



QUARTERLY STATEMENT

As of June 30, 2011

of the Condition and Affairs of the

CZECH CATHOLIC UNION

NAIC Group Code.....0000, 0000  
(Current Period) (Prior Period)

NAIC Company Code..... 56324

Employer's ID Number..... 34-0105780

Organized under the Laws of OHIO

State of Domicile or Port of Entry OHIO

Country of Domicile US

Incorporated/Organized..... January 1, 1899

Commenced Business..... January 1, 1899

Statutory Home Office

5349 DOLLOFF ROAD..... CLEVELAND ..... OH ..... 44127  
(Street and Number) (City or Town, State and Zip Code)

Main Administrative Office

5349 DOLLOFF ROAD..... CLEVELAND ..... OH ..... 44127  
(Street and Number) (City or Town, State and Zip Code)

216-341-0444-  
(Area Code) (Telephone Number)

Mail Address

5349 DOLLOFF ROAD..... CLEVELAND ..... OH ..... 44127  
(Street and Number or P. O. Box) (City or Town, State and Zip Code)

Primary Location of Books and Records

5349 DOLLOFF ROAD..... CLEVELAND ..... OH ..... 44127  
(Street and Number) (City or Town, State and Zip Code)

216-341-0444-  
(Area Code) (Telephone Number)

Internet Web Site Address

www.czechccu.org

Statutory Statement Contact

ELSIE T. MALEC  
(Name)  
insurance@czechccu.org  
(E-Mail Address)

216-341-0444-  
(Area Code) (Telephone Number) (Extension)  
216-341-0711-  
(Fax Number)

OFFICERS

Name	Title	Name	Title
1. ELSIE T. MALEC	PRESIDENT	2. ROBERT CERMAK	TREASURER
3. THERESA M. POKORNY	SECRETARY	4. STEIMLA & ASSOCIATES, INC.	ACTUARY
OTHER			
LORETTA MORAVECEK	1ST VICE PRESIDENT	EDWARD P. BABOR	2ND VICE PRESIDENT

DIRECTORS OR TRUSTEES

MARYANN LANGEVIN	CINDY KVETON	LILLIAN KOPECKY	DOLORES JACKLIN
JOSEPH A. KOCAB			

State of..... OHIO  
County of..... CUYAHOGA

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)  
ELSIE T. MALEC  
1. (Printed Name)  
PRESIDENT  
(Title)

(Signature)  
ROBERT CERMAK  
2. (Printed Name)  
TREASURER  
(Title)

(Signature)  
THERESA M. POKORNY  
3. (Printed Name)  
SECRETARY  
(Title)

Subscribed and sworn to before me

a. Is this an original filing? Yes [ X ] No [ ]

This day of

b. If no: 1. State the amendment number

2. Date filed

3. Number of pages attached

ASSETS

	Current Statement Date			4
	1	2	3	
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1. Bonds.....	8,600,394		8,600,394	8,510,963
2. Stocks:				
2.1 Preferred stocks.....			0	
2.2 Common stocks.....	406,672		406,672	254,609
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	21,128		21,128	21,128
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$.....2,478,616), cash equivalents (\$.....0) and short-term investments (\$.....0).....	2,478,616		2,478,616	2,481,850
6. Contract loans (including \$.....0 premium notes).....	129,981		129,981	127,072
7. Derivatives.....			0	
8. Other invested assets.....	2,003,000		2,003,000	2,003,000
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	13,639,790	0	13,639,790	13,398,621
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	94,570		94,570	84,570
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	1,146		1,146	(15,052)
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....			0	
15.3 Accrued retrospective premiums.....			0	
16. Reinsurance:				
16.1. Amounts recoverable from reinsurers.....			0	
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0	
18.2 Net deferred tax asset.....			0	
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....			0	
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....			0	
24. Health care (\$.....0) and other amounts receivable.....			0	
25. Aggregate write-ins for other than invested assets.....	0	0	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	13,735,506	0	13,735,506	13,468,139
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	13,735,506	0	13,735,506	13,468,139

DETAILS OF WRITE-INS

1101. ....			0	
1102. ....			0	
1103. ....			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. ....			0	
2502. ....			0	
2503. ....			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0	0	0

CZECH CATHOLIC UNION  
LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts (including \$.....0 Modco Reserve).....	10,703,564	10,455,658
2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....		
3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....		
4. Contract claims:		
4.1 Life.....	31,818	31,818
4.2 Accident and health.....		
5. Refunds due and unpaid.....		
6. Provisions for refunds payable in following calendar year - estimated amounts:		
6.1 Apportioned for payment.....	100,000	100,000
6.2 Not yet apportioned.....		
7. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....0 accident and health premiums.....		
8. Certificate and contract liabilities not included elsewhere:		
8.1 Surrender values on canceled contracts.....		
8.2 Other amounts payable on reinsurance including \$.....0 assumed and \$.....0 ceded.....		
8.3 Interest maintenance reserve (IMR).....	66,802	51,508
9. Commissions to fieldworkers due or accrued - life and annuity contracts \$.....0, accident and health \$.....0 and deposit-type contract funds \$.....0.....		
10. Commissions and expense allowances payable on reinsurance assumed.....		
11. General expenses due or accrued.....	1,000	1,000
12. Transfers to Separate Accounts due or accrued (net) (including \$.....0 accrued for expense allowances recognized in reserves).....		
13. Taxes, licenses and fees due or accrued.....	846	846
14. Unearned investment income.....		
15. Amounts withheld or retained by Society as agent or trustee.....	22,713	2,981
16. Amounts held for fieldworkers' account, including \$.....0 fieldworkers' credit balances.....		
17. Remittances and items not allocated.....		
18. Net adjustment in assets and liabilities due to foreign exchange rates.....		
19. Liability for benefits for employees and fieldworkers if not included above.....		
20. Borrowed money \$.....0 and interest thereon \$.....0.....		
21. Miscellaneous liabilities:		
21.1 Asset valuation reserve.....	127,210	123,210
21.2 Reinsurance in unauthorized companies.....		
21.3 Funds held under reinsurance treaties with unauthorized reinsurers.....		
21.4 Payable to subsidiaries and affiliates.....		
21.5 Drafts outstanding.....		
21.6 Funds held under coinsurance.....		
21.7 Derivatives.....		
21.8 Payable for securities.....		
21.9 Payable for securities lending.....		
22. Aggregate write-ins for liabilities.....	1,712	1,712
23. Total liabilities excluding Separate Accounts business (Lines 1 to 22).....	11,055,665	10,768,733
24. From Separate Accounts Statement.....		
25. Total liabilities (Lines 23 to 24).....	11,055,665	10,768,733
26. Aggregate write-ins for other than liabilities and surplus funds.....	0	0
27. Surplus notes.....		
28. Aggregate write-ins for surplus funds.....	125,000	125,000
29. Unassigned funds.....	2,554,841	2,574,406
30. Total (Lines 26 through 29) (including \$.....0 in Separate Accounts Statement).....	2,679,841	2,699,406
31. Totals (Lines 25 + 30) (Page 2, Line 28, Col. 3).....	13,735,506	13,468,139

