance and service charges not included in premiums.....	0	0	0
14. Aggregate write-ins for miscellaneous income.....	159,055	298,176	543,861
15. Total other income (Lines 12 through 14).....	159,055	298,176	543,861
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15).....	16,231,187	10,988,753	14,165,278
17. Dividends to policyholders.....	0	0	0
18. Net income after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17).....	16,231,187	10,988,753	14,165,278
19. Federal and foreign income taxes incurred.....	5,281,357	3,229,372	3,926,966
20. Net income (Line 18 minus Line 19) (to Line 22).....	10,949,830	7,759,381	10,238,312
CAPITAL AND SURPLUS ACCOUNT			
21. Surplus as regards policyholders, December 31 prior year.....	89,811,706	108,852,072	108,852,073
22. Net income (from Line 20).....	10,949,830	7,759,381	10,238,312
23. Net transfers (to) from Protected Cell accounts.....	0	0	0
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....502,610.....	933,418	634,639	441,443
25. Change in net unrealized foreign exchange capital gain (loss).....	0	0	0
26. Change in net deferred income tax.....	(323,003)	(321,447)	(1,191,250)
27. Change in nonadmitted assets.....	(88,180)	(85,213)	809,729
28. Change in provision for reinsurance.....	(829,757)	320,230	1,125,854
29. Change in surplus notes.....	0	0	0
30. Surplus (contributed to) withdrawn from protected cells.....	0	0	0
31. Cumulative effect of changes in accounting principles.....	0	0	0
32. Capital changes:			
32.1 Paid in.....	0	0	0
32.2 Transferred from surplus (Stock Dividend).....	0	0	0
32.3 Transferred to surplus.....	0	0	0
33. Surplus adjustments:			
33.1 Paid in.....	0	(14,000,000)	(14,000,000)
33.2 Transferred to capital (Stock Dividend).....	0	0	0
33.3 Transferred from capital.....	0	0	0
34. Net remittances from or (to) Home Office.....	0	0	0
35. Dividends to stockholders.....	0	(16,000,000)	(16,000,000)
36. Change in treasury stock.....	0	0	0
37. Aggregate write-ins for gains and losses in surplus.....	100,291	(436,487)	(464,455)
38. Change in surplus as regards policyholders (Lines 22 through 37).....	10,742,599	(22,128,897)	(19,040,367)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38).....	100,554,305	86,723,175	89,811,706
DETAILS OF WRITE-INS			
0501.	0	0	0
0502.	0	0	0
0503.	0	0	0
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above).....	0	0	0
1401. Miscellaneous income.....	908,890	949,624	1,254,950
1402. Funds withheld ceded investment income.....	(415,005)	(390,592)	(518,121)
1403. Accident and sickness active life reserve.....	(333,902)	(260,706)	(192,818)
1498. Summary of remaining write-ins for Line 14 from overflow page.....	(928)	(150)	(150)
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	159,055	298,176	543,861
3701. Change in contingency reserve.....	100,291	0	(26,503)
3702. Change in admitted deferred tax assets pursuant to SSAP No. 10R.....	0	(436,487)	(437,952)
3703.	0	0	0
3798. Summary of remaining write-ins for Line 37 from overflow page.....	0	0	0
3799. Totals (Lines 3701 thru 3703 plus 3798) (Line 37 above).....	100,291	(436,487)	(464,455)

CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance	130,004,882	95,136,306	124,193,281
2. Net investment income	7,916,557	7,576,228	10,324,089
3. Miscellaneous income	393,175	298,176	543,861
4. Total (Lines 1 through 3)	138,314,614	103,010,710	135,061,231
5. Benefit and loss related payments	59,350,583	53,142,779	75,154,901
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	48,873,135	35,276,715	49,344,124
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ 91,145 tax on capital gains (losses)	5,521,312	2,204,838	4,941,388
10. Total (Lines 5 through 9)	113,745,030	90,624,332	129,440,413
11. Net cash from operations (Line 4 minus Line 10)	24,569,584	12,386,378	5,620,818
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	45,365,192	18,313,377	22,483,340
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	352	4,628,214	49
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	(1,306)	0	1,041
12.7 Miscellaneous proceeds	0	0	10,615,004
12.8 Total investment proceeds (Lines 12.1 to 12.7)	45,364,238	22,941,591	33,099,434
13. Cost of investments acquired (long-term only):			
13.1 Bonds	75,731,349	9,783,885	18,279,630
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	97,794	52,873	110,835
13.6 Miscellaneous applications	4,118,692	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	79,947,835	9,836,758	18,390,465
14. Net increase (decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(34,583,597)	13,104,833	14,708,969
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	(14,000,000)	(14,000,000)
16.3 Borrowed funds	7,304,062	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	16,000,000	16,000,000
16.6 Other cash provided (applied)	5,177,861	(8,499,755)	(5,162,114)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	12,481,923	(38,499,755)	(35,162,114)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)	2,467,910	(13,008,544)	(14,832,327)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	14,952,104	29,784,432	29,784,432
19.2 End of period (Line 18 plus Line 19.1)	17,420,014	16,775,887	14,952,104

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001	0	0	0
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NOTES TO FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed by the Ohio Department of Insurance. The Ohio Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operation of an insurance company for determining its solvency under Ohio Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Ohio. While the Commissioner of Insurance has the right to permit specific practices that deviate from prescribed practices, none are included within this financial statement.

A reconciliation of the Company's net income and capital and surplus between NAIC SAP and practices prescribed by the State of Ohio is shown below:

	<u>September 30, 2012</u>	<u>December 31, 2011</u>
Net Income, State of Ohio Basis	\$ 10,949,830	\$ 10,238,312
State Prescribed Practices	0	0
State Permitted Practices	0	0
Net Income, NAIC SAP	<u>\$ 10,949,830</u>	<u>\$ 10,238,312</u>
Statutory Surplus, State of Ohio Basis	\$ 100,554,305	\$ 89,811,706
State Prescribed Practices	0	0
State Permitted Practices	0	0
Statutory Surplus, NAIC SAP	<u>\$ 100,554,305</u>	<u>\$ 89,811,706</u>

2. Accounting Changes and Corrections of Errors

Effective January 1, 2012, the Company adopted revisions to SSAP No. 100, *Fair Value Measurements*. These revisions require new disclosures of fair value hierarchy and the method used to obtain the fair value measurement, a new footnote that summarizes hierarchy levels by type of financial instrument, and gross presentation of purchases, sales, issues and settlements within the reconciliation for fair value measurements categorized within Level 3 of the hierarchy.

Effective January 1, 2012, the Company began computing current and deferred income taxes in accordance with SSAP No. 101, *Income Taxes, A Replacement of SSAP No. 10R and SSAP No. 10*. This statement establishes statutory accounting principles for current and deferred federal and foreign income taxes and current state income taxes. The effect of this statement was not material to the financial statements.

3. Business Combinations and Goodwill

No significant change.

4. Discontinued Operations

No significant change.

5. Investments

D. Loan-Backed Securities

(2) Aggregate totals for loan-backed securities with a recognized other-than-temporary impairment during the current reporting period are shown below classified on the basis of the other-than-temporary impairment:

	1	2	3	4
	Book/Adj Carrying Value Amortized Cost Before Current Period OTTI	Recognized Other-Than-Temporary Impairment	Amortized Cost After Other-Than-Temporary Impairment	Fair Value
1st Qtr Present Value of Cash Flows Expected to be Less than the Amortized Cost Basis	\$ 1,886,024	\$ 4,031	\$ 1,881,993	\$ 1,577,836
2nd Qtr Present Value of Cash Flows Expected to be Less than the Amortized Cost Basis	2,783,489	24,875	2,758,614	2,354,442
3rd Qtr Present Value of Cash Flows Expected to be Less than the Amortized Cost Basis	48,237	1,449	46,788	27,941
4th Qtr Present Value of Cash Flows Expected to be Less than the Amortized Cost Basis	-	-	-	-
Aggregate Total	\$ 4,717,750	\$ 30,355	\$ 4,687,395	\$ 3,960,219

NOTES TO FINANCIAL STATEMENTS

(3) Detail for each security with a recognized other-than-temporary impairment, currently held by the reporting entity, where the present value of cash flows expected to be collected is less than the amortized cost basis of the securities, is shown below:

1	2	3	4	5	6	7
CUSIP	Book/Adj Carrying Value Amortized Cost Before Current Period OTTI	Present Value of Projected Cash Flows	Recognized Other-Than-Temporary Impairment	Amortized Cost After Other-Than-Temporary Impairment	Fair Value at Time of OTTI	Date of Financial Statement Where Reported
22942KCA6	\$ 491,068	\$ 453,135	\$ 37,933	\$ 453,135	\$ 253,559	3Q 2009
22942KCA6	453,135	442,465	10,670	442,465	262,698	3Q 2009
43718MAD6	5,620,894	5,307,233	313,661	5,307,233	2,898,766	4Q 2009
22942KCA6	406,690	402,099	4,592	402,099	298,213	1Q 2010
43718MAD6	5,226,795	5,002,824	223,971	5,002,824	2,919,386	1Q 2010
74925FAA1	917,213	876,094	41,119	876,094	812,403	1Q 2010
22942KCA6	383,425	380,456	2,969	380,456	273,804	2Q 2010
52522QAM4	662,553	633,842	28,711	633,842	451,831	2Q 2010
65536PAA8	157,996	148,508	9,488	148,508	135,313	2Q 2010
22942KCA6	365,655	364,641	1,014	364,641	284,816	3Q 2010
65536PAA8	87,271	80,446	6,825	80,446	75,595	3Q 2010
22942KCA6	350,148	326,654	23,494	326,654	266,028	4Q 2010
52522QAM4	579,986	574,453	5,534	574,453	442,345	4Q 2010
74925FAA1	766,041	733,740	32,301	733,740	720,926	4Q 2010
22942KCA6	306,869	272,405	34,464	272,405	232,293	2Q 2011
65536PAA8	61,066	59,655	1,411	59,655	33,419	2Q 2011
65536PAA8	58,247	56,731	1,516	56,731	27,816	3Q 2011
52522QAM4	502,892	470,482	32,410	470,482	373,392	4Q 2011
65536PAA8	55,670	55,076	594	55,076	22,761	4Q 2011
74925FAA1	635,290	611,184	24,107	611,184	586,800	4Q 2011
65536PAA8	54,490	53,573	918	53,573	25,245	1Q 2012
36185MAF9	1,831,534	1,828,420	3,114	1,828,420	1,552,591	1Q 2012
52522QAM4	442,124	435,998	6,126	435,998	370,944	2Q 2012
65536PAA8	52,970	49,686	3,284	49,686	23,934	2Q 2012
74925FAA1	563,546	560,459	3,086	560,459	557,181	2Q 2012
36185MAF9	1,724,849	1,712,471	12,379	1,712,471	1,402,382	2Q 2012
65536PAA8	48,237	46,788	1,449	46,788	27,941	3Q 2012
Total	xxx	xxx	\$ 867,137	xxx	xxx	

(4) The unrealized losses of loan-backed securities where fair value is less than cost or amortized cost for which an other-than-temporary impairment has not been recognized in earnings at September 30, 2012 are as follows:

a. Aggregate amount of unrealized losses:			
1.	Less than 12 Months	\$	101,478
2.	12 Months or Longer	\$	1,712,565
b. Aggregate related fair value of securities with unrealized losses:			
1.	Less than 12 Months	\$	1,442,158
2.	12 Months or Longer	\$	16,892,603

E. Repurchase Agreements

For mortgage-backed securities dollar roll agreements, 100% of the fair market value of the securities is transferred by the counterparty to the Company as collateral. Cash received as collateral will be invested as needed or used for general corporate purposes of the Company. At September 30, 2012, securities with a book value of \$7,270,624 and a fair value of \$7,430,613 were subject to dollar roll repurchase agreements. These securities have a maturity dates that range from January 1, 2042 to June, 1, 2042.

6. Joint Ventures, Partnerships, and Limited Liability Companies

No significant change.

7. Investment Income

No significant change.

8. Derivative Instruments

No significant change.

9. Income Taxes

No significant change.

10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant change.

11. Debt

The Company has an outstanding liability for borrowed money in the amount of \$7,322,395 due to participation in dollar repurchase agreements which includes accrued interest.

12. Retirement Plans, Deferred Compensation, Post-Employment Benefits and Compensated Absences, and Other Post-Retirement Benefit Plans

No significant change.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

NOTES TO FINANCIAL STATEMENTS

14. Contingencies

No significant change.

15. Leases

No significant change.

16. Information About Financial Instruments with Off-Balance Sheet Risk And Financial Instruments With Concentrations of Credit Risk

No significant change.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

C. Wash Sales - None

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

19. Direct Premiums Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

20. Fair Value Measurements

A. (1) Fair Value Measurements at Reporting Date

(1) Description	(2) (Level 1)	(3) (Level 2)	(4) (Level 3)	(5) Total
a. Assets at fair value				
Bonds				
Industrial and Misc	\$ -	\$ 923,418	\$ 5,393,354	\$ 6,316,772
Total Bonds	\$ -	\$ 923,418	\$ 5,393,354	\$ 6,316,772
Short Term				
Industrial and Misc	\$ -	\$ 14,997,600	\$ -	\$ 14,997,600
Mutual Funds	-	18,555,351	-	18,555,351
Total Short Term	\$ -	\$ 33,552,951	\$ -	\$ 33,552,951
Total assets at fair value	<u>\$ -</u>	<u>\$ 34,476,369</u>	<u>\$ 5,393,354</u>	<u>\$ 39,869,723</u>
b. Liabilities at fair value	\$ -	\$ -	\$ -	\$ -
Total liabilities at fair value	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

	(1) Balance at 06/30/2012	(2) Transfers in (Level 3)	(3) Transfers out (Level 3)	(4) Total Gains and (Losses) Included in Net Income	(5) Total Gains and (Losses) Included in Surplus
Bonds					
RMBS	\$ 5,745,138	\$ -	\$ -	\$ 731	\$ 373,341
Total	\$ 5,745,138	\$ -	\$ -	\$ 731	\$ 373,341

	(6) Issuances Acq Cost	(6) Purchase Acq Cost	(6) Sales Dsp Cost	(6) Settlements Dsp Cost	(7) Balance at 09/30/2012
Bonds					
RMBS	\$ -	\$ -	\$ -	\$ 725,856	\$ 5,393,354
Total	\$ -	\$ -	\$ -	\$ 725,856	\$ 5,393,354

(3) The Company's policy is to recognize transfers in and out of Level 3 as of the beginning of the reporting period.

(4) Bonds classified in Level 2 are valued using inputs from third party pricing services or corroborated broker quotes. Level 3 measurements for bonds are primarily those valued using non-corroborated broker quotes.

Short term investments are classified as Level 2 as they are carried at amortized cost, which approximates fair value

C. Fair Value Hierarchy by Type of Financial Instrument

(1) Type of Financial Instrument	(2) Aggregate Fair Value	(3) Admitted Assets	(4) (Level 1)	(5) (Level 2)	(6) (Level 3)	(7) Not Practicable (Carrying Value)
Bonds	\$ 264,714,924	\$ 243,780,885	\$ 41,859,560	\$ 217,462,010	\$ 5,393,354	\$ -
Short Term	17,419,866	17,420,016	-	17,419,866	-	-
	<u>\$ 282,134,790</u>	<u>\$ 261,200,901</u>	<u>\$ 41,859,560</u>	<u>\$ 234,881,876</u>	<u>\$ 5,393,354</u>	<u>\$ -</u>

21. Other Items

No significant change.

NOTES TO FINANCIAL STATEMENTS

22. Events Subsequent

As of November 9, 2012 the Company is not aware of any Type I Recognized or Type II Non-recognized events subsequent to September 30, 2012, which would have a material adverse effect upon the financial condition of the Company.

23. Reinsurance

No significant change.

24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change.

25. Change in Incurred Losses and Loss Adjustment Expenses

There were no significant changes during the nine months ended September 30, 2012.

26. Intercompany Pooling Arrangements

No significant change.

27. Structured Settlements

No significant change.

28. Health Care Receivables

No significant change.

29. Participating Policies

No significant change.

30. Premium Deficiency Reserves

No significant change.

31. High Deductibles

No significant change.

32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

No significant change.

33. Asbestos/Environmental Reserves

No significant change.

34. Subscriber Savings Accounts

No significant change.

35. Multiple Peril Crop Insurance

No significant change.

36. Financial Guaranty Insurance

B. Not Applicable

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES - GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [☐]

No [☒ X]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [☐]

No [☐]

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [☐]

No [☒ X]

2.2

If yes, date of change:

.....

3.

Have there been any substantial changes in the organizational chart since the prior quarter end?
If yes, complete the Schedule Y-Part 1 - Organizational chart.

