



HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2011
OF THE CONDITION AND AFFAIRS OF THE

UnitedHealthcare Community Plan of Ohio, Inc.

NAIC Group Code 0707 0707 NAIC Company Code 12323 Employer's ID Number 56-2451429

Organized under the Laws of Ohio, State of Domicile or Port of Entry Ohio

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes No

Incorporated/Organized 03/29/2004 Commenced Business 10/01/2005

Statutory Home Office 9200 Worthington Road, Westerville, OH 43082
(Street and Number) (City or Town, State and Zip Code)

Main Administrative Office 9900 Bren Road East MN008 W345
(Street and Number) Minnetonka, MN 55343, 952-936-1206
(City or Town, State and Zip Code) (Area Code) (Telephone Number)

Mail Address 9900 Bren Road East MN008 W345, Minnetonka, MN 55343
(Street and Number or P.O. Box) (City or Town, State and Zip Code)

Primary Location of Books and Records 9900 Bren Road East MN008 W345
(Street and Number) Minnetonka, MN 55343, 952-936-1206
(City or Town, State and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.uhc.com

Statutory Statement Contact Jeremiah Gerrard Scanlon, 952-936-1206
(Name) jeremiah_scanlon@uhc.com, 952-936-1370
(E-mail Address) (FAX Number)

OFFICERS

President	<u>Jeffrey Sean Corzine</u>	Treasurer	<u>Robert Worth Oberrender</u>
Secretary	<u>Christina Regina Palme-Krizak</u>	Chief Financial Officer	<u>Timothy Ray Binkley</u>

OTHER

Michelle Marie Huntley Dill Assistant Secretary

DIRECTORS OR TRUSTEES

<u>Rodney Charles Armstead</u>	<u>Michael Paul Radu</u>	<u>Kara Jean Rios</u>
--------------------------------	--------------------------	-----------------------

State of _____ State of _____ State of _____
County of _____ County of _____ County of _____

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Jeffrey Sean Corzine
President

Christina Regina Palme-Krizak
Secretary

Timothy Ray Binkley
Chief Financial Officer

Subscribed and sworn to before me this
____ day of _____

Subscribed and sworn to before me this
____ day of _____

Subscribed and sworn to before me this
____ day of _____

a. Is this an original filing?..... Yes No

b. If no,

1. State the amendment number.....
2. Date filed.....
3. Number of pages attached.....

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	64,342,407		64,342,407	57,741,926
2. Stocks:				0
2.1 Preferred stocks			0	0
2.2 Common stocks			0	0
3. Mortgage loans on real estate:				0
3.1 First liens			0	0
3.2 Other than first liens.....			0	0
4. Real estate:				0
4.1 Properties occupied by the company (less \$ encumbrances)			0	0
4.2 Properties held for the production of income (less \$ encumbrances)			0	0
4.3 Properties held for sale (less \$ encumbrances)			0	0
5. Cash (\$ 384,108), cash equivalents (\$ 0) and short-term investments (\$ 36,718,946)	37,103,055		37,103,055	49,731,402
6. Contract loans (including \$ premium notes)			0	0
7. Derivatives			0	0
8. Other invested assets			0	0
9. Receivables for securities	0		0	0
10. Securities lending reinvested collateral assets			0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	101,445,462	0	101,445,462	107,473,328
13. Title plants less \$ charged off (for Title insurers only)			0	0
14. Investment income due and accrued	568,831		568,831	749,159
15. Premiums and considerations:				0
15.1 Uncollected premiums and agents' balances in the course of collection	6,772,900		6,772,900	4,305,283
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ earned but unbilled premiums)			0	0
15.3 Accrued retrospective premiums			0	0
16. Reinsurance:				0
16.1 Amounts recoverable from reinsurers	0		0	0
16.2 Funds held by or deposited with reinsured companies			0	0
16.3 Other amounts receivable under reinsurance contracts			0	0
17. Amounts receivable relating to uninsured plans	7,677		7,677	2,822
18.1 Current federal and foreign income tax recoverable and interest thereon	0		0	4,661,962
18.2 Net deferred tax asset	516,610	0	516,610	544,938
19. Guaranty funds receivable or on deposit			0	0
20. Electronic data processing equipment and software			0	0
21. Furniture and equipment, including health care delivery assets (\$)			0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates			0	0
23. Receivables from parent, subsidiaries and affiliates	3,058	0	3,058	0
24. Health care (\$ 895,707) and other amounts receivable	1,235,088	339,381	895,707	1,778,121
25. Aggregate write-ins for other than invested assets	21,749	21,749	0	120,983
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	110,571,374	361,130	110,210,244	119,636,596
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts			0	0
28. Total (Lines 26 and 27)	110,571,374	361,130	110,210,244	119,636,596
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Prepaid Expenses	21,749	21,749	0	
2502. Franchise Fee Receivable			0	120,983
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	21,749	21,749	0	120,983

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ 333,351 reinsurance ceded)	34,629,442		34,629,442	39,531,598
2. Accrued medical incentive pool and bonus amounts	584,575		584,575	0
3. Unpaid claims adjustment expenses	809,900		809,900	.783,408
4. Aggregate health policy reserves	21,115		21,115	12,196
5. Aggregate life policy reserves			0	0
6. Property/casualty unearned premium reserve			0	0
7. Aggregate health claim reserves	1,451,597		1,451,597	1,460,580
8. Premiums received in advance	0		0	0
9. General expenses due or accrued	6,612,036		6,612,036	6,329,623
10.1 Current federal and foreign income tax payable and interest thereon (including \$ on realized gains (losses))	1,037,091		1,037,091	0
10.2 Net deferred tax liability	0		0	0
11. Ceded reinsurance premiums payable	36,892		36,892	33,894
12. Amounts withheld or retained for the account of others			0	0
13. Remittances and items not allocated	0		0	0
14. Borrowed money (including \$ current) and interest thereon \$ (including \$ current)			0	0
15. Amounts due to parent, subsidiaries and affiliates	0		0	7,238,574
16. Derivatives			0	0
17. Payable for securities	0		0	0
18. Payable for securities lending			0	0
19. Funds held under reinsurance treaties (with \$ authorized reinsurers and \$ unauthorized reinsurers)			0	0
20. Reinsurance in unauthorized companies	0		0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates	0		0	0
22. Liability for amounts held under uninsured plans	17,403		17,403	10,846
23. Aggregate write-ins for other liabilities (including \$ current)	4,558,936	4,584,652	0	4,584,652
24. Total liabilities (Lines 1 to 23)	49,784,704	0	49,784,704	59,212,281
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	0
26. Common capital stock	XXX	XXX	1,000	1,000
27. Preferred capital stock	XXX	XXX		
28. Gross paid in and contributed surplus	XXX	XXX	21,227,057	21,227,057
29. Surplus notes	XXX	XXX		0
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	39,197,483	39,196,258
32. Less treasury stock, at cost:				
32.1 shares common (value included in Line 26 \$)	XXX	XXX		
32.2 shares preferred (value included in Line 27 \$)	XXX	XXX		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	60,425,540	60,424,315
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	110,210,244	119,636,596
DETAILS OF WRITE-INS				
2301. Unearned Pay for Performance (current)	4,557,517		4,557,517	3,797,587
2302. Unclaimed Property (non-current)	25,716		25,716	13,975
2303. RAF Rebate Payable (current)	1,419		1,419	0
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	4,584,652	0	4,584,652	3,811,562
2501.	XXX	XXX		
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	0
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	1,075,634	1,092,016	1,454,833
2. Net premium income (including \$ non-health premium income).....	XXX	305,066,698	310,745,271	411,175,681
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	(8,919)	12,326	17,332
4. Fee-for-service (net of \$ medical expenses).....	XXX			0
5. Risk revenue	XXX			0
6. Aggregate write-ins for other health care related revenues	XXX	0	0	1,944,789
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	305,057,779	310,757,597	413,137,802
Hospital and Medical:				
9. Hospital/medical benefits		185,489,779	176,133,879	236,196,444
10. Other professional services		28,628,949	28,460,236	36,608,507
11. Outside referrals				0
12. Emergency room and out-of-area		18,091,439	16,689,412	21,244,402
13. Prescription drugs		1,300,405	6,155,278	6,906,965
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts		579,944	0	0
16. Subtotal (Lines 9 to 15)	0	234,090,516	227,438,805	300,956,318
Less:				
17. Net reinsurance recoveries		1,320,105	933,514	1,747,662
18. Total hospital and medical (Lines 16 minus 17)	0	232,770,411	226,505,291	299,208,656
19. Non-health claims (net)				
20. Claims adjustment expenses, including \$ 4,611,836 cost containment expenses		5,769,660	8,749,067	7,292,205
21. General administrative expenses		48,659,742	39,231,337	61,500,467
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only)				0
23. Total underwriting deductions (Lines 18 through 22).....	0	287,199,814	274,485,695	368,001,328
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	17,857,966	36,271,902	45,136,474
25. Net investment income earned		1,098,665	310,056	622,895
26. Net realized capital gains (losses) less capital gains tax of \$ 6,713		12,361	(218)	5,160
27. Net investment gains (losses) (Lines 25 plus 26)	0	1,111,026	309,838	628,055
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$) (amount charged off \$)]				
29. Aggregate write-ins for other income or expenses	0	0	0	0
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	18,968,992	36,581,740	45,764,529
31. Federal and foreign income taxes incurred	XXX	6,520,376	12,803,609	13,011,261
32. Net income (loss) (Lines 30 minus 31)	XXX	12,448,616	23,778,131	32,753,268
DETAILS OF WRITE-INS				
0601. Performance Based Revenue	XXX			1,944,789
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	1,944,789
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901.				
2902.				
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	0	0	0