DETAILS OF WRITE-INS		
2201. Fraternal.....	1,712	1,712
2202. ....		
2203. ....		
2298. Summary of remaining write-ins for Line 22 from overflow page.....	0	0
2299. Totals (Lines 2201 thru 2203 plus 2298) (Line 22 above).....	1,712	1,712
2601. ....		
2602. ....		
2603. ....		
2698. Summary of remaining write-ins for Line 26 from overflow page.....	0	0
2699. Totals (Lines 2601 thru 2603 plus 2698) (Line 26 above).....	0	0
2801. Contingency Reserve.....	125,000	125,000
2802. ....		
2803. ....		
2898. Summary of remaining write-ins for Line 28 from overflow page.....	0	0
2899. Totals (Lines 2801 thru 2803 plus 2898) (Line 28 above).....	125,000	125,000

CZECH CATHOLIC UNION  
SUMMARY OF OPERATIONS

		1	2	3
		Current Year To Date	Prior Year To Date	Prior Year Ended December 31
1.	Premiums and annuity considerations for life and accident and health contracts.....	369,529	804,797	1,310,314
2.	Considerations for supplementary contracts with life contingencies.....			
3.	Net investment income.....	293,811	299,437	586,489
4.	Amortization of Interest Maintenance Reserve (IMR).....	2,100	1,300	4,227
5.	Separate Accounts net gain from operations excluding unrealized gains and losses.....			
6.	Commissions and expense allowances on reinsurance ceded.....			
7.	Reserve adjustments on reinsurance ceded.....			
8.	Miscellaneous income:			
8.1	Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....			
8.2	Charges and fees for deposit-type contracts.....			
8.3	Aggregate write-ins for miscellaneous income.....	(210)	4,918	5,463
9.	Totals (Lines 1 to 8.3).....	665,230	1,110,452	1,906,493
10.	Death benefits.....	102,785	97,006	193,976
11.	Matured endowments (excluding guaranteed annual pure endowments).....		1,020	1,920
12.	Annuity benefits.....	149,286	46,674	206,537
13.	Disability benefits and benefits under accident and health contracts including premiums waived \$ .....0.....			
14.	Surrender benefits and withdrawals for life contracts.....	17,649	51,145	69,786
15.	Interest and adjustments on contract or deposit-type contract funds.....			
16.	Payments on supplementary contracts with life contingencies.....			
17.	Increase in aggregate reserve for life and accident and health contracts.....	247,906	774,000	1,227,384
18.	Totals (Lines 10 to 17).....	517,626	969,845	1,699,603
19.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....			485
20.	Commissions and expense allowances on reinsurance assumed.....			
21.	General insurance expenses and fraternal expenses.....	161,064	163,171	315,910
22.	Insurance taxes, licenses and fees.....	7,104	4,516	9,175
23.	Increase in loading on deferred and uncollected premiums.....			
24.	Net transfers to or (from) Separate Accounts net of reinsurance.....			
25.	Aggregate write-ins for deductions.....	0	0	0
26.	Totals (Lines 18 to 25).....	685,794	1,137,532	2,025,173
27.	Net gain from operations before refunds to members (Line 9 minus Line 26).....	(20,565)	(27,080)	(118,680)
28.	Refunds to members.....			74,955
29.	Net gain from operations after refunds to members and before realized capital gains (losses) (Line 27 minus Line 28).....	(20,565)	(27,080)	(193,635)
30.	Net realized capital gains (losses) less capital gains tax of .....0 (excluding \$ .....17,394 transferred to the IMR).....			
31.	Net income (Lines 29 + 30).....	(20,565)	(27,080)	(193,635)
SURPLUS ACCOUNT				
32.	Surplus, December 31, prior year.....	2,699,406	2,832,043	2,113,052
33.	Net income from operations (Line 31).....	(20,565)	(27,080)	(193,635)
34.	Change in net unrealized capital gains (losses) less capital gains tax of \$ .....0.....	5,000	20,000	69,253
35.	Change in net unrealized foreign exchange capital gain (loss).....			
36.	Change in nonadmitted assets.....			718,991
37.	Change in liability for reinsurance in unauthorized companies.....			
38.	Change in reserve on account of change in valuation basis (increase) or decrease.....			
39.	Change in asset valuation reserve.....	(4,000)	(10,000)	(8,256)
40.	Surplus (contributed to) withdrawn from Separate Accounts during period.....			
41.	Other changes in surplus in Separate Accounts Statement.....			
42.	Change in surplus notes.....			
43.	Cumulative effect of changes in accounting principles.....			
44.	Change in surplus as a result of reinsurance.....			
45.	Aggregate write-ins for gains and losses in surplus.....	0	0	0
46.	Net change in surplus for the year (Lines 33 through 45).....	(19,565)	(17,080)	586,353
47.	Surplus as of statement date (Lines 32 + 46).....	2,679,841	2,814,964	2,699,406