Yes [☐]

No [☒ X]

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [☐]

No [☒ X]

4.2

If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [☐]

No [☒ X]

N/A [☐]

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2009.....

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2009.....

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

6/28/2011.....

6.4

By what department or departments?
Ohio, Iowa, New York, Arkansas and Vermont

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [☒ X]

No [☐]

N/A [☐]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [☒ X]

No [☐]

N/A [☐]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [☐]

No [☒ X]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [☐]

No [☒ X]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [☐]

No [☒ X]

8.4

If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [☒ X]

No [☐]

9.11

If the response to 9.1 is No, please explain:

9.2

Has the code of ethics for senior managers been amended?

Yes [☐]

No [☒ X]

9.21

If the response to 9.2 is Yes, provide information related to amendment(s).

9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [☐]

No [☒ X]

Q07

STONEBRIDGE CASUALTY INSURANCE COMPANY
GENERAL INTERROGATORIES
PART 1 - COMMON INTERROGATORIES - GENERAL

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

PART 1 - FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No []

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$.....0

PART 1 - INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [X] No []

11.2 If yes, give full and complete information relating thereto:
There are assets subject to Dollar Repurchase Agreements.

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$.....96,316

13. Amount of real estate and mortgages held in short-term investments: \$.....0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No [X]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds.....	\$0	\$0
14.22 Preferred Stock.....	\$0	\$0
14.23 Common Stock.....	\$0	\$0
14.24 Short-Term Investments.....	\$0	\$0
14.25 Mortgage Loans on Real Estate.....	\$0	\$0
14.26 All Other.....	\$0	\$0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above.....	\$0	\$0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

16. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []

16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
BNY Mellon	New York, NY

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

16.3 Have there been any changes, including name changes, in the custodian(s) identified in 16.1 during the current quarter? Yes [] No [X]

16.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

16.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
114537	AEGON USA INVESTMENT MANAGEMENT, LLC	Cedar Rapids, IA

17.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No []

17.2 If no, list exceptions:

STONEBRIDGE CASUALTY INSURANCE COMPANY
GENERAL INTERROGATORIES (continued)

PART 2

PROPERTY & CASUALTY INTERROGATORIES

1.

If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change?
If yes, attach an explanation.

Yes [☐] No [☒] N/A [☐]

2.

Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?
If yes, attach an explanation.

Yes [☐] No [☒]

3.1

Have any of the reporting entity's primary reinsurance contracts been canceled?

Yes [☐] No [☒]

3.2

If yes, give full and complete information thereto:

4.1

Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see Annual Statement Instructions pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero?

Yes [☐] No [☒]

4.2

If yes, complete the following schedule:

1	2	3	Total Discount				Discount Taken During Period			
Line of Business	Maximum Interest	Disc. Rate	4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 Total	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 Total
	0.0	0.000 %	0	0	0	0	0	0	0	0
Total.....	XXX...	XXX.....	0	0	0	0	0	0	0	0

5.

Operating Percentages:

5.1

A&H loss percent

0.0 %

5.2

A&H cost containment percent

0.0 %

5.3

A&H expense percent excluding cost containment expenses

21.0 %

6.1

Do you act as a custodian for health savings accounts?

Yes [☐] No [☒]

6.2

If yes, please provide the amount of custodial funds held as of the reporting date.

0

6.3

Do you act as an administrator for health savings accounts?

Yes [☐] No [☒]

6.4

If yes, please provide the amount of funds administered as of the reporting date.

0

Q08

SCHEDULE F - CEDED REINSURANCE

Showing All New Reinsurers - Current Year to Date

1 NAIC Company Code	2 Federal ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Is Insurer Authorized? (YES or NO)
All Other Insurers				
00000.....	AA-0053521.....	Blendon 3144 Reinsurance Company Ltd.....	Turks & Caicos.....	NO.....
00000.....	98-0634625.....	Horizon Services Reinsurance Ltd.....	Turks & Caicos.....	NO.....
37273.....	39-1338397.....	Axis Insurance Company.....	Illinois.....	Yes.....
00000.....	AA-0052984.....	Buggiemandu Reins Co Ltd.....	Turks & Caicos.....	NO.....
00000.....	AA-1120841.....	Chartis Europe Limited.....	Great Britian.....	NO.....
11551.....	35-2293075.....	Endurance Reins Corp of Amer.....	Delaware.....	Yes.....
00000.....	AA-3190060.....	Hannover Re (Bermuda).....	Bermuda.....	NO.....
42374.....	74-2195939.....	Houston Casualty Company.....	Texas.....	No.....
00000.....	AA-1126033.....	LLYOD'S SYNDICATE # 33.....	GB.....	Yes.....
00000.....	AA-1126457.....	LLYOD'S SYNDICATE # 457.....	GB.....	Yes.....
00000.....	AA-1126510.....	LLYOD'S SYNDICATE # 510.....	GB.....	Yes.....
00000.....	AA-1126727.....	LLYOD'S SYNDICATE # 727.....	GB.....	Yes.....
00000.....	AA-1126780.....	LLYOD'S SYNDICATE # 780.....	GB.....	Yes.....
00000.....	AA-1127084.....	LLYOD'S SYNDICATE # 1084.....	GB.....	Yes.....
00000.....	AA-1127183.....	LLYOD'S SYNDICATE # 1183.....	GB.....	Yes.....
00000.....	AA-1127206.....	LLYOD'S SYNDICATE # 1206.....	GB.....	Yes.....
00000.....	AA-1120103.....	LLYOD'S SYNDICATE # 1967.....	GB.....	Yes.....
00000.....	AA-1128001.....	LLYOD'S SYNDICATE # 2001.....	GB.....	Yes.....
00000.....	AA-1128003.....	LLYOD'S SYNDICATE # 2003.....	GB.....	Yes.....
00000.....	AA-1128012.....	LLYOD'S SYNDICATE # 2012.....	GB.....	Yes.....
00000.....	AA-1128488.....	LLYOD'S SYNDICATE # 2488.....	GB.....	Yes.....
00000.....	AA-1128791.....	LLYOD'S SYNDICATE # 2791.....	GB.....	Yes.....
00000.....	AA-1128987.....	LLYOD'S SYNDICATE # 2987.....	GB.....	Yes.....
00000.....	AA-1120055.....	LLYOD'S SYNDICATE # 3623.....	GB.....	Yes.....
00000.....	AA-1120075.....	LLYOD'S SYNDICATE # 4020.....	GB.....	Yes.....
00000.....	AA-1126004.....	LLYOD'S SYNDICATE # 4444.....	GB.....	Yes.....
00000.....	AA-1126006.....	LLYOD'S SYNDICATE # 4472.....	GB.....	Yes.....
00000.....	AA-1126003.....	LLYOD'S SYNDICATE # 5000.....	GB.....	Yes.....
00000.....	AA-1840000.....	Mapgre Re Compania de Reaseguros, S.A.....	Spain.....	No.....
00000.....	AA-1440076.....	Sirius Intl Ins. Corp.....	Sweden.....	No.....

SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

States, Etc.		1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
			2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
1.	Alabama.....AL	L.....	1,981,534	1,028,762	2,051,350	1,191,001	19,205,958	22,611,441
2.	Alaska.....AK	L.....	277,432	192,322	113,627	87,612	51,269	43,819
3.	Arizona.....AZ	L.....	5,541,902	2,834,358	2,819,146	1,376,942	1,326,545	664,186
4.	Arkansas.....AR	L.....	990,257	734,722	465,452	345,394	209,012	167,364
5.	California.....CA	L.....	26,751,610	23,708,618	13,162,274	10,976,545	5,980,530	5,181,852
6.	Colorado.....CO	L.....	3,093,579	1,771,961	1,423,830	987,165	616,819	467,099
7.	Connecticut.....CT	L.....	3,767,364	2,283,872	1,992,373	1,720,787	850,848	777,248
8.	Delaware.....DE	L.....	924,049	577,320	275,160	311,713	120,488	142,684
9.	District of Columbia.....DC	L.....	666,173	447,777	219,803	159,721	113,075	87,612
10.	Florida.....FL	L.....	19,513,551	11,984,328	10,207,948	8,151,958	4,508,271	3,873,605
11.	Georgia.....GA	L.....	4,638,496	3,192,188	3,097,506	3,388,572	2,246,524	2,053,135
12.	Hawaii.....HI	L.....	1,153,827	463,368	321,661	223,390	146,047	104,164
13.	Idaho.....ID	L.....	593,866	327,783	307,737	169,079	136,314	81,272
14.	Illinois.....IL	L.....	6,191,505	4,234,949	3,148,521	2,274,184	1,428,743	1,121,902
15.	Indiana.....IN	L.....	2,079,734	1,653,078	910,130	993,563	424,180	504,648
16.	Iowa.....IA	L.....	4,195,234	3,564,506	620,705	269,041	269,460	125,037
17.	Kansas.....KS	L.....	1,372,002	837,537	668,877	471,303	388,177	271,624
18.	Kentucky.....KY	L.....	1,716,042	1,094,125	673,573	620,167	301,496	309,425
19.	Louisiana.....LA	L.....	1,708,503	1,261,233	803,596	575,533	382,722	280,817
20.	Maine.....ME	L.....	1,160,014	868,413	491,886	273,992	287,492	177,475
21.	Maryland.....MD	L.....	4,986,878	3,729,128	2,253,216	2,432,094	1,073,395	1,204,742
22.	Massachusetts.....MA	L.....	15,617,959	13,264,924	4,430,563	2,468,729	1,914,737	1,109,807
23.	Michigan.....MI	L.....	4,536,387	2,773,161	2,023,036	1,579,146	986,356	888,450
24.	Minnesota.....MN	L.....	2,065,702	1,483,046	940,677	837,898	420,241	412,952
25.	Mississippi.....MS	L.....	642,041	523,268	397,278	234,405	1,614,755	1,540,531
26.	Missouri.....MO	L.....	2,565,263	1,784,814	1,243,550	866,474	602,181	433,671
27.	Montana.....MT	L.....	390,491	239,244	195,371	130,740	88,542	65,363
28.	Nebraska.....NE	L.....	1,104,829	502,448	408,107	187,364	194,038	112,149
29.	Nevada.....NV	L.....	1,893,939	1,278,515	1,038,363	1,052,179	567,153	556,372
30.	New Hampshire.....NH	L.....	1,823,652	817,441	379,809	326,992	165,028	147,662
31.	New Jersey.....NJ	L.....	10,192,843	7,666,075	4,369,997	4,269,237	1,997,293	2,053,561
32.	New Mexico.....NM	L.....	1,146,958	770,232	432,992	383,347	289,057	234,478
33.	New York.....NY	L.....	23,797,559	16,600,668	11,898,706	9,868,716	5,237,347	4,534,645
34.	North Carolina.....NC	L.....	6,334,043	3,462,593	1,926,241	1,600,581	850,238	735,172
35.	North Dakota.....ND	L.....	138,249	140,295	75,502	85,041	28,387	39,906
36.	Ohio.....OH	L.....	6,230,480	5,022,610	3,155,224	2,522,834	1,498,102	1,251,514
37.	Oklahoma.....OK	L.....	1,708,691	1,523,021	843,487	947,124	417,509	544,112
38.	Oregon.....OR	L.....	3,052,249	1,811,788	1,295,075	832,304	654,114	557,581
39.	Pennsylvania.....PA	L.....	9,808,222	7,856,134	4,402,824	3,779,312	1,927,170	1,768,094
40.	Rhode Island.....RI	L.....	1,250,672	1,190,601	389,087	339,507	181,088	164,561
41.	South Carolina.....SC	L.....	2,980,072	1,616,567	1,225,904	789,959	14,628,913	14,579,023
42.	South Dakota.....SD	L.....	541,628	471,770	166,726	184,815	92,164	100,781
43.	Tennessee.....TN	L.....	3,015,813	2,251,864	1,941,043	1,909,140	20,993,992	21,073,007
44.	Texas.....TX	L.....	12,667,007	6,283,582	7,270,934	7,156,762	4,115,607	4,485,561
45.	Utah.....UT	L.....	1,706,163	793,385	432,201	300,569	373,938	214,007
46.	Vermont.....VT	L.....	316,744	187,651	164,548	153,142	71,739	68,970
47.	Virginia.....VA	L.....	6,941,502	4,962,719	2,568,037	2,975,510	1,237,759	1,453,111
48.	Washington.....WA	L.....	3,904,891	2,373,324	1,852,351	1,567,812	831,875	769,210
49.	West Virginia.....WV	L.....	643,966	686,860	348,613	349,680	168,332	179,424
50.	Wisconsin.....WI	L.....	2,204,773	1,703,886	1,073,702	1,020,363	489,561	495,676
51.	Wyoming.....WY	L.....	243,078	210,886	83,962	184,403	36,671	83,644
52.	American Samoa.....AS	N.....	22,877	27,648	0	19,198	0	8,522
53.	Guam.....GU	L.....	3,699	3,080	775	0	331	0
54.	Puerto Rico.....PR	N.....	178,277	185,832	103,684	107,635	44,239	47,777
55.	US Virgin Islands.....VI	N.....	19,284	32,406	19,762	33,934	8,432	15,063
56.	Northern Mariana Islands.....MP	N.....	0	267	0	0	0	0
57.	Canada.....CN	N.....	1,943,473	2,665,410	2,808,552	1,859,060	1,198,330	825,205
58.	Aggregate Other Alien.....OT	XXX.....	119,511	886,039	53,084	322,926	22,649	143,342
59.	Totals.....	(a).....52	225,056,539	160,844,402	105,988,138	88,246,594	104,015,233	101,944,055

DETAILS OF WRITE-INS							
5801.	Bolivia.....	XXX.....	5,438	6,966	0	0	0
5802.	Brazil.....	XXX.....	0	0	176	71,708	1,689
5803.	China.....	XXX.....	0	700	0	0	0
5898.	Summary of remaining write-ins for Line 58 from overflow page.....	XXX.....	114,073	878,373	52,908	251,218	20,960
5899.	Totals (Lines 5801 thru 5803 + Line 5898) (Line 58 above).....	XXX.....	119,511	886,039	53,084	322,926	22,649

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domicilled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;

