



# HEALTH QUARTERLY STATEMENT

As of June 30, 2012  
of the Condition and Affairs of the

## Medical Mutual of Ohio

NAIC Group Code.....730, 730 (Current Period) (Prior Period) NAIC Company Code..... 29076 Employer's ID Number..... 34-0648820

Organized under the Laws of Ohio State of Domicile or Port of Entry Ohio Country of Domicile US

Licensed as Business Type Property/Casualty Is HMO Federally Qualified? Yes [ ] No [ ]

Incorporated/Organized..... March 30, 1934 Commenced Business..... January 1, 1934

Statutory Home Office 2060 East Ninth Street..... Cleveland ..... OH ..... 44115-1355  
(Street and Number) (City or Town, State and Zip Code)

Main Administrative Office 2060 East Ninth Street..... Cleveland ..... OH ..... 44115-1355 216-687-7000  
(Street and Number) (City or Town, State and Zip Code) (Area Code) (Telephone Number)

Mail Address 2060 East Ninth Street..... Cleveland ..... OH ..... 44115-1355  
(Street and Number or P. O. Box) (City or Town, State and Zip Code)

Primary Location of Books and Records 2060 East Ninth Street..... Cleveland ..... OH ..... 44115-1355 216-687-7000  
(Street and Number) (City or Town, State and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.MedMutual.com

Statutory Statement Contact Sharon Matonis 216-687-6049  
(Name) (Area Code) (Telephone Number) (Extension)  
Sharon.Matonis@mmoh.com 216-687-1579  
(E-Mail Address) (Fax Number)

### OFFICERS

Name	Title	Name	Title
1. Richard Alan Chiricosta	President	2. Patrick Joseph Dugan	Secretary
3. Raymond Karl Mueller #	Chief Financial Officer	4.	

### OTHER

Jared Paul Chaney	EVP	Patrick Joseph Dugan	EVP
Kevin Scott Lauterjung	EVP	Steffany Kirsten Matticola #	EVP
Raymond Karl Mueller #	EVP	Susan Marie Tyler	EVP

### DIRECTORS OR TRUSTEES

Charles Arthur Bryan	Richard Alan Chiricosta	Robert John King Jr. #	Samuel Henry Miller
Dennis John Roche	Greta Jane Russell #	David Joseph Young	

State of..... Ohio  
County of..... Cuyahoga

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

_____ (Signature) Richard Alan Chiricosta 1. (Printed Name) President _____ (Title)	_____ (Signature) Patrick Joseph Dugan 2. (Printed Name) Secretary _____ (Title)	_____ (Signature) Raymond Karl Mueller 3. (Printed Name) Chief Financial Officer _____ (Title)
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Subscribed and sworn to before me	a. Is this an original filing?	Yes [X] No [ ]
This _____ day of _____	b. If no:	
	1. State the amendment number	_____
	2. Date filed	_____
	3. Number of pages attached	_____

## ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	740,343,073		740,343,073	766,373,661
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....	204,498,427		204,498,427	163,860,467
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....			0	
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....15,634,434), cash equivalents (\$.....0) and short-term investments (\$.....136,027,339).....	151,661,773		151,661,773	85,462,821
6. Contract loans (including \$.....0 premium notes).....			0	
7. Derivatives.....			0	
8. Other invested assets.....	447,147,408	36,802,863	410,344,545	414,555,159
9. Receivables for securities.....	175,951		175,951	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	1,543,826,632	36,802,863	1,507,023,769	1,430,252,108
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	6,189,978		6,189,978	6,410,658
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	11,606,894	1,076,715	10,530,179	13,601,991
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	
15.3 Accrued retrospective premiums.....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....			0	
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....	4,007,858		4,007,858	17,281,358
18.2 Net deferred tax asset.....	27,619,000	7,243,000	20,376,000	25,962,000
19. Guaranty funds receivable or on deposit.....	7,109,832		7,109,832	5,852,060
20. Electronic data processing equipment and software.....	6,859,329	6,859,329	0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....	4,536,634	4,536,634	0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....	25,909,499		25,909,499	39,580,053
24. Health care (\$.....1,879,280) and other amounts receivable.....	4,216,521	2,284,932	1,931,589	3,835,904
25. Aggregate write-ins for other than invested assets.....	38,744,972	22,996,643	15,748,329	15,933,400
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	1,680,627,149	81,800,116	1,598,827,033	1,558,709,532
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	1,680,627,149	81,800,116	1,598,827,033	1,558,709,532

### DETAILS OF WRITE-INS

1101. ....			0	
1102. ....			0	
1103. ....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Note Receivable - Rose Building.....	7,268,679		7,268,679	7,585,082
2502. Cash Surrender Value - Life Insurance.....	8,193,181		8,193,181	8,080,066
2503. Other Assets.....	13,497,621	13,211,152	286,469	268,051
2598. Summary of remaining write-ins for Line 25 from overflow page.....	9,785,491	9,785,491	0	201
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	38,744,972	22,996,643	15,748,329	15,933,400

## LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$.....0 reinsurance ceded).....	205,086,900		205,086,900	195,104,400
2. Accrued medical incentive pool and bonus amounts.....	2,294,717		2,294,717	1,289,899
3. Unpaid claims adjustment expenses.....	6,015,428		6,015,428	5,721,872
4. Aggregate health policy reserves, including the liability of \$.....11,435,177 for medical loss ratio rebate per the Public Health Service Act.....	15,239,177		15,239,177	3,348,000
5. Aggregate life policy reserves.....			0	
6. Property/casualty unearned premium reserve.....			0	
7. Aggregate health claim reserves.....			0	
8. Premiums received in advance.....	73,956,045		73,956,045	62,828,875
9. General expenses due or accrued.....	67,160,868		67,160,868	88,016,675
10.1 Current federal and foreign income tax payable and interest thereon (including \$.....0 on realized gains (losses)).....			0	
10.2 Net deferred tax liability.....			0	
11. Ceded reinsurance premiums payable.....			0	
12. Amounts withheld or retained for the account of others.....			0	
13. Remittances and items not allocated.....	937,047		937,047	1,218,723
14. Borrowed money (including \$.....0 current) and interest thereon \$.....0 (including \$.....0 current).....			0	
15. Amounts due to parent, subsidiaries and affiliates.....			0	
16. Derivatives.....			0	
17. Payable for securities.....	1,121,743		1,121,743	
18. Payable for securities lending.....			0	
19. Funds held under reinsurance treaties with (\$.....0 authorized reinsurers and \$.....0 unauthorized reinsurers).....			0	
20. Reinsurance in unauthorized companies.....			0	
21. Net adjustments in assets and liabilities due to foreign exchange rates.....			0	
22. Liability for amounts held under uninsured plans.....			0	
23. Aggregate write-ins for other liabilities (including \$.....8,072,028 current).....	71,968,301	0	71,968,301	80,279,834
24. Total liabilities (Lines 1 to 23).....	443,780,226	0	443,780,226	437,808,278
25. Aggregate write-ins for special surplus funds.....	XXX	XXX	0	0
26. Common capital stock.....	XXX	XXX		
27. Preferred capital stock.....	XXX	XXX		
28. Gross paid in and contributed surplus.....	XXX	XXX		
29. Surplus notes.....	XXX	XXX		
30. Aggregate write-ins for other than special surplus funds.....	XXX	XXX	0	0
31. Unassigned funds (surplus).....	XXX	XXX	1,155,046,807	1,120,901,254
32. Less treasury stock, at cost:				
32.1 .....0.000 shares common (value included in Line 26 \$.....0).....	XXX	XXX		
32.2 .....0.000 shares preferred (value included in Line 27 \$.....0).....	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32).....	XXX	XXX	1,155,046,807	1,120,901,254
34. Total liabilities, capital and surplus (Lines 24 and 33).....	XXX	XXX	1,598,827,033	1,558,709,532

### DETAILS OF WRITE-INS

2301. Accrued Postemployment Benefits Other Than Pension.....	31,393,531		31,393,531	29,850,233
2302. Building Lease Liability.....	11,205,034		11,205,034	11,693,984
2303. Other Liabilities.....	12,609,331		12,609,331	24,409,050
2398. Summary of remaining write-ins for Line 23 from overflow page.....	16,760,405	0	16,760,405	14,326,567
2399. Totals (Lines 2301 thru 2303 plus 2398) (Line 23 above).....	71,968,301	0	71,968,301	80,279,834
2501. ....				
2502. ....				
2503. ....				
2598. Summary of remaining write-ins for Line 25 from overflow page.....	XXX	XXX	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	XXX	XXX	0	0
3001. ....				
3002. ....				
3003. ....				
3098. Summary of remaining write-ins for Line 30 from overflow page.....	XXX	XXX	0	0
3099. Totals (Lines 3001 thru 3003 plus 3098) (Line 30 above).....	XXX	XXX	0	0

## STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member months.....	XXX	6,530,397	5,558,650	12,196,851
2. Net premium income (including \$.....0 non-health premium income).....	XXX	1,148,888,975	1,045,999,950	2,122,861,380
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	(11,335,177)	(30,331,200)	(100,000)
4. Fee-for-service (net of \$.....0 medical expenses).....	XXX			
5. Risk revenue.....	XXX			
6. Aggregate write-ins for other health care related revenues.....	XXX	.0	.0	.0
7. Aggregate write-ins for other non-health revenues.....	XXX	.0	.0	.0
8. Total revenues (Lines 2 to 7).....	XXX	1,137,553,798	1,015,668,750	2,122,761,380
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits.....		600,947,746	504,175,560	1,082,689,040
10. Other professional services.....		51,571,287	44,400,356	95,487,004
11. Outside referrals.....		13,382,230	10,143,835	20,746,065
12. Emergency room and out-of-area.....		119,629,500	99,082,619	208,810,630
13. Prescription drugs.....		123,837,567	110,239,794	241,311,968
14. Aggregate write-ins for other hospital and medical.....	.0	.0	.0	.0
15. Incentive pool, withhold adjustments and bonus amounts.....		1,142,428	1,000,000	1,289,899
16. Subtotal (Lines 9 to 15).....	.0	910,510,758	769,042,164	1,650,334,606
<b>Less:</b>				
17. Net reinsurance recoveries.....		(30,124,481)	(35,330,247)	(63,836,387)
18. Total hospital and medical (Lines 16 minus 17).....	.0	940,635,239	804,372,411	1,714,170,993
19. Non-health claims (net).....				
20. Claims adjustment expenses, including \$.....10,626,254 cost containment expenses.....		32,975,674	29,543,926	64,390,278
21. General administrative expenses.....		117,990,790	111,772,506	281,171,936
22. Increase in reserves for life and accident and health contracts (including \$.....0 increase in reserves for life only).....		556,000	344,000	1,456,000
23. Total underwriting deductions (Lines 18 through 22).....	.0	1,092,157,703	946,032,843	2,061,189,207
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	45,396,095	69,635,907	61,572,173
25. Net investment income earned.....		14,431,426	15,171,927	30,218,056
26. Net realized capital gains (losses) less capital gains tax of \$.....0.....		(176,641)		3,064,858
27. Net investment gains or (losses) (Lines 25 plus 26).....	.0	14,254,785	15,171,927	33,282,914
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....0) (amount charged off \$.....0)].....				
29. Aggregate write-ins for other income or expenses.....	.0	(4,616)	3,006,686	58,563
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29).....	XXX	59,646,264	87,814,520	94,913,650
31. Federal and foreign income taxes incurred.....	XXX	10,173,500	25,477,700	28,678,512
32. Net income (loss) (Lines 30 minus 31).....	XXX	49,472,764	62,336,820	66,235,138

### DETAILS OF WRITE-INS

0601. ....	XXX			
0602. ....	XXX			
0603. ....	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page.....	XXX	.0	.0	.0
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above).....	XXX	.0	.0	.0
0701. ....	XXX			
0702. ....	XXX			
0703. ....	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page.....	XXX	.0	.0	.0
0799. Totals (Lines 0701 thru 0703 plus 0798) (Line 7 above).....	XXX	.0	.0	.0
1401. ....				
1402. ....				
1403. ....				
1498. Summary of remaining write-ins for Line 14 from overflow page.....	.0	.0	.0	.0
1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above).....	.0	.0	.0	.0
2901. (Other Expense), Net of Other Income.....		(4,616)	3,006,686	58,563
2902. ....				
2903. ....				
2998. Summary of remaining write-ins for Line 29 from overflow page.....	.0	.0	.0	.0
2999. Totals (Lines 2901 thru 2903 plus 2998) (Line 29 above).....	.0	(4,616)	3,006,686	58,563

## STATEMENT OF REVENUE AND EXPENSES (Continued)

CAPITAL AND SURPLUS ACCOUNT	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
33. Capital and surplus prior reporting year.....	1,120,901,254	1,065,326,255	1,065,326,255
34. Net income or (loss) from Line 32.....	49,472,764	62,336,820	66,235,138
35. Change in valuation basis of aggregate policy and claim reserves.....			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$.....254,100.....	(3,319,965)	17,073,425	(5,050,948)
37. Change in net unrealized foreign exchange capital gain or (loss).....			
38. Change in net deferred income tax.....	(27,001,000)	24,003,300	(249,568,000)
39. Change in nonadmitted assets.....	18,093,754	(40,149,912)	243,958,809
40. Change in unauthorized reinsurance.....			
41. Change in treasury stock.....			
42. Change in surplus notes.....			
43. Cumulative effect of changes in accounting principles.....	(3,100,000)		
44. Capital changes:			
44.1 Paid in.....			
44.2 Transferred from surplus (Stock Dividend).....			
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in.....			
45.2 Transferred to capital (Stock Dividend).....			
45.3 Transferred from capital.....			
46. Dividends to stockholders.....			
47. Aggregate write-ins for gains or (losses) in surplus.....	0	0	0
48. Net change in capital and surplus (Lines 34 to 47).....	34,145,553	63,263,633	55,574,999
49. Capital and surplus end of reporting period (Line 33 plus 48).....	1,155,046,807	1,128,589,888	1,120,901,254

### DETAILS OF WRITE-INS

4701. ....			
4702. ....			
4703. ....			
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0	0
4799. Totals (Lines 4701 thru 4703 plus 4798) (Line 47 above).....	0	0	0

## CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>CASH FROM OPERATIONS</b>			
1. Premiums collected net of reinsurance.....	1,162,069,393	1,050,239,844	2,118,180,507
2. Net investment income.....	16,110,998	16,298,921	33,283,443
3. Miscellaneous income.....		2,052,527	
4. Total (Lines 1 through 3).....	1,178,180,391	1,068,591,292	2,151,463,950
5. Benefit and loss related payments.....	929,647,921	828,160,011	1,721,267,494
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	181,102,636	158,465,902	278,700,615
8. Dividends paid to policyholders.....			
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....		13,700,000	42,530,168
10. Total (Lines 5 through 9).....	1,110,750,557	1,000,325,913	2,042,498,277
11. Net cash from operations (Line 4 minus Line 10).....	67,429,834	68,265,379	108,965,673
<b>CASH FROM INVESTMENTS</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	73,741,662	34,916,087	80,767,125
12.2 Stocks.....	636,035		105,683
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....	802,424	850,041	975,050
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....	1,121,743		
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	76,301,864	35,766,128	81,847,858
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	49,098,561	57,138,563	133,561,574
13.2 Stocks.....	39,747,287		36,019,984
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....	2,445,738	1,915,516	2,833,746
13.6 Miscellaneous applications.....	175,951	500,000	
13.7 Total investments acquired (Lines 13.1 to 13.6).....	91,467,537	59,554,079	172,415,304
14. Net increase (decrease) in contract loans and premium notes.....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(15,165,673)	(23,787,951)	(90,567,446)
<b>CASH FROM FINANCING AND MISCELLANEOUS SOURCES</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	13,934,791	17,218,796	(31,237,389)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	13,934,791	17,218,796	(31,237,389)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	66,198,952	61,696,224	(12,839,162)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	85,462,821	98,301,983	98,301,983
19.2 End of period (Line 18 plus Line 19.1).....	151,661,773	159,998,207	85,462,821

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....			
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**EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
<b>Total Members at End of:</b>										
1. Prior Year.....	1,028,945	110,748	424,198	8,712	42,163	61,283				381,841
2. First Quarter.....	1,086,372	114,413	438,474	8,713	56,434	75,877				392,461
3. Second Quarter.....	1,098,365	116,756	447,851	8,714	57,427	75,581				392,036
4. Third Quarter.....	0									
5. Current Year.....	0									
6. Current Year Member Months.....	6,530,397	687,300	2,641,388	52,327	338,833	453,710				2,356,839
<b>Total Member Ambulatory Encounters for Period:</b>										
7. Physician.....	3,027,787	474,539	2,398,328	152,014	222	2,684				
8. Non-Physician.....	1,864,892	307,180	1,456,736	59,253	855	40,868				
9. Total.....	4,892,679	781,719	3,855,064	211,267	1,077	43,552	0	0	0	0
10. Hospital Patient Days Incurred.....	93,237	8,428	62,143	22,600						66
11. Number of Inpatient Admissions.....	18,029	1,846	13,973	2,200						10
12. Health Premiums Written (a).....	1,121,425,901	138,835,689	917,450,452	12,867,503	925,874	8,274,839				43,071,544
13. Life Premiums Direct.....	0									
14. Property/Casualty Premiums Written.....	0									
15. Health Premiums Earned.....	1,121,425,901	138,835,689	917,450,452	12,867,503	925,874	8,274,839				43,071,544
16. Property/Casualty Premiums Earned.....	0									
17. Amount Paid for Provision of Health Care Services.....	900,215,440	113,620,721	738,852,194	9,677,205	1,594,302	6,612,344		17,839		29,840,835
18. Amount Incurred for Provision of Health Care Services.....	910,510,758	118,372,114	743,919,306	9,808,898	1,594,741	6,948,031		(41,110)		29,908,778

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(a) For health premiums written: Amount of Medicare Title XVIII exempt from state taxes or fees \$.000.

## CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

### Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
<b>Claims Unpaid (Reported)</b>						
0599999. Unreported Claims and Other Claim Reserves.....						205,086,900
0799999. Total Claims Unpaid.....						205,086,900
0899999. Accrued Medical Incentive Pool and Bonus Amounts.....						2,294,717

## UNDERWRITING AND INVESTMENT EXHIBIT

### Analysis of Claims Unpaid - Prior Year - Net of Reinsurance

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid December 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical).....	176,380,499	705,051,442	6,046,630	192,920,760	182,427,129	189,509,131
2. Medicare Supplement.....	2,532,945	7,400,481	57,023	2,884,577	2,589,968	2,804,118
3. Dental only.....	955,877	5,772,146	27,652	1,037,932	983,529	688,313
4. Vision only.....	24,661	1,582,014	1,070	24,629	25,731	25,205
5. Federal Employees Health Benefits Plan.....					0	
6. Title XVIII - Medicare.....	17,839				17,839	58,949
7. Title XIX - Medicaid.....					0	
8. Other health.....	1,090,768	28,750,067	2,236	2,084,391	1,093,004	2,018,684
9. Health subtotal (Lines 1 to 8).....	181,002,589	748,556,150	6,134,611	198,952,289	187,137,200	195,104,400
10. Healthcare receivables (a).....					0	
11. Other non-health.....					0	
12. Medical incentive pools and bonus amounts.....	89,182		1,158,995	1,135,722	1,248,177	1,289,899
13. Totals (Lines 9-10+11+12).....	181,091,771	748,556,150	7,293,606	200,088,011	188,385,377	196,394,299

600

(a) Excludes \$.....0 loans or advances to providers not yet expensed.

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**NOTES TO FINANCIAL STATEMENTS**

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**Note 1 - Summary of Significant Accounting Policies**

## A. Accounting Practices

## Nature of Operations and Significant Accounting Policies

Medical Mutual of Ohio (the Company) is a mutual casualty insurance organization domiciled in Ohio. The Company provides accident and health plans to individuals and employer groups in Ohio and selected other states. The Company's principal operating subsidiaries are Medical Mutual Services, LLC (MMS), a wholly-owned subsidiary which provides claims processing and network access services to uninsured accident and health plans, third party administrators, and other insurance companies; Medical Health Insuring Corporation of Ohio (MHICO) and Carolina Care Plan, Inc (CCP), both wholly-owned, for-profit health maintenance organizations; Consumers Life Insurance Company (CLIC), a wholly-owned life and accident and health insurance company; and MMO Agency Management, LLC (MMAM), which is comprised of wholly and majority-owned brokerage companies.

The accompanying financial statements of the Company have been prepared in conformity with the National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual, as prescribed by the Ohio Department of Insurance (ODI).

**Note 2 - Accounting Changes and Corrections of Errors**

In 2012, the Company adopted SSAP No. 101 - Taxes, a Replacement of SSAP No. 10R and SSAP No. 10. Pursuant to the adoption, the Company recorded a liability for tax contingencies, which is represented as a reduction to the federal income tax recoverable on the assets page and a corresponding reduction in surplus.

**Note 3 - Business Combinations and Goodwill**

No significant change.

**Note 4 - Discontinued Operations**

No significant change.

**Note 5 - Investments**

## D. Loan-Backed Securities

(1) Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from broker dealer survey values or internal estimates.

(2) Not applicable

(3) Not applicable

(4) No loan backed securities held by the Company are considered impaired.

(5) Not applicable

**Note 6 - Joint Ventures, Partnerships and Limited Liability Companies**

No significant change.

**Note 7 - Investment Income**

No significant change.

**Note 8 - Derivative Instruments**

No significant change.

**Note 9 - Income Taxes**

See Note 2.

**Note 10 - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

Effective February 1, 2006, the Company entered into a quota share reinsurance agreement with CLIC to assume 80% of CLIC's health business written from that date. The Company paid a ceding allowance to CLIC up to 22.1% in 2012 and 22.1% in 2011 pursuant to the agreement. Under this agreement, the Company assumed \$27,463,000 in premiums and \$30,124,000 in claims during the first six months of 2012.

Through June 30, 2012, commission expense includes \$1,798,000 of net commissions paid to and retained by wholesale insurance agencies that are affiliates of the Company. For the comparable period in 2011, these commissions amounted to \$13,068,000.

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## **NOTES TO FINANCIAL STATEMENTS**

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### **Note 11 - Debt**

No significant change.

### **Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

No significant change.

### **Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**

No significant change.

### **Note 14 - Contingencies**

No significant change.

### **Note 15 - Leases**

No significant change.

### **Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

No significant change.

### **Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

C. The Company executed no wash sales through June 30, 2012.

### **Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

No significant change.

### **Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

No significant change.

### **Note 20 - Fair Value**

Not applicable.

### **Note 21 - Other Items**

No significant change.

### **Note 22 - Events Subsequent**

No significant change.

### **Note 23 - Reinsurance**

No significant change.

### **Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination**

No significant change.

### **Note 25 - Change in Incurred Losses and Loss Adjustment Expenses**

Reserves for unpaid claims and claims adjustment expenses as of December 31, 2011 were \$200.8 million. As of June 30 2012, \$186.7 million has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$6.1 million as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$8.0 million favorable prior year development since December 31, 2011. Original estimates are increased or decreased as additional information becomes known regarding individual claims.

### **Note 26 - Intercompany Pooling Arrangements**

No significant change.

### **Note 27 - Structured Settlements**

Not applicable.

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## NOTES TO FINANCIAL STATEMENTS

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**Note 28 - Health Care Receivables**

No significant change.

**Note 29 - Participating Policies**

No significant change.

**Note 30 - Premium Deficiency Reserves**

No significant change.

**Note 31 - Anticipated Salvage and Subrogation**

No significant change.

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES - GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [ X ]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [ X ]
- 2.2 If yes, date of change: .....
3. Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [ X ]  
If yes, complete the Schedule Y-Part 1 - Organizational chart.
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [ X ]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [ ] N/A [ X ]  
If yes, attach an explanation.

\_\_\_\_\_

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2009.....
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2009.....
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 3/2/2011.....
- 6.4 By what department or departments?  
OHIO DEPARTMENT OF INSURANCE

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [ X ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ ] No [ ] N/A [ X ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [ X ]

7.2 If yes, give full information:  
\_\_\_\_\_  
\_\_\_\_\_

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.  
\_\_\_\_\_  
\_\_\_\_\_

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [ X ]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [ X ] No [ ]

- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:  
\_\_\_\_\_  
\_\_\_\_\_

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [ X ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).  
\_\_\_\_\_  
\_\_\_\_\_

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [ X ]

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES - GENERAL

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

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### PART 1 - FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes  No

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$.....0

### PART 1 - INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes  No

11.2 If yes, give full and complete information relating thereto:

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12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$.....0

13. Amount of real estate and mortgages held in short-term investments: \$.....0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes  No

14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds.....	\$ .....0	\$ .....0
14.22 Preferred Stock.....	\$ .....0	\$ .....0
14.23 Common Stock.....	\$ ..... 132,707,523	\$ ..... 133,379,452
14.24 Short-Term Investments.....	\$ .....0	\$ .....0
14.25 Mortgage Loans on Real Estate.....	\$ .....0	\$ .....0
14.26 All Other.....	\$ ..... 434,311,220	\$ ..... 427,642,014
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$ ..... 567,018,743	\$ ..... 561,021,466
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above.....	\$ .....0	\$ .....0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes  No

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes  No   
If no, attach a description with this statement.

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16. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes  No

16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1	2
Name of Custodian(s)	Custodian Address
FIFTH THIRD BANK	5050 KINGSLEY DRIVE, CINCINNATI, OHIO 45263
PNC BANK	1900 EAST NINTH STREET, CLEVELAND, OH 44114

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

16.3 Have there been any changes, including name changes, in the custodian(s) identified in 16.1 during the current quarter? Yes  No

16.4 If yes, give full and complete information relating thereto:

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason

16.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1	2	3
Central Registration Depository	Name(s)	Address
N/A	FIRST MERIT BANK	101 WEST PROSPECT AVE., CLEVELAND, OH 44115
N/A	PNC INSTITUTIONAL INVESTMENTS	1900 EAST NINTH STREET, CLEVELAND, OH 44114
124674	ANCORA ADVISORS	2000 AUBURN DRIVE #300, CLEVELAND, OH 44122

**PART 1 - INVESTMENT**

17.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed?

Yes  No

17.2 If no, list exceptions:

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**GENERAL INTERROGATORIES (continued)**

**PART 2 - HEALTH**

1. Operating Percentages:		
1.1 A&H loss percent		<u>83.7 %</u>
1.2 A&H cost containment percent		<u>0.9 %</u>
1.3 A&H expense percent excluding cost containment expenses		<u>12.3 %</u>
2.1 Do you act as a custodian for health savings accounts?	Yes [ <input type="checkbox"/> ]	No [ <input checked="" type="checkbox"/> ]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.		<u>0</u>
2.3 Do you act as an administrator for health savings accounts?	Yes [ <input type="checkbox"/> ]	No [ <input checked="" type="checkbox"/> ]
2.4 If yes, please provide the amount of funds administered as of the reporting date.		<u>0</u>

## SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Is Insurer Authorized? (YES or NO)
------------------------------	------------------------------	------------------------	------------------------	-------------------------------	--------------------------------------	---

