



QUARTERLY STATEMENT

As of June 30, 2011
of the Condition and Affairs of the

Alliance Of Transylvanian Saxons

NAIC Group Code..... ,
(Current Period) (Prior Period)

NAIC Company Code..... 56197

Employer's ID Number..... 34-0138510

Organized under the Laws of Ohio

State of Domicile or Port of Entry Ohio

Country of Domicile US

Incorporated/Organized..... August 31, 1902

Commenced Business..... August 31, 1902

Statutory Home Office

5393 Pearl Road..... Cleveland OH 44129-1597
(Street and Number) (City or Town, State and Zip Code)

Main Administrative Office

5393 Pearl Road..... Cleveland OH 44129-1597
(Street and Number) (City or Town, State and Zip Code)

440-842-8442
(Area Code) (Telephone Number)

Mail Address

5393 Pearl Road..... Cleveland OH 44129-1597
(Street and Number or P. O. Box) (City or Town, State and Zip Code)

Primary Location of Books and Records

5393 Pearl Road..... Cleveland OH 44129-1597
(Street and Number) (City or Town, State and Zip Code)

440-842-8442
(Area Code) (Telephone Number)

Internet Web Site Address

http://www.atsaxons.com

Statutory Statement Contact

Joan Annette Miller-Malue
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OFFICERS

Name	Title	Name	Title
1. Thomas Joseph Manning	President	2. Monica Marie Weber	Secretary
3. Denise Aeling Crawford	Treasurer	4. Heidorn Consulting, Inc.	Consulting Actuary
OTHER			
Robert Burns Cunningham, III	First Vice President	Joan Annette Miller-Malue	Second Vice President
John Boehm, Jr.	Third Vice President		

DIRECTORS OR TRUSTEES

Barbara Brayn	Ronald Benedict	Hanz G. Hermann	Peter Karsti, II
Jacob F. Spor			

State of..... Ohio
County of..... Cuyahoga

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Thomas Joseph Manning	Monica Marie Weber	Denise Aeling Crawford
1. (Printed Name)	2. (Printed Name)	3. (Printed Name)
President	Secretary	Treasurer
(Title)	(Title)	(Title)

Subscribed and sworn to before me

This _____ day of _____

a. Is this an original filing?

Yes [X] No []

b. If no:

1. State the amendment number _____

2. Date filed _____

3. Number of pages attached _____

ASSETS

	Current Statement Date			4
	1	2	3	
	Assets	Nonadmitted Assets	Net Admitted Assets (Cols. 1 - 2)	December 31 Prior Year Net Admitted Assets
1. Bonds.....	53,743,616		53,743,616	53,177,317
2. Stocks:				
2.1 Preferred stocks.....	1,000,000		1,000,000	1,000,000
2.2 Common stocks.....	998,383		998,383	1,004,109
3. Mortgage loans on real estate:				
3.1 First liens.....			0	
3.2 Other than first liens.....			0	
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	4,961		4,961	5,955
4.2 Properties held for the production of income (less \$.....0 encumbrances).....			0	
4.3 Properties held for sale (less \$.....0 encumbrances).....			0	
5. Cash (\$....492,874), cash equivalents (\$.....0) and short-term investments (\$.....14,354,056).....	14,846,930		14,846,930	13,504,975
6. Contract loans (including \$.....0 premium notes).....	163,404		163,404	167,648
7. Derivatives.....			0	
8. Other invested assets.....	2,082,619		2,082,619	2,082,619
9. Receivables for securities.....			0	
10. Securities lending reinvested collateral assets.....			0	
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	72,839,913	0	72,839,913	70,942,623
13. Title plants less \$.....0 charged off (for Title insurers only).....			0	
14. Investment income due and accrued.....	994,188		994,188	973,272
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....			0	
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	2,807		2,807	6,714
15.3 Accrued retrospective premiums.....			0	
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....			0	
16.2 Funds held by or deposited with reinsured companies.....			0	
16.3 Other amounts receivable under reinsurance contracts.....			0	
17. Amounts receivable relating to uninsured plans.....			0	
18.1 Current federal and foreign income tax recoverable and interest thereon.....			0	
18.2 Net deferred tax asset.....			0	
19. Guaranty funds receivable or on deposit.....			0	
20. Electronic data processing equipment and software.....	9,062		9,062	9,062
21. Furniture and equipment, including health care delivery assets (\$.....0).....			0	
22. Net adjustment in assets and liabilities due to foreign exchange rates.....			0	
23. Receivables from parent, subsidiaries and affiliates.....			0	
24. Health care (\$.....0) and other amounts receivable.....			0	252,560
25. Aggregate write-ins for other than invested assets.....	289,928	289,928	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	74,135,898	289,928	73,845,970	72,184,231
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....			0	
28. Total (Lines 26 and 27).....	74,135,898	289,928	73,845,970	72,184,231