(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

NAME	FEDERAL ID NUMBER	NAIC CO. CODE	DOMICILE	FOOTNOTE
VERENIGING AEGON, NETHERLANDS MEMBERSHIP ASSOCIATION				
AEGON N.V.	Foreign		Netherlands	1
AEGON NEDERLAND N.V.	Foreign		Netherlands	
AEGON NEVAK HOLDING B.V.	Foreign		Netherlands	
AEGON DERIVATIVES N.V.	Foreign		Netherlands	
AEGON INTERNATIONAL B.V.	Foreign		Netherlands	
THE AEGON TRUST	51-6513848		DE	
TRANSAMERICA CORPORATION	42-1484983		DE	
AEGON U.S. HOLDING CORPORATION	13-3350744		DE	
AEGON MANAGEMENT COMPANY	35-1113520		IN	
RIVER RIDGE INSURANCE COMPANY	20-0877184		VT	
SHORT HILLS MANAGEMENT COMPANY	42-1338496		NJ	
AEGON USA, LLC	42-1310237		IA	
AEGON FUNDING COMPANY LLC	42-1489646		DE	
AUSA HOLDING COMPANY	52-1549874		MD	
AUSA PROPERTIES, INC.	27-1275705		IA	
AEGON USA ASSET MANAGEMENT HOLDING, LLC	52-1549874		IA	
AEGON USA INVESTMENT MANAGEMENT, LLC	42-1310237		IA	
PRISMA HOLDINGS, INC. I	20-1063558		DE	
PRISMA HOLDINGS, INC. II	20-1063571		DE	
TRANSAMERICA INVESTMENT MANAGEMENT, LLC	06-1564377		DE	
AEGON USA REALTY ADVISORS, LLC	42-1205796		IA	
AXA EQUITABLE AGRIFINANCE, LLC	32-0342677		DE	2
AEGON USA REAL ESTATE SERVICES, INC.	61-1098396		DE	
AEGON USA REALTY ADVISORS OF CALIFORNIA, INC.	20-5023693		IA	
YARRA RAPIDS MANAGEMENT, LLC	42-1205796		DE	
AEGON ASSET MANAGEMENT SERVICES, INC.	39-1884868		DE	
WORLD FINANCIAL GROUP, INC.	42-1518386		DE	
WFG CHINA HOLDINGS, INC.	20-2541057		DE	
BEIJING DAFU INSURANCE AGENCY CO. LTD.	Foreign		China	3
WFG PROPERTIES HOLDINGS, LLC	20-2133638		GA	
WFG REINSURANCE LIMITED	Foreign		Bermuda	4
TRANSAMERICA REALTY SERVICES, LLC	94-3026780		DE	
AEGON-CMF GP, LLC	94-3026780		DE	
REALTY INFORMATION SYSTEMS, INC.	42-1418160		IA	
TRANSAMERICA AFFORDABLE HOUSING, INC.	94-3252196		CA	
AUSACAN LP	Foreign		Canada	5
CREDITOR RESOURCES, INC.	42-1079584		MI	
CRI CANADA INC.	Foreign		Canada	
CRI SOLUTIONS, INC.	52-1363611		MD	
DIVERSIFIED RETIREMENT CORPORATION	13-3689044		DE	
DIVERSIFIED INVESTMENT ADVISORS, INC.	13-3689044		DE	
DIVERSIFIED INVESTORS SECURITIES CORP.	13-3696753		DE	
DIVERSIFIED ACTUARIAL SERVICES, INC.	04-2770684		MA	
CLARK, LLC	52-2103926		DE	
CLARK CONSULTING, LLC	50-2103928		DE	
CBC INSURANCE REVENUE SECURITIZATION, LLC	73-1652928		DE	
CLARK/BARDES (BERMUDA) LTD.	Foreign		Bermuda	
CLARK INVESTMENT STRATEGIES, INC.	38-3768457		DE	
CLARK SECURITIES, INC.	95-4295824		CA	
CRG INSURANCE AGENCY, INC.	95-4123166		CA	
nVISION FINANCIAL, INC	35-2283987		IA	
INVESTORS WARRANTY OF AMERICA, INC.	42-1154276		IA	
FONG LCS ASSOCIATES, LLC	20-8736609		DE	
IWA COMMERCIAL VENTURE, LLC			GA	6
INTERSTATE NORTH OFFICE PARK OWNER, LLC	42-1154276		DE	
LCS ASSOCIATES, LLC	20-8687142		DE	
PSL ACQUISITIONS, LLC			IA	
PSL ACQUISITIONS OPERATING, LLC			IA	
THH ACQUISITIONS, LLC	42-1154276		IA	
TRANSAMERICA AGENCY NETWORK, INC.	61-1513662		IA	
ONCOR INSURANCE SERVICES, LLC	26-2311888		IA	
MASSACHUSETTS FIDELITY TRUST COMPANY	42-0947998		IA	
MONEY SERVICES, INC.	42-1079580		DE	
TRANSAMERICA TRAVEL AND CONFERENCE SERVICES, LLC	42-1079580		IA	
AEGON DIRECT MARKETING SERVICES INTERNATIONAL, INC.	52-1291367		MD	
MONUMENTAL GENERAL ADMINISTRATORS, INC.	52-1243288		MD	
TRANSAMERICA RESOURCES, INC.	52-1525601		MD	
TRANSAMERICA RETIREMENT SOLUTIONS CORPORATION	31-1281022		OH	
TRANSAMERICA CAPITAL, INC.	95-3141953		CA	
TRANSAMERICA FINANCIAL ADVISORS, INC.	59-2476008		DE	7
TRANSAMERICA FUND SERVICES, INC.	59-3403587		FL	8
UNIVERSAL BENEFITS, LLC	42-1334744		IA	
ZAHORIK COMPANY, INC.	95-2775959		CA	
GLOBAL PREFERRED RE LIMITED	98-0164807		Bermuda	

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

AEGON N.V.			
└ AEGON INTERNATIONAL B.V.			
└ THE AEGON TRUST			
└└ TRANSAMERICA CORPORATION			
└└└ AEGON U.S. HOLDING CORPORATION			
└└└└ AEGON USA, LLC			
└└└└└ TRANSAMERICA ADVISORS LIFE INSURANCE COMPANY			
└└└└└ TRANSAMERICA ADVISORS LIFE INSURANCE COMPANY OF NEW YORK			
└└└└└ RCC NORTH AMERICA LLC			
└└└└└ SOUTHWEST EQUITY LIFE INSURANCE COMPANY			
└└└└└ STONEBRIDGE CASUALTY INSURANCE COMPANY			
└└└└└ TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY			
└└└└└ TRANSAMERICA INTERNATIONAL HOLDINGS, INC.			
└└└└└└ TIHI CANADA HOLDING, LLC			
└└└└└└ TIHI MEXICO, S. DE R.L. DE C.V.			
└└└└└└└ TRANSAMERICA ANNUITY SERVICE CORPORATION			
└└└└└└└ TRANSAMERICA LIFE INSURANCE COMPANY			
└└└└└└└└ TLIC RIVERWOOD REINSURANCE, INC.			
└└└└└└└└ REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC			
└└└└└└└└└ FD TLIC, LIMITED LIABILITY COMPANY			
└└└└└└└└└└ FD TLIC LTD			
└└└└└└└└└└ MALIBU LOAN FUND, LTD			
└└└└└└└└└└ ASIA INVESTMENTS HOLDINGS, LIMITED			
└└└└└└└└└└└ ASIA BUSINESS CONSULTING COMPANY			
└└└└└└└└└└└ TRANSAMERICA CONSULTORA Y SERVICIOS LIMITADA			
└└└└└└└└└└└ TRANSAMERICA LIFE (BERMUDA) LTD.			
└└└└└└└└└└└ ZERO BETA FUND, LLC			
└└└└└└└└└└└ AEGON FINANCIAL SERVICES GROUP, INC.			
└└└└└└└└└└└└ AEGON ASSIGNMENT CORPORATION			
└└└└└└└└└└└└ AEGON ASSIGNMENT CORPORATION OF KENTUCKY			
└└└└└└└└└└└└└ TRANSAMERICA RETIREMENT MANAGEMENT, INC.			
└└└└└└└└└└└└ AEGON MANAGED ENHANCED CASH, LLC			
└└└└└└└└└└└└ AMERICAN BOND SERVICES, LLC			
└└└└└└└└└└└└ PRIMUS GUARANTY, LTD.			
└└└└└└└└└└└└ REAL ESTATE ALTERNATIVES PORTFOLIO 1, LLC			
└└└└└└└└└└└└ REAL ESTATE ALTERNATIVES PORTFOLIO 2, LLC			
└└└└└└└└└└└└ REAL ESTATE ALTERNATIVES PORTFOLIO 3, LLC			
└└└└└└└└└└└└ REAL ESTATE ALTERNATIVES PORTFOLIO 4 HR, LLC			
└└└└└└└└└└└└ REAL ESTATE ALTERNATIVES PORTFOLIO 4 MR, LLC			
└└└└└└└└└└└└ BAY AREA COMMUNITY INVESTMENTS I LP			
└└└└└└└└└└└└ LIFE INVESTORS ALLIANCE LLC			
└└└└└└└└└└└└ LIICA HOLDINGS, LLC			
└└└└└└└└└└└└└ LIICA RE I, INC			
└└└└└└└└└└└└└ LIICA RE II, INC			
└└└└└└└└└└└└ CUPPLES STATE LIHTC INVESTORS, LLC			
└└└└└└└└└└└└ TRANSAMERICA OAKMONT CORPORATION			
└└└└└└└└└└└└└ WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA INC.			
└└└└└└└└└└└└└└ WFG SECURITIES OF CANADA INC.			
└└└└└└└└└└└└└└ WORLD FINANCIAL GROUP SUBHOLDING COMPANY OF CANADA INC.			
└└└└└└└└└└└└└└└ WORLD FINANCIAL GROUP INSURANCE AGENCY OF CANADA INC			
└└└└└└└└└└└└└└└ TRANSAMERICA INTERNATIONAL RE (BERMUDA) LTD.			
└└└└└└└└└└└└└└└└ TRANSAMERICA INT'L RE ESCRITORIO DE REPRESENTACAO NO BRASIL LTD			
└└└└└└└└└└└└└└└ UNITED FINANCIAL SERVICES, INC.			
└└└└└└└└└└└└└└└ WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO			
└└└└└└└└└└└└└└└└ TRANSAMERICA ASSET MANAGEMENT, INC.			
└└└└└└└└└└└└└└└└└ WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.			
└└└└└└└└└└└└└└└└└└ WFG INSURANCE AGENCY OF PUERTO RICO, INC.			
└└└└└└└└└└└└└└└└└└ WORLD FINANCIAL GROUP INSURANCE AGENCY OF HAWAII, INC.			
└└└└└└└└└└└└└└└└└└ WORLD FINANCIAL GROUP INSURANCE AGENCY OF MASSACHUSETTS, INC.			
└└└└└└└└└└└└└└└└└└ WORLD FINANCIAL GROUP INSURANCE AGENCY OF WYOMING, INC			
└└└└└└└└└└└└└└└└ INTERSECURITIES INSURANCE AGENCY, INC			
└└└└└└└└└└└└└└└ COMMONWEALTH GENERAL CORPORATION			
└└└└└└└└└└└└└└└└└ TRANSAMERICA PACIFIC INSURANCE COMPANY, LTD			
└└└└└└└└└└└└└└└└└ TRANSAMERICA STABLE VALUE SOLUTIONS INC.			
└└└└└└└└└└└└└└└└└ AEGON INSTITUTIONAL MARKETS, INC.			
└└└└└└└└└└└└└└└└└└ NEW MARKETS COMMUNITY INVESTMENT FUND, LLC			
└└└└└└└└└└└└└└└└└ AEGON STRUCTURED SETTLEMENTS, INC.			
└└└└└└└└└└└└└└└ AFSG SECURITIES CORPORATION			
└└└└└└└└└└└└└└└ FINANCIAL PLANNING SERVICES, INC.			
└└└└└└└└└└└└└└└ GARNET ASSURANCE CORPORATION II			
└└└└└└└└└└└└└└└ AEGON ALLIANCES, INC.			
└└└└└└└└└└└└└└└ MONUMENTAL LIFE INSURANCE COMPANY			
└└└└└└└└└└└└└└└└ AEGON DIRECT MARKETING SERVICES, INC.			
└└└└└└└└└└└└└└└└└ TRANSAMERICA AFFINITY SERVICES, INC.			
└└└└└└└└└└└└└└└└└└ TRANSAMERICA INTERNATIONAL DIRECT MARKETING CONSULTANTS, LLC			
└└└└└└└└└└└└└└└└└└└ AEGON DIRECT & AFFINITY MARKETING SERVICES (THAILAND), LTD.			
└└└└└└└└└└└└└└└└└└ BAY STATE COMMUNITY INVESTMENTS I, LLC			
└└└└└└└└└└└└└└└└└ BAY STATE COMMUNITY INVESTMENTS II, LLC			
└└└└└└└└└└└└└└└└└ MCDONALD CORPORATE TAX CREDIT FUND IV LIMITED PARTNERSHIP			
└└└└└└└└└└└└└└└└└ TAHP FUND 1, LLC			
└└└└└└└└└└└└└└└└└ TRANSAMERICA PYRAMID PROPERTIES, LLC			
└└└└└└└└└└└└└└└└└ TRANSAMERICA REALTY INVESTMENT PROPERTIES, LLC			
└└└└└└└└└└└└└└└ STONEBRIDGE BENEFIT SERVICES, INC.			
└└└└└└└└└└└└└└└ STONEBRIDGE GROUP, INC.			
└└└└└└└└└└└└└└└└ STONEBRIDGE LIFE INSURANCE COMPANY			
└└└└└└└└└└└└└└└└└ MLIC RE I, INC.			
└└└└└└└└└└└└└└└└└ PEOPLES BENEFIT SERVICES, LLC			
└└└└└└└└└└└└└└└└└ PINE FALLS RE, INC.			
└└└└└└└└└└└└└└└└└ STONEBRIDGE REINSURANCE COMPANY			
	Foreign		Netherlands
	Foreign		Netherlands
	51-6513848		DE
	42-1484983		DE
	13-3350744		DE
	42-1310237		IA
	91-1325756	79022	AR
	16-1020455	82848	NY
	13-3256226		DE
	86-0455577	98426	AZ
	31-4423946	10952	OH
	36-6071399	70688	NY
	94-2873401		DE
			IA
	Foreign		Mexico
	85-0325648		NM
	39-0989781	86231	IA
	45-3193055	14146	IA
	20-1627078		DE
	01-0969916		NY
			United Kingdom
			DE
	Foreign		Hong Kong
	Foreign		China
	Foreign		Chile
	98-0481010		Bermuda
	26-1298094		DE
	41-1479568		MN
	42-1477359		IL
	61-1314968		KY
	42-1527720		MN
	30-0445264		DE
	39-0989781		IA
	Foreign		Bermuda
	75-2980951		DE
	02-0685017		DE
	20-1378364		DE
	20-3878375		DE
	20-3878417		DE
	94-3392750		CA
	42-1483973		DE
	42-0191090		DE
	20-5984601		VT
	20-5927773		VT
	20-4498171		DE
	94-2993381		CA
	Foreign		Canada
	Foreign		Canada
	Foreign		Canada
	Foreign		Canada
	98-0199561		Bermuda
	Foreign		Brazil
	52-1263786		MD
	43-1162657	91413	OH
	59-3403585		FL
	95-3809372		CA
	66-0621281		PR
	99-0277127		HI
	04-3182849		MA
	42-1519076		WY
	42-1517005		CA
	51-0108922		DE
	94-3304740		HI
	27-0648897		DE
	61-1085329		DE
	20-3318246		IA
	61-1068209		KY
	23-2421076		PA
	23-2130174		DC
	14-1893533		IA
	56-1358257		VA
	52-0419790	66281	IA
	42-1470697		MD
	42-1523438		MD
	SM LLC		MD
	Foreign		Thailand
	52-0419790		DE
	52-0419790		DE
	52-0419790		DE
	52-0419790		DE
	75-2548428		DE
	75-2664959		DE
	03-0164230	65021	VT
	01-0930908		VT
	23-1705984		PA
	26-1552330		VT
	61-1497252		VT

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

AEGON N.V.		
└ AEGON INTERNATIONAL B.V.	Foreign	Netherlands
└ THE AEGON TRUST	Foreign	Netherlands
└└ TRANSAMERICA CORPORATION	51-6513848	DE
└└└ ARC REINSURANCE CORPORATION	42-1484983	DE
└└└ PYRAMID INSURANCE COMPANY, LTD.	99-0300081	HI
└└└ TRANSAMERICA CORPORATION (OREGON)	98-0087891	HI
└└└ TRANSAMERICA FINANCE CORPORATION	98-6021219	OR
└└└└ TRANSAMERICA LEASING HOLDINGS, INC.	95-1077235	DE
└└└└└ TRANSAMERICA FUNDING LP	13-3452993	DE
└└└ TRANSAMERICA COMMERCIAL FINANCE CORPORATION, I		United Kingdom
└└└└ TCFC AIR HOLDINGS, INC.	94-3054228	DE
└└└└└ TRANSAMERICA AVIATION, LLC	32-0092333	DE
└└└└ TCFC ASSET HOLDINGS, INC.		DE
└└└└└ TCF ASSET MANAGEMENT CORPORATION	32-0092334	DE
└└└└ TRANSAMERICA ACCOUNTS HOLDING CORPORATION	84-0642550	DE
└└└└ TRANSAMERICA CONSUMER FINANCE HOLDING COMPANY	36-4162154	DE
└└└└└ TRANSAMERICA HOME LOAN	95-4631538	DE
└└└└ TRANSAMERICA DISTRIBUTION FINANCE OVERSEAS, INC.	95-4390993	CA
└└└└ TRANSAMERICA SMALL BUSINESS CAPITAL, INC.	36-4254366	DE
└└└└ TRANSAMERICA VENDOR FINANCIAL SERVICES CORPORATION	36-4251204	DE
└└└└ TRANSAMERICA VENDOR FINANCIAL SERVICES CORPORATION	36-4134790	DE
└ AEGON DMS HOLDING B.V.	Foreign	Netherlands
└└ AEGON DIRECT & AFFINITY MARKETING SERVICES LIMITED	Foreign	Hong Kong
└└└ AEGON DIRECT MARKETING SERVICES INSURANCE BROKER (HK) LIMITED	Foreign	Hong Kong
└└└ CORNERSTONE INTERNATIONAL HOLDINGS LTD	Foreign	United Kingdom
└└└└ AEGON DIRECT MARKETING SERVICES EUROPE LTD	Foreign	United Kingdom
└└└└ ERFAHRUNGSSCHATZ GMBH	Foreign	Germany
└└└└ STONEBRIDGE INTERNATIONAL INSURANCE LTD	Foreign	United Kingdom
└└└ AEGON DIRECT MARKETING SERVICES KOREA CO., LTD.	Foreign	Korea
└└└└ TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY LTD	08-2507515	Australia
└└└└└ TRANSAMERICA INSURANCE MARKETING ASIA PACIFIC PTY LTD	08-2524730	Australia
└└└└└ AEGON DIRECT & AFFINITY MARKETING SERVICES AUSTRALIA PTY LTD	08-2524785	Australia
└└└ AEGON DIRECT MARKETING SERVICES MEXICO S.A. DE C.V.	Foreign	Mexico
└└└ AEGON DIRECT MARKETING SERVICES MEXICO SERVICIOS S.A. DE C.V.	Foreign	Mexico
└└└ AEGON DIRECT & AFFINITY MARKETING SERVICES CO., LTD	Foreign	Japan
└└└ AEGON DIRECT MARKETING SERVICES, INC	Foreign	Taiwan
└└└└ AEGON LIFE INSURANCE AGENCY, INC.	Foreign	Taiwan
└└└└ TRANSAMERICA AFFINITY MARKETING CORRECTORA DE SEGUROS LTDA	Foreign	Brazil
└└└└ TRANSAMERICA DIRECT MARKETING CONSULTANTS PRIVATE LIMITED	Foreign	India
└ TRANSAMERICA HOLDING B.V.	Foreign	Netherlands
└ AEGON IRELAND HOLDING B.V.	Foreign	Netherlands
└└ AEGON SERVICES (DUBLIN) LIMITED	Foreign	Ireland
└└└ TRANSAMERICA LIFE INTERNATIONAL (BERMUDA) LTD.	Foreign	Bermuda
└ AEGON ASSET MANAGEMENT (CANADA) B.V.	Foreign	Netherlands
└└ AEGON CAPITAL MANAGEMENT INC.	Foreign	Canada
└└ AEGON FUND MANAGEMENT INC	Foreign	Canada
└ AEGON CANADA HOLDING B.V.	Foreign	Netherlands
└└ AEGON CANADA ULC	Foreign	Canada
└└└ CONSUMER MEMBERSHIP SERVICES CANADA INC.	Foreign	Canada
└└└ LEGACY GENERAL INSURANCE COMPANY	140478637	Canada
└└└ SELIENT INC.	Foreign	Canada
└└└ TRANSAMERICA LIFE CANADA	Foreign	Canada
└└└└ CANADIAN PREMIER LIFE INSURANCE COMPANY	140478595	Canada

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Organizational Chart Footnotes:

Ownership is 100% unless otherwise noted. Inclusion of an entity does not necessarily signify control.