DETAILS OF WRITE-INS			
08.301.	Miscellaneous Income.....	(210)	4,918
08.302.	.....		
08.303.	.....		
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page.....	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	(210)	4,918
2501.	.....		
2502.	.....		
2503.	.....		
2598.	Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599.	Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0
4501.	.....		
4502.	.....		
4503.	.....		
4598.	Summary of remaining write-ins for Line 45 from overflow page.....	0	0
4599.	Totals (Lines 4501 thru 4503 plus 4598) (Line 45 above).....	0	0

CZECH CATHOLIC UNION  
CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....	353,331	907,750	1,283,953
2. Net investment income.....	283,811	299,437	591,776
3. Miscellaneous income.....	(210)	4,918	5,463
4. Total (Lines 1 through 3).....	636,932	1,212,106	1,881,192
5. Benefit and loss related payments.....	269,720	195,845	475,974
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			
7. Commissions, expenses paid and aggregate write-ins for deductions.....	168,168	167,687	325,570
8. Dividends paid to policyholders.....			74,955
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....			
10. Total (Lines 5 through 9).....	437,888	363,532	876,499
11. Net cash from operations (Line 4 minus Line 10).....	199,043	848,574	1,004,693
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	475,016	1,289,424	2,092,299
12.2 Stocks.....		7	
12.3 Mortgage loans.....			
12.4 Real estate.....			
12.5 Other invested assets.....			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....			
12.7 Miscellaneous proceeds.....			
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	475,016	1,289,431	2,092,299
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	553,512	1,120,036	1,211,398
13.2 Stocks.....	147,064		3,368
13.3 Mortgage loans.....			
13.4 Real estate.....			
13.5 Other invested assets.....		1,001,000	1,502,000
13.6 Miscellaneous applications.....			
13.7 Total investments acquired (Lines 13.1 to 13.6).....	700,576	2,121,036	2,716,766
14. Net increase (decrease) in contract loans and premium notes.....	2,909	5,401	14,641
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	(228,469)	(837,006)	(639,109)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....			
16.2 Capital and paid in surplus, less treasury stock.....			
16.3 Borrowed funds.....			
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....			
16.5 Dividends to stockholders.....			
16.6 Other cash provided (applied).....	26,192	(2,097)	457
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	26,192	(2,097)	457
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(3,234)	9,471	366,041
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	2,481,850	2,115,808	2,115,808
19.2 End of period (Line 18 plus Line 19.1).....	2,478,616	2,125,279	2,481,850

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001 .....	.....	.....	.....
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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Life Insurance.....	.....26,590	.....10,792	.....126,890
2. Individual annuities.....	.....342,939	.....794,005	.....1,183,423
3. Accident and Health.....	.....	.....	.....
4. Aggregate of all other lines of business.....	.....0	.....0	.....0
5. Subtotal (Lines 1 through 4).....	.....369,529	.....804,797	.....1,310,314
6. Fraternal.....	.....	.....	.....
7. Expenses.....	.....	.....	.....
8. Subtotal (Lines 5 through 7).....	.....369,529	.....804,797	.....1,310,314
9. Deposit-type contracts.....	.....	.....	.....
10. Total.....	.....369,529	.....804,797	.....1,310,314

DETAILS OF WRITE-INS

0401. ....	.....	.....	.....
0402. ....	.....	.....	.....
0403. ....	.....	.....	.....
0498. Summary of remaining write-ins for Line 4 from overflow page.....	.....0	.....0	.....0
0499. Total (Lines 0401 thru 0403 plus 0498) (Line 4 above).....	.....0	.....0	.....0

NOTES TO FINANCIAL STATEMENTS

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES
- The accompanying financial statements of the Union have been prepared in conformity with accounting practices prescribed or permitted by the NAIC and the State of Ohio.
2. ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS
- None.
3. BUSINESS COMBINATIONS AND GOODWILL
- Not Applicable.
4. DISCONTINUED OPERATIONS
- Not Applicable.
5. INVESTMENTS
- Asset values are generally stated as follows: Bonds at amortized cost using the scientific method; Preferred Stock at cost; Common Stocks at market value; Real Estate at depreciated cost; and Policy Loans are stated at the aggregate unpaid balance. The Union uses the straight-line depreciation on its real estate holdings.
- A. Mortgage Loans- None
- B. Debt Restructuring- None
- C. Reverse Mortgages- None
- D. Loan-Backed Securities- None
6. JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES
- Not Applicable.
7. INVESTMENT INCOME
- Due and accrued income was excluded from Investment Income from Bonds where collection of the amount is uncertain.
- The amount excluded is \$0.
8. DERIATIVE INSTRUMENTS
- None.
9. INCOME TAXES
- Not Applicable.
10. INFORMATION CONCERNING PARENT, SUBSIDIARIES AND AFFILIATES
- Not Applicable.
11. DEBT
- None.
12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS
- A. Employee Retirement Plan: Not Applicable.
- B. Deferred Commensation Plan: Not Applicable.
- C. Post-Retirement Benefit Plans: Not Applicable.
13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS
- Not Applicable.
14. CONTINGENCIES
- A. Contingent Commitments: None.
- B. Assessments: None.
- C. Gain Contingencies: None.
- D. All Other Contingencies: None.
15. LEASES
- Non Applicable.
16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK
- Not Applicable.
17. SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES
- C. Not Applicable.
18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED A&H PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS
- Not Applicable.
19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD PARTY ADMINISTRATORS
- Not Applicable.

NOTES TO FINANCIAL STATEMENTS

20.

FAIR VALUE MEASUREMENTS

SSAP 100, Fair Value Measurements, establishes a framework for measuring fair value. That framework provides a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. The three levels of the fair value hierarchy are described as follows:

Level 1 – inputs to the valuation methodology are unadjusted quoted prices for identical assets or liabilities in active markets that the Union has the ability to access.