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	60,424,315	60,525,524	60,525,524
34. Net income or (loss) from Line 32	12,448,616	23,778,131	32,753,268
35. Change in valuation basis of aggregate policy and claim reserves			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$			
37. Change in net unrealized foreign exchange capital gain or (loss)	0		
38. Change in net deferred income tax	(28,329)	(71,754)	(3,122,415)
39. Change in nonadmitted assets	80,939	403,923	467,938
40. Change in unauthorized reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles.....			
44. Capital Changes:			
44.1 Paid in	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....			
45. Surplus adjustments:			
45.1 Paid in	0	0	0
45.2 Transferred to capital (Stock Dividend)			
45.3 Transferred from capital			
46. Dividends to stockholders	(12,500,000)	(15,200,000)	(30,200,000)
47. Aggregate write-ins for gains or (losses) in surplus	0	0	0
48. Net change in capital & surplus (Lines 34 to 47)	1,226	8,910,300	(101,209)
49. Capital and surplus end of reporting period (Line 33 plus 48)	60,425,541	69,435,824	60,424,315
DETAILS OF WRITE-INS			
4701.			
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	302,603,498	269,059,210	368,898,724
2. Net investment income	2,097,193	152,591	324,409
3. Miscellaneous income	0	2,652,789	3,517,282
4. Total (Lines 1 to 3)	304,700,691	271,864,590	372,740,415
5. Benefit and loss related payments	236,461,606	228,116,368	305,361,675
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	54,122,506	44,235,686	64,408,354
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ 6,713 tax on capital gains (losses)	828,036	19,121,001	24,022,466
10. Total (Lines 5 through 9)	291,412,147	291,473,055	393,792,496
11. Net cash from operations (Line 4 minus Line 10)	13,288,544	(19,608,464)	(21,052,081)
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	5,039,548	192,520	669,044
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	0	0
12.7 Miscellaneous proceeds	0	299,819	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	5,039,548	492,339	669,044
13. Cost of investments acquired (long-term only):			
13.1 Bonds	12,435,439	43,048,939	55,141,023
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	12,435,439	43,048,939	55,141,023
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(7,395,891)	(42,556,600)	(54,471,979)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0	0
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	12,500,000	15,200,000	30,200,000
16.6 Other cash provided (applied)	(6,020,998)	5,756,675	10,554,821
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(18,520,998)	(9,443,325)	(19,645,179)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(12,628,345)	(71,608,389)	(95,169,238)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	49,731,402	144,900,640	144,900,640
19.2 End of period (Line 18 plus Line 19.1)	37,103,057	73,292,251	49,731,402

Note: Supplemental disclosures of cash flow information for non-cash transactions:

--	--	--	--

STATEMENT AS OF SEPTEMBER 30, 2011 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	119,095	0	0	0	0	0	0	8	119,087	0
2. First Quarter	119,725								119,725	
3. Second Quarter	118,716								118,716	
4. Third Quarter	123,904								123,904	
5. Current Year	0									
6. Current Year Member Months	1,075,634								1,075,634	
Total Member Ambulatory Encounters for Period:										
7. Physician	920,786								920,786	
8. Non-Physician	139,286								139,286	
9. Total	1,060,072	0	0	0	0	0	0	0	1,060,072	0
10. Hospital Patient Days Incurred	54,049								54,049	
11. Number of Inpatient Admissions	12,263								12,263	
12. Health Premiums Written (a)	308,234,840							(4,734)	308,239,574	
13. Life Premiums Direct	0									
14. Property/Casualty Premiums Written	0									
15. Health Premiums Earned	308,225,921							(13,653)	308,239,574	
16. Property/Casualty Premiums Earned	0									
17. Amount Paid for Provision of Health Care Services	238,064,904							20,515	238,044,389	
18. Amount Incurred for Provision of Health Care Services	234,090,516							(36,077)	234,126,593	

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ (4,734)

STATEMENT AS OF SEPTEMBER 30, 2011 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
Doral Dental	287,169					287,169
0199999. Individually listed claims unpaid	287,169	0	0	0	0	287,169
0299999 Aggregate accounts not individually listed-uncovered						0
0399999 Aggregate accounts not individually listed-covered	2,295,429	63,803	3,260			2,362,492
0499999 Subtotals	2,582,598	63,803	3,260	0	0	2,649,661
0599999 Unreported claims and other claim reserves						32,313,132
0699999 Total amounts withheld						0
0799999 Total claims unpaid						34,962,793
0899999 Accrued medical incentive pool and bonus amounts						584,575

STATEMENT AS OF SEPTEMBER 30, 2011 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5	6
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)					0	0
2. Medicare Supplement					0	0
3. Dental Only					0	0
4. Vision Only					0	0
5. Federal Employees Health Benefits Plan					0	0
6. Title XVIII - Medicare	12,151	1,378	(3,061)	0	9,090	52,391
7. Title XIX - Medicaid	37,928,677	197,774,026	536,730	35,547,370	38,465,407	40,189,787
8. Other health					0	0
9. Health subtotal (Lines 1 to 8)	37,940,828	197,775,404	533,669	35,547,370	38,474,497	40,242,178
10. Healthcare receivables (a)	2,217,799	(982,715)			2,217,799	1,870,459
11. Other non-health					0	0
12. Medical incentive pools and bonus amounts	737,232	8,136	12,767	571,808	749,999	750,000
13. Totals (Lines 9-10+11+12)	36,460,261	198,766,255	546,436	36,119,178	37,006,697	39,121,719

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of UnitedHealthcare Community Plan of Ohio, Inc. (the Company) are presented on the basis of accounting practices prescribed or permitted by the Ohio Department of Insurance (the Department).

The Department recognizes only statutory accounting practices, prescribed or permitted by the State of Ohio, for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under Ohio Insurance Law. The state prescribes the use of the National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual (NAIC SAP) in effect for the accounting periods covered in the financial statement.

1-8. No significant differences exist between the statutory practices prescribed or permitted by the State of Ohio and those prescribed or permitted by the NAIC SAP which would materially affect the statutory basis capital and surplus.

Note 2 - Accounting Changes and Corrections of Errors

Effective September 30, 2011, there was an accounting change for Medical Incentive Pool and Bonuses amounts to be recorded separately within the Balance Sheet and Income Statement, Statement of Liabilities, Capital and Surplus and the Statement of Revenue and Expenses. Prior to the change, Medical Incentive Pool and Bonuses accounts were recorded in Claims Unpaid on the Statement of Liabilities, Capital and Surplus and to Hospital and Medical Benefits on the Statement of Revenue and Expenses. As a result of the change, historical values on supplements to the Financial Statements have been moved to reflect the current presentation.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

No significant change.

Note 5 – Investments

A. Mortgage Loans, including Mezzanine Real Estate Loans

No significant change.

B. Debt restructuring.

No significant change.

C. Reverse Mortgages.

No significant change.

D. Loan-Backed Securities

- 1) Prepayment assumptions for single class and multi-class mortgage-backed/asset-backed securities were obtained from an external data source vendor.
- 2) The Company did not recognize other-than-temporary impairments on mortgage-type investments as of September 30, 2011.
- 3) The Company did not have mortgage-type investments with an other-than-temporary impairment to report by CUSIP as of September 30, 2011.
- 4) The table below represents impaired Mortgages, Collateralized Mortgage Obligations and Asset Back Securities - Structured Assets Only for which an other-than-temporary impairment has not been recognized in earnings as of September 30, 2011 (in thousands):

	<u>Less Than 12 Months</u>		<u>12 Months or Greater</u>		<u>Total Unrealized</u>	
	Fair Value	Gross Unrealized Losses	Fair Value	Gross Unrealized Losses	Fair Value	Gross Unrealized Losses
Fixed Income-Mortgage	\$ 2,093	\$ (5)	\$ -	\$ -	\$ 2,093	\$ (5)

NOTES TO FINANCIAL STATEMENTS

5) The Company believes that it will collect all principal and interest due on all investments that have an amortized cost in excess of fair value. The unrealized losses as of September 30, 2011 were primarily caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities.

E. Repurchase Agreements and/or Securities Lending Transactions

No significant change.

F. Real Estate

No significant change.

G. Investments in Low-Income Housing Tax Credits (LIHTC)

No significant change.

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments

No significant change

Note 9 - Income Taxes

No significant change.

Note 10 - Information Concerning Parent, Subsidiaries and Affiliates

No significant change.

Note 11 - Debt

No significant change.

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No significant change.

Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 - Contingencies

No significant change.

Note 15 - Leases

No significant change.

Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change.

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- A. The Company did not have any transfers of receivables reported as sales as of September 30, 2011 or December 31, 2010.
- B. The Company did not have any transfer and servicing of financial assets as of September 30, 2011 or December 31, 2010.
- C. No transactions involving wash sales of securities with an NAIC designation of 3 or below or unrated securities occurred as of September 30, 2011 or the year ended December 31, 2010.

NOTES TO FINANCIAL STATEMENTS

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

Note 20 – Fair Value Measurement

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

Level 1 — Quoted (unadjusted) prices for identical assets in active markets.