- 1) Vereniging AEGON holds voting power in the Common, Preferred A and Preferred B stock of AEGON N.V. totaling 21.665%.
- 2) Members: AEGON USA Realty Advisors, LLC (50%); non-affiliate of AEGON (50%)
- 3) WFG China Holdings, Inc. owns 10%; the remaining 90% is owned by a non-AEGON associated individual
- 4) 51% owned by World Financial Group, Inc.; remaining 49% is annually offered to independent contractors associated with WFG Reinsurance Limited
- 5) General Partner - AUSA Holding Company (1%); Limited Partner - AEGON USA, LLC. (99%)
- 6) Members are: Investors Warranty of America, Inc. (99.9%); non-affiliate of AEGON (0.1%)
- 7) AUSA Holding Company owns 51.60%; AEGON Asset Management Services, Inc. owns 37.62%; Transamerica International Holdings, Inc. owns 10.78%
- 8) AUSA Holding Company owns 56%; Western Reserve Life Assurance Co. of Ohio owns 44%
- 9) RCC Group: FGH USA, LLC; ALH Properties Eight, LLC; ALH Properties Eleven, LLC; ALH Properties Four, LLC; ALH Properties Nine, LLC; ALH Properties Seven, LLC; ALH Properties Seventeen, LLC; ALH Properties Sixteen, LLC; ALH Properties Ten, LLC; ALH Properties Twelve, LLC; ALH Properties Two, LLC; FGH Realty Credit, LLC; FGP West Street Two, LLC; FGP 90 West Street, LLC; FGP West Mezzanine, LLC; FGP West Street, LLC; Fifth FGP, LLC; First FGP, LLC; Fourth FGP, LLC; Second FGP, LLC; Seventh FGP, LLC; The RCC Group, Inc.
- 10) 100% of the voting common stock owned by AEGON USA, LLC is allocated 75% of total cumulative vote. Participating common stock (100% owned by non-AEGON shareholders) is allocated 25% of the total cumulative vote.
- 11) 14,391 shares of Common Stock and 38,609 shares of Preferred Stock owned by AEGON USA, LLC; 2,075 shares of Common Stock and 5,566 shares of Preferred Stock owned by Transamerica Life Insurance Company
- 12) 95% owned by Transamerica International Holdings, Inc.; 5% owned by Transamerica Life Insurance Company
- 13) 676,190 shares Common Stock owned by Transamerica International Holdings, Inc.; 86,590 shares of Preferred Stock owned by Transamerica Corporation; 30,564 shares of Preferred Stock owned by AEGON USA, LLC.

Gamet Subsidiaries: Gamet Assurance Corporation, Gamet Assurance Corporation III, Gamet Community Investments III, LLC, Gamet Community Investments XVIII, LLC, Gamet Community Investments XX, LLC, Gamet Community Investments XXIV, LLC, Gamet Community Investments XXV, LLC, Gamet Community Investments XXVI, LLC, Gamet Community Investments XXVII, LLC, Gamet Community Investments XXVIII, LLC, Gamet Community Investments XXIX, LLC, Gamet Community Investments XXX, LLC, Gamet Community Investments XXXI, LLC, Gamet Community Investments XXXII, LLC, Gamet Community Investments XXXIII, LLC, Gamet Community Investments XXXIV, LLC, Gamet Community Investments XXXV, LLC; Gamet Community Investments XXXVI, LLC

- 14) Members: Transamerica Life Insurance Company (52.6%); Monumental Life Insurance Company (37.0%); Transamerica Financial Life Insurance Company (9.4%); Stonebridge Life Insurance Company (1%)
- 15) This is a Fund that Transamerica Life Insurance Company and Monumental Life Insurance Company are investors in.
- 16) Chilean LLC: Partners are Transamerica Life Insurance Company (95%); Transamerica International Holdings, Inc. (5%)
- 17) Members: Transamerica Life Insurance Company (82.35%); Monumental Life Insurance Company (16.16%); Transamerica Financial Life Insurance Company (1.49%). Manager: AEGON USA Investment Management LLC.
- 18) Members: Transamerica Life Insurance Company (87.76%); Monumental Life Insurance Company (12.24%)
- 19) Partners are: Transamerica Life Insurance Company (13.1%) and non-affiliates of AEGON (58.8%). The remaining 28.1% of stock is publicly owned.
- 20) Members: Transamerica Life Insurance Company (90.96%); Monumental Life Insurance Company (6.30%); Transamerica Financial Life Insurance Company (2.74%). Manager: AEGON USA Realty Advisors, LLC
- 21) Members: Transamerica Life Insurance Company (90.25%); Transamerica Financial Life Insurance Company (7.5%); Stonebridge Life Insurance Company (2.25%). Manager: AEGON USA Realty Advisors, LLC
- 22) Members: Transamerica Life Insurance Company (73.4%); Monumental Life Insurance Company (25.6%); Stonebridge Life Insurance Company (1%). Manager: AEGON USA Realty Advisors, LLC
- 23) Members: Transamerica Life Insurance Company (64%); Monumental Life Insurance Company (32%); Transamerica Financial Life Insurance Company (4%). Manager: AEGON USA Realty Advisors, LLC
- 24) Partners: Transamerica Life Insurance Company (70%); Monumental Life Insurance Company (30%)
- 25) 50% owned by World Financial Group Holding Co. of Canada, Inc.; 50% owned by World Financial Group Subholding Co. of Canada, Inc.
- 26) Quotaholders: Transamerica International Re (Bermuda) Ltd. (95%) and Transamerica International Holdings, Inc. (5%)
- 27) United Financial Sercives, Inc. is doing business in the state of West Virginia as Monumental Financial Services, Inc.
- 28) Western Reserve Life Assurance Co. of Ohio owns 77%; AUSA Holding Company owns 23%
- 29) 26,000 shares of common stock owned by Commonwealth General Corporation; 1,000 shares of common stock owned by Transamerica International Holdings, Inc.
- 30) Ownership: 50% AEGON Institutional Markets, Inc.; 50% AEGON USA Realty Advisors, LLC
- 31) Ownership: 87.72% Commonwealth General Corporation (8,585.39 shares of Class A Common Stock; 3,232.78 shares of Class B Common Stock); 12.28% AEGON USA, LLC (1,206.25 shares of Class A Common Stock; 454.21 shares of Class B Common Stock)

Gamet Subsidiaries: Gamet Community Investments, LLC, Gamet Community Investments II, LLC, Gamet Community Investments IV, LLC, Gamet Community Investments V, LLC, Gamet Community Investments VI, LLC, Gamet Community Investments VII, LLC, Gamet Community Investments VIII, LLC, Gamet Community Investments IX, LLC, Gamet Community Investments X, LLC, Gamet Community Investments XI, LLC, Gamet Community Investments XII, LLC.
- 32) Monumental Life Insurance Company owns 103,324 shares; Commonwealth General Corporation owns 37,161 shares
- 33) Members: ADMS Representative (51%); AEGON Direct Marketing Services, Inc. (49%)
- 34) Members: Transamerica International Direct Marketing Consultants, LLC (97%); various ADMS representatives (3%)
- 35) Sole Member: Monumental Life Insurance Company; Manager: Transamerica Affordable Housing, Inc.
- 36) Partners: Monumental Life Insurance Company - 99.9% General Partner; TAH-McD IV, LLC - 0.10% General Partner
- 37) Members: Monumental Life Insurance Company (100%); Transamerica Affordable Housing, Inc. (non-owner special member);

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

TAH Pentagon Funds, LLC (non-owner manager)

- 38) 1,250 shares of Redeemable Preference Stock owned by Transamerica International Holdings, Inc.; 120,000 shares of Common Stock owned by Transamerica Corporation
- 39) 99% owned by Transamerica Leasing Holdings, Inc.; 1% owned by Transamerica Commercial Finance Corporation
- 40) AEGON Mexico Holding B.V. owns 49,999 shares; AEGON DMS Holding B.V. owns 1 share
- 41) AEGON DMS Holding B.V. owns 749,000 quota shares; AEGON International B.V. owns 1 quota share
- 42) AEGON DMS Holding B.V. owns 99.95%; ADMS representative owns .05%
- 43) AEGON Canada Holding B.V. owns 168,250,002 shares of Common Stock; 1,500 shares of Series II Preferred Stock; 2 shares of Series III Preferred stock. TIHI Canada Holding, LLC owns 1,441,941.26 shares of Class B-Series I Preferred Stock

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
Members														
0.....		00000.....	56-1358257	0.....	0.....		AEGON ALLIANCES, INC.....	VA.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON ASSET MANAGEMENT (CANADA) B.V...	NL.....	NIA.....	AEGON INTERNATIONAL B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	39-1884868	0.....	0.....		AEGON ASSET MANAGEMENT SERVICES, INC.	DE.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	61-1314968	0.....	0.....		AEGON ASSIGNMENT CORPORATION OF KENTUCKY	KY.....	NIA.....	AEGON FINANCIAL SERVICES GROUP, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1477359	0.....	0.....		AEGON ASSIGNMENT CORPORATION.....	IL.....	NIA.....	AEGON FINANCIAL SERVICES GROUP, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON CANADA HOLDING B.V.....	NL.....	NIA.....	AEGON INTERNATIONAL B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON CANADA ULC.....	CN.....	NIA.....	AEGON CANADA HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON CAPITAL MANAGEMENT, INC.....	CN.....	NIA.....	AEGON ASSET MANAGEMENT (CANADA) B.V.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DERIVATIVES N.V.....	NL.....	NIA.....	AEGON N.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT & AFFINITY MARKETING SERVICES LIMITED	HK.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT & AFFINITY MARKETING SERVICES (THAILAND), LTD.	TH.....	NIA.....	TRANSAMERICA INTERNATIONAL DIRECT MARKETING CONSULTANTS, LLC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	08-2524785	0.....	0.....		AEGON DIRECT & AFFINITY MARKETING SERVICES AUSTRALIA PTY., LTD.	AU.....	NIA.....	TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY, LTD.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT MARKETING SERVICES EUROPE, LTD.	GB.....	NIA.....	CORNERSTONE INTERNATIONAL HOLDINGS, LTD.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT MARKETING SERCIVES INSURANCE BROKER (HK), LTD.	HK.....	NIA.....	AEGON DIRECT & AFFINITY MARKETING SERVICES LIMITED	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1291367	0.....	0.....		AEGON DIRECT MARKETING SERVICES INTERNATIONAL, INC.	MD.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT & AFFINITY MARKETING SERCIVES CO., LTD.	JP.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT MARKETING SERVICES KOREA CO., LTD.	KR.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT MARKETING SERVICES MEXICO SERVICIOS, S.A. DE C.V.	MX.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DIRECT MARKETING SERVICES MEXICO, S.A. DE C.V.	MX.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

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SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	42-1470697	0.....	0.....		AEGON DIRECT MARKETING SERVICES, INC...	TW.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1470697	0.....	0.....		AEGON DIRECT MARKETING SERVICES, INC...	MD.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	73.500	AEGON N.V.....	0.....
0.....		00000.....	42-1470697	0.....	0.....		AEGON DIRECT MARKETING SERVICES, INC...	MD.....	NIA.....	COMMONWEALTH GENERAL CORPORARION	OWNERSHIP BOARD	26.500	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON DMS HOLDING B.V.....	NL.....	NIA.....	AEGON INTERNATIONAL B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	41-1479568	0.....	0.....		AEGON FINANCIAL SERVICES GROUP, INC.....	MN.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON FUND MANAGEMENT, INC.....	CN.....	NIA.....	AEGON ASSET MANAGEMENT (CANADA) B.V.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1489646	0.....	0001160709		AEGON FUNDING COMPANY, LLC.....	DE.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	61-1085329	0.....	0.....		AEGON INSTITUTIONAL MARKETS, INC.....	DE.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON INTERNATIONAL B.V.....	NL.....	UIP.....	AEGON N.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON IRELAND HOLDING B.V.....	NL.....	NIA.....	AEGON INTERNATIONAL B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON LIFE INSURANCE AGENCY, INC.....	TW.....	NIA.....	AEGON DIRECT MARKETING SERVICES INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	30-0445264	0.....	0.....		AEGON MANAGED ENHANCED CASH, LLC.....	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	87.760	AEGON N.V.....	0.....
0.....		00000.....	30-0445264	0.....	0.....		AEGON MANAGED ENHANCED CASH, LLC.....	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	12.240	AEGON N.V.....	0.....
0.....		00000.....	35-1113520	0.....	0.....		AEGON MANAGEMENT COMPANY.....	IN.....	NIA.....	AEGON U.S. HOLDING CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0000769218	NYSE.....	AEGON N.V.....	NL.....	UIP.....		OWNERSHIP BOARD	22.126		0.....
0.....		00000.....		0.....	0.....		AEGON NEDERLAND N.V.....	NL.....	NIA.....	AEGON N.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON NEVAK HOLDING B.V.....	NL.....	NIA.....	AEGON N.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AEGON SERVICES (DUBLIN) LIMITED.....	IE.....	NIA.....	AEGON IRELAND HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	61-1068209	0.....	0.....		AEGON STRUCTURED SETTLEMENTS, INC.....	KY.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

Q12.1

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	13-3350744	0.....	0.....		AEGON U.S. HOLDING CORPORATION.....	DE.....	UIP.....	TRANSAMERICA CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1549874	0.....	0.....		AEGON USA ASSET MANAGEMENT HOLDING, LLC.	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1310237	0.....	0001454937		AEGON USA INVESTMENT MANAGEMENT, LLC.	IA.....	NIA.....	AEGON USA ASSET MANAGEMENT HOLDING, LLC	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	61-1098396	0.....	0.....		AEGON USA REAL ESTATE SERVICES, INC.....	DE.....	NIA.....	AEGON USA REALTY ADVISORS, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-5023693	0.....	0.....		AEGON USA REALTY ADVISORS OF CALIFORNIA, INC.	IA.....	NIA.....	AEGON USA REALTY ADVISORS, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1205796	0.....	0.....		AEGON USA REALTY ADVISORS, LLC.....	IA.....	NIA.....	AEGON USA ASSET MANAGEMENT HOLDING, LLC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1310237	0.....	0.....		AEGON USA, LLC.....	IA.....	UDP.....	AEGON U.S. HOLDING CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	94-3026780	0.....	0.....		AEGON-CMF GP, LLC.....	DE.....	NIA.....	TRANSAMERICA REALTY SERVICES, LLC....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	23-2421076	0.....	0000799183		AFSG SECURITIES CORPORATION.....	PA.....	NIA.....	COMMONWEALTH GENERAL CORPORTATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	39-0989781	0.....	0.....		AMERICAN BOND SERVICES, LLC.....	IA.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	99-0300081	0.....	0.....		ARC REINSURANCE CORPORATION.....	HI.....	IA.....	TRANSAMERICA CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		ASIA BUSINESS CONSULTING COMPANY.....	CA.....	NIA.....	ASIA INVESTMENTS HOLDINGS, LTD.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		ASIA INVESTMENTS HOLDINGS, LTD.....	HK.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1549874	0.....	0.....		AUSA HOLDING COMPANY.....	MD.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	27-1275705	0.....	0.....		AUSA PROPERTIES, INC.....	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AUSACAN, LP.....	CN.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		AUSACAN, LP.....	CN.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	32-0342677	0.....	0.....		AXA EQUITABLE AGRIFINANCE, LLC.....	DE.....	NIA.....	AEGON USA REALTY ADVISORS, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

