FRATERNAL ORDERS - ASSOCIATION EDITION

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QUARTERLY STATEMENT

As of September 30, 2011

of the Condition and Affairs of the

United Transportation Union Insurance Association

NAIC Group Code.....0000, 0000 (Current Period) (Prior Period) | NAIC Company Code..... 56413 | Employer's ID Number..... 23-7131460

Organized under the Laws of Ohio | State of Domicile or Port of Entry Ohio | Country of Domicile US

Incorporated/Organized..... November 16, 1970 | Commenced Business..... March 10, 1971

Statutory Home Office	24950 Country Club Blvd Ste 340….. North Olmsted ..... OH ..... 44070-5333 *(Street and Number) (City or Town, State and Zip Code)*	
Main Administrative Office	24950 Country Club Blvd Ste 340….. North Olmsted ..... OH ..... 44070-5333 *(Street and Number) (City or Town, State and Zip Code)*	216-228-9400 *(Area Code) (Telephone Number)*
Mail Address	24950 Country Club Blvd Ste 340….. North Olmsted ..... OH ..... 44070-5333 *(Street and Number or P. O. Box) (City or Town, State and Zip Code)*	
Primary Location of Books and Records	24950 Country Club Blvd Ste 340….. North Olmsted ..... OH ..... 44070-5333 *(Street and Number) (City or Town, State and Zip Code)*	216-228-9400 *(Area Code) (Telephone Number)*
Internet Web Site Address	utu.org	
Statutory Statement Contact	Richard A Kusnic Sr *(Name)*	216-228-9400 *(Area Code) (Telephone Number) (Extension)*
	R_Kusnic@utu.org *(E-Mail Address)*	216-228-0411 *(Fax Number)*

OFFICERS

Name	Title	Name	Title
1. Malcolm Brown Futhey Jr	President	2. Kim Nels Thompson	Secretary
3. Kim Nels Thompson	Treasurer	4. Arthur Martin III	Assistant President

OTHER

DIRECTORS OR TRUSTEES

Malcolm Brown Futhey Jr	Arthur Martin III	Kim Nels Thompson	Frank James Riha
Nicholas J Dicicco Jr	James Arbin Stem Jr	William Jennings Thompson	William B Ryan #

State of........ Ohio
County of..... Cuyahoga

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Malcolm Brown Futhey Jr	Kim Nels Thompson	Kim Nels Thompson
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
President	Secretary	Treasurer
(Title)	(Title)	(Title)

Subscribed and sworn to before me

This ______ day of ______________

a. Is this an original filing? Yes [X] No [ ]

b. If no: 1. State the amendment number
2. Date filed
3. Number of pages attached

ASSETS

	Current Statement Date 1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 December 31 Prior Year Net Admitted Assets
1. Bonds	183,313,127		183,313,127	179,081,565
2. Stocks:				
2.1 Preferred stocks	4,320,988		4,320,988	5,053,134
2.2 Common stocks	13,465,256		13,465,256	15,217,085
3. Mortgage loans on real estate:				
3.1 First liens			0	
3.2 Other than first liens			0	
4. Real estate:				
4.1 Properties occupied by the company (less $..........0 encumbrances)			0	
4.2 Properties held for the production of income (less $..........0 encumbrances)	2,398,198		2,398,198	2,453,560
4.3 Properties held for sale (less $..........0 encumbrances)			0	
5. Cash ($.....170,254), cash equivalents ($.....1,253,669) and short-term investments ($..........0)	1,423,923		1,423,923	2,503,084
6. Contract loans (including $..........0 premium notes)	6,656,379		6,656,379	6,828,626
7. Derivatives			0	
8. Other invested assets			0	
9. Receivables for securities			0	
10. Securities lending reinvested collateral assets			0	
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	211,577,871	0	211,577,871	211,137,054
13. Title plants less $..........0 charged off (for Title insurers only)			0	
14. Investment income due and accrued	2,497,091		2,497,091	2,392,004
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	212,670		212,670	200,343
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including $..........0 earned but unbilled premiums)	54,353		54,353	42,609
15.3 Accrued retrospective premiums			0	
16. Reinsurance:				
161. Amounts recoverable from reinsurers			0	
16.2 Funds held by or deposited with reinsured companies			0	
16.3 Other amounts receivable under reinsurance contracts			0	
17. Amounts receivable relating to uninsured plans			0	
18.1 Current federal and foreign income tax recoverable and interest thereon			0	
18.2 Net deferred tax asset			0	
19. Guaranty funds receivable or on deposit			0	
20. Electronic data processing equipment and software			0	
21. Furniture and equipment, including health care delivery assets ($..........0)			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	
23. Receivables from parent, subsidiaries and affiliates			0	
24. Health care ($..........0) and other amounts receivable			0	
25. Aggregate write-ins for other than invested assets	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25)	214,341,985	0	214,341,985	213,772,010
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	
28. Total (Lines 26 and 27)	214,341,985	0	214,341,985	213,772,010

DETAILS OF WRITE-INS

1101.			0	
1102.			0	
1103.			0	
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above)	0	0	0	0
2501.			0	
2502.			0	
2503.			0	
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)	0	0	0	0

