



QUARTERLY STATEMENT
AS OF September 30, 2011
OF THE CONDITION AND AFFAIRS OF THE
DELTA DENTAL PLAN OF OHIO, INC.

NAIC Group Code	0477 (Current Period)	0477 (Prior Period)	NAIC Company Code	54402	Employer's ID Number	31-0685339
Organized under the Laws of	Ohio		State of Domicile or Port of Entry	Ohio		
Country of Domicile	United States of America					
Licensed as business type:	Life, Accident & Health[] Dental Service Corporation[] Other[X]		Property/Casualty[] Vision Service Corporation[] Is HMO Federally Qualified? Yes[] No[] N/A[X]		Hospital, Medical & Dental Service or Indemnity[] Health Maintenance Organization[]	
Incorporated/Organized	03/06/1960		Commenced Business	04/01/1964		
Statutory Home Office	5600 Blazer Pkwy., Suite 150 (Street and Number)		Dublin, OH 43017 (City, or Town, State and Zip Code)			
Main Administrative Office	Okemos, MI 48864 (City or Town, State and Zip Code)		4100 Okemos Road (Street and Number)			
Mail Address	P.O. Box 30416 (Street and Number or P.O. Box)		Lansing, MI 48909-7916 (City, or Town, State and Zip Code)			
Primary Location of Books and Records	Okemos, MI 48864 (City, or Town, State and Zip Code)		4100 Okemos Road (Street and Number)			
Internet Web Site Address	http://ddpoh.com/		(517)349-6000 (Area Code) (Telephone Number)			
Statutory Statement Contact	Glenn R. Simon, CPA (Name) gsimon@deltadentalmi.com (E-Mail Address)		(517)347-5405 (Area Code)(Telephone Number)(Extension) (517)381-5572 (Fax Number)			

OFFICERS

Name	Title
Thomas James Fleszar, DDS, MS	President & CEO
Ann Marie Flermoen, DDS	Secretary/Treasurer
James Price Hallan	Chairperson
Michael Thomas Schaeffer, DDS	Vice Chairperson
Kelly Jubb Scheiderer, RHIA, MHA	Immediate Past Chairperson

OTHERS

Laura Linda Czelada, CPA, Chief Operating Officer
Michael Brian Clark, Senior Vice President & CMO
Jonathan Stong Groat, Vice President & General Counsel
Goran Mike Jurkovic, CPA, Senior Vice President & CFO

DIRECTORS OR TRUSTEES

Douglas Robert Anderson, DDS, MS, JD Patrick Thomas Cahill, JD James Price Hallan Kelly Jubb Scheiderer, RHIA, MHA James Robert Stahl, DDS	Frank Buzaki, Jr. Ann Marie Flermoen, DDS Michael Thomas Schaeffer, DDS Bruce Randall Smith
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State of Michigan
County of Eaton ss

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of the said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature) Thomas James Fleszar, DDS, MS (Printed Name) 1. President & CEO (Title)	(Signature) Ann Marie Flermoen, DDS (Printed Name) 2. Secretary/Treasurer (Title)	(Signature) James Price Hallan (Printed Name) 3. Chairperson (Title)
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Subscribed and sworn to before me this 7th day of November, 2011 (Notary Public Signature)	a. Is this an original filing? b. If no, 1. State the amendment number 2. Date filed 3. Number of pages attached	Yes[X] No[]
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ASSETS

		Current Statement Date			4
		1	2	3	
		Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1.	Bonds	14,833,082		14,833,082	13,611,727
2.	Stocks:				
2.1	Preferred stocks				
2.2	Common stocks	49,839,951		49,839,951	41,911,715
3.	Mortgage loans on real estate:				
3.1	First liens				
3.2	Other than first liens				
4.	Real estate:				
4.1	Properties occupied by the company (less \$.....0 encumbrances)				
4.2	Properties held for the production of income (less \$.....0 encumbrances)				
4.3	Properties held for sale (less \$.....0 encumbrances)				
5.	Cash (\$.....(6,740,683)), cash equivalents (\$.....0) and short-term investments (\$.....15,186,901)	8,446,218		8,446,218	19,448,967
6.	Contract loans (including \$.....0 premium notes)				
7.	Derivatives				
8.	Other invested assets	3,750,000	2,267,214	1,482,786	
9.	Receivables for securities				
10.	Securities lending reinvested collateral assets				
11.	Aggregate write-ins for invested assets				
12.	Subtotals, cash and invested assets (Lines 1 to 11)	76,869,251	2,267,214	74,602,037	74,972,409
13.	Title plants less \$.....0 charged off (for Title insurers only)				
14.	Investment income due and accrued	47,199		47,199	74,762
15.	Premiums and considerations:				
15.1	Uncollected premiums and agents' balances in the course of collection	2,250,950	5,724	2,245,226	1,372,591
15.2	Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums)				
15.3	Accrued retrospective premiums				
16.	Reinsurance:				
16.1	Amounts recoverable from reinsurers				
16.2	Funds held by or deposited with reinsured companies				
16.3	Other amounts receivable under reinsurance contracts				
17.	Amounts receivable relating to uninsured plans	9,378,307	6,720	9,371,587	9,659,477
18.1	Current federal and foreign income tax recoverable and interest thereon				
18.2	Net deferred tax asset				
19.	Guaranty funds receivable or on deposit				
20.	Electronic data processing equipment and software				
21.	Furniture and equipment, including health care delivery assets (\$.....0)				
22.	Net adjustments in assets and liabilities due to foreign exchange rates				
23.	Receivables from parent, subsidiaries and affiliates				34,987
24.	Health care (\$.....0) and other amounts receivable				
25.	Aggregate write-ins for other than invested assets	380,111	380,111		
26.	Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	88,925,818	2,659,769	86,266,049	86,114,226
27.	From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28.	Total (Lines 26 and 27)	88,925,818	2,659,769	86,266,049	86,114,226
DETAILS OF WRITE-INS					
1101.					
1102.					
1103.					
1198.	Summary of remaining write-ins for Line 11 from overflow page				
1199.	TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above)				
2501.	Prepaid claims adjustment expenses	379,098	379,098		
2502.	Prepaid Capitation	1,013	1,013		
2503.	Miscellaneous receivables				
2598.	Summary of remaining write-ins for Line 25 from overflow page				
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	380,111	380,111		

LIABILITIES, CAPITAL AND SURPLUS

		Current Period			Prior Year
		1 Covered	2 Uncovered	3 Total	4 Total
1.	Claims unpaid (less \$.....0 reinsurance ceded)	4,434,200		4,434,200	5,969,798
2.	Accrued medical incentive pool and bonus amounts				
3.	Unpaid claims adjustment expenses	147,824		147,824	265,944
4.	Aggregate health policy reserves	21,986		21,986	14,661
5.	Aggregate life policy reserves				
6.	Property/casualty unearned premium reserve				
7.	Aggregate health claim reserves				
8.	Premiums received in advance	1,310,168		1,310,168	1,741,071
9.	General expenses due or accrued	1,310,506		1,310,506	1,554,604
10.1	Current federal and foreign income tax payable and interest thereon (including \$.....0 on realized gains (losses))				
10.2	Net deferred tax liability				
11.	Ceded reinsurance premiums payable				
12.	Amounts withheld or retained for the account of others	1,097,239		1,097,239	3,001,285
13.	Remittances and items not allocated				
14.	Borrowed money (including \$.....0 current) and interest thereon \$.....0 (including \$.....0 current)				
15.	Amounts due to parent, subsidiaries and affiliates	177,649		177,649	425,704
16.	Derivatives				
17.	Payable for securities				
18.	Payable for securities lending				
19.	Funds held under reinsurance treaties with (\$.....0 authorized reinsurers and \$.....0 unauthorized reinsurers)				
20.	Reinsurance in unauthorized companies				
21.	Net adjustments in assets and liabilities due to foreign exchange rates				
22.	Liability for amounts held under uninsured plans	5,480,198		5,480,198	5,295,939
23.	Aggregate write-ins for other liabilities (including \$.....231,273 current)	231,273		231,273	265,944
24.	Total liabilities (Lines 1 to 23)	14,211,043		14,211,043	18,534,950
25.	Aggregate write-ins for special surplus funds	X X X	X X X		
26.	Common capital stock	X X X	X X X		
27.	Preferred capital stock	X X X	X X X		
28.	Gross paid in and contributed surplus	X X X	X X X	9,712,604	9,712,604
29.	Surplus notes	X X X	X X X		
30.	Aggregate write-ins for other than special surplus funds	X X X	X X X		
31.	Unassigned funds (surplus)	X X X	X X X	62,342,402	57,866,672
32.	Less treasury stock, at cost:				
32.1	0 shares common (value included in Line 26 \$.....0)	X X X	X X X		
32.2	0 shares preferred (value included in Line 27 \$.....0)	X X X	X X X		
33.	Total capital and surplus (Lines 25 to 31 minus Line 32)	X X X	X X X	72,055,006	67,579,276
34.	Total Liabilities, capital and surplus (Lines 24 and 33)	X X X	X X X	86,266,049	86,114,226
DETAILS OF WRITE-INS					
2301.	Uninsured Admin Reserve	231,273		231,273	265,944
2302.					
2303.					
2398.	Summary of remaining write-ins for Line 23 from overflow page				
2399.	TOTALS (Lines 2301 through 2303 plus 2398) (Line 23 above)	231,273		231,273	265,944
2501.		X X X	X X X		
2502.		X X X	X X X		
2503.		X X X	X X X		
2598.	Summary of remaining write-ins for Line 25 from overflow page	X X X	X X X		
2599.	TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above)	X X X	X X X		
3001.		X X X	X X X		
3002.		X X X	X X X		
3003.		X X X	X X X		
3098.	Summary of remaining write-ins for Line 30 from overflow page	X X X	X X X		
3099.	TOTALS (Lines 3001 through 3003 plus 3098) (Line 30 above)	X X X	X X X		