DETAILS OF WRITE-INS

1101.			0	
1102.			0	
1103.			0	
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Retirement Funds.....	289,853	289,853	0	
2502. Deposit.....	75	75	0	
2503.			0	
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	289,928	289,928	0	0

LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts (including \$.....0 Modco Reserve).....	57,505,133	57,045,930
2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....		
3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....	5,316,413	5,257,413
4. Contract claims:		
4.1 Life.....	1,270,297	364,468
4.2 Accident and health.....		
5. Refunds due and unpaid.....		
6. Provisions for refunds payable in following calendar year - estimated amounts:		
6.1 Apportioned for payment.....		
6.2 Not yet apportioned.....	55,000	55,000
7. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....0 accident and health premiums.....	2,146	1,671
8. Certificate and contract liabilities not included elsewhere:		
8.1 Surrender values on canceled contracts.....		
8.2 Other amounts payable on reinsurance including \$.....0 assumed and \$.....0 ceded.....		
8.3 Interest maintenance reserve (IMR).....	153,862	169,230
9. Commissions to fieldworkers due or accrued - life and annuity contracts \$.....0, accident and health \$.....0 and deposit-type contract funds \$.....0.....	1,151	1,151
10. Commissions and expense allowances payable on reinsurance assumed.....		
11. General expenses due or accrued.....	14,679	35,641
12. Transfers to Separate Accounts due or accrued (net) (including \$.....0 accrued for expense allowances recognized in reserves).....		
13. Taxes, licenses and fees due or accrued.....	2,957	2,783
14. Unearned investment income.....		
15. Amounts withheld or retained by Society as agent or trustee.....	3,046,532	2,985,666
16. Amounts held for fieldworkers' account, including \$.....0 fieldworkers' credit balances.....		
17. Remittances and items not allocated.....		
18. Net adjustment in assets and liabilities due to foreign exchange rates.....		
19. Liability for benefits for employees and fieldworkers if not included above.....		
20. Borrowed money \$.....0 and interest thereon \$.....0.....		
21. Miscellaneous liabilities:		
21.1 Asset valuation reserve.....	412,407	399,925
21.2 Reinsurance in unauthorized companies.....		
21.3 Funds held under reinsurance treaties with unauthorized reinsurers.....		
21.4 Payable to subsidiaries and affiliates.....		
21.5 Drafts outstanding.....		
21.6 Funds held under coinsurance.....		
21.7 Derivatives.....		
21.8 Payable for securities.....		
21.9 Payable for securities lending.....		
22. Aggregate write-ins for liabilities.....	419,158	419,157
23. Total liabilities excluding Separate Accounts business (Lines 1 to 22).....	68,199,735	66,738,035
24. From Separate Accounts Statement.....		
25. Total liabilities (Lines 23 to 24).....	68,199,735	66,738,035
26. Aggregate write-ins for other than liabilities and surplus funds.....	0	0
27. Surplus notes.....		
28. Aggregate write-ins for surplus funds.....	0	0
29. Unassigned funds.....	5,646,235	5,446,194
30. Total (Lines 26 through 29) (including \$.....0 in Separate Accounts Statement).....	5,646,235	5,446,194
31. Totals (Lines 25 + 30) (Page 2, Line 28, Col. 3).....	73,845,970	72,184,229

DETAILS OF WRITE-INS		
2201. Unallocated Special Deposit.....		
2202. Scholarship Fund.....	419,158	419,157
2203. Payroll Withholdings.....		
2298. Summary of remaining write-ins for Line 22 from overflow page.....	0	0
2299. Totals (Lines 2201 thru 2203 plus 2298) (Line 22 above).....	419,158	419,157
2601.		
2602.		
2603.		
2698. Summary of remaining write-ins for Line 26 from overflow page.....	0	0
2699. Totals (Lines 2601 thru 2603 plus 2698) (Line 26 above).....	0	0
2801.		
2802.		
2803.		
2898. Summary of remaining write-ins for Line 28 from overflow page.....	0	0
2899. Totals (Lines 2801 thru 2803 plus 2898) (Line 28 above).....	0	0

