PROPERTY AND CASUALTY COMPANIES - ASSOCIATION EDITION

*2907620022010010 1*

# QUARTERLY STATEMENT

**As of March 31, 2002**

of the Condition and Affairs of the

# Medical Mutual of Ohio

NAIC Group Code..... 730, 730 (Current Period) (Prior Period) — NAIC Company Code..... 29076 — Employer's ID Number..... 34-0648820

Organized under the Laws of OHIO — State of Domicile or Port of Entry OHIO

Country of Domicile US

Incorporated..... March 30, 1934 — Commenced Business..... January 1, 1934

| | | |
|---|---|---|
| Statutory Home Office | 2060 EAST NINTH STREET ….. CLEVELAND ..... OH ..... 44115-1355<br>*(Street and Number) (City or Town, State and Zip Code)* | |
| Main Administrative Office | 2060 EAST NINTH STREET ….. CLEVELAND ..... OH ..... 44115-1355<br>*(Street and Number) (City or Town, State and Zip Code)* | 216-687-7000<br>*(Area Code) (Telephone Number)* |
| Mail Address | 2060 EAST NINTH STREET ….. CLEVELAND ..... OH ..... 44115-1355<br>*(Street and Number or P. O. Box) (City or Town, State and Zip Code)* | |
| Primary Location of Books and Records | 2060 EAST NINTH STREET ….. CLEVELAND ..... OH ..... 44115-1355<br>*(Street and Number) (City or Town, State and Zip Code)* | 216-687-7000<br>*(Area Code) (Telephone Number)* |
| Internet Website Address | WWW.MMOH.COM | |
| Statement Contact | MEG SOMMERS<br>*(Name)* | 216-687-7390<br>*(Area Code) (Telephone Number) (Extension)* |
| | Meg.Sommers.@mmoh.com<br>*(E-Mail Address)* | 216-687-1579<br>*(Fax Number)* |
| Policyowner Relations Contact | 2060 EAST NINTH STREET ….. CLEVELAND ..... OH ..... 44115-1355<br>*(Street and Number) (City or Town, State and Zip Code)* | 1-800-700-2583<br>*(Area Code) (Telephone Number) (Extension)* |

## OFFICERS

President ..... KENT W CLAPP — Treasurer .VACANT.. — Secretary ..... ROBERT N TROMBLY

## VICE PRESIDENTS

| | | | |
|---|---|---|---|
| ERROL D BRICK EVP | LINDA L JOHNSON EVP | KENNETH SIDON EVP | ROBERT N TROMBLY EVP |
| SUSAN TYLER EVP # | JOHN WIDER EVP # | JOHN S DORRELL, ESQ | |

## DIRECTORS OR TRUSTEES

| | | | |
|---|---|---|---|
| PAUL BEDDIA | CHARLES BRYAN | KENT W CLAPP | SAMUEL H MILLER |
| JAMES PATTON | RALPH E SCHEY | ROBERT N TROMBLY | GLENNA WATSON |
| DAVID YOUNG | | | |

State of..OHIO
County of....CUYAHOGA

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions* and *Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively.

| | | |
|---|---|---|
| (Signature) | (Signature) | (Signature) |
| KENT W CLAPP | ROBERT N TROMBLY | SUSAN TYLER , EVP & CFO |
| (Printed Name) | (Printed Name) | (Printed Name) |
| President | Secretary | |

Subscribed and sworn to before me this

.............day of ..........................................................., 2002

..............................................................................................

# ASSETS

| | Current Statement Date 1 Assets | 2 Nonadmitted Assets | 3 Net Admitted Assets (Cols. 1 - 2) | 4 December 31, Prior Year Net Admitted Assets |
|---|---|---|---|---|
| 1. Bonds | 226,360,987 | | 226,360,987 | 172,665,449 |
| 2. Stocks: | | | | |
| 2.1 Preferred stocks | | | 0 | |
| 2.2 Common stocks | 29,342,599 | | 29,342,599 | 29,249,044 |
| 3. Mortgage loans on real estate: | | | | |
| 3.1 First liens | | | 0 | |
| 3.2 Other than first liens | | | 0 | |
| 4. Real estate: | | | | |
| 4.1 Properties occupied by the company (less $..........0 encumbrances) | | | 0 | |
| 4.2 Properties held for the production of income (less $..........0 encumbrances) | 4,348,887 | | 4,348,887 | 4,460,538 |
| 4.3 Properties held for sale (less $..........0 encumbrances) | | | 0 | |
| 5. Cash ($.....92,148,569) and short-term investments ($..........0) | 92,148,569 | | 92,148,569 | 82,241,424 |
| 6. Other invested assets | 50,768,016 | | 50,768,016 | 50,655,374 |
| 7. Receivable for securities | 1,331,121 | | 1,331,121 | |
| 8. Aggregate write-ins for invested assets | 0 | 0 | 0 | 0 |
| 9. Subtotals, cash and invested assets (Lines 1 to 8) | 404,300,179 | 0 | 404,300,179 | 339,271,829 |
| 10. Agents' balances or uncollected premiums: | | | | |
| 10.1 Premiums and agents' balances in course of collection | 12,023,201 | 184,772 | 11,838,429 | 24,472,218 |
| 10.2 Premiums, agents' balances and installments booked but deferred and not yet due (including $..........0 earned but unbilled premiums) | | | 0 | |
| 10.3 Accrued retrospective premiums | | | 0 | |
| 11. Funds held by or deposited with reinsured companies | | | 0 | |
| 12. Bills receivable, taken for premiums | | | 0 | |
| 13. Amounts receivable under high deductible policies | | | 0 | |
| 14. Reinsurance recoverables on loss and loss adjustment expense payments | | | 0 | |
| 15. Federal and foreign income tax recoverable and interest thereon (including $.....6,100,000 net deferred tax asset) | 6,900,000 | | 6,900,000 | 7,314,412 |
| 16. Guaranty funds receivable or on deposit | 1,350,000 | | 1,350,000 | 1,350,000 |
| 17. Electronic data processing equipment and software | 516,883 | 516,883 | 0 | |
| 18. Interest, dividends and real estate income due and accrued | 15,023,892 | | 15,023,892 | 13,027,100 |
| 19. Net adjustments in assets and liabilities due to foreign exchange rates | | | 0 | |
| 20. Receivable from parent, subsidiaries and affiliates | 21,617,699 | | 21,617,699 | 21,852,315 |
| 21. Amounts due from/to protected cells | | | 0 | |
| 22. Equities and deposits in pools and associations | | | 0 | |
| 23. Amounts receivable relating to uninsured accident and health plans | | | 0 | |
| 24. Other assets nonadmitted | 1,004,626 | 1,004,626 | 0 | |
| 25. Aggregate write-ins for other than invested assets | 27,576,901 | 5,627,865 | 21,949,036 | 21,682,191 |
| 26. Total assets excluding protected cell assets (Lines 9 through 25) | 490,313,381 | 7,334,146 | 482,979,235 | 428,970,065 |
| 27. Protected cell assets | | | 0 | |
| 28. TOTALS (Lines 26 and 27) | 490,313,381 | 7,334,146 | 482,979,235 | 428,970,065 |

**DETAILS OF WRITE-INS**

| | | | | |
|---|---|---|---|---|
| 0801. 0 | | | 0 | |
| 0802. | | | 0 | |
| 0803. | | | 0 | |
| 0898. Summary of remaining write-ins for Line 8 from overflow page | 0 | 0 | 0 | 0 |
| 0899. Totals (Lines 0801 thru 0803 plus 0898) (Line 8 above) | 0 | 0 | 0 | 0 |
| 2501. Note Receivable - Rose Building | 11,933,392 | | 11,933,392 | 12,009,487 |
| 2502. Cash Surrender Value - Life Insurance | 5,864,243 | | 5,864,243 | 5,781,402 |
| 2503. Other Accounts Receivable | 5,235,947 | 1,416,459 | 3,819,488 | 3,559,389 |
| 2598. Summary of remaining write-ins for Line 25 from overflow page | 4,543,319 | 4,211,406 | 331,913 | 331,913 |
| 2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above) | 27,576,901 | 5,627,865 | 21,949,036 | 21,682,191 |