Q12.2

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	94-3392750	0.....	0.....		BAY AREA COMMUNITY INVESTMENTS I, LP....	CA.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...70.000	AEGON N.V.....	0.....
0.....		00000.....	94-3392750	0.....	0.....		BAY AREA COMMUNITY INVESTMENTS I, LP....	CA.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	...30.000	AEGON N.V.....	0.....
0.....		00000.....	52-0419790	0.....	0.....		BAY STATE COMMUNITY INVESTMENTS I, LLC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-0419790	0.....	0.....		BAY STATE COMMUNITY INVESTMENTS II, LLC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		BEIJING DAFU INSURANCE AGENCY CO., LTD.	CA.....	NIA.....	WFG CHINA HOLDINGS, INC.....	OWNERSHIP BOARD	...10.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		CANADIAN PREMIER LIFE INSURANCE COMPANY	CN.....	IA.....	TRANSAMERICA LIFE CANADA.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	73-1652928	0.....	0.....		CBC INSURANCE REVENUE SECURITIZATION, LLC.	DE.....	NIA.....	CLARK CONSULTING, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	50-2103928	3578760....	0.....		CLARK CONSULTING, LLC.....	DE.....	NIA.....	CLARK, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	38-3768457	0.....	0.....		CLARK INVESTMENT STRATEGIES, INC.....	DE.....	NIA.....	CLARK CONSULTING, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	95-4295824	0.....	0.....		CLARK SECURITIES, INC.....	CA.....	NIA.....	CLARK CONSULTING, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-2103926	0.....	0.....		CLARK, LLC.....	DE.....	NIA.....	DIVERSIFIED RETIREMENT CORPORATION..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		CLARK/BARDES (BERMUDA), LTD.....	BM.....	NIA.....	CLARK CONSULTING, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	51-0108922	0.....	0.....		COMMONWEALTH GENERAL CORPORATION..	DE.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		CONSUMER MEMBERSHIP SERVICES CANADA, INC.	CN.....	NIA.....	AEGON CANADA ULC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		CORNERSTONE INTERNATIONAL HOLDINGS, LTD.	GB.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1079584	0.....	0.....		CREDITOR RESOURCES, INC.....	MI.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	95-4123166	0.....	0.....		CRG INSURANCE AGENCY, INC.....	CA.....	NIA.....	CLARK CONSULTING, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		CRI CANADA, INC.....	CN.....	NIA.....	CREDITOR RESOURCES, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1363611	0.....	0.....		CRI SOLUTIONS, INC.....	MD.....	NIA.....	CREDITOR RESOURCES, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

Q12.3

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	20-4498171	0.....	0.....		CUPPLES STATE LIHTC INVESTORS, LLC.....	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	04-2770684	0.....	0.....		DIVERSIFIED ACTUARIAL SERVICES, INC.....	MA.....	NIA.....	DIVERSIFIED RETIREMENT CORPORATION..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	13-3689044	0.....	0.....		DIVERSIFIED INVESTMENT ADVISORS, INC.....	DE.....	NIA.....	DIVERSIFIED RETIREMENT CORPORATION..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	13-3696753	0.....	0.....		DIVERSIFIED INVESTORS SECURITIES CORP..	DE.....	NIA.....	DIVERSIFIED RETIREMENT CORPORATION..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	13-3689044	0.....	0.....		DIVERSIFIED RETIREMENT CORPORATION.....	DE.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		ERFAHRUNGSSCHATZ GMBH.....	DE.....	NIA.....	CORNERSTONE INTERNATIONAL HOLDINGS, LTD.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		FD TLIC, LTD.....	GB.....	NIA.....	FD TLIC, LIMITED LIABILITY COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	01-0969916	0.....	0001494550		FD TLIC, LIMITED LIABILITY COMPANY.....	NY.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	23-2130174	0.....	0.....		FINANCIAL PLANNING SERVICES, INC.....	DC.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-8736609	0.....	0.....		FONG LCS ASSOCIATES, LLC.....	DE.....	NIA.....	INVESTORS WARRANTY OF AMERICA, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	14-1893533	0.....	0.....		GARNET ASSURANCE CORPORATION II.....	IA.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	98-0164807	0.....	0.....		GLOBAL PREFERRED RE LIMITED.....	BM.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1517005	0.....	0.....		INTERSECURITIES INSURANCE AGENCY, INC.	CA.....	NIA.....	WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1154276	0.....	0.....		INTERSTATE NORTH OFFICE PARK OWNER, LLC	DE.....	NIA.....	INVESTORS WARRANTY OF AMERICA, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1154276	0.....	0.....		INVESTORS WARRANTY OF AMERICA, INC.....	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		IWA COMMERCIAL VENTURE, LLC.....	GA.....	NIA.....	INVESTORS WARRANTY OF AMERICA, INC..	OWNERSHIP BOARD	99.900	AEGON N.V.....	0.....
0.....		00000.....	20-8687142	0.....	0.....		LCS ASSOCIATES, LLC.....	DE.....	NIA.....	INVESTORS WARRANTY OF AMERICA, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		LEGACY GENERAL INSURANCE COMPANY.....	CN.....	IA.....	AEGON CANADA ULC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1483973	0.....	0.....		LIFE INVESTORS ALLIANCE, LLC.....	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

Q12.4

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	42-0191090	0.....	0.....		LIICA HOLDINGS, LLC.....	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-5984601	0.....	0.....		LIICA RE I, INC.....	VT.....	IA.....	LIICA HOLDINGS, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-5927773	0.....	0.....		LIICA RE II, INC.....	VT.....	IA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-0947998	0.....	0.....		MASSACHUSETTS FIDELITY TRUST COMPANY	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		MCDONALD CORPORATE TAX CREDIT FUND IV LIMITED PARTNERSHIP	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	99.900	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		MCDONALD CORPORATE TAX CREDIT FUND IV LIMITED PARTNERSHIP	DE.....	NIA.....	TAH-MC <i>d</i> IV, LLC.....	OWNERSHIP BOARD	0.100	AEGON N.V.....	0.....
0.....		00000.....	01-0930908	0.....	0.....		MLIC RE I, INC.....	VT.....	IA.....	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1079580	0.....	0.....		MONEY SERVICES, INC.....	DE.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1243288	0.....	0.....		MONUMENTAL GENERAL ADMINISTRATORS, INC.	MD.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	66281.....	52-0419790	0.....	0.....		MONUMENTAL LIFE INSURANCE COMPANY.....	IA.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	12.280	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	66281.....	52-0419790	0.....	0.....		MONUMENTAL LIFE INSURANCE COMPANY.....	IA.....	IA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	87.720	AEGON N.V.....	0.....
0.....		00000.....	20-3318246	0.....	0.....		NEW MARKETS COMMUNITY INVESTMENT FUND, LLC.	IA.....	NIA.....	AEGON INSTITUTIONAL MARKETS, INC.....	OWNERSHIP BOARD	50.000	AEGON N.V.....	0.....
0.....		00000.....	20-3318246	0.....	0.....		NEW MARKETS COMMUNITY INVESTMENT FUND, LLC.	IA.....	NIA.....	AEGON USA REALTY ADVISORS, LLC.....	OWNERSHIP BOARD	50.000	AEGON N.V.....	0.....
0.....		00000.....	35-2283987	0.....	0.....		nVISION FINANCIAL, INC.....	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	26-2311888	0.....	0.....		ONCOR INSURANCE SERVICES, LLC.....	IA.....	NIA.....	TRANSAMERICA AGENCY NETWORK, INC....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	23-1705984	0.....	0.....		PEOPLES BENEFIT SERVICES, LLC.....	PA.....	NIA.....	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	26-1552330	0.....	0.....		PINE FALLS RE, INC.....	VT.....	IA.....	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0001170593		PRIMUS GUARANTY, LTD.....	BM.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	13.100	AEGON N.V.....	0.....

Q12.5

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	20-1063558	0.....	0.....		PRISMA HOLDINGS, INC. I.....	DE.....	NIA.....	AEGON USA ASSET MANAGEMENT HOLDING, LLC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-1063571	0.....	0.....		PRISMA HOLDINGS, INC. II.....	DE.....	NIA.....	AEGON USA ASSET MANAGEMENT HOLDING, LLC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		PSL ACQUISITIONS OPERATING, LLC.....	IA.....	NIA.....	INVESTORS WARRANTY OF AMERICA, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		PSL ACQUISITIONS, LLC.....	IA.....	NIA.....	INVESTORS WARRANTY OF AMERICA, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	98-0087891	0.....	0.....		PYRAMID INSURANCE COMPANY, LTD.....	HI.....	IA.....	TRANSAMERICA CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	13-3256226	0.....	0.....		RCC NORTH AMERICA, LLC.....	DE.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	75-2980951	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 1, LLC.	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	90.960	AEGON N.V.....	0.....
0.....		00000.....	75-2980951	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 1, LLC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	6.300	AEGON N.V.....	0.....
0.....		00000.....	75-2980951	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 1, LLC.	DE.....	NIA.....	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	2.740	AEGON N.V.....	0.....
0.....		00000.....	02-0685017	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 2, LLC.	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	90.250	AEGON N.V.....	0.....
0.....		00000.....	02-0685017	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 2, LLC.	DE.....	NIA.....	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	7.500	AEGON N.V.....	0.....
0.....		00000.....	02-0685017	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 2, LLC.	DE.....	NIA.....	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	22.500	AEGON N.V.....	0.....
0.....		00000.....	20-1378364	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 3, LLC.	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	73.400	AEGON N.V.....	0.....
0.....		00000.....	20-1378364	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 3, LLC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	25.600	AEGON N.V.....	0.....
0.....		00000.....	20-1378364	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 3, LLC.	DE.....	NIA.....	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	1.000	AEGON N.V.....	0.....
0.....		00000.....	20-1627078	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC.	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	52.600	AEGON N.V.....	0.....
0.....		00000.....	20-1627078	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	37.000	AEGON N.V.....	0.....
0.....		00000.....	20-1627078	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC.	DE.....	NIA.....	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	9.400	AEGON N.V.....	0.....
0.....		00000.....	20-1627078	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 3A, INC.	DE.....	NIA.....	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	1.000	AEGON N.V.....	0.....