Level 2 – inputs to the valuation methodology include quoted prices for similar assets or liabilities in active markets; quoted prices for identical or similar assets or liabilities in inactive markets; inputs other than quoted prices that are observable; or inputs that are derived principally from or corroborated by observable market data by correlation or other means.

Level 3 – inputs to the valuation methodology are unobservable and significant to the fair value measurement.

The fair value measurement level within the fair value hierarchy is based on the lowest level of any input that is significant to the fair value measurement. The Union believes its valuation methods are appropriate and consistent with other market participants. The use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The Union is in the process of implementing these fair value measurements.
21.

OTHER ITEMS

Not Applicable.
22.

EVENTS SUBSEQUENT

Not Applicable.
23.

REINSURANCE

A. Ceded Reinsurance Report: The amount of aggregate reduction in surplus of a unilateral cancellation by the reinsurer is the Reserve Credit taken on Exhibit 5 totaling \$0.

B. Uncollectible Reinsurance: None.
24.

RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO REDETERMINATION

Not Applicable.
25.

CHANGE IN INCURRED LOSSES AND LOSS ADJUSTMENT EXPENSES

Not Applicable.
26.

INTERCOMPANY POOLING ARRANGEMENTS

Not Applicable.
27.

STRUCTURED SETTLEMENTS

Not Applicable.
28.

HEALTH CARE RECEIVABLES

Not Applicable.
29.

PARTICIPATING POLICIES

No significant change.
30.

PREMIUM DEFICIENCY RESERVES

None.
31.

RESERVES FOR LIFE CONTRACTS AND DEPOSIT-TYPE CONTRACTS

No significant change.
32.

ANALYSIS OF ANNUITY ACTUARIAL RESERVES AND DEPOSIT LIABILITIES BY WITHDRAWAL CHARACTERISTICS

No significant change.
33.

PREMIUM AND ANNUITY CONSIDERATIONS DEFERRED AND UNCOLLECTED

No significant change.
34.

SEPARATE ACCOUNTS

Not Applicable.
35.

LOSS/CLAIM ADJUSTMENT EXPENSES

Not Applicable.



CZECH CATHOLIC UNION  
GENERAL INTERROGATORIES  
PART 1 - COMMON INTERROGATORIES  
GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [ X ]

1.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [ X ]

2.2 If yes, date of change: .....

3. Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [ X ]  
If yes, complete the Schedule Y-Part 1 - Organizational chart.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [ X ]

4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [ X ] N/A [ ]  
If yes, attach an explanation.

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2009.....

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2009.....

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 12/31/2009.....

6.4 By what department or departments?  
Ohio

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [ X ] No [ ] N/A [ ]

6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [ X ] No [ ] N/A [ ]

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [ ] No [ X ]

7.2 If yes, give full information:

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [ ] No [ X ]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [ ] No [ X ]

8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Office of Thrift Supervision (OTS), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1	2	3	4	5	6	7
Affiliate Name	Location (City, State)	FRB	OCC	OTS	FDIC	SEC

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [ X ] No [ ]  
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
(c) Compliance with applicable governmental laws, rules and regulations;  
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [ ] No [ X ]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [ X ]

CZECH CATHOLIC UNION  
GENERAL INTERROGATORIES  
PART 1 - COMMON INTERROGATORIES  
GENERAL

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [ X ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: .....

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [ X ]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$.0

13. Amount of real estate and mortgages held in short-term investments: \$.0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ ] No [ X ]

14.2 If yes, please complete the following:

	1	2
	Prior Year-End	Current Quarter
	Book/Adjusted Carrying Value	Book/Adjusted Carrying Value
14.21 Bonds.....	\$ .....0	\$ .....0
14.22 Preferred Stock.....	\$ .....0	\$ .....0
14.23 Common Stock.....	\$ .....0	\$ .....0
14.24 Short-Term Investments.....	\$ .....0	\$ .....0
14.25 Mortgage Loans on Real Estate.....	\$ .....0	\$ .....0
14.26 All Other.....	\$ .....0	\$ .....0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$ .....0	\$ .....0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above.....	\$ .....0	\$ .....0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [ ] No [ X ]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]  
If no, attach a description with this statement.

16. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 3, III. Conducting Examinations, F-Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [ X ] No [ ]

16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1	2
Name of Custodian(s)	Custodian Address

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

16.3 Have there been any changes, including name changes, in the custodian(s) identified in 16.1 during the current quarter? Yes [ ] No [ X ]

16.4 If yes, give full and complete information relating thereto:

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason

16.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1	2	3
Central Registration Depository	Name(s)	Address

17.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [ X ] No [ ]

17.2 If no, list exceptions:

CZECH CATHOLIC UNION

GENERAL INTERROGATORIES (continued)

PART 2 - FRATERNAL

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

1.1

Long-term mortgages in good standing:

Reponses

1.11

Farm mortgages.....

1.12

Residential mortgages.....

1.13

Commercial mortgages.....

1.14

Total mortgages in good standing.....

\$.....0

1.2

Long-term mortgages in good standing with restructured terms:

1.21

Total mortgages in good standing with restructured terms.....

1.3

Long-term mortgage loans upon which interest is overdue more than three months:

1.31

Farm mortgages.....

1.32

Residential mortgages.....

1.33

Commercial mortgages.....

1.34

Total mortgages with interest overdue more than three months.....

\$.....0

1.4

Long-term mortgage loans in process of foreclosure:

1.41

Farm mortgages.....

1.42

Residential mortgages.....

1.43

Commercial mortgages.....

1.44

Total mortgages in process of foreclosure.....

\$.....0

1.5

Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2).....

\$.....0

1.6

Long-term mortgages foreclosed, properties transferred to real estate in current quarter:

1.61

Farm mortgages.....

1.62

Residential mortgages.....

1.63

Commercial mortgages.....

1.64

Total mortgages foreclosed and transferred to real estate.....

\$.....0

2.1

In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?

Yes [ X ]

No [ ]

2.2

If no, explain.....