# LIABILITIES, SURPLUS AND OTHER FUNDS

| | 1<br>Current<br>Statement Date | 2<br>December 31,<br>Prior Year |
|---|---|---|
| 1. Losses (current accident year $.....148,406,000) | 182,165,094 | 165,386,543 |
| 2. Reinsurance payable on paid losses and loss adjustment expenses | | |
| 3. Loss adjustment expenses | 5,775,990 | 5,729,640 |
| 4. Commissions payable, contingent commissions and other similar charges | 11,282,195 | 11,274,538 |
| 5. Other expenses (excluding taxes, licenses and fees) | 17,875,259 | 16,868,541 |
| 6. Taxes, licenses and fees (excluding federal and foreign income taxes) | 4,784,845 | 2,765,516 |
| 7. Federal and foreign income taxes (including $..........0 on realized capital gains (losses) (including $..........0 net deferred tax liability) | | |
| 8. Borrowed money $..........0 and interest thereon $..........0 | | |
| 9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of $...........0 and including warranty reserves of $..........0) | | |
| 10. Advance premium | 26,943,508 | 22,809,018 |
| 11. Dividends declared and unpaid: | | |
| 11.1 Stockholders | | |
| 11.2 Policyholders | | |
| 12. Ceded reinsurance premiums payable (net of ceding commissions) | | |
| 13. Funds held by company under reinsurance treaties | 11,924,000 | 9,189,000 |
| 14. Amounts withheld or retained by company for account of others | 103,203 | 49,689 |
| 15. Remittances and items not allocated | 3,036,756 | 3,003,970 |
| 16. Provision for reinsurance | | |
| 17. Net adjustments in assets and liabilities due to foreign exchange rates | | |
| 18. Drafts outstanding | | |
| 19. Payable to parent, subsidiaries and affiliates | 57,281 | 2,798,800 |
| 20. Payable for securities | 478,634 | |
| 21. Liability for amounts held under uninsured accident and health plans | | |
| 22. Capital notes $..... and interest thereon $ | | |
| 23. Aggregate write-ins for liabilities | 59,343,851 | 58,037,525 |
| 24. Total liabilities excluding protected cell liabilities (Lines 1 through 23) | 323,770,616 | 297,912,780 |
| 25. Protected cell liabilities | | |
| 26. Total liabilities (Lines 24 and 25) | 323,770,616 | 297,912,780 |
| 27. Aggregate write-ins for special surplus funds | 0 | 0 |
| 28. Common capital stock | | |
| 29. Preferred capital stock | | |
| 30. Aggregate write-ins for other than special surplus funds | 11,940,000 | 13,140,000 |
| 31. Surplus notes | | |
| 32. Gross paid in and contributed surplus | | |
| 33. Unassigned funds (surplus) | 147,268,619 | 117,917,285 |
| 34. Less treasury stock, at cost: | | |
| 34.1 ..........0.000 shares common (value included in Line 28 $..........0) | | |
| 34.2 ..........0.000 shares preferred (value included in Line 29 $..........0) | | |
| 35. Surplus as regards policyholders (Lines 27 to 33, less 34) | 159,208,619 | 131,057,285 |
| 36. TOTALS | 482,979,235 | 428,970,065 |

## DETAILS OF WRITE-INS

| | | |
|---|---|---|
| 2301. Note Payable - Rose Building | 11,933,392 | 12,009,487 |
| 2302. Accrued Postemployment Benefits Other Than Pension | 13,299,558 | 12,746,951 |
| 2303. Unearned Revenue - Merck-Medco | 5,000,000 | 5,312,500 |
| 2398. Summary of remaining write-ins for Line 23 from overflow page | 29,110,901 | 27,968,587 |
| 2399. Totals (Lines 2301 thru 2303 plus 2398) (Line 23 above) | 59,343,851 | 58,037,525 |
| 2701. | | |
| 2702. | | |
| 2703. | | |
| 2798. Summary of remaining write-ins for Line 27 from overflow page | 0 | 0 |
| 2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above) | 0 | 0 |
| 3001. Surplus Gain From Reinsurance | 11,940,000 | 13,140,000 |
| 3002. | | |
| 3003. | | |
| 3098. Summary of remaining write-ins for Line 30 from overflow page | 0 | 0 |
| 3099. Totals (Lines 3001 thru 3003 plus 3098) (Line 30 above) | 11,940,000 | 13,140,000 |

# STATEMENT OF INCOME

| | 1<br>Current Year<br>to Date | 2<br>Previous Year<br>to Date | 3<br>Prior Year Ended<br>December 31 |
|---|---|---|---|
| **UNDERWRITING INCOME** | | | |
| 1. Premiums earned: | | | |
| 1.1 Direct (written $.....353,758,251) | 353,758,251 | 322,896,658 | 1,353,453,399 |
| 1.2 Assumed (written $.....3,624,476) | 3,624,476 | 598,005 | 6,006,653 |
| 1.3 Ceded (written $.....34,106,428) | 34,106,428 | 31,082,111 | 131,829,914 |
| 1.4 Net (written $.....323,276,299) | 323,276,299 | 292,412,552 | 1,227,630,138 |
| DEDUCTIONS: | | | |
| 2. Losses incurred (current accident year $.....277,487,000): | | | |
| 2.1 Direct | 291,369,717 | 279,479,261 | 1,160,944,021 |
| 2.2 Assumed | 5,035,945 | 366,238 | 5,634,127 |
| 2.3 Ceded | 33,844,921 | 30,869,111 | 130,754,658 |
| 2.4 Net | 262,560,741 | 248,976,388 | 1,035,823,490 |
| 3. Loss expenses incurred | 11,133,276 | 8,709,810 | 45,238,184 |
| 4. Other underwriting expenses incurred | 37,052,150 | 38,102,719 | 152,243,604 |
| 5. Aggregate write-ins for underwriting deductions | 0 | 0 | 0 |
| 6. Total underwriting deductions (Lines 2 through 5) | 310,746,167 | 295,788,917 | 1,233,305,278 |
| 7. Net income of protected cells | | | |
| 8. Net underwriting gain (loss) (Line 1 minus Line 6 + Line 7) | 12,530,132 | (3,376,365) | (5,675,140) |
| **INVESTMENT INCOME** | | | |
| 9. Net investment income earned | 13,941,709 | 6,092,928 | 36,884,521 |
| 10. Net realized capital gains (losses) | (112,551) | 365,538 | (12,431,070) |
| 11. Net investment gain (loss) (Lines 9 + 10) | 13,829,158 | 6,458,466 | 24,453,451 |
| **OTHER INCOME** | | | |
| 12. Net gain or (loss) from agents' or premium balances charged off (amount recovered $..........0 amount charged off $..........0) | | | |
| 13. Finance and service charges not included in premiums | | | |
| 14. Aggregate write-ins for miscellaneous income | 905,552 | (132,963) | 1,665,228 |
| 15. Total other income (Lines 12 through 14) | 905,552 | (132,963) | 1,665,228 |
| 16. Net income before dividends to policyholders and before federal and foreign income taxes (Lines 8 + 11 + 15) | 27,264,842 | 2,949,138 | 20,443,539 |
| 17. Dividends to policyholders | | | |
| 18. Net income after dividends to policyholders but before federal and foreign income taxes (Line 16 minus Line 17) | 27,264,842 | 2,949,138 | 20,443,539 |
| 19. Federal and foreign income taxes incurred | (699,765) | 56,100 | 305,000 |
| 20. Net income (Line 18 minus Line 19) (to Line 22) | 27,964,607 | 2,893,038 | 20,138,539 |
| **CAPITAL AND SURPLUS ACCOUNT** | | | |
| 21. Surplus as regards policyholders, December 31 previous year | 131,057,285 | 117,917,696 | 117,917,696 |
| **GAINS AND (LOSSES) IN SURPLUS** | | | |
| 22. Net income (from Line 20) | 27,964,607 | 2,893,038 | 20,138,539 |
| 23. Net unrealized capital gains or losses | 1,559,885 | (4,809,227) | 4,553,139 |
| 24. Change in net unrealized foreign exchange capital gain (loss) | | | |
| 25. Change in net deferred income taxes | | | 3,600,000 |
| 26. Change in nonadmitted assets | (173,158) | 1,311,451 | 6,694,791 |
| 27. Change in provision for reinsurance | | | |
| 28. Change in surplus notes | | | |
| 29. Surplus (contributed to) withdrawn from protected cells | | | |
| 30. Cumulative effect of changes in accounting principles | | (1,961,811) | (1,961,811) |
| 31. Capital changes: | | | |
| 31.1 Paid in | | | |
| 31.2 Transferred from surplus (Stock Dividend) | | | |
| 31.3 Transferred to surplus | | | |
| 32. Surplus adjustments: | | | |
| 32.1 Paid in | | | |
| 32.2 Transferred to capital (Stock Dividend) | | | |
| 32.3 Transferred from capital | | | |
| 33. Net remittances from or (to) Home Office | | | |
| 34. Dividends to stockholders | | | |
| 35. Change in treasury stock | | | |
| 36. Aggregate write-ins for gains and losses in surplus | (1,200,000) | (743,549) | (19,885,069) |
| 37. Change in surplus as regards policyholders (Lines 22 through 36) | 28,151,334 | (3,310,098) | 13,139,589 |
| 38. Surplus as regards policyholders, as of statement date (Lines 21 plus 37) | 159,208,619 | 114,607,598 | 131,057,285 |

**DETAILS OF WRITE-INS**

| | | | |
|---|---|---|---|
| 0501. | | | |
| 0502. | | | |
| 0503. | | | |
| 0598. Summary of remaining write-ins for Line 5 from overflow page | 0 | 0 | 0 |
| 0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above) | 0 | 0 | 0 |
| 1401. Other (Expense) Income | 905,552 | (132,963) | 1,665,228 |
| 1402. | | | |
| 1403. | | | |
| 1498. Summary of remaining write-ins for Line 14 from overflow page | 0 | 0 | 0 |
| 1499. Totals (Lines 1401 thru 1403 plus 1498) (Line 14 above) | 905,552 | (132,963) | 1,665,228 |
| 3601. Surplus Loss from Reinsurance Session | (1,200,000) | (743,549) | (5,002,000) |
| 3602. Prior Period Pension Adjustment | | | (1,700,000) |
| 3603. Surplus Adjustment Pension | | | (13,183,069) |
| 3698. Summary of remaining write-ins for Line 36 from overflow page | 0 | 0 | 0 |
| 3699. Totals (Lines 3601 thru 3603 plus 3698) (Line 36 above) | (1,200,000) | (743,549) | (19,885,069) |

