



# HEALTH ANNUAL STATEMENT

FOR THE YEAR ENDING DECEMBER 31, 2002  
OF THE CONDITION AND AFFAIRS OF THE

## Peoples Health Plan of Ohio, Inc.

### Peoples Health Plan of Ohio, Inc.

NAIC Group Code 0000 (Current Period) (Prior Period) NAIC Company Code 11238 Employer's ID Number 52-2318418

Organized under the Laws of Ohio, State of Domicile or Port of Entry Ohio

Country of Domicile United States of America

Licensed as business type: Life, Accident & Health [ ] Property/Casualty [ ] Dental Service Corporation [ ]  
Vision Service Corporation [ ] Other [ ] Health Maintenance Organization [ X ]  
Hospital, Medical & Dental Service or Indemnity [ ] Is HMO, Federally Qualified? Yes [ ] No [ X ]

Incorporated 04/20/2001 Commenced Business 04/01/2002

Statutory Home Office 4580 Stephen Circle NW Suite 320, Canton, OH 44718  
(Street and Number) (City or Town, State and Zip Code)

Main Administrative Office 4580 Stephen Circle NW, Suite 200  
(Street and Number) Canton, OH 44718 330-499-3100  
(City or Town, State and Zip Code) (Area Code) (Telephone Number)

Mail Address 4580 Stephen Circle, NW, Suite 200, Canton, OH 44718  
(Street and Number or P.O. Box) (City or Town, State and Zip Code)

Primary Location of Books and Records 4580 Stephen Circle NW, Suite 200  
(Street and Number) Canton, OH 44718 330-499-3100  
(City or Town, State and Zip Code) (Area Code) (Telephone Number)

Internet Website Address \_\_\_\_\_

Statement Contact Diane C. Chiponis 330-499-3100  
(Name) (Area Code) (Telephone Number) (Extension)  
dchiponis@phpohio.com 330-244-1759  
(E-mail Address) (FAX Number)

Policyowner Relations Contact 4580 Stephen Circle NW, Suite 320  
(Street and Number) Canton, OH 44718 330-499-3100  
(City or Town, State and Zip Code) (Area Code) (Telephone Number) (Extension)

### OFFICERS

President Harris H. Brooks Secretary Temporarily Vacant  
Treasurer Allen W. McNair

### VICE PRESIDENTS

Gloria J. Cupp #

### DIRECTORS OR TRUSTEES

Allen W. McNair Harris H. Brooks

State of ..... }  
County of ..... } ss

The officers of this reporting entity, being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively.

Harris H. Brooks Secretary Allen W. McNair  
President Treasurer

Subscribed and sworn to before me this  
\_\_\_\_\_ day of \_\_\_\_\_ 2003  
\_\_\_\_\_

- a. Is this an original filing? Yes [ X ] No [ ]  
b. If no  
1. State the amendment number  
2. Date filed 03/03/2003  
3. Number of pages attached



Exhibit 4 - Health Care Receivables

**NONE**







**EXHIBIT 8 PART 1- SUMMARY OF TRANSACTIONS WITH PROVIDERS**

| Payment Method  | 1<br>Direct Medical<br>Expense<br>Payment | 2<br>Column 1<br>as a %<br>of Total | 3<br>Total<br>Members<br>Covered | 4<br>Column 3<br>as a %<br>of Total | 5<br>Column 1<br>Expenses Paid to<br>Affiliated Providers | 6<br>Column 1<br>Expenses Paid to<br>Non-Affiliated Providers |
|---|---|-------------------------------------|----------------------------------|-------------------------------------|---|---|
| Capitation Payments:  |   |                                     |                                  |                                     |   |   |
| 1. Medical groups .....   | .0  | .0                                  |                                  | .0                                  |   |   |
| 2. Intermediaries .....   | .0  | .0                                  |                                  | .0                                  |   |   |
| 3. All other providers .....                                    | 477,533                                   | 17.2                                | 8,338                            | 100.0                               | 0   | 477,533   |
| 4. Total capitation payments .....                              | 477,533                                   | 17.2                                | 8,338                            | 100.0                               | 0   | 477,533   |
| Other Payments:   |   |                                     |                                  |                                     |   |   |
| 5. Fee-for-service .....  | .0  | .0                                  | XXX                              | XXX                                 |   |   |
| 6. Contractual fee payments .....                               | 1,759,420                                 | 63.3                                | XXX                              | XXX                                 | 0   | 1,759,420   |
| 7. Bonus/withhold arrangements - fee-for-service .....          | .0  | .0                                  | XXX                              | XXX                                 |   |   |
| 8. Bonus/withhold arrangements - contractual fee payments ..... | .0  | .0                                  | XXX                              | XXX                                 |   |   |
| 9. Non-contingent salaries .....                                | .0  | .0                                  | XXX                              | XXX                                 |   |   |
| 10. Aggregate cost arrangements .....                           | .0  | .0                                  | XXX                              | XXX                                 |   |   |
| 11. All other payments .....                                    | 541,706                                   | 19.5                                | XXX                              | XXX                                 | 0   | 541,706   |
| 12. Total other payments .....                                  | 2,301,126                                 | 82.8                                | XXX                              | XXX                                 | 0   | 2,301,126   |
| 13. TOTAL (Line 4 plus Line 12)                                 | 2,778,659                                 | 100 %                               | XXX                              | XXX                                 | 0   | 2,778,659   |

**EXHIBIT 8 - PART 2 - SUMMARY OF TRANSACTIONS WITH INTERMEDIARIES**

| 1<br>NAIC Code | 2<br>Name of Intermediary | 3<br>Capitation Paid | 4<br>Average<br>Monthly<br>Capitation | 6<br>Intermediary's<br>Total Adjusted Capital | 7<br>Intermediary's<br>Authorized<br>Control Level RBC |
|----------------|---------------------------|----------------------|---------------------------------------|---|--|
| <b>NONE</b>    |                           |                      |                                       |   |  |
| 9999999 Totals |                           |                      | XXX                                   | XXX   | XXX  |