Alliance Of Transylvanian Saxons
SUMMARY OF OPERATIONS

		1	2	3
		Current Year To Date	Prior Year To Date	Prior Year Ended December 31
1.	Premiums and annuity considerations for life and accident and health contracts.....	1,251,516	1,842,985	3,056,826
2.	Considerations for supplementary contracts with life contingencies.....			
3.	Net investment income.....	1,973,275	1,844,562	3,696,607
4.	Amortization of Interest Maintenance Reserve (IMR).....	15,368	16,500	32,908
5.	Separate Accounts net gain from operations excluding unrealized gains and losses.....			
6.	Commissions and expense allowances on reinsurance ceded.....			
7.	Reserve adjustments on reinsurance ceded.....			
8.	Miscellaneous income:			
8.1	Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....			
8.2	Charges and fees for deposit-type contracts.....			
8.3	Aggregate write-ins for miscellaneous income.....	10,554	1,229	14,002
9.	Totals (Lines 1 to 8.3).....	3,250,713	3,705,276	6,800,343
10.	Death benefits.....	68,435	93,799	162,998
11.	Matured endowments (excluding guaranteed annual pure endowments).....	1,150	1,850	23,384
12.	Annuity benefits.....	2,090,833	1,938,117	3,062,453
13.	Disability benefits and benefits under accident and health contracts including premiums waived \$.....0.....			
14.	Surrender benefits and withdrawals for life contracts.....	17,956	14,562	39,488
15.	Interest and adjustments on contract or deposit-type contract funds.....	147,055	158,075	311,996
16.	Payments on supplementary contracts with life contingencies.....			
17.	Increase in aggregate reserve for life and accident and health contracts.....	459,203	880,570	1,953,421
18.	Totals (Lines 10 to 17).....	2,784,632	3,086,973	5,553,740
19.	Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....	4,233	4,485	10,637
20.	Commissions and expense allowances on reinsurance assumed.....			
21.	General insurance expenses and fraternal expenses.....	350,244	356,144	785,573
22.	Insurance taxes, licenses and fees.....	10,660	12,637	22,327
23.	Increase in loading on deferred and uncollected premiums.....	(1,602)	(1,369)	(524)
24.	Net transfers to or (from) Separate Accounts net of reinsurance.....			
25.	Aggregate write-ins for deductions.....	0	0	0
26.	Totals (Lines 18 to 25).....	3,148,167	3,458,870	6,371,753
27.	Net gain from operations before refunds to members (Line 9 minus Line 26).....	102,546	246,406	428,590
28.	Refunds to members.....	22,398	12,767	31,964
29.	Net gain from operations after refunds to members and before realized capital gains (losses) (Line 27 minus Line 28).....	80,148	233,639	396,626
30.	Net realized capital gains (losses) less capital gains tax of0 (excluding \$.....0 transferred to the IMR).....	131,778	17,036	76,509
31.	Net income (Lines 29 + 30).....	211,926	250,675	473,135
SURPLUS ACCOUNT				
32.	Surplus, December 31, prior year.....	5,446,192	4,974,620	4,974,620
33.	Net income from operations (Line 31).....	211,926	250,675	473,135
34.	Change in net unrealized capital gains (losses) less capital gains tax of \$.....0.....	596	(114,989)	7,361
35.	Change in net unrealized foreign exchange capital gain (loss).....			
36.	Change in nonadmitted assets.....			
37.	Change in liability for reinsurance in unauthorized companies.....			
38.	Change in reserve on account of change in valuation basis (increase) or decrease.....			
39.	Change in asset valuation reserve.....	(12,479)	14,744	(8,924)
40.	Surplus (contributed to) withdrawn from Separate Accounts during period.....			
41.	Other changes in surplus in Separate Accounts Statement.....			
42.	Change in surplus notes.....			
43.	Cumulative effect of changes in accounting principles.....			
44.	Change in surplus as a result of reinsurance.....			
45.	Aggregate write-ins for gains and losses in surplus.....	0	0	0
46.	Net change in surplus for the year (Lines 33 through 45).....	200,043	150,430	471,572
47.	Surplus as of statement date (Lines 32 + 46).....	5,646,235	5,125,050	5,446,192

DETAILS OF WRITE-INS			
08.301.	Other.....	10,554	14,002
08.302.	Sale of Books.....		1,189
08.303.	Donations.....		40
08.398.	Summary of remaining write-ins for Line 8.3 from overflow page.....	0	0
08.399.	Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	10,554	1,229
2501.			
2502.			
2503.			
2598.	Summary of remaining write-ins for Line 25 from overflow page.....	0	0
2599.	Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	0	0
4501.	2003 Audit Adjustment.....		
4502.	Codification Adjustment.....		
4503.	Prior period bond adjustment.....		
4598.	Summary of remaining write-ins for Line 45 from overflow page.....	0	0
4599.	Totals (Lines 4501 thru 4503 plus 4598) (Line 45 above).....	0	0

CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance	1,257,500	1,847,966	3,058,263
2. Net investment income	1,953,353	1,705,236	3,568,834
3. Miscellaneous income	10,554	1,229	14,002
4. Total (Lines 1 through 3)	3,221,407	3,554,431	6,641,099
5. Benefit and loss related payments	1,417,610	2,510,174	3,830,288
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	382,304	385,754	804,455
8. Dividends paid to policyholders	22,398	22,767	51,964
9. Federal and foreign income taxes paid (recovered) net of \$ 0 tax on capital gains (losses)			
10. Total (Lines 5 through 9)	1,822,312	2,918,695	4,686,707
11. Net cash from operations (Line 4 minus Line 10)	1,399,095	635,736	1,954,392
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	1,443,946	10,088	2,454,427
12.2 Stocks	66,108	2,215,696	34,132,642
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets			
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments			4,381
12.7 Miscellaneous proceeds			
12.8 Total investment proceeds (Lines 12.1 to 12.7)	1,510,054	2,225,784	36,591,450
13. Cost of investments acquired (long-term only):			
13.1 Bonds	1,938,250	7,347,986	10,867,446
13.2 Stocks	3	1,169,761	32,798,136
13.3 Mortgage loans			
13.4 Real estate			
13.5 Other invested assets			
13.6 Miscellaneous applications			
13.7 Total investments acquired (Lines 13.1 to 13.6)	1,938,253	8,517,747	43,665,582
14. Net increase (decrease) in contract loans and premium notes	(4,244)	4,787	10,740
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(423,955)	(6,296,750)	(7,084,872)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	366,815	775,502	550,777
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6)	366,815	775,502	550,777
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17)	1,341,955	(4,885,512)	(4,579,703)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	13,504,975	18,084,678	18,084,678
19.2 End of period (Line 18 plus Line 19.1)	14,846,930	13,199,166	13,504,975

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
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EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Life Insurance.....	32,622	36,609	95,968
2. Individual annuities.....	1,219,016	1,806,746	2,962,629
3. Accident and Health.....			
4. Aggregate of all other lines of business.....	0	0	0
5. Subtotal (Lines 1 through 4).....	1,251,638	1,843,355	3,058,597
6. Fraternal.....			
7. Expenses.....			
8. Subtotal (Lines 5 through 7).....	1,251,638	1,843,355	3,058,597
9. Deposit-type contracts.....	49,026	795,176	503,891
10. Total.....	1,300,664	2,638,531	3,562,488

DETAILS OF WRITE-INS

0401.			
0402.			
0403.			
0498. Summary of remaining write-ins for Line 4 from overflow page.....	0	0	0
0499. Total (Lines 0401 thru 0403 plus 0498) (Line 4 above).....	0	0	0

NOTES TO FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies

A. Accounting Practices

The financial statements of Alliance of Transylvanian Saxons are presented on the basis of accounting practices prescribed or permitted by the Ohio Insurance Department.

The home office building is being carried at depreciated cost.

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Ohio is shown below:

	6/30/2011	2010
(1) Net Income Ohio state basis	\$ 211,926	\$ 473,135
(2) State Prescribed Practices (Income):		
Depreciation of fixed assets		
(3) State Permitted Practices (Income):		
Depreciation, home office property		
(4) Net Income, NAIC SAP	\$ 211,926	\$ 473,135
(5) Statutory Surplus Ohio basis	\$ 5,646,235	\$5,446,192
(6) State Prescribed Practices (Surplus):		
Goodwill, net		
Fixed Assets, net		
(7) State Permitted Practices (Surplus):		
Home Office Property		
(8) Statutory Surplus, NAIC SAP	\$ 5,646,235	\$ 5,446,192

Note 2 - Accounting Changes and Corrections of Errors

No significant change.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

No significant change.

Note 5 - Investments

D. Loan-Backed Securities - NONE.

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments

No significant change.

Note 9 - Income Taxes

No significant change.

Note 10 - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant change.

Note 11 - Debt

No significant change.

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

No significant change.

Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

No significant change.

Note 14 - Contingencies

No significant change.

Note 15 - Leases

No significant change.

Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change.

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

C. Wash Sales - NONE.

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

Note 20 - Other Items - Fair Value Measurements

Fair Value Measurements: No significant change.

Note 21 - Events Subsequent

No significant change.

Note 22 - Reinsurance

No significant change.

Note 23 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change.

Note 24 - Change in Incurred Losses and Loss Adjustment Expenses

Change in Incured Losses and Loss Adjustment Expenses - NONE.

Note 25 - Intercompany Pooling Arrangements

Incurred Loss: No significant change.

Note 26 - Structured Settlements

No significant change.

Note 27 - Health Care Receivables

No significant change.

Note 28 - Participating Policies

No significant change.

Note 29 - Premium Deficiency Reserves

No significant change.

Note 30 - Reserves for Life Contracts and Annuity Contracts

No significant change.

NOTES TO FINANCIAL STATEMENTS

Note 31 - Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant change.

Note 32 - Premiums and Annuity Considerations Deferred and Uncollected

No significant change.

Note 33 - Separate Accounts

No significant change.

Note 34 - Loss/Claim Adjustment Expenses

No significant change.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [☐]

No [☒ X]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [☐]

No [☐]

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [☐]

No [☒ X]

2.2

If yes, date of change:

.....