Level 2 — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets
- Quoted prices for identical or similar assets in inactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.)
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.)
- Inputs that are derived principally from or corroborated by other observable market data

Level 3 — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds and short-term investments (investments) are based on quoted market prices, where available. The Company obtains one price for each security primarily from a third-party pricing service (pricing service), which generally uses quoted or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to prices reported by its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. Based on the Company's internal price verification procedures and review of fair value methodology documentation provided by independent pricing services, the Company has not historically adjusted the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest level input that is significant to the fair value measurement in its entirety. The Company's assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

The Company does not have any financial assets that are measured and reported at fair value on the statutory basis statements of admitted assets, liabilities, and capital and surplus at September 30, 2011 and December 31, 2010.

Note 21 - Other Items

The Company elected to use rounding in reporting amounts in the notes to statutory basis statements.

Note 22 - Events Subsequent

The Company has an amended contract with the Department related to Pharmacy that will be starting on October 1, 2011.

Effective October 21, 2011, the Company has new capitation rates for the fourth quarter. Included in those rates are pass through revenue, an inpatient PMPM build, attributed to the new Hospital Managed Care Incentive program which is retroactive to July 1, 2011.

NOTES TO FINANCIAL STATEMENTS

Note 23 - Reinsurance

No significant change.

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change.

Note 25 - Change in Incurred Losses and Loss Adjustment Expenses

Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the accompanying statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in the claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves and health care receivables at September 30, 2011 and December 31, 2010 (in thousands):

	2011		
	Current Year	Prior Years	Total
	Incurred Claims	Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (40,992)	\$ (40,992)
Paid claims, net of health care receivables collected and reinsurance recoveries collected	197,784	38,678	236,462
End of year claim reserve	<u>36,119</u>	<u>546</u>	<u>36,665</u>
Incurred claims excluding the change in health care receivables as presented below	233,903	(1,768)	232,135
Beginning of year health care receivables	-	1,870	1,870
End of year health care receivables	<u>983</u>	<u>(2,218)</u>	<u>(1,235)</u>
Total incurred claims	<u>\$ 234,886</u>	<u>\$ (2,116)</u>	<u>\$ 232,770</u>

	2010		
	Current Year	Prior Years	Total
	Incurred Claims	Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (51,742)	\$ (51,742)
Paid claims, net of health care receivables and reinsurance recoveries collected	274,540	35,419	309,959
End of year claim reserve	<u>40,825</u>	<u>167</u>	<u>40,992</u>
Incurred claims excluding the change in health care receivables as presented below	315,365	(16,156)	299,209
Beginning of year health care receivables	-	-	-
End of year health care receivables	<u>(1,888)</u>	<u>(328)</u>	<u>(2,216)</u>
Total incurred claims	<u>\$ 313,477</u>	<u>\$ (16,484)</u>	<u>\$ 296,993</u>

The liability for claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves and health care receivables as of December 31, 2010 were approximately \$39,122,000. As of September 30, 2011, approximately \$38,678,000 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years are now approximately (\$1,672,000) as a result of re-estimation of unpaid claims. Therefore, there has been approximately \$2,116,000 favorable prior-year development since December 31, 2010 to September 30, 2011. The primary drivers consist of favorable development as a result of ongoing analysis of loss development trends related to the release and reestablishment of approximately \$2,380,000 in known environmental claims. At December 31, 2010, the Company recorded approximately \$16,484,000 of favorable development related to insured events of prior years primarily as a result of ongoing analysis of loss development trends and changes to the provider settlement reserves. Original estimates are increased or decreased, as additional information becomes known regarding individual claims. Included in this favorable development is the impact related to retrospectively rated policies. As a result of the prior-year effects, on a regular basis, the Company adjusts revenue and the corresponding liability and/or receivable related to retrospectively rated policies and the impact of the change is included as a

NOTES TO FINANCIAL STATEMENTS

component of change in unearned premium reserves and reserve for rate credits in the statutory basis statements of operations.

The Company incurred claims adjustment expenses (CAE) of approximately \$5,770,000 and \$7,292,000 as of September 30, 2011 and December 31, 2010, respectively. These costs are included in the management service fees paid by the Company to UHS as a part of its management agreement.

The following tables disclose paid CAE, incurred CAE, and the balance in the unpaid CAE reserve as of September 30, 2011 and December 31, 2010 (in thousands):

	2011	2010
Total claims adjustment expenses incurred	\$ 5,770	\$ 7,292
Less current year unpaid claims adjustment expenses	(810)	(783)
Add prior year unpaid claims adjustment expenses	<u>783</u>	<u>947</u>
 Total claims adjustment expenses paid	 <u>\$ 5,743</u>	 <u>\$ 7,456</u>

Note 26 - Intercompany Pooling Arrangements

No significant change.

Note 27 - Structured Settlements

No significant change.

Note 28 - Health Care Receivables

Pharmacy rebates receivable are recorded when reasonably estimated or billed by the pharmaceutical benefit manager in accordance with pharmacy rebate contract provisions. Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmaceutical benefit manager and adjusted for significant changes in pharmaceutical contract provisions.

The Company has excluded receivables that do not meet the admissibility criteria from the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus.

Note 29 - Participating Policies

No significant change.

Note 30 - Premium Deficiency Reserves

No significant change.

Note 31 – Anticipated Salvage and Subrogation

No significant change.

STATEMENT AS OF SEPTEMBER 30, 2011 OF THE UnitedHealthcare Community Plan of Ohio, Inc.
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No []

1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No []

2.2 If yes, date of change: 04/01/2011

3. Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No []
 If yes, complete the Schedule Y - Part 1 - organizational chart.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No []

4.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
OptumHealth Bank, Inc.		

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [] No [] N/A []
 If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2009

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2009

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 01/01/2011

6.4 By what department or departments?
 Ohio Department of Insurance

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A []

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] N/A []

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No []

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No []

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No []

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Office of Thrift Supervision (OTS), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 OTS	6 FDIC	7 SEC
OptumHealth Bank, Inc.	Salt Lake City, Utah	NO	NO	NO	YES	NO

STATEMENT AS OF SEPTEMBER 30, 2011 OF THE UnitedHealthcare Community Plan of Ohio, Inc.
GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [] No []
 (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 (c) Compliance with applicable governmental laws, rules and regulations;
 (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [] No []

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
 UnitedHealth Group's previous code of conduct ("The Principles of Ethics & Integrity") was somewhat outdated and had not been substantially revised in many years. In 2010, the Company's compliance and ethics team began the process of researching best practices and benchmarking our code against other companies' codes. On May 24, 2011, the UnitedHealth Group Board of Directors adopted the newly revised "Code of Conduct: Our Principles of Ethics & Integrity." The Code covers all employees at all levels. The topics covered in the new Code are not considerably different, but the material is now much more user-friendly, intuitive and helpful in both aesthetics and content.

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No []

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No []
 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No []
 11.2 If yes, give full and complete information relating thereto:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$ 0
14.22 Preferred Stock	\$	\$ 0
14.23 Common Stock	\$	\$ 0
14.24 Short-Term Investments	\$	\$ 0
14.25 Mortgage Loans on Real Estate	\$	\$ 0
14.26 All Other	\$	\$ 0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$	\$ 0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No []
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []
 If no, attach a description with this statement.

STATEMENT AS OF SEPTEMBER 30, 2011 OF THE UnitedHealthcare Community Plan of Ohio, Inc.
GENERAL INTERROGATORIES

16. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []

16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
State Street Bank	801 Pennsylvania, Kansas City, MO 64105

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

16.3 Have there been any changes, including name changes, in the custodian(s) identified in 16.1 during the current quarter? Yes [X] No []

16.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
State Street Bank	Northern Trust	07/27/2011	Economics

16.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
113972	Standish Mellon Asset Management Company	201 Washington Street Suite 2900 Boston, MA 02108-4408
N/A	Internally Managed	N/A

17.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No []

17.2 If no, list exceptions:

GENERAL INTERROGATORIES

PART 2 - HEALTH

1. Operating Percentages:

1.1 A&H loss percent 77.8 %

1.2 A&H cost containment percent 1.5 %

1.3 A&H expense percent excluding cost containment expenses 16.3 %

2.1 Do you act as a custodian for health savings accounts? Yes [] No [X]

2.2 If yes, please provide the amount of custodial funds held as of the reporting date \$.....

2.3 Do you act as an administrator for health savings accounts? Yes [] No [X]

2.4 If yes, please provide the balance of the funds administered as of the reporting date \$.....

STATEMENT AS OF SEPTEMBER 30, 2011 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