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**EXHIBIT 9 – FURNITURE, EQUIPMENT AND SUPPLIES OWNED**

| Description  | 1<br>Cost | 2<br>Improvements | 3<br>Accumulated<br>Depreciation | 4<br>Book Value Less<br>Encumbrances | 5<br>Assets Not<br>Admitted | 6<br>Net Admitted Assets |
|--|-----------|-------------------|----------------------------------|--------------------------------------|-----------------------------|--------------------------|
| 1. Administrative furniture and equipment .....    | 72,653    |                   | 10,818                           | 61,836                               |                             | 61,835                   |
| 2. Medical furniture, equipment and fixtures ..... |           |                   |                                  |                                      |                             |                          |
| 3. Pharmaceuticals and surgical supplies .....     |           |                   |                                  |                                      |                             |                          |
| 4. Durable medical equipment .....                 |           |                   |                                  |                                      |                             |                          |
| 5. Other property and equipment                    |           |                   |                                  |                                      |                             |                          |
| 6. Total   | 72,653    | 0                 | 10,818                           | 61,836                               | 0                           | 61,835                   |



ANNUAL STATEMENT FOR THE YEAR 2002 OF THE Peoples Health Plan of Ohio, Inc.

**EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

REPORT FOR: 1. CORPORATION

Peoples Health Plan of Ohio, Inc.

2. DIVISION

(LOCATION)

NAIC Group Code 0000

BUSINESS IN THE STATE OF Ohio

DURING THE YEAR 2002

NAIC Company Code 11238

|   | 1<br>Total | Comprehensive<br>(Hospital & Medical) |            | 4<br>Medicare<br>Supplement | 5<br>Vision<br>Only | 6<br>Dental<br>Only | 7<br>Federal Employees<br>Health Benefit Plan | 8<br>Title XVIII<br>Medicare | 9<br>Title XIX<br>Medicaid | 10<br>Other |
|---|------------|---------------------------------------|------------|-----------------------------|---------------------|---------------------|---|------------------------------|----------------------------|-------------|
|   |            | 2<br>Individual                       | 3<br>Group |                             |                     |                     |   |                              |                            |             |
| <b>Total Members at end of:</b>                             |            |                                       |            |                             |                     |                     |   |                              |                            |             |
| 1. Prior Year .....   | 0          |                                       |            |                             |                     |                     |   |                              | 0                          |             |
| 2. First Quarter .....                                      | 0          |                                       |            |                             |                     |                     |   |                              | 0                          |             |
| 3. Second Quarter .....                                     | 4,233      |                                       |            |                             |                     |                     |   |                              | 4,233                      |             |
| 4. Third Quarter .....                                      | 8,175      |                                       |            |                             |                     |                     |   |                              | 8,175                      |             |
| 5. Current Year   | 8,338      |                                       |            |                             |                     |                     |   |                              | 8,338                      |             |
| 6. Current Year Member Months                               | 53,908     |                                       |            |                             |                     |                     |   |                              | 53,908                     |             |
| <b>Total Member Ambulatory Encounters for Year:</b>         |            |                                       |            |                             |                     |                     |   |                              |                            |             |
| 7. Physician .....  | 13,438     |                                       |            |                             |                     |                     |   |                              | 13,438                     |             |
| 8. Non-Physician .....                                      | 424        |                                       |            |                             |                     |                     |   |                              | 424                        |             |
| 9. Total  | 13,862     | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 13,862                     | 0           |
| 10. Hospital Patient Days Incurred                          | 1,272      |                                       |            |                             |                     |                     |   |                              | 1,272                      |             |
| 11. Number of Inpatient Admissions                          | 310        |                                       |            |                             |                     |                     |   |                              | 310                        |             |
| 12. Premiums Collected .....                                | 7,387,434  |                                       |            |                             |                     |                     |   |                              | 7,387,434                  |             |
| 13. Premiums Earned   | 7,470,918  |                                       |            |                             |                     |                     |   |                              | 7,470,918                  |             |
| 14. Amount Paid for Provision of Health Care Services ..... | 2,778,659  |                                       |            |                             |                     |                     |   |                              | 2,778,659                  |             |
| 15. Amount Incurred for Provision of Health Care Services   | 4,626,382  |                                       |            |                             |                     |                     |   |                              | 4,626,382                  |             |

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ANNUAL STATEMENT FOR THE YEAR 2002 OF THE Peoples Health Plan of Ohio, Inc.

**EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION**

REPORT FOR: 1. CORPORATION

Peoples Health Plan of Ohio, Inc.

2. DIVISION

(LOCATION)

| NAIC Group Code   | 0000       | BUSINESS IN THE STATE OF Consolidated |            | DURING THE YEAR 2002        |                     |                     |   |                              |                            |             | NAIC Company Code | 11238 |
|---|------------|---------------------------------------|------------|-----------------------------|---------------------|---------------------|---|------------------------------|----------------------------|-------------|-------------------|-------|
|   | 1<br>Total | Comprehensive<br>(Hospital & Medical) |            | 4<br>Medicare<br>Supplement | 5<br>Vision<br>Only | 6<br>Dental<br>Only | 7<br>Federal Employees<br>Health Benefit Plan | 8<br>Title XVIII<br>Medicare | 9<br>Title XIX<br>Medicaid | 10<br>Other |                   |       |
|   |            | 2<br>Individual                       | 3<br>Group |                             |                     |                     |   |                              |                            |             |                   |       |
| <b>Total Members at end of:</b>                             |            |                                       |            |                             |                     |                     |   |                              |                            |             |                   |       |
| 1. Prior Year .....   | 0          | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 0                          | 0           |                   |       |
| 2. First Quarter .....                                      | 0          | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 0                          | 0           |                   |       |
| 3. Second Quarter .....                                     | 4,233      | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 4,233                      | 0           |                   |       |
| 4. Third Quarter .....                                      | 8,175      | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 8,175                      | 0           |                   |       |
| 5. Current Year   | 8,338      | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 8,338                      | 0           |                   |       |
| 6. Current Year Member Months                               | 53,908     | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 53,908                     | 0           |                   |       |
| <b>Total Member Ambulatory Encounters for Year:</b>         |            |                                       |            |                             |                     |                     |   |                              |                            |             |                   |       |
| 7. Physician .....  | 13,438     | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 13,438                     | 0           |                   |       |
| 8. Non-Physician .....                                      | 424        | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 424                        | 0           |                   |       |
| 9. Total  | 13,862     | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 13,862                     | 0           |                   |       |
| 10. Hospital Patient Days Incurred                          | 1,272      | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 1,272                      | 0           |                   |       |
| 11. Number of Inpatient Admissions                          | 310        | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 310                        | 0           |                   |       |
| 12. Premiums Collected .....                                | 7,387,434  | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 7,387,434                  | 0           |                   |       |
| 13. Premiums Earned   | 7,470,918  | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 7,470,918                  | 0           |                   |       |
| 14. Amount Paid for Provision of Health Care Services ..... | 2,778,659  | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 2,778,659                  | 0           |                   |       |
| 15. Amount Incurred for Provision of Health Care Services   | 4,626,382  | 0                                     | 0          | 0                           | 0                   | 0                   | 0   | 0                            | 4,626,382                  | 0           |                   |       |