# CASH FLOW

| | | 1<br>Current Year<br>to Date | 2<br>Prior Year Ended<br>December 31 |
|---|---|---|---|
| | **CASH FROM OPERATIONS** | | |
| 1. | Premiums collected net of reinsurance | 336,011,407 | 1,222,873,484 |
| 2. | Loss and loss adjustment expenses paid (net of salvage and subrogation) | 256,869,116 | 1,109,619,256 |
| 3. | Underwriting expenses paid | 33,279,921 | 147,816,607 |
| 4. | Other underwriting income (expenses) | | |
| 5. | Cash from underwriting (Line 1 minus Line 2 minus Line 3 plus Line 4) | 45,862,370 | (34,562,379) |
| 6. | Net investment income | 12,353,902 | 33,957,064 |
| 7. | Other income (expenses): | | |
| | 7.1 Agents' balances charged off | | |
| | 7.2 Net funds held under reinsurance treaties | 2,735,000 | 9,189,000 |
| | 7.3 Net amount withheld or retained for account of others | 53,514 | (279,069) |
| | 7.4 Aggregate write-ins for miscellaneous items | 905,553 | 1,665,228 |
| | 7.5 Total other income (Lines 7.1 to 7.4) | 3,694,067 | 10,575,159 |
| 8. | Dividends to policyholders on direct business, less $..............0 dividends on reinsurance assumed or ceded (net) | | |
| 9. | Federal and foreign income taxes (paid) recovered | 634,177 | (450,000) |
| 10. | Net cash from operations (Line 5 plus Line 6 plus Line 7.5 minus Line 8 plus Line 9) | 62,544,516 | 9,519,844 |
| | **CASH FROM INVESTMENTS** | | |
| 11. | Proceeds from investments sold, matured or repaid: | | |
| | 11.1 Bonds | 8,118,626 | 78,943,501 |
| | 11.2 Stocks | 3,047,867 | 14,412,919 |
| | 11.3 Mortgage loans | | |
| | 11.4 Real estate | | |
| | 11.5 Other invested assets | | 30,442 |
| | 11.6 Net gains or (losses) on cash and short-term investments | | 36,249 |
| | 11.7 Miscellaneous proceeds | | 2,885,942 |
| | 11.8 Total investment proceeds (Lines 11.1 to 11.7) | 11,166,493 | 96,309,053 |
| 12. | Cost of investments acquired (long-term only): | | |
| | 12.1 Bonds | 62,298,515 | 107,893,350 |
| | 12.2 Stocks | 1,619,713 | 7,298,135 |
| | 12.3 Mortgage loans | | |
| | 12.4 Real estate | | 72,020 |
| | 12.5 Other invested assets | | |
| | 12.6 Miscellaneous applications | | |
| | 12.7 Total investments acquired (Lines 12.1 to 12.6) | 63,918,228 | 115,263,505 |
| 13. | Net cash from investments (Line 11.8 minus Line 12.7) | (52,751,735) | (18,954,452) |
| | **CASH FROM FINANCING AND MISCELLANEOUS SOURCES** | | |
| 14. | Cash provided: | | |
| | 14.1 Surplus notes, capital and surplus paid in | | |
| | 14.2 Capital notes $..........0 less amounts repaid $..........0 | | |
| | 14.3 Net transfers from affiliates | | |
| | 14.4 Borrowed funds received | | |
| | 14.5 Other cash provided | 6,082,307 | 6,627,985 |
| | 14.6 Total (Lines 14.1 to 14.5) | 6,082,307 | 6,627,985 |
| 15. | Cash applied: | | |
| | 15.1 Dividends to stockholders paid | | |
| | 15.2 Net transfers to affiliates | 2,506,904 | 36,185,086 |
| | 15.3 Borrowed funds repaid | | |
| | 15.4 Other applications | 3,461,039 | 10,723,712 |
| | 15.5 Total (Lines 15.1 to 15.4) | 5,967,943 | 46,908,798 |
| 16. | Net cash from financing and miscellaneous sources (Line 14.6 minus Line 15.5) | 114,364 | (40,280,813) |
| | **RECONCILIATION OF CASH AND SHORT-TERM INVESTMENTS** | | |
| 17. | Net change in cash and short-term investments (Line 10, plus Line 13, plus Line 16) | 9,907,145 | (49,715,421) |
| 18. | Cash and short-term investments: | | |
| | 18.1 Beginning of year | 82,241,424 | 131,956,845 |
| | 18.2 End of period (Line 17 plus Line 18.1) | 92,148,569 | 82,241,424 |

**DETAILS OF WRITE-INS**

| | | | |
|---|---|---|---|
| 07.401 | Other Income | 905,553 | 1,665,228 |
| 07.402 | | | |
| 07.403 | | | |
| 07.498 | Summary of remaining write-ins for Line 7.4 from overflow page | 0 | 0 |
| 07.499 | Total (Lines 7.401 to 7.403 plus 7.498) (Line 7.4 above) | 905,553 | 1,665,228 |

# NOTES TO FINANCIAL STATEMENTS

17c. Wash Sales
The Company executed no wash sales in the first quarter of 2002.

# GENERAL INTERROGATORIES

(Responses to these interrogatories should be based on changes that have occurred since prior year end unless otherwise noted)

## PART 1 - COMMON INTERROGATORIES

### GENERAL

1.1 Did the reporting entity implement any significant accounting policy changes which would require disclosure in the Notes to the Financial Statements? Yes [ ] No [ X ]

1.2 If yes, explain:....................

2.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [ ] No [ X ]

2.2 If yes, has the report been filed with the domiciliary state? Yes [ ] No [ ]

3.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [ ] No [ X ]

3.2 If yes, date of change: ................................................
If not previously filed, furnish herewith a certified copy of the instrument as amended.

4. Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [ ] No [ X ]
If yes, attach an organizational chart.

5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [ ] No [ X ]

5.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that ceased to exist as a result of the merger or consolidation.

| 1<br>Name of Entity | 2<br>NAIC Company Code | 3<br>State of Domicile |
|---|---|---|
| | | |

6. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes [ ] No [ X ] N/A [ ]

If yes, attach an explanation.

7.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..........12/31/2000.....................

7.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..........12/31/2000.....................

7.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..........08/15/2001.....................

7.4 By what department or departments?................................. Ohio Department of Insurance

8.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? (You need not report an action, either formal or informal, if a confidentiality clause is part of the agreement.) Yes [ ] No [ X ]

8.2 If yes, give full information:

# GENERAL INTERROGATORIES (continued)

## INVESTMENT

(Responses to these interrogatories should be based on changes that have occurred since prior year end unless otherwise noted)

9.1 Has there been any change in the reporting entity's own preferred or common stock? Yes [ ] No [ X ]

9.2 If yes, explain:....................

10.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [ ] No [ X ]

10.2 If yes, give full and complete information relating thereto:

11. Amount of real estate and mortgages held in other invested assets in Schedule BA: $.............................................

12. Amount of real estate and mortgages held in short-term investments: $.............................................

13.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [ X ] No [ ]

13.2 If yes, please complete the following:

| | 1<br>Prior Year-End<br>Statement Value | 2<br>Current Quarter<br>Statement Value |
|---|---|---|
| 13.21 Bonds | $...............................0 | $...........................................0 |
| 13.22 Preferred Stock | $...............................0 | $...........................................0 |
| 13.23 Common Stock | $...............16,396,569 | $............................17,452,484 |
| 13.24 Short-Term Investments | $...............................0 | $...........................................0 |
| 13.25 Mortgages, Loans or Real Estate | $...............................0 | $...........................................0 |
| 13.26 All Other | $...............50,655,374 | $............................50,768,016 |
| 13.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 13.21 to 13.26) | $...............67,051,943 | $............................68,220,500 |
| 13.28 Total Investment in Parent included in Lines 13.21 to 13.26 above | $...............................0 | $...........................................0 |
| 13.29 Receivable from Parent not included in Lines 13.21 to 13.26 above | $...............................0 | $...........................................0 |

14.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes [ ] No [ X ]

14.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [ ] No [ ]
If no, attach a description with this statement.

15. Excluding items in Schedule E, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Part 1-General, Section IV.H-Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [ X ] No [ ]

15.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

| 1<br>Name of Custodian(s) | 2<br>Custodian Address |
|---|---|
| FIFTH THIRD BANK | 38 FOUNTAIN SQUARE PLAZA, CINCINNATI, OH 45263 |

15.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

| 1<br>Name(s) | 2<br>Location(s) | 3<br>Complete Explanation(s) |
|---|---|---|
| | | |

15.3 Have there been any changes, including name changes, in the custodian(s) identified in 15.1 during the current year? Yes [ X ] No [ ]

15.4 If yes, give full and complete information relating thereto:

| 1<br>Old Custodian | 2<br>New Custodian | 3<br>Date of Change | 4<br>Reason |
|---|---|---|---|
| NATIONAL CITY BANK | FIFTH THIRD BANK | 02/05/2002 | SIMPLIFY REPORTING |

15.5 Identify all investment advisors, brokers/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

| 1<br>Central Registration Depository | 2<br>Name(s) | 3<br>Address |
|---|---|---|
| DEPOSITORY TRUST COMPANY | FIFTH THIRD BANK | 1404 E. 9TH ST CLEV. OH 44114 |
| | NATIONAL CITY BANK | 1900 E. 9TH ST CLEV. OH 44114 |
| | LOOMIS SAYLES & COMPANY | 227 W. MONROE ST CHICAGO, IL 60606 |

# GENERAL INTERROGATORIES (continued)

## PART 2

## PROPERTY & CASUALTY INTERROGATORIES

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change? Yes [ ] No [ ] N/A [ X ]
   If yes, attach an explanation.

2. Has the reporting entity reinsured any risk with any other entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured? Yes [ ] No [ X ]
   If yes, attach an explanation.