Q12.6

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	20-3878375	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 4HR, LLC.	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...64.000	AEGON N.V.....	0.....
0.....		00000.....	20-3878375	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 4HR, LLC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	...32.000	AEGON N.V.....	0.....
0.....		00000.....	20-3878375	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 4HR, LLC.	DE.....	NIA.....	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...4.000	AEGON N.V.....	0.....
0.....		00000.....	20-3878417	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 4MR, LLC.	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...64.000	AEGON N.V.....	0.....
0.....		00000.....	20-3878417	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 4MR, LLC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	...32.000	AEGON N.V.....	0.....
0.....		00000.....	20-3878417	0.....	0.....		REAL ESTATE ALTERNATIVES PORTFOLIO 4MR, LLC.	DE.....	NIA.....	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...4.000	AEGON N.V.....	0.....
0.....		00000.....	42-1418160	0.....	0.....		REALTY INFORMATION SYSTEMS, INC.....	IA.....	NIA.....	TRANSAMERICA REALTY SERVICES, LLC....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-0877184	0.....	0.....		RIVER RIDGE INSURANCE COMPANY.....	VT.....	IA.....	AEGON MANAGEMENT COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		SELIENT INC.....	CN.....	NIA.....	AEGON CANADA ULC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1338496	0.....	0.....		SHORT HILLS MANAGEMENT COMPANY.....	NJ.....	NIA.....	AEGON U.S. HOLDING CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	98426.....	86-0455577	0.....	0.....		SOUTHWEST EQUITY LIFE INSURANCE COMPANY	AZ.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	75-2548428	0.....	0.....		STONEBRIDGE BENEFIT SERVICES, INC.....	DE.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	10952.....	31-4423946	0.....	0.....		STONEBRIDGE CASUALTY INSURANCE COMPANY	OH.....		AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	75-2664959	0.....	0.....		STONEBRIDGE GROUP, INC.....	DE.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		STONEBRIDGE INTERNATIONAL INSURANCE, LTD.	GB.....	NIA.....	CORNERSTONE INTERNATIONAL HOLDINGS, LTD.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	65021.....	03-0164230	0.....	0.....		STONEBRIDGE LIFE INSURANCE COMPANY....	VT.....	IA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	61-1497252	0.....	0.....		STONEBRIDGE REINSURANCE COMPANY.....	VT.....	IA.....	STONEBRIDGE LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-0419790	0.....	0.....		TAHP FUND 1, LLC.....	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	84-0642550	0.....	0.....		TCF ASSET MANAGEMENT CORPORATION.....	DE.....	NIA.....	TCFC ASSET HOLDINGS, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	32-0092333	0.....	0.....		TCFC AIR HOLDINGS, INC.....	DE.....	NIA.....	TRANSAMERICA COMMERCIAL FINANCE CORPORATION, I	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	32-0092334	0.....	0.....		TCFC ASSET HOLDINGS, INC.....	DE.....	NIA.....	TRANSAMERICA COMMERCIAL FINANCE CORPORATION, I	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	51-6513848	0.....	0.....		THE AEGON TRUST.....	DE.....	UIP.....	AEGON INTERNATIONAL B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1154276	0.....	0.....		THH ACQUISITIONS, LLC.....	IA.....	NIA.....	INVESTORS WARRANTY OF AMERICA, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TIHI CANADA HOLDING, LLC.....	IA.....	NIA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TIHI MEXICO, S. DE R.L. DE C.V.....	MX.....	NIA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	95.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TIHI MEXICO, S. DE R.L. DE C.V.....	MX.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	5.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	14146.....	45-3193055	0.....	0.....		TLIC RIVERWOOD REINSURANCE, INC.....	IA.....	IA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	36-4162154	0.....	0.....		TRANSAMERICA ACCOUNTS HOLDING CORPORATION	DE.....	NIA.....	TCFC ASSET HOLDINGS, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	82848.....	16-1020455	0.....	0000862923		TRANSAMERICA ADVISORS LIFE INSURANCE COMPANY OF NEW YORK	NY.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	79022.....	91-1325756	0.....	0000845091		TRANSAMERICA ADVISORS LIFE INSURANCE COMPANY	AR.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA AFFINITY MARKETING CORRETORA DE SEGUROS LTDA	BR.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1523438	0.....	0.....		TRANSAMERICA AFFINITY SERVICES, INC.....	MD.....	NIA.....	AEGON DIRECT MARKETING SERVICES, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	94-3252196	0.....	0.....		TRANSAMERICA AFFORDABLE HOUSING, INC.	CA.....	NIA.....	TRANSAMERICA REALTY SERVICES, LLC....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	61-1513662	0.....	0.....		TRANSAMERICA AGENCY NETWORK, INC.....	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	1,000.000	AEGON N.V.....	0.....
0.....		00000.....	85-0325648	0.....	0.....		TRANSAMERICA ANNUITY SERVICE CORPORATION	NM.....	NIA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	59-3403585	0.....	0001191808		TRANSAMERICA ASSET MANAGEMENT, INC....	FL.....	NIA.....	WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OWNERSHIP BOARD	77.000	AEGON N.V.....	0.....
0.....		00000.....	59-3403585	0.....	0001191808		TRANSAMERICA ASSET MANAGEMENT, INC....	FL.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	23.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA AVIATION, LLC.....	DE.....	NIA.....	TCFC AIR HOLDINGS, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	95-3141953	0.....	0000316561		TRANSAMERICA CAPITAL, INC.....	CA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	94-3054228	0.....	0.....		TRANSAMERICA COMMERCIAL FINANCE CORPORATION, I.	DE.....	NIA.....	TRANSAMERICA FINANCE CORPORATION...	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA CONSULTORA Y SERVICIOUS LIMITADA	CL.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	95.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA CONSULTORA Y SERVICIOUS LIMITADA	CL.....	NIA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	5.000	AEGON N.V.....	0.....
0.....		00000.....	95-4631538	0.....	0.....		TRANSAMERICA CONSUMER FINANCE HOLDING COMPANY	DE.....	NIA.....	TCFC ASSET HOLDINGS, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	98-6021219	0.....	0.....		TRANSAMERICA CORPORATION (OR).....	OR.....	NIA.....	TRANSAMERICA CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1484983	0.....	0000099189		TRANSAMERICA CORPORATION.....	DE.....	UIP.....	THE AEGON TRUST.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	08-2507515	0.....	0.....		TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY, LTD.	AU.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		TRANSAMERICA DIRECT MARKETING CONSULTANTS PRIVATE LIMITED	IN.....	NIA.....	AEGON DMS HOLDING B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	36-4254366	0.....	0.....		TRANSAMERICA DISTRIBUTION FINANCE-OVERSEAS, INC.	DE.....	NIA.....	TCFC ASSET HOLDINGS, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	95-1077235	0.....	0000099193		TRANSAMERICA FINANCE CORPORATION.....	DE.....	NIA.....	TRANSAMERICA CORPORATION.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	59-2476008	0.....	0.....		TRANSAMERICA FINANCIAL ADVISORS, INC....	DE.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	51.600	AEGON N.V.....	0.....
0.....		00000.....	59-2476008	0.....	0.....		TRANSAMERICA FINANCIAL ADVISORS, INC....	DE.....	NIA.....	AEGON ASSET MANAGEMENT SERVICES, INC.	OWNERSHIP BOARD	37.620	AEGON N.V.....	0.....
0.....		00000.....	59-2476008	0.....	0.....		TRANSAMERICA FINANCIAL ADVISORS, INC....	DE.....	NIA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	10.780	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	70688.....	36-6071399	0.....	0.....		TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	NY.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	87.400	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	70688.....	36-6071399	0.....	0.....		TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	NY.....	IA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	12.600	AEGON N.V.....	0.....
0.....		00000.....	59-3403587	0.....	0001308973		TRANSAMERICA FUND SERVICES, INC.....	FL.....	NIA.....	WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OWNERSHIP BOARD	44.000	AEGON N.V.....	0.....
0.....		00000.....	59-3403587	0.....	0001308973		TRANSAMERICA FUND SERVICES, INC.....	FL.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	56.000	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
Q12.10	0.....	00000.....		0.....	0.....		TRANSAMERICA FUNDING LP.....	GB.....	NIA.....	TRANSAMERICA LEASING HOLDINGS, INC...	OWNERSHIP BOARD	...99.000	AEGON N.V.....	0.....
	0.....	00000.....		0.....	0.....		TRANSAMERICA FUNDING LP.....	GB.....	NIA.....	TRANSAMERICA COMMERCIAL FINANCE CORPORATION	OWNERSHIP BOARD	1.000	AEGON N.V.....	0.....
	0.....	00000.....		0.....	0.....		TRANSAMERICA HOLDING B.V.....	NL.....	NIA.....	AEGON INTERNATIONAL B.V.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....	95-4390993	0.....	0.....		TRANSAMERICA HOME LOAN.....	CA.....	NIA.....	TRANSAMERICA CONSUMER FINANCE HOLDING COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....	08-2524730	0.....	0.....		TRANSAMERICA INSURANCE MARKETING ASIA PACIFIC PTY, LTD.	AU.....	NIA.....	TRANSAMERICA DIRECT MARKETING ASIA PACIFIC PTY, LTD.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....		0.....	0.....		TRANSAMERICA INTERNATIONAL DIRECT MARKETING CONSULTANTS, LLC.	MD.....	NIA.....	AEGON DIRECT MARKETING SERVICES, INC.	OWNERSHIP BOARD	...49.000	AEGON N.V.....	0.....
	0.....	00000.....	94-2873401	0.....	0.....		TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	DE.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....	98-0199561	0.....	0.....		TRANSAMERICA INTERNATIONAL RE (BERMUDA), LTD.	BM.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....		0.....	0.....		TRANSAMERICA INTERNATIONAL RE ESCRITORIO DE REPRESENTACAO NO BRASIL, LTD.	BR.....	NIA.....	TRANSAMERICA INTERNATIONAL RE (BERMUDA), LTD.	OWNERSHIP BOARD	...95.000	AEGON N.V.....	0.....
	0.....	00000.....		0.....	0.....		TRANSAMERICA INTERNATIONAL RE ESCRITORIO DE REPRESENTACAO NO BRASIL, LTD.	BR.....	NIA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	5.000	AEGON N.V.....	0.....
	0.....	00000.....	06-1564377	0.....	0000099201		TRANSAMERICA INVESTMENT MANAGEMENT, LLC.	DE.....	NIA.....	AEGON USA ASSET MANAGEMENT HOLDING, LLC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....	13-3452993	0.....	0.....		TRANSAMERICA LEASING HOLDINGS, INC.....	DE.....	NIA.....	TRANSAMERICA FINANCE CORPORATION...	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....	98-0481010	0.....	0.....		TRANSAMERICA LIFE (BERMUDA), LTD.....	BM.....	IA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....		0.....	0.....		TRANSAMERICA LIFE CANADA.....	CN.....	IA.....	AEGON CANADA ULC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0468.....	AEGON US HOLDING GRP.....	86231.....	39-0989781	0.....	0.....	TRANSAMERICA LIFE INSURANCE COMPANY..	IA.....	IA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0468.....	AEGON US HOLDING GRP.....	86231.....	39-0989781	0.....	0.....	TRANSAMERICA LIFE INSURANCE COMPANY..	IA.....	IA.....	TRANSAMERICA CORPORATION.....	OWNERSHIP BOARD	...74.000	AEGON N.V.....	0.....
	0468.....	AEGON US HOLDING GRP.....	86231.....	39-0989781	0.....	0.....	TRANSAMERICA LIFE INSURANCE COMPANY..	IA.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...26.000	AEGON N.V.....	0.....
	0.....	00000.....		0.....	0.....		TRANSAMERICA LIFE INTERNATIONAL (BERMUDA), LTD.	BM.....	IA.....	AEGON SERVICES (DUBLIN) LIMITED.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0.....		00000.....	94-2993381	0.....	0.....		TRANSAMERICA OAKMONT CORPORATION.....	CA.....	NIA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	94-3304740	0.....	0.....		TRANSAMERICA PACIFIC INSURANCE COMPANY, LTD.	HI.....	IA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...96.300	AEGON N.V.....	0.....
0.....		00000.....	94-3304740	0.....	0.....		TRANSAMERICA PACIFIC INSURANCE COMPANY, LTD.	HI.....	IA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	...3.700	AEGON N.V.....	0.....
0.....		00000.....	52-0419790	0.....	0.....		TRANSAMERICA PYRAMID PROPERTIES, LLC..	IA.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-0419790	0.....	0.....		TRANSAMERICA REALTY INVESTMENT PROPERTIES, LLC.	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	94-3026780	0.....	0.....		TRANSAMERICA REALTY SERVICES, LLC.....	DE.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1525601	0.....	0.....		TRANSAMERICA RESOURCES, INC.....	MD.....	NIA.....	MONUMENTAL GENERAL ADMINISTRATORS, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1527720	0.....	0.....		TRANSAMERICA RETIREMENT MANAGEMENT, INC.	MN.....	NIA.....	AEGON FINANCIAL SERVICES GROUP, INC..	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	31-1281022	0.....	0.....		TRANSAMERICA RETIREMENT SOLUTIONS CORPORATION	OH.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	36-4251204	0.....	0.....		TRANSAMERICA SMALL BUSINESS CAPITAL, INC.	DE.....	NIA.....	TCFC ASSET HOLDINGS, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	27-0648897	0.....	0.....		TRANSAMERICA STABLE VALUE SOLUTIONS, INC.	DE.....	NIA.....	COMMONWEALTH GENERAL CORPORATION	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1079580	0.....	0.....		TRANSAMERICA TRAVEL AND CONFERENCE SERVICES, INC.	IA.....	NIA.....	MONEY SERVICES, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	36-4134790	0.....	0.....		TRANSAMERICA VENDOR FINANCIAL SERVICES CORPORATION	DE.....	NIA.....	TCFC ASSET HOLDINGS, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	52-1263786	0.....	0.....		UNITED FINANCIAL SERVICES, INC.....	MD.....	NIA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	42-1334744	0.....	0.....		UNIVERSAL BENEFITS, LLC.....	IA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....		0.....	0.....		VERENIGING AEGON NETHERLANDS MEMBERSHIP ASSOCIATION	NL.....	NIA.....		OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0468.....	AEGON US HOLDING GRP.....	91413.....	43-1162657	0.....	0.....		WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OH.....	IA.....	AEGON USA, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	20-2541057	0.....	0.....		WFG CHINA HOLDINGS. INC.....	DE.....	NIA.....	WORLD FINANCIAL GROUP, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
0.....		00000.....	66-0621281	0.....	0.....		WFG INSURANCE AGENCY OF PUERTO RICO, INC.	PR.....	NIA.....	WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....

Q12.11

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
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Q12.12	0.....	00000.....	20-2133638	0.....	0.....		WFG PROPERTIES HOLDINGS, LLC.....	GA.....	NIA.....	WORLD FINANCIAL GROUP, INC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....		0.....	0.....		WFG REINSURANCE LIMITED.....	BM.....	NIA.....	WORLD FINANCIAL GROUP, INC.....	OWNERSHIP BOARD	51.000	AEGON N.V.....	0.....
	0.....	00000.....		0.....	0.....		WFG SECURITIES OF CANADA, INC.....	CN.....	NIA.....	WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....		0.....	0.....		WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC.	CN.....	NIA.....	TRANSAMERICA INTERNATIONAL HOLDINGS, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....		0.....	0.....		WORLD FINANCIAL GROUP INSURANCE AGENCY OF CANADA, INC.	CN.....	NIA.....	WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC.	OWNERSHIP BOARD	50.000	AEGON N.V.....	0.....
	0.....	00000.....		0.....	0.....		WORLD FINANCIAL GROUP INSURANCE AGENCY OF CANADA, INC.	CN.....	NIA.....	WORLD FINANCIAL GROUP SUBHOLDING COMPANY OF CANADA, INC.	OWNERSHIP BOARD	50.000	AEGON N.V.....	0.....
	0.....	00000.....	99-0277127	0.....	0.....		WORLD FINANCIAL GROUP INSURANCE AGENCY OF HAWAII, INC.	HI.....	NIA.....	WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....	04-3182849	0.....	0.....		WORLD FINANCIAL GROUP INSURANCE AGENCY OF MASSACHUSETTS, INC.	MA.....	NIA.....	WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....	42-1519076	0.....	0.....		WORLD FINANCIAL GROUP INSURANCE AGENCY OF WYOMING, INC.	WY.....	NIA.....	WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....	95-3809372	0.....	0.....		WORLD FINANCIAL GROUP INSURANCE AGENCY, INC.	CA.....	NIA.....	WESTERN RESERVE LIFE ASSURANCE CO. OF OHIO	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....		0.....	0.....		WORLD FINANCIAL GROUP SUBHOLDING COMPANY OF CANADA, INC.	CN.....	NIA.....	WORLD FINANCIAL GROUP HOLDING COMPANY OF CANADA, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....	42-1518386	0.....	0.....		WORLD FINANCIAL GROUP, INC.....	DE.....	NIA.....	AEGON ASSET MANAGEMENT SERVICES, INC.	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....	95-2775959	0.....	0000109152		ZAHORIK COMPANY, INC.....	CA.....	NIA.....	AUSA HOLDING COMPANY.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....	42-1205796	0.....	0.....		YARRA RAPIDS MANAGEMENT, LLC.....	DE.....	NIA.....	AEGON USA REALTY ADVISORS, LLC.....	OWNERSHIP BOARD	...100.000	AEGON N.V.....	0.....
	0.....	00000.....	26-1298094	0.....	0.....		ZERO BETA FUND, LLC.....	DE.....	NIA.....	TRANSAMERICA LIFE INSURANCE COMPANY	OWNERSHIP BOARD	82.350	AEGON N.V.....	0.....
	0.....	00000.....	26-1298094	0.....	0.....		ZERO BETA FUND, LLC.....	DE.....	NIA.....	MONUMENTAL LIFE INSURANCE COMPANY.	OWNERSHIP BOARD	16.160	AEGON N.V.....	0.....
0.....		00000.....	26-1298094	0.....	0.....		ZERO BETA FUND, LLC.....	DE.....	NIA.....	TRANSAMERICA FINANCIAL LIFE INSURANCE COMPANY	OWNERSHIP BOARD	1.490	AEGON N.V.....	0.....

PART 1 - LOSS EXPERIENCE

Lines of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire.....	0	0	0.0	0.0
2. Allied lines.....	0	0	0.0	0.0
3. Farmowners multiple peril.....	0	0	0.0	0.0
4. Homeowners multiple peril.....	0	0	0.0	0.0
5. Commercial multiple peril.....	0	0	0.0	0.0
6. Mortgage guaranty.....	0	0	0.0	0.0
8. Ocean marine.....	0	0	0.0	60.3
9. Inland marine.....	195,815,831	101,503,302	51.8	0.0
10. Financial guaranty.....	0	0	0.0	0.0
11.1. Medical professional liability - occurrence.....	0	0	0.0	0.0
11.2. Medical professional liability - claims-made.....	0	0	0.0	0.0
12. Earthquake.....	0	0	0.0	0.0
13. Group accident and health.....	250,014	13,810	5.5	5.5
14. Credit accident and health.....	367,570	36,357	9.9	35.1
15. Other accident and health.....	48,867	45	0.1	(6.4)
16. Workers' compensation.....	0	94,492	0.0	0.0
17.1 Other liability-occurrence.....	2,250,000	0	0.0	0.0
17.2 Other liability-claims made.....	0	0	0.0	0.0
17.3 Excess workers' compensation.....	0	0	0.0	0.0
18.1 Products liability-occurrence.....	0	0	0.0	0.0
18.2 Products liability-claims made.....	0	0	0.0	0.0
19.1, 19.2 Private passenger auto liability.....	0	0	0.0	0.0
19.3, 19.4 Commercial auto liability.....	0	0	0.0	0.0
21. Auto physical damage.....	0	0	0.0	0.0
22. Aircraft (all perils).....	0	0	0.0	0.0
23. Fidelity.....	0	0	0.0	0.0
24. Surety.....	0	0	0.0	0.0
26. Burglary and theft.....	0	0	0.0	0.0
27. Boiler and machinery.....	0	0	0.0	0.0
28. Credit.....	30,767,415	2,267,634	7.4	11.0
29. International.....	0	0	0.0	0.0
30. Warranty.....	896,691	422,472	47.1	16.9
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	12,767,663	4,287,988	33.6	32.2
35. Totals.....	243,164,051	108,626,100	44.7	46.4
DETAILS OF WRITE-INS				
3401. Credit unemployment.....	6,862,054	495,454	7.2	12.3
3402. Contract liability.....	5,824,261	3,775,496	64.8	61.1
3403. Vehicle service contracts.....	81,348	17,038	20.9	18.0
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	0.0	XXX
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	12,767,663	4,287,988	33.6	32.2

PART 2 - DIRECT PREMIUMS WRITTEN

Lines of Business	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
1. Fire.....	0	0	0
2. Allied lines.....	0	0	0
3. Farmowners multiple peril.....	0	0	0
4. Homeowners multiple peril.....	0	0	0
5. Commercial multiple peril.....	0	0	0
6. Mortgage guaranty.....	0	0	0
8. Ocean marine.....	0	0	0
9. Inland marine.....	65,608,597	198,256,225	138,345,356
10. Financial guaranty.....	0	0	0
11.1 Medical professional liability - occurrence.....	0	0	0
11.2 Medical professional liability - claims made.....	0	0	0
12. Earthquake.....	0	0	0
13. Group accident and health.....	61,285	249,700	668,433
14. Credit accident and health.....	111,711	367,570	425,943
15. Other accident and health.....	8,102	48,750	210,593
16. Workers' compensation.....	0	0	0
17.1 Other liability-occurrence.....	0	3,000,000	3,000,000
17.2 Other liability-claims made.....	0	0	0
17.3 Excess workers' compensation.....	0	0	0
18.1 Products liability-occurrence.....	0	0	0
18.2 Products liability-claims made.....	0	0	0
19.1 19.2 Private passenger auto liability.....	0	0	0
19.3 19.4 Commercial auto liability.....	0	0	0
21. Auto physical damage.....	0	0	0
22. Aircraft (all perils).....	0	0	0
23. Fidelity.....	0	0	0
24. Surety.....	0	0	0
26. Burglary and theft.....	0	0	0
27. Boiler and machinery.....	0	0	0
28. Credit.....	4,562,095	10,278,289	5,480,314
29. International.....	0	0	0
30. Warranty.....	284,641	649,278	534,048
31. Reinsurance-nonproportional assumed property.....	XXX	XXX	XXX
32. Reinsurance-nonproportional assumed liability.....	XXX	XXX	XXX
33. Reinsurance-nonproportional assumed financial lines.....	XXX	XXX	XXX
34. Aggregate write-ins for other lines of business.....	4,098,080	12,206,720	12,179,726
35. Totals.....	74,734,511	225,056,532	160,844,413
DETAILS OF WRITE-INS			
3401. Credit unemployment.....	2,174,244	6,862,054	7,725,563
3402. Contract liability.....	1,924,400	5,347,383	4,459,672
3403. Vehicle service contracts.....	(564)	(2,717)	(6,658)
3498. Sum. of remaining write-ins for Line 34 from overflow page.....	0	0	1,149
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34).....	4,098,080	12,206,720	12,179,726

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?	NO
3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
4. Will the Director and Officer Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

1.
2.
3.
4.