3.

Operating Percentages:

3.1

A&H loss percent.....

3.2

A&H cost containment percent.....

3.3

A&H expense percent excluding cost containment expenses.....

4.1

Do you act as a custodian for health savings accounts?.....

Yes [ ]

No [ X ]

4.2

If yes, please provide the amount of custodial funds held as of the reporting date.....

\$.....

4.3

Do you act as an administrator for health savings accounts?.....

Yes [ ]

No [ X ]

4.4

If yes, please provide the balance of the funds administered as of the reporting date.....

\$.....

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7
NAIC Company Code	Federal ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Is Insurer Authorized? (YES or NO)

NONE

CZECH CATHOLIC UNION  
SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

State, Etc.	1	Direct Business Only					
		Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 through 5	7 Deposit-Type Contracts
		2 Life Insurance Premiums	3 Annuity Considerations				
1. Alabama.....	AL.....	N.....				0.....	
2. Alaska.....	AK.....	N.....				0.....	
3. Arizona.....	AZ.....	N.....				0.....	
4. Arkansas.....	AR.....	N.....				0.....	
5. California.....	CA.....	N.....				0.....	
6. Colorado.....	CO.....	N.....				0.....	
7. Connecticut.....	CT.....	N.....				0.....	
8. Delaware.....	DE.....	N.....				0.....	
9. District of Columbia.....	DC.....	N.....				0.....	
10. Florida.....	FL.....	N.....				0.....	
11. Georgia.....	GA.....	N.....				0.....	
12. Hawaii.....	HI.....	N.....				0.....	
13. Idaho.....	ID.....	N.....				0.....	
14. Illinois.....	IL.....	L.....	3,390.....	38,293.....		41,683.....	
15. Indiana.....	IN.....	N.....				0.....	
16. Iowa.....	IA.....	L.....	5,510.....	2,201.....		7,711.....	
17. Kansas.....	KS.....	N.....				0.....	
18. Kentucky.....	KY.....	N.....				0.....	
19. Louisiana.....	LA.....	N.....				0.....	
20. Maine.....	ME.....	N.....				0.....	
21. Maryland.....	MD.....	N.....				0.....	
22. Massachusetts.....	MA.....	N.....				0.....	
23. Michigan.....	MI.....	L.....	3,339.....	354.....		3,693.....	
24. Minnesota.....	MN.....	N.....		62.....		62.....	
25. Mississippi.....	MS.....	N.....				0.....	
26. Missouri.....	MO.....	N.....	60.....	35,000.....		35,060.....	
27. Montana.....	MT.....	N.....				0.....	
28. Nebraska.....	NE.....	N.....		776.....		776.....	
29. Nevada.....	NV.....	N.....				0.....	
30. New Hampshire.....	NH.....	N.....				0.....	
31. New Jersey.....	NJ.....	N.....				0.....	
32. New Mexico.....	NM.....	N.....				0.....	
33. New York.....	NY.....	N.....	1,284.....	1,740.....		3,024.....	
34. North Carolina.....	NC.....	N.....				0.....	
35. North Dakota.....	ND.....	N.....				0.....	
36. Ohio.....	OH.....	L.....	13,007.....	263,844.....		276,851.....	
37. Oklahoma.....	OK.....	N.....				0.....	
38. Oregon.....	OR.....	N.....				0.....	
39. Pennsylvania.....	PA.....	N.....		669.....		669.....	
40. Rhode Island.....	RI.....	N.....				0.....	
41. South Carolina.....	SC.....	N.....				0.....	
42. South Dakota.....	SD.....	N.....				0.....	
43. Tennessee.....	TN.....	N.....				0.....	
44. Texas.....	TX.....	N.....				0.....	
45. Utah.....	UT.....	N.....				0.....	
46. Vermont.....	VT.....	N.....				0.....	
47. Virginia.....	VA.....	N.....				0.....	
48. Washington.....	WA.....	N.....				0.....	
49. West Virginia.....	WV.....	N.....				0.....	
50. Wisconsin.....	WI.....	N.....				0.....	
51. Wyoming.....	WY.....	N.....				0.....	
52. American Samoa.....	AS.....	N.....				0.....	
53. Guam.....	GU.....	N.....				0.....	
54. Puerto Rico.....	PR.....	N.....				0.....	
55. US Virgin Islands.....	VI.....	N.....				0.....	
56. Northern Mariana Islands.....	MP.....	N.....				0.....	
57. Canada.....	CN.....	N.....				0.....	
58. Aggregate Other Alien.....	OT.....	XXX.....	0.....	0.....	0.....	0.....	0.....
59. Subtotals.....	(a).....	4.....	26,590.....	342,939.....	0.....	369,529.....	0.....
90. Reporting entity contributions for employee benefit plans.....	XXX.....				0.....	0.....	
91. Dividends or refunds applied to purchase paid-up additions and annuities.....	XXX.....					0.....	
92. Dividends or refunds applied to shorten endowment or premium paying period.....	XXX.....					0.....	
93. Premium or annuity considerations waived under disability or other contract provisions.....	XXX.....					0.....	
94. Aggregate other amounts not allocable by state.....	XXX.....		0.....	0.....	0.....	0.....	0.....
95. Totals (Direct Business).....	XXX.....		26,590.....	342,939.....	0.....	369,529.....	0.....
96. Plus reinsurance assumed.....	XXX.....					0.....	
97. Totals (All Business).....	XXX.....		26,590.....	342,939.....	0.....	369,529.....	0.....
98. Less reinsurance ceded.....	XXX.....					0.....	
99. Totals (All Business) less reinsurance ceded.....	XXX.....		26,590.....	342,939.....	0.....	369,529.....	0.....