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Schedule A - Verification

**NONE**

Schedule B - Verification

**NONE**

Schedule BA - Verification

**NONE**

## SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

| Quality Rating per the NAIC Designation   | 1<br>1 Year or Less | 2<br>Over 1 Year Through<br>5 Years | 3<br>Over 5 Years Through<br>10 Years | 4<br>Over 10 Years<br>Through 20 Years | 5<br>Over 20 Years | 6<br>Total Current Year | 7<br>Col. 6 as a<br>% of Line 10.7 | 8<br>Total from Col. 6<br>Prior Year | 9<br>% From Col. 7<br>Prior Year | 10<br>Total Publicly<br>Traded | 11<br>Total Privately Placed<br>(a) |
|---|---------------------|-------------------------------------|---------------------------------------|--|--------------------|-------------------------|------------------------------------|--------------------------------------|----------------------------------|--------------------------------|-------------------------------------|
| <b>1. U.S. Governments, Schedules D &amp; DA (Group 1)</b>  |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                     |
| 1.1 Class 1 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 1.2 Class 2 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 1.3 Class 3 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 1.4 Class 4 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 1.5 Class 5 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 1.6 Class 6 .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                     |
| 1.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                    | 0.0                              | 0                              | 0                                   |
| <b>2. All Other Governments, Schedules D &amp; DA (Group 2)</b>   |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                     |
| 2.1 Class 1 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 2.2 Class 2 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 2.3 Class 3 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 2.4 Class 4 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 2.5 Class 5 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 2.6 Class 6 .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                     |
| 2.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                    | 0.0                              | 0                              | 0                                   |
| <b>3. States, Territories and Possessions etc., Guaranteed, Schedules D &amp; DA (Group 3)</b>                      |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                     |
| 3.1 Class 1 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 3.2 Class 2 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 3.3 Class 3 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 3.4 Class 4 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 3.5 Class 5 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 3.6 Class 6 .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                     |
| 3.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                    | 0.0                              | 0                              | 0                                   |
| <b>4. Political Subdivisions of States, Territories and Possessions, Guaranteed, Schedules D &amp; DA (Group 4)</b> |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                     |
| 4.1 Class 1 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 4.2 Class 2 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 4.3 Class 3 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 4.4 Class 4 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 4.5 Class 5 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 4.6 Class 6 .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                     |
| 4.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                    | 0.0                              | 0                              | 0                                   |
| <b>5. Special Revenue &amp; Special Assessment Obligations etc., Non-Guaranteed, Schedules D &amp; DA (Group 5)</b> |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                     |
| 5.1 Class 1 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 5.2 Class 2 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 5.3 Class 3 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 5.4 Class 4 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 5.5 Class 5 .....   |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              |                                |                                     |
| 5.6 Class 6 .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                     |
| 5.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                    | 0.0                              | 0                              | 0                                   |

## SCHEDULE D - PART 1A - SECTION 1 (continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

| Quality Rating per the NAIC Designation   | 1<br>1 Year or Less | 2<br>Over 1 Year Through<br>5 Years | 3<br>Over 5 Years Through<br>10 Years | 4<br>Over 10 Years<br>Through 20 Years | 5<br>Over 20 Years | 6<br>Total Current Year | 7<br>Col. 6 as a<br>% of Line 10.7 | 8<br>Total from Col. 6<br>Prior Year | 9<br>% From Col. 7<br>Prior Year | 10<br>Total Publicly<br>Traded | 11<br>Total Privately Placed<br>(a) |
|---|---------------------|-------------------------------------|---------------------------------------|--|--------------------|-------------------------|------------------------------------|--------------------------------------|----------------------------------|--------------------------------|-------------------------------------|
| <b>6. Public Utilities (Unaffiliated), Schedules D &amp; DA (Group 6)</b>               |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                     |
| 6.1 Class 1 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 6.2 Class 2 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 6.3 Class 3 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 6.4 Class 4 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 6.5 Class 5 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 6.6 Class 6 .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                     |
| 6.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                    | 0.0                              | 0                              | 0                                   |
| <b>7. Industrial &amp; Miscellaneous (Unaffiliated), Schedules D &amp; DA (Group 7)</b> |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                     |
| 7.1 Class 1 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 7.2 Class 2 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 7.3 Class 3 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 7.4 Class 4 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 7.5 Class 5 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 7.6 Class 6 .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                     |
| 7.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                    | 0.0                              | 0                              | 0                                   |
| <b>8. Credit Tenant Loans, Schedules D &amp; DA (Group 8)</b>                           |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                     |
| 8.1 Class 1 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 8.2 Class 2 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 8.3 Class 3 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 8.4 Class 4 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 8.5 Class 5 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 8.6 Class 6 .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                     |
| 8.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                    | 0.0                              | 0                              | 0                                   |
| <b>9. Parent, Subsidiaries and Affiliates, Schedules D &amp; DA (Group 9)</b>           |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                     |
| 9.1 Class 1 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 9.2 Class 2 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 9.3 Class 3 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 9.4 Class 4 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 9.5 Class 5 .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                   | .00                              |                                |                                     |
| 9.6 Class 6 .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                     |
| 9.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                    | 0.0                              | 0                              | 0                                   |