Bar Code:



Overflow Page for Write-Ins

Additional Write-ins for Statement of Income:

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1404. Fines and Penalties.....	(928)	(150)	(150)
1497. Summary of remaining write-ins for Line 14.....	(928)	(150)	(150)

Additional Write-ins for Schedule T:

States, Etc.	1 Active Status	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year to Date	3 Prior Year to Date	4 Current Year to Date	5 Prior Year to Date	6 Current Year to Date	7 Prior Year to Date
5804. Croatia.....	...XXX...	0	2,067	0	0	0	0
5805. Czech Republic.....	...XXX...	0	4,660	0	0	0	0
5806. Cyprus.....	...XXX...	578	0	0	0	0	0
5807. Department of Defense.....	...XXX...	12,473	0	0	0	0	0
5808. Dominican Republic.....	...XXX...	0	0	10,499	2,598	237	1,153
5809. England.....	...XXX...	0	0	1,785	0	0	0
5810. Finland.....	...XXX...	184	285	0	0	0	0
5811. Gambia.....	...XXX...	0	0	931	0	0	0
5812. Hungary.....	...XXX...	203	0	0	0	0	0
5813. Italy.....	...XXX...	9,538	7,668	0	0	0	0
5814. Jamaica.....	...XXX...	2,164	6,934	0	0	0	0
5815. Korea.....	...XXX...	107	0	0	0	0	0
5816. Netherlands.....	...XXX...	13,405	22,455	0	0	0	0
5817. Poland.....	...XXX...	1,305	2,017	0	0	0	0
5818. Qatar.....	...XXX...	1,158	0	0	0	0	0
5819. Russia.....	...XXX...	921	0	0	0	0	0
5820. Slovenia.....	...XXX...	0	80	0	0	0	0
5821. Spain.....	...XXX...	54,411	0	0	0	0	0
5822. Switzerland.....	...XXX...	0	911	0	0	0	0
5823. Thailand.....	...XXX...	419	0	0	0	0	0
5824. Turkey.....	...XXX...	17,014	24,299	1,226	198,488	4,401	88,105
5825. UK.....	...XXX...	0	86,957	0	0	0	0
5826. Unknown.....	...XXX...	0	677,309	0	6,791	0	3,015
5827. NIUE.....	...XXX...	0	535	0	0	0	0
5828. San Marino.....	...XXX...	180	278	0	0	0	0
5829. Venezuela.....	...XXX...	0	0	618	2,804	173	1,245
5830. ARMED FORCES-CEN/S AM.....	...XXX...	0	3,474	0	0	0	0
5831. ARMED FORCES-EUROPE.....	...XXX...	11	27,825	20,320	35,693	8,670	15,844
5832. ARMED FORCES-PACIFIC.....	...XXX...	2	10,619	17,529	4,844	7,479	2,150
5897. Summary of remaining write-ins for Line 58 from overflow.....	...XXX...	114,073	878,373	52,908	251,218	20,960	111,512

Additional Write-ins for Part 2:

	1 Current Quarter	2 Current Year to Date	3 Prior Year Year to Date
3404. Tuition reimbursement.....	0	0	1,149
3497. Summary of remaining write-ins for Line 34.....	0	0	1,149

STONEBRIDGE CASUALTY INSURANCE COMPANY
SCHEDULE A - VERIFICATION

Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Current year change in encumbrances.....	0	0
4. Total gain (loss) on disposals.....	0	0
5. Deduct amounts received on disposals.....	0	0
6. Total foreign exchange change in book/adjusted carrying value.....	0	0
7. Deduct current year's other than temporary impairment recognized.....	0	0
8. Deduct current year's depreciation.....	0	0
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....	0	0
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

SCHEDULE B - VERIFICATION

Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	0	0
5. Unrealized valuation increase (decrease).....	0	0
6. Total gain (loss) on disposals.....	0	0
7. Deduct amounts received on disposals.....	0	0
8. Deduct amortization of premium and mortgage interest points and commitment fees.....	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....	0	0
10. Deduct current year's other than temporary impairment recognized.....	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....	0	0
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....	0	0
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	32,491	45,526
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	67,250	49,383
2.2 Additional investment made after acquisition.....	30,544	61,452
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	0	0
5. Unrealized valuation increase (decrease).....	54,000	(83,631)
6. Total gain (loss) on disposals.....	(54,000)	0
7. Deduct amounts received on disposals.....	352	49
8. Deduct amortization of premium and depreciation.....	33,618	40,190
9. Total foreign exchange change in book/adjusted carrying value.....	0	0
10. Deduct current year's other than temporary impairment recognized.....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	96,315	32,491
12. Deduct total nonadmitted amounts.....	0	1
13. Statement value at end of current period (Line 11 minus Line 12).....	96,315	32,490

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	211,968,339	215,244,744
2. Cost of bonds and stocks acquired.....	75,731,348	18,279,630
3. Accrual of discount.....	321,251	338,955
4. Unrealized valuation increase (decrease).....	1,382,029	762,773
5. Total gain (loss) on disposals.....	322,192	364,736
6. Deduct consideration for bonds and stocks disposed of.....	45,365,188	22,483,340
7. Deduct amortization of premium.....	550,998	444,657
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other than temporary impairment recognized.....	28,085	94,503
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	243,780,889	211,968,339
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	243,780,889	211,968,339

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

2012Q3		1	2	3	4	5	6	7	8
		Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
	BONDS								
	1. Class 1 (a).....	195,002,867	80,818,138	70,567,857	(992,011)	181,282,572	195,002,867	204,261,137	164,196,992
	2. Class 2 (a).....	63,859,768	6,255,090	5,621,244	(74,567)	70,155,367	63,859,768	64,419,047	70,076,188
	3. Class 3 (a).....	0	0	103,572	1,489,907	1,552,591	0	1,386,335	3,147,773
	4. Class 4 (a).....	4,002,434	0	181,387	(560,601)	4,195,042	4,002,434	3,260,446	0
	5. Class 5 (a).....	0	0	0	0	0	0	0	3,796,973
	6. Class 6 (a).....	4,342,756	0	622,285	286,548	4,799,869	4,342,756	4,007,019	1,411,447
	7. Total Bonds.....	267,207,825	87,073,228	77,096,345	149,276	261,985,441	267,207,825	277,333,984	242,629,373
	PREFERRED STOCK								
	8. Class 1.....	0	0	0	0	0	0	0	0
	9. Class 2.....	0	0	0	0	0	0	0	0
	10. Class 3.....	0	0	0	0	0	0	0	0
	11. Class 4.....	0	0	0	0	0	0	0	0
	12. Class 5.....	0	0	0	0	0	0	0	0
	13. Class 6.....	0	0	0	0	0	0	0	0
	14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
	15. Total Bonds and Preferred Stock.....	267,207,825	87,073,228	77,096,345	149,276	261,985,441	267,207,825	277,333,984	242,629,373

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999. Totals.....	18,555,347	XXX.....	18,555,347	2,546	0

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	15,666,813	36,890,199
2. Cost of short-term investments acquired.....	157,117,738	125,551,655
3. Accrual of discount.....	0	581
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals.....	0	0
6. Deduct consideration received on disposals.....	154,229,204	146,775,621
7. Deduct amortization of premium.....	0	0
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other than temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	18,555,347	15,666,813
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	18,555,347	15,666,813

Sch. DB-Pt A-Verification
NONE

Sch. DB-Pt B-Verification
NONE

Sch. DB-Pt C-Sn 1
NONE

Sch. DB-Pt C-Sn 2
NONE

Sch. DB-Verification
NONE

SCHEDULE E- VERIFICATION

Cash Equivalents

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	14,994,223	(0)
2. Cost of cash equivalents acquired.....	68,486,030	109,153,718
3. Accrual of discount.....	8,412	41,440
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals.....	964	1,041
6. Deduct consideration received on disposals.....	68,491,880	94,201,976
7. Deduct amortization of premium.....	0	0
8. Total foreign exchange change in book/ adjusted carrying value.....	0	0
9. Deduct current year's other than temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	14,997,750	14,994,223
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	14,997,750	14,994,223

Sch. A-Pt 2
NONE

Sch. A-Pt 3
NONE

Sch. B-Pt 2
NONE

Sch. B-Pt 3
NONE

Sch. BA-Pt 2
NONE

Sch. BA-Pt 3
NONE

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1	2		3	4	5		6	7	8	9	10
CUSIP Identification	Description		Foreign	Date Acquired	Name of Vendor		Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Bonds - U.S. Government											
912828 TM 2	US TREASURY NOTE 0.625% 08/31/17.....			...09/26/2012	CREDIT RESEARCH & TRADING LLC.....			2,304,640	2,305,000	1,075	1.....
0599999.	Total - Bonds - U.S. Government.....							2,304,640	2,305,000	1,075	XXX.....
Bonds - All Other Government											
302154 BA 6	EXPORT-IMPORT BK KOREA- 4.375% 09/15/21.....		F.....	...08/07/2012	MORGAN STANLEY & CO. INC.....			886,854	817,000	14,397	1FE.....
1099999.	Total - Bonds - All Other Government.....							886,854	817,000	14,397	XXX.....
Bonds - U.S. Special Revenue and Special Assessment											
01F030 68 6	FNMA TBA 3.0% 3.000% 08/01/42.....			...08/02/2012	CITIGROUP.....			5,197,266	5,000,000	0	1.....
01F032 68 2	FNMA TBA 30YR 3.5% 3.500% 08/01/40.....			...08/02/2012	CITIGROUP.....			(5,303,125)	(5,000,000)	0	1.....
3138E8 PL 0	FNMA AK4026 3.500% 02/01/42.....			...08/09/2012	J.P. MORGAN SECURITIES INC.....			5,181,905	4,999,501	5,833	1.....
31417C B7 9	FNMA AB5461 3.000% 06/01/42.....			...08/09/2012	Various.....			5,197,265	4,999,999	5,000	1.....
3199999.	Total - Bonds - U.S. Special Revenue & Special Assessments.....							10,273,311	9,999,500	10,833	XXX.....
Bonds - Industrial and Miscellaneous											
05377R BB 9	AESOP FUNDING II LLC 2.100% 03/20/19.....			...07/25/2012	BANK OF AMERICA.....			1,999,107	2,000,000	0	1FE.....
05531G AA 9	BB&T CORP 3.950% 03/22/22.....			...07/18/2012	DEUTSCHE BANC ALEX BROWN.....			183,330	170,000	2,257	1FE.....
172967 GB 1	CITIGROUP INC 2.250% 08/07/15.....			...07/31/2012	CITIGROUP.....			498,820	500,000	0	1FE.....
233851 AR 5	DAIMLER FINANCE NORTH 2.250% 07/31/19.....		E.....	...07/25/2012	CITIGROUP.....			2,200,780	2,215,000	0	1FE.....
29380T AT 2	ENTERTAINMENT PROPERTIE 5.750% 08/15/22.....			...08/01/2012	CITIGROUP.....			999,980	1,000,000	0	2FE.....
431282 AK 8	HIGHWOODS REALTY LP EXC 5.850% 03/15/17.....			...07/11/2012	CANTOR FITZGERALD & CO.....			3,803,485	3,500,000	68,819	2FE.....
46616Y AA 2	321 HENDERSON REC LLC 3.840% 10/15/59.....			...07/18/2012	DEUTSCHE BANC ALEX BROWN.....			999,661	1,000,000	0	1FE.....
49326E ED 1	KEYCORP MTN 5.100% 03/24/21.....			...07/16/2012	Various.....			955,385	845,000	13,281	2FE.....
908906 AC 4	UNIONBANCAL CORPORATION 3.500% 06/18/22.....		E.....	...07/17/2012	MORGAN STANLEY & CO. INC.....			229,194	220,000	684	1FE.....
91159J AA 4	US BANCORP 2.950% 07/15/22.....			...07/18/2012	US BANCORP.....			642,955	645,000	0	1FE.....
034863 AG 5	ANGLO AMERICA CA 144A 4.125% 09/27/22.....		F.....	...09/20/2012	BARCLAYS.....			496,240	500,000	0	2FE.....
03523T BN 7	ANHEUSER-BUSCH INBEV NV 1.375% 07/15/17.....		F.....	...07/11/2012	J.P. MORGAN SECURITIES INC.....			358,963	360,000	0	1FE.....
44841C AB 0	HUTCHISON WHAMPOA LIMIT 4.625% 01/13/22.....		F.....	...07/26/2012	ING BARINGS.....			1,079,220	1,000,000	2,313	1FE.....
806854 AD 7	SCHLUMBERGER INVESTMENT 2.400% 08/01/22.....		R.....	...07/24/2012	CITIGROUP.....			249,580	250,000	0	1FE.....
3899999.	Total - Bonds - Industrial & Miscellaneous.....							14,696,700	14,205,000	87,354	XXX.....
8399997.	Total - Bonds - Part 3.....							28,161,505	27,326,500	113,659	XXX.....
8399999.	Total - Bonds.....							28,161,505	27,326,500	113,659	XXX.....
9999999.	Total - Bonds, Preferred and Common Stocks.....							28,161,505	XXX.....	113,659	XXX.....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2		3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
											11	12	13	14	15							
CUSIP Identification	Description		F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Desig- nation or Market Indicator (a)

Bonds - U.S. Government

36203V	ZX	1	GNMA 360858	7.000%	04/01/24	09/01/2012	Paydown	127	127	121	122	0	5	0	5	0	127	0	0	0	6	04/01/2024	1
36204N	F3	6	GNMA 374686	7.000%	04/01/24	09/01/2012	Paydown	1,146	1,146	1,086	1,102	0	44	0	44	0	1,146	0	0	0	53	04/01/2024	1
36204T	HK	3	GNMA 379234	7.000%	04/01/24	09/01/2012	Paydown	346	346	329	333	0	13	0	13	0	346	0	0	0	16	04/01/2024	1
36204T	LK	8	GNMA 379330	7.000%	04/01/24	09/01/2012	Paydown	502	502	476	483	0	19	0	19	0	502	0	0	0	23	04/01/2024	1
36205H	5Z	8	GNMA 391564	7.000%	05/01/24	09/01/2012	Paydown	2,323	2,323	2,203	2,235	0	88	0	88	0	2,323	0	0	0	108	05/01/2024	1
36205M	2G	2	GNMA 395075	7.000%	05/01/24	09/01/2012	Paydown	317	317	301	305	0	12	0	12	0	317	0	0	0	15	05/01/2024	1
36223A	RJ	3	GNMA 302089	7.000%	05/01/24	09/01/2012	Paydown	317	317	301	305	0	12	0	12	0	317	0	0	0	15	05/01/2024	1
36225A	QF	0	GNMA 780454	7.000%	10/01/26	09/01/2012	Paydown	110	110	110	110	0	0	0	0	0	110	0	0	0	5	10/01/2026	1
0599999.	Total - Bonds - U.S. Government							5,188	5,188	4,927	4,995	0	193	0	193	0	5,188	0	0	0	241	XXX	XXX

Bonds - U.S. Special Revenue and Special Assessment

01F030	68	6	FNMA TBA 3.0% 3.000% 08/01/42.....	08/09/2012	CITIGROUP.....	5,197,266	5,000,000	5,197,266	0	0	0	0	0	5,197,266	0	0	0	0	08/01/2042	1.....	
01F032	68	2	FNMA TBA 30YR 3.5% 3.500% 08/01/40.....	08/09/2012	CITIGROUP.....	(5,303,125)	(5,000,000)	(5,303,125)	0	0	0	0	0	(5,303,125)	0	0	0	0	08/01/2040	1.....	
31374W	5D	0	FNMA 326744 7.000% 12/01/25.....	09/01/2012	Paydown.....	824	824	827	826	0	(2)	0	(2)	0	824	0	0	0	12/01/2025	1.....	
3138E8	PL	0	FNMA AK4026 3.500% 02/01/42.....	08/09/2012	CITIGROUP.....	5,302,596	4,999,501	5,181,905	0	0	0	0	0	5,181,905	0	120,691	120,691	5,833	02/01/2042	1.....	
31419A	4N	4	FNMA AE0828 3.500% 02/01/41.....	08/09/2012	J.P. MORGAN SECURITIES INC.....	5,182,427	5,000,005	5,182,427	0	0	0	0	0	5,182,427	0	0	0	78,750	02/01/2041	1.....	
3199999.	Total - Bonds - U.S. Special Revenue & Assessment.....					10,379,988	10,000,330	10,259,300	826	0	(2)	0	(2)	0	10,259,297	0	120,691	120,691	84,621	XXX...	XXX...