**NONE**

**SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS**

Current Year to Date - Allocated by States and Territories

State, Etc.	1 Active Status	Direct Business Only							9 Deposit-Type Contracts
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums and Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 through 7	
1. Alabama.....AL	N								.0
2. Alaska.....AK	N								.0
3. Arizona.....AZ	N								.0
4. Arkansas.....AR	N								.0
5. California.....CA	N								.0
6. Colorado.....CO	N								.0
7. Connecticut.....CT	N								.0
8. Delaware.....DE	N								.0
9. District of Columbia.....DC	N								.0
10. Florida.....FL	N								.0
11. Georgia.....GA	L								.0
12. Hawaii.....HI	N								.0
13. Idaho.....ID	N								.0
14. Illinois.....IL	N								.0
15. Indiana.....IN	L	30,736,128							30,736,128
16. Iowa.....IA	N								.0
17. Kansas.....KS	N								.0
18. Kentucky.....KY	N								.0
19. Louisiana.....LA	N								.0
20. Maine.....ME	N								.0
21. Maryland.....MD	N								.0
22. Massachusetts.....MA	N								.0
23. Michigan.....MI	L	2,307,856							2,307,856
24. Minnesota.....MN	N								.0
25. Mississippi.....MS	N								.0
26. Missouri.....MO	N								.0
27. Montana.....MT	N								.0
28. Nebraska.....NE	N								.0
29. Nevada.....NV	N								.0
30. New Hampshire.....NH	N								.0
31. New Jersey.....NJ	N								.0
32. New Mexico.....NM	N								.0
33. New York.....NY	N								.0
34. North Carolina.....NC	L								.0
35. North Dakota.....ND	N								.0
36. Ohio.....OH	L	1,088,381,917							1,088,381,917
37. Oklahoma.....OK	N								.0
38. Oregon.....OR	N								.0
39. Pennsylvania.....PA	L								.0
40. Rhode Island.....RI	N								.0
41. South Carolina.....SC	L								.0
42. South Dakota.....SD	N								.0
43. Tennessee.....TN	N								.0
44. Texas.....TX	N								.0
45. Utah.....UT	N								.0
46. Vermont.....VT	N								.0
47. Virginia.....VA	N								.0
48. Washington.....WA	N								.0
49. West Virginia.....WV	L								.0
50. Wisconsin.....WI	L								.0
51. Wyoming.....WY	N								.0
52. American Samoa.....AS	N								.0
53. Guam.....GU	N								.0
54. Puerto Rico.....PR	N								.0
55. U.S. Virgin Islands.....VI	N								.0
56. Northern Mariana Islands.....MP	N								.0
57. Canada.....CN	N								.0
58. Aggregate Other alien.....OT	XXX	.0	.0	.0	.0	.0	.0	.0	.0
59. Subtotal.....XXX		1,121,425,901	.0	.0	.0	.0	.0	1,121,425,901	.0
60. Reporting entity contributions for Employee Benefit Plans.....XXX								.0	
61. Total (Direct Business).....(a)	9	1,121,425,901	.0	.0	.0	.0	.0	1,121,425,901	.0

**DETAILS OF WRITE-INS**

5801. ....								.0	
5802. ....								.0	
5803. ....								.0	
5898. Summary of remaining write-ins for line 58 from overflow page.....		.0	.0	.0	.0	.0	.0	.0	.0
5899. Total (Lines 5801 thru 5803 plus 5898) (Line 58 above).....		.0	.0	.0	.0	.0	.0	.0	.0

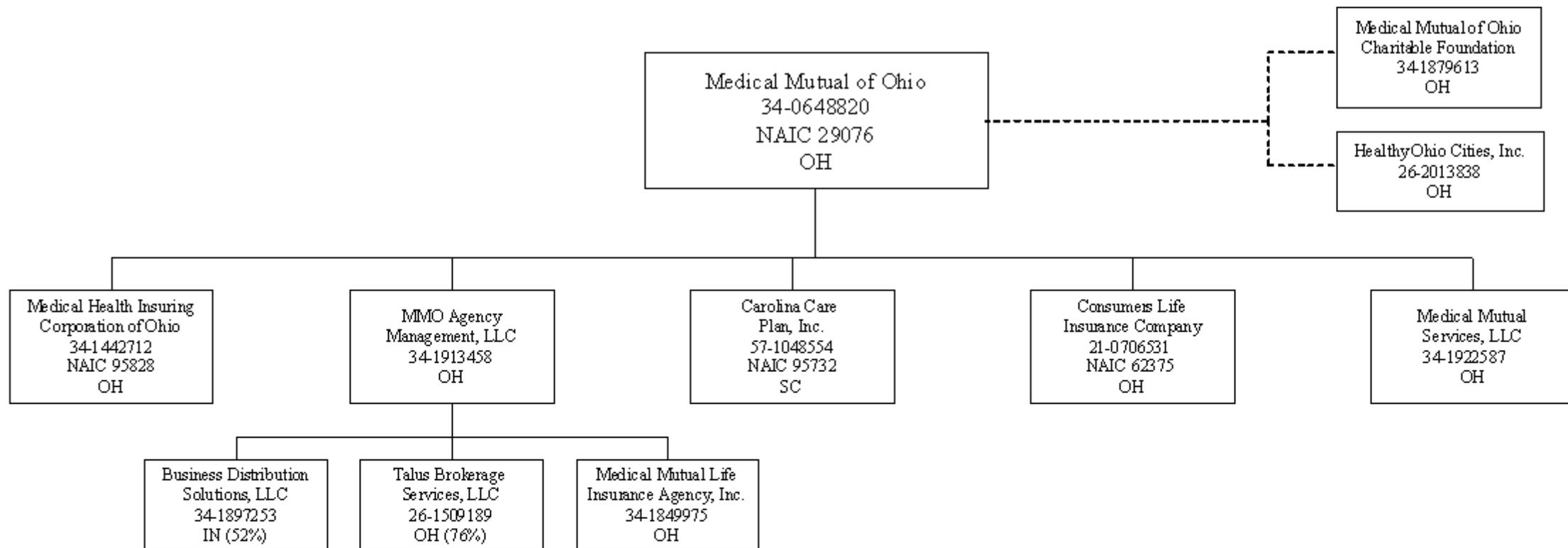
(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer; (E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

## SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

### PART 1 – ORGANIZATIONAL CHART

Q15



## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
<b>Members</b>														
0730.....	Medical Mutual of Ohio.....	29076.....	34-0648820.....	.....	.....	.....	Medical Mutual of Ohio.....	OH.....	UDP.....	Medical Mutual of Ohio.....	Ownership.....	..100.000	Medical Mutual of Ohio.....	.....
0730.....	Medical Mutual of Ohio.....	95828.....	34-1442712.....	.....	.....	.....	Medical Health Insuring Corporation of Ohio.....	OH.....	DS.....	Medical Mutual of Ohio.....	Ownership.....	..100.000	Medical Mutual of Ohio.....	.....
0730.....	Medical Mutual of Ohio.....	95732.....	57-1048554.....	.....	.....	.....	Carolina Care Plan, Inc.....	SC.....	DS.....	Medical Mutual of Ohio.....	Ownership.....	..100.000	Medical Mutual of Ohio.....	.....
0730.....	Medical Mutual of Ohio.....	62375.....	21-0706531.....	.....	.....	.....	Consumers Life Insurance Company.....	OH.....	DS.....	Medical Mutual of Ohio.....	Ownership.....	..100.000	Medical Mutual of Ohio.....	.....
.....	Medical Mutual of Ohio.....	.....	34-1922587.....	.....	.....	.....	Medical Mutual Services, LLC.....	OH.....	DS.....	Medical Mutual of Ohio.....	Ownership.....	..100.000	Medical Mutual of Ohio.....	.....
.....	Medical Mutual of Ohio.....	.....	34-1913458.....	.....	.....	.....	MMO Agency Management, LLC.....	OH.....	DS.....	Medical Mutual of Ohio.....	Ownership.....	..100.000	Medical Mutual of Ohio.....	.....
.....	Medical Mutual of Ohio.....	.....	34-1897253.....	.....	.....	.....	Business Distribution Solutions, LLC.....	IN.....	DS.....	MMO Agency Management, LLC.....	Ownership.....	....52.000	Medical Mutual of Ohio.....	.....
.....	Medical Mutual of Ohio.....	.....	26-1509189.....	.....	.....	.....	Talus Brokerage Services, LLC.....	OH.....	DS.....	MMO Agency Management, LLC.....	Ownership.....	....76.000	Medical Mutual of Ohio.....	.....
.....	Medical Mutual of Ohio.....	.....	34-1849975.....	.....	.....	.....	Medical Mutual Life Insurance Agency, Inc.....	OH.....	DS.....	MMO Agency Management, LLC.....	Ownership.....	..100.000	Medical Mutual of Ohio.....	.....

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

**Response**

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

NO

**Explanation:**

1.