LIABILITIES, SURPLUS AND OTHER FUNDS

		1 Current Statement Date	2 December 31 Prior Year
1.	Aggregate reserve for life contracts (including $..........0 Modco Reserve)	164,015,876	165,069,635
2.	Aggregate reserve for accident and health contracts (including $..........0 Modco Reserve)	7,829,229	7,495,593
3.	Liability for deposit-type contracts (including $..........0 Modco Reserve)	7,916,481	6,093,257
4.	Contract claims:		
	4.1 Life	1,275,104	1,249,973
	4.2 Accident and health	184,596	168,673
5.	Refunds due and unpaid	13,833	627
6.	Provisions for refunds payable in following calendar year - estimated amounts:		
	6.1 Apportioned for payment		
	6.2 Not yet apportioned		
7.	Premiums and annuity considerations for life and accident and health contracts received in advance less $..........0 discount; including $.....146,549 accident and health premiums	439,965	439,393
8.	Certificate and contract liabilities not included elsewhere:		
	8.1 Surrender values on canceled contracts	264,187	242,413
	8.2 Other amounts payable on reinsurance including $..........0 assumed and $..........0 ceded		
	8.3 Interest maintenance reserve (IMR)	2,839,858	2,399,964
9.	Commissions to fieldworkers due or accrued - life and annuity contracts $.....28,343, accident and health $.....40,149 and deposit-type contract funds $..........0	68,492	66,765
10.	Commissions and expense allowances payable on reinsurance assumed		
11.	General expenses due or accrued	399,924	384,211
12.	Transfers to Separate Accounts due or accrued (net) (including $..........0 accrued for expense allowances recognized in reserves)		
13.	Taxes, licenses and fees due or accrued	49,095	43,161
14.	Unearned investment income		
15.	Amounts withheld or retained by Society as agent or trustee		
16.	Amounts held for fieldworkers' account, including $..........0 fieldworkers' credit balances		
17.	Remittances and items not allocated		
18.	Net adjustment in assets and liabilities due to foreign exchange rates		
19.	Liability for benefits for employees and fieldworkers if not included above		
20.	Borrowed money $..........0 and interest thereon $..........0		
21.	Miscellaneous liabilities:		
	21.1 Asset valuation reserve	1,696,290	3,309,613
	21.2 Reinsurance in unauthorized companies		
	21.3 Funds held under reinsurance treaties with unauthorized reinsurers		
	21.4 Payable to subsidiaries and affiliates	754,557	777,041
	21.5 Drafts outstanding		
	21.6 Funds held under coinsurance		
	21.7 Derivatives		
	21.8 Payable for securities		
	21.9 Payable for securities lending		
22.	Aggregate write-ins for liabilities	61,328	61,328
23.	Total liabilities excluding Separate Accounts business (Lines 1 to 22)	187,808,815	187,801,647
24.	From Separate Accounts Statement		
25.	Total liabilities (Lines 23 to 24)	187,808,815	187,801,647
26.	Aggregate write-ins for other than liabilities and surplus funds	0	0
27.	Surplus notes		
28.	Aggregate write-ins for surplus funds	0	0
29.	Unassigned funds	26,533,170	25,970,363
30.	Total (Lines 26 through 29) (including $..........0 in Separate Accounts Statement)	26,533,170	25,970,363
31.	Totals (Lines 25 + 30) (Page 2, Line 28, Col. 3)	214,341,985	213,772,010

DETAILS OF WRITE-INS

2201.	Liability for uncashed checks	61,328	61,328
2202.			
2203.			
2298.	Summary of remaining write-ins for Line 22 from overflow page	0	0
2299.	Totals (Lines 2201 thru 2203 plus 2298) (Line 22 above)	61,328	61,328
2601.			
2602.			
2603.			
2698.	Summary of remaining write-ins for Line 26 from overflow page	0	0
2699.	Totals (Lines 2601 thru 2603 plus 2698) (Line 26 above)	0	0
2801.			
2802.			
2803.			
2898.	Summary of remaining write-ins for Line 28 from overflow page	0	0
2899.	Totals (Lines 2801 thru 2803 plus 2898) (Line 28 above)	0	0

SUMMARY OF OPERATIONS

		1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1.	Premiums and annuity considerations for life and accident and health contracts	14,902,945	13,320,163	17,961,589
2.	Considerations for supplementary contracts with life contingencies			
3.	Net investment income	7,618,913	7,609,262	9,932,787
4.	Amortization of Interest Maintenance Reserve (IMR)	277,627	34,537	83,635
5.	Separate Accounts net gain from operations excluding unrealized gains and losses			
6.	Commissions and expense allowances on reinsurance ceded			
7.	Reserve adjustments on reinsurance ceded			
8.	Miscellaneous income:			
	8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts			
	8.2 Charges and fees for deposit-type contracts			
	8.3 Aggregate write-ins for miscellaneous income	7,707	17,422	22,512
9.	Totals (Lines 1 to 8.3)	22,807,192	20,981,384	28,000,523
10.	Death benefits	2,774,181	2,933,366	3,714,391
11.	Matured endowments (excluding guaranteed annual pure endowments)	106,854	63,068	116,507
12.	Annuity benefits	8,902,677	7,708,222	10,600,188
13.	Disability benefits and benefits under accident and health contracts including premiums waived $0	1,131,397	1,279,471	1,585,412
14.	Surrender benefits and withdrawals for life contracts	1,721,372	1,453,288	1,831,002
15.	Interest and adjustments on contract or deposit-type contract funds	6,867	9,371	11,002
16.	Payments on supplementary contracts with life contingencies			
17.	Increase in aggregate reserve for life and accident and health contracts	1,146,241	1,285,105	1,921,113
18.	Totals (Lines 10 to 17)	15,789,589	14,731,891	19,779,615
19.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only)	512,887	535,558	702,667
20.	Commissions and expense allowances on reinsurance assumed			
21.	General insurance expenses and fraternal expenses	5,018,657	4,995,436	6,354,801
22.	Insurance taxes, licenses and fees	559,193	556,208	612,733
23.	Increase in loading on deferred and uncollected premiums			
24.	Net transfers to or (from) Separate Accounts net of reinsurance			
25.	Aggregate write-ins for deductions	0	0	0
26.	Totals (Lines 18 to 25)	21,880,326	20,819,093	27,449,816
27.	Net gain from operations before refunds to members (Line 9 minus Line 26)	926,866	162,291	550,707
28.	Refunds to members	(6,386)	(5,405)	(5,493)
29.	Net gain from operations after refunds to members and before realized capital gains (losses) (Line 27 minus Line 28)	933,252	167,696	556,200
30.	Net realized capital gains (losses) less capital gains tax of 0 (excluding $0 transferred to the IMR)	151,447	(369,746)	(629,143)
31.	Net income (Lines 29 + 30)	1,084,699	(202,050)	(72,943)
	SURPLUS ACCOUNT			
32.	Surplus, December 31, prior year	25,970,364	24,239,067	24,239,067
33.	Net income from operations (Line 31)	1,084,699	(202,050)	(72,943)
34.	Change in net unrealized capital gains (losses) less capital gains tax of $0	(2,135,215)	949,817	2,407,945
35.	Change in net unrealized foreign exchange capital gain (loss)			
36.	Change in nonadmitted assets		7,810	9,395
37.	Change in liability for reinsurance in unauthorized companies			
38.	Change in reserve on account of change in valuation basis (increase) or decrease			
39.	Change in asset valuation reserve	1,613,323	(553,084)	(613,101)
40.	Surplus (contributed to) withdrawn from Separate Accounts during period			
41.	Other changes in surplus in Separate Accounts Statement			
42.	Change in surplus notes			
43.	Cumulative effect of changes in accounting principles			
44.	Change in surplus as a result of reinsurance			
45.	Aggregate write-ins for gains and losses in surplus	0	0	0
46.	Net change in surplus for the year (Lines 33 through 45)	562,807	202,493	1,731,296
47.	Surplus as of statement date (Lines 32 + 46)	26,533,171	24,441,560	25,970,364