**SCHEDULE D - PART 1A - SECTION 1 (continued)**

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

| Quality Rating per the NAIC Designation                   | 1<br>1 Year or Less | 2<br>Over 1 Year Through<br>5 Years | 3<br>Over 5 Years Through<br>10 Years | 4<br>Over 10 Years<br>Through 20 Years | 5<br>Over 20 Years | 6<br>Total Current Year | 7<br>Col. 6 as a<br>% of Line 10.7 | 8<br>Total from Col. 6<br>Prior Year | 9<br>% From Col. 7<br>Prior Year | 10<br>Total Publicly<br>Traded | 11<br>Total Privately Placed<br>(a) |
|---|---------------------|-------------------------------------|---------------------------------------|--|--------------------|-------------------------|------------------------------------|--------------------------------------|----------------------------------|--------------------------------|-------------------------------------|
| <b>10. Total Bonds Current Year</b>                       |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                     |
| 10.1 Class 1  | .0                  | .0                                  | .0                                    | .0                                     | .0                 | .0                      | 0.0                                | XXX                                  | XXX                              | .0                             | .0                                  |
| 10.2 Class 2  | .0                  | .0                                  | .0                                    | .0                                     | .0                 | .0                      | 0.0                                | XXX                                  | XXX                              | .0                             | .0                                  |
| 10.3 Class 3  | .0                  | .0                                  | .0                                    | .0                                     | .0                 | .0                      | 0.0                                | XXX                                  | XXX                              | .0                             | .0                                  |
| 10.4 Class 4  | .0                  | .0                                  | .0                                    | .0                                     | .0                 | .0                      | 0.0                                | XXX                                  | XXX                              | .0                             | .0                                  |
| 10.5 Class 5  | .0                  | .0                                  | .0                                    | .0                                     | .0                 | .0                      | 0.0                                | XXX                                  | XXX                              | .0                             | .0                                  |
| 10.6 Class 6  | .0                  | .0                                  | .0                                    | .0                                     | .0                 | .0                      | 0.0                                | XXX                                  | XXX                              | .0                             | .0                                  |
| 10.7 Totals   | .0                  | .0                                  | .0                                    | .0                                     | .0                 | .0                      | 0.0                                | XXX                                  | XXX                              | .0                             | .0                                  |
| 10.8 Line 10.7 as a % of Col. 6                           | 0.0                 | 0.0                                 | 0.0                                   | 0.0                                    | 0.0                | 0.0                     | XXX                                | XXX                                  | XXX                              | 0.0                            | 0.0                                 |
| <b>11. Total Bonds Prior Year</b>                         |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                     |
| 11.1 Class 1  | .0                  | .0                                  | .0                                    | .0                                     | .0                 | XXX                     | XXX                                | .0                                   | 0.0                              | .0                             | .0                                  |
| 11.2 Class 2  | .0                  | .0                                  | .0                                    | .0                                     | .0                 | XXX                     | XXX                                | .0                                   | 0.0                              | .0                             | .0                                  |
| 11.3 Class 3  | .0                  | .0                                  | .0                                    | .0                                     | .0                 | XXX                     | XXX                                | .0                                   | 0.0                              | .0                             | .0                                  |
| 11.4 Class 4  | .0                  | .0                                  | .0                                    | .0                                     | .0                 | XXX                     | XXX                                | .0                                   | 0.0                              | .0                             | .0                                  |
| 11.5 Class 5  | .0                  | .0                                  | .0                                    | .0                                     | .0                 | XXX                     | XXX                                | .0                                   | 0.0                              | .0                             | .0                                  |
| 11.6 Class 6  | .0                  | .0                                  | .0                                    | .0                                     | .0                 | XXX                     | XXX                                | .0                                   | 0.0                              | .0                             | .0                                  |
| 11.7 Totals   | .0                  | .0                                  | .0                                    | .0                                     | .0                 | XXX                     | XXX                                | .0                                   | 0.0                              | .0                             | .0                                  |
| 11.8 Line 11.7 as a % of Col. 8                           | 0.0                 | 0.0                                 | 0.0                                   | 0.0                                    | 0.0                | XXX                     | XXX                                | 0.0                                  | XXX                              | 0.0                            | 0.0                                 |
| <b>12. Total Publicly Traded Bonds</b>                    |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                     |
| 12.1 Class 1  |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              | .0                             | XXX                                 |
| 12.2 Class 2  |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              | .0                             | XXX                                 |
| 12.3 Class 3  |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              | .0                             | XXX                                 |
| 12.4 Class 4  |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              | .0                             | XXX                                 |
| 12.5 Class 5  |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              | .0                             | XXX                                 |
| 12.6 Class 6  |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              | .0                             | XXX                                 |
| 12.7 Totals   | .0                  | .0                                  | .0                                    | .0                                     | .0                 | .0                      | 0.0                                | .0                                   | 0.0                              | .0                             | XXX                                 |
| 12.8 Line 12.7 as a % of Col. 6                           | 0.0                 | 0.0                                 | 0.0                                   | 0.0                                    | 0.0                | 0.0                     | XXX                                | XXX                                  | XXX                              | 0.0                            | XXX                                 |
| 12.9 Line 12.7 as a % of Line 10.7,<br>Col. 6, Section 10 | 0.0                 | 0.0                                 | 0.0                                   | 0.0                                    | 0.0                | 0.0                     | XXX                                | XXX                                  | XXX                              | 0.0                            | XXX                                 |
| <b>13. Total Privately Placed Bonds</b>                   |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                     |
| 13.1 Class 1  |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              | XXX                            | .0                                  |
| 13.2 Class 2  |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              | XXX                            | .0                                  |
| 13.3 Class 3  |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              | XXX                            | .0                                  |
| 13.4 Class 4  |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              | XXX                            | .0                                  |
| 13.5 Class 5  |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              | XXX                            | .0                                  |
| 13.6 Class 6  |                     |                                     |                                       |  |                    | .0                      | 0.0                                | .0                                   | 0.0                              | XXX                            | .0                                  |
| 13.7 Totals   | .0                  | .0                                  | .0                                    | .0                                     | .0                 | .0                      | 0.0                                | .0                                   | 0.0                              | XXX                            | .0                                  |
| 13.8 Line 13.7 as a % of Col. 6                           | 0.0                 | 0.0                                 | 0.0                                   | 0.0                                    | 0.0                | 0.0                     | XXX                                | XXX                                  | XXX                              | XXX                            | 0.0                                 |
| 13.9 Line 13.7 as a % of Line 10.7,<br>Col. 6, Section 10 | 0.0                 | 0.0                                 | 0.0                                   | 0.0                                    | 0.0                | 0.0                     | XXX                                | XXX                                  | XXX                              | XXX                            | 0.0                                 |