**Bar Code:**



## Overflow Page for Write-Ins

**Additional Write-ins for Assets:**

	Current Statement Date			4 December 31, Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. Prepaid Assets.....	9,785,491	9,785,491	0	.....
2505. State Tax Recoverable.....	.....	.....	0	.....201
2597. Summary of remaining write-ins for Line 25.....	9,785,491	9,785,491	0	.....201

**Additional Write-ins for Liabilities:**

	Current Period			4 Prior Year Total
	1 Covered	2 Uncovered	3 Total	
2304. Reinsurance Payable.....	6,071,709	.....	6,071,709	.....5,477,459
2305. Unclaimed Funds.....	3,688,696	.....	3,688,696	.....3,149,108
2306. Guaranty Fund Liability.....	7,000,000	.....	7,000,000	.....5,700,000
2397. Summary of remaining write-ins for Line 23.....	16,760,405	0	16,760,405	.....14,326,567

**SCHEDULE A - VERIFICATION**

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other than temporary impairment recognized.....		
8. Deduct current year's depreciation.....		
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

NONE

**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other than temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

NONE

**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	450,598,813	457,530,973
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....	2,445,738	2,833,746
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....	(5,094,719)	(8,790,856)
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....	802,424	975,050
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other than temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	447,147,408	450,598,813
12. Deduct total nonadmitted amounts.....	36,802,863	36,043,654
13. Statement value at end of current period (Line 11 minus Line 12).....	410,344,545	414,555,159

**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	930,234,128	886,605,873
2. Cost of bonds and stocks acquired.....	88,845,848	169,581,558
3. Accrual of discount.....	334,250	779,365
4. Unrealized valuation increase (decrease).....	1,774,754	3,739,908
5. Total gain (loss) on disposals.....	133,059	3,084,358
6. Deduct consideration for bonds and stocks disposed of.....	74,377,697	129,871,740
7. Deduct amortization of premium.....	1,793,142	3,685,194
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other than temporary impairment recognized.....	309,700	
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	944,841,500	930,234,128
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	944,841,500	930,234,128

## SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1	2	3	4	5	6	7	8
	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. Class 1 (a).....	.842,004,250	.44,115,393	.45,472,035	(1,142,235)	.842,004,250	.839,505,373		.813,412,068
2. Class 2 (a).....	.38,486,408		.2,044,663	.423,295	.38,486,408	.36,865,040		.31,589,469
3. Class 3 (a).....								
4. Class 4 (a).....								
5. Class 5 (a).....								
6. Class 6 (a).....								
7. Total Bonds.....	.880,490,658	.44,115,393	.47,516,698	(718,940)	.880,490,658	.876,370,413	.0	.845,001,537
<b>PREFERRED STOCK</b>								
8. Class 1.....								
9. Class 2.....								
10. Class 3.....								
11. Class 4.....								
12. Class 5.....								
13. Class 6.....								
14. Total Preferred Stock.....	.0	.0	.0	.0	.0	.0	.0	.0
15. Total Bonds and Preferred Stock.....	.880,490,658	.44,115,393	.47,516,698	(718,940)	.880,490,658	.876,370,413	.0	.845,001,537

QSI02

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

## SCHEDULE DA - PART 1

### Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999. Totals.....	136,027,339	XXX	136,027,339	6,588	

## SCHEDULE DA - VERIFICATION

### Short-Term Investments

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	78,627,876	97,529,821
2. Cost of short-term investments acquired.....	84,739,022	147,596,528
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		
5. Total gain (loss) on disposals.....		
6. Deduct consideration received on disposals.....	27,339,559	166,498,473
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other than temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	136,027,339	78,627,876
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	136,027,339	78,627,876

**Sch. DB-Pt A-Verification  
NONE**

**Sch. DB-Pt B-Verification  
NONE**

**Sch. DB-Pt C-Sn 1  
NONE**

**Sch. DB-Pt C-Sn 2  
NONE**

**Sch. DB-Verification  
NONE**

**Sch. E-Verification  
NONE**

**Sch. A-Pt 2  
NONE**

**Sch. A-Pt 3  
NONE**

**Sch. B-Pt 2  
NONE**

**Sch. B-Pt 3  
NONE**

### SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Desig- nation	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									
<b>Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated</b>												
	Foundation Medical Partners III, L.P.....	Rowayton.....	CT.....	Foundation Medical Partners III, LLC.....		07/14/2008....			412,500		2,572,891	7.6
1599999.	Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated.....											XXX
<b>Any Other Class of Asset - Unaffiliated</b>												
	Employee Benefit Trust.....	Cleveland.....	OH.....	Fidelity Management Trust.....		07/01/2004....			1,151,697			100.0
3799999.	Total - Any Other Class of Asset - Unaffiliated.....											XXX
3999999.	Subtotal - Unaffiliated.....											XXX
4199999.	Totals.....											XXX

QE03

### SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Changes in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization)/ Accretion	11 Current Year's Other Than Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)	14 Total Foreign Exchange Change in B./A.C.V.						
<b>Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated</b>																			
	Foundation Medical Partners III, L.P.....	Rowayton.....	CT.....	Distribution.....	07/14/2008	06/05/2012	297,265							297,265	297,265			0	263,126
1599999.	Total - Joint Venture or Partnership Interests That Have Underlying Characteristics of Common Stocks - Unaffiliated.....																		263,126
<b>Any Other Class of Asset - Unaffiliated</b>																			
	Employee Benefit Trust.....	Cleveland.....	OH...	Participant Withdrawals.....	07/01/2004	06/30/2012	81,505							81,505	81,505			0	
3799999.	Total - Any Other Class of Asset - Unaffiliated.....																		0
3999999.	Subtotal - Unaffiliated.....																		263,126
4199999.	Totals.....																		263,126

### SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
<b>Bonds - All Other Government</b>									
46513A HJ 2	STATE OF ISRAEL.....	R.....	...05/01/2012	DEVELOPMENT CORPORATION F.....		1,000,000	1,000,000		1.....
1099999.	Total - Bonds - All Other Government.....					1,000,000	1,000,000	0	XXX.....
<b>Bonds - Industrial and Miscellaneous</b>									
377373 AD 7	GLAXOSMITHKLINE CAP PLC.....	R.....	...05/02/2012	CITIGROUP GLOBAL MARKET.....		993,200	1,000,000		1FE.....
250847 EJ 5	DETROIT EDISON CO.....		...06/19/2012	BARCLAYS CAPITAL INC FIXE.....		998,440	1,000,000		1FE.....
369622 SM 8	GENERAL ELEC CAP CORP.....		...06/08/2012	CANTOR FITZGERALD & CO.....		1,121,530	1,000,000	17,961	1FE.....
46623E JP 5	J P MORGAN CHASE & CO.....		...06/29/2012	RAYMOND JAMES.....		501,140	500,000	2,708	1FE.....
76009X AA 6	RENSSELAER POLYTECHNIC INST.....		...04/05/2012	ANCORA SECURITIES.....		2,256,760	2,000,000	12,445	1FE.....
871829 AQ 0	SYSCO CORP.....		...06/06/2012	GOLDMAN, SACHS & CO.....		987,220	1,000,000		1FE.....
94974B FA 3	WELLS FARGO CO.....		...04/25/2012	FIRST TENNESSEE SECURITES.....		500,500	500,000	1,302	1FE.....
3899999.	Total - Bonds - Industrial & Miscellaneous.....					7,358,790	7,000,000	34,416	XXX.....
8399997.	Total - Bonds - Part 3.....					8,358,790	8,000,000	34,416	XXX.....
8399999.	Total - Bonds.....					8,358,790	8,000,000	34,416	XXX.....
<b>Common Stocks - Industrial and Miscellaneous</b>									
000375 20 4	ABB LTD.....	F.....	...04/12/2012	ANCORA SECURITIES.....	4,500,000	89,860	XXX		L.....
153501 10 1	CENTRAL FUND OF CANADA LTD.....	I.....	...06/28/2012	ANCORA SECURITIES.....	26,259,000	532,760	XXX		L.....
63905A 10 1	NATUZZI S P A.....	F.....	...05/01/2012	ANCORA SECURITIES.....	522,000	1,414	XXX		L.....
002824 10 0	ABBOTT LABS.....		...04/12/2012	ANCORA SECURITIES.....	1,000,000	59,698	XXX		L.....
012423 10 9	ALBANY MOLECULAR RESH INC.....		...04/02/2012	ANCORA SECURITIES.....	5,000,000	13,686	XXX		L.....
032332 50 4	AMTECH SYS INC.....		...04/16/2012	ANCORA SECURITIES.....	5,000,000	35,975	XXX		L.....
054540 10 9	AXCELIS TECHNOLOGIES INC.....		...05/04/2012	ANCORA SECURITIES.....	15,000,000	23,013	XXX		L.....
131193 10 4	CALLAWAY GOLF CO.....		...04/27/2012	ANCORA SECURITIES.....	12,500,000	77,516	XXX		L.....
171046 10 5	CHRISTOPHER & BANKS CORP.....		...05/21/2012	ANCORA SECURITIES.....	21,300,000	37,375	XXX		L.....
191042 10 0	COBRA ELECTRS CORP.....		...04/02/2012	ANCORA SECURITIES.....	5,000,000	19,500	XXX		L.....
253827 10 9	DIGIRAD CORP.....		...05/02/2012	ANCORA SECURITIES.....	10,000,000	23,000	XXX		L.....
263534 10 2	DU PONT E I DE NEMOURS & CO.....		...04/17/2012	ANCORA SECURITIES.....	2,000,000	107,192	XXX		L.....
870297 80 1	ELEMENTS ROGERS INTERNATIONAL COMMODITY INDEX TOTAL RETURN ETF.....		...06/28/2012	ANCORA SECURITIES.....	114,515,000	943,344	XXX		L.....
30247C 30 1	FBR & CO.....		...04/19/2012	ANCORA SECURITIES.....	5,000,000	12,650	XXX		L.....
359360 10 4	FROZEN FOOD EXPRESS INDS INC.....		...05/01/2012	ANCORA SECURITIES.....	5,000,000	6,700	XXX		L.....
382410 40 5	GOODRICH PETE CORP.....		...04/10/2012	ANCORA SECURITIES.....	5,000,000	77,859	XXX		L.....
413875 10 5	HARRIS CORP DEL.....		...04/12/2012	ANCORA SECURITIES.....	3,000,000	133,210	XXX		L.....
438516 10 6	HONEYWELL INTL INC.....		...06/06/2012	ANCORA SECURITIES.....	3,000,000	163,574	XXX		L.....
449593 10 2	IGO INC.....		...05/04/2012	ANCORA SECURITIES.....	20,000,000	14,163	XXX		L.....
45245A 10 7	IMATION CORP.....		...05/01/2012	ANCORA SECURITIES.....	13,225,000	78,562	XXX		L.....
458140 10 0	INTEL CORPORATION.....		...04/17/2012	ANCORA SECURITIES.....	3,500,000	99,636	XXX		L.....
461203 10 1	INVACARE CORP.....		...04/09/2012	ANCORA SECURITIES.....	1,500,000	23,074	XXX		L.....
464287 50 7	ISHARES S&P MIDCAP 400 INDEX ETF.....		...06/28/2012	ANCORA SECURITIES.....	6,764,000	634,823	XXX		L.....
46564T 10 7	ITERIS INC.....		...05/14/2012	ANCORA SECURITIES.....	6,311,000	8,523	XXX		L.....
46625H 10 0	J P MORGAN CHASE & CO.....		...05/11/2012	ANCORA SECURITIES.....	2,900,000	107,416	XXX		L.....