DETAILS OF WRITE-INS

08.301.	Miscellaneous Income	374	3,552	6,535
08.302.	Penalities on Deferred Annuities	7,333	13,870	15,977
08.303.				
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page	0	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above)	7,707	17,422	22,512
2501.				
2502.				
2503.				
2598.	Summary of remaining write-ins for Line 25 from overflow page	0	0	0
2599.	Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above)	0	0	0
4501.	Prior Year Adjustment			
4502.				
4503.				
4598.	Summary of remaining write-ins for Line 45 from overflow page	0	0	0
4599.	Totals (Lines 4501 thru 4503 plus 4598) (Line 45 above)	0	0	0

CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance	14,879,446	13,343,614	17,974,716
2. Net investment income	7,815,762	7,543,244	10,432,259
3. Miscellaneous income	7,707	17,422	22,512
4. Total (Lines 1 through 3)	22,702,915	20,904,280	28,429,487
5. Benefit and loss related payments	14,580,520	13,291,697	17,859,791
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	6,067,363	6,034,096	7,607,491
8. Dividends paid to policyholders	(19,592)	(7,596)	(5,996)
9. Federal and foreign income taxes paid (recovered) net of $..........0 tax on capital gains (losses)			
10. Total (Lines 5 through 9)	20,628,291	19,318,197	25,461,286
11. Net cash from operations (Line 4 minus Line 10)	2,074,624	1,586,083	2,968,201
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	21,800,837	45,085,948	57,878,836
12.2 Stocks	2,278,529	2,086,810	4,441,649
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds		296,412	
12.8 Total investment proceeds (Lines 12.1 to 12.7)	24,079,366	47,469,170	62,320,485
13. Cost of investments acquired (long-term only):			
13.1 Bonds	25,603,410	45,347,421	59,924,540
13.2 Stocks	1,791,724	1,763,483	3,777,209
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications			
13.7 Total investments acquired (Lines 13.1 to 13.6)	27,395,134	47,110,904	63,701,749
14. Net increase (decrease) in contract loans and premium notes	(172,247)	132,765	263,799
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(3,143,521)	225,501	(1,645,063)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			725,881
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	(10,264)	303,763	(441,382)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	(10,264)	303,763	284,499
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)	(1,079,161)	2,115,347	1,607,637
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	2,503,085	895,448	895,448
19.2 End of period (Line 18 plus Line 19.1)	1,423,924	3,010,795	2,503,085

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Life Insurance	4,487,232	4,695,554	6,247,861
2. Individual annuities	5,224,206	4,724,679	6,372,385
3. Accident and Health	2,593,640	2,623,831	3,473,099
4. Aggregate of all other lines of business	2,597,867	1,497,177	2,118,649
5. Subtotal (Lines 1 through 4)	14,902,945	13,541,241	18,211,994
6. Fraternal			
7. Expenses			
8. Subtotal (Lines 5 through 7)	14,902,945	13,541,241	18,211,994
9. Deposit-type contracts			700
10. Total	14,902,945	13,541,241	18,212,694

DETAILS OF WRITE-INS

0401. Supplenentry Contracts	2,597,867	1,497,177	2,118,649
0402.			
0403.			
0498. Summary of remaining write-ins for Line 4 from overflow page	0	0	0
0499. Total (Lines 0401 thru 0403 plus 0498) (Line 4 above)	2,597,867	1,497,177	2,118,649

NOTES TO FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies

A. NOTE: This disclosure is required in every filing of the Quarterly Statement.

Note 2 - Accounting Changes and Corrections of Errors

No significant change.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

No significant change.

Note 5 - Investments

D. NOTE: This disclosure is required in every filing of the Quarterly Statement.

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments

No significant change.

Note 9 - Income Taxes

No significant change.

Note 10 - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant change.

Note 11 - Debt

No significant change.

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No significant change.

Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 - Contingencies

No significant change.

Note 15 - Leases

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change.

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

C. NOTE: This disclosure is required in every filing of the Quarterly Statement.

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

Note 20 - Fair Value

NOTE: This disclosure is required in every filing for the Quarterly Statement.

Note 21 - Other Items

No significant change.

Note 22 - Events Subsequent

No significant change.

Note 23 - Reinsurance

No significant change.

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change.

Note 25 - Change in Incurred Losses and Loss Adjustment Expenses

NOTE: This disclosure is required in every filing for the Quarterly Statement.

Note 26 - Intercompany Pooling Arrangements

No significant change.

Note 27 - Structured Settlements

No significant change.

Note 28 - Health Care Receivables

No significant change.

Note 29 - Participating Policies

No significant change.

Note 30 - Premium Deficiency Reserves

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 31 - Reserves for Life Contracts and Annuity Contracts

No significant change.

Note 32 - Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant change.

Note 33 - Premiums and Annuity Considerations Deferred and Uncollected

No significant change.

Note 34 - Separate Accounts

No significant change.

Note 35 - Loss/Claim Adjustment Expenses

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [X]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [X] No [ ]

2.2 If yes, date of change: 8/13/2011

3. Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [X]
If yes, complete the Schedule Y-Part 1 - Organizational chart.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [X]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [ ] N/A [X]
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2009

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2009

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 7/30/2010

6.4 By what department or departments?
OHIO DEPT OF INSURANCE

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ ] No [ ] N/A [X]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [X]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [X]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Office of Thrift Supervision (OTS), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 OTS	6 FDIC	7 SEC

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: ....