(a) Includes \$ ..... freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.  
 (b) Includes \$ ..... current year, \$ ..... prior year of bonds with Z designations and \$ ..... , current year, \$ ..... prior year of bonds with Z\* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z\*" means the SVO could not evaluate the obligation because valuation procedures for the security class is under regulatory review.  
 (c) Includes \$ ..... current year, \$ ..... prior year of bonds with 5\* designations and \$ ..... , current year, \$ ..... prior year of bonds with 6\* designations. "5\*" means the NAIC designation was assigned by the SVO in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6\*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

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## SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

| Distribution by Type  | 1<br>1 Year or Less | 2<br>Over 1 Year<br>Through 5 Years | 3<br>Over 5 Years<br>Through 10 Years | 4<br>Over 10 Years<br>Through 20 Years | 5<br>Over 20 Years | 6<br>Total Current Year | 7<br>Col. 6 as a %<br>of Line 10.7 | 8<br>Total from Col 6<br>Prior Year | 9<br>% From Col. 7<br>Prior Year | 10<br>Total Publicly<br>Traded | 11<br>Total Privately<br>Placed |
|---|---------------------|-------------------------------------|---------------------------------------|--|--------------------|-------------------------|------------------------------------|-------------------------------------|----------------------------------|--------------------------------|---------------------------------|
| <b>1. U.S. Governments, Schedules D &amp; DA (Group 1)</b>  |                     |                                     |                                       |  |                    |                         |                                    |                                     |                                  |                                |                                 |
| 1.1 Issuer Obligations .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 1.2 Single Class Mortgage-Backed/Asset-Backed Securities .....  |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                   | 0.0                              |                                |                                 |
| 1.7 Totals .....  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                   | 0.0                              | 0                              | 0                               |
| <b>2. All Other Governments, Schedules D &amp; DA (Group 2)</b>   |                     |                                     |                                       |  |                    |                         |                                    |                                     |                                  |                                |                                 |
| 2.1 Issuer Obligations .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 2.2 Single Class Mortgage-Backed/Asset-Backed Securities .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 2.3 Defined .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 2.4 Other .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-<br>BACKED SECURITIES .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 2.5 Defined .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 2.6 Other .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                   | 0.0                              |                                |                                 |
| 2.7 Totals .....  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                   | 0.0                              | 0                              | 0                               |
| <b>3. States, Territories, and Possessions Guaranteed, Schedules D &amp; DA (Group 3)</b>                           |                     |                                     |                                       |  |                    |                         |                                    |                                     |                                  |                                |                                 |
| 3.1 Issuer Obligations .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 3.2 Single Class Mortgage-Backed/Asset-Backed Securities .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 3.3 Defined .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 3.4 Other .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-<br>BACKED SECURITIES .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 3.5 Defined .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 3.6 Other .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                   | 0.0                              |                                |                                 |
| 3.7 Totals .....  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                   | 0.0                              | 0                              | 0                               |
| <b>4. Political Subdivisions of States, Territories and Possessions, Guaranteed, Schedules D &amp; DA (Group 4)</b> |                     |                                     |                                       |  |                    |                         |                                    |                                     |                                  |                                |                                 |
| 4.1 Issuer Obligations .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 4.2 Single Class Mortgage-Backed/Asset-Backed Securities .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 4.3 Defined .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 4.4 Other .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-<br>BACKED SECURITIES .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 4.5 Defined .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 4.6 Other .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                   | 0.0                              |                                |                                 |
| 4.7 Totals .....  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                   | 0.0                              | 0                              | 0                               |
| <b>5. Special Revenue &amp; Special Assessment Obligations etc., Non-Guaranteed, Schedules D &amp; DA (Group 5)</b> |                     |                                     |                                       |  |                    |                         |                                    |                                     |                                  |                                |                                 |
| 5.1 Issuer Obligations .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 5.2 Single Class Mortgage-Backed/Asset-Backed Securities .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 5.3 Defined .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 5.4 Other .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-<br>BACKED SECURITIES .....  |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 5.5 Defined .....   |                     |                                     |                                       |  |                    | .0                      | .00                                | .0                                  | .00                              |                                |                                 |
| 5.6 Other .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                   | 0.0                              |                                |                                 |
| 5.7 Totals .....  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                   | 0.0                              | 0                              | 0                               |

