

FEIN Number 31-6034857

QUARTERLY STATEMENT

As of September 30, 2002

OF THE CONDITION AND AFFAIRS OF THE

Ohio Graphic Arts Health Fund

RECEIVED

NOV 15 2002

O.F.R.S.

established under the Laws of the State of OHIO, made to the

INSURANCE DEPARTMENT OF THE STATE OF

PURSUANT TO THE LAWS THEREOF

Established August 1, 1953

Commenced Business August 31, 1953

Statutory Home Office 11 Triangle Park Drive
(Street and Number)

Cincinnati OH 45246
(City or Town, State and Zip Code)

Main Administrative Office

(Street and Number)

(City or Town, State and Zip Code)

(Area Code)

(Telephone Number)

Mail Address

Same

(Street and Number or P.O. Box)

(City, or Town, State and Zip Code)

Primary Location of Books and Records

(Street and Number)

(City or Town, State and Zip Code)

(Area Code)

(Telephone Number)

Quarterly Statement Contact Person and Phone Number (include extension)

OFFICERS

Chairperson Dennis Durcell

Secretary Anita Herington

Treasurer

Vice-Presidents

TRUSTEES

Robert Wickens

Ron Dettmer

Robert Keller

Ken Keller

Sue Steller

Robert Phillips

James Maly

Dennis Durcell

Anita Herington

State of

County of

ss

Chairperson

Anita Herington

Secretary

Treasurer

of the being duly sworn, each deposes and says that they are the above described officers of the trust carrying on the business of a MEWA, and that on the quarter ending all of the herein described assets were the absolute property of the MEWA, free and clear from any liens or claims thereon, except as herein stated, and that this quarterly statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to is a full and true statement of all the assets and liabilities and of the condition and affairs of the MEWA as of the quarter ending and of its income and deductions therefrom for the calendar period ended on that date, and have been completed in accordance with the quarterly statement instructions and accounting practices and procedures prescribed by the Ohio Department of Insurance, according to the best of their information, knowledge and belief, respectively.

Chairperson

Secretary

Treasurer

(a) Is this an original filing?

(b) If no:

(i) state the amendment number

(ii) date filed

(iii) number of pages attached

Yes ☒ No ☐

Subscribed and sworn to before me this

1st day of November 2002
John G. Helm III
NOTARY PUBLIC (Seal)

ASSETS		I Current Period	December 31, 2001
1.	Bonds	103,046	104,191
2.	Stocks		
2.1	Preferred Stocks		
2.2	Common Stocks	995,541	1,167,551
3.	Mortgage loans on real estate		
4.	Real estate, less \$.....encumbrances		
5.	Collateral loans		
6.1	Cash on hand and on deposit	(177,607)	(61,817)
6.2	Short-term investments	644,854	560,020
7.	Aggregate write-ins for invested assets		
7A.	Subtotals, cash and invested assets (Lines 1 to 7)	1,565,834	1,769,945
8.	Premium due and unpaid	78,354	41,485
9.	Funds held by or deposited with reinsurance companies	153,976	153,215
10.	Reinsurance recoverables on loss and loss adjustment payments		
10.1	Reinsurance recoverable on unpaid losses		
11.	Federal income tax recoverable		
12.	Interest and other investment income due and accrued	3,001	7,399
13.	Receivable from parent, subsidiaries and affiliates		
14.	Electronic data processing equipment		
15.	Aggregate write-ins for other than invested assets	Ø	150,000
16.	TOTALS (Lines 7A through 15)	1,801,165	2,122,044
DETAILS OF WRITE-INS AGGREGATED AT LINE 7 FOR INVESTED ASSETS			
0701		
0702		
0703		
0704		
0705		
0798	Summary of remaining write-ins for Line 7 from overflow page		
0799	Totals (Lines 0701 through 0705 plus 0798)(Page 2, Line 7)		
DETAILS OF WRITE-INS AGGREGATED AT LINE 15 FOR OTHER THAN INVESTED ASSETS			
1501	Pict Refund	Ø	150,000
1502		
1503		
1504		
1505		
1598	Summary of remaining write-ins for Line 15 from overflow page		
1599	Totals (Lines 1501 through 1505 plus 1598)(Page 2, Line 15)		

NOTE: The lines on this page to agree with Exhibit 1, Column 4.