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: $....................................0

13. Amount of real estate and mortgages held in short-term investments: $....................................0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [X]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	$ 0	$ 0
14.22 Preferred Stock	$ 0	$ 0
14.23 Common Stock	$ 0	$ 0
14.24 Short-Term Investments	$ 0	$ 0
14.25 Mortgage Loans on Real Estate	$ 0	$ 0
14.26 All Other	$ 0	$ 0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	$ 0	$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	$ 0	$ 0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]
If no, attach a description with this statement.

16. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 3, III. Conducting Examinations, F-Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No [ ]

16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
US Bank	1350 Euclid Avenue, Cleveland, OH 44115

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

16.3 Have there been any changes, including name changes, in the custodian(s) identified in 16.1 during the current quarter? Yes [ ] No [X]

16.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

16.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
801-118421	Wasmer Schroeder	1111 Superior Avenue, Cleveland, OH 44114
	US Bank	1350 Euclid Ave. Cleveland, Ohio 44115

17.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No [ ]

17.2 If no, list exceptions:

GENERAL INTERROGATORIES (continued)

PART 2 - FRATERNAL

		1
1.	Report the statement value of mortgage loans at the end of this reporting period for the following categories:	
1.1	Long-term mortgages in good standing:	Reponses
1.11	Farm mortgages	
1.12	Residential mortgages	
1.13	Commercial mortgages	
1.14	Total mortgages in good standing	$ 0
1.2	Long-term mortgages in good standing with restructured terms:	
1.21	Total mortgages in good standing with restructured terms	
1.3	Long-term mortgage loans upon which interest is overdue more than three months:	
1.31	Farm mortgages	
1.32	Residential mortgages	
1.33	Commercial mortgages	
1.34	Total mortgages with interest overdue more than three months	$ 0
1.4	Long-term mortgage loans in process of foreclosure:	
1.41	Farm mortgages	
1.42	Residential mortgages	
1.43	Commercial mortgages	
1.44	Total mortgages in process of foreclosure	$ 0
1.5	Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	$ 0
1.6	Long-term mortgages foreclosed, properties transferred to real estate in current quarter:	
1.61	Farm mortgages	
1.62	Residential mortgages	
1.63	Commercial mortgages	
1.64	Total mortgages foreclosed and transferred to real estate	$ 0

2.1 In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done? Yes [ ] No [X]

2.2 If no, explain

3. Operating Percentages:

3.1	A&H loss percent	0.4
3.2	A&H cost containment percent	
3.3	A&H expense percent excluding cost containment expenses	0.9

4.1	Do you act as a custodian for health savings accounts?	Yes [ ] No [X]
4.2	If yes, please provide the amount of custodial funds held as of the reporting date	$
4.3	Do you act as an administrator for health savings accounts?	Yes [ ] No [X]
4.4	If yes, please provide the balance of the funds administered as of the reporting date	$

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Is Insurer Authorized? (YES or NO)

NONE

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

	1	Direct Business Only					
		Life Contracts		4	5	6	7
		2	3				
State, Etc.	Active Status	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 through 5	Deposit-Type Contracts
1. Alabama AL	N	80,836	66,387	34,412		181,635	
2. Alaska AK	N	117	4,919	55		5,091	
3. Arizona AZ	N	63,274	85,272	50,969		199,515	840
4. Arkansas AR	N	124,510	67,918	39,652		232,080	420
5. California CA	L	545,424	416,402	235,682		1,197,508	870
6. Colorado CO	L	84,206	256,176	54,403		394,785	560
7. Connecticut CT	N	4,055		4,980		9,035	
8. Delaware DE	N	6,599		3,955		10,554	
9. District of Columbia DC	L	6,704		8,364		15,068	336
10. Florida FL	N	78,442	110,335	40,162		228,939	
11. Georgia GA	N	222,719	339,914	138,296		700,929	
12. Hawaii HI	N					0	
13. Idaho ID	N	45,160	16,575	47,329		109,064	
14. Illinois IL	N	444,291	73,939	264,858		783,088	1,959
15. Indiana IN	N	170,279	76,921	96,545		343,745	
16. Iowa IA	N	88,331	4,427	46,806		139,564	
17. Kansas KS	N	167,197	55,113	91,194		313,504	196
18. Kentucky KY	N	164,187	100,899	55,072		320,158	
19. Louisiana LA	N	93,163	218,297	67,982		379,442	
20. Maine ME	N	4,722	191,160	842		196,724	
21. Maryland MD	N	58,151	16,777	21,083		96,011	168
22. Massachusetts MA	N	12,488		6,381		18,869	
23. Michigan MI	N	54,911	44,997	18,705		118,613	280
24. Minnesota MN	N	65,555	37,876	20,376		123,807	
25. Mississippi MS	N	29,130	7,623	16,215		52,968	
26. Missouri MO	N	211,666	62,572	121,885		396,123	560
27. Montana MT	N	24,988	67,438	15,817		108,243	
28. Nebraska NE	N	135,747	13,998	127,194		276,939	448
29. Nevada NV	N	10,566		6,018		16,584	
30. New Hampshire NH	N			208		208	
31. New Jersey NJ	N	126,430	215,896	214,342		556,668	1,595
32. New Mexico NM	L	20,479	8,219	13,420		42,118	
33. New York NY	N	158,665	168,290	69,862		396,817	504
34. North Carolina NC	N	99,534	200,503	43,094		343,131	
35. North Dakota ND	N	77,806	63,211	32,313		173,330	
36. Ohio OH	L	224,797	1,270,783	89,649		1,585,229	6,493
37. Oklahoma OK	N	45,484	20,154	13,282		78,920	
38. Oregon OR	N	17,982		12,888		30,870	
39. Pennsylvania PA	L	124,787	250,011	102,944		477,742	1,707
40. Rhode Island RI	N	71,000	492	42,914		114,406	
41. South Carolina SC	N	7,928	2,009	5,693		15,630	
42. South Dakota SD	N	102,099	202,518	51,812		356,429	
43. Tennessee TN	N	201,993	324,388	114,301		640,682	1,120
44. Texas TX	N	34,427		16,055		50,482	756
45. Utah UT	N	449		148		597	
46. Vermont VT	N	100,096	121,029	43,831		264,956	
47. Virginia VA	N	30,194	2,623	23,395		56,212	560
48. Washington WA	N	69,898	9,838	39,900		119,636	
49. West Virginia WV	L	73,293	15,004	29,979		118,276	224
50. Wisconsin WI	N	89,888	13,303	75,063		178,254	
51. Wyoming WY	N					0	
52. American Samoa AS	N					0	
53. Guam GU	N					0	
54. Puerto Rico PR	N					0	
55. US Virgin Islands VI	N					0	
56. Northern Mariana Islands MP	N					0	
57. Canada CN	N					0	
58. Aggregate Other Alien OT	XXX	0	0	0	0	0	0
59. Subtotals	(a) 7	4,674,647	5,224,206	2,670,325	0	12,569,178	19,596
90. Reporting entity contributions for employee benefit plans	XXX					0	
91. Dividends or refunds applied to purchase paid-up additions and annuities	XXX					0	
92. Dividends or refunds applied to shorten endowment or premium paying period	XXX					0	
93. Premium or annuity considerations waived under disability or other contract provisions	XXX					0	
94. Aggregate other amounts not allocable by state	XXX	0	0	0	0	0	0
95. Totals (Direct Business)	XXX	4,674,647	5,224,206	2,670,325	0	12,569,178	19,596
96. Plus reinsurance assumed	XXX					0	
97. Totals (All Business)	XXX	4,674,647	5,224,206	2,670,325	0	12,569,178	19,596
98. Less reinsurance ceded	XXX	187,415		76,685		264,100	
99. Totals (All Business) less reinsurance ceded	XXX	4,487,232	5,224,206	2,593,640	0	12,305,078	19,596