**SCHEDULE D - PART 1A - SECTION 2 (continued)**

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

| Distribution by Type  | 1<br>1 Year or Less | 2<br>Over 1 Year Through<br>5 Years | 3<br>Over 5 Years<br>Through 10 Years | 4<br>Over 10 Years<br>Through 20 Years | 5<br>Over 20 Years | 6<br>Total Current Year | 7<br>Col. 6 as a<br>% of Line 10.7 | 8<br>Total from Col. 6<br>Prior Year | 9<br>% From Col. 7<br>Prior Year | 10<br>Total Publicly<br>Traded | 11<br>Total Privately<br>Placed |
|---|---------------------|-------------------------------------|---------------------------------------|--|--------------------|-------------------------|------------------------------------|--------------------------------------|----------------------------------|--------------------------------|---------------------------------|
| <b>6. Public Utilities (Unaffiliated), Schedules D &amp; DA (Group 6)</b>               |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                 |
| 6.1 Issuer Obligations .....  |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| 6.2 Single Class Mortgage-Backed/Asset-Based<br>Securities .....                        |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| MULTI-CLASS RESIDENTIAL MORTGAGE-<br>BACKED SECURITIES                                  |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                 |
| 6.3 Defined .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| 6.4 Other .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| MULTI-CLASS COMMERCIAL MORTGAGE-<br>BACKED/ASSET-BACKED SECURITIES                      |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                 |
| 6.5 Defined .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| 6.6 Other .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| 6.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                    | 0.0                              | 0                              | 0                               |
| <b>7. Industrial &amp; Miscellaneous (Unaffiliated), Schedules D &amp; DA (Group 7)</b> |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                 |
| 7.1 Issuer Obligations .....  |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| 7.2 Single Class Mortgage-Backed/Asset-Based<br>Securities .....                        |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| MULTI-CLASS RESIDENTIAL MORTGAGE-<br>BACKED SECURITIES                                  |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                 |
| 7.3 Defined .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| 7.4 Other .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| MULTI-CLASS COMMERCIAL MORTGAGE-<br>BACKED/ASSET-BACKED SECURITIES                      |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                 |
| 7.5 Defined .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| 7.6 Other .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| 7.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                    | 0.0                              | 0                              | 0                               |
| <b>8. Credit Tenant Loans, Schedules D &amp; DA (Group 8)</b>                           |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                 |
| 8.1 Issuer Obligations .....  |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| 8.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                    | 0.0                              | 0                              | 0                               |
| <b>9. Parents, Subsidiaries and Affiliates, Schedules D &amp; DA (Group 9)</b>          |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                 |
| 9.1 Issuer Obligations .....  |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| 9.2 Single Class Mortgage-Backed/Asset-Based<br>Securities .....                        |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| MULTI-CLASS RESIDENTIAL MORTGAGE-<br>BACKED SECURITIES                                  |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                 |
| 9.3 Defined .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| 9.4 Other .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| MULTI-CLASS COMMERCIAL MORTGAGE-<br>BACKED/ASSET-BACKED SECURITIES                      |                     |                                     |                                       |  |                    |                         |                                    |                                      |                                  |                                |                                 |
| 9.5 Defined .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| 9.6 Other .....   |                     |                                     |                                       |  |                    | 0                       | 0.0                                | 0                                    | 0.0                              |                                |                                 |
| 9.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                       | 0.0                                | 0                                    | 0.0                              | 0                              | 0                               |

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**SCHEDULE D - PART 1A - SECTION 2 (continued)**

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

| Distribution by Type   | 1<br>1 Year or Less | 2<br>Over 1 Year<br>Through 5 Years | 3<br>Over 5 Years<br>Through 10 Years | 4<br>Over 10 Years<br>Through 20 Years | 5<br>Over 20 Years | 6<br>Total<br>Current Year | 7<br>Col. 6 as a %<br>of Line 10.7 | 8<br>Total From Col. 6<br>Prior Year | 9<br>% From Col. 7<br>Prior Year | 10<br>Total Publicly<br>Traded | 11<br>Total Privately<br>Placed |
|--|---------------------|-------------------------------------|---------------------------------------|--|--------------------|----------------------------|------------------------------------|--------------------------------------|----------------------------------|--------------------------------|---------------------------------|
| <b>10. Total Bonds Current Year</b>  |                     |                                     |                                       |  |                    |                            |                                    |                                      |                                  |                                |                                 |
| 10.1 Issuer Obligations  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                          | 0.0                                | XXX                                  | XXX                              | 0                              | 0                               |
| 10.2 Single Class Mortgage-Backed/Asset-Backed Bonds<br>MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                          | 0.0                                | XXX                                  | XXX                              | 0                              | 0                               |
| 10.3 Defined   | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                          | 0.0                                | XXX                                  | XXX                              | 0                              | 0                               |
| 10.4 Other<br>MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES                               | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                          | 0.0                                | XXX                                  | XXX                              | 0                              | 0                               |
| 10.5 Defined   | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                          | 0.0                                | XXX                                  | XXX                              | 0                              | 0                               |
| 10.6 Other   | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                          | 0.0                                | XXX                                  | XXX                              | 0                              | 0                               |
| 10.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                          | 0.0                                | XXX                                  | XXX                              | 0                              | 0                               |
| 10.8 Line 10.7 as a % of Col. 6  | 0.0                 | 0.0                                 | 0.0                                   | 0.0                                    | 0.0                | 0.0                        | XXX                                | XXX                                  | XXX                              | 0.0                            | 0.0                             |
| <b>11. Total Bonds Prior Year</b>  |                     |                                     |                                       |  |                    |                            |                                    |                                      |                                  |                                |                                 |
| 11.1 Issuer Obligations  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | XXX                        | XXX                                | 0                                    | 0.0                              | 0                              | 0                               |
| 11.2 Single Class Mortgage-Backed/Asset-Backed Bonds<br>MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES | 0                   | 0                                   | 0                                     | 0                                      | 0                  | XXX                        | XXX                                | 0                                    | 0.0                              | 0                              | 0                               |
| 11.3 Defined   | 0                   | 0                                   | 0                                     | 0                                      | 0                  | XXX                        | XXX                                | 0                                    | 0.0                              | 0                              | 0                               |
| 11.4 Other<br>MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES                               | 0                   | 0                                   | 0                                     | 0                                      | 0                  | XXX                        | XXX                                | 0                                    | 0.0                              | 0                              | 0                               |
| 11.5 Defined   | 0                   | 0                                   | 0                                     | 0                                      | 0                  | XXX                        | XXX                                | 0                                    | 0.0                              | 0                              | 0                               |
| 11.6 Other   | 0                   | 0                                   | 0                                     | 0                                      | 0                  | XXX                        | XXX                                | 0                                    | 0.0                              | 0                              | 0                               |
| 11.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | XXX                        | XXX                                | 0                                    | 0.0                              | 0                              | 0                               |
| 11.8 Line 11.7 as a % of Col. 8  | 0.0                 | 0.0                                 | 0.0                                   | 0.0                                    | 0.0                | XXX                        | XXX                                | 0.0                                  | XXX                              | 0.0                            | 0.0                             |
| <b>12. Total Publicly Traded Bonds</b>   |                     |                                     |                                       |  |                    |                            |                                    |                                      |                                  |                                |                                 |
| 12.1 Issuer Obligations  |                     |                                     |                                       |  |                    | 0                          | 0.0                                | 0                                    | 0.0                              | 0                              | XXX                             |
| 12.2 Single Class Mortgage-Backed/Asset-Backed Bonds<br>MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES |                     |                                     |                                       |  |                    | 0                          | 0.0                                | 0                                    | 0.0                              | 0                              | XXX                             |
| 12.3 Defined   |                     |                                     |                                       |  |                    | 0                          | 0.0                                | 0                                    | 0.0                              | 0                              | XXX                             |
| 12.4 Other<br>MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES                               |                     |                                     |                                       |  |                    | 0                          | 0.0                                | 0                                    | 0.0                              | 0                              | XXX                             |
| 12.5 Defined   |                     |                                     |                                       |  |                    | 0                          | 0.0                                | 0                                    | 0.0                              | 0                              | XXX                             |
| 12.6 Other   |                     |                                     |                                       |  |                    | 0                          | 0.0                                | 0                                    | 0.0                              | 0                              | XXX                             |
| 12.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                          | 0.0                                | 0                                    | 0.0                              | 0                              | XXX                             |
| 12.8 Line 12.7 as a % of Col. 6  | 0.0                 | 0.0                                 | 0.0                                   | 0.0                                    | 0.0                | 0.0                        | XXX                                | XXX                                  | XXX                              | 0.0                            | XXX                             |
| 12.9 Line 12.7 as a % of Line 10.7, Col. 6, Section 10   | 0.0                 | 0.0                                 | 0.0                                   | 0.0                                    | 0.0                | 0.0                        | XXX                                | XXX                                  | XXX                              | 0.0                            | XXX                             |
| <b>13. Total Privately Placed Bonds</b>  |                     |                                     |                                       |  |                    |                            |                                    |                                      |                                  |                                |                                 |
| 13.1 Issuer Obligations  |                     |                                     |                                       |  |                    | 0                          | 0.0                                | 0                                    | 0.0                              | XXX                            | 0                               |
| 13.2 Single Class Mortgage-Backed/Asset-Backed Bonds<br>MULTI-CLASS RESIDENTIAL MORTGAGE-BACKED SECURITIES |                     |                                     |                                       |  |                    | 0                          | 0.0                                | 0                                    | 0.0                              | XXX                            | 0                               |
| 13.3 Defined   |                     |                                     |                                       |  |                    | 0                          | 0.0                                | 0                                    | 0.0                              | XXX                            | 0                               |
| 13.4 Other<br>MULTI-CLASS COMMERCIAL MORTGAGE-BACKED/ASSET-BACKED SECURITIES                               |                     |                                     |                                       |  |                    | 0                          | 0.0                                | 0                                    | 0.0                              | XXX                            | 0                               |
| 13.5 Defined   |                     |                                     |                                       |  |                    | 0                          | 0.0                                | 0                                    | 0.0                              | XXX                            | 0                               |
| 13.6 Other   |                     |                                     |                                       |  |                    | 0                          | 0.0                                | 0                                    | 0.0                              | XXX                            | 0                               |
| 13.7 Totals  | 0                   | 0                                   | 0                                     | 0                                      | 0                  | 0                          | 0.0                                | 0                                    | 0.0                              | XXX                            | 0                               |
| 13.8 Line 13.7 as a % of Col. 6  | 0.0                 | 0.0                                 | 0.0                                   | 0.0                                    | 0.0                | 0.0                        | XXX                                | XXX                                  | XXX                              | XXX                            | 0.0                             |
| 13.9 Line 13.7 as a % of Line 10.7, Col. 6, Section 10   | 0.0                 | 0.0                                 | 0.0                                   | 0.0                                    | 0.0                | 0.0                        | XXX                                | XXX                                  | XXX                              | XXX                            | 0.0                             |