QE04

### SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
49375T 10 0	KID BRANDS INC.....		...05/17/2012	ANCORA SECURITIES.....	.....5,000.000	.....10,450	.....XXX		L.....
494368 10 3	KIMBERLY CLARK CORP.....		...04/17/2012	ANCORA SECURITIES.....	.....2,500.000	.....188,494	.....XXX		L.....
511795 10 6	LAKELAND INDS INC.....		...06/19/2012	ANCORA SECURITIES.....	.....7,500.000	.....64,797	.....XXX		L.....
514766 10 4	LANDEC CORP.....		...04/10/2012	ANCORA SECURITIES.....	.....2,500.000	.....15,850	.....XXX		L.....
516012 10 1	LANNET INC.....		...05/01/2012	ANCORA SECURITIES.....	.....9,000.000	.....36,140	.....XXX		L.....
520776 10 5	LAWSON PRODS INC.....		...06/15/2012	ANCORA SECURITIES.....	.....2,419.000	.....27,499	.....XXX		L.....
549282 10 1	LUBYS INC.....		...05/02/2012	ANCORA SECURITIES.....	.....221.000	.....1,344	.....XXX		L.....
57060U 60 5	MARKET VECTORS AGRIBUSINESS ETF.....		...06/04/2012	ANCORA SECURITIES.....	.....5,957.000	.....290,794	.....XXX		L.....
58449L 10 0	MEDICAL ACTION INDS INC.....		...04/19/2012	ANCORA SECURITIES.....	.....10,000.000	.....52,051	.....XXX		L.....
553829 10 2	MVC CAPITAL INC.....		...04/18/2012	ANCORA SECURITIES.....	.....2,000.000	.....25,865	.....XXX		L.....
713448 10 8	PEPSICO INC.....		...04/17/2012	ANCORA SECURITIES.....	.....2,000.000	.....132,918	.....XXX		L.....
717081 10 3	PFIZER INC COM.....		...04/17/2012	ANCORA SECURITIES.....	.....5,000.000	.....111,091	.....XXX		L.....
718546 10 4	PHILLIPS 66.....		...05/07/2012	ANCORA SECURITIES.....	.....1,500.000	.....50,575	.....XXX		L.....
71902E 10 9	PHOENIX COS INC NEW.....		...06/19/2012	ANCORA SECURITIES.....	.....10,000.000	.....18,600	.....XXX		L.....
726900 10 3	PLANAR SYS INC.....		...04/05/2012	ANCORA SECURITIES.....	.....10,000.000	.....20,399	.....XXX		L.....
73936T 78 9	POWERSHARES FTSE RAFI DEVELOPED MARKETS ETF.....		...06/28/2012	ANCORA SECURITIES.....	.....19,539.000	.....632,353	.....XXX		L.....
73935X 58 3	POWERSHARES RAFI US 1000 ETF.....		...06/28/2012	ANCORA SECURITIES.....	.....12,297.000	.....709,101	.....XXX		L.....
69351T 10 6	PPL CORP.....		...04/12/2012	ANCORA SECURITIES.....	.....3,000.000	.....81,416	.....XXX		L.....
740884 10 1	PRESIDENTIAL LIFE CORP.....		...05/14/2012	ANCORA SECURITIES.....	.....4,000.000	.....41,907	.....XXX		L.....
742718 10 9	PROCTER & GAMBLE CO.....		...04/12/2012	ANCORA SECURITIES.....	.....2,000.000	.....132,176	.....XXX		L.....
755111 50 7	RAYTHEON CO.....		...04/12/2012	ANCORA SECURITIES.....	.....2,000.000	.....105,077	.....XXX		L.....
761396 30 8	REVENUESHARES SMALL-CAP ETF.....		...06/28/2012	ANCORA SECURITIES.....	.....6,034.000	.....202,760	.....XXX		L.....
766721 10 4	RIMAGE CORP.....		...04/30/2012	ANCORA SECURITIES.....	.....3,000.000	.....27,565	.....XXX		L.....
74975N 10 5	RTI BIOLOGICS INC.....		...05/01/2012	ANCORA SECURITIES.....	.....15,000.000	.....54,430	.....XXX		L.....
804748 10 1	SAVANNAH BANCORP INC.....		...04/16/2012	ANCORA SECURITIES.....	.....1,684.000	.....8,656	.....XXX		L.....
82706L 10 8	SILICON GRAPHICS INTL CORP.....		...05/10/2012	ANCORA SECURITIES.....	.....3,000.000	.....20,305	.....XXX		L.....
82705T 10 2	SILICON IMAGE INC.....		...06/21/2012	ANCORA SECURITIES.....	.....5,000.000	.....21,208	.....XXX		L.....
78463X 74 9	SPDR GLOBAL REAL ESTATE ETF.....		...06/28/2012	ANCORA SECURITIES.....	.....10,968.000	.....417,374	.....XXX		L.....
883375 10 7	THERAGENICS CORP.....		...05/21/2012	ANCORA SECURITIES.....	.....13,000.000	.....22,759	.....XXX		L.....
887317 30 3	TIME WARNER INC.....		...04/17/2012	ANCORA SECURITIES.....	.....5,000.000	.....181,559	.....XXX		L.....
92204A 30 6	VANGUARD ENERGY ETF.....		...06/28/2012	ANCORA SECURITIES.....	.....4,215.000	.....407,759	.....XXX		L.....
922908 65 2	VANGUARD EXTENDED MKT ETF.....		...06/28/2012	ANCORA SECURITIES.....	.....11,000.000	.....616,717	.....XXX		L.....
922042 77 5	VANGUARD FTSE ALL WORLD EX-US ETF.....		...06/04/2012	ANCORA SECURITIES.....	.....22,925.000	.....936,709	.....XXX		L.....
922042 71 8	VANGUARD FTSE ALL WORLD EX-US SMAL.....		...06/28/2012	ANCORA SECURITIES.....	.....3,766.000	.....308,602	.....XXX		L.....
92204A 80 1	VANGUARD MATERIALS ETF.....		...06/28/2012	ANCORA SECURITIES.....	.....5,653.000	.....436,575	.....XXX		L.....
922042 85 8	VANGUARD MSCI EMERGING MARKETS ETF.....		...06/28/2012	ANCORA SECURITIES.....	.....10,453.000	.....417,696	.....XXX		L.....
922908 41 3	VANGUARD S&P 500 INDEX ETF.....		...06/28/2012	ANCORA SECURITIES.....	.....16,947.000	.....1,044,708	.....XXX		L.....
922908 75 1	VANGUARD SMALL-CAP ETF.....		...06/04/2012	ANCORA SECURITIES.....	.....5,502.000	.....409,988	.....XXX		L.....
931422 10 9	WALGREEN CO.....		...06/27/2012	ANCORA SECURITIES.....	.....2,000.000	.....58,933	.....XXX		L.....
97717W 28 1	WISDOMTREE EMG MKTS SM CP ETF.....		...06/28/2012	ANCORA SECURITIES.....	.....5,086.000	.....225,527	.....XXX		L.....