DETAILS OF WRITE-INS

5801.	XXX					0	
5802.	XXX					0	
5803.	XXX					0	
5898. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0
5899. Totals (Lines 5801 thru 5803 plus 5898) (Line 58 above)	XXX	0	0	0	0	0	0
9401.	XXX					0	
9402.	XXX					0	
9403.	XXX					0	
9498. Summary of remaining write-ins for Line 94 from overflow page	XXX	0	0	0	0	0	0
9499. Totals (Lines 9401 thru 9403 plus 9498) (Line 94 above)	XXX	0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;
(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.
(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

NONE

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

		Response
1.	Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2.	Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3.	Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5.	Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7.	Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanations:

1.
2.
3.
4.
5.
6.
7.

Bar Code:

* 5 6 4 1 3 2 0 1 1 4 9 0 0 0 0 0 3 *

* 5 6 4 1 3 2 0 1 1 3 6 5 0 0 0 0 3 *

* 5 6 4 1 3 2 0 1 1 4 4 5 0 0 0 0 3 *

* 5 6 4 1 3 2 0 1 1 4 4 6 0 0 0 0 3 *

* 5 6 4 1 3 2 0 1 1 4 4 7 0 0 0 0 3 *

* 5 6 4 1 3 2 0 1 1 4 4 8 0 0 0 0 3 *

* 5 6 4 1 3 2 0 1 1 4 4 9 0 0 0 0 3 *

Overflow Page for Write-Ins

NONE

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	2,453,560	2,527,374
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation	55,361	73,815
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8)	2,398,199	2,453,560
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)	2,398,199	2,453,560

SCHEDULE B - VERIFICATION

Mortgage Loans

NONE

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)	0	0
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)	0	0

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

NONE

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	0	0
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	0	0

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	199,351,785	194,801,350
2. Cost of bonds and stocks acquired	27,395,134	63,701,749
3. Accrual of discount	92,761	93,563
4. Unrealized valuation increase (decrease)	(2,135,215)	2,407,947
5. Total gain (loss) on disposals	868,969	1,428,958
6. Deduct consideration for bonds and stocks disposed of	24,079,366	62,320,485
7. Deduct amortization of premium	394,698	631,297
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		130,000
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	201,099,371	199,351,785
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	201,099,371	199,351,785

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a)	167,947,547	7,544,862	6,723,693	171,129	165,115,911	167,947,547	168,939,845	161,322,764
2. Class 2 (a)	13,777,178	492,025	518,744	(259,924)	15,119,708	13,777,178	13,490,535	16,886,492
3. Class 3 (a)								
4. Class 4 (a)	879,228			3,519	875,691	879,228	882,747	872,307
5. Class 5 (a)								
6. Class 6 (a)								
7. Total Bonds	182,603,953	8,036,887	7,242,438	(85,276)	181,111,310	182,603,953	183,313,127	179,081,563
PREFERRED STOCK								
8. Class 1	500,000				696,772	500,000	500,000	1,433,809
9. Class 2	3,935,784		363,335	(542)	3,936,362	3,935,784	3,571,908	3,619,324
10. Class 3	249,075			5	249,070	249,075	249,080	
11. Class 4								
12. Class 5								
13. Class 6								
14. Total Preferred Stock	4,684,859	0	363,335	(537)	4,882,204	4,684,859	4,320,988	5,053,134
15. Total Bonds and Preferred Stock	187,288,812	8,036,887	7,605,772	(85,812)	185,993,514	187,288,812	187,634,115	184,134,697

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:
NAIC 1 $..........0; NAIC 2 $..........0; NAIC 3 $..........0; NAIC 4 $..........0; NAIC 5 $..........0; NAIC 6 $..........0.

Sch. DA-Part 1
NONE

Sch. DA-Verification
NONE

Sch. DB-Pt A-Verification
NONE

Sch. DB-Pt B-Verification
NONE

Sch. DB-Pt C-Sn 1
NONE

Sch. DB-Pt C-Sn 2
NONE

Sch. DB-Verification
NONE

SCHEDULE E- VERIFICATION

Cash Equivalents

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	1,638,192	814,170
2. Cost of cash equivalents acquired	33,029,894	75,015,226
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	33,414,417	74,191,204
7. Deduct amortization of premium		
8. Total foreign exchange change in book/ adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	1,253,669	1,638,192
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	1,253,669	1,638,192