STATEMENT OF REVENUE AND EXPENSES

		Current Year To Date		Prior Year To Date	Prior Year Ended December 31
		1 Uncovered	2 Total	3 Total	4 Total
1.	Member Months	X X X	4,576,266	4,450,786	5,819,044
2.	Net premium income (including \$.....0 non-health premium income)	X X X	109,002,881	101,483,297	137,673,943
3.	Change in unearned premium reserves and reserves for rate credits	X X X			
4.	Fee-for-service (net of \$.....0 medical expenses)	X X X			
5.	Risk revenue	X X X			
6.	Aggregate write-ins for other health care related revenues	X X X			
7.	Aggregate write-ins for other non-health revenues	X X X			
8.	Total revenues (Lines 2 to 7)	X X X	109,002,881	101,483,297	137,673,943
Hospital and Medical:					
9.	Hospital/medical benefits				
10.	Other professional services		92,660,451	87,980,068	114,885,950
11.	Outside referrals				
12.	Emergency room and out-of-area				
13.	Prescription drugs				
14.	Aggregate write-ins for other hospital and medical				
15.	Incentive pool, withhold adjustments and bonus amounts				
16.	Subtotal (Lines 9 to 15)		92,660,451	87,980,068	114,885,950
Less:					
17.	Net reinsurance recoveries				
18.	Total hospital and medical (Lines 16 minus 17)		92,660,451	87,980,068	114,885,950
19.	Non-health claims (net)				
20.	Claims adjustment expenses, including \$.....811,041 cost containment expenses		5,555,076	3,302,244	6,391,250
21.	General administrative expenses		6,073,643	4,807,407	7,092,311
22.	Increase in reserves for life and accident and health contracts (including \$.....0 increase in reserves for life only)				
23.	Total underwriting deductions (Lines 18 through 22)		104,289,170	96,089,719	128,369,511
24.	Net underwriting gain or (loss) (Lines 8 minus 23)	X X X	4,713,711	5,393,578	9,304,432
25.	Net investment income earned		1,333,201	889,434	1,534,454
26.	Net realized capital gains (losses) less capital gains tax of \$.....0		460,419	241,583	313,201
27.	Net investment gains or (losses) (Lines 25 plus 26)		1,793,620	1,131,017	1,847,655
28.	Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$.....0) (amount charged off \$.....0)]		2,848	(53,374)	(53,666)
29.	Aggregate write-ins for other income or expenses		160	(301)	(300)
30.	Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	X X X	6,510,339	6,470,920	11,098,121
31.	Federal and foreign income taxes incurred	X X X			
32.	Net income (loss) (Lines 30 minus 31)	X X X	6,510,339	6,470,920	11,098,121
DETAILS OF WRITE-INS					
0601.		X X X			
0602.		X X X			
0603.		X X X			
0698.	Summary of remaining write-ins for Line 6 from overflow page	X X X			
0699.	TOTALS (Lines 0601 through 0603 plus 0698) (Line 6 above)	X X X			
0701.		X X X			
0702.		X X X			
0703.		X X X			
0798.	Summary of remaining write-ins for Line 7 from overflow page	X X X			
0799.	TOTALS (Lines 0701 through 0703 plus 0798) (Line 7 above)	X X X			
1401.					
1402.					
1403.					
1498.	Summary of remaining write-ins for Line 14 from overflow page				
1499.	TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)				
2901.	Miscellaneous Income (Expense)		160	(301)	(300)
2902.					
2903.					
2998.	Summary of remaining write-ins for Line 29 from overflow page				
2999.	TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above)		160	(301)	(300)

STATEMENT OF REVENUE AND EXPENSES (Continued)

		1	2	3
		Current Year To Date	Prior Year To Date	Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT				
33.	Capital and surplus prior reporting year	67,579,276	56,658,537	56,658,537
34.	Net income or (loss) from Line 32	6,510,339	6,470,920	11,098,121
35.	Change in valuation basis of aggregate policy and claim reserves			
36.	Change in net unrealized capital gains (losses) less capital gains tax of \$.....0	(3,680,030)	1,199,294	2,806,027
37.	Change in net unrealized foreign exchange capital gain or (loss)			
38.	Change in net deferred income tax			
39.	Change in nonadmitted assets	1,645,421	771,254	(2,983,409)
40.	Change in unauthorized reinsurance			
41.	Change in treasury stock			
42.	Change in surplus notes			
43.	Cumulative effect of changes in accounting principles			
44.	Capital Changes:			
44.1	Paid in			
44.2	Transferred from surplus (Stock Dividend)			
44.3	Transferred to surplus			
45.	Surplus adjustments:			
45.1	Paid in			
45.2	Transferred to capital (Stock Dividend)			
45.3	Transferred from capital			
46.	Dividends to stockholders			
47.	Aggregate write-ins for gains or (losses) in surplus			
48.	Net change in capital and surplus (Lines 34 to 47)	4,475,730	8,441,468	10,920,739
49.	Capital and surplus end of reporting period (Line 33 plus 48)	72,055,006	65,100,005	67,579,276
DETAILS OF WRITE-INS				
4701.				
4702.				
4703.				
4798.	Summary of remaining write-ins for Line 47 from overflow page			
4799.	TOTALS (Lines 4701 through 4703 plus 4798) (Line 47 above)			

CASH FLOW

		1	2	3
		Current	Prior	Prior
		Year	Year	Year Ended
		To Date	To Date	December 31
Cash from Operations				
1.	Premiums collected net of reinsurance	107,711,206	101,269,421	140,060,727
2.	Net investment income	1,375,529	939,199	1,587,934
3.	Miscellaneous income	160		
4.	Total (Lines 1 to 3)	109,086,895	102,208,620	141,648,661
5.	Benefit and loss related payments	94,196,049	89,178,624	116,380,308
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7.	Commissions, expenses paid and aggregate write-ins for deductions	11,570,891	11,952,089	16,873,818
8.	Dividends paid to policyholders			
9.	Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses)			
10.	Total (Lines 5 through 9)	105,766,939	101,130,713	133,254,126
11.	Net cash from operations (Line 4 minus Line 10)	3,319,956	1,077,907	8,394,535
Cash from Investments				
12.	Proceeds from investments sold, matured or repaid:			
12.1	Bonds	2,116,832	2,450,603	2,930,779
12.2	Stocks	11,869,366	6,428,625	4,596,754
12.3	Mortgage loans			
12.4	Real estate			
12.5	Other invested assets			
12.6	Net gains or (losses) on cash, cash equivalents and short-term investments			
12.7	Miscellaneous proceeds			
12.8	Total investment proceeds (Lines 12.1 to 12.7)	13,986,198	8,879,228	7,527,533
13.	Cost of investments acquired (long-term only):			
13.1	Bonds	3,291,168	4,174,745	6,500,025
13.2	Stocks	23,078,994	18,551,897	16,982,802
13.3	Mortgage loans			
13.4	Real estate			
13.5	Other invested assets			3,750,000
13.6	Miscellaneous applications			
13.7	Total investments acquired (Lines 13.1 to 13.6)	26,370,162	22,726,642	27,232,827
14.	Net increase (or decrease) in contract loans and premium notes			
15.	Net cash from investments (Line 12.8 minus Lines 13.7 and 14)	(12,383,964)	(13,847,414)	(19,705,294)
Cash from Financing and Miscellaneous Sources				
16.	Cash provided (applied):			
16.1	Surplus notes, capital notes			
16.2	Capital and paid in surplus, less treasury stock			
16.3	Borrowed funds			
16.4	Net deposits on deposit-type contracts and other insurance liabilities			
16.5	Dividends to stockholders			
16.6	Other cash provided (applied)	(1,938,741)	1,107,225	346,040
17.	Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	(1,938,741)	1,107,225	346,040
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS				
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(11,002,749)	(11,662,282)	(10,964,719)
19.	Cash, cash equivalents and short-term investments:			
19.1	Beginning of year	19,448,967	30,413,686	30,413,686
19.2	End of period (Line 18 plus Line 19.1)	8,446,218	18,751,404	19,448,967

Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:

20.0001				
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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	457,114					457,114				
2. First Quarter	503,035					503,035				
3. Second Quarter	511,186					511,186				
4. Third Quarter	516,377					516,377				
5. Current Year										
6. Current Year Member Months	4,576,266					4,576,266				
Total Member Ambulatory Encounters for Period:										
7. Physician										
8. Non-Physician										
9. Total										
10. Hospital Patient Days Incurred										
11. Number of Inpatient Admissions										
12. Health Premiums Written (a)	109,002,881					109,002,881				
13. Life Premiums Direct										
14. Property/Casualty Premiums Written										
15. Health Premiums Earned	109,002,881					109,002,881				
16. Property/Casualty Premiums Earned										
17. Amount Paid for Provision of Health Care Services	94,196,049					94,196,049				
18. Amount Incurred for Provision of Health Care Services	92,660,451					92,660,451				

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$.0.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims						
1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 days	6 Over 120 Days	7 Total
0199999 Individually Listed Claims Unpaid						
0299999 Aggregate Accounts Not Individually Listed - Uncovered						
0399999 Aggregate Accounts Not Individually Listed - Covered						
0499999 Subtotals						
0599999 Unreported claims and other claim reserves						4,434,200
0699999 Total Amounts Withheld						
0799999 Total Claims Unpaid						4,434,200
0899999 Accrued Medical Incentive Pool And Bonus Amounts						

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

Line of Business		Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1+3)	6 Estimated Claim Reserve and Claim Liability Dec 31 of Prior Year
		1	2	3	4		
		On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid Dec 31 of Prior Year	On Claims Incurred During the Year		
1.	Comprehensive (hospital & medical)						
2.	Medicare Supplement						
3.	Dental only	5,598,368	88,597,681	66,000	4,368,200	5,664,368	5,969,798
4.	Vision only						
5.	Federal Employees Health Benefits Plan						
6.	Title XVIII - Medicare						
7.	Title XIX - Medicaid						
8.	Other health						
9.	Health subtotal (Lines 1 to 8)	5,598,368	88,597,681	66,000	4,368,200	5,664,368	5,969,798
10.	Healthcare receivables (a)						
11.	Other non-health						
12.	Medical incentive pools and bonus amounts						
13.	Totals (Lines 9 - 10 + 11 + 12)	5,598,368	88,597,681	66,000	4,368,200	5,664,368	5,969,798

(a) Excludes \$.00 loans or advances to providers not yet expensed.

Notes to Financial Statement

1. Summary of Significant Accounting Policies

The financial statements of Delta Dental Plan of Ohio (Company) are presented on the basis of accounting practices prescribed or permitted by the State of Ohio Insurance Department in accordance with the National Association of Insurance Commissioners (NAIC) *Accounting Practices and Procedures Manual*.

The State of Ohio Insurance Department recognizes only statutory accounting practices prescribed or permitted by the State of Ohio for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Ohio Insurance Law. The NAIC *Accounting Practices and Procedures* manual has been adopted as a component of prescribed or permitted practices by the State of Ohio. The state has not adopted any accounting practices that differ from those found in NAIC SAP with regards to completion the Company’s financial statement.

2. Accounting Changes and Corrections of Errors

- A. 1. None.
- 2. None.
- 3. None.
- 4. None.

3. Business Combinations and Goodwill

No change.

4. Discontinued Operations

No change.

5. Investments

No change.

6. Joint Ventures, Partnerships and Limited Liability Companies

No change.

7. Investment Income

No change.

8. Derivative Instruments

No change.

9. Income Taxes

No change.

10. Information Concerning Parent, Subsidiaries and Affiliates

No change.

11. Debt

No change.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No change.

13. Capital and Surplus, Shareholders’ Dividend Restrictions and Quasi-Reorganizations.

No change.

14. Contingencies

No change.

15. Leases

No change.

Notes to Financial Statement

16. Information about Financial Instruments with Off-Balance Sheet Risk and Financial Instruments with Concentrations of Credit Risk

No change.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. The Company had no transfers of receivables reported as sales.
- B. The Company had no transfer or servicing of Financial Assets.
- C. The Company was not involved in any wash sales during the periods presented in these statements.

18. Gain or Loss to the Reporting Entity from Uninsured A&H Plans and the Uninsured Portion of Partially Insured Plans

No material change.

19. Direct Premiums Written/Produced by Managing General Agents/Third Party Administrators

No change.

20. Fair Value Measurements

- A. 1. Assets Measured at Fair Value on a Recurring Basis

(1) Description	(2) (Level 1)	(3) (Level 2)	(4) (Level 3)	(5) Total
a. Assets at fair value				
Preferred stock	\$0	\$0	\$0	\$0
Common stock	\$47,911,612	\$0	\$0	\$47,911,612
Separate account assets				
Total assets at fair value	\$47,911,612	\$0	\$0	\$47,911,612
b. Liabilities at fair value				
Derivative liabilities	\$0	\$0	\$0	\$0
Total liabilities at fair value	\$0	\$0	\$0	\$0

2. No change.
3. No change.

- B. 1. No change.
2. None
3. None

- C. None
D. None

21. Other Items

No change.

22. Events Subsequent

The Company has no events subsequent to September 30, 2011, that may have a material effect on these financial statements.