3.

Have there been any substantial changes in the organizational chart since the prior quarter end?
If yes, complete the Schedule Y-Part 1 - Organizational chart.

Yes [☐]

No [☒ X]

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes [☐]

No [☒ X]

4.2

If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes [☐]

No [☒ X]

N/A [☐]

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

7/27/2009.....

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2008.....

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

10/1/2009.....

6.4

By what department or departments?
Ohio

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [☒ X]

No [☐]

N/A [☐]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [☒ X]

No [☐]

N/A [☐]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [☐]

No [☒ X]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [☐]

No [☒ X]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [☐]

No [☒ X]

8.4

If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Office of Thrift Supervision (OTS), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1	2	3	4	5	6	7
Affiliate Name	Location (City, State)	FRB	OCC	OTS	FDIC	SEC

9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes [☒ X]

No [☐]

9.11

If the response to 9.1 is No, please explain:

9.2

Has the code of ethics for senior managers been amended?

Yes [☐]

No [☒ X]

9.21

If the response to 9.2 is Yes, provide information related to amendment(s).

9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes [☐]

No [☒ X]

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$.0

13. Amount of real estate and mortgages held in short-term investments: \$.0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No [X]

14.2 If yes, please complete the following:

	1	2
	Prior Year-End	Current Quarter
	Book/Adjusted Carrying Value	Book/Adjusted Carrying Value
14.21 Bonds.....	\$0	\$0
14.22 Preferred Stock.....	\$0	\$0
14.23 Common Stock.....	\$0	\$0
14.24 Short-Term Investments.....	\$0	\$0
14.25 Mortgage Loans on Real Estate.....	\$0	\$0
14.26 All Other.....	\$0	\$0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above.....	\$0	\$0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No []
If no, attach a description with this statement.

16. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 3, III. Conducting Examinations, F-Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []

16.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1	2
Name of Custodian(s)	Custodian Address
William Blair & Company	222 West Adams Street, Chicago, IL 60606
Credit Suisse First Boston	Eleven Madison Avenue, New York, NY 10010

16.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1	2	3
Name(s)	Location(s)	Complete Explanation(s)

16.3 Have there been any changes, including name changes, in the custodian(s) identified in 16.1 during the current quarter? Yes [] No [X]

16.4 If yes, give full and complete information relating thereto:

1	2	3	4
Old Custodian	New Custodian	Date of Change	Reason

16.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1	2	3
Central Registration Depository	Name(s)	Address

17.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No []

17.2 If no, list exceptions:

Alliance Of Transylvanian Saxons
GENERAL INTERROGATORIES (continued)
PART 2 - FRATERNAL

1.

Report the statement value of mortgage loans at the end of this reporting period for the following categories:

1

1.1

Long-term mortgages in good standing:

Reponses

1.11

Farm mortgages.....

1.12

Residential mortgages.....

1.13

Commercial mortgages.....

1.14

Total mortgages in good standing.....

\$.....0

1.2

Long-term mortgages in good standing with restructured terms:

1.21

Total mortgages in good standing with restructured terms.....

1.3

Long-term mortgage loans upon which interest is overdue more than three months:

1.31

Farm mortgages.....

1.32

Residential mortgages.....

1.33

Commercial mortgages.....

1.34

Total mortgages with interest overdue more than three months.....

\$.....0

1.4

Long-term mortgage loans in process of foreclosure:

1.41

Farm mortgages.....

1.42

Residential mortgages.....

1.43

Commercial mortgages.....

1.44

Total mortgages in process of foreclosure.....

\$.....0

1.5

Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2).....

\$.....0

1.6

Long-term mortgages foreclosed, properties transferred to real estate in current quarter:

1.61

Farm mortgages.....

1.62

Residential mortgages.....

1.63

Commercial mortgages.....

1.64

Total mortgages foreclosed and transferred to real estate.....

\$.....0

2.1

In all cases where the reporting entity has assumed accident and health risks from another company, provisions should be made in this statement on account of such reinsurance for reserve equal to that which the original company would have been required to establish had it retained the risks. Has this been done?

Yes []

No [X]

2.2

If no, explain.....

Not Applicable

3.

Operating Percentages:

3.1

A&H loss percent.....

3.2

A&H cost containment percent.....

3.3

A&H expense percent excluding cost containment expenses.....

4.1

Do you act as a custodian for health savings accounts?.....

Yes []

No [X]

4.2

If yes, please provide the amount of custodial funds held as of the reporting date.....

\$.....

4.3

Do you act as an administrator for health savings accounts?.....

Yes []

No [X]

4.4

If yes, please provide the balance of the funds administered as of the reporting date.....

\$.....