Sch. A-Pt 2
NONE

Sch. A-Pt 3
NONE

Sch. B-Pt 2
NONE

Sch. B-Pt 3
NONE

Sch. BA-Pt 2
NONE

Sch. BA-Pt 3
NONE

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - U.S. Government									
313375 AE 7	Fed Home Ln Bank 3.6		08/04/2011	J.P. Morgan		750,000	750,000		1
912828 QY 9	US Treasury 2 1/4		08/05/2011	First Tennessee		2,044,375	2,000,000	611	1
912810 QQ 4	US Treasury 4.375		08/05/2011	G X Clark & Co.		1,095,000	1,000,000	9,749	1
0599999.	Total - Bonds - U.S. Government					3,889,375	3,750,000	10,360	XXX
Bonds - U.S. Special Revenue and Special Assessment									
13012E K3 1	California Cmnty College Fing Auth		08/23/2011	RBC Capital Mkts.		900,000	900,000		1
31419K U8 6	FN AE8706		08/16/2011	G X Clark & Co.		797,382	769,488	962	1
594614 6B 0	Michigan St Bldg Auth Rev.		07/28/2011	J.P. Morgan		450,000	450,000		1
3199999.	Total - Bonds - U.S. Special Revenue & Special Assessments					2,147,382	2,119,488	962	XXX
Bonds - Industrial and Miscellaneous									
883556 AZ 5	Thermo Fisher		08/16/2011	KeyBank Cap Mkts.		508,335	500,000		1FE
29250R AT 3	ENBRIDGE ENERGY PTNR 5.500% 9/15/		09/06/2011	VENDOR CODE 401 NOT IN TA		492,025	500,000		2FE
428236 BN 2	HEWLETT PACK CO 2.350% 3/15/		09/13/2011	VENDOR CODE *** NOT IN TA		999,770	1,000,000		1
3899999.	Total - Bonds - Industrial & Miscellaneous					2,000,130	2,000,000	0	XXX
8399997.	Total - Bonds - Part 3					8,036,887	7,869,488	11,322	XXX
8399999.	Total - Bonds					8,036,887	7,869,488	11,322	XXX
Common Stocks - Mutual Funds									
19765H 18 0	COLUMBIA FDS SER TR		09/01/2011	national financial serv.	45,855.998	918,496	XXX		L
722005 62 6	Pimco All Asset Fund - Instl		09/16/2011	Dividend Reinvestment	2,243.910	26,949	XXX		L
922908 49 6	Vanguard 500 Index Fund - Sign		09/23/2011	Dividend Reinvestment	156.849	13,470	XXX		L
921908 85 1	VANGUARD SPECIALIZED PORTFOLIO		09/23/2011	Dividend Reinvestment	199.244	3,841	XXX		L
9299999.	Total - Common Stocks - Mutual Funds					962,756	XXX	0	XXX
9799997.	Total - Common Stocks - Part 3					962,756	XXX	0	XXX
9799999.	Total - Common Stocks					962,756	XXX	0	XXX
9899999.	Total - Preferred and Common Stocks					962,756	XXX	0	XXX
9999999.	Total - Bonds, Preferred and Common Stocks					8,999,643	XXX	11,322	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:...............0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Government																					
31398A ZV 7	Fannie Mae 2 5/8		08/12/2011	J.P. Morgan		1,065,600	1,000,000	1,017,820	1,014,108		(2,155)		(2,155)		1,011,953		53,648	53,648	19,104	11/20/2014	1
31359M V6 7	Fannie Mae 6 1/8		08/17/2011	CALLED @ 100.0000000		500,000	500,000	523,300	504,465		(4,465)		(4,465)		500,000			0	30,625	08/17/2026	1
3137EA CK 3	FHLMC 1 1/8		09/14/2011	J.P. Morgan		1,007,900	1,000,000	1,009,100	1,007,661		(3,416)		(3,416)		1,004,245		3,655	3,655	14,594	07/27/2012	1
3137EA CA 5	FHLMC 3 3/4		08/11/2011	Citigroup Global Mkts		56,159	50,000	49,411	49,452		35		35		49,488		6,671	6,671	1,635	03/27/2019	1
36202D AG 6	G2 2707		09/20/2011	PRINCIPAL RECEIPT		3,126	3,126	3,226	3,175		(49)		(49)		3,126			0	140	01/20/2014	1
36202D YY 1	GNMA II Pool 3427		09/20/2011	PRINCIPAL RECEIPT		19,461	19,461	19,072	19,078		384		384		19,461			0	588	08/20/2033	1
36290S 5M 9	GNMA II Pool 616552		09/20/2011	PRINCIPAL RECEIPT		26,534	26,534	28,424	28,372		(1,838)		(1,838)		26,534			0	1,157	08/20/2034	1
36225A KR 0	GNMA PASS-THRU 780304		09/15/2011	PRINCIPAL RECEIPT		50,327	50,327	57,877	57,227		(6,900)		(6,900)		50,327			0	3,610	07/15/2021	1
36225B 4C 9	GNMA PASS-THRU 781719		09/15/2011	PRINCIPAL RECEIPT		22,872	22,872	24,051	24,070		(1,198)		(1,198)		22,872			0	936	02/15/2034	1
912828 QY 9	US Treasury 2 1/4		09/07/2011	VARIOUS		1,053,516	1,000,000	1,022,188			(191)		(191)		1,021,996		31,519	31,519	1,743	07/31/2018	1
0599999.	Total - Bonds - U.S. Government					3,805,495	3,672,320	3,754,469	2,707,608	0	(19,793)	0	(19,793)	0	3,710,002	0	95,493	95,493	74,132	XXX	XXX
Bonds - U.S. States, Territories and Possessions																					
60415N E3 2	MINNESOTA ST HSG FIN AGY RES		07/01/2011	Call		30,000	30,000	30,488	30,428		(37)		(37)		30,391		(391)	(391)	1,953	01/01/2032	1FE
1799999.	Total - Bonds - U.S. States, Territories & Possessions					30,000	30,000	30,488	30,428	0	(37)	0	(37)	0	30,391	0	(391)	(391)	1,953	XXX	XXX
Bonds - U.S. Special Revenue and Special Assessment																					
235034 AR 6	DALAPT 7.07		08/01/2011	Call		415,000	415,000	432,422	415,000				0		415,000			0	22,005	11/01/2024	2FE
3128M4 JF 1	FG G02662		09/15/2011	PRINCIPAL RECEIPT		11,409	11,409	12,151	12,106		(697)		(697)		11,409			0	564	07/01/2034	1
31292H NC 4	FGC 01287		09/15/2011	PRINCIPAL RECEIPT		9,426	9,426	9,567	9,553		(127)		(127)		9,426			0	413	01/01/2032	1
3128M7 VT 0	FGG 05726		09/15/2011	PRINCIPAL RECEIPT		36,115	36,115	38,327	38,323		(2,208)		(2,208)		36,115			0	1,144	08/01/2039	1
3128MJ DE 7	FGLMC G08100		09/15/2011	PRINCIPAL RECEIPT		16,017	16,017	15,865	15,873		145		145		16,017			0	588	12/01/2035	1
3128MJ LM 0	FHLMC GO8331		09/15/2011	PRINCIPAL RECEIPT		43,028	43,028	43,492	43,490		(463)		(463)		43,028			0	1,307	02/01/2039	1
312935 H8 5	FHLMC PC A8-8355		09/15/2011	PRINCIPAL RECEIPT		50,331	50,331	50,850	50,856		(524)		(524)		50,331			0	1,529	09/01/2039	1
312936 A8 0	FHLMC PC A8-9031		09/15/2011	PRINCIPAL RECEIPT		26,437	26,437	25,982	25,982		455		455		26,437			0	727	09/01/2039	1
3128M7 LX 2	FHLMC PC 5442		09/15/2011	PRINCIPAL RECEIPT		19,114	19,114	21,527	21,434		(2,320)		(2,320)		19,114			0	1,088	07/01/2032	1
34073N 2V 1	FLSHSG 5.899		07/01/2011	Call		20,000	20,000	20,024	20,017		(1)		(1)		20,015		(15)	(15)	1,180	07/01/2016	1FE
31371K Y7 0	FN 254634		09/25/2011	PRINCIPAL RECEIPT		17,578	17,578	17,660	17,629		(52)		(52)		17,578			0	618	02/01/2023	1
31371L E3 9	FN 254954		09/25/2011	PRINCIPAL RECEIPT		30,196	30,196	29,145	29,337		858		858		30,196			0	869	10/01/2023	1
31383S TH 3	FN 511852		09/25/2011	PRINCIPAL RECEIPT		424	424	443	440		(16)		(16)		424			0	18	07/01/2029	1
31402C U6 7	FN 725205		09/25/2011	PRINCIPAL RECEIPT		39,568	39,568	39,593	39,578		(10)		(10)		39,568			0	1,263	03/01/2034	1
31404V TS 7	FN 780061		09/25/2011	PRINCIPAL RECEIPT		4,608	4,608	4,606	4,604		3		3		4,608			0	147	05/01/2034	1
31407F GC 8	FN 829195		09/25/2011	PRINCIPAL RECEIPT		1,619	1,619	1,562	1,566		53		53		1,619			0	47	07/01/2035	1
31408F GA 1	FN 849893		09/25/2011	PRINCIPAL RECEIPT		33,230	33,230	30,987	31,431		1,799		1,799		33,230			0	881	11/01/2023	1
31408G Y2 7	FN 851329		09/25/2011	PRINCIPAL RECEIPT		20,536	20,536	20,282	20,295		241		241		20,536			0	707	02/01/2036	1
31412P G9 6	FN 930924		09/25/2011	PRINCIPAL RECEIPT		26,763	26,763	27,198	27,191		(428)		(428)		26,763			0	775	04/01/2039	1
31419K U8 6	FN AE8706		09/15/2011	PRINCIPAL RECEIPT		10,744	10,744	11,134			(389)		(389)		10,744			0		11/01/2025	1