Bonds - Industrial and Miscellaneous

03702L	AA	6	ANTHRACITE LTD 2002-CIB	0.667%	05/24/17.....	E..	09/24/2012	Paydown.....		235,759	235,759	228,539	235,549	0	210	0	210	0	235,759	0	0	0	1,107	05/24/2017	1FE.....
03938L	AP	9	ARCELORMITTAL SA	7.000%	10/15/39.....	F..	08/07/2012	MORGAN STANLEY & CO. INC.....		455,000	500,000	515,630	515,457	0	(116)	0	(116)	0	515,340	0	(60,340)	(60,340)	29,080	10/15/2039	2FE.....
049560	AC	9	ATMOS ENERGY CORP	5.125%	01/15/13.....		08/28/2012	Call 100.1700.....		1,001,700	1,000,000	1,035,760	1,004,792	0	(3,104)	0	(3,104)	0	1,001,688	0	12	12	74,072	01/15/2013	2FE.....
059512	AD	5	BANC OF A COMM MTGE 200	5.893%	06/01/49....		09/01/2012	Paydown.....		17,243	17,243	16,990	17,090	0	154	0	154	0	17,243	0	0	0	677	06/01/2049	1FM.....
126650	AQ	3	CVS CAREMARK CORP 144A	5.789%	01/10/26.....		09/10/2012	Redemption 100.0000.....		11,866	11,866	11,106	11,241	0	625	0	625	0	11,866	0	0	0	458	01/10/2026	1FE.....
								CREDIT SUISSE/FIRST BOSTON.....																	
156700	AN	6	CENTURYLINK INC	6.150%	09/15/19.....		07/17/2012	BOSTON.....		345,061	323,000	336,737	334,493	0	(670)	0	(670)	0	333,823	0	11,238	11,238	16,830	09/15/2019	2FE.....
22942K	CA	6	CREDIT SUISSE MTG 2006-	7.000%	08/01/36.....		09/25/2012	Capital Distribution.....		9,165	0	9,165	9,165	0	0	0	0	9,165	0	0	0	0	08/01/2036	1FM.....	
22942K	CA	6	CREDIT SUISSE MTG 2006-	7.000%	08/01/36.....		09/01/2012	Redemption 12.8831.....		1,844	14,310	1,843	1,843	0	0	0	0	1,843	0	0	0	0	08/01/2036	1FM.....	
313747	AN	7	FEDERAL REALTY INVESTME	6.200%	01/15/17....		07/16/2012	CITIGROUP.....		871,118	750,000	747,720	748,695	0	139	0	139	0	748,834	0	122,284	122,284	47,017	01/15/2017	2FE.....
36185M	AF	9	GMAC MTGE CORP LN TR	4.893%	11/01/35.....		09/25/2012	Capital Distribution.....		37,234	0	37,234	37,234	0	0	0	0	37,234	0	0	0	0	11/01/2035	3FM.....	
36185M	AF	9	GMAC MTGE CORP LN TR	4.893%	11/01/35.....		09/01/2012	Redemption 76.0321.....		66,338	87,250	66,338	66,338	0	0	0	0	66,338	0	0	0	1,067	11/01/2035	3FM.....	
36228C	VR	1	GS MTG SEC CORP II 2005	4.680%	07/01/39.....		09/01/2012	Paydown.....		87,190	87,190	88,059	87,292	0	(101)	0	(101)	0	87,190	0	0	0	2,720	07/01/2039	1FM.....
36228C	ZS	5	GS MTG SEC CORP II 2007	1.103%	03/06/20.....		09/06/2012	Paydown.....		244,478	244,478	243,318	243,900	0	579	0	579	0	244,478	0	0	0	2,905	03/06/2020	1FM.....
37247D	AM	8	GENWORTH FINANCIAL INC	7.700%	06/15/20.....		07/25/2012	GOLDMAN SACHS & CO.....		498,944	500,000	500,000	500,000	0	0	0	0	500,000	0	(1,056)	(1,056)	23,651	06/15/2020	2FE.....	
43718M	AD	6	RESIDENTIAL FNDG II	5.720%	09/01/36.....		09/25/2012	Capital Distribution.....		80,261	0	80,261	80,261	0	0	0	0	80,261	0	0	0	0	09/01/2036	6FM.....	
46616Y	AA	2	321 HENDERSON REC LLC	3.840%	10/15/59.....		09/15/2012	Paydown.....		2,046	2,046	2,045	0	0	1	0	1	0	2,046	0	0	0	10	10/15/2059	1FE.....
46631B	AF	2	JPMORGAN CHASE COMM	6.003%	06/01/49.....		09/01/2012	Paydown.....		426,394	426,394	425,577	425,577	0	816	0	816	0	426,394	0	0	0	16,747	06/01/2049	1FM.....
52108H	4U	5	LBUBS COMM MTGE TR 2005	4.664%	07/11/30....		09/11/2012	Paydown.....		27,377	27,377	27,513	27,399	0	(22)	0	(22)	0	27,377	0	0	0	848	07/11/2030	1FM.....

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2			3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
												11	12	13	14	15							
CUSIP Identification	Description			F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Desig- nation or Market Indicator (a)
52522Q AM 4	LEHMAN MTGE TR 2007-10	6.000%	12/01/37.....		09/25/2012	Capital Distribution.....		11,706	0	11,706	11,706	0	0	0	0	0	11,706	0	0	0	0	12/01/2037	1FM.....
52522Q AM 4	LEHMAN MTGE TR 2007-10	6.000%	12/01/37.....		09/01/2012	Redemption 61.9186.....		5,239	8,461	5,238	5,238	0	0	0	0	0	5,238	0	0	0	0	12/01/2037	1FM.....
6325C0 BY 6	NATIONAL AUSTRALIA BK 1	4.375%	12/10/20.....	F...	07/09/2012	CITIGROUP.....		540,522	500,000	497,760	497,941	0	95	0	95	0	498,036	0	42,486	42,486	12,882	12/10/2020	1FE.....
65536P AA 8	NOMURA ASSET ACC CORP	0.317%	05/25/36.....		09/25/2012	Capital Distribution.....		1,055	0	1,055	1,055	0	0	0	0	0	1,055	0	0	0	0	05/25/2036	1FM.....
65536P AA 8	NOMURA ASSET ACC CORP	0.317%	05/25/36.....		09/01/2012	Redemption 28.4746.....		395	1,387	395	395	0	0	0	0	0	395	0	0	0	0	05/25/2036	1FM.....
74925F AA 1	RESIDENTIAL AC LN INC	1.617%	08/25/36.....		09/25/2012	Various.....		5,206	0	5,206	5,206	0	0	0	0	0	5,206	0	0	0	0	08/25/2036	1FM.....
74925F AA 1	RESIDENTIAL AC LN INC	1.617%	08/25/36.....		09/01/2012	Various.....		11,661	14,126	11,662	11,662	0	0	0	0	0	11,662	0	0	0	0	08/25/2036	1FM.....
80384H AA 8	SASCO 2008-03 A1 144A	0.497%	05/25/38.....		09/25/2012	Paydown.....		542,024	542,024	533,091	518,600	21,222	2,201	0	23,423	0	542,024	0	0	0	2,081	05/25/2038	6FM.....
87938W AB 9	TELEFONICA EMISIONES SA	6.421%	06/20/16.....	F...	07/11/2012	Various.....		2,480,000	2,500,000	2,540,250	2,524,222	0	(2,663)	0	(2,663)	0	2,521,559	0	(41,559)	(41,559)	91,321	06/20/2016	2FE.....
921796 MR 6	VANDEBILT MTGE & FIN	7.820%	12/01/32.....		09/01/2012	Paydown.....		181,387	181,387	187,849	184,067	0	(2,679)	0	(2,679)	0	181,387	0	0	0	9,328	12/01/2032	4AM.....
38999999.	Total - Bonds - Industrial & Miscellaneous.....							8,198,213	7,974,298	8,168,047	8,106,418	21,222	(4,535)	0	16,687	0	8,125,147	0	73,065	73,065	332,801	XXX...	..XXX....
8399997.	Total - Bonds - Part 4.....							18,583,389	17,979,816	18,432,274	8,112,239	21,222	(4,344)	0	16,878	0	18,389,632	0	193,756	193,756	417,663	XXX...	..XXX....
83999999.	Total - Bonds.....							18,583,389	17,979,816	18,432,274	8,112,239	21,222	(4,344)	0	16,878	0	18,389,632	0	193,756	193,756	417,663	XXX...	..XXX....
99999999.	Total - Bonds, Preferred and Common Stocks.....							18,583,389	XXX.....	18,432,274	8,112,239	21,222	(4,344)	0	16,878	0	18,389,632	0	193,756	193,756	417,663	XXX...	..XXX....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

Sch. DB-Pt A-Sn 1
NONE

Sch. DB-Pt B-Sn 1
NONE

Sch. DB-Pt B-Sn 1B-Broker List
NONE

Sch. DB-Pt D
NONE

STONEBRIDGE CASUALTY INSURANCE COMPANY

SCHEDULE DL - PART 1

SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

1	2		3	4	5	6	7
CUSIP Identification	Description		Code	NAIC Designation /Market Indicator	Fair Value	Book/Adjusted Carrying Value	Maturity Dates
Industrial & Miscellaneous (Unaffiliated) - Issuer Obligations							
46625H	GT	1	JPMORGAN CHASE & CO	1	1,000,000	1,000,000	10/01/2012...
3299999	Industrial & Miscellaneous (Unaffiliated) - Issuer Obligations				1,000,000	1,000,000	...XXX
Industrial & Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities							
83613G	AA	7	SOUNDVIEW HM EQ LN 2008-1	1	160,769	160,769	02/25/2038...
3399999	Industrial & Miscellaneous (Unaffiliated) - Residential Mortgage-Backed Securities				160,769	160,769	...XXX
Industrial & Miscellaneous (Unaffiliated) - Commercial Mortgage-Backed Securities							
02005A	AA	6	ALLY MASTER OWNER TRUST	1	1,105,093	1,104,445	01/15/2015...
05522R	BR	8	BANK OF AMERICA CR CARD TR	1	501,739	501,839	06/15/2015...
161571	CH	5	CHASE ISSUANCE TR	1	250,573	250,454	10/15/2014...
46629R	AB	0	JPMORGAN CHASE COMM 2006-FL2A	1	782,815	793,747	11/15/2018...
69335P	AS	4	PFS FINANCING CORP 2010-DA	1	651,645	652,846	02/15/2015...
3499999	Industrial & Miscellaneous - Commercial Mortgage-Backed Securities				3,291,863	3,303,332	...XXX
3899999	Total - Industrial & Miscellaneous (Unaffiliated)				4,452,633	4,464,101	...XXX
6199999	Total - Issuer Obligations				1,000,000	1,000,000	...XXX
6299999	Total - Residential Mortgage-Backed Securities				160,769	160,769	...XXX
6399999	Total - Commercial Mortgage-Backed Securities				3,291,863	3,303,332	...XXX
6599999	Subtotal - Bonds				4,452,633	4,464,101	...XXX
Short-Term Invested Assets (Schedule DA Type)							
06538B	K5	1	MITSUBISHI UFJ FINANCIAL GROUP		999,961	999,961	10/05/2012...
06538B	LD	3	MITSUBISHI UFJ FINANCIAL GROUP		999,510	999,510	11/13/2012...
09248U	61	9	BLACKROCK LIQUIDITY FUNDS		2,408,897	2,408,897	10/01/2012...
3686M0	M5	4	GEMINI SECURITIZATION CORP		999,350	999,350	12/05/2012...
74977K	KG	3	RABOBANK NEDERLAND NV		999,875	999,875	10/16/2012...
7588R0	LF	2	REGENCY MARKETS NO 1 LLC		999,587	999,587	11/15/2012...
85324T	LD	6	STANDARD CHARTERED PLC		499,737	499,737	11/13/2012...
87019R	L8	7	SWEDBANK		999,662	999,662	11/08/2012...
96122G	K1	2	WESTPAC BANKING CORP		1,000,000	1,000,000	10/01/2012...
8999999	Total - Short-Term Invested Assets (Schedule DA Type)				9,906,578	9,906,578	...XXX
Cash Equivalents (Schedle E Part 2 Type)							
000000	00	0	CAFCO LLC		999,578	999,578	11/16/2012...
000000	00	0	CANCARA ASSET SECUR LLC		999,879	999,879	10/24/2012...
000000	00	0	CHESHAM FINANCE LLC		1,000,000	1,000,000	10/01/2012...
000000	00	0	CIESCO LLC		499,844	499,844	10/26/2012...
000000	00	0	CONCORD MINUTEMEN CAPITAL CO L		1,499,957	1,499,957	10/05/2012...
000000	00	0	EBURY FINANCE LLC		1,000,000	1,000,000	10/01/2012...
000000	00	0	GOTHAM FUNDING CORP		1,500,000	1,500,000	10/01/2012...
000000	00	0	MONT BLANC CAPITAL CORP		999,977	999,977	10/03/2012...
000000	00	0	NIEUW AMSTERDAM REC		1,249,931	1,249,931	10/09/2012...
000000	00	0	REGENCY MARKETS NO 1 LLC		999,942	999,942	10/11/2012...
000000	00	0	ROYAL PARK INVESTMENTS		1,000,000	1,000,000	10/01/2012...
9199999	Total - Cash Equivalents (Schedule E Part 2 Type)				11,749,106	11,749,106	...XXX
9999999	Totals				26,108,317	26,119,786	...XXX

General Interrogatories:

1. The activity for the year to date: Fair Value \$....4,173,317 Book/Adjusted Carrying Value \$....4,118,691
2. Average balance for the year to date: Fair Value \$....25,265,059 Book/Adjusted Carrying Value \$....25,324,859
3. Reinvested securities lending collateral assets book/adjusted carrying value included in this schedule by NAIC designation:
NAIC 1: \$....4,464,101 NAIC 2: \$.....0 NAIC 3: \$.....0 NAIC 4: \$.....0 NAIC 5: \$.....0 NAIC 6: \$.....0

STONEBRIDGE CASUALTY INSURANCE COMPANY

SCHEDULE DL - PART 2

SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

1	2	3	4	5	6	7
CUSIP Identification	Description	Code	NAIC Designation /Market Indicator	Fair Value	Book/Adjusted Carrying Value	Maturity Dates

General Interrogatory:

1. The activity for the year to date: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0
2. Average balance for the year to date: Fair Value \$.....0 Book/Adjusted Carrying Value \$.....0
3. Grand Total Schedule DL Part 1 and Part 2: Fair Value \$.....26,108,317 Book/Adjusted Carrying Value \$.....26,119,786

STONEBRIDGE CASUALTY INSURANCE COMPANY
SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	

Open Depositories

HSBC Bank USA New York, New York.....		0.000	0	0	46,342	140,210	113,046	XXX..
J.P. Morgan Chase North Syracuse, New York.....		0.000	0	0	(15,316,699)	(17,685,072)	(16,246,131)	XXX..
0199999. Total Open Depositories.....	...XXX.....	...XXX.....	0	0	(15,270,357)	(17,544,861)	(16,133,085)	XXX..
0399999. Total Cash on Deposit.....	...XXX.....	...XXX.....	0	0	(15,270,357)	(17,544,861)	(16,133,085)	XXX..
0599999. Total Cash.....	...XXX.....	...XXX.....	0	0	(15,270,357)	(17,544,861)	(16,133,085)	XXX..

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations							
GOTHAM FUNDING CORP CP 144A.....		09/24/2012	0.180	10/31/2012	14,997,750	0	525
32999999. Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations.....					14,997,750	0	525
38999999. Total - Industrial and Miscellaneous (Unaffiliated).....					14,997,750	0	525
Total Bonds							
77999999. Subtotals - Issuer Obligations.....					14,997,750	0	525
83999999. Subtotals - Bonds.....					14,997,750	0	525
86999999. Total - Cash Equivalents.....					14,997,750	0	525