NONE

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

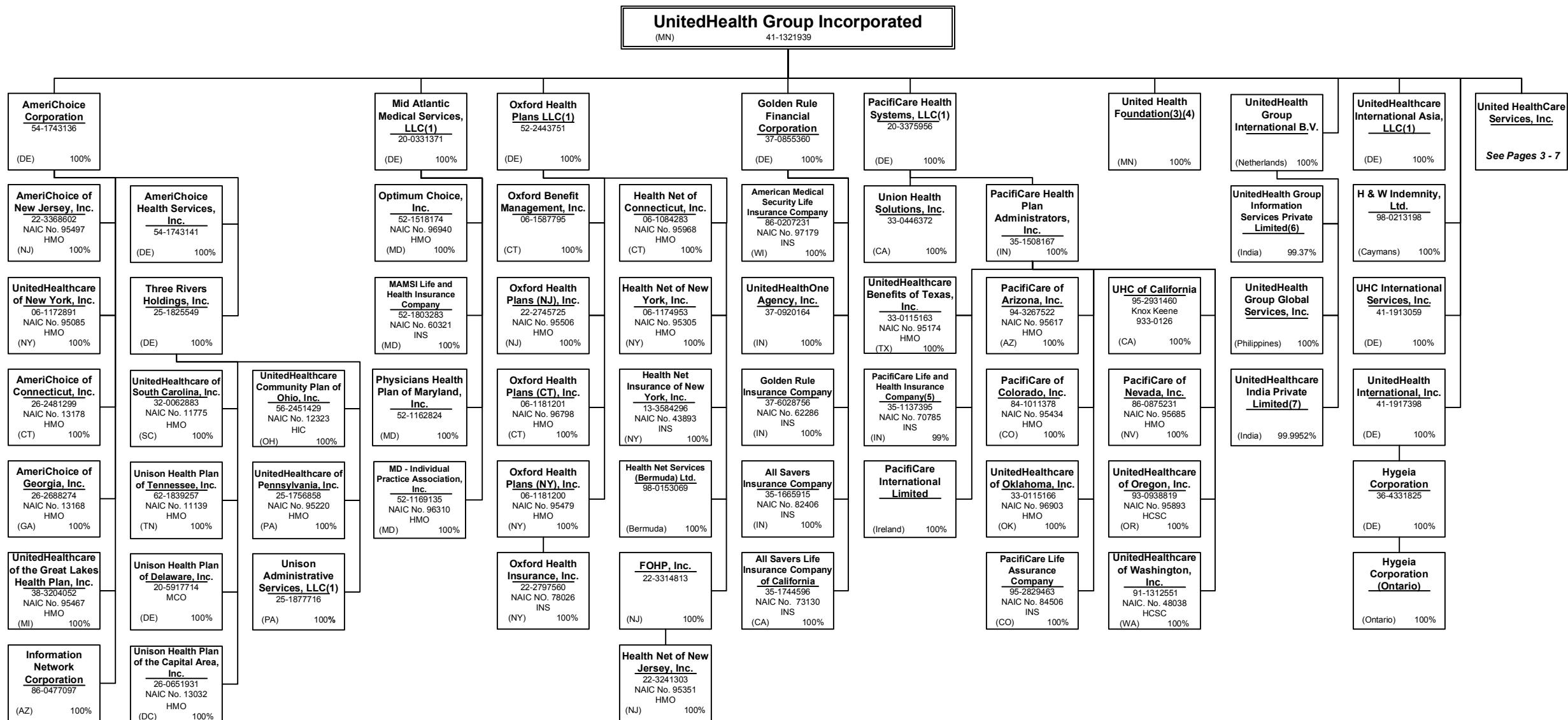
States, etc.	1 Active Status	Direct Business Only							
		2 Accident and Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life and Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts
1. Alabama	AL	N						0	
2. Alaska	AK	N						0	
3. Arizona	AZ	N						0	
4. Arkansas	AR	N						0	
5. California	CA	N						0	
6. Colorado	CO	N						0	
7. Connecticut	CT	N						0	
8. Delaware	DE	N						0	
9. District of Columbia	DC	N						0	
10. Florida	FL	N						0	
11. Georgia	GA	N						0	
12. Hawaii	HI	N						0	
13. Idaho	ID	N						0	
14. Illinois	IL	N						0	
15. Indiana	IN	N						0	
16. Iowa	IA	N						0	
17. Kansas	KS	N						0	
18. Kentucky	KY	N						0	
19. Louisiana	LA	N						0	
20. Maine	ME	N						0	
21. Maryland	MD	N						0	
22. Massachusetts	MA	N						0	
23. Michigan	MI	N						0	
24. Minnesota	MN	N						0	
25. Mississippi	MS	N						0	
26. Missouri	MO	N						0	
27. Montana	MT	N						0	
28. Nebraska	NE	N						0	
29. Nevada	NV	N						0	
30. New Hampshire	NH	N						0	
31. New Jersey	NJ	N						0	
32. New Mexico	NM	N						0	
33. New York	NY	N						0	
34. North Carolina	NC	N						0	
35. North Dakota	ND	N						0	
36. Ohio	OH	L	(4,734)	308,239,574				308,234,840	
37. Oklahoma	OK	N						0	
38. Oregon	OR	N						0	
39. Pennsylvania	PA	N						0	
40. Rhode Island	RI	N						0	
41. South Carolina	SC	N						0	
42. South Dakota	SD	N						0	
43. Tennessee	TN	N						0	
44. Texas	TX	N						0	
45. Utah	UT	N						0	
46. Vermont	VT	N						0	
47. Virginia	VA	N						0	
48. Washington	WA	N						0	
49. West Virginia	WV	N						0	
50. Wisconsin	WI	N						0	
51. Wyoming	WY	N						0	
52. American Samoa	AS	N						0	
53. Guam	GU	N						0	
54. Puerto Rico	PR	N						0	
55. U.S. Virgin Islands	VI	N						0	
56. Northern Mariana Islands	MP	N						0	
57. Canada	CN	N						0	
58. Aggregate Other Aliens	OT	XXX	0	0	0	0	0	0	0
59. Subtotal		XXX	0	(4,734)	308,239,574	0	0	308,234,840	0
60. Reporting Entity Contributions for Employee Benefit Plans		XXX						0	
61. Totals (Direct Business)	(a)	1	0	(4,734)	308,239,574	0	0	308,234,840	0
DETAILS OF WRITE-INS									
5801.		XXX							
5802.		XXX							
5803.		XXX							
5898. Summary of remaining write-ins for Line 58 from overflow page		XXX	0	0	0	0	0	0	0
5899. Totals (Lines 5801 through 5803 plus 5898)(Line 58 above)		XXX	0	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

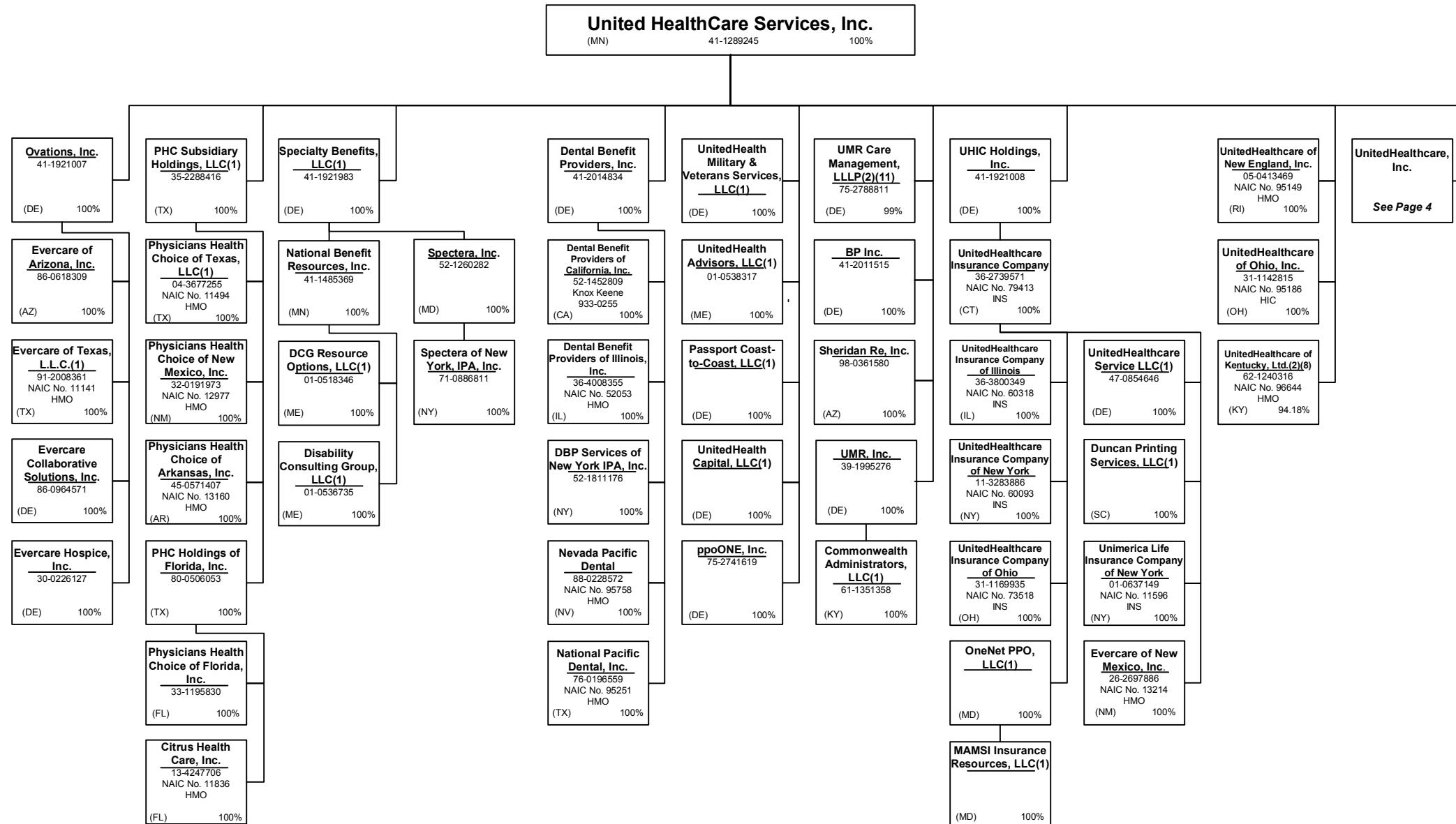
(a) Insert the number of L responses except for Canada and Other Alien.