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value 11	12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Maturity Date	NAIC Desig-nation or Market Indicator (a)
31419A KZ 9	FN Pool AE0311		09/15/2011	PRINCIPAL RECEIPT		26,359	26,359	26,384	26,384		(24)		(24)		26,359			0	593	08/01/2040	1
31377E U9 8	FNMA 375108		09/25/2011	PRINCIPAL RECEIPT		11,414	11,414	12,084	11,523		(109)		(109)		11,414			0	547	05/01/2014	1
31371K 7E 5	FNMA PASS-THRU 254793		09/25/2011	PRINCIPAL RECEIPT		45,846	45,846	45,960	45,959		(114)		(114)		45,846			0	1,465	07/01/2033	1
31381D 2J 3	FNMA PASS-THRU 458077		09/25/2011	PRINCIPAL RECEIPT		26,630	26,630	28,894	28,854		(2,224)		(2,224)		26,630			0	1,143	08/15/2027	1
31385J DJ 4	FNMA PASS-THRU 545605		09/25/2011	PRINCIPAL RECEIPT		17,815	17,815	19,552	19,584		(1,769)		(1,769)		17,815			0	799	05/01/2032	1
31403D T8 2	FNMA PASS-THRU 745875		09/25/2011	PRINCIPAL RECEIPT		49,379	49,379	52,997	53,049		(3,670)		(3,670)		49,379			0	2,039	09/01/2036	1
31403U PF 2	FNMA PASS-THRU 758322		09/25/2011	PRINCIPAL RECEIPT		19,636	19,636	20,510	20,525		(889)		(889)		19,636			0	713	12/01/2033	1
31417J NH 9	FNMA PASS-THRU AC0391		09/25/2011	PRINCIPAL RECEIPT		83,870	83,870	84,709	84,711		(840)		(840)		83,870			0	2,425	07/01/2039	1
31417Y BQ 9	FNMA PASS-THRU MA0046		09/25/2011	PRINCIPAL RECEIPT		52,202	52,202	52,410	52,408		(206)		(206)		52,202			0	1,350	04/01/2029	1
31402R RN 1	FNMA PASS-THRU 735893		09/25/2011	PRINCIPAL RECEIPT		88,690	88,690	91,462	91,479		(2,789)		(2,789)		88,690			0	2,842	10/01/2035	1
31416C FS 0	FNMA PASS-THRU 995777		09/25/2011	PRINCIPAL RECEIPT		33,625	33,625	36,568	36,642		(3,017)		(3,017)		33,625			0	1,491	04/01/2033	1
31418X ZQ 4	FNMA Pool AD9750		09/15/2011	PRINCIPAL RECEIPT		35,017	35,017	35,181	35,181		(165)		(165)		35,017			0	791	12/01/2025	1
60415N E7 3	MNSHSG 6.51		07/01/2011	Call		20,000	20,000	20,600	20,368		(32)		(32)		20,336		(336)	(336)	1,302	01/01/2032	1FE
3199999.	Total - Bonds - U.S. Special Revenue & Assessment					1,342,626	1,342,624	1,380,128	1,351,372	0	(19,529)	0	(19,529)	0	1,342,977	0	(351)	(351)	53,370	XXX	XXX
Bonds - Industrial and Miscellaneous																					
12572Q AD 7	CME GROUP INC		08/05/2011	Goldman Sachs		558,350	500,000	506,600	504,288		(743)		(743)		503,545		54,805	54,805	27,951	02/15/2014	1FE
693476 BH 5	PNC FUNDING CORP		08/05/2011	KeyBank Cap Mkts		575,240	540,000	539,460	539,535		74		74		539,610		35,631	35,631	19,412	02/08/2015	1FE
844741 AG 3	Southwest Airlines		09/28/2011	Sink PMT @ 100.0000000		103,744	103,744	99,333	103,073		671		671		103,744			0	6,495	09/24/2012	2FE
02360F AC 0	Union Electric Co		08/05/2011	KeyBank Cap Mkts		1,137,400	1,000,000	997,160	998,295		214		214		998,509		138,891	138,891	54,600	02/01/2016	1FE
90783W AA 1	Union Pacific RR Co		07/02/2011	Sink PMT @ 100.0000000		13,066	13,066	13,066	13,066				0		13,066			0	766	07/02/2030	1FE
929227 ZC 3	WAMU 2002 - AR18 A		09/25/2011	PRINCIPAL RECEIPT		596	596	593	593		2		2		596			0	10	01/25/2033	1
3899999.	Total - Bonds - Industrial & Miscellaneous					2,388,396	2,157,406	2,156,212	2,158,850	0	218	0	218	0	2,159,070	0	229,327	229,327	109,234	XXX	XXX
8399997.	Total - Bonds - Part 4					7,566,517	7,202,351	7,321,297	6,248,258	0	(39,141)	0	(39,141)	0	7,242,440	0	324,078	324,078	238,689	XXX	XXX
8399999.	Total - Bonds					7,566,517	7,202,351	7,321,297	6,248,258	0	(39,141)	0	(39,141)	0	7,242,440	0	324,078	324,078	238,689	XXX	XXX
Preferred Stocks - Industrial and Miscellaneous																					
25153X 20 8	DB Cont Cap Trust II		07/22/2011	Wells Fargo	10,000.000	231,996	25.00	252,600	252,161		(156)		(156)		252,006		(20,010)	(20,010)	8,188	XXX	RP2LFE
25154D 10 2	DEUTSCHE BK CAP FDG TR X		07/22/2011	Wells Fargo	5,000.000	122,498	25.00	111,250	111,302		27		27		111,329		11,169	11,169	4,594	XXX	RP2LFE
8499999.	Total - Preferred Stocks - Industrial & Miscellaneous					354,494	XXX	363,850	363,463	0	(129)	0	(129)	0	363,335	0	(8,841)	(8,841)	12,782	XXX	XXX
8999997.	Total - Preferred Stocks - Part 4					354,494	XXX	363,850	363,463	0	(129)	0	(129)	0	363,335	0	(8,841)	(8,841)	12,782	XXX	XXX
8999999.	Total - Preferred Stocks					354,494	XXX	363,850	363,463	0	(129)	0	(129)	0	363,335	0	(8,841)	(8,841)	12,782	XXX	XXX
Common Stocks - Mutual Funds																					
573012 20 0	Marsico Growth & Income Fund		08/30/2011	hartland	48,856.151	918,496	XXX	958,541	945,855	12,686			12,686		958,541		(40,045)	(40,045)		XXX	L
9299999.	Total - Common Stocks - Mutual Funds					918,496	XXX	958,541	945,855	12,686	0	0	12,686	0	958,541	0	(40,045)	(40,045)	0	XXX	XXX
9799997	Total - Common Stocks - Part 4					918,496	XXX	958,541	945,855	12,686	0	0	12,686	0	958,541	0	(40,045)	(40,045)	0	XXX	XXX
9799999.	Total - Common Stocks					918,496	XXX	958,541	945,855	12,686	0	0	12,686	0	958,541	0	(40,045)	(40,045)	0	XXX	XXX
9899999.	Total - Preferred and Common Stocks					1,272,990	XXX	1,322,391	1,309,318	12,686	(129)	0	12,557	0	1,321,876	0	(48,886)	(48,886)	12,782	XXX	XXX