3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes [ ] No [ X ]

3.2 If yes, give full and complete information thereto:

4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation liabilities tabular reserves (see annual statement instructions pertaining to disclosure of discounting for definition of "tabular reserves") discounted at a rate of interest greater than zero? Yes [ ] No [ X ]

4.2 If yes, complete the following schedule:

| 1 | 2 | 3 | Total Discount | | | | Discount Taken During Period | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| Line of Business | Maximum Interest | Disc. Rate | Unpaid Losses | Unpaid LAE | IBNR | Total | Unpaid Losses | Unpaid LAE | IBNR | Total |
| | | | | | | 0 | | | | 0 |
| Total | XXX | XXX | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

# SCHEDULE A - VERIFICATION

| | 1 First Quarter Current Year | 2 Second Quarter Current Year | 3 Third Quarter Current Year | 4 Prior Year Ended December 31 |
|---|---|---|---|---|
| 1. Book/adjusted carrying value, beginning of period | 4,460,538 | 0 | 0 | 4,665,229 |
| 2. Increase (decrease) by adjustment | (111,651) | | | (276,711) |
| 3. Cost of acquired | | | | |
| 4. Cost of additions to and permanent improvements | | | | 72,020 |
| 5. Total profit (loss) on sales | | | | |
| 6. Increase (decrease) by foreign exchange adjustment | | | | |
| 7. Amount received on sales | | | | |
| 8. Book/adjusted carrying value at end of current period | 4,348,887 | 0 | 0 | 4,460,538 |
| 9. Total valuation allowance | | | | |
| 10. Subtotal (Lines 8 plus 9) | 4,348,887 | 0 | 0 | 4,460,538 |
| 11. Total nonadmitted amounts | | | | |
| 12. Statement value, current period (Page 2, real estate lines, current period) | 4,348,887 | 0 | 0 | 4,460,538 |

# SCHEDULE B - VERIFICATION

NONE

| | 1 First Quarter Current Year | 2 Second Quarter Current Year | 3 Third Quarter Current Year | 4 Prior Year Ended December 31 |
|---|---|---|---|---|
| 1. Book/recorded investment excluding accrued interest on mortgages owned, beginning of period | 0 | 0 | 0 | |
| 2. Amount loaned during period: | | | | |
| 2.1 Actual cost at time of acquisitions | | | | |
| 2.2 Additional investment made after acquisitions | | | | |
| 3. Accrual of discount and mortgage interest points and commitment fees | | | | |
| 4. Increase (decrease) by adjustment | | | | |
| 5. Total profit (loss) on sale | | | | |
| 6. Amounts paid on account or in full during the period | | | | |
| 7. Amortization of premium | | | | |
| 8. Increase (decrease) by foreign exchange adjustment | | | | |
| 9. Book value/recorded investment excluding accrued interest on mortgages owned at end of current period | 0 | 0 | 0 | 0 |
| 10. Total valuation allowance | | | | |
| 11. Subtotal (Lines 9 plus 10) | 0 | 0 | 0 | 0 |
| 12. Total nonadmitted amounts | | | | |
| 13. Statement value of mortgages owned at end of current period | 0 | 0 | 0 | 0 |

# SCHEDULE BA - VERIFICATION

Other Invested Assets Included in Schedule BA

| | 1 First Quarter Current Year | 2 Second Quarter Current Year | 3 Third Quarter Current Year | 4 Prior Year Ended December 31 |
|---|---|---|---|---|
| 1. Book/adjusted carrying value of long-term invested assets owned, beginning of period | 50,655,374 | 0 | 0 | 47,235,153 |
| 2. Cost of acquisitions during period: | | | | |
| 2.1 Actual cost at time of acquisitions | | | | |
| 2.2 Additional investment made after acquisitions | | | | |
| 3. Accrual of discount | | | | |
| 4. Increase (decrease) by adjustment | 112,642 | | | 3,450,663 |
| 5. Total profit (loss) on sale | | | | |
| 6. Amounts paid on account or in full during the period | | | | 30,442 |
| 7. Amortization of premium | | | | |
| 8. Increase (decrease) by foreign exchange adjustment | | | | |
| 9. Book/adjusted carrying value of long-term invested assets at end of current period | 50,768,016 | 0 | 0 | 50,655,374 |
| 10. Total valuation allowance | | | | |
| 11. Subtotal (Lines 9 plus 10) | 50,768,016 | 0 | 0 | 50,655,374 |
| 12. Total nonadmitted amounts | | | | |
| 13. Statement value of long-term invested assets at end of current period | 50,768,016 | 0 | 0 | 50,655,374 |

# SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

| | 1<br>Statement Value Beginning of Current Quarter | 2<br>Acquisitions During Current Quarter | 3<br>Dispositions During Current Quarter | 4<br>Non-Trading Activity During Current Quarter | 5<br>Statement Value End of First Quarter | 6<br>Statement Value End of Second Quarter | 7<br>Statement Value End of Third Quarter | 8<br>Statement Value December 31 Prior Year |
|---|---|---|---|---|---|---|---|---|
| BONDS | | | | | | | | |
| 1. Class 1 | 282,681,043 | 60,756,496 | 10,370,958 | 1,808,003 | 334,874,584 | | | 282,681,043 |
| 2. Class 2 | 8,825,350 | 1,542,019 | 64,312 | (2,126,886) | 8,176,172 | | | 8,825,350 |
| 3. Class 3 | 4,690,457 | | 1,637,062 | 666,454 | 2,386,941 | | | 4,690,457 |
| 4. Class 4 | 2,949,959 | | 770,446 | 586,103 | 2,765,616 | | | 2,949,959 |
| 5. Class 5 | 205,000 | | 506,856 | 301,856 | | | | 205,000 |
| 6. Class 6 | 262,500 | | 60,000 | | 202,500 | | | 262,500 |
| 7. Total Bonds | 299,614,309 | 62,298,515 | 13,409,634 | 1,235,530 | 348,405,813 | 0 | 0 | 299,614,309 |
| PREFERRED STOCK | | | | | | | | |
| 8. Class 1 | | | | | | | | |
| 9. Class 2 | | | | | | | | |
| 10. Class 3 | | | | | | | | |
| 11. Class 4 | | | | | | | | |
| 12. Class 5 | | | | | | | | |
| 13. Class 6 | | | | | | | | |
| 14. Total Preferred Stock | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15. Total Bonds and Preferred Stock | 299,614,309 | 62,298,515 | 13,409,634 | 1,235,530 | 348,405,813 | 0 | 0 | 299,614,309 |

# SCHEDULE DA - PART 1

Short-Term Investments Owned End of Current Quarter

NONE

| | 1<br>Book/Adjusted<br>Carrying Value | 2<br>Par Value | 3<br>Actual<br>Cost | 4<br>Amount of Interest<br>Received Current Quarter | 5<br>Paid for Accrued<br>Interest |
|---|---|---|---|---|---|
| 8099999. Totals | | XXX | | | |

# SCHEDULE DA - PART 2 - Verification

Short-Term Investments Owned

| | 1<br>First Quarter<br>Current Year | 2<br>Second Quarter<br>Current Year | 3<br>Third Quarter<br>Current Year | 4<br>Prior Year Ended<br>December 31 |
|---|---|---|---|---|
| 1. Book/adjusted carrying value, beginning of period | 127,462,368 | 0 | 0 | 124,564,369 |
| 2. Cost of short-term investments acquired | | | | 58,865,169 |
| 3. Increase (decrease) by adjustment | (122,560,146) | | | |
| 4. Increase (decrease) by foreign exchange adjustment | | | | |
| 5. Total profit (loss) on disposal of short-term investments | | | | 36,249 |
| 6. Consideration received on disposal of short-term investments | 4,902,222 | | | 56,003,419 |
| 7. Book/adjusted carrying value, current period | 0 | 0 | 0 | 127,462,368 |
| 8. Total valuation allowance | | | | |
| 9. Subtotal (Lines 7 plus 8) | 0 | 0 | 0 | 127,462,368 |
| 10. Total nonadmitted amounts | | | | |
| 11. Statement value (Lines 9 minus 10) | 0 | 0 | 0 | 127,462,368 |
| 12. Income collected during period | | | | 5,406,698 |
| 13. Income earned during period | | | | 4,869,869 |