Premiums are allocated by state based on geographic market.

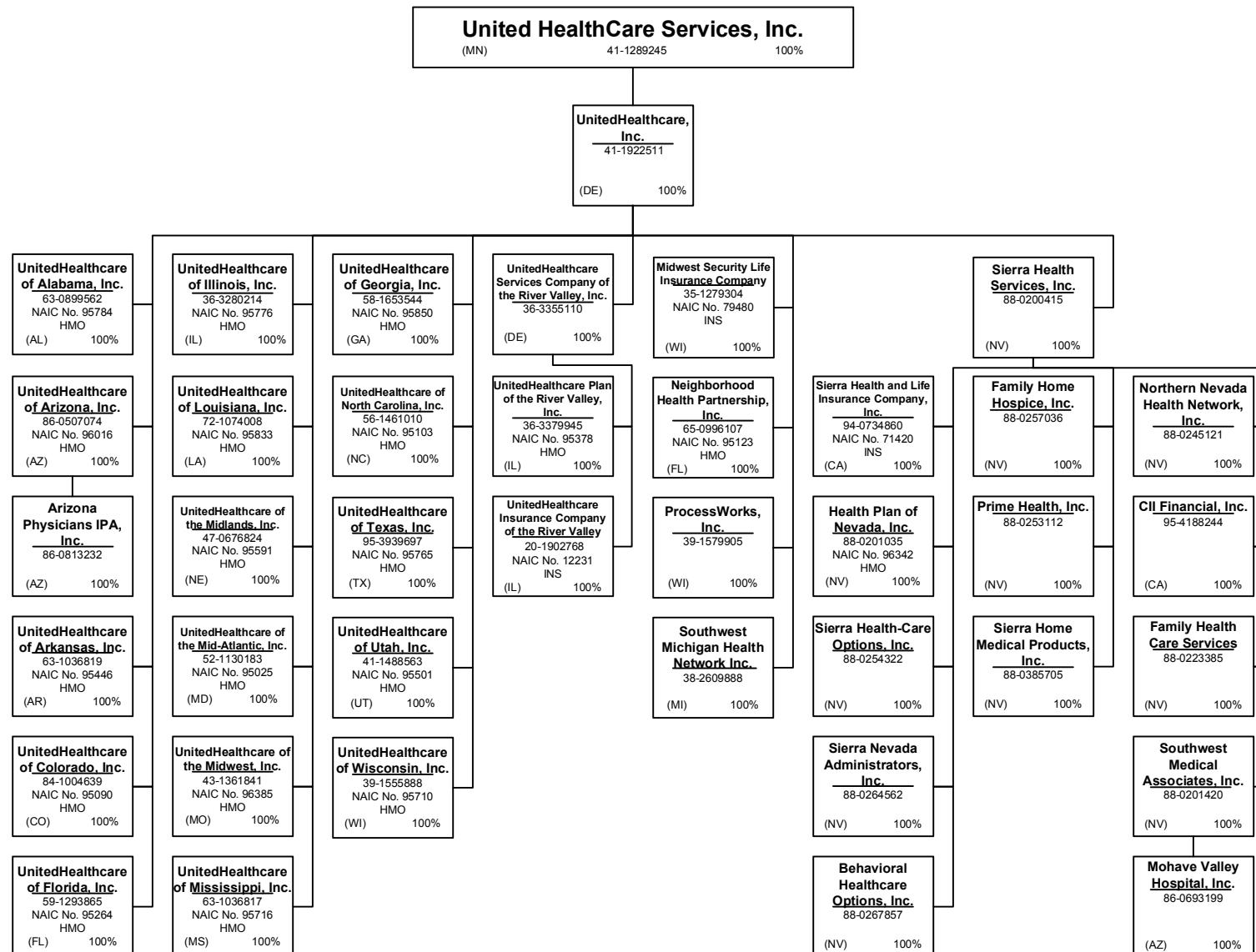
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



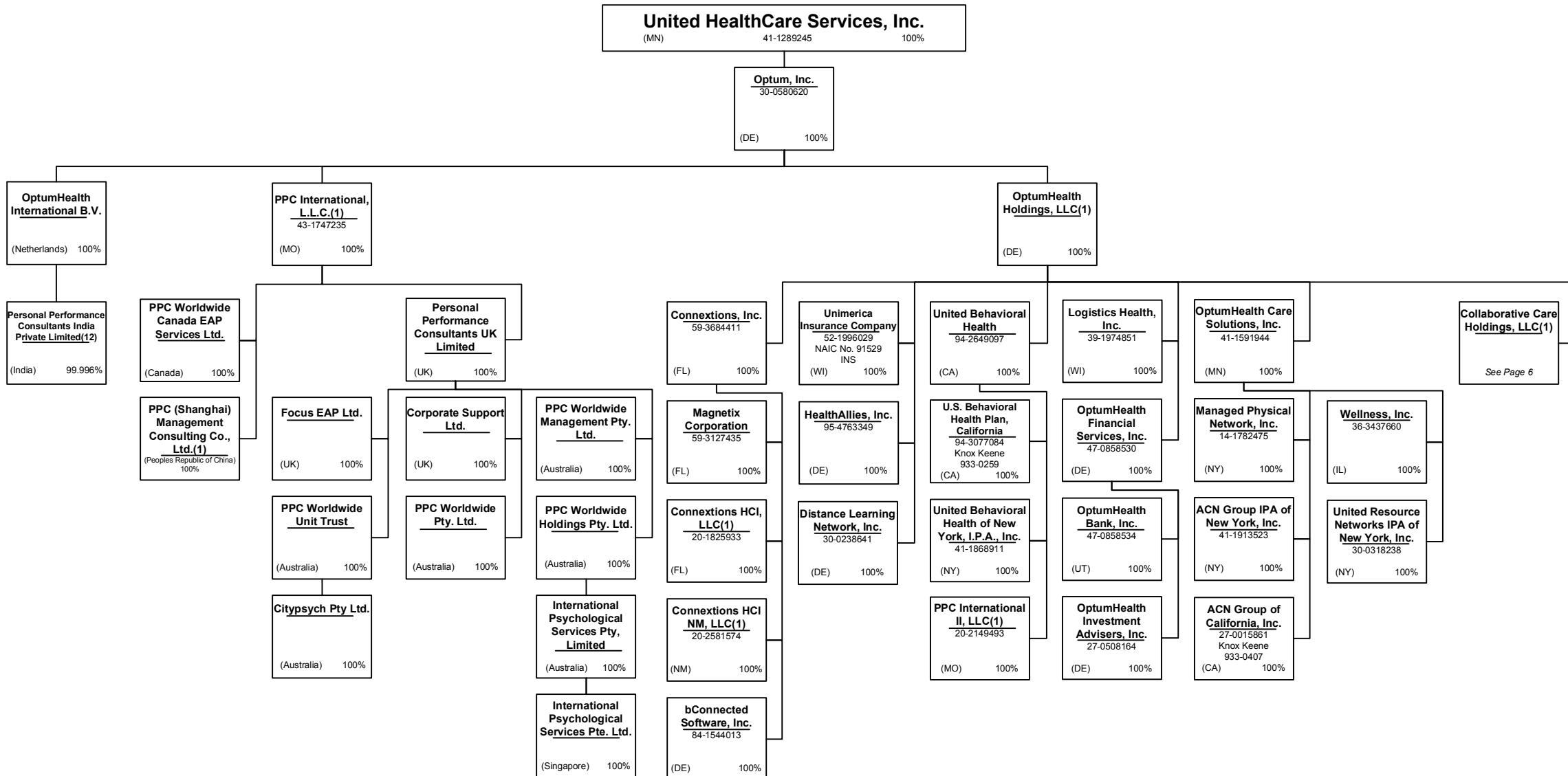
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