23. Reinsurance

No change.

24. Retrospectively Rated Contracts & Contracts Subject to Re-determination

No material change.

25. Change in Incurred Claims and Claim Adjustment Expenses

Reserves as of December 31, 2010 were \$6,235,742. As of September 30, 2011, \$5,864,312 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Remaining reserves for prior years are now \$66,000 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$305,430 favorable prior-year loss development since December 31, 2010 to September 30, 2011. This decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. The business to which this relates does not include retrospectively rated policies, therefore there was no return premium accrued as a result of the prior year effects.

Notes to Financial Statement

26. Intercompany Pooling Arrangements

No change.

27. Structured Settlement

No change.

28. Health Care Receivables

No change.

29. Participating Policies

No change.

30. Premium Deficiency Reserves

No change.

31. Anticipated Salvage and Subrogation

No change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES
GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes[] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state?

Yes[] No[] N/A[X]
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes[X] No[]
- 2.2 If yes, date of change:

08/05/2011
3. Have there been any substantial changes in the organizational chart since the prior quarter end?
If yes, complete the Schedule Y - Part 1 - organizational chart.

Yes[] No[X]
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes[] No[X]
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes[] No[X] N/A[]
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2010
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2009
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

05/27/2011
- 6.4 By what department or departments?
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes[] No[] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with?

Yes[X] No[] N/A[]
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes[] No[X]
- 7.2 If yes, give full information
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes[] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms?

Yes[] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Office of Thrift Supervision (OTS), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6	7
Affiliate Name	Location (City, State)	FRB	OCC	OTS	FDIC	SEC
		Yes[] No[X]	Yes[] No[X]	Yes[] No[X]	Yes[] No[X]	Yes[] No[X]

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes[X] No[]
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended?

Yes[] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers?

Yes[] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes[] No[X]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$..... 0

INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes[] No[X]
- 11.2 If yes, give full and complete information relating thereto:
12. Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$..... 0
13. Amount of real estate and mortgages held in short-term investments:

\$..... 0
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes[X] No[]
- 14.2 If yes, please complete the following:

GENERAL INTERROGATORIES (Continued)

		1	2
		Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21	Bonds		
14.22	Preferred Stock		
14.23	Common Stock	1,745,137	1,928,339
14.24	Short-Term Investments		
14.25	Mortgages Loans on Real Estate		
14.26	All Other		1,482,786
14.27	Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	1,745,137	3,411,125
14.28	Total Investment in Parent included in Lines 14.21 to 14.26 above		

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB?

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes[] No[X]
Yes[] No[] N/A[X]

16. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 3, III Conducting Examinations, F - Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?

16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

Yes[X] No[]

1	2
Name of Custodian(s)	Custodian Address
Bank of America/Merrill Lynch	135 S. Lasalle St - Mail Code IL4-135-18-23, Chicago, IL 60603
Fifth Third Bank	PO Box 630900, Cincinnati, OH 45263-0900

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

16.3 Have there been any changes, including name changes, in the custodian(s) identified in 16.1 during the current quarter?

16.4 If yes, give full and complete information relating thereto:

Yes[] No[X]

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason

16.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1	2	3
Central Registration Depository	Name(s)	Address
2908	Neuberger Berman, LLC, Managed Account Group ...	605 Third Ave., New York, NY 10158-3698
74069	McDonnell Investment Management, LLC	1515 W 22nd St., 11th Fl., Oak Brook, IL 60523
104859	Eaton Vance	PO Box 9653, Providence, RI 02940-9653
121876	NWQ Investment Management	2049 Century Park East 4th Floor, Los Angeles, CA 90067
105360	Invesco Advisers, Inc	1555 Peachtree Street, NE, Atlanta, GA 30309

17.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed?

17.2 If no, list exceptions:

Yes[X] No[]

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:	
1.1 A&H loss percent	86.000%
1.2 A&H cost containment percent	1.000%
1.3 A&H expense percent excluding cost containment expenses	6.000%
2.1 Do you act as a custodian for health savings accounts?	Yes[] No[X]
2.2 If yes, please provide the amount of custodial funds held as of the reporting date.	\$..... 0
2.3 Do you act as an administrator for health savings accounts?	Yes[] No[X]
2.4 If yes, please provide the balance of the funds administered as of the reporting date.	\$..... 0

SCHEDULE S - CEDED REINSURANCE
Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Is Insurer Authorized? (Yes or No)
			NONE			

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

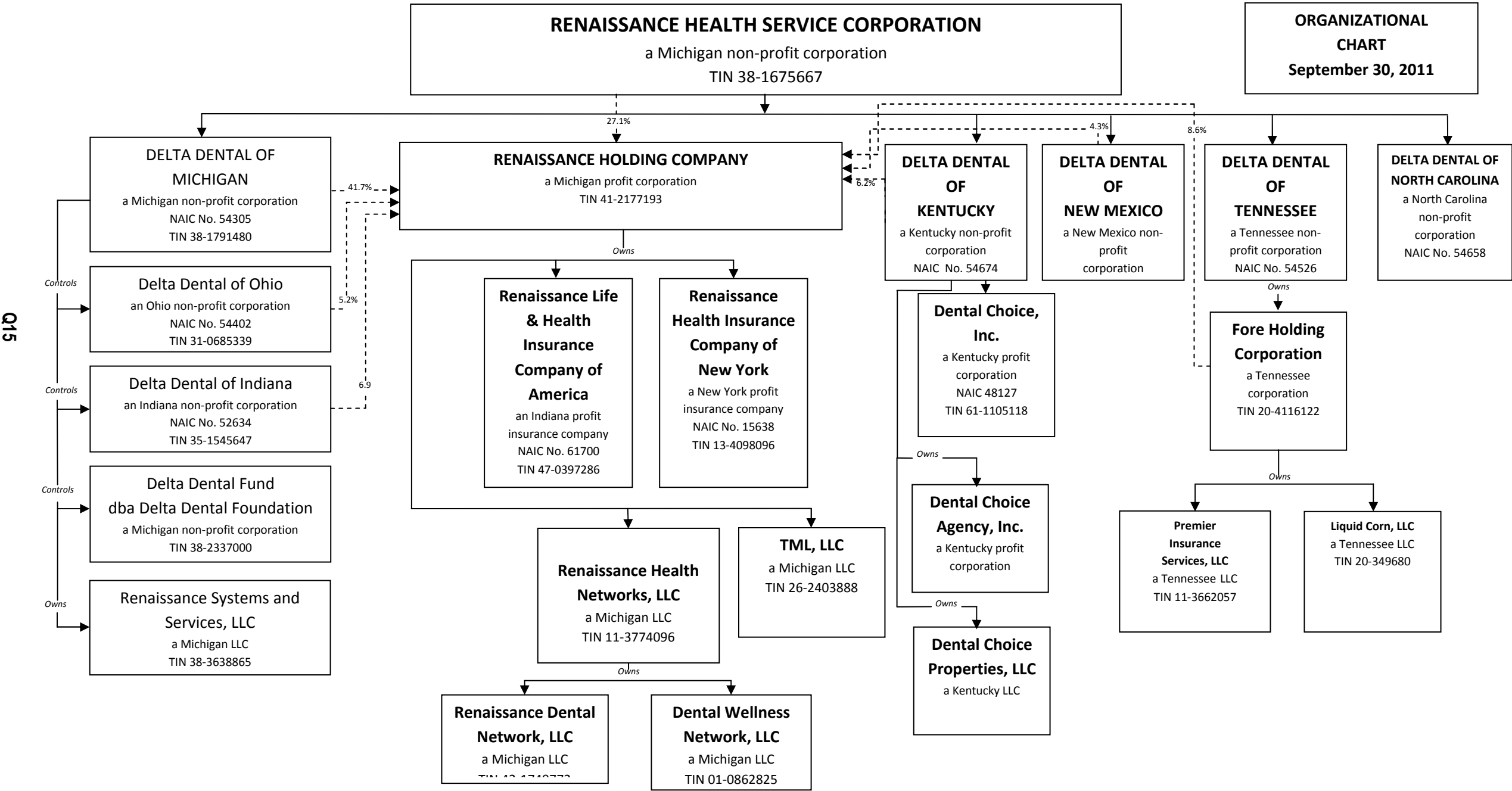
Current Year to Date - Allocated by States and Territories

		1	Direct Business Only							
			2	3	4	5	6	7	8	9
State, Etc.		Active Status	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums and Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1.	Alabama (AL)	N								
2.	Alaska (AK)	N								
3.	Arizona (AZ)	N								
4.	Arkansas (AR)	N								
5.	California (CA)	N								
6.	Colorado (CO)	N								
7.	Connecticut (CT)	N								
8.	Delaware (DE)	N								
9.	District of Columbia (DC)	N								
10.	Florida (FL)	N								
11.	Georgia (GA)	N								
12.	Hawaii (HI)	N								
13.	Idaho (ID)	N								
14.	Illinois (IL)	N								
15.	Indiana (IN)	N								
16.	Iowa (IA)	N								
17.	Kansas (KS)	N								
18.	Kentucky (KY)	N								
19.	Louisiana (LA)	N								
20.	Maine (ME)	N								
21.	Maryland (MD)	N								
22.	Massachusetts (MA)	N								
23.	Michigan (MI)	N								
24.	Minnesota (MN)	N								
25.	Mississippi (MS)	N								
26.	Missouri (MO)	N								
27.	Montana (MT)	N								
28.	Nebraska (NE)	N								
29.	Nevada (NV)	N								
30.	New Hampshire (NH)	N								
31.	New Jersey (NJ)	N								
32.	New Mexico (NM)	N								
33.	New York (NY)	N								
34.	North Carolina (NC)	N								
35.	North Dakota (ND)	N								
36.	Ohio (OH)	L	109,002,881						109,002,881	
37.	Oklahoma (OK)	N								
38.	Oregon (OR)	N								
39.	Pennsylvania (PA)	N								
40.	Rhode Island (RI)	N								
41.	South Carolina (SC)	N								
42.	South Dakota (SD)	N								
43.	Tennessee (TN)	N								
44.	Texas (TX)	N								
45.	Utah (UT)	N								
46.	Vermont (VT)	N								
47.	Virginia (VA)	N								
48.	Washington (WA)	N								
49.	West Virginia (WV)	N								
50.	Wisconsin (WI)	N								
51.	Wyoming (WY)	N								
52.	American Samoa (AS)	N								
53.	Guam (GU)	N								
54.	Puerto Rico (PR)	N								
55.	U.S. Virgin Islands (VI)	N								
56.	Northern Mariana Islands (MP)	N								
57.	Canada (CN)	N								
58.	Aggregate other alien (OT)	X X X								
59.	Subtotal	X X X	109,002,881						109,002,881	
60.	Reporting entity contributions for Employee Benefit Plans	X X X								
61.	Total (Direct Business)	(a)..... 1	109,002,881						109,002,881	
DETAILS OF WRITE-INS										
5801.		X X X								
5802.		X X X								
5803.		X X X								
5898.	Summary of remaining write-ins for Line 58 from overflow page	X X X								
5899.	TOTALS (Lines 5801 through 5803 plus 5898) (Line 58 above)	X X X								

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER
MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART



SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

RESPONSE
No

Explanations:

Bar Codes:

Medicare Part D Coverage Supplement



OVERFLOW PAGE FOR WRITE-INS

STATEMENT AS OF **September 30, 2011** OF THE **DELTA DENTAL PLAN OF OHIO, INC.**
SCHEDULE A - VERIFICATION

Real Estate		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year		
2.	Cost of acquired		
2.1	Actual cost at time of acquisition		
2.2	Additional investment made after acquisition		
3.	Current year change in encumbrances		
4.	Total gain (loss) on disposals		
5.	Deduct amounts received on disposals		
6.	Total foreign exchange change in book/adjusted carrying value		
7.	Deduct current year's other than temporary impairment recognized		
8.	Deduct current year's depreciation		
9.	Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)		
10.	Deduct total nonadmitted amounts		
11.	Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans		1	2
		Year To Date	Prior Year Ended December 31
1.	Book value/recorded investment excluding accrued interest, December 31 of prior year		
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition		
2.2	Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and mortgage interest paid		
9.	Total foreign exchange change in book value/recorded investment		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12.	Total valuation allowance		
13.	Subtotal (Line 11 plus Line 12)		
14.	Deduct total nonadmitted amounts		
15.	Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	3,750,000	
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition		3,750,000
2.2	Additional investment made after acquisition		
3.	Capitalized deferred interest and other		
4.	Accrual of discount		
5.	Unrealized valuation increase (decrease)		
6.	Total gain (loss) on disposals		
7.	Deduct amounts received on disposals		
8.	Deduct amortization of premium and depreciation		
9.	Total foreign exchange change in book/adjusted carrying value		
10.	Deduct current year's other than temporary impairment recognized		
11.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)	3,750,000	3,750,000
12.	Deduct total nonadmitted amounts	2,267,214	3,750,000
13.	Statement value at end of current period (Line 11 minus Line 12)	1,482,786	

SCHEDULE D - VERIFICATION

Bonds and Stocks		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value of bonds and stocks, December 31 of prior year	55,523,442	36,505,592
2.	Cost of bonds and stocks acquired	26,370,162	23,482,827
3.	Accrual of discount	20,771	5,009
4.	Unrealized valuation increase (decrease)	(3,680,030)	2,806,027
5.	Total gain (loss) on disposals	460,419	313,201
6.	Deduct consideration for bonds and stocks disposed of	13,986,198	7,527,535
7.	Deduct amortization of premium	35,533	61,679
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	64,673,033	55,523,442
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	64,673,033	55,523,442

SCHEDULE D - PART 1B
Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1	2	3	4	5	6	7	8
	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a)	33,670,075	521,956	4,800,556	23,392	28,848,396	33,670,075	29,414,867	26,845,617
2. Class 2 (a)	704,430	11,653	109,745	(1,222)	705,742	704,430	605,116	705,742
3. Class 3 (a)								
4. Class 4 (a)								
5. Class 5 (a)								
6. Class 6 (a)								
7. Total Bonds	34,374,505	533,609	4,910,301	22,170	29,554,138	34,374,505	30,019,983	27,551,359
PREFERRED STOCK								
8. Class 1								
9. Class 2								
10. Class 3								
11. Class 4								
12. Class 5								
13. Class 6								
14. Total Preferred Stock								
15. Total Bonds & Preferred Stock	34,374,505	533,609	4,910,301	22,170	29,554,138	34,374,505	30,019,983	27,551,359

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....15,186,901; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

SCHEDULE DA - PART 1

Short - Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999. Totals	15,186,901	X X X	15,186,901	27,407	

SCHEDULE DA - Verification

Short-Term Investments

		1 Year To Date	2 Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year	13,939,631	25,285,967
2.	Cost of short-term investments acquired	5,589,239	12,453,946
3.	Accrual of discount		
4.	Unrealized valuation increase (decrease)		
5.	Total gain (loss) on disposals		
6.	Deduct consideration received on disposals	4,341,969	23,800,282
7.	Deduct amortization of premium		
8.	Total foreign exchange change in book/adjusted carrying value		
9.	Deduct current year's other than temporary impairment recognized		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	15,186,901	13,939,631
11.	Deduct total nonadmitted amounts		
12.	Statement value at end of current period (Line 10 minus Line 11)	15,186,901	13,939,631

SI04	Schedule DB - Part A Verification	NONE
-------------	--	-------------

SI04	Schedule DB - Part B Verification	NONE
-------------	--	-------------

SI05	Schedule DB Part C Section 1	NONE
-------------	---	-------------

SI06 Schedule DB Part C Section 2 NONE

SI07	Schedule DB - Verification	NONE
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SI08 Schedule E - Verification (Cash Equivalents) NONE

E01 Schedule A Part 2 NONE

E01 Schedule A Part 3 NONE

E02 Schedule B Part 2 NONE

E02 Schedule B Part 3 NONE

E03 Schedule BA Part 2 NONE

E03 Schedule BA Part 3 NONE

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Bonds - U.S. Governments									
912810PW2	US TREASURY BOND		08/11/2011	McDonnell	X X X	66,660	60,000.00	409	1
0599999 Subtotal - Bonds - U.S. Governments					X X X	66,660	60,000.00	409	X X X
Bonds - U.S. Special Revenue, Special Assessment									
31416A4W7	FNMA P994637		08/08/2011	Undefined	X X X	156,713	145,000.00	222	1
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment					X X X	156,713	145,000.00	222	X X X
Bonds - Industrial and Miscellaneous (Unaffiliated)									
0258M0DC0	AMERICAN EXPRESS CREDIT		09/16/2011	MERRILL LYNCH	X X X	39,967	40,000.00		1FE
12189LAG6	BURLINGTON NORTH SANTA FE		09/18/2011	McDonnell	X X X	41,499	40,000.00	165	1FE
209111EV1	CONS EDISON CO OF NY		08/08/2011	MERRILL LYNCH	X X X	51,225	40,000.00	554	1FE
458140AJ9	INTEL CORP		09/15/2011	MERRILL LYNCH	X X X	24,941	25,000.00		1FE
61747WAK5	MORGAN STANLEY		07/25/2011	MERRILL LYNCH	X X X	40,149	40,000.00		1FE
74005PAZ7	PRAXAIR INC		09/01/2011	MERRILL LYNCH	X X X	34,706	35,000.00	5	1FE
767201AN6	RIO TINTO FIN USA LTD		07/19/2011	MERRILL LYNCH	X X X	41,096	40,000.00	284	1FE
79766DAZ2	SAN FRANCISCO CA CITY & COUNTY		07/01/2011	BARCLAYS CAPITAL INC	X X X	25,000	25,000.00		1FE
826418BD6	SIERRA PACIFIC POWER CO		09/16/2011	McDonnell	X X X	11,653	10,000.00	208	2FE
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	310,236	295,000.00	1,216	X X X
8399997 Subtotal - Bonds - Part 3					X X X	533,609	500,000.00	1,847	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	533,609	500,000.00	1,847	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
Common Stock - Industrial and Miscellaneous (Unaffiliated)									
88579Y101	3M CO		09/21/2011	MERRILL LYNCH	69.000	5,550	X X X		L
G1151C101	ACCENTURE PLC SHS		09/21/2011	MERRILL LYNCH	285.000	16,799	X X X		L
H0023R105	ACE LIMITED		09/21/2011	MERRILL LYNCH	275.000	17,363	X X X		1
01741R102	ALLEGHENY TECH INC		09/21/2011	MERRILL LYNCH	169.000	7,992	X X X		L
018490102	ALLERGAN INC		09/21/2011	MERRILL LYNCH	108.000	8,952	X X X		L
02076X102	ALPHA NATURAL RESOURCES		07/25/2011	MERRILL LYNCH	90.000	4,065	X X X		1
023135106	Amazon.Com Inc.		09/21/2011	MERRILL LYNCH	150.000	33,147	X X X		L
025816109	AMERICAN EXPRESS CO		09/21/2011	MERRILL LYNCH	564.000	28,347	X X X		L
03076C106	AMERIPRISE FNL INC		08/12/2011	MERRILL LYNCH	323.000	14,999	X X X		1
031162100	AMGEN INC		09/21/2011	MERRILL LYNCH	834.000	46,128	X X X		L
025537101	AMN ELEC POWER CO		09/21/2011	MERRILL LYNCH	461.000	17,309	X X X		L
035128206	ANGLOGOLD ASHANTI LTD		09/21/2011	MERRILL LYNCH	943.000	41,956	X X X		L
037389103	AON Corp		09/21/2011	MERRILL LYNCH	738.000	35,160	X X X		L
037411105	Apache Corp.		09/21/2011	MERRILL LYNCH	685.000	73,967	X X X		L
037833100	Apple Computer Co		09/21/2011	MERRILL LYNCH	183.000	72,776	X X X		L
00206R102	AT&T Inc.		09/21/2011	MERRILL LYNCH	696.000	20,235	X X X		L
053484101	AVALONBAY COMMUNICATION INC		09/21/2011	MERRILL LYNCH	123.000	16,369	X X X		L
057224107	BAKER HUGHES INC		09/21/2011	MERRILL LYNCH	88.000	5,197	X X X		1
059460303	BANCO BRADESCO		09/27/2011	MERRILL LYNCH	86.000	1,284	X X X		L
067901108	Barrick Gold Corp		07/20/2011	MERRILL LYNCH	428.000	21,022	X X X		L
055262505	Basf Ag Spons Adr		07/25/2011	MERRILL LYNCH	63.000	5,933	X X X		L