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## SCHEDULE DA - PART 2

Verification of SHORT-TERM INVESTMENTS Between Years

|   | 1         | 2     | 3              | 4                                     | 5  |
|---|-----------|-------|----------------|---------------------------------------|--|
|   | Total     | Bonds | Mortgage Loans | Other Short-term Investment Assets(a) | Investments in Parent, Subsidiaries and Affiliates |
| 1. Book/adjusted carrying value, prior year .....                     | .0        | .0    | .0             | .0                                    | .0   |
| 2. Cost of short-term investments acquired .....                      | 2,099,988 |       |                | 2,099,988                             |  |
| 3. Increase (decrease) by adjustment .....                            | 16,577    |       |                | 16,577                                |  |
| 4. Increase (decrease) by foreign exchange adjustment .....           | .0        |       |                |                                       |  |
| 5. Total profit (loss) on disposal of short-term investments .....    | .0        |       |                |                                       |  |
| 6. Consideration received on disposal of short-term investments ..... | .0        |       |                |                                       |  |
| 7. Book/adjusted carrying value, current year .....                   | 2,116,565 | .0    | .0             | 2,116,565                             | .0   |
| 8. Total valuation allowance .....                                    | .0        |       |                |                                       |  |
| 9. Subtotal (Lines 7 plus 8) .....                                    | 2,116,565 | .0    | .0             | 2,116,565                             | .0   |
| 10. Total nonadmitted amounts .....                                   | .0        |       |                |                                       |  |
| 11. Statement value (Lines 9 minus 10) .....                          | 2,116,565 | .0    | .0             | 2,116,565                             | .0   |
| 12. Income collected during year .....                                | 16,577    |       |                | 16,577                                |  |
| 13. Income earned during year .....                                   | 16,577    |       |                | 16,577                                |  |

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment: Money market accounts

.....