QE04.1

### SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
92931L 20 3	WPCS INTL INC.....		...04/02/2012	ANCORA SECURITIES.....	.....10,000.000	.....12,895	.....XXX.....	.....	L.....
98975W 10 4	ZOLTEK COS INC.....		...05/04/2012	ANCORA SECURITIES.....	.....1,500.000	.....13,920	.....XXX.....	.....	L.....
9099999.	Total - Common Stocks - Industrial & Miscellaneous.....					.....11,920,065	.....XXX.....	.....0	.....XXX.....
9799997.	Total - Common Stocks - Part 3.....					.....11,920,065	.....XXX.....	.....0	.....XXX.....
9799999.	Total - Common Stocks.....					.....11,920,065	.....XXX.....	.....0	.....XXX.....
9899999.	Total - Preferred and Common Stocks.....					.....11,920,065	.....XXX.....	.....0	.....XXX.....
9999999.	Total - Bonds, Preferred and Common Stocks.....					.....20,278,855	.....XXX.....	.....34,416	.....XXX.....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

QE04.2

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F or e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
<b>Bonds - U.S. Government</b>																					
38377L ZD 3	GNMA REMIC TRUST 2010-127 NH		06/20/2012	PRINCIPAL RECEIPT		108,858	108,858	112,430	111,544		(2,686)		(2,686)		108,858			0	1,367	02/20/2039	1
38376X L5 0	GNMA REMIC TRUST 2010-31 AP		06/20/2012	PRINCIPAL RECEIPT		71,321	71,321	74,736	73,649		(2,329)		(2,329)		71,321			0	1,193	08/20/2038	1
38376X W9 0	GNMA REMIC TRUST 2010-51 NC		06/20/2012	PRINCIPAL RECEIPT		68,151	68,151	70,866	69,961		(1,810)		(1,810)		68,151			0	993	04/20/2039	1
0599999	Total - Bonds - U.S. Government					248,330	248,330	258,032	255,154	0	(6,825)	0	(6,825)	0	248,330	0	0	0	3,553	XXX	XXX
<b>Bonds - All Other Government</b>																					
46513G DX 2	STATE OF ISRAEL	R.	05/01/2012	MATURITY		1,000,000	1,000,000	1,000,000	1,000,000				0		1,000,000			0	6,283	05/01/2012	1
1099999	Total - Bonds - All Other Government					1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	6,283	XXX	XXX
<b>Bonds - U.S. Special Revenue and Special Assessment</b>																					
3133XR 5T 3	FEDERAL HOME LOAN BANKS		06/08/2012	MATURITY		1,500,000	1,500,000	1,499,085	1,499,894		106		106		1,500,000			0	27,187	06/08/2012	1
31398A BX 9	FEDERAL NATIONAL MORTGAGE ASSOC		05/18/2012	MATURITY		500,000	500,000	501,000	500,089		(89)		(89)		500,000			0	12,187	05/18/2012	1
31394H ZH 7	FHLMC REMIC SERIES 2672 TE		04/16/2012	VARIOUS		248,306	248,306	240,282	247,294		1,012		1,012		248,306			0	4,138	03/15/2029	1
31394R 5N 5	FHLMC REMIC SERIES 2749 TD		06/15/2012	PRINCIPAL RECEIPT		617,071	617,071	595,980	614,157		2,915		2,915		617,071			0	13,112	06/15/2021	1
31394X Q8 2	FHLMC REMIC SERIES 2780 LD		04/16/2012	VARIOUS		188,977	188,977	184,459	188,328		649		649		188,977			0	3,150	03/15/2029	1
31394Y F3 3	FHLMC REMIC SERIES 2796 LB		06/15/2012	PRINCIPAL RECEIPT		270,164	270,164	277,171	274,514		(4,350)		(4,350)		270,164			0	4,949	05/15/2024	1
31395F H7 2	FHLMC REMIC SERIES 2855 WN		06/15/2012	PRINCIPAL RECEIPT		386,244	386,244	413,402	404,871		(18,627)		(18,627)		386,244			0	6,507	09/15/2019	1
31395F PF 5	FHLMC REMIC SERIES 2858 AU		06/15/2012	PRINCIPAL RECEIPT		394,053	394,053	406,367	399,206		(5,153)		(5,153)		394,053			0	8,341	11/15/2031	1
31395J MW 3	FHLMC REMIC SERIES 2892 DB		06/15/2012	PRINCIPAL RECEIPT		138,324	138,324	139,708	138,874		(549)		(549)		138,324			0	3,112	11/15/2019	1
31395M G9 4	FHLMC REMIC SERIES 2937 JE		05/17/2012	VARIOUS		781,251	781,251	795,411	781,067		184		184		781,251			0	13,807	10/15/2029	1
31395P UC 4	FHLMC REMIC SERIES 2941 XC		06/15/2012	PRINCIPAL RECEIPT		1,461,507	1,461,507	1,447,469	1,457,262		4,245		4,245		1,461,507			0	30,108	12/15/2030	1
31395R FF 0	FHLMC REMIC SERIES 2959 PF		06/15/2012	PRINCIPAL RECEIPT		1,587,418	1,587,418	1,618,670	1,588,428		(1,011)		(1,011)		1,587,418			0	36,612	11/15/2030	1
31395U RE 3	FHLMC REMIC SERIES 2977 AY		06/15/2012	PRINCIPAL RECEIPT		157,534	157,534	163,196	161,306		(3,772)		(3,772)		157,534			0	3,470	05/15/2025	1
31395U VE 8	FHLMC REMIC SERIES 2987 KE		06/15/2012	PRINCIPAL RECEIPT		1,835,154	1,835,154	1,799,024	1,826,672		8,481		8,481		1,835,154			0	38,594	12/15/2034	1
31396F PG 2	FHLMC REMIC SERIES 3074 WH		06/15/2012	PRINCIPAL RECEIPT		131,425	131,425	130,583	131,072		353		353		131,425			0	2,840	03/15/2031	1
31396G 3D 1	FHLMC REMIC SERIES 3082 PG		06/15/2012	VARIOUS		390,683	390,683	387,936	389,766		917		917		390,683			0	8,002	10/15/2029	1
31396J F8 3	FHLMC REMIC SERIES 3130 QC		06/15/2012	PRINCIPAL RECEIPT		1,218,926	1,218,926	1,210,165	1,215,234		3,692		3,692		1,218,926			0	27,654	02/15/2032	1
31396N 6Y 7	FHLMC REMIC SERIES 3138 PC		06/15/2012	PRINCIPAL RECEIPT		681,825	681,825	693,650	682,678		(853)		(853)		681,825			0	15,759	06/15/2032	1
31396N W4 4	FHLMC REMIC SERIES 3163 PD		06/15/2012	PRINCIPAL RECEIPT		574,917	574,917	593,781	580,487		(5,570)		(5,570)		574,917			0	10,645	07/15/2034	1
3137GA T2 3	FHLMC REMIC SERIES 3742 EA		06/15/2012	PRINCIPAL RECEIPT		344,861	344,861	353,644	352,399		(7,538)		(7,538)		344,861			0	4,746	10/15/2024	1
3137A2 SC 6	FHLMC REMIC SERIES 3759 AB		06/15/2012	PRINCIPAL RECEIPT		293,157	293,157	303,922	300,943		(7,786)		(7,786)		293,157			0	4,783	02/15/2029	1
3137AB KB 6	FHLMC REMIC SERIES 3874 PD		06/15/2012	PRINCIPAL RECEIPT		177,136	177,136	183,834	182,923		(5,787)		(5,787)		177,136			0	2,216	06/15/2038	1
3137AG 6Y 1	FHLMC REMIC SERIES 3934 EL		06/15/2012	PRINCIPAL RECEIPT		490,862	490,862	507,850	507,650		(16,788)		(16,788)		490,862			0	6,195	12/15/2025	1
3137AJ 6A 7	FHLMC REMIC SERIES 3955 BA		06/15/2012	PRINCIPAL RECEIPT		210,725	210,725	221,261	221,204		(10,479)		(10,479)		210,725			0	3,032	02/15/2041	1
3137AL TS 8	FHLMC REMIC SERIES 3996 GN		06/15/2012	PRINCIPAL RECEIPT		32,708	32,708	33,996			(1,288)		(1,288)		32,708			0	245	11/15/2038	1
31394R X6 1	FHR 2765 JB		06/15/2012	PRINCIPAL RECEIPT		558,403	558,403	553,517	556,894		1,509		1,509		558,403			0	11,675	09/15/2021	1
31416W 6C 1	FN AB1766		06/25/2012	PRINCIPAL RECEIPT		362,930	362,930	376,993	374,826		(11,896)		(11,896)		362,930			0	5,087	11/01/2025	1