QE04

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

QE04.1

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
055434203	BG GROUP PLC SP ADR		09/21/2011	MERRILL LYNCH	75.000	7,703	X X X		L
088606108	BHP BILLIRON LTD ADR		09/21/2011	MERRILL LYNCH	130.000	11,180	X X X		L
097023105	BOEING CO		09/21/2011	MERRILL LYNCH	280.000	18,817	X X X		L
099724106	BORG WARNER INC		09/21/2011	MERRILL LYNCH	51.000	3,542	X X X		L
101121101	BOSTON PPTYS INC		09/21/2011	MERRILL LYNCH	195.000	20,704	X X X		L
105105100	BRAMBLES LTD		08/12/2011	MERRILL LYNCH	101.000	1,236	X X X		L
12673P105	CA Inc		09/21/2011	MERRILL LYNCH	2,968.000	65,159	X X X		L
136385101	CANADIAN NATURAL RES LTD		09/21/2011	MERRILL LYNCH	1,388.000	54,300	X X X		L
138006309	CANON INC ADR REP5SH		07/29/2011	MERRILL LYNCH	14.000	698	X X X		L
143658300	CARNIVAL CORP PAIRED SHS		09/21/2011	MERRILL LYNCH	102.000	3,467	X X X		L
16941M109	CHINA MOBILE LTD SPN ADR		08/16/2011	MERRILL LYNCH	60.000	2,851	X X X		1
171778202	CIELO S A SP ADR		09/28/2011	MERRILL LYNCH	113.000	2,606	X X X		L
17275R102	CISCO SYSTEMS INC		09/21/2011	MERRILL LYNCH	2,732.000	44,221	X X X		L
172967424	CITIGROUP INC COM NEW		09/21/2011	MERRILL LYNCH	2,128.000	72,904	X X X		1
177376100	CITRIX SYSTEMS INC		09/21/2011	MERRILL LYNCH	185.000	10,670	X X X		L
12572Q105	CME GROUP INC		09/21/2011	MERRILL LYNCH	24.000	6,491	X X X		L
189754104	COACH INC		09/21/2011	MERRILL LYNCH	121.000	7,161	X X X		L
191085208	COCA COLA AMATIL SPD ADR		08/12/2011	MERRILL LYNCH	54.000	1,137	X X X		L
191216100	COCA-COLA COMPANY		09/21/2011	MERRILL LYNCH	359.000	25,684	X X X		L
20030N101	COMCAST CORP CL A-aletheia		09/21/2011	MERRILL LYNCH	636.000	14,732	X X X		L
200340107	COMERICA INC		09/21/2011	MERRILL LYNCH	227.000	5,612	X X X		L
20825C104	Conocophillips		09/21/2011	MERRILL LYNCH	267.000	19,031	X X X		L
G2554F113	COVIDIEN LTD		09/21/2011	MERRILL LYNCH	277.000	13,692	X X X		L
126650100	CVS CORPORATION		09/21/2011	MERRILL LYNCH	1,014.000	37,278	X X X		L
235851102	DANAHER CORP		09/21/2011	MERRILL LYNCH	136.000	6,261	X X X		L
268648102	EMC CORP/MASS		09/21/2011	MERRILL LYNCH	348.000	7,874	X X X		L
26875P101	EOG RESOURCES INC		09/21/2011	MERRILL LYNCH	100.000	9,015	X X X		L
294821608	ERICSSON LM TEL CL B ADR		08/10/2011	MERRILL LYNCH	77.000	890	X X X		L
302182100	EXPRESS SCRIPTS INC		09/21/2011	MERRILL LYNCH	166.000	6,832	X X X		L
30231G102	EXXON MOBILE CORP		09/21/2011	MERRILL LYNCH	132.000	10,120	X X X		L
316773100	FIFTH THIRD BANCORP		09/21/2011	MERRILL LYNCH	1,211.000	14,566	X X X		L
344419106	FOMENTO ECNMCO MEX SPADR		09/08/2011	MERRILL LYNCH	28.000	1,940	X X X		1
354613101	FRANKLIN RES INC		09/21/2011	MERRILL LYNCH	273.000	32,143	X X X		L
35671D857	FREPRT-MDMRAN CPR & GLD		09/21/2011	MERRILL LYNCH	484.000	21,248	X X X		L
369550108	GENERAL DYNAMICS CORP		09/21/2011	MERRILL LYNCH	33.000	2,003	X X X		L
369604103	GENERAL ELECTRIC CO		09/21/2011	MERRILL LYNCH	1,835.000	32,038	X X X		L
37045V100	GENRAL MOTORS		09/21/2011	MERRILL LYNCH	1,532.000	40,258	X X X		L
37247D106	Genworth Financial		08/30/2011	MERRILL LYNCH	6,056.000	52,525	X X X		L
375558103	GILEAD SCIENCES INC COM		09/21/2011	MERRILL LYNCH	361.000	15,208	X X X		L
38141G104	Goldman Sachs Group Inc		09/21/2011	MERRILL LYNCH	563.000	70,250	X X X		L
38259P508	Google, Inc.		09/21/2011	MERRILL LYNCH	65.000	36,348	X X X		L
40049J206	GRUPO TELEVISA SA ADR		09/29/2011	MERRILL LYNCH	68.000	1,258	X X X		L
406216101	HALLIBURTON COMPANY		09/21/2011	MERRILL LYNCH	580.000	27,192	X X X		L
416515104	HARTFORD FINANCIAL SVCS GRP		09/27/2011	MERRILL LYNCH	2,391.000	48,385	X X X		L
42809H107	HESS CORP		09/21/2011	MERRILL LYNCH	426.000	27,956	X X X		L
404280406	HSBC Holdings PLC Spons ADR New		07/25/2011	MERRILL LYNCH	198.000	9,676	X X X		L
444859102	HUMANA INC		09/21/2011	MERRILL LYNCH	101.000	8,005	X X X		1
452308109	Illinois Tool Works Inc		07/25/2011	MERRILL LYNCH	148.000	8,382	X X X		L

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

QE04.2

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
452327109	ILLUMINA INC		09/21/2011	MERRILL LYNCH	427.000	22,443	X X X		1
455807107	INDUSTRIAL AND COMMRL BNK		09/01/2011	MERRILL LYNCH	212.000	2,738	X X X		1
G47791101	Ingersoll-Rand Co Ltd		09/21/2011	MERRILL LYNCH	1,043.000	40,608	X X X		L
458140100	INTEL CORP		09/21/2011	MERRILL LYNCH	477.000	10,532	X X X		L
459200101	INTERNATIONAL BUSINESS MACHINES CO		09/21/2011	MERRILL LYNCH	96.000	17,252	X X X		L
478160104	JOHNSON & JOHNSON		09/21/2011	MERRILL LYNCH	989.000	64,797	X X X		L
46625H100	JP MORGAN CHASE & CO		09/21/2011	MERRILL LYNCH	1,817.000	68,624	X X X		L
48203R104	Juniper Networks Inc		09/21/2011	MERRILL LYNCH	273.000	5,472	X X X		L
493267108	KEYCORP NEW		09/21/2011	MERRILL LYNCH	888.000	6,738	X X X		L
494368103	KIMBERLY-CLARK CORP		09/27/2011	MERRILL LYNCH	201.000	13,789	X X X		L
500255104	KOHL'S CORP		09/21/2011	MERRILL LYNCH	702.000	35,187	X X X		L
50075N104	KRAFT FOODS INC CL A		09/21/2011	MERRILL LYNCH	348.000	12,271	X X X		L
501044101	KROGER COMPANY		09/21/2011	MERRILL LYNCH	916.000	21,810	X X X		L
502117203	L OREAL CO		09/16/2011	MERRILL LYNCH	173.000	3,702	X X X		1
517834107	LAS VEGAS SANDS CORP		09/30/2011	MERRILL LYNCH	549.000	25,526	X X X		L
518439104	LAUDER ESTEE COS INC		09/21/2011	MERRILL LYNCH	345.000	32,724	X X X		L
534187109	LINCOLN NATL CORP IND		09/21/2011	MERRILL LYNCH	1,736.000	41,795	X X X		L
539830109	LOCKHEED MARTIN CORP		07/25/2011	MERRILL LYNCH	132.000	10,350	X X X		L
540424108	LOEWS CORP		09/21/2011	MERRILL LYNCH	875.000	34,706	X X X		L
580135101	MCDONALD'S CORP		09/21/2011	MERRILL LYNCH	327.000	28,664	X X X		L
582839106	MEAD JOHNSON NUTRITION		09/21/2011	MERRILL LYNCH	149.000	11,314	X X X		L
58933Y105	MERCK & CO., INC.		09/21/2011	MERRILL LYNCH	1,016.000	35,183	X X X		L
59156R108	METLIFE INC		09/21/2011	MERRILL LYNCH	2,298.000	84,481	X X X		L
594918104	MICROSOFT CORPORATION		09/21/2011	MERRILL LYNCH	4,578.000	119,052	X X X		L
61166W101	MONSANTO CO NEW		09/21/2011	MERRILL LYNCH	346.000	24,234	X X X		L
620097105	MOTOROLA MOBILITY		07/25/2011	MERRILL LYNCH	1,113.000	24,983	X X X		1
620076307	MOTOROLA SOLUTIONS INC		09/21/2011	MERRILL LYNCH	864.000	37,585	X X X		1
636274300	NATIONAL GRID PLC SP ADR		09/21/2011	MERRILL LYNCH	125.000	6,139	X X X		L
637071101	National-Oilwell Varco		09/21/2011	MERRILL LYNCH	295.000	21,505	X X X		L
641069406	NESTLE S A SPONSORED ADR		09/21/2011	MERRILL LYNCH	84.000	4,769	X X X		L
64110L106	NETFLIX COM INC		09/21/2011	MERRILL LYNCH	65.000	12,517	X X X		L
655044105	Noble Energy, Inc.		09/21/2011	MERRILL LYNCH	285.000	25,394	X X X		L
666807102	NORTHROP GRUMMAN CORP		07/25/2011	MERRILL LYNCH	127.000	8,272	X X X		1
629377508	NRG Energy Inc New		09/21/2011	MERRILL LYNCH	618.000	15,090	X X X		L
674599105	OCCIDENTAL PETE CORP CAL		09/21/2011	MERRILL LYNCH	490.000	46,637	X X X		L
68389X105	ORACLE CORPORATION		09/27/2011	MERRILL LYNCH	1,472.000	43,770	X X X		L
693718108	PACCAR INC		09/21/2011	MERRILL LYNCH	745.000	26,494	X X X		L
704549104	PEABODY ENERGY CORP		09/21/2011	MERRILL LYNCH	469.000	22,767	X X X		L
713448108	PEPSICO INC		09/27/2011	MERRILL LYNCH	574.000	36,555	X X X		L
717081103	PFIZER INC		09/21/2011	MERRILL LYNCH	4,254.000	82,872	X X X		L
69331C108	PG&E Corp		09/21/2011	MERRILL LYNCH	209.000	8,867	X X X		L
718172109	PHILIP MORRIS INTL INC		09/21/2011	MERRILL LYNCH	202.000	13,812	X X X		L
724479100	PITNEY BOWES INC.		09/21/2011	MERRILL LYNCH	404.000	8,272	X X X		L
693475105	PNC BANK CORP		09/21/2011	MERRILL LYNCH	482.000	25,208	X X X		L
693506107	PPG INDUSTRIES		09/21/2011	MERRILL LYNCH	187.000	14,063	X X X		1
69351T106	PPL CORPORATION		09/21/2011	MERRILL LYNCH	1,224.000	33,110	X X X		1
74005P104	PRAXAIR INC		09/21/2011	MERRILL LYNCH	286.000	28,261	X X X		L
740189105	PRECISION CASTPARTS		09/21/2011	MERRILL LYNCH	90.000	15,475	X X X		L