DETAILS OF WRITE-INS

5801. ....	XXX.....					0.....	
5802. ....	XXX.....					0.....	
5803. ....	XXX.....					0.....	
5898. Summary of remaining write-ins for Line 58 from overflow page.....	XXX.....		0.....	0.....	0.....	0.....	0.....
5899. Totals (Lines 5801 thru 5803 plus 5898) (Line 58 above).....	XXX.....		0.....	0.....	0.....	0.....	0.....
9401. ....	XXX.....					0.....	
9402. ....	XXX.....					0.....	
9403. ....	XXX.....					0.....	
9498. Summary of remaining write-ins for Line 94 from overflow page.....	XXX.....		0.....	0.....	0.....	0.....	0.....
9499. Totals (Lines 9401 thru 9403 plus 9498) (Line 94 above).....	XXX.....		0.....	0.....	0.....	0.....	0.....

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;

(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

**SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP**

PART 1 – ORGANIZATIONAL CHART

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**NONE**

CZECH CATHOLIC UNION

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

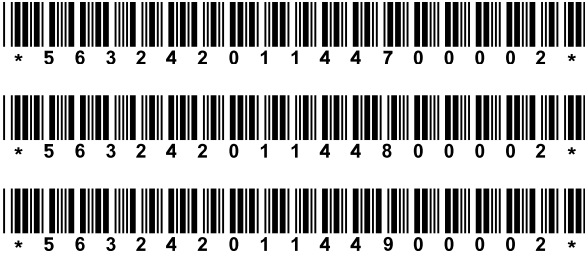
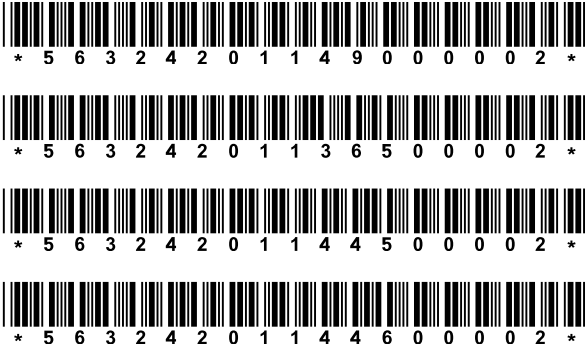
The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanations:

1.
2.
3.
4.
5.
6.
7.

Bar Code:



NONE



CZECH CATHOLIC UNION  
SCHEDULE A - VERIFICATION  
Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.....21,128	.....21,128
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	.....	.....
2.2 Additional investment made after acquisition.....	.....	.....
3. Current year change in encumbrances.....	.....	.....
4. Total gain (loss) on disposals.....	.....	.....
5. Deduct amounts received on disposals.....	.....	.....
6. Total foreign exchange change in book/adjusted carrying value.....	.....	.....
7. Deduct current year's other than temporary impairment recognized.....	.....	.....
8. Deduct current year's depreciation.....	.....	.....
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	.....21,128	.....21,128
10. Deduct total nonadmitted amounts.....	.....	.....
11. Statement value at end of current period (Line 9 minus Line 10).....	.....21,128	.....21,128

SCHEDULE B - VERIFICATION  
Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	.....0	.....
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	.....	.....
2.2 Additional investment made after acquisition.....	.....	.....
3. Capitalized deferred interest and other.....	.....	.....
4. Accrual of discount.....	.....	.....
5. Unrealized valuation increase (decrease).....	.....	.....
6. Total gain (loss) on disposals.....	.....	.....
7. Deduct amounts received on disposals.....	.....	.....
8. Deduct amortization of premium and mortgage interest points and commitment fees.....	.....	.....
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....	.....	.....
10. Deduct current year's other than temporary impairment recognized.....	.....	.....
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	.....0	.....0
12. Total valuation allowance.....	.....	.....
13. Subtotal (Line 11 plus Line 12).....	.....0	.....0
14. Deduct total nonadmitted amounts.....	.....	.....
15. Statement value at end of current period (Line 13 minus Line 14).....	.....0	.....0

NONE

SCHEDULE BA - VERIFICATION  
Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	.....2,003,000	.....501,000
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	.....	.....1,502,000
2.2 Additional investment made after acquisition.....	.....	.....
3. Capitalized deferred interest and other.....	.....	.....
4. Accrual of discount.....	.....	.....
5. Unrealized valuation increase (decrease).....	.....	.....
6. Total gain (loss) on disposals.....	.....	.....
7. Deduct amounts received on disposals.....	.....	.....
8. Deduct amortization of premium and depreciation.....	.....	.....
9. Total foreign exchange change in book/adjusted carrying value.....	.....	.....
10. Deduct current year's other than temporary impairment recognized.....	.....	.....
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	.....2,003,000	.....2,003,000
12. Deduct total nonadmitted amounts.....	.....	.....
13. Statement value at end of current period (Line 11 minus Line 12).....	.....2,003,000	.....2,003,000

SCHEDULE D - VERIFICATION  
Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	.....8,765,572	.....9,557,718
2. Cost of bonds and stocks acquired.....	.....700,576	.....1,214,766
3. Accrual of discount.....	.....4,106	.....13,707
4. Unrealized valuation increase (decrease).....	.....	.....69,253
5. Total gain (loss) on disposals.....	.....17,394	.....20,554
6. Deduct consideration for bonds and stocks disposed of.....	.....475,016	.....2,092,299
7. Deduct amortization of premium.....	.....5,566	.....18,128
8. Total foreign exchange change in book/adjusted carrying value.....	.....	.....
9. Deduct current year's other than temporary impairment recognized.....	.....	.....
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	.....9,007,066	.....8,765,572
11. Deduct total nonadmitted amounts.....	.....	.....
12. Statement value at end of current period (Line 10 minus Line 11).....	.....9,007,066	.....8,765,572