QE05

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
31415Y LW 7	FNMA PASS-THRU POOL 993241.....		06/25/2012	PRINCIPAL RECEIPT.....		256,143	256,143	269,350	267,940		(11,797)		(11,797)		256,143			0	4,223	06/01/2024	1.....
31381Q BW 5	FNMA PASS-THRU 467253.....		06/25/2012	PRINCIPAL RECEIPT.....		17,697	17,697	17,841	17,811		(114)		(114)		17,697			0	273	02/01/2018	1.....
31417Y GK 7	FNMA PASS-THRU 15 YEAR.....		06/25/2012	PRINCIPAL RECEIPT.....		293,267	293,267	302,982	301,827		(8,560)		(8,560)		293,267			0	4,822	10/01/2024	1.....
31394A PY 6	FNMA REMIC TRUST 2004-65 AE.....		06/25/2012	PRINCIPAL RECEIPT.....		1,612,058	1,612,058	1,661,427	1,627,647		(15,589)		(15,589)		1,612,058			0	29,776	11/25/2031	1.....
31393X DT 1	FNMA REMIC TRUST 2004-8 GC.....		06/25/2012	PRINCIPAL RECEIPT.....		570,049	570,049	545,109	566,111		3,938		3,938		570,049			0	10,681	04/25/2029	1.....
31394B UA 0	FNMA REMIC TRUST 2004-90 YB.....		06/25/2012	PRINCIPAL RECEIPT.....		120,192	120,192	114,126	119,219		973		973		120,192			0	1,995	07/25/2032	1.....
31394B 2X 1	FNMA REMIC TRUST 2005-11 PD.....		06/25/2012	PRINCIPAL RECEIPT.....		848,259	848,259	854,090	846,689		1,569		1,569		848,259			0	19,843	03/25/2031	1.....
31394D NZ 9	FNMA REMIC TRUST 2005-44 PD.....		06/25/2012	PRINCIPAL RECEIPT.....		1,284,950	1,284,950	1,309,244	1,286,129		(1,178)		(1,178)		1,284,950			0	26,780	03/25/2031	1.....
31398F JG 7	FNMA REMIC TRUST 2009-80 MA.....		06/25/2012	PRINCIPAL RECEIPT.....		380,497	380,497	398,451	392,597		(12,100)		(12,100)		380,497			0	6,245	04/25/2027	1.....
3136A1 EJ 0	FNMA REMIC TRUST 2011-104A.....		06/25/2012	PRINCIPAL RECEIPT.....		235,247	235,247	242,599	242,553		(7,306)		(7,306)		235,247			0	2,854	04/25/2026	1.....
31397S XM 1	FNMA REMIC TRUST 2011-40 KA.....		06/25/2012	PRINCIPAL RECEIPT.....		29,810	29,810	30,183	30,509		(699)		(699)		29,810			0	348	03/25/2026	1.....
31397U RJ 0	FNMA REMIC TRUST 2011-63 MV.....		06/25/2012	PRINCIPAL RECEIPT.....		78,689	78,689	81,234	80,956		(2,268)		(2,268)		78,689			0	1,148	07/25/2024	1.....
3136A3 TS 0	FNMA REMIC TRUST 2012-11 PN.....		06/25/2012	PRINCIPAL RECEIPT.....		27,524	27,524	28,858			(1,333)		(1,333)		27,524			0	389	11/25/2040	1.....
3136A3 X9 7	FNMA REMIC TRUST 2012-3 BA.....		06/25/2012	PRINCIPAL RECEIPT.....		117,187	117,187	117,150			37		37		117,187			0	786	04/25/2040	1.....
3136A5 XR 2	FNMA REMIC TRUST 2012-30 PB.....		06/25/2012	PRINCIPAL RECEIPT.....		51,569	51,569	51,795			(226)		(226)		51,569			0	194	10/25/2040	1.....
3136A4 2C 2	FNMA REMIC TRUST 2012-34 PB.....		06/25/2012	PRINCIPAL RECEIPT.....		58,629	58,629	59,069			(440)		(440)		58,629			0	201	01/25/2032	1.....
3199999.	Total - Bonds - U.S. Special Revenue & Assessment.....					21,516,328	21,516,328	21,715,864	21,358,026	0	(132,566)	0	(132,566)	0	21,516,328	0	0	0	418,711	XXX	XXX
<b>Bonds - Industrial and Miscellaneous</b>																					
06050B AA 9	BANK OF AMERICA FDIC.....		06/15/2012	MATURITY.....		4,000,000	4,000,000	4,043,940	4,005,942		(5,942)		(5,942)		4,000,000			0	62,500	06/15/2012	1FE.....
084670 AS 7	BERKSHIRE HATHAWAY INC.....		05/15/2012	MATURITY.....		500,000	500,000	513,500	501,458		(1,458)		(1,458)		500,000			0	11,875	05/15/2012	1FE.....
17252M AF 7	CINTAS CORP NO 2.....		06/01/2012	MATURITY.....		1,050,000	1,050,000	1,095,994	1,053,541		(3,541)		(3,541)		1,050,000			0	31,500	06/01/2012	2FE.....
481247 AE 4	JPMORGAN CHASE & CO FDIC TLGP.....		06/22/2012	MATURITY.....		500,000	500,000	499,750	499,965		35		35		500,000			0	5,313	06/22/2012	1FE.....
724479 AG 5	PITNEY BOWES INC.....		05/15/2012	MORGAN STANLEY.....		1,040,490	1,000,000	985,120	994,018		645		645		994,663		45,827	45,827	33,750	03/15/2015	2FE.....
69351C AC 7	PNC FUNDING CORP FDIC TLGP.....		06/22/2012	MATURITY.....		1,000,000	1,000,000	999,332	999,906		94		94		1,000,000			0	11,500	06/22/2012	1FE.....
949746 FJ 5	WELLS FARGO & CO.....		06/28/2012	CALLED @ 104.9680000.....		524,840	500,000	495,440	498,994		267		267		499,261		25,579	25,579	17,325	10/16/2013	1FE.....
3899999.	Total - Bonds - Industrial & Miscellaneous.....					8,615,330	8,550,000	8,633,076	8,553,824	0	(9,900)	0	(9,900)	0	8,543,924	0	71,406	71,406	173,763	XXX	XXX
8399997.	Total - Bonds - Part 4.....					31,379,988	31,314,658	31,606,972	31,167,004	0	(149,291)	0	(149,291)	0	31,308,582	0	71,406	71,406	602,310	XXX	XXX
8399999.	Total - Bonds.....					31,379,988	31,314,658	31,606,972	31,167,004	0	(149,291)	0	(149,291)	0	31,308,582	0	71,406	71,406	602,310	XXX	XXX
<b>Common Stocks - Industrial and Miscellaneous</b>																					
000375 20 4	ABB LTD.....	F..	06/27/2012	ANCORA SECURITIES.....		9,000,000	139,330	XXX	180,923	84,735	6,329		6,329		180,923		(41,593)	(41,593)	6,236	XXX	L.....
20825C 10 4	CONOCOPHILLIPS.....		05/07/2012	COST ADJ.....		50,575	50,575	50,575	15,576				0		50,575			0		XXX	L.....
30162A 10 8	EXELIS INC.....		06/27/2012	ANCORA SECURITIES.....		2,000,000	19,567	XXX	21,746	18,100	3,646		3,646		21,746		(2,179)	(2,179)	413	XXX	L.....
450911 20 1	ITT CORP.....		06/27/2012	ANCORA SECURITIES.....		1,000,000	17,053	XXX	16,799	19,330	(2,532)		(2,532)		16,798		255	255	182	XXX	L.....
858122 10 4	STEEL EXCEL INC.....		05/21/2012	ANCORA SECURITIES.....		4,900,000	132,101	XXX	129,410	72,000	6,930		6,930		129,410		2,691	2,691		XXX	L.....
883375 10 7	THERAGENICS CORP.....		06/12/2012	ANCORA SECURITIES.....		5,000,000	10,119	XXX	8,464	8,400	64		64		8,464		1,654	1,654		XXX	L.....
9099999.	Total - Common Stocks - Industrial & Miscellaneous.....					368,745	XXX	407,917	218,141	14,437	0	0	14,437	0	407,916	0	(39,172)	(39,172)	6,831	XXX	XXX

QE05.1

## SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value At Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Desig- nation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							
9799997	Total - Common Stocks - Part 4.....					.....368,745	.....XXX.....	.....407,917	.....218,141	.....14,437	.....0	.....0	.....14,437	.....0	.....407,916	.....0	.....(39,172)	.....(39,172)	.....6,831	.....XXX...	.....XXX....
9799999	Total - Common Stocks.....					.....368,745	.....XXX.....	.....407,917	.....218,141	.....14,437	.....0	.....0	.....14,437	.....0	.....407,916	.....0	.....(39,172)	.....(39,172)	.....6,831	.....XXX...	.....XXX....
9899999	Total - Preferred and Common Stocks.....					.....368,745	.....XXX.....	.....407,917	.....218,141	.....14,437	.....0	.....0	.....14,437	.....0	.....407,916	.....0	.....(39,172)	.....(39,172)	.....6,831	.....XXX...	.....XXX....
9999999	Total - Bonds, Preferred and Common Stocks.....					.....31,748,733	.....XXX.....	.....32,014,889	.....31,385,145	.....14,437	.....(149,291)	.....0	.....(134,854)	.....0	.....31,716,498	.....0	.....32,234	.....32,234	.....609,141	.....XXX...	.....XXX....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

QE05.2

**Sch. DB-Pt A-Sn 1  
NONE**

**Sch. DB-Pt B-Sn 1  
NONE**

**Sch. DB-Pt B-Sn 1B-Broker List  
NONE**

**Sch. DB-Pt D  
NONE**

**Sch. DL-Pt. 1  
NONE**

**Sch. DL-Pt. 2  
NONE**

**SCHEDULE E - PART 1 - CASH**

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>Open Depositories</b>								
PNC BANK..... CLEVELAND, OHIO.....		N/A.....			.....32,472,439	.....5,638,489	.....12,097,078	XXX..
HUNTINGTON BANK..... CLEVELAND, OHIO.....		.....0.140	.....188		.....252,114	.....252,145	.....252,175	XXX..
PARKVIEW FEDERAL SAVINGS..... CLEVELAND, OHIO.....		.....0.800		.....1,355	.....254,686	.....254,686	.....254,686	XXX..
0199998. Deposits in.....13 depositories that do not exceed the allowable limit in any one depository (see Instructions) - Open Depositories.....	.....XXX.....	.....XXX.....		.....5,338	.....3,029,271	.....3,028,371	.....3,030,495	XXX..
0199999. Total Open Depositories.....	.....XXX.....	.....XXX.....	.....188	.....6,693	.....36,008,510	.....9,173,691	.....15,634,434	XXX..
0399999. Total Cash on Deposit.....	.....XXX.....	.....XXX.....	.....188	.....6,693	.....36,008,510	.....9,173,691	.....15,634,434	XXX..
0599999. Total Cash.....	.....XXX.....	.....XXX.....	.....188	.....6,693	.....36,008,510	.....9,173,691	.....15,634,434	XXX..

### SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
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**NONE**