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

QE04.3

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
742718109	PROCTER & GAMBLE CO		09/21/2011	MERRILL LYNCH	285.000	18,392	X X X		L
744320102	Prudential Financial		09/21/2011	MERRILL LYNCH	271.000	15,275	X X X		L
744573106	PUB SVC ENTERPRISE GRP		09/21/2011	MERRILL LYNCH	738.000	24,635	X X X		L
747525103	QUALCOMM INC COM		09/21/2011	MERRILL LYNCH	543.000	30,326	X X X		L
75281A109	RANGE RESOURCES CORP DEL		09/21/2011	MERRILL LYNCH	91.000	5,758	X X X		L
758205207	REED ELSEVIER PLC		08/31/2011	MERRILL LYNCH	54.000	1,699	X X X		L
760759100	REPUBLIC SERVICES		09/21/2011	MERRILL LYNCH	1,017.000	28,495	X X X		L
80105N105	Sanofi Aventis		09/21/2011	MERRILL LYNCH	1,191.000	43,622	X X X		L
803054204	SAP AG SHS		08/04/2011	MERRILL LYNCH	20.000	1,222	X X X		L
806857108	SCHLUMBERGER LTD		09/21/2011	MERRILL LYNCH	159.000	11,547	X X X		L
80687P106	SCHNEIDER ELEC SA		08/26/2011	MERRILL LYNCH	218.000	2,738	X X X		1
816851109	SEMPRA ENERGY		09/21/2011	MERRILL LYNCH	248.000	12,976	X X X		L
826552101	SIGMA ALDRICH CORP		09/21/2011	MERRILL LYNCH	251.000	17,651	X X X		L
828806109	SIMON PROPERTY GROUP DEL		09/21/2011	MERRILL LYNCH	127.000	15,099	X X X		1
G81477104	SINA CORP		09/21/2011	MERRILL LYNCH	55.000	6,030	X X X		1
855244109	Starbucks Corp.		09/21/2011	MERRILL LYNCH	271.000	10,582	X X X		L
85590A401	STARWOOD HOTELS		09/21/2011	MERRILL LYNCH	574.000	26,119	X X X		1
858912108	STERICYCLE INC		09/21/2011	MERRILL LYNCH	171.000	13,940	X X X		L
867224107	SUNCOR ENERGY-NEW		07/29/2011	MERRILL LYNCH	152.000	6,108	X X X		L
867914103	SUNTRUST BKS INC COM		07/25/2011	MERRILL LYNCH	161.000	4,028	X X X		1
870195104	SWEDBANK A B ADR		08/02/2011	MERRILL LYNCH	56.000	974	X X X		L
87425E103	TALISMAN ENERGY INC		09/21/2011	MERRILL LYNCH	3,303.000	59,015	X X X		L
87612E106	TARGET CORP		09/21/2011	MERRILL LYNCH	209.000	10,813	X X X		L
881624209	Teva Pharmaceutical Ind ADR		09/23/2011	MERRILL LYNCH	963.000	39,567	X X X		L
254687106	THE WALT DISNEY CO		09/21/2011	MERRILL LYNCH	308.000	11,319	X X X		L
883556102	THERMO ELECTRON CORP		09/21/2011	MERRILL LYNCH	113.000	6,835	X X X		L
88732J207	TIME WARNER CABLE INC		09/21/2011	MERRILL LYNCH	169.000	12,439	X X X		1
887317303	TIME WARNER INC SHS		09/21/2011	MERRILL LYNCH	1,008.000	33,379	X X X		L
872540109	TJX COS INC NEW		09/21/2011	MERRILL LYNCH	364.000	20,473	X X X		L
89417E109	TRAVELERS COS INC		09/21/2011	MERRILL LYNCH	576.000	27,753	X X X		L
H89128104	TYCO INTL LTD		09/21/2011	MERRILL LYNCH	867.000	38,059	X X X		L
902494103	TYSON FOODS INC		09/21/2011	MERRILL LYNCH	1,906.000	34,711	X X X		L
907818108	UNION PACIFIC CORP		09/21/2011	MERRILL LYNCH	347.000	33,477	X X X		L
913017109	UNITED TECHNOLOGIES CORPORATION		09/21/2011	MERRILL LYNCH	404.000	31,894	X X X		L
91324P102	UNITEDHEALTH GROUP INC		09/21/2011	MERRILL LYNCH	853.000	42,541	X X X		L
91529Y106	UNUM GROUP		09/21/2011	MERRILL LYNCH	1,402.000	34,550	X X X		L
902973304	US BANCORP DEL NEW		09/21/2011	MERRILL LYNCH	1,419.000	32,847	X X X		L
918204108	V F CORPORATION		09/21/2011	MERRILL LYNCH	26.000	3,238	X X X		L
92342Y109	VERIFONE SYSTEMS INC		09/21/2011	MERRILL LYNCH	382.000	14,594	X X X		L
92343V104	VERIZON COMMUNICATIONS		09/21/2011	MERRILL LYNCH	236.000	8,731	X X X		L
92553P201	Viacom Inc New Class B		09/21/2011	MERRILL LYNCH	926.000	45,488	X X X		L
92857W209	VODAFONE GROUP PLC NEW		09/21/2011	MERRILL LYNCH	541.000	14,103	X X X		L
928662402	VOLKSWAGON A G		07/18/2011	MERRILL LYNCH	91.000	3,779	X X X		L
931142103	WAL-MART STORES, INC.		09/21/2011	MERRILL LYNCH	284.000	15,060	X X X		L
941848103	WATERS CORP		09/21/2011	MERRILL LYNCH	222.000	18,708	X X X		1
949746101	WELLS FARGO & CO NEW		09/21/2011	MERRILL LYNCH	2,490.000	67,424	X X X		L
J95534103	YAMADA DENKI 9831		07/14/2011	MERRILL LYNCH	58.000	5,003	X X X		L
988498101	YUM BRAND INC		09/21/2011	MERRILL LYNCH	223.000	11,973	X X X		1

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
755111507	Raytheon Company New	... F ...	 09/21/2011	MERRILL LYNCH	 916.000	 40,531	 X X X		L
904784709	Unilever NV NY Reg Shs	... F ...	 09/21/2011	MERRILL LYNCH	 274.000	 8,767	 X X X		L
9099999 Subtotal - Common Stock - Industrial and Miscellaneous (Unaffiliated)					 X X X	 3,796,495	 X X X		 X X X
Common Stock - Mutual Funds									
360802821	American Fundamental Investors		 09/16/2011	MERRILL LYNCH	 114.970	 3,918	 X X X		L
057071870	BAIRD CORE PLUS BOND FUND		 09/07/2011	Bank of America	 1,944.710	 20,868	 X X X		1
355148859	FRANKLIN BS ADV NEW		 09/16/2011	Bank of America	 4,057.501	 175,000	 X X X		L
464287598	Ishares Russell 1000 Value Indx Fd		 07/22/2011	LASALLE	 7,500.000	 503,282	 X X X		L
464287648	ISHARES TRUST RUSSELL 2000 GROWTH		 09/14/2011	MERRILL LYNCH	 2,200.000	 173,701	 X X X		L
592905848	METROPOLITAN WEST HY BOND		 09/07/2011	Bank of America	 4,120.490	 43,072	 X X X		L
68380T509	OPPENHEIMER INT'L BOND FD CLASS Y		 09/01/2011	VARIOUS	 3,397.002	 22,999	 X X X		L
921937504	VANGUARD BOND INDEX FUND INC		 07/22/2011	Bank of America	 497,674.420	 5,350,000	 X X X		L
922040100	Vanguard Institutional Index Fund		 09/16/2011	VARIOUS	 23,727.799	 2,900,000	 X X X		L
921937108	VANGUARD TOTAL BOND MARKET		 07/01/2011	Bank of America	 462.960	 4,949	 X X X		L
9299999 Subtotal - Common Stock - Mutual Funds					 X X X	 9,197,789	 X X X		 X X X
Common Stock - Money Market Mutual Funds									
124444449	WCMA MONEY FUND CL4		 09/30/2011	MERRILL LYNCH	 240,764.030	 240,764	 X X X		
9399999 Subtotal - Common Stock - Money Market Mutual Funds					 X X X	 240,764	 X X X		 X X X
9799997 Subtotal - Common Stock - Part 3					 X X X	 13,235,048	 X X X		 X X X
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					 X X X	 X X X	 X X X	 X X X	 X X X
9799999 Subtotal - Common Stocks					 X X X	 13,235,048	 X X X		 X X X
9899999 Subtotal - Preferred and Common Stocks					 X X X	 13,235,048	 X X X		 X X X
9999999 Total - Bonds, Preferred and Common Stocks					 X X X	 13,768,657	 X X X	 1,847	 X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

SCHEDULE D - PART 4
Show All Long-Term Bonds and Stocks Sold, Redeemed, or Otherwise Disposed of
During the Current Quarter