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7
NAIC Company Code	Federal ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Is Insurer Authorized? (YES or NO)

NONE

Alliance Of Transylvanian Saxons
SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

State, Etc.	1	Direct Business Only					
		Life Contracts		4	5	6	7
		2	3				
	Active Status	Life Insurance Premiums	Annuity Considerations	Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	Other Considerations	Total Columns 2 through 5	Deposit-Type Contracts
1. Alabama.....AL	.N					0	
2. Alaska.....AK	.N					0	
3. Arizona.....AZ	.N					0	
4. Arkansas.....AR	.N					0	
5. California.....CA	.N					0	
6. Colorado.....CO	.N					0	
7. Connecticut.....CT	.N					0	
8. Delaware.....DE	.N					0	
9. District of Columbia.....DC	.N					0	
10. Florida.....FL	.N					0	
11. Georgia.....GA	.N					0	
12. Hawaii.....HI	.N					0	
13. Idaho.....ID	.N					0	
14. Illinois.....IL	.L	1,861	85,802			87,663	
15. Indiana.....IN	.L	670	2,350			3,021	
16. Iowa.....IA	.N					0	
17. Kansas.....KS	.N					0	
18. Kentucky.....KY	.N					0	
19. Louisiana.....LA	.N					0	
20. Maine.....ME	.N					0	
21. Maryland.....MD	.N					0	
22. Massachusetts.....MA	.N					0	
23. Michigan.....MI	.L	683	103,087			103,770	
24. Minnesota.....MN	.N					0	
25. Mississippi.....MS	.N					0	
26. Missouri.....MO	.N					0	
27. Montana.....MT	.N					0	
28. Nebraska.....NE	.N					0	
29. Nevada.....NV	.N					0	
30. New Hampshire.....NH	.N					0	
31. New Jersey.....NJ	.N					0	
32. New Mexico.....NM	.N					0	
33. New York.....NY	.N					0	
34. North Carolina.....NC	.N					0	
35. North Dakota.....ND	.N					0	
36. Ohio.....OH	.L	21,661	913,878			935,538	49,026
37. Oklahoma.....OK	.N					0	
38. Oregon.....OR	.N					0	
39. Pennsylvania.....PA	.L	7,747	113,899			121,646	
40. Rhode Island.....RI	.N					0	
41. South Carolina.....SC	.N					0	
42. South Dakota.....SD	.N					0	
43. Tennessee.....TN	.N					0	
44. Texas.....TX	.N					0	
45. Utah.....UT	.N					0	
46. Vermont.....VT	.N					0	
47. Virginia.....VA	.N					0	
48. Washington.....WA	.N					0	
49. West Virginia.....WV	.N					0	
50. Wisconsin.....WI	.N					0	
51. Wyoming.....WY	.N					0	
52. American Samoa.....AS	.N					0	
53. Guam.....GU	.N					0	
54. Puerto Rico.....PR	.N					0	
55. US Virgin Islands.....VI	.N					0	
56. Northern Mariana Islands.....MP	.N					0	
57. Canada.....CN	.N					0	
58. Aggregate Other Alien.....OT	.XXX	0	0	0	0	0	0
59. Subtotals.....(a).....5		32,622	1,219,016	0	0	1,251,638	49,026
90. Reporting entity contributions for employee benefit plans.....	.XXX					0	
91. Dividends or refunds applied to purchase paid-up additions and annuities.....	.XXX	15,272				15,272	
92. Dividends or refunds applied to shorten endowment or premium paying period.....	.XXX					0	
93. Premium or annuity considerations waived under disability or other contract provisions.....	.XXX					0	
94. Aggregate other amounts not allocable by state.....	.XXX	0	0	0	0	0	0
95. Totals (Direct Business).....	.XXX	47,894	1,219,016	0	0	1,266,910	49,026
96. Plus reinsurance assumed.....	.XXX					0	
97. Totals (All Business).....	.XXX	47,894	1,219,016	0	0	1,266,910	49,026
98. Less reinsurance ceded.....	.XXX					0	
99. Totals (All Business) less reinsurance ceded.....	.XXX	47,894	1,219,016	0	0	1,266,910	49,026

DETAILS OF WRITE-INS							
5801.	.XXX					0	
5802.	.XXX					0	
5803.	.XXX					0	
5898. Summary of remaining write-ins for Line 58 from overflow page.....	.XXX	0	0	0	0	0	0
5899. Totals (Lines 5801 thru 5803 plus 5898) (Line 58 above).....	.XXX	0	0	0	0	0	0
9401.	.XXX					0	
9402.	.XXX					0	
9403.	.XXX					0	
9498. Summary of remaining write-ins for Line 94 from overflow page.....	.XXX	0	0	0	0	0	0
9499. Totals (Lines 9401 thru 9403 plus 9498) (Line 94 above).....	.XXX	0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer; (E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.
(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