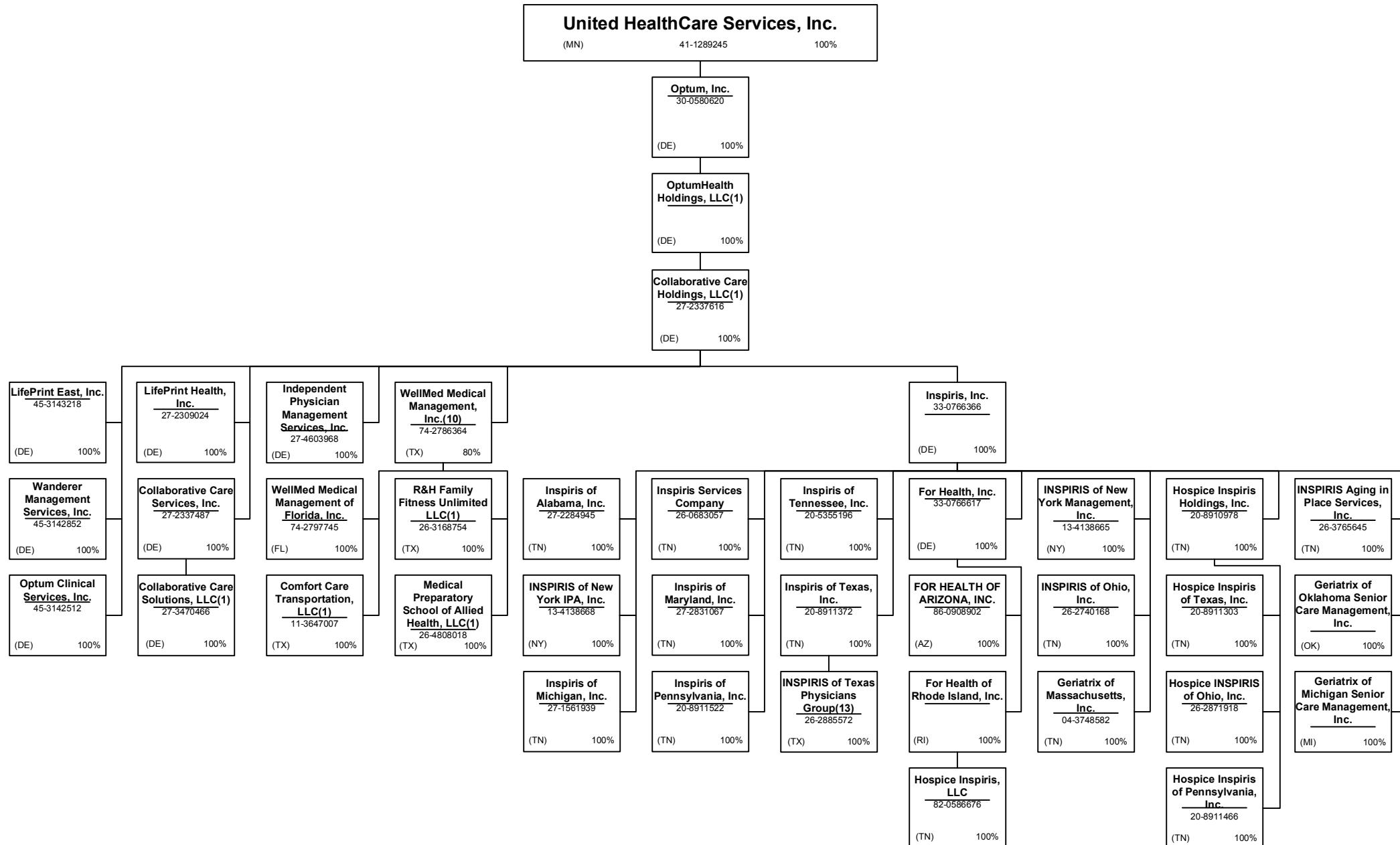
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

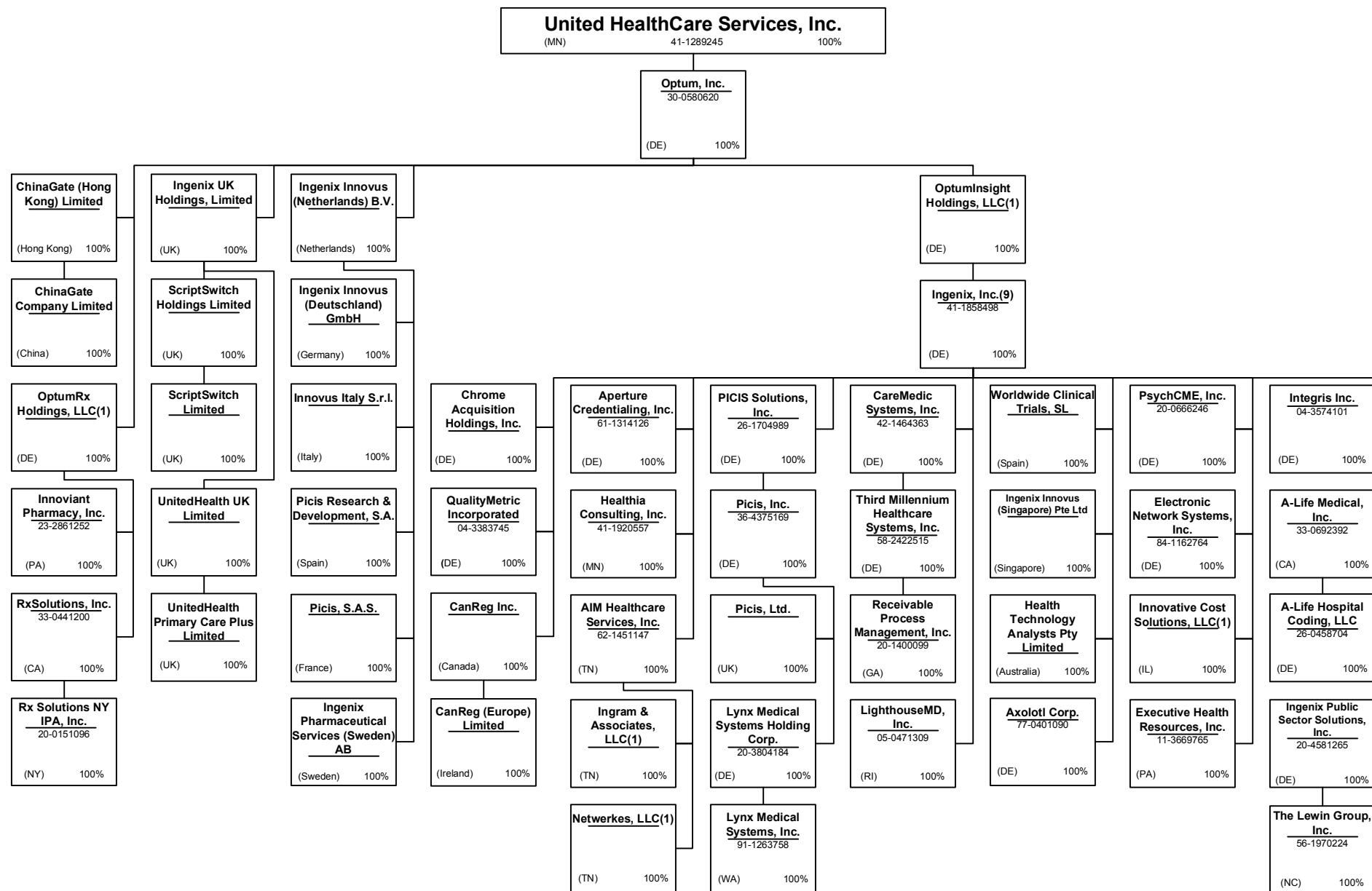


SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (1) Entity is a Limited Liability Company
- (2) Entity is a Partnership
- (3) Entity is a Non-Profit Corporation
- (4) Control of the Foundation is based on sole membership, not the ownership of voting securities
- (5) PacifiCare Life and Health Insurance Company is 99% owned by PacifiCare Health Plan Administrators, Inc. and 1% owned by PacifiCare Health Systems, LLC
- (6) UnitedHealth Group Information Services Private Limited is 99.37% owned by UnitedHealth Group International B.V.. The remaining 0.63% is owned by UnitedHealth International, Inc.
- (7) United Healthcare India Private Limited is 99.9952% owned by UnitedHealth Group International B.V. and 0.0048% owned by UnitedHealth International, Inc.
- (8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.
- (9) Established a branch, Ingenix, Inc. – Abu Dhabi, located in Abu Dhabi, UAE.
- (10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.
- (11) Limited partnership interest is held by United HealthCare Services, Inc. (99%). General partnership interest is held by UMR, Inc. (1%)
- (12) Personal Performance Consultants India Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.
- (13) INSPIRIS of Texas Physicians Group is a Texas non-profit (taxable) whose sole member is Inspiris of Texas, Inc.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response _____

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

NO

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



STATEMENT AS OF SEPTEMBER 30, 2011 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

NONE**SCHEDULE B - VERIFICATION**

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE**SCHEDULE BA - VERIFICATION**

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

NONE**SCHEDULE D - VERIFICATION**

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	57,741,934	3,647,756
2. Cost of bonds and stocks acquired	12,435,439	55,141,023
3. Accrual of discount	842	193
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	19,072	7,940
6. Deduct consideration for bonds and stocks disposed of	5,039,548	669,044
7. Deduct amortization of premium	815,332	385,934
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	64,342,407	57,741,934
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	64,342,407	57,741,934

STATEMENT AS OF SEPTEMBER 30, 2011 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a)	89,181,578	88,036,450	80,995,218	(111,228)	134,473,306	89,181,578	96,111,582	103,709,593
2. Class 2 (a)	5,118,236	1,036,306	1,036,306	(168,465)	5,257,798	5,118,236	4,949,771	5,011,398
3. Class 3 (a)	0	0	0	0	0	0	0	0
4. Class 4 (a)	0	0	0	0	1,067,751	0	0	0
5. Class 5 (a)	0	0	0	0	0	0	0	0
6. Class 6 (a)	0	0	0	0	0	0	0	0
7. Total Bonds	94,299,814	89,072,756	82,031,524	(279,693)	140,798,855	94,299,814	101,061,353	108,720,991
PREFERRED STOCK								
8. Class 1	0	0	0	0	0	0	0	0
9. Class 2	0	0	0	0	0	0	0	0
10. Class 3	0	0	0	0	0	0	0	0
11. Class 4	0	0	0	0	0	0	0	0
12. Class 5	0	0	0	0	0	0	0	0
13. Class 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	94,299,814	89,072,756	82,031,524	(279,693)	140,798,855	94,299,814	101,061,353	108,720,991

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$0 ; NAIC 2 \$0 ; NAIC 3 \$0 ;

NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

SI02

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year-to-Date	5 Paid for Accrued Interest Year-to-Date
9199999 Totals	36,718,946	XXX	36,718,946	5,593	0

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	45,470,221	100,098,900
2. Cost of short-term investments acquired	294,649,403	501,634,215
3. Accrual of discount	0	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	303,400,678	556,262,894
7. Deduct amortization of premium	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	36,718,946	45,470,221
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	36,718,946	45,470,221

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards
N O N E

Schedule DB - Part B - Verification - Futures Contracts
N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open
N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open
N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives
N O N E

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	5,508,841	0
2. Cost of cash equivalents acquired	999,963	58,506,722
3. Accrual of discount	36	2,750
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	6,500,000	53,000,000
7. Deduct amortization of premium	8,840	631
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	5,508,841
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	5,508,841

Schedule A - Part 2 - Real Estate Acquired and Additions Made
N O N E

Schedule A - Part 3 - Real Estate Disposed
N O N E

Schedule B - Part 2 - Mortgage Loans Acquired
N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid
N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired
N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid
N O N E

STATEMENT AS OF SEPTEMBER 30, 2011 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Desig- nation or Market Indicator (a)
3128PV-2L-3	FHLNC Pool J16179 MBS 3.500% 07/01/2609/15/2011	Citigroup	270,104	257,779		.451	1.....
3128PV-ZN-3	FHLNC Pool J16149 MBS 3.500% 07/01/2609/15/2011	Montgomery Securities	119,512	113,821		.199	1.....
3138AM-3U-7	FNMA Pool A18010 MBS 3.500% 08/01/2609/15/2011	Goldman Sachs	304,221	288,574		.505	1.....
455057-XG-9	IN Fin Auth Rev Bond Non Call 5.000% 02/01/1908/17/2011	RBC Capital Markets	717,844	595,000		.1,735	1FE.....
745190-3A-4	Puerto Rico Comwlth Hwy Auth Rev Bond Non Call 5.000% 07/01/1309/30/2011	Tax Free Exchange	233,169	.225,000		.2,781	2FE.....
745190-3B-2	Puerto Rico Comwlth Hwy Auth Rev Bond Non Call 5.000% 07/01/1309/30/2011	Tax Free Exchange	803,137	.775,000		.9,580	2FE.....
88278P-MA-9	TX St Univ Sys Rev Bond Non Call 5.000% 03/15/1609/22/2011	National Financial Services Co	291,550	.250,000		.417	1FE.....
92774G-FD-2	Virginia Beach VA Dev Auth Rev Bond Non Call 5.000% 08/01/1607/07/2011	DEPFA First Albany	584,575	.500,000		.11,181	1FE.....
3199999. Bonds - U.S. Special Revenues					3,324,112	3,005,174		26,849	XXX
8399997. Total - Bonds - Part 3					3,324,112	3,005,174		26,849	XXX
8399998. Total - Bonds - Part 5					XXX	XXX		XXX	XXX
8399999. Total - Bonds					3,324,112	3,005,174		26,849	XXX
8999997. Total - Preferred Stocks - Part 3					0	XXX		0	XXX
8999998. Total - Preferred Stocks - Part 5					XXX	XXX		XXX	XXX
8999999. Total - Preferred Stocks					0	XXX		0	XXX
9799997. Total - Common Stocks - Part 3					0	XXX		0	XXX
9799998. Total - Common Stocks - Part 5					XXX	XXX		XXX	XXX
9799999. Total - Common Stocks					0	XXX		0	XXX
9899999. Total - Preferred and Common Stocks					0	XXX		0	XXX
9999999 - Totals					3,324,112	XXX		26,849	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues

STATEMENT AS OF SEPTEMBER 30, 2011 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Maturity Date	22 NAIC Design- ation or Market In- dicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value								
.362045-GC-7	GNMA Pool 719395 MBS 4.000% 07/15/25		07/01/2011	Paydown		4,267	4,267	4,493	4,487	0	(220)	0	(220)	0	0	4,267	0	0	0	100	07/15/2025	1.....
.362045-GC-7	GNMA Pool 719395 MBS 4.000% 07/15/25		08/01/2011	Paydown		4,681	4,681	4,929	4,922	0	(242)	0	(242)	0	0	4,681	0	0	0	125	07/15/2025	1.....
.362045-GC-7	GNMA Pool 719395 MBS 4.000% 07/15/25		09/01/2011	Paydown		4,134	4,134	4,353	4,347	0	(213)	0	(213)	0	0	4,134	0	0	0	124	07/15/2025	1.....
.362045-GD-5	GNMA Pool 719396 MBS 4.500% 07/15/25		07/01/2011	Paydown		34,461	34,461	36,761	36,695	0	(2,234)	0	(2,234)	0	0	34,461	0	0	0	905	07/15/2025	1.....
.362045-GD-5	GNMA Pool 719396 MBS 4.500% 07/15/25		08/01/2011	Paydown		4,299	4,299	4,586	4,578	0	(279)	0	(279)	0	0	4,299	0	0	0	129	07/15/2025	1.....
.362045-GD-5	GNMA Pool 719396 MBS 4.500% 07/15/25		09/01/2011	Paydown		54,891	54,891	58,553	58,448	0	(3,558)	0	(3,558)	0	0	54,891	0	0	0	1,853	07/15/2025	1.....
0599999. Bonds - U.S. Governments						106,733	106,733	113,675	113,477	0	(6,746)	0	(6,746)	0	0	106,733	0	0	0	3,236	XXX	XXX
OPEN DEPOSITORY																						
.3128PQ-LF-6	FHLMC Pool J11226 MBS 5.000% 11/01/24		07/01/2011	Paydown		2,572	2,572	2,743	2,741	0	(169)	0	(169)	0	0	2,572	0	0	0	75	11/01/2024	1.....
.3128PQ-LF-6	FHLMC Pool J11226 MBS 5.000% 11/01/24		08/01/2011	Paydown		2,663	2,663	2,840	2,838	0	(175)	0	(175)	0	0	2,663	0	0	0	89	11/01/2024	1.....
.3128PQ-LF-6	FHLMC Pool J11226 MBS 5.000% 11/01/24		09/01/2011	Paydown		2,583	2,583	2,754	2,752	0	(170)	0	(170)	0	0	2,583	0	0	0	97	11/01/2024	1.....
.3128PR-LN-7	FHLMC Pool J12133 MBS 4.000% 05/01/25		07/01/2011	Paydown		7,810	7,810	8,177	8,170	0	(360)	0	(360)	0	0	7,810	0	0	0	182	05/01/2025	1.....
.3128PR-LN-7	FHLMC Pool J12133 MBS 4.000% 05/01/25		08/01/2011	Paydown		7,996	7,996	8,372	8,365	0	(369)	0	(369)	0	0	7,996	0	0	0	213	05/01/2025	1.....
.3128PR-LN-7	FHLMC Pool J12133 MBS 4.000% 05/01/25		09/01/2011	Paydown		8,777	8,777	9,190	9,182	0	(405)	0	(405)	0	0	8,777	0	0	0	263	05/01/2025	1.....
.3128PT-FL-4	FHLMC Pool J13771 MBS 3.500% 12/01/25		07/01/2011	Paydown		551	551	555	550	0	(4)	0	(4)	0	0	551	0	0	0	10	12/01/2025	1.....
.3128PT-FL-4	FHLMC Pool J13771 MBS 3.500% 12/01/25		08/01/2011	Paydown		533	533	537	530	0	(4)	0	(4)	0	0	533	0	0	0	11	12/01/2025	1.....
.3128PT-FL-4	FHLMC Pool J13771 MBS 3.500% 12/01/25		09/01/2011	Paydown		551	551	555	550	0	(4)	0	(4)	0	0	551	0	0	0	13	12/01/2025	1.....
.3128PT-NF-9	FHLMC Pool J13958 MBS 4.000% 12/01/25		07/01/2011	Paydown		1,865	1,865	1,926	1,925	0	(61)	0	(61)	0	0	1,865	0	0	0	44	12/01/2025	1.....
.3128PT-NF-9	FHLMC Pool J13958 MBS 4.000% 12/01/25		08/01/2011	Paydown		1,783	1,783	1,841	1,841	0	(58)	0	(58)	0	0	1,783	0	0	0	48	12/01/2025	1.....
.3128PT-NF-9	FHLMC Pool J13958 MBS 4.000% 12/01/25		09/01/2011	Paydown		1,633	1,633	1,687	1,686	0	(53)	0	(53)	0	0	1,633	0	0	0	49	12/01/2025	1.....
.3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		07/01/2011	Paydown		397	397	418	418	0	(20)	0	(20)	0	0	397	0	0	0	1	06/01/2026	1.....
.3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		08/01/2011	Paydown		628	628	660	660	0	(32)	0	(32)	0	0	628	0	0	0	4	06/01/2026	1.....
.3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		09/01/2011	Paydown		637	637	670	670	0	(33)	0	(33)	0	0	637	0	0	0	6	06/01/2026	1.....
.3138AD-FS-9	FNMA Pool A10176 MBS 4.500% 04/01/26		07/01/2011	Paydown		2,556	2,556	2,689	2,689	0	(132)	0	(132)	0	0	2,556	0	0	0	29	04/01/2026	1.....
.3138AD-FS-9	FNMA Pool A10176 MBS 4.500% 04/01/26		08/01/2011	Paydown		2,551	2,551	2,683	2,683	0	(132)	0	(132)	0	0	2,551	0	0	0	38	04/01/2026	1.....
.3138AD-FS-9	FNMA Pool A10176 MBS 4.500% 04/01/26		09/01/2011	Paydown		2,625	2,625	2,761	2,761	0	(136)	0	(136)	0	0	2,625	0	0	0	49	04/01/2026	1.....
.3138AG-XV-5	FNMA Pool A13391 MBS 4.000% 06/01/26		07/01/2011	Paydown		245	245	257	257	0	(12)	0	(12)	0	0	245	0	0	0	1	06/01/2026	1.....
.3138AG-XV-5	FNMA Pool A13391 MBS 4.000% 06/01/26		08/01/2011	Paydown		221	221	231	231	0	(11)	0	(11)	0	0	221	0	0	0	1	06/01/2026	1.....
.3138AG-XV-5	FNMA Pool A13391 MBS 4.000% 06/01/26		09/01/2011	Paydown		205	205	215	215	0	(10)	0	(10)	0	0	205	0	0	0	2	06/01/2026	1.....
.3138AH-ZC-3	FNMA Pool A14338 MBS 4.000% 06/01/26		07/01/2011	Paydown		2,250	2,250	2,369	2,369	0	(118)	0	(118)	0	0	2,250	0	0	0	8	06/01/2026	1.....
.3138AH-ZC-3	FNMA Pool A14338 MBS 4.000% 06/01/26		08/01/2011	Paydown		2,147	2,147	2,260	2,260	0	(113)	0	(113)	0	0	2,147	0	0	0	14	06/01/2026	1.....
.3138AH-ZC-3	FNMA Pool A14338 MBS 4.000% 06/01/26		09/01/2011	Paydown		2,328	2,328	2,450	2,450	0	(122)	0	(122)	0	0	2,328	0	0	0	23	06/01/2026	1.....
.3138AK-L5-6	FHLMC Pool A15747 MBS 4.000% 06/01/26		07/01/2011	Paydown		841	841	884	884	0	(43)	0	(43)	0	0	841	0	0	0	3	06/01/2026	1.....
.3138AK-L5-6	FHLMC Pool A15747 MBS 4.000% 06/01/26		08/01/2011	Paydown		1,944	1,944	2,043	2,043	0	(99)	0	(99)	0	0	1,944	0	0	0	13	06/01/2026	1.....
.3138AK-L5-6	FHLMC Pool A15747 MBS 4.000% 06/01/26		09/01/2011	Paydown		737	737	775	775	0	(37)	0	(37)	0	0	737	0	0	0	7	06/01/2026	1.....
.31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		07/01/2011	Paydown		3,924	3,924	4,291	4,284	0	(359)	0	(359)	0	0	3,924	0	0	0	126	06/01/2020	1.....
.31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		08/01/2011	Paydown		4,110	4,110	4,495	4,487	0	(376)	0	(376)	0	0	4,110	0	0	0	151	06/01/2020	1.....
.31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		09/01/2011	Paydown		4,650	4,650	5,085	5,076	0	(426)	0	(426)	0	0	4,650	0	0	0	192	06/01/2020	1.....
.31416W-GZ-9	FNMA Pool AB1115 MBS 4.000% 06/01/25		07/01/2011	Paydown		28,915	28,915	30,252	30,214	0	(1,299)	0	(1,299)	0	0	28,915	0	0	0	675	06/01/2025	1.....
.31416W-GZ-9	FNMA Pool AB1115 MBS 4.000% 06/01/25		08/01/2011	Paydown		27,326	27,326															