QEO5

CUSIP Identification	Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Maturity Date	22 NAIC Designation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.							
Bonds - U.S. Governments																					
91282BW9	TSY INFL IX N/B		08/11/2011	McDonnell	X X X	99,280	75,000.00	88,709	90,145	(2,108)	(253)		(2,361)		87,784		11,496	11,496	1,917	01/15/2014	1
0599999 Subtotal - Bonds - U.S. Governments					X X X	99,280	75,000.00	88,709	90,145	(2,108)	(253)		(2,361)		87,784		11,496	11,496	1,917	X X X	X X X
Bonds - U.S. States, Territories and Possessions																					
38373MY20	GNMA		07/20/2011	VARIOUS	X X X	50,820	50,000.00	51,820	51,658		(54)		(54)		51,604		(784)	(784)	1,397	03/16/2032	1
38374USR3	GNMA REMIC TRUST 2009-29 20340		09/16/2011	PRINCIPAL RECEIPT	X X X	2,892	2,891.83	3,055	3,053		(161)		(161)		2,892				86	06/16/2034	1
1799999 Subtotal - Bonds - U.S. States, Territories and Possessions					X X X	53,712	52,891.83	54,875	54,711		(215)		(215)		54,496		(784)	(784)	1,483	X X X	X X X
Bonds - U.S. Special Revenue, Special Assessment																					
312932ZG4	FHLMC A8 6143		09/15/2011	PRINCIPAL RECEIPT	X X X	3,022	3,021.67	3,101	3,100		(78)		(78)		3,022				96	05/01/2039	1
312934M58	FHLMC A8 7580		09/15/2011	PRINCIPAL RECEIPT	X X X	4,942	4,941.51	5,017	5,014		(72)		(72)		4,942				167	07/01/2039	1
312936KB2	FHLMC A8 9290		09/15/2011	PRINCIPAL RECEIPT	X X X	1,600	1,600.37	1,657	1,656		(55)		(55)		1,600				53	10/01/2039	1
3137A1TK9	FHLMC CMO 2010 - 3725		09/15/2011	PRINCIPAL RECEIPT	X X X	4,234	4,234.44	4,427	4,421		(187)		(187)		4,234				99	09/15/2024	1
3137A5UJ3	FHLMC CMO 2011		09/15/2011	PRINCIPAL RECEIPT	X X X	3,156	3,155.67	3,263	3,263		(107)		(107)		3,156				25	07/15/2025	1FE
3128MJGB0	FHLMC GO 8193		09/15/2011	PRINCIPAL RECEIPT	X X X	4,307	4,306.91	4,508	4,504		(197)		(197)		4,307				171	04/01/2037	1
312940EU9	FHLMC PC GOLD COMB 30 5.000 20		09/15/2011	PRINCIPAL RECEIPT	X X X	6,688	6,688.17	6,916	6,911		(223)		(223)		6,688				226	04/01/2040	1
3137A5LS1	FHLMC REMIC SERIES 3795		09/15/2011	PRINCIPAL RECEIPT	X X X	5,932	5,932.07	6,092			(160)		(160)		5,932				121	03/24/2015	1
3137A8FP8	FLHMC CMO 2011		09/15/2011	PRINCIPAL RECEIPT	X X X	2,157	2,157.03	2,225			(68)		(68)		2,157				20	02/15/2025	1FE
31416TDX4	FN AA9117		09/26/2011	PRINCIPAL RECEIPT	X X X	2,232	2,231.70	2,217	2,218		14		14		2,232				67	07/01/2039	1
31398MAQ9	FNMA 2010-9		09/26/2011	PRINCIPAL RECEIPT	X X X	2,806	2,806.01	2,842	2,839		(33)		(33)		2,806				67	01/25/2024	1
31403DUB3	FNMA P745878		09/26/2011	PRINCIPAL RECEIPT	X X X	7,845	7,845.15	8,273	8,248		(403)		(403)		7,845				289	10/01/2036	1
31410KXY5	FNMA P889995		09/26/2011	PRINCIPAL RECEIPT	X X X	8,124	8,123.78	8,603	8,588		(464)		(464)		8,124				299	09/01/2038	1
31410XGC4	FNMA P900295		09/26/2011	PRINCIPAL RECEIPT	X X X	268	268.40	281	281		(12)		(12)		268				11	09/01/2036	1
31416A4W7	FNMA P994637		09/26/2011	PRINCIPAL RECEIPT	X X X	5,969	5,969.20	6,451			(482)		(482)		5,969				27	11/01/2038	1
31416H4V4	FNMA PAA0835		09/26/2011	PRINCIPAL RECEIPT	X X X	8,368	8,367.96	8,504	8,498		(130)		(130)		8,368				281	01/01/2039	1
31416SWB3	FNMA PAA8741		09/26/2011	PRINCIPAL RECEIPT	X X X	2,139	2,138.91	2,203	2,203		(64)		(64)		2,139				65	07/01/2039	1
31417LBR5	FNMA PAC1847		09/26/2011	PRINCIPAL RECEIPT	X X X	2,315	2,314.81	2,356	2,352		(37)		(37)		2,315				71	09/01/2024	1
31417LD36	FNMA PAC1921		09/26/2011	PRINCIPAL RECEIPT	X X X	2,483	2,482.67	2,501	2,501		(18)		(18)		2,483				67	09/01/2039	1
31417NAQ4	FNMA PAC3614		09/26/2011	PRINCIPAL RECEIPT	X X X	6,026	6,025.91	6,017	6,017		9		9		6,026				154	08/01/2024	1
31417QRZ9	FNMA PAC5003		09/26/2011	PRINCIPAL RECEIPT	X X X	2,664	2,664.00	2,697	2,696		(32)		(32)		2,664				77	01/01/2040	1
31419FD60	FNMA PAE4624 4% 2040		09/26/2011	PRINCIPAL RECEIPT	X X X	1,467	1,466.90	1,431			35		35		1,467				21	10/01/2040	1FE
31412PXC0	FNMA PASS THRU LNG 30 YEAR		09/26/2011	PRINCIPAL RECEIPT	X X X	3,282	3,281.56	3,309	3,307		(26)		(26)		3,282				103	06/01/2039	1
31418UBC7	FNMA PASS-THRU LNG 30 YEAR 4.5		09/26/2011	PRINCIPAL RECEIPT	X X X	2,924	2,923.70	2,971	2,970		(46)		(46)		2,924				92	05/01/2040	1
31371M7H4	FNMA PASS-THRU LNG 30 YEAR 5.0		09/26/2011	PRINCIPAL RECEIPT	X X X	8,864	8,863.93	9,207	9,173		(309)		(309)		8,864				294	02/01/2037	1
36294SYE1	GNM P658709		09/15/2011	PRINCIPAL RECEIPT	X X X	7,902	7,902.40	8,172	8,161		(259)		(259)		7,902				286	05/15/2037	1
38376GTK6	GNMA CMO 2010 102-AB		09/16/2011	PRINCIPAL RECEIPT	X X X	4,114	4,114.09	4,155	4,154		(40)		(40)		4,114				56	07/16/2032	1
38376GVY3	GNMA REMIC TRUST 2010-122 2037		09/16/2011	PRINCIPAL RECEIPT	X X X	372	371.94	380	380		(8)		(8)		372				5	11/16/2037	1
38376GRF9	GNMA REMIC TRUST 2010-71		09/16/2011	PRINCIPAL RECEIPT	X X X	1,299	1,298.54	1,312	1,311		(13)		(13)		1,299				28	03/16/2039	1
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment					X X X	117,501	117,499.40	121,088	101,503		(3,465)		(3,465)		117,501				3,338	X X X	X X X
Bonds - Industrial and Miscellaneous (Unaffiliated)																					
0258M0DA4	AMERICAN EXPRESS CO		09/15/2011	MERRILL LYNCH	X X X	35,411	35,000.00	34,796	34,806		28		28		34,834		577	577	979	09/15/2015	1FE
12189TAY0	BURLINGTON NORTH SANTA FE		09/17/2011	McDonnell	X X X	23,245	20,000.00	20,627	20,529		(51)		(51)		20,478		2,767	2,767	1,008	05/01/2017	2FE
20825CAR5	CONOCOPHILLIPS		08/08/2011	McDonnell	X X X	29,833	25,000.00	26,390	26,217		(74)		(74)		26,142		3,691	3,691	1,477	02/01/2019	1FE
36828QVR0	GE CAP COMLT MTG CMO 2006		09/12/2011	PRINCIPAL RECEIPT	X X X	2,445	2,444.65	2,466	2,464		(20)		(20)		2,445				89	03/10/2044	1
38376GC24	GNMA CMO 2011		09/16/2011	PRINCIPAL RECEIPT	X X X	1,216	1,215.90	1,208			8		8		1,216				11	04/16/2032	1
38376GF21	GNMA CMO 2011		09/16/2011	PRINCIPAL RECEIPT	X X X	695	694.91	703			(8)		(8)		695				8	11/16/2034	1
38376GL57	GNMA CMO 2011		09/16/2011	PRINCIPAL RECEIPT	X X X	902	902.20	907			(5)		(5)		902				8	12/16/2035	1
38376GJ35	GNT 2011-47A CMO 2011		09/16/2011	PRINCIPAL RECEIPT	X X X	753	753.45	760			(6)		(6)		753				6	04/16/2032	1
45687AAE2	INGERSOLL-RAND GL HLD CO		09/15/2011	MERRILL LYNCH	X X X	29,474	25,000.00	28,712	27,697		(535)		(535)		27,162		2,312	2,312	2,210	04/15/2014	2FE
472319AH5	JEFFERIES GROUP INC NEW SR NT		07/12/2011	McDonnell	X X X	38,089	35,000.00	34,651	34,662		12		12		34,674		3,415	3,415	1,805	04/15/2021	2FE
617446HR3	MORGAN STANLEY		07/25/2011	McDonnell	X X X	42,164	40,000.00	41,947	41,268		(322)		(322)		40,946		1,218	1,218	1,926	03/01/2013	1FE
652478BX5	NEWS AMERICA HOLDINGS		07/18/2011	McDonnell	X X X	29,970	25,000.00	28,172	27,645		(214)		(214)		27,431		2,539	2,539	1,522	10/17/2016	2FE
74005PAN4	PRAXAIR INC NT 5.375% 16		09/10/2011	McDonnell	X X X	63,642	55,000.00	60,763	59,896		(528)		(528)		59,368		4,274	4,274	2,562	11/01/2016	1FE
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	297,839	266,011.11	282,102	275,184		(1,715)		(1,715)		277,046		20,793	20,793	13,611	X X X	X X X
8399997 Subtotal - Bonds - Part 4					X X X	568,332	511,402.34	546,774	521,543		(2,108)		(5,648)		536,827		31,505	31,505	20,349	X X X	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X		X X X		X X X		X X X		X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	568,332	511,402.34	546,774	521,543		(2,108)		(5,648)		536,827		31,505	31,505	20,349	X X X	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X		X X X		X X X		X X X		X X X	X X X	X X X	X X X	X X X

SCHEDULE D - PART 4
Show All Long-Term Bonds and Stocks Sold, Redeemed, or Otherwise Disposed of
During the Current Quarter