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1	2	3	4	5	6	7	8
	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a).....	7,090,183	405,488	126,362	11,829	7,090,183	7,381,138		7,291,707
2. Class 2 (a).....	701,318				701,318	701,318		701,318
3. Class 3 (a).....	133,167				133,167	133,167		133,167
4. Class 4 (a).....	277,842				277,842	277,842		277,842
5. Class 5 (a).....	81,780				81,780	81,780		81,780
6. Class 6 (a).....	25,149				25,149	25,149		25,149
7. Total Bonds.....	8,309,439	405,488	126,362	11,829	8,309,439	8,600,394	0	8,510,963
PREFERRED STOCK								
8. Class 1.....								
9. Class 2.....								
10. Class 3.....								
11. Class 4.....								
12. Class 5.....								
13. Class 6.....								
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock.....	8,309,439	405,488	126,362	11,829	8,309,439	8,600,394	0	8,510,963

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

**Sch. DA-Part 1**  
**NONE**

**Sch. DA-Verification**  
**NONE**

**Sch. DB-Pt A-Verification**  
**NONE**

**Sch. DB-Pt B-Verification**  
**NONE**

**Sch. DB-Pt C-Sn 1**  
**NONE**

**Sch. DB-Pt C-Sn 2**  
**NONE**

**Sch. DB-Verification**  
**NONE**

**Sch. E-Verification**  
**NONE**

**Sch. A-Pt 2**  
**NONE**

**Sch. A-Pt 3**  
**NONE**

**Sch. B-Pt 2**  
**NONE**

**Sch. B-Pt 3**  
**NONE**

**Sch. BA-Pt 2**  
**NONE**

**Sch. BA-Pt 3**  
**NONE**

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1	2			3	4	5	6	7	8	9	10
CUSIP Identification	Description			Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Bonds - Industrial and Miscellaneous											
167486	HN	2	OHIO POWER CO.....		....4/29/2011	WELLS FARGO.....		.....102,805	.....100,000	.....1,840	1.....
167486	HN	2	CHICAGO, IL SERVICE DEPT.....		....4/19/2011	JANNEY MONTGOMERY SCOTT.....		.....13,939	.....15,000	.....282	1.....
46625H	HS	2	J P MORGAN CHASE.....		....4/25/2011	JANNEY MONTGOMERY SCOTT.....		.....34,806	.....35,000	.....402	1.....
40428H	PB	2	HSBC USA INC.....		....4/11/2011	JANNEY MONTGOMERY SCOTT.....		.....49,988	.....50,000	.....90	1.....
94974B	EV	8	WELLS FARGO CO.....		....4/11/2011	JANNEY MONTGOMERY SCOTT.....		.....50,000	.....50,000	.....77	1.....
06738J	6J	8	SANCLAY'S BANK.....		....5/12/2011	JANNEY MONTGOMERY SCOTT.....		.....25,000	.....25,000	.....413	1.....
38141E	Z6	9	GOLDMAN SACHS GROUP.....		....5/12/2011	JANNEY MONTGOMERY SCOTT.....		.....25,000	.....25,000		1.....
959802	AH	2	WESTERN UNION CO.....		....5/26/2011	WELLS FARGO.....		.....103,950	.....100,000	.....211	1.....
3899999.	Total - Bonds - Industrial & Miscellaneous.....							.....405,488	.....400,000	.....3,315	.....XXX.....
8399997.	Total - Bonds - Part 3.....							.....405,488	.....400,000	.....3,315	.....XXX.....
8399999.	Total - Bonds.....							.....405,488	.....400,000	.....3,315	.....XXX.....
Common Stocks - Industrial and Miscellaneous											
36242H	10	4	GABELLI DIVIDEND & INCOME TRUST.....		....5/26/2011	WELLS FARGO.....	.....2,900.000	.....48,161	.....XXX.....	.....203	
530158	10	4	LIBERTY ALL-STAR EQUITY FUND.....		....5/31/2011	WELLS FARGO.....	.....9,500.000	.....48,907	.....XXX.....		
00769N	66	2	ADVISORS ASSET MANAGEMENT.....		....6/9/2011	WELLS FARGO.....	.....4,857.000	.....49,996	.....XXX.....	.....210	
9099999.	Total - Common Stocks - Industrial & Miscellaneous.....							.....147,064	.....XXX.....	.....413	.....XXX.....
9799997.	Total - Common Stocks - Part 3.....							.....147,064	.....XXX.....	.....413	.....XXX.....
9799999.	Total - Common Stocks.....							.....147,064	.....XXX.....	.....413	.....XXX.....
9899999.	Total - Preferred and Common Stocks.....							.....147,064	.....XXX.....	.....413	.....XXX.....
9999999.	Total - Bonds, Preferred and Common Stocks.....							.....552,552	.....XXX.....	.....3,728	.....XXX.....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2			3 F o r e i g n	4  Disposal Date	5  Name of Purchaser	6  Number of Shares of Stock	7  Consideration	8  Par Value	9  Actual Cost	10  Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16  Book/ Adjusted Carrying Value At Disposal Date	17  Foreign Exchange Gain (Loss) on Disposal	18  Realized Gain (Loss) on Disposal	19  Total Gain (Loss) on Disposal	20  Bond Interest/ Stock Dividends Received During Year	21  Maturity Date	22 NAIC Desig- nation or Market Indicator (a)
												11  Unrealized Valuation Increase/ (Decrease)	12  Current Year's (Amortization)/ Accretion	13  Current Year's Other Than Temporary Impairment Recognized	14  Total Change in B./A.C.V. (11+12-13)	15  Total Foreign Exchange Change in B./A.C.V.							