STATEMENT AS OF SEPTEMBER 30, 2011 OF THE UnitedHealthcare Community Plan of Ohio, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consid- eration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain Value at Disposal Date	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Maturity Date	22 NAIC Design- ation or Market In- dicator (a)					
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recogn- ized	14 Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value												
3199999. Bonds - U.S. Special Revenues						1,249,377	1,213,071	1,275,547	1,251,767	0	(25,398)	0	(25,398)	0	1,249,377	0	0	0	67,882	XXX	XXX					
CNH Equip Tr CNH 2010-B A2 ABS Call 0.670%					01/15/1301/15/1381,40181,40181,39581,3970404081,401000318	01/15/2013	1FE.....			
.....12621U-AB-307/15/2011Paydown81,40181,40181,39581,397000404081,4010000318	01/15/2013	1FE.....		
CNH Equip Tr CNH 2010-B A2 ABS Call 0.670%					01/15/1301/15/1317,90717,90717,90517,90600101017,907000080	01/15/2013	1FE.....	
.....12621U-AB-308/15/2011Paydown17,90717,90717,90517,90600010101017,907000080	01/15/2013	1FE.....
Enterprise Fleet EFF 2011-2 A2 ABS Prv Plc					09/20/201109/20/201116,55916,55916,5580010101016,559000053	10/20/2016	1FE.....
.....29372E-AB-409/20/2011Paydown16,55916,55916,55800010101016,559000053	10/20/2016	1FE.....	
Hyundai Auto Rec Tr HART 2010-B A2 ABS					07/15/201107/15/201136,10036,10036,09936,099001010036,1000000120	03/15/2013	1FE.....
.....44923Y-AB-307/15/2011Paydown36,10036,10036,09936,0990001010036,1000000120	03/15/2013	1FE.....	
Hyundai Auto Rec Tr HART 2010-B A2 ABS					08/15/201108/15/201136,07436,07436,07436,074001010036,0740000137	03/15/2013	1FE.....
.....44923Y-AB-308/15/2011Paydown36,07436,07436,07436,0740001010036,0740000137	03/15/2013	1FE.....	
Hyundai Auto Rec Tr HART 2010-B A2 ABS					09/15/201109/15/201137,75237,75237,75137,751001010037,7520000161	03/15/2013	1FE.....
.....44923Y-AB-309/15/2011Paydown37,75237,75237,75137,7510001010037,7520000161	03/15/2013	1FE.....	
NCUA Guaranteed NGN 2010-C1 A1 CMBS 1.600%					10/29/201010/29/20106116116116110101061100006	10/29/2020	1FE.....		
.....62888X-AA-207/27/2011Paydown611611611611001010061100006	10/29/2020	1FE.....		
NCUA Guaranteed NGN 2010-C1 A1 CMBS 1.600%					10/29/201010/29/20106336336326320101063300007	10/29/2020	1FE.....		
.....62888X-AA-208/27/2011Paydown633633632632001010063300007	10/29/2020	1FE.....		
.....62888X-AA-209/12/2011Montgomery Securities84,70183,64383,55983,56200210210083,58201,1191,119959	10/29/2020	1FE.....			
BNP Paribas Corp Note Non Call 5.000%					01/15/202101/15/2021152,553152,553150,000148,85000430430148,89203,6613,6613,646	01/15/2021	1FE.....		
.....05567L-T3-107/08/2011Morgan Stanley152,553152,553150,000148,850004304300148,89203,6613,6613,646	01/15/2021	1FE.....			
.....22546Q-AA-509/16/2011Montgomery Securities106,953100,000109,981108,86800(1,850)0(1,850)00107,0180(65)(65)4,889	05/01/2014	1FE.....			
.....65557C-AA-109/13/2011Barclays Group Inc.129,688125,000130,064129,58500(804)0(804)00128,78109069063,893	11/13/2014	1FE.....			
.....87938W-AF-007/08/2011Goldman Sachs105,096100,000107,934106,59600(1,642)0(1,642)00104,95401421425,513	02/04/2013	2FE.....			
3899999. Bonds - Industrial and Miscellaneous (Unaffiliated)						806,028	785,680	807,413	639,081	0	(4,221)	0	(4,221)	0	800,264	0	5,763	5,763	5,763	19,782	XXX	XXX				
8399997. Total - Bonds - Part 4						2,162,138	2,105,484	2,196,635	2,004,325	0	(36,365)	0	(36,365)	0	2,156,374	0	5,763	5,763	5,763	90,900	XXX	XXX				
8399998. Total - Bonds - Part 5						XXX	XXX	XXX	XXX	0	(36,365)	0	(36,365)	0	2,156,374	0	5,763	5,763	5,763	90,900	XXX	XXX				
8399999. Total - Bonds						2,162,138	2,105,484	2,196,635	2,004,325	0	(36,365)	0	(36,365)	0	2,156,374	0	5,763	5,763	5,763	90,900	XXX	XXX				
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	2,156,374	0	5,763	5,763	5,763	90,900	XXX	XXX			
8999998. Total - Preferred Stocks - Part 5						XXX	XXX	XXX	XXX	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX				
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
9799998. Total - Common Stocks - Part 5						XXX	XXX	XXX	XXX	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX				
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				
9999999 - Totals						2,162,138	XXX	2,196,635	2,004,325	0	(36,365)	0	(36,365)	0	2,156,374	0	5,763	5,763	5,763	90,900	XXX	XXX				

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues.....0

E05.1

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
PNC Bank, National Association Cleveland, OH					23,331,105	(1,164,458)	384,108	XXX
0199998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX						XXX
0199999. Totals - Open Depositories	XXX	XXX	0	0	23,331,105	(1,164,458)	384,108	XXX
0299998. Deposits in ... depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX						XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	0	0	23,331,105	(1,164,458)	384,108	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX				XXX
0599999. Total - Cash	XXX	XXX	0	0	23,331,105	(1,164,458)	384,108	XXX

Schedule E - Part 2 - Cash Equivalents - Investments Owned End of Current Quarter
N O N E