QE05.1

CUSIP Identification	Description	3 F o r e i g n Date	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Maturity Date	22 NAIC Designation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.							
Common Stock - Industrial and Miscellaneous (Unaffiliated)																					
G1151C101	ACCENTURE PLC SHS		08/12/2011	MERRILL LYNCH	177,000	9,567	XXX	7,772	8,583	(811)			(811)		7,772		1,796	1,796	80	XXX	L
01741R102	ALLEGHENY TECH INC		09/27/2011	MERRILL LYNCH	171,000	6,664	XXX	8,846	9,436	(590)			(590)		8,846		(2,182)	(2,182)	211	XXX	L
02076X102	ALPHA NATURAL RESOURCES		08/12/2011	MERRILL LYNCH	401,000	11,431	XXX	21,681							21,681		(10,249)	(10,249)		XXX	1
023135106	Amazon.Com Inc.		08/01/2011	MERRILL LYNCH	74,000	16,417	XXX	3,707	13,320	(9,613)			(9,613)		3,707		12,710	12,710		XXX	L
03076C106	AMERIPRISE FNL INC		08/30/2011	MERRILL LYNCH	526,000	22,717	XXX	26,796							26,796		(4,079)	(4,079)	83	XXX	1
057224107	BAKER HUGHES INC		08/15/2011	MERRILL LYNCH	84,000	4,892	XXX	5,646							5,646		(753)	(753)	36	XXX	1
055262505	Basf Ag Spons ADR		09/15/2011	MERRILL LYNCH	286,000	16,894	XXX	25,164							25,164		(8,270)	(8,270)	700	XXX	L
072743206	BAYERISCHE MOTORENWERKE		08/09/2011	MERRILL LYNCH	44,000	1,291	XXX	1,199							1,199		92	92	27	XXX	L
097023105	BOEING CO		09/30/2011	MERRILL LYNCH	364,000	22,460	XXX	23,281	23,755	(473)			(473)		23,281		(822)	(822)	271	XXX	L
136385101	CANADIAN NATURAL RES LTD		08/15/2011	MERRILL LYNCH	149,000	5,372	XXX	4,882	6,619	(1,736)			(1,736)		4,882		489	489		XXX	L
149123101	CATERPILLAR INC		08/19/2011	MERRILL LYNCH	206,000	18,548	XXX	16,884	12,363	(3,268)			(3,268)		16,884		1,664	1,664	212	XXX	L
15639K300	CENTRICA PLC		09/26/2011	MERRILL LYNCH	84,000	1,546	XXX	1,771							1,771		(225)	(225)	57	XXX	L
M22465104	CHECK POINT SOFTWARE TECH		08/09/2011	MERRILL LYNCH	433,000	23,447	XXX	15,088	20,031	(4,943)			(4,943)		15,088		8,360	8,360		XXX	L
172967424	CITIGROUP INC COM NEW		08/12/2011	MERRILL LYNCH	1,388,000	41,886	XXX	61,718							61,718		(19,832)	(19,832)	11	XXX	1
177376100	CITRIX SYSTEMS INC		08/16/2011	MERRILL LYNCH	164,000	10,105	XXX	6,743	11,219	(4,477)			(4,477)		6,743		3,363	3,363		XXX	L
192446102	COGNIZANT TECH SOLUTIONS		08/15/2011	MERRILL LYNCH	283,000	19,056	XXX	22,441							22,441		(3,385)	(3,385)		XXX	1
231021106	CUMMINS INC		08/15/2011	MERRILL LYNCH	108,000	9,359	XXX	8,205	11,881	(3,676)			(3,676)		8,205		1,153	1,153	135	XXX	L
292505104	ENCANA		08/09/2011	MERRILL LYNCH	80,000	2,211	XXX	2,597							2,597		(387)	(387)	16	XXX	L
26875P101	EOG RESOURCES INC		09/27/2011	MERRILL LYNCH	93,000	7,307	XXX	9,198	8,501	697			697		9,198		(1,891)	(1,891)	49	XXX	L
302182100	EXPRESS SCRIPTS INC		08/08/2011	MERRILL LYNCH	107,000	5,467	XXX	5,635	5,783	(149)			(149)		5,635		(168)	(168)		XXX	L
307305102	FANUC LTD-UNSP		08/11/2011	MERRILL LYNCH	135,000	4,103	XXX	3,545							3,545		558	558		XXX	L
35671D857	FREPRT-MDMRAN CPR & GLD		09/27/2011	MERRILL LYNCH	201,000	6,479	XXX	9,610	12,069	(2,459)			(2,459)		9,610		(3,131)	(3,131)	244	XXX	L
375558103	GILEAD SCIENCES INC COM		09/30/2011	MERRILL LYNCH	361,000	14,505	XXX	15,208							15,208		(703)	(703)		XXX	L
38141G104	Goldman Sachs Group Inc		08/29/2011	MERRILL LYNCH	80,000	8,843	XXX	13,747	13,453	294			294		13,747		(4,904)	(4,904)	28	XXX	L
404280406	HSBC Holdings PLC Spons ADR New		09/15/2011	MERRILL LYNCH	483,000	18,741	XXX	24,353							24,353		(5,611)	(5,611)	215	XXX	L
452308109	Illinois Tool Works Inc		08/30/2011	MERRILL LYNCH	684,000	30,477	XXX	37,471							37,471		(6,994)	(6,994)	364	XXX	L
453142101	IMPERIAL TOB GRP SPS ADR		08/08/2011	MERRILL LYNCH	23,000	1,566	XXX	1,514							1,514		52	52		XXX	L
456788108	INFOSYS TECH LTD ADR		07/18/2011	MERRILL LYNCH	16,000	985	XXX	1,013							1,013		(29)	(29)	7	XXX	L
459200101	INTERNATIONAL BUSINESS MACHINES CO		08/12/2011	MERRILL LYNCH	49,000	8,212	XXX	6,314	7,191	(878)			(878)		6,314		1,898	1,898	32	XXX	L
46018M104	INTL POWER PLC SP ADR		08/18/2011	MERRILL LYNCH	36,000	1,743	XXX	1,888							1,888		(145)	(145)	58	XXX	L
500458401	KOMATSU NEW NEW SPNSDARD		07/12/2011	MERRILL LYNCH	62,000	1,950	XXX	2,042							2,042		(92)	(92)		XXX	L
534187109	LINCOLN NATL CORP IND		09/15/2011	MERRILL LYNCH	1,109,000	20,311	XXX	31,740	19,105	1,191			1,191		31,740		(11,429)	(11,429)	146	XXX	L
539830109	LOCKHEED MARTIN CORP		08/24/2011	MERRILL LYNCH	415,000	30,160	XXX	31,618	19,785	1,483			1,483		31,618		(1,458)	(1,458)	425	XXX	L
580135101	MCDONALD'S CORP		08/12/2011	MERRILL LYNCH	94,000	7,953	XXX	6,330	7,215	(886)			(886)		6,330		1,623	1,623	115	XXX	L
620097105	MOTOROLA MOBILITY		08/19/2011	MERRILL LYNCH	2,661,000	101,424	XXX	62,578							62,578		38,847	38,847		XXX	1
641069406	NESTLE S A SPONSORED ADR		09/06/2011	MERRILL LYNCH	34,000	2,106	XXX	1,982							1,982		124	124		XXX	L
64110L106	NETFLIX COM INC		09/20/2011	MERRILL LYNCH	67,000	16,426	XXX	8,091	11,772	(3,681)			(3,681)		8,091		8,335	8,335		XXX	L
655664100	NORDSTROM INC		07/01/2011	MERRILL LYNCH	425,000	19,520	XXX	15,258	18,012	(2,754)			(2,754)		15,258		4,263	4,263	196	XXX	L
666807102	NORTHROP GRUMMAN CORP		08/30/2011	MERRILL LYNCH	283,000	14,838	XXX	19,066							19,066		(4,228)	(4,228)	151	XXX	1
670100205	NOVO NORDISK A S ADR		08/09/2011	MERRILL LYNCH	13,000	1,461	XXX	1,642							1,642		(181)	(181)		XXX	L
674599105	OCCIDENTAL PETE CORP CAL		07/06/2011	MERRILL LYNCH	106,000	11,035	XXX	8,351	10,399	(2,047)			(2,047)		8,351		2,683	2,683		XXX	L
71654V408	PETROLEO BRAS VTG SPD ADR		07/14/2011	MERRILL LYNCH	125,000	4,085	XXX	4,530							4,530		(445)	(445)	32	XXX	L
73755L107	POTASH CORP SASKATCHEWAN		08/25/2011	MERRILL LYNCH	555,000	28,546	XXX	26,994	22,141	(2,121)			(2,121)		26,994		1,552	1,552	39	XXX	L
742718109	PROCTER & GAMBLE CO		09/30/2011	MERRILL LYNCH	95,000	6,018	XXX	4,962	6,111	(1,150)			(1,150)		4,962		1,057	1,057	225	XXX	L
773903109	Rockwell Automation Inc.		08/25/2011	MERRILL LYNCH	227,000	12,180	XXX	19,631							19,631		(7,451)	(7,451)	79	XXX	L
774341101	Rockwell Collins Inc		08/15/2011	MERRILL LYNCH	351,000	16,243	XXX	22,516	20,449	2,067			2,067		22,516		(6,273)	(6,273)	228	XXX	L
79466L302	Salesforce.com Inc.		08/02/2011	MERRILL LYNCH	182,000	27,203	XXX	16,801	24,024	(7,223)			(7,223)		16,801		10,402	10,402		XXX	L
82481R106	SHIRE PLC-ADR		09/12/2011	MERRILL LYNCH	45,000	4,294	XXX	4,026							4,026		268	268		XXX	L
G81477104	SINA CORP		08/08/2011	MERRILL LYNCH	161,000	17,308	XXX	14,134							14,134		3,174	3,174		XXX	1
863667101	STRYKER CORPORATION		08/22/2011	MERRILL LYNCH	629,000	30,360	XXX	40,322							40,322		(9,963)	(9,963)	113	XXX	L
867914103	SUNTRUST BKS INC COM		08/30/2011	MERRILL LYNCH	727,000	13,822	XXX	19,939							19,939		(6,117)	(6,117)		XXX	1
874039100	TAIWAN S MANUFCTRING ADR		07/22/2011	MERRILL LYNCH	122,000	1,476	XXX	1,458							1,458		19	19	29	XXX	L
87425E103	TALISMAN ENERGY INC		07/22/2011																		

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stocks Sold, Redeemed, or Otherwise Disposed of
During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Maturity Date	NAIC Designation or Market Indicator (a)
78462F103 ..	UTS SPDR Trust Ser 1	...	07/22/2011	LASALLE	 6,585,000	 871,903	... X X X ...	 855,030	 828,064	 26,966			 26,966		 855,030		 16,873	 16,873	 7,942	. X X X .	L
922908496 ..	VANGUARD 500 INDEX FUND-SIGN	...	07/21/2011	Bank of America	 18,274,390	 1,845,164	... X X X ...	 1,591,000	 1,748,494	 (157,494)			 (157,494)		 1,591,000		 254,164	 254,164	 16,812	. X X X .	L
921937108 ..	VANGUARD TOTAL BOND MARKET	...	07/21/2011	Bank of America	 175,699,037	 1,892,279	... X X X ...	 1,834,487	 1,827,709	 (28,011)			 (28,011)		 1,834,487		 57,792	 57,792	 53,680	. X X X .	L
9299999 Subtotal - Common Stock - Mutual Funds					... X X X ...	 6,983,021	... X X X ...	 6,748,135	 6,736,788	 (23,442)			 (23,442)		 6,748,135		 234,885	 234,885	 105,627	. X X X .	.. X X X .
9799997 Subtotal - Common Stocks - Part 4					... X X X ...	 7,742,364	... X X X ...	 7,525,743	 7,073,056	 (70,161)			 (70,161)		 7,525,743		 216,625	 216,625	 110,262	. X X X .	.. X X X .
9799998 Summary Item from Part 5 for Common Stocks (N/A to Quarterly)					... X X X ...	... X X X ...	... X X X ...	... X X X ...	... X X X ...	... X X X ...	... X X X ...	... X X X ...	... X X X ...	... X X X ...	... X X X ...	... X X X ...	... X X X ...	... X X X ...	... X X X ...	. X X X .	.. X X X .
9799999 Subtotal - Common Stocks					... X X X ...	 7,742,364	... X X X ...	 7,525,743	 7,073,056	 (70,161)			 (70,161)		 7,525,743		 216,625	 216,625	 110,262	. X X X .	.. X X X .
9899999 Subtotal - Preferred and Common Stocks					... X X X ...	 7,742,364	... X X X ...	 7,525,743	 7,073,056	 (70,161)			 (70,161)		 7,525,743		 216,625	 216,625	 110,262	. X X X .	.. X X X .
9999999 Total - Bonds, Preferred and Common Stocks					... X X X ...	 8,310,696	... X X X ...	 8,072,517	 7,594,599	 (72,269)	 (5,648)		 (77,917)		 8,062,570		 248,130	 248,130	 130,611	. X X X .	.. X X X .

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues0.

E06 Schedule DB Part A Section 1 NONE

E07 Schedule DB Part B Section 1 NONE

E08 Schedule DB Part D NONE

E09 Schedule DL - Part 1 - Securities Lending Collateral Assets NONE

E10 Schedule DL - Part 2 - Securities Lending Collateral Assets NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1			2	3	4	5	Book Balance at End of Each Month			9
							During Current Quarter			
					Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date	6	7	8	
Depository			Code	Rate of Interest			First Month	Second Month	Third Month	*
open depositories										
Fifth Third Admin	Cincinnati, OH						(276,864)	(267,808)	(247,299)	X X X
Fifth Third Claims Checking	Cincinnati, OH						(7,549,942)	(8,359,490)	(7,528,323)	X X X
Fifth Third Lockbox	Cincinnati, OH						(865)	617	(200)	X X X
Fifth Third Dedicated Claims Account	Cincinnati, OH						(408,469)	(441,666)	(418,387)	X X X
Bank of America Receipts	Tampa, FL						143,696		166,533	X X X
Bank of America Cash Account	Tampa, FL						50,436	56,000	1,052,180	X X X
Wells Fargo Deferred Compensation	Baton Rouge, LA						255,946	255,946	234,813	X X X
JP Morgan Chase Certificate of Deposit	Baton Rough, LA						100,000			X X X
.....										X X X
.....										X X X
.....										X X X
.....										X X X
.....										X X X
.....										X X X
.....										X X X
0199998 Deposits in	0 depositories that do not exceed the allowable limit in any one depository - open depositories		X X X	X X X						X X X
0199999 Totals - Open Depositories			X X X	X X X			(7,686,062)	(8,756,401)	(6,740,683)	X X X
0299998 Deposits in	0 depositories that do not exceed the allowable limit in any one depository - suspended depositories		X X X	X X X						X X X
0299999 Totals - Suspended Depositories			X X X	X X X						X X X
0399999 Total Cash On Deposit			X X X	X X X			(7,686,062)	(8,756,401)	(6,740,683)	X X X
0499999 Cash in Company's Office			X X X	X X X	X X X	X X X				X X X
0599999 Total Cash			X X X	X X X			(7,686,062)	(8,756,401)	(6,740,683)	X X X

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
NONE							
8699999 Total - Cash Equivalents							



MEDICARE PART D COVERAGE SUPPLEMENT
Net of Reinsurance

NAIC Group Code: 0477		NAIC Company Code: 54402				
		Individual Coverage		Group Coverage		5
		1 Insured	2 Uninsured	3 Insured	4 Uninsured	Total Cash
1.	Premiums Collected		X X X		X X X	
2.	Earned Premiums		X X X		X X X	X X X
3.	Claims Paid		X X X		X X X	
4.	Claims Incurred		X X X		X X X	X X X
5.	Reinsurance Coverage and Low Income Cost Sharing - Claims Paid Net of Reimbursements Applied (a)	X X X		X X X		
6.	Aggregate Policy Reserves - change		X X X		X X X	X X X
7.	Expenses Paid		X X X		X X X	
8.	Expenses Incurred		X X X		X X X	X X X
9.	Underwriting Gain or Loss		X X X		X X X	X X X
10.	Cash Flow Results	X X X	X X X	X X X	X X X	

(a) Uninsured Receivable/Payable with CMS at End of Quarter: \$.....0 due from CMS or \$.....0 due to CMS

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