NONE

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

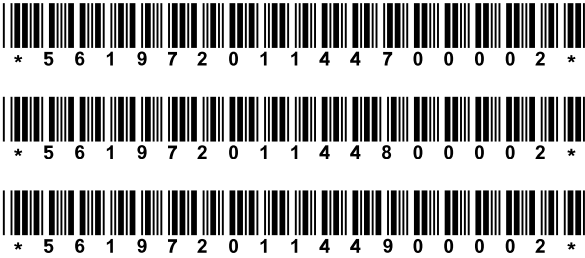
The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanations:

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

Bar Code:



NONE

Alliance Of Transylvanian Saxons
SCHEDULE A - VERIFICATION
Real Estate

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	5,955	7,943
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Current year change in encumbrances.....		
4. Total gain (loss) on disposals.....		
5. Deduct amounts received on disposals.....		
6. Total foreign exchange change in book/adjusted carrying value.....		
7. Deduct current year's other than temporary impairment recognized.....		
8. Deduct current year's depreciation.....	994	1,988
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	4,961	5,955
10. Deduct total nonadmitted amounts.....		
11. Statement value at end of current period (Line 9 minus Line 10).....	4,961	5,955

SCHEDULE B - VERIFICATION
Mortgage Loans

	1	2
	Year to Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and mortgage interest points and commitment fees.....		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....		
10. Deduct current year's other than temporary impairment recognized.....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....		
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....		
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

SCHEDULE BA - VERIFICATION
Other Long-Term Invested Assets

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	2,082,619	2,082,619
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....		
2.2 Additional investment made after acquisition.....		
3. Capitalized deferred interest and other.....		
4. Accrual of discount.....		
5. Unrealized valuation increase (decrease).....		
6. Total gain (loss) on disposals.....		
7. Deduct amounts received on disposals.....		
8. Deduct amortization of premium and depreciation.....		
9. Total foreign exchange change in book/adjusted carrying value.....		
10. Deduct current year's other than temporary impairment recognized.....		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	2,082,619	2,082,619
12. Deduct total nonadmitted amounts.....		
13. Statement value at end of current period (Line 11 minus Line 12).....	2,082,619	2,082,619

SCHEDULE D - VERIFICATION
Bonds and Stocks

	1	2
	Year to Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	55,181,423	48,119,627
2. Cost of bonds and stocks acquired.....	1,938,256	43,665,582
3. Accrual of discount.....		26,824
4. Unrealized valuation increase (decrease).....	596	7,361
5. Total gain (loss) on disposals.....	131,778	72,128
6. Deduct consideration for bonds and stocks disposed of.....	1,510,054	36,587,070
7. Deduct amortization of premium.....		123,029
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other than temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	55,741,999	55,181,423
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	55,741,999	55,181,423

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

QSI02		1	2	3	4	5	6	7	8
		Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
	BONDS								
	1. Class 1 (a).....	48,410,943	15,336,845	14,466,000	(421)	48,410,943	49,281,367		46,160,490
	2. Class 2 (a).....	15,824,430		100,000		15,824,430	15,724,430		15,824,430
	3. Class 3 (a).....	1,305,995				1,305,995	1,305,995		1,305,995
	4. Class 4 (a).....	1,115,257				1,115,257	1,115,257		1,885,991
	5. Class 5 (a).....	213,123				213,123	213,123		213,123
	6. Class 6 (a).....								
	7. Total Bonds.....	66,869,748	15,336,845	14,566,000	(421)	66,869,748	67,640,172	0	65,390,029
	PREFERRED STOCK								
	8. Class 1.....	1,000,000				1,000,000	1,000,000		1,000,000
	9. Class 2.....								
	10. Class 3.....								
	11. Class 4.....								
	12. Class 5.....								
	13. Class 6.....								
	14. Total Preferred Stock.....	1,000,000	0	0	0	1,000,000	1,000,000	0	1,000,000
	15. Total Bonds and Preferred Stock.....	67,869,748	15,336,845	14,566,000	(421)	67,869,748	68,640,172	0	66,390,029

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999. Totals.....	14,354,056	XXX.....	14,354,056		

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	13,170,212	17,744,735
2. Cost of short-term investments acquired.....	20,534,844	24,209,502
3. Accrual of discount.....		
4. Unrealized valuation increase (decrease).....		9,948
5. Total gain (loss) on disposals.....		4,381
6. Deduct consideration received on disposals.....	19,351,000	28,798,354
7. Deduct amortization of premium.....		
8. Total foreign exchange change in book/adjusted carrying value.....		
9. Deduct current year's other than temporary impairment recognized.....		
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	14,354,056	13,170,212
11. Deduct total nonadmitted amounts.....		
12. Statement value at end of current period (Line 10 minus Line 11).....	14,354,056	13,170,212