Bonds - U.S. Government

31396H	B3	2	FEDERAL HOME LOAN MORTGAGE.....	.....	4/12/2011	CALLED.....	.....	5,000	5,000	5,000	5,000	.....	.....	.....	.....0	.....	.....	.....	.....0	.....	.....	.....0	.....	02/15/2036	1.....
31395H	Z9	4	FEDERAL HOME LOAN MORTGAGE.....	.....	5/26/2011	CALLED.....	.....	1,158	1,158	1,158	1,158	.....	.....	.....	.....0	.....	.....	.....	.....0	.....	.....	.....0	.....7	11/15/2034	1.....
			FEDERAL HOME LOAN MORTGAGE.....	.....	6/30/2011	CALLED (PARTIAL).....	.....	29,112	29,112	29,112	29,112	.....	.....	.....	.....0	.....	.....	.....	.....0	.....	.....	.....0	.....		1.....
			MULTICLASS CMO.....	.....	6/30/2011	CALLED (PARTIAL).....	.....	9,059	9,059	9,059	9,059	.....	.....	.....	.....0	.....	.....	.....	.....0	.....	.....	.....0	.....		1.....
			FEDERAL NATIONAL MORTGAGE ASSN.....	.....	6/30/2011	CALLED (PARTIAL).....	.....	4,896	4,886	4,896	4,896	.....	.....	.....	.....0	.....	.....	.....	.....0	.....	.....	.....0	.....		1.....
			GNMA PASS THRU POOL.....	.....	6/30/2011	CALLED (PARTIAL).....	.....	3,065	3,085	3,065	3,065	.....	.....	.....	.....0	.....	.....	.....	.....0	.....	.....	.....0	.....		1.....
0599999.			Total - Bonds - U.S. Government.....					52,290	52,300	52,290	52,290	.....0	.....0	.....0	.....0	.....0	.....0	.....0	.....0	.....0	.....0	.....7	....XXX...	..XXX...	

Bonds - Industrial and Miscellaneous

020039	DC	4	ALLTEL CORP.....	.....	.5/18/2011	SOLD.....	.....	.....72,394	.....55,000	.....58,435	.....55,000	.....	.....	.....	.....0	.....	.....(4,435)	.....	.....17,394	.....17,394	.....2,166	07/01/2032	1.....
125581	FT	0	CIT.....	.....	.5/26/2011	CALLED.....	.....	.....737	.....737	.....737	.....737	.....	.....	.....	.....0	.....	.....	.....	.....	.....0	.....45	05/01/2013	1.....
125581	FV	5	CIT.....	.....	.5/31/2011	CALLED (PARTIAL).....	.....	.....941	.....941	.....941	.....941	.....	.....	.....	.....0	.....	.....	.....	.....	.....0	.....	05/01/2015	1.....
3899999.			Total - Bonds - Industrial & Miscellaneous.....	.....			.....	.....74,072	.....56,678	.....60,113	.....56,678	.....0	.....0	.....0	.....0	.....0	.....(4,435)	.....0	.....17,394	.....17,394	.....2,211	....XXX...	..XXX...
8399997.			Total - Bonds - Part 4.....	.....			.....	.....126,362	.....108,978	.....112,403	.....108,968	.....0	.....0	.....0	.....0	.....0	.....(4,435)	.....0	.....17,394	.....17,394	.....2,218	....XXX...	..XXX...
8399999.			Total - Bonds.....	.....			.....	.....126,362	.....108,978	.....112,403	.....108,968	.....0	.....0	.....0	.....0	.....0	.....(4,435)	.....0	.....17,394	.....17,394	.....2,218	....XXX...	..XXX...
9999999.			Total - Bonds, Preferred and Common Stocks.....	.....			.....	.....126,362	.....XXX.....	.....112,403	.....108,968	.....0	.....0	.....0	.....0	.....0	.....(4,435)	.....0	.....17,394	.....17,394	.....2,218	....XXX...	..XXX...

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

QE05

**Sch. DB-Pt A-Sn 1**  
**NONE**

**Sch. DB-Pt A-Sn 1-Footernote**  
**NONE**

**Sch. DB-Pt B-Sn 1**  
**NONE**

**Sch. DB-Pt B-Sn 1-Footernote**  
**NONE**

**Sch. DB-Pt B-Sn 1B-Broker List**  
**NONE**

**Sch. DB-Pt D**  
**NONE**

**Sch. DL-Pt. 1**  
**NONE**

**Sch. DL-Pt. 2**  
**NONE**

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1  Depository	2  Code	3  Rate of Interest	4  Amount of Interest Received During Current Quarter	5  Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9  *
					6  First Month	7  Second Month	8  Third Month	

Open Depositories

CFG COMMUNITY BANK.....	MASSACHUSETTS.....		2.750	423		61,000	61,000	61,000	XXX..
HSBC BANK.....						100,000	100,000	100,000	XXX..
HUNTINGTON BANK.....	OHIO.....		2.500	2					XXX..
LAKESIDE BANK.....	ILLINOIS.....		1.050	156		60,000	60,000	60,000	XXX..
LEHMAN BROS. BANK.....	DELAWARE.....		5.500	1,367		25,000			XXX..
MIDFIRST BANK.....	OKLAHOMA.....		2.350	586	196	50,000	60,000	50,000	XXX..
PNC BANK (NATIONAL CITY).....	OHIO.....		5.020	508		40,000	40,000	40,000	XXX..
OHIO SAVINGS BANK.....	OHIO.....		1.750	8		11,805	11,809	11,811	XXX..
PNC MONEY MARKET.....	OHIO.....		0.090	1,390		1,552,952	1,553,441	1,553,887	XXX..
PNC CHECKING.....	OHIO.....					659,583	550,310	441,888	XXX..
OHIO CREDIT.....	OHIO.....		1.400		35	10,005	10,005	10,005	XXX..
SOUTHWEST BANK.....	MISSOURI.....		5.000	630		50,000	50,000	50,000	XXX..
WELLS FARGO BANK.....	NORTH CAROLINA.....					100,000			XXX..
WELLS FARGO BANK.....	NORTH CAROLINA.....							100,000	XXX..
0199999. Total Open Depositories.....	...XXX.....	...XXX.....	5,070	231		2,720,345	2,496,565	2,478,590	XXX..
0399999. Total Cash on Deposit.....	...XXX.....	...XXX.....	5,070	231		2,720,345	2,496,565	2,478,590	XXX..
0499999. Cash in Society's Office.....	...XXX.....	...XXX.....	XXX	XXX		25	25	25	XXX..
0599999. Total Cash.....	...XXX.....	...XXX.....	5,070	231		2,720,370	2,496,590	2,478,615	XXX..

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year

NONE