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Schedule DB - Part A - VBY

**NONE**

Schedule DB - Part B - VBY

**NONE**

Schedule DB - Part C - VBY

**NONE**

Schedule DB - Part D - VBY

**NONE**

Schedule DB - Part E - VBY

**NONE**

Schedule DB - Part F - Section 1

**NONE**

Schedule DB - Part F - Section 2

**NONE**

Schedule S - Part 1 - Section 2

**NONE**



Schedule S - Part 3 - Section 2

**NONE**

Schedule S - Part 4

**NONE**

Schedule S - Part 5

**NONE**

**SCHEDULE S-PART 6**  
**Restatement of Balance Sheet to Identify Net Credit For Ceded Reinsurance**

|  | 1                             | 2                          | 3                            |
|--|-------------------------------|----------------------------|------------------------------|
|  | As Reported<br>(net of ceded) | Restatement<br>Adjustments | Restated<br>(gross of ceded) |
| <b>ASSETS (Page 2, Col. 3)</b>                                     |                               |                            |                              |
| 1. Cash and invested assets (Line 9) .....                         | 4,553,209                     |                            | 4,553,209                    |
| 2. Amounts recoverable from reinsurers (Line 12) .....             | 0                             |                            | 0                            |
| 3. Accident and health premiums due and unpaid (Line 10).....      | 83,484                        |                            | 83,484                       |
| 4. Net credit for ceded reinsurance.....                           | XXX                           | 0                          | 0                            |
| 5. All other admitted assets (Balance).....                        | 232,497                       |                            | 232,497                      |
| 6. Total assets (Line 23)  | 4,869,190                     | 0                          | 4,869,190                    |
| <b>LIABILITIES, CAPITAL AND SURPLUS (Page 3)</b>                   |                               |                            |                              |
| 7. Claims unpaid (Line 1).....                                     | 1,847,723                     | 0                          | 1,847,723                    |
| 8. Accrued medical incentive pool and bonus payments (Line 2)..... | 0                             |                            | 0                            |
| 9. Premiums received in advance (Line 6).....                      | 0                             |                            | 0                            |
| 10. Reinsurance in unauthorized companies (Line 14).....           | 0                             |                            | 0                            |
| 11. All other liabilities (Balance).....                           | 831,847                       |                            | 831,847                      |
| 12. Total liabilities (Line 18).....                               | 2,679,570                     | 0                          | 2,679,570                    |
| 13. Total capital and surplus (Line 26).....                       | 2,189,620                     | XXX                        | 2,189,620                    |
| 14. Total liabilities, capital and surplus (Line 27)               | 4,869,190                     | 0                          | 4,869,190                    |
| <b>NET CREDIT FOR CEDED REINSURANCE</b>                            |                               |                            |                              |
| 15. Claims unpaid.....   | 0                             |                            |                              |
| 16. Accrued medical incentive pool.....                            | 0                             |                            |                              |
| 17. Premiums received in advance .....                             | 0                             |                            |                              |
| 18. Reinsurance recoverable on paid losses .....                   | 0                             |                            |                              |
| 19. Other ceded reinsurance recoverables .....                     | 0                             |                            |                              |
| 20. Total ceded reinsurance recoverables .....                     | 0                             |                            |                              |
| 21. Premiums receivable .....                                      | 0                             |                            |                              |
| 22. Unauthorized reinsurance .....                                 | 0                             |                            |                              |
| 23. Other ceded reinsurance payables/offsets .....                 | 0                             |                            |                              |
| 24. Total ceded reinsurance payable/offsets .....                  | 0                             |                            |                              |
| 25. Total net credit for ceded reinsurance                         | 0                             |                            |                              |

**SCHEDULE Y (continued)**  
**PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES**

| 1                      | 2                 | 3  | 4                     | 5                     | 6  | 7  | 8   | 9   | 10  | 11   | 12        | 13   |
|------------------------|-------------------|--|-----------------------|-----------------------|--|--|---|---|-----|--|-----------|--|
| NAIC Company Code      | Federal ID Number | Names of Insurers and Parent, Subsidiaries or Affiliates | Shareholder Dividends | Capital Contributions | Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments | Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s) | Management Agreements and Service Contracts | Income/ (Disbursements) Incurred Under Reinsurance Agreements | *   | Any Other Material Activity Not in the Ordinary Course of the Insurer's Business | Totals    | Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability) |
|                        | 54-2022872        | Peoples Health Associates, Inc.                          |                       | 2,180,000             | (119,587)  |  | (527,746)                                   |   |     |  | 1,532,667 |  |
|                        | 52-2318419        | Peoples Health Care Services, Inc.                       |                       |                       | (20,034)   |  |   |   |     |  | (20,034)  |  |
| 9999999 Control Totals |                   |  | 0                     | 2,180,000             | (139,621)  | 0  | (527,746)                                   | 0   | XXX | 0  | 1,512,633 | 0  |

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## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your annual statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

### MARCH FILING

- |  |                  |
|--|------------------|
| 1. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?..... | SEE EXPLANATION  |
| 2. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?.....                             | Yes [ X ] No [ ] |
| 3. Will an actuarial certification be filed by March 1?.....   | Yes [ X ] No [ ] |
| 4. Will the Risk-based Capital Report be filed with the NAIC by March 1?.....  | Yes [ X ] No [ ] |
| 5. Will the Risk-based Capital Report be filed with the state of domicile, if required by March 1?.....                        | Yes [ X ] No [ ] |
| 6. Will the SVO Compliance Certification be filed by March 1? .....  | SEE EXPLANATION  |

### APRIL FILING

- |  |                  |
|--|------------------|
| 7. Will Management's Discussion and Analysis be filed by April 1?.....                                     | Yes [ X ] No [ ] |
| 8. Will the Long-term Care Experience Reporting Forms be filed with the state of domicile by April 1?..... | SEE EXPLANATION  |
| 9. Will the Investment Risks Interrogatories be filed by April 1? .....                                    | Yes [ X ] No [ ] |

### JUNE FILING

- |   |                 |
|---|-----------------|
| 10. Will an audited financial report be filed by June 1 with the state of domicile? ..... | SEE EXPLANATION |
|---|-----------------|

### EXPLANATIONS:

1. Not Applicable

6. Not Applicable

8. Not Applicable

10. Required to be filed May 1, 2003  
Required to be filed May 1, 2003

### BAR CODE:

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## OVERFLOW PAGE FOR WRITE-INS

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M014 Additional Aggregate Lines for Page 14 Line 25.

\*EXEXP

|   |   |       |   |       |
|---|---|-------|---|-------|
| 2504. Translation Services.....                               |   | 2,374 |   | 2,374 |
| 2597. Summary of remaining write-ins for Line 25 from Page 14 | 0 | 2,374 | 0 | 2,374 |