LIABILITIES, SURPLUS AND SPECIAL FUNDS		
	1	2
	Current Period	December 31, 2001
1. Claims unpaid (Part 2A, Col. 4, Line 5)	1,160,000	1,377,000
2. Unpaid claims adjustment expenses (Part 3, Line 22h, Col. 2)		
3. Unearned premiums (Part 1, Line 5, Col. 8)	11,802	96,356
4. Unearned investment income (Part 4, Line 9, Col. 4)		
5. (a) Taxes, licenses and fees due or accrued (excluding Federal income taxes)		
(b) Federal income taxes (Including \$ _____ net deferred tax liabilities)		
(c) Stop loss, excess, or reinsurance premium due and unpaid		
(d) Other expenses due or accrued	11,307	10,064
6. Premium deposits made by applicants rejected or not as yet accepted as members or subscribers		
7. Borrowed money \$ and interest thereon \$		
8. Amounts withheld or retained for account of others		
9. Stop loss, excess, or reinsurance received but not yet due		
10. Provision for unauthorized reinsurance		
11. Aggregate write-ins for other liabilities		
12. Total liabilities (Lines 1 to 11)	1,183,109	1,493,420
SURPLUS AND SPECIAL FUNDS		
13. Surplus	618,056	638,624
14. Aggregate write-ins for surplus and special funds		
15. Total (Line 13 plus Line 14; Page 4, Line 21)		
16. TOTALS (Lines 12 plus 15)	1,801,165	2,122,044
DETAILS OF WRITE-INS AGGREGATED AT LINE 11 FOR OTHER LIABILITIES		
1101.		
1102.		
1103.		
1104.		
1105.		
1198. Summary of remaining write-ins for Line 11 from overflow page		
1199. Totals (Lines 1101 through 1105 plus 1198)(Page 3, Line 11)		
DETAILS OF WRITE-INS AGGREGATED AT LINE 14 FOR SURPLUS AND SPECIAL FUNDS		
1401.		
1402.		
1403.		
1404.		
1405.		
1498. Summary of remaining write-ins for Line 14 from overflow page		
1499. Totals (Lines 1401 through 1405 plus 1498)(Page 3, Line 14)		

UNDERWRITING AND INVESTMENT EXHIBIT		1 Current Year To Date	2 Prior Year Ended December 31
UNDERWRITING INCOME			
1. Premiums earned (Part 1, Line 5, Col. 9)		6,748,021	10,136,523
2. Claims incurred (Part 2, Line 5, Col. 5)		6,038,871	8,615,980
3. Expenses incurred (Part 3, Line 21, Col. 2, 3, 4):			
(a) Claim adjustment			
(b) Administrative		603,251	821,574
(c) Soliciting			
4. Individual stop loss, excess, or reinsurance expense (Net of incurred Recoveries)		48,736	367,064
5. Aggregate stop loss, excess, or reinsurance expense (Net of incurred Recoveries)		27,133	51,580
5A. Aggregate write-ins for underwriting deductions			
6. Total underwriting deductions (Lines 2 through 5)		6,718,991	9,856,198
7. Net underwriting gain or (loss) (Line 1 minus Line 6)		29,030	280,325
INVESTMENT INCOME			
8. Net investment income earned (Part 4, Line 14, Col. 8)		37,529	43,505
9. Net realized capital gains or (losses) (Part 4A, Line 10, Col. 6)		(18,043)	223,376
10. Net investment gain or (loss) (Line 8 plus Line 9)		19,486	266,881
OTHER INCOME			
11. Aggregate write-ins for other income		123,504	264,699
12. Net gain or (loss) before federal income taxes (Lines 7 + 10 + 11)		172,020	811,905
13. Federal income taxes incurred			
14. Net gain or (loss) (to Line 16) (Line 12 minus Line 13)		172,020	811,905
SURPLUS AND SPECIAL FUNDS			
15. Surplus and special funds December 31, previous year (Page 4, Line 21, Col. 2)		638,624	153,216
GAINS AND (LOSSES)			
16. Net gain or (loss) (from Line 14)		172,020	811,905
17. Net unrealized capital gains or (losses) (Part 4A, Line 11, Col. 6)		(192,588)	(352,400)
18. Change in non-admitted assets (Exhibit 2, Line 9, Col. 3)		Ø	26,403
19. Aggregate write-ins for changes to surplus and special funds			
20. Change in surplus and special funds for the year (Lines 16 through 19)		(20,568)	485,408
21. Surplus and special funds December 31, current year (Line 15 plus Line 20)		618,056	638,624
DETAILS OF WRITE-INS AGGREGATED AT LINE 11 FOR OTHER INCOME			
1101 Dict Refund		123,504	226,061
1102 Increase in funds held by Reinsurance Co.		Ø	38,638
1103			
1104			
1198 Summary of remaining write-ins for Line 11 from overflow page			
1199 Totals (Lines 1101 through 1105 plus 1198) (Page 4, Line 11)		123,504	264,699
DETAILS OF WRITE-INS AGGREGATED AT LINE 19 FOR CHANGES TO RESERVES AND SPECIAL FUNDS			
1901			
1902			
1903			
1904			
1998 Summary of remaining write-ins for Line 19 from overflow page			
1999 Totals (Line 1901 through 1905 plus 1998) (Page 4, Line 19)			