Sch. DB-Pt A-Verification
NONE

Sch. DB-Pt B-Verification
NONE

Sch. DB-Pt C-Sn 1
NONE

Sch. DB-Pt C-Sn 2
NONE

Sch. DB-Verification
NONE

Sch. E-Verification
NONE

Sch. A-Pt 2
NONE

Sch. A-Pt 3
NONE

Sch. B-Pt 2
NONE

Sch. B-Pt 3
NONE

Sch. BA-Pt 2
NONE

Sch. BA-Pt 3
NONE

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1	2		3	4	5	6	7	8	9	10
CUSIP Identification	Description		Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (a)
Bonds - Industrial and Miscellaneous										
59156R AY 4	MetLife Inc. Sr. NT.....			...05/31/2011	William Blair.....		522,500	500,000	9,384	1.....
196632 LE 1	Colorado Springs Colorade Utilities.....			...04/14/2011	William Blair.....		471,250	500,000	14,504	1.....
081331 AF 7	Belvior LD LLC Military HSG.....			...06/29/2011	William Blair.....		443,250	500,000	1,050	1.....
3899999.	Total - Bonds - Industrial & Miscellaneous.....						1,437,000	1,500,000	24,938	XXX.....
8399997.	Total - Bonds - Part 3.....						1,437,000	1,500,000	24,938	XXX.....
8399999.	Total - Bonds.....						1,437,000	1,500,000	24,938	XXX.....
9999999.	Total - Bonds, Preferred and Common Stocks.....						1,437,000	XXX.....	24,938	XXX.....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3 F o r e i g n	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description		Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Maturity Date	NAIC Desig- nation or Market Indicator (a)

Bonds - Industrial and Miscellaneous

707271	AM	4	Penn Central Corp.....		05/02/2011	William Blair.....		100,000	100,000	100,000	100,000			0		100,000			0		05/01/2011	2.....
3899999.			Total - Bonds - Industrial & Miscellaneous.....					100,000	100,000	100,000	100,000	0	0	0	0	100,000	0	0	0	0	XXX...	..XXX...
8399997.			Total - Bonds - Part 4.....					100,000	100,000	100,000	100,000	0	0	0	0	100,000	0	0	0	0	XXX...	..XXX...
8399999.			Total - Bonds.....					100,000	100,000	100,000	100,000	0	0	0	0	100,000	0	0	0	0	XXX...	..XXX...

Common Stocks - Industrial and Miscellaneous

459200	10	1	International Business Machines.....		05/05/2011	William Blair.....	200.000	34,423	XXX.....	3,116	29,352			0				31,307	31,307		XXX...	L.....
9099999.			Total - Common Stocks - Industrial & Miscellaneous.....					34,423	XXX.....	3,116	29,352	0	0	0	0	0	0	31,307	31,307	0	XXX...	..XXX...
9799997			Total - Common Stocks - Part 4.....					34,423	XXX.....	3,116	29,352	0	0	0	0	0	0	31,307	31,307	0	XXX...	..XXX...
9799999.			Total - Common Stocks.....					34,423	XXX.....	3,116	29,352	0	0	0	0	0	0	31,307	31,307	0	XXX...	..XXX...
9899999.			Total - Preferred and Common Stocks.....					34,423	XXX.....	3,116	29,352	0	0	0	0	0	0	31,307	31,307	0	XXX...	..XXX...
9999999.			Total - Bonds, Preferred and Common Stocks.....					134,423	XXX.....	103,116	129,352	0	0	0	0	100,000	0	31,307	31,307	0	XXX...	..XXX...

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

Sch. DB-Pt A-Sn 1
NONE

Sch. DB-Pt A-Sn 1-Footernote
NONE

Sch. DB-Pt B-Sn 1
NONE

Sch. DB-Pt B-Sn 1-Footernote
NONE

Sch. DB-Pt B-Sn 1B-Broker List
NONE

Sch. DB-Pt D
NONE

Sch. DL-Pt. 1
NONE

Sch. DL-Pt. 2
NONE

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	

Open Depositories

Key Bank.....	127 Public Square Cleveland, Ohio 44114					114,208	109,630	34,455	XXX..
Credit Suisse First Boston.....	Eleven Madison Ave, New York, NY 10010					127,977	128,060	128,138	XXX..
William Blair & Co.....	222 W Adams St, Chicago, IL 60606.....		0.075			38,956	38,954	330,255	XXX..
0199999. Total Open Depositories.....	...XXX.....	...XXX.....	0	0	281,141	276,644	492,848	XXX..	
0399999. Total Cash on Deposit.....	...XXX.....	...XXX.....	0	0	281,141	276,644	492,848	XXX..	
0499999. Cash in Society's Office.....	...XXX.....	...XXX.....	...XXX.....	...XXX.....	25	25	25	XXX..	
0599999. Total Cash.....	...XXX.....	...XXX.....	0	0	281,166	276,669	492,873	XXX..	

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8
Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year

NONE