# Sch. DB-Part F-Section 1
# NONE

# Sch. DB-Part F-Section 2
# NONE

# Sch. F
# NONE

# SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN

Current Year to Date - Allocated by States and Territories

| | States, Etc. | 1 Is Insurer Licensed? (Yes or No) | Direct Premiums Written 2 Current Year to Date | 3 Prior Year to Date | Direct Losses Paid (Deducting Salvage) 4 Current Year to Date | 5 Prior Year to Date | Direct Losses Unpaid 6 Current Year to Date | 7 Prior Year to Date |
|---|---|---|---|---|---|---|---|---|
| 1. | Alabama AL | NO | | | | | | |
| 2. | Alaska AK | NO | | | | | | |
| 3. | Arizona AZ | NO | | | | | | |
| 4. | Arkansas AR | NO | | | | | | |
| 5. | California CA | NO | | | | | | |
| 6. | Colorado CO | NO | | | | | | |
| 7. | Connecticut CT | NO | | | | | | |
| 8. | Delaware DE | NO | | | | | | |
| 9. | District of Columbia DC | NO | | | | | | |
| 10. | Florida FL | NO | | | | | | |
| 11. | Georgia GA | NO | | | | | | |
| 12. | Hawaii HI | NO | | | | | | |
| 13. | Idaho ID | NO | | | | | | |
| 14. | Illinois IL | NO | | | | | | |
| 15. | Indiana IN | NO | | | | | | |
| 16. | Iowa IA | NO | | | | | | |
| 17. | Kansas KS | NO | | | | | | |
| 18. | Kentucky KY | NO | | | | | | |
| 19. | Louisiana LA | NO | | | | | | |
| 20. | Maine ME | NO | | | | | | |
| 21. | Maryland MD | NO | | | | | | |
| 22. | Massachusetts MA | NO | | | | | | |
| 23. | Michigan MI | NO | | | | | | |
| 24. | Minnesota MN | NO | | | | | | |
| 25. | Mississippi MS | NO | | | | | | |
| 26. | Missouri MO | NO | | | | | | |
| 27. | Montana MT | NO | | | | | | |
| 28. | Nebraska NE | NO | | | | | | |
| 29. | Nevada NV | NO | | | | | | |
| 30. | New Hampshire NH | NO | | | | | | |
| 31. | New Jersey NJ | NO | | | | | | |
| 32. | New Mexico NM | NO | | | | | | |
| 33. | New York NY | NO | | | | | | |
| 34. | North Carolina NC | NO | | | | | | |
| 35. | North Dakota ND | NO | | | | | | |
| 36. | Ohio OH | YES | 353,758,251 | 333,584,978 | 275,456,166 | 280,852,663 | 201,629,094 | 211,247,812 |
| 37. | Oklahoma OK | NO | | | | | | |
| 38. | Oregon OR | NO | | | | | | |
| 39. | Pennsylvania PA | NO | | | | | | |
| 40. | Rhode Island RI | NO | | | | | | |
| 41. | South Carolina SC | NO | | | | | | |
| 42. | South Dakota SD | NO | | | | | | |
| 43. | Tennessee TN | NO | | | | | | |
| 44. | Texas TX | NO | | | | | | |
| 45. | Utah UT | NO | | | | | | |
| 46. | Vermont VT | NO | | | | | | |
| 47. | Virginia VA | NO | | | | | | |
| 48. | Washington WA | NO | | | | | | |
| 49. | West Virginia WV | NO | | | | | | |
| 50. | Wisconsin WI | NO | | | | | | |
| 51. | Wyoming WY | NO | | | | | | |
| 52. | American Samoa AS | NO | | | | | | |
| 53. | Guam GU | NO | | | | | | |
| 54. | Puerto Rico PR | NO | | | | | | |
| 55. | US Virgin Islands VI | NO | | | | | | |
| 56. | Canada CN | NO | | | | | | |
| 57. | Aggregate Other Alien OT | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 58. | Totals | (a) 1 | 353,758,251 | 333,584,978 | 275,456,166 | 280,852,663 | 201,629,094 | 211,247,812 |

**DETAILS OF WRITE-INS**

| | | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| 5701. | | XXX | | | | | | |
| 5702. | | XXX | | | | | | |
| 5703. | | XXX | | | | | | |
| 5798. | Summary of remaining write-ins for Line 57 from overflow page | XXX | 0 | 0 | 0 | 0 | 0 | 0 |
| 5799. | Totals (Lines 5701 thru 5703 + Line 5798) (Line 57 above) | XXX | 0 | 0 | 0 | 0 | 0 | 0 |

(a) Insert the number of yes responses except for Canada and Other Alien.

# SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

## PART 1 – ORGANIZATIONAL CHART

# PART 1 - LOSS EXPERIENCE

| | Current Year to Date | | | 4 |
|---|---|---|---|---|
| Lines of Business | 1<br>Direct Premiums<br>Earned | 2<br>Direct Losses<br>Incurred | 3<br>Direct<br>Loss Percentage | Prior Year to Date<br>Direct Loss<br>Percentage |
| 1. Fire | | | 0.0 | |
| 2. Allied lines | | | 0.0 | |
| 3. Farmowners multiple peril | | | 0.0 | |
| 4. Homeowners multiple peril | | | 0.0 | |
| 5. Commercial multiple peril | | | 0.0 | |
| 6. Mortgage guaranty | | | 0.0 | |
| 8. Ocean marine | | | 0.0 | |
| 9. Inland marine | | | 0.0 | |
| 10. Financial guaranty | | | 0.0 | |
| 11.1. Medical malpractice-occurrence | | | 0.0 | |
| 11.2. Medical malpractice-claims made | | | 0.0 | |
| 12. Earthquake | | | 0.0 | |
| 13. Group accident and health | 332,084,251 | 275,334,280 | 82.9 | 86.4 |
| 14. Credit accident and health | | | 0.0 | |
| 15. Other accident and health | 21,674,000 | 16,035,437 | 74.0 | 88.3 |
| 16. Workers' compensation | | | 0.0 | |
| 17.1 Other liability-occurrence | | | 0.0 | |
| 17.2 Other liability-claims made | | | 0.0 | |
| 18.1 Products liability-occurrence | | | 0.0 | |
| 18.2 Products liability-claims made | | | 0.0 | |
| 19.1, 19.2 Private passenger auto liability | | | 0.0 | |
| 19.3, 19.4 Commercial auto liability | | | 0.0 | |
| 21. Auto physical damage | | | 0.0 | |
| 22. Aircraft (all perils) | | | 0.0 | |
| 23. Fidelity | | | 0.0 | |
| 24. Surety | | | 0.0 | |
| 26. Burglary and theft | | | 0.0 | |
| 27. Boiler and machinery | | | 0.0 | |
| 28. Credit | | | 0.0 | |
| 29. International | | | 0.0 | |
| 30. Reinsurance-nonproportional assumed property | XXX | XXX | XXX | XXX |
| 31. Reinsurance-nonproportional assumed liability | XXX | XXX | XXX | XXX |
| 32. Reinsurance-nonproportional assumed financial lines | XXX | XXX | XXX | XXX |
| 33. Aggregate write-ins for other lines of business | 0 | 0 | 0.0 | 0.0 |
| 34. Totals | 353,758,251 | 291,369,717 | 82.4 | 86.6 |

**DETAILS OF WRITE-INS**

| | | | | |
|---|---|---|---|---|
| 3301. | | | 0.0 | |
| 3302. | | | 0.0 | |
| 3303. | | | 0.0 | |
| 3398. Sum. of remaining write-ins for Line 33 from overflow page | 0 | 0 | 0.0 | 0.0 |
| 3399. Totals (Lines 3301 thru 3303 plus 3398) (Line 33) | 0 | 0 | 0.0 | 0.0 |

# PART 2 - DIRECT PREMIUMS WRITTEN

| | 1<br>Current<br>Quarter | 2<br>Current<br>Year to Date | 3<br>Prior Year<br>Year to Date |
|---|---|---|---|
| 1. Fire | | | |
| 2. Allied lines | | | |
| 3. Farmowners multiple peril | | | |
| 4. Homeowners multiple peril | | | |
| 5. Commercial multiple peril | | | |
| 6. Mortgage guaranty | | | |
| 8. Ocean marine | | | |
| 9. Inland marine | | | |
| 10. Financial guaranty | | | |
| 11.1. Medical malpractice-occurrence | | | |
| 11.2. Medical malpractice-claims made | | | |
| 12. Earthquake | | | |
| 13. Group accident and health | 332,084,251 | 332,084,251 | 323,030,932 |
| 14. Credit accident and health | | | |
| 15. Other accident and health | 21,674,000 | 21,674,000 | 10,554,046 |
| 16. Workers' compensation | | | |
| 17.1. Other liability-occurrence | | | |
| 17.2. Other liability-claims made | | | |
| 18.1. Products liability-occurrence | | | |
| 18.2. Products liability-claims made | | | |
| 19.1, 19.2 Private passenger auto liability | | | |
| 19.3, 19.4 Commercial auto liability | | | |
| 21. Auto physical damage | | | |
| 22. Aircraft (all perils) | | | |
| 23. Fidelity | | | |
| 24. Surety | | | |
| 26. Burglary and theft | | | |
| 27. Boiler and machinery | | | |
| 28. Credit | | | |
| 29. International | | | |
| 30. Reinsurance-nonproportional assumed property | XXX | XXX | XXX |
| 31. Reinsurance-nonproportional assumed liability | XXX | XXX | XXX |
| 32. Reinsurance-nonproportional assumed financial lines | XXX | XXX | XXX |
| 33. Aggregate write-ins for other lines of business | 0 | 0 | 0 |
| 34. Totals | 353,758,251 | 353,758,251 | 333,584,978 |

**DETAILS OF WRITE-INS**

| | | | |
|---|---|---|---|
| 3301. | | | |
| 3302. | | | |
| 3303. | | | |
| 3398. Sum. of remaining write-ins for Line 33 from overflow page | 0 | 0 | 0 |
| 3399. Totals (Lines 3301 thru 3303 plus 3398) (Line 33) | 0 | 0 | 0 |