QUARTERLY STATEMENT AS OF September 30, 2002 OF THE Ohio Graphic Arts Health Fund

UNDERWRITING AND INVESTMENT EXHIBIT
 PART I

Line of Business*	1	2	3	4	5	6	7	8	Informational Only	
	Premiums Written Direct Business	Premiums Written Reinsurance Assumed	Total Premiums Written (Cols. 1+2)	Unearned Premiums December 31 Previous Year	Unearned Premiums December 31 Current Year (Part 1A)	Reserve for Rate Credits and Retrospective Returns Based on Experience	Total Reserve for Unearned Premiums (Cols. 5+6)	Premiums Earned (Cols. 3+4-7)	9	Reinsurance Premiums Ceded
1 Hospital										
2 Medical										
3 Dental										
4 Other										
5 Totals	6,663,467	0	6,663,467	96,356	11,802	0	11,802	6,748,021		

PART 1A—UNEARNED PREMIUMS

		1	2
Premium Mode		Premiums in Force December 31 Current Year	Amount of Premiums or Fees Unearned
1	Quarterly premiums	10,136,523	
2	Monthly premiums		
3	Advanced premiums	XXXXXXXXXXXXXXXXXXXXXXXXXXXX	11,802
4	Totals	10,136,523	

* If you are unable to break out hospital and medical premiums, please put the combined total and a notation that the amount applies to both lines of business

UNDERWRITING AND INVESTMENT EXHIBIT
PART 2-CLAIMS PAID AND INCURRED

1 Line of Business	2 Claims Paid	3 Claims Unpaid Current Year (Col. 4, Part 2A)	4 Claims Unpaid Previous Year	5 Claims Incurred (Cols. 2+3+4)
1. Hospital _____				
2. Medical _____				
3. Dental _____				
4. Other _____				
5. Totals	6,255,871	1,160,000	1,377,000	6,038,971

PART 2 A-CLAIMS UNPAID

1 Line of Business	2 Reported Claims in Process of Adjustment	3 Estimated Incurred But Unreported	4 Total Claims Unpaid
1. Hospital			
2. Medical			
3. Dental			
4. Other			
5. Totals.....	207,031	952,969	1,160,000

PART 2B-ANALYSIS OF CLAIMS UNPAID-PREVIOUS YEAR

1 Line of Business	Claims Paid Year to Date		Claims Unpaid as of Current Period		6 Total Claims Incurred to date on Claims Incurred in Prior Years (Columns 2 + 4)	7 Reported Liability on Unpaid Claims as of December 31 Previous Year
	2 On Claims Incurred Prior to January 1 of Current Year	3 On Claims Incurred During the Year	4 On Claims Unpaid Dec. 31 of Previous Year	5 On Claims Incurred During the Year		
1. Hospital						
2. Medical						
3. Dental						
4. Other						
5. Totals.....	1,782,914	4,433,897	34,926	1,042,335	1,817,840	1,377,000