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Maturity Date	NAIC Designation or Market Indicator (a)
9999999.	Total - Bonds, Preferred and Common Stocks					8,839,507	...........XXX........	8,643,688	7,557,576	12,686	.......(39,270)	0	.........(26,584)	0	8,564,316	0	275,192	275,192	251,471	......XXX...	..XXX....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:...............0.

Sch. DB-Pt A-Sn 1
NONE

Sch. DB-Pt A-Sn 1-Footnote
NONE

Sch. DB-Pt B-Sn 1
NONE

Sch. DB-Pt B-Sn 1-Footnote
NONE

Sch. DB-Pt B-Sn 1B-Broker List
NONE

Sch. DB-Pt D
NONE

Sch. DL-Pt. 1
NONE

Sch. DL-Pt. 2
NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter 6 First Month	7 Second Month	8 Third Month	9 *
Open Depositories								
Fifth Third Bank.. Cleveland, Ohio......................................	....	....	....	....	459,364	.............(143,332)	170,254	XXX..
0199999. Total Open Depositories..	....XXX.......	......XXX.......	0	0	459,364	.............(143,332)	170,254	XXX..
0399999. Total Cash on Deposit..	....XXX.......	......XXX.......	0	0	459,364	.............(143,332)	170,254	XXX..
0599999. Total Cash...	....XXX.......	......XXX.......	0	0	459,364	.............(143,332)	170,254	XXX..

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
U.S. Government Issuer Obligations							
First Amer Prime Oblig Fund Cl Y					1,253,669		
0199999. U.S. Government Issuer Obligations					1,253,669	0	0
0599999. Total - U.S. Government Bonds					1,253,669	0	0
Total							
7799999. Subtotals - Issuer Obligations					1,253,669	0	0
8399999. Subtotals - Bonds					1,253,669	0	0
8699999. Total - Cash Equivalents					1,253,669	0	0