* Part 2B, Column 4 and column 5 must equal Part 2A Total of column 4

EXHIBIT 1-ANALYSIS OF ASSETS

	1	2	3	4
	Ledger Assets	Non-Ledger Including Excess of Market (or Amortized) Over Book Values	Assets Not Admitted Including Excess of Book Over Market (or Amortized Values)	Net Admitted Assets (Cols. 1 + 2 - 3)
1 Bonds (Schedule D)	103,046			103,046
2 Stocks (Schedule D)				
2 1 Preferred stocks				
2 2 Common stocks	995,541			995,541
3 Mortgage loans on real estate (Schedule B)				
(a) First liens				
(b) Other than first liens				
4 Real estate, less encumbrances (Schedule A)				
5 Collateral loans (Schedule C)				
6 1 Cash on hand and on deposit				
(a) Cash in company's office				
(b) Cash on deposit (Schedule E)	(177,607)			(177,607)
6 2 Short-term investments (Schedule DA)	644,854			644,854
7 Aggregate write-ins for invested assets				
7A Subtotal, cash and invested assets	1,565,834			1,565,834
8 Premium due and unpaid	78,354			78,354
9 Funds held by or deposited with reinsurance companies	153,976			153,976
10 Reinsurance recoverables on loss and loss adjustment payments (Schedule S, Col. 1)				
10A Reinsurance recoverable on unpaid losses				
11 Federal income tax recoverable				
12 Interest and other investment income due and accrued	3,001			3,001
13 Receivables from parent subsidiaries and affiliates				
14 Electronic data processing equipment				XXX
15 Equipment, furniture and supplies				XXX
16 Third party reimbursements receivable				XXX
17 Assessments and penalties due and unpaid				XXX
18 Prepaid expenses				XXX
19 Loans on personal security, endorsed or not				
20 Aggregate write-ins for other than invested assets	0			0
21 Totals (Lines 1 through 20)	1,801,165			1,801,165
DETAILS OF WRITE-INS AGGREGATED AT LINE 7 FOR INVESTED ASSETS				
0701				
0702				
0703				
0704				
0705				
0798 Summary of remaining write-ins for Line 7 from overflow page				
0799 Totals (Lines 0701 through 0705 plus 0798)(Exhibit 1, Line 7)				
DETAILS OF WRITE-INS AGGREGATED AT LINE 20 FOR OTHER THAN INVESTED ASSETS				
2001				
2002				
2003				
2004				
2005				
2098 Summary of remaining write-ins for Line 20 from overflow page				
2099 Totals (Lines 2001 through 2005 plus 2098)(Exhibit 1, Line 20)				

EXHIBIT 2-ANALYSIS OF NON-ADMITTED ASSETS
Excluding Excess of Book Over Market (or Amortized) Values and Exhibit 1, Line 12, Column 3

	1	2	3
	End of Previous Year	End of Current Period	Change for Year (Increase) or Decrease (Column 1 minus Column 2)
1. Uncollected premiums			
2. Deposits in suspended depositories, less estimated amount recoverable			
3. Equipment, furniture and supplies			
4. Loans on personal security, endorsed or not			
5. Third Party reimbursements receivable			
6. Assessments and penalties due and unpaid			
7. Prepaid expenses			
8. Aggregate write-ins for assets not admitted			
9. Total change (Column 3)(Carry to Page 4, Line 18)	XXX	XXX	
DETAILS OF WRITE-INS AGGREGATED AT LINE 8 FOR ASSETS NOT ADMITTED			
0801			
0802 PKT Refund	150,000	0	(150,000)
0803			
0804			
0805			
0898 Summary of remaining write-ins for Line 8 from overflow page			
0899 Totals (Lines 0801 through 0805 plus 0898) (Exhibit 2, Line 8)			

GENERAL INTERROGATORIES

1.

(a) Where any of the stocks, bonds or other assets of the MEWA loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes | | No | ☒ |

(b) If "yes", please give full and complete information relating thereto.

.....

.....
2.

(a) Have any changes been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the MEWA?

Yes | | No | ☒ |

(b) If "Yes", date of change:

If not previously filed, furnish herewith a certified copy of the instrument as amended.
3.

Have there been any substantial changes in the organizational chart, managers, officers or Trustees since year end?

Yes | | No | ☒ |

If "yes", attach an explanation.
4.

(a) If the MEWA is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved since the last filed statement?

Yes | | No | ☒ |

(b) If "yes", attach an explanation.
5.

(a) Have any of the MEWA's primary reinsurance contracts been canceled since the last filed statement?

Yes | | No | ☒ |

(b) if "yes", give full and complete information thereto.

.....

.....
6.

(a) What is the number of employer groups as of the current period?

140

(b) What is the number of enrollees as of the current period?

1589