# PART 3 (000 omitted)

## LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE

| Years in Which Losses Occurred | 1<br>Prior Year-End Known Case Loss and LAE Reserves | 2<br>Prior Year-End IBNR Loss and LAE Reserves | 3<br>Total Prior Year-End Loss and LAE Reserves (a) (Cols. 1 + 2) | 4<br>2002 Loss and LAE Payments on Claims Reported as of Prior Year-End | 5<br>2002 Loss and LAE Payments on Claims Unreported as of Prior Year-End | 6<br>Total 2002 Loss and LAE Payments (Cols. 4 + 5) | 7<br>Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End | 8<br>Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End | 9<br>Q.S. Date IBNR Loss and LAE Reserves | 10<br>Total Q.S. Loss and LAE Reserves (b) (Cols. 7 + 8 + 9) | 11<br>Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 4 + 7 minus Col. 1) | 12<br>Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/Deficiency (Cols. 5 + 8 + 9 minus Col. 2) | 13<br>Prior Year-End Total Loss and LAE Reserves Developed (Savings)/Deficiency (c) (Cols. 11 + 12) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. 1999 + Prior | | (1,840) | (1,840) | | (450) | (450) | | | (1,454) | (1,454) | 0 | (64) | (64) |
| 2. 2000 | | 390 | 390 | | 34 | 34 | | | (78) | (78) | 0 | (434) | (434) |
| 3. Subtotals 2000 + Prior | 0 | (1,450) | (1,450) | 0 | (416) | (416) | 0 | 0 | (1,532) | (1,532) | 0 | (498) | (498) |
| 4. 2001 | | 172,566 | 172,566 | | 122,847 | 122,847 | | | 35,291 | 35,291 | 0 | (14,428) | (14,428) |
| 5. Subtotals 2001 + Prior | 0 | 171,116 | 171,116 | 0 | 122,431 | 122,431 | 0 | 0 | 33,759 | 33,759 | 0 | (14,926) | (14,926) |
| 6. 2002 | XXX | XXX | XXX | XXX | 134,438 | 134,438 | XXX | | 154,182 | 154,182 | XXX | XXX | XXX |
| 7. Totals | 0 | 171,116 | 171,116 | 0 | 256,869 | 256,869 | 0 | 0 | 187,941 | 187,941 | 0 | (14,926) | (14,926) |
| 8. Prior Year-End's Surplus As Regards Policyholders | 131,057 | | | | | | | | | | Col. 11, Line 7 As % of Col. 1, Line 7 | Col. 12, Line 7 As % of Col. 2, Line 7 | Col. 13, Line 7 As % of Col. 3, Line 7 |
| | | | | | | | | | | | 1. 0.0 % | 2. (8.7)% | 3. (8.7)% |
| | | | | | | | | | | | | | Col. 13, Line 7 Line 8 |
| | | | | | | | | | | | | | 4. (11.4)% |

(a) Should equal prior year-end Annual Statement; Page 3, Col. 1, Lines 1 + 3.
(b) Should equal Q.S. Page 3, Col.1, Lines 1 and 3.
(c) Should also equal Cols. 6 + 10 less Col. 3 for Lines 1 through 5 only.

# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

| | RESPONSE |
|---|---|
| 1. Will the SVO Compliance Certification be filed with this statement? | YES |
| 2. Will the Trusteed Surplus Statement be filed with the State of Domicile and the NAIC with this statement? | NO |
| 3. Will Supplement A to Schedule T (Medical Malpractice Supplement) be filed with this statement? | NO |

**EXPLANATIONS:**

**BAR CODE:**

* 2 9 0 7 6 2 0 0 2 4 9 0 0 0 0 0 1 *

* 2 9 0 7 6 2 0 0 2 4 5 0 0 0 0 0 1 *

# Overflow Page for Write-Ins

**Additional Write-ins for Assets:**

| | Current Statement Date | | | 4 |
|---|---|---|---|---|
| | 1<br>Assets | 2<br>Nonadmitted Assets | 3<br>Net Admitted Assets (Cols. 1 - 2) | December 31, Prior Year Net Admitted Assets |
| 2504. Drug Vendor Receivables | 780,000 | 780,000 | 0 | |
| 2505. Other Assets | 3,763,319 | 3,431,406 | 331,913 | 331,913 |
| 2597. Summary of remaining write-ins for Line 25 from Assets | 4,543,319 | 4,211,406 | 331,913 | 331,913 |

**Additional Write-ins for Liabilities:**

| | 1<br>Current Statement Date | 2<br>December 31, Prior Year |
|---|---|---|
| 2304. Other Liabilities | 6,416,953 | 5,307,499 |
| 2305. Unclaimed Funds & Returned Checks | 5,242,659 | 4,915,716 |
| 2306. Pension Liability | 16,101,289 | 16,395,372 |
| 2307. Guaranty Fund Liability | 1,350,000 | 1,350,000 |
| 2397. Summary of remaining write-ins for Line 23 from Liabilities | 29,110,901 | 27,968,587 |

## Sch. A-Part 2
## NONE

## Sch. A-Part 3
## NONE

## Sch. B-Part 1
## NONE

## Sch. B-Part 2
## NONE

## Sch. BA-Part 1
## NONE

## Sch. BA-Part 2
## NONE

# SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired by the Company During the Current Quarter

| 1<br>CUSIP<br>Identification | 2<br>Description | 3<br>Date<br>Acquired | 4<br>Name of Vendor | 5<br>Number of<br>Shares of Stock | 6<br>Actual Cost | 7<br>Par Value | 8<br>Paid for Accrued<br>Interest and Dividends | 9<br>NAIC<br>Designation (a) |
|---|---|---|---|---|---|---|---|---|
| **Bonds - U.S. Government** | | | | | | | | |
| 3133ML-MB-8 | FEDERAL HOME LN MTG | 02/28/2002 | 5th 3rd | | 1,001,850 | 1,000,000 | | 1PE |
| 3136F1-MR-8 | FEDERAL NATL MTG ASSN | 02/26/2002 | 5th 3rd | | 3,001,170 | 3,000,000 | 725 | 1PE |
| 31331L-J9-0 | FEDERAL FARM CRD BANK | 03/14/2002 | 5th 3rd | | 1,996,760 | 2,000,000 | | 1PE |
| 312924-6Q-1 | FEDERAL HOME LN MTG | 03/01/2002 | 5th 3rd | | 996,750 | 1,000,000 | | 1PE |
| 312924-TF-0 | FEDERAL HOME LN MTG | 02/15/2002 | 5th 3rd | | 983,080 | 1,000,000 | 10,633 | 1PE |
| 3134A4-GK-4 | FEDERAL HOME LN MTG | 01/22/2002 | 5th 3rd | | 2,071,484 | 2,000,000 | 2,139 | 1PE |
| 3134A4-CQ-5 | FEDERAL HOME LN MTG | 03/27/2002 | 5th 3rd | | 261,016 | 250,000 | 5,888 | 1PE |
| 3133ML-PJ-8 | FEDERAL HOME LOAN BANK | 02/28/2002 | 5th 3rd | | 1,998,125 | 2,000,000 | | 1PE |
| 3133ML-GU-3 | FEDERAL HOME LOAN BANK | 02/28/2002 | 5th 3rd | | 1,978,614 | 1,975,000 | | 1PE |
| 31359M-GH-0 | FEDERAL NATL MTG ASSN | 01/22/2002 | 5th 3rd | | 2,175,234 | 2,000,000 | 35,701 | 1PE |
| 31359M-MG-5 | FEDERAL NATL MTG ASSN | 02/21/2002 | 5th 3rd | | 4,987,900 | 5,000,000 | | 1PE |
| 31359M-DU-4 | FEDERAL NATL MTG ASSN | 01/22/2002 | 5th 3rd | | 2,100,460 | 2,000,000 | 22,333 | 1PE |
| 912827-7F-3 | UNITED STATES TREAS NTS | 03/01/2002 | 5th 3rd | | 4,830,469 | 5,000,000 | 52,693 | 1 |
| 912827-5A-6 | UNITED STATES TREAS NTS | 03/01/2002 | 5th 3rd | | 4,985,938 | 5,000,000 | 1,630 | 1PE |
| 3136F0-3X-8 | FEDERAL NATL MTG ASSN | 03/19/2002 | 5th 3rd | | 1,452,270 | 1,500,000 | 19,214 | 1PE |
| 3136F1-CZ-1 | FEDERAL NATL MTG ASSN | 03/26/2002 | 5th 3rd | | 996,220 | 1,000,000 | 9,889 | 1PE |
| 0399999. | Total - Bonds - U.S. Government | | | | 35,817,340 | 35,725,000 | 160,846 | XXX |
| **Bonds - Public Utilities** | | | | | | | | |
| **United States** | | | | | | | | |
| 079860-AC-6 | BELLSOUTH CORP | 01/25/2002 | 5th 3rd | | 201,208 | 200,000 | 2,500 | 1PE |
| 291011-AH-7 | EMERSON ELEC CO NT | 01/29/2002 | 5ht 3rd | | 402,956 | 400,000 | 8,580 | 1PE |
| 291011-AE-4 | EMERSON ELEC CO NT | 02/06/2002 | 5th 3rd | | 2,096,100 | 2,000,000 | 35,000 | 1 |
| 36962G-XS-8 | GENERAL ELEC CAP | 03/18/2002 | 5th 3rd | | 985,115 | 1,000,000 | 2,938 | 1 |
| 92344U-AA-3 | VERIZON NEW JERSEY INC | 01/22/2002 | 5th 3rd | | 496,955 | 500,000 | | 1PE |
| 95527P-AC-2 | WEST PENN PWR CO | 03/11/2002 | 5th 3rd | | 1,038,260 | 1,000,000 | 18,240 | 1PE |
| | United States | | | | 5,220,594 | 5,100,000 | 67,257 | XXX |
| 3899999. | Total - Bonds - Public Utilities | | | | 5,220,594 | 5,100,000 | 67,257 | XXX |
| **Bonds - Industrial and Miscellaneous** | | | | | | | | |
| **United States** | | | | | | | | |
| 013817-AC-5 | ALCOA INC | 03/18/2002 | 5th 3rd | | 203,888 | 200,000 | 3,590 | 1PE |
| 031678-AF-2 | AMOCO CDA PETE CO | 02/21/2002 | 5th 3rd | | 1,077,130 | 1,000,000 | 2,063 | 1PE |
| 035229-CC-5 | ANHEUSER BUSCH | 01/30/2002 | 5ht 3rd | | 495,505 | 500,000 | 1,198 | 1PE |
| 060505-AL-8 | BANK OF AMERICA CORP | 01/31/2002 | 5th 3rd | | 498,155 | 500,000 | | 1PE |
| 06423A-AQ-6 | BANK ONE CORP NT | 03/18/2002 | 5th 3rd | | 486,268 | 500,000 | 7,621 | 1PE |
| 054937-AC-1 | BB&T CORPORATION SUB NT | 01/25/2002 | 5th 3rd | | 256,953 | 250,000 | 7,764 | 2 |
| 073902-BX-5 | BEAR STEARNS CO INC | 01/23/2002 | 5th 3rd | | 208,312 | 200,000 | 2,961 | 1 |
| 097014-AD-6 | BOEING CAP CORP SR NT | 01/23/2002 | 5th 3rd | | 196,770 | 200,000 | 4,812 | 1 |
| 129466-AL-2 | CALENERGY INC SR NT | 02/14/2002 | 5th 3rd | | 209,126 | 200,000 | 6,476 | 2 |
| 134429-AJ-8 | CAMPBELL SOUP CO NT | 02/13/2002 | 5th 3rd | | 2,142,200 | 2,000,000 | 47,533 | 1PE |
| 17453B-AA-9 | CITIZENS COMMUNICATIONS | 03/26/2002 | 5th 3rd | | 103,797 | 100,000 | 3,211 | 2 |
| 191216-AJ-9 | COCA-COLA CO | 03/18/2002 | 5th 3rd | | 491,390 | 500,000 | 722 | 1PE |
| 191219-BJ-2 | COCA-COLA ENTERPRISES | 01/30/2002 | 5th 3rd | | 304,863 | 300,000 | 8,294 | 1 |
| 210371-AG-5 | CONSTELLATION ENERGY | 03/21/2002 | 5th 3rd | | 99,922 | 100,000 | | 1PE |
| 211177-AM-2 | CONTINENTAL CABLEVISION | 02/28/2002 | 5th 3rd | | 160,244 | 150,000 | 3,804 | 2 |
| 22237L-LD-2 | COUNTRYWIDE HOME LNS INC | 03/15/2002 | 5th 3rd | | 1,011,250 | 1,000,000 | 13,854 | 1 |
| 25468P-BU-9 | DISNEY WALT | 01/30/2002 | 5ht 3rd | | 249,985 | 250,000 | 1,184 | 1PE |
| 25468P-BU-9 | DISNEY WALT CO NEW | 03/18/2002 | 5th 3rd | | 247,718 | 250,000 | 3,132 | 1PE |
| 263534-BJ-7 | DU PONT & CO | 03/18/2002 | 5th 3rd | | 211,590 | 200,000 | 5,958 | 1PE |
| 264399-DW-3 | DUKE ENERGY CORP | 03/25/2002 | 5th 3rd | | 492,440 | 500,000 | 6,424 | 1PE |
| 338915-AJ-0 | FLEET FINL GROUP INC | 03/21/2002 | 5th 3rd | | 354,536 | 350,000 | 695 | 1PE |
| 345397-RR-6 | FORD MOTOR CREDIT GLOBAL | 02/12/2002 | 5th 3rd | | 245,398 | 250,000 | 3,000 | 2 |
| 370425-RT-9 | GENERAL MTR ACC CORP | 03/28/2002 | 5th 3rd | | 202,580 | 200,000 | 2,925 | 2 |
| 38141G-BU-7 | GOLDMAN SACHS GROUP INC | 01/25/2002 | 5th 3rd | | 203,938 | 200,000 | 550 | 1 |

# SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired by the Company During the Current Quarter

| 1<br>CUSIP Identification | 2<br>Description | 3<br>Date Acquired | 4<br>Name of Vendor | 5<br>Number of Shares of Stock | 6<br>Actual Cost | 7<br>Par Value | 8<br>Paid for Accrued Interest and Dividends | 9<br>NAIC Designation (a) |
|---|---|---|---|---|---|---|---|---|
| 428236-AE-3 | HEWLETT PACKARD CO | 03/18/2002 | 5th 3rd | | 498,380 | 500,000 | 6,149 | 1 |
| 438516-AL-0 | HONEYWELL INTERNATIONAL | 03/14/2002 | 5th 3rd | | 1,048,910 | 1,000,000 | 31,510 | 1PE |
| 441812-FT-6 | HOUSEHOLD FIN CORP NT | 02/06/2002 | 5th 3rd | | 1,688,412 | 1,650,000 | 28,577 | 1PE |
| 441812-JX-3 | HOUSEHOLD FINANCE CORP | 03/26/2002 | 5th 3rd | | 193,944 | 200,000 | 1,086 | 1 |
| 441812-JW-5 | HOUSEHOLD FINANCE CORP NT | 01/24/2002 | 5th 3rd | | 196,940 | 200,000 | 3,223 | 1 |
| 459200-AW-1 | IBM CORP 4.875% DUE | 03/18/2002 | 5th 3rd | | 245,778 | 250,000 | 5,891 | 1 |
| 499040-AF-0 | KNIGHT RIDDER INC | 02/19/2002 | 5th 3rd | | 1,143,582 | 1,100,000 | 12,898 | 1PE |
| 524660-AR-8 | LEGGETT & PLATT DTD | 02/26/2002 | 5th 3rd | | 1,081,580 | 1,000,000 | 3,400 | 1PE |
| 52517P-SC-6 | LEHMAN BROS HOLDINGS INC NT | 01/23/2002 | 5th 3rd | | 202,346 | 200,000 | 478 | 1PE |
| 617446-DW-6 | MORGAN ST DEAN WITTER | 03/18/2002 | 5th 3rd | | 1,078,580 | 1,000,000 | 20,667 | 1PE |
| 617446-GM-5 | MORGAN STANLEY DEAN | 01/25/2002 | 5th 3rd | | 207,628 | 200,000 | 3,750 | 1PE |
| 650905-AF-9 | NEWCOURT CR GROUP INC | 03/05/2002 | 5th 3rd | | 87,075 | 90,000 | 378 | 1PE |
| 654106-AB-9 | NIKE INC | 02/22/2002 | 5th 3rd | | 1,021,760 | 1,000,000 | 1,833 | 1PE |
| 74913E-AC-4 | QWEST CAP FDG INC | 02/08/2002 | 5th 3rd | | 197,584 | 200,000 | 7,664 | 2 |
| 78387G-AH-6 | SBC COMMUNICATIONS | 03/21/2002 | 5th 3rd | | 484,710 | 500,000 | 4,488 | 1 |
| 852060-AE-2 | SPRINT CAP CORP | 03/20/2002 | 5th 3rd | | 138,013 | 145,000 | 3,035 | 2PE |
| 852060-AE-2 | SPRINT CAP CORP | 02/26/2002 | 5th 3rd | | 28,326 | 30,000 | 588 | 2PE |
| 87612E-AC-0 | TARGET CORP NT | 01/30/2002 | 5th 3rd | | 207,170 | 200,000 | 529 | 1 |
| 90333W-AB-4 | US BANK NA | 02/01/2002 | 5th 3rd | | 499,125 | 500,000 | | 1PE |
| 929903-AA-0 | WACHOVIA CORPORATION | 03/19/2002 | 5th 3rd | | 195,128 | 200,000 | 3,850 | 1 |
| 931142-BE-2 | WALMART | 03/18/2002 | 5th 3rd | | 265,263 | 250,000 | 1,957 | 1PE |
| 949748-AF-4 | WELLS FARGO BANK | 01/23/2002 | 5th 3rd | | 206,394 | 200,000 | 6,163 | 1 |
| 98157D-AB-2 | WORLDCOM INC GA NEW NT | 02/06/2002 | 5th 3rd | | 190,000 | 200,000 | 3,763 | 1 |
| | United States | | | | 21,260,582 | 20,715,000 | 289,649 | XXX |
| 4599999. | Total - Bonds - Industrial & Miscellaneous | | | | 21,260,582 | 20,715,000 | 289,649 | XXX |
| 6099997. | Total - Bonds - Part 3 | | | | 62,298,516 | 61,540,000 | 517,753 | XXX |
| 6099999. | Total - Bonds | | | | 62,298,516 | 61,540,000 | 517,753 | XXX |
| **Common Stocks - Industrial and Miscellaneous** | | | | | | | | |
| **United States** | | | | | | | | |
| 020002-10-1 | ALLSTATE CORP | 01/23/2002 | 5th 3rd | 2,000.000 | 63,860 | | | L |
| 026874-10-7 | AMERICAN INTL GROUP INC COM | 03/04/2002 | 5th 3rd | 1,550.000 | 116,033 | | | L |
| 00209A-10-6 | AT & T WIRELESS | 03/22/2002 | 5th 3rd | 5,583.000 | 55,774 | | | L |
| 073325-10-2 | BEA SYSTEMS INC COM | 03/04/2002 | 5th 3rd | 2,000.000 | 28,680 | | | L |
| 101137-10-7 | BOSTON SCIENTIFIC CORP | 01/23/2002 | 5th 3rd | 2,500.000 | 54,825 | | | L |
| 216669-10-1 | COOPER INDS INC | 03/22/2002 | 5th 3rd | 1,000.000 | 41,370 | | | L |
| 219350-10-5 | CORNING INC COM | 03/22/2002 | 5th 3rd | 5,000.000 | 37,500 | | | L |
| 260543-10-3 | DOW CHEMICAL | 01/18/2002 | 5th 3rd | 2,000.000 | 53,820 | | | L |
| 31678R-40-3 | FIFTH THIRD MICRO CAP | 02/25/2002 | 5th 3rd | 25,615.950 | 162,917 | | | L |
| 345838-10-6 | FOREST LABS INC | 03/26/2002 | 5th 3rd | 1,450.000 | 117,363 | | | L |
| 438516-10-6 | HONEYWELL INTL INC | 01/23/2002 | 5th 3rd | 1,000.000 | 29,650 | | | L |
| 495582-10-8 | KING PHARMACEUTICALS INC | 03/07/2002 | 5th 3rd | 3,775.000 | 118,837 | | | L |
| 501044-10-1 | KROGER CO COM | 03/07/2002 | 5th 3rd | 6,200.000 | 137,198 | | | L |
| 58551A-10-8 | MELLON FINL CORP | 03/04/2002 | 5th 3rd | 3,100.000 | 116,186 | | | L |
| 696429-30-7 | PALL CORP | 02/25/2002 | 5th 3rd | 1,500.000 | 27,495 | | | L |
| 714046-10-9 | PERKINELMER INC COM | 03/04/2002 | 5th 3rd | 2,500.000 | 42,600 | | | L |
| 737679-10-0 | POTOMAC ELEC PWR CO COM | 02/15/2002 | 5th 3rd | 2,500.000 | 56,403 | | | L |
| 748356-10-2 | QUESTAR CORP COM | 03/07/2002 | 5th 3rd | 5,100.000 | 126,507 | | | L |
| 806605-10-1 | SCHERING PLOUGH CORP | 01/23/2002 | 5th 3rd | 1,000.000 | 34,050 | | | L |
| 866810-10-4 | SUN MICROSYSTEMS | 03/22/2002 | 5th 3rd | 5,000.000 | 45,650 | | | L |
| 868536-10-3 | SUPERVALU INC | 01/23/2002 | 5th 3rd | 2,000.000 | 46,508 | | | L |
| G90078-10-9 | TRANSOCEAN SEDCO FOREX | 01/23/2002 | 5th 3rd | 2,000.000 | 56,560 | | | L |
| 98157D-30-4 | WORLDCOM INC-MCI GRP COM | 01/18/2002 | 5th 3rd | 2,819.000 | 39,522 | | | L |
| | United States | | | | 1,609,309 | XXX | 0 | XXX |

# SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired by the Company During the Current Quarter

| 1<br>CUSIP<br>Identification | 2<br>Description | 3<br>Date<br>Acquired | 4<br>Name of Vendor | 5<br>Number of<br>Shares of Stock | 6<br>Actual Cost | 7<br>Par Value | 8<br>Paid for Accrued<br>Interest and Dividends | 9<br>NAIC<br>Designation (a) |
|---|---|---|---|---|---|---|---|---|
| 6899999. | Total - Common Stocks - Industrial & Miscellaneous | | | | 1,609,309 | XXX | 0 | XXX |
| 7099997. | Total - Common Stocks - Part 3 | | | | 1,609,309 | XXX | 0 | XXX |
| 7099998. | Total - Common Stocks - Summary Item for Common Stocks Bought and Sold This Quarter | | | | 10,405 | XXX | | XXX |
| 7099999. | Total - Common Stocks | | | | 1,619,714 | XXX | 0 | XXX |
| 7199999. | Total - Preferred and Common Stocks | | | | 1,619,714 | XXX | 0 | XXX |
| 7299999. | Total - Bonds, Preferred and Common Stocks | | | | 63,918,230 | XXX | 517,753 | XXX |

(a) For all common stock bearing the NAIC designation "U" provide: the number of such issues:...............0.

# SCHEDULE D - PART 4

## Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarte

| 1<br>CUSIP Identification | 2<br>Description | 3<br>Disposal Date | 4<br>Name of Purchaser | 5<br>Number of Shares of Stock | 6<br>Consideration | 7<br>Par Value | 8<br>Actual Cost | 9<br>Book/Adjusted Carrying Value At Disposal Date | 10<br>Increase (Decrease) by Adjustment | 11<br>Increase (Decrease) by Foreign Exchange Adjustment | 12<br>Foreign Exchange Gain (Loss) on Disposal | 13<br>Realized Gain (Loss) on Disposal | 14<br>Total Gain (Loss) on Disposal | 15<br>Interest on Bonds Received During Year | 16<br>Dividends on Stocks Received During Year | 17<br>NAIC Designation (a) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **Bonds - U.S. Government** | | | | | | | | | | | | | | | | |
| 3133M7-6A-9 | FEDERAL HOME LN BKS CONS | 01/28/2002 | MATURITY | | 500,000 | 500,000 | 496,875 | 499,886 | 114 | | | | 0 | 13,325 | | 1PE |
| 3134A4-CQ-5 | FEDERAL HOME LN MTG CORP | 03/29/2002 | 5th 3rd | | 261,015 | 250,000 | 258,320 | 258,305 | (3,149) | | | 5,859 | 5,859 | 5,888 | | 1PE |
| 31364F-MZ-1 | FEDERAL NAT'L MORT ASSN | 01/14/2002 | 5th 3rd | | 500,000 | 500,000 | 472,700 | 481,615 | 194 | | | 18,191 | 18,191 | 14,825 | | 1PE |
| 31364F-MU-2 | FEDERAL NAT'L MORT ASSN | 01/14/2002 | 5th 3rd | | 1,000,000 | 1,000,000 | 951,920 | 967,717 | 341 | | | 31,942 | 31,942 | 30,000 | | 1PE |
| 912827-2P-6 | U.S. TREASURY NOTES | 03/31/2002 | MATURITY | | 500,000 | 500,000 | 499,531 | 499,885 | 115 | | | | 0 | 16,563 | | 1PE |
| 912827-2G-6 | U.S. TREASURY NTS | 01/31/2002 | MATURITY | | 300,000 | 300,000 | 313,406 | 300,406 | (406) | | | | 0 | 9,375 | | 1PE |
| 0399999. | Total - Bonds - U.S. Government | | | | 3,061,015 | 3,050,000 | 2,992,753 | 3,007,813 | (2,790) | 0 | 0 | 55,992 | 55,992 | 89,976 | 0 | XXX |
| **Bonds - Public Utilities** | | | | | | | | | | | | | | | | |
| **United States** | | | | | | | | | | | | | | | | |
| 597519-AA-5 | MIDLAND FDG CORP | 01/24/2002 | REDEMPTION | | 64,312 | 64,312 | 70,318 | 65,252 | (940) | | | | 0 | 3,322 | | 2 |
| | United States | | | | 64,312 | 64,312 | 70,318 | 65,252 | (940) | 0 | 0 | 0 | 0 | 3,322 | 0 | XXX |
| 3899999. | Total - Bonds - Public Utilities | | | | 64,312 | 64,312 | 70,318 | 65,252 | (940) | 0 | 0 | 0 | 0 | 3,322 | 0 | XXX |
| **Bonds - Industrial and Miscellaneous** | | | | | | | | | | | | | | | | |
| **United States** | | | | | | | | | | | | | | | | |
| 029717-AC-9 | AMERICAN STANDARD INC | 03/05/2002 | 5th 3rd | | 204,750 | 200,000 | 194,860 | 196,312 | 85 | | | 8,353 | 8,353 | 8,891 | | 3 |
| 065913-AE-5 | BANKBOSTON N A SUB NT DTD | 01/23/2002 | 5th 3rd | | 154,197 | 150,000 | 146,150 | 146,604 | 39 | | | 7,554 | 7,554 | 921 | | 1PE |
| 156503-AJ-3 | CENTURY COMMUNICATIONS | 03/28/2002 | 5th 3rd | | 255,000 | 300,000 | 313,500 | 279,000 | 30,132 | | | (54,132) | (54,132) | 146 | | 4 |
| 16117P-AE-0 | CHARTER COMM HLDGS LLC | 03/28/2002 | 5th 3rd | | 227,500 | 250,000 | 223,750 | 227,192 | 515 | | | (207) | (207) | 120 | | 4 |
| 161581-BH-5 | CHASE 98-C-A4 | 03/15/2002 | REDEMPTION | | 34,899 | 34,899 | 35,030 | 34,943 | (44) | | | 0 | 0 | 336 | | 1PE |
| 16675H-AF-9 | CHEVRON CORP PROFIT SHARING SVGS. | 01/02/2002 | REDEMPTION | | 480,000 | 480,000 | 507,494 | 502,185 | (22,185) | | | 0 | 0 | 19,464 | | 1PE |
| 17303C-AH-4 | CITIBANK CR | 02/15/2002 | MATURITY | | 500,000 | 500,000 | 513,992 | 506,438 | (6,438) | | | | 0 | 16,375 | | 1PE |
| 46625H-AJ-9 | JP MORGAN CHASE & CO NT | 01/23/2002 | 5th 3rd | | 307,953 | 300,000 | 300,381 | 300,360 | (2) | | | 7,595 | 7,595 | 9,675 | | 1 |
| 482584-AM-1 | KMART CORP | 01/25/2002 | 5th 3rd | | 250,000 | 500,000 | 255,000 | 255,000 | | | | (5,000) | (5,000) | 20,625 | | 3 |
| 504195-AD-0 | LA QUINTA INNS CO | 03/20/2002 | 5th 3rd | | 247,500 | 250,000 | 247,893 | 240,000 | 9,129 | | | (1,629) | (1,629) | 9,566 | | 3 |
| 549463-AD-9 | LUCENT TECHNOLOGIES INC | 02/14/2002 | 5th 3rd | | 192,500 | 250,000 | 188,750 | 187,500 | 5,699 | | | (699) | (699) | 3,628 | | 3 |
| 552078-AL-1 | LYONDELL CHEMICAL BONDS | 02/06/2002 | 5th 3rd | | 248,750 | 250,000 | 249,038 | 249,239 | 10 | | | (498) | (498) | 6,684 | | 3 |
| 638901-AP-3 | NAVISTAR INTL CORP | 02/08/2002 | 5th 3rd | | 264,000 | 275,000 | 240,625 | 240,639 | 3,637 | | | 19,724 | 19,724 | 11,733 | | 3 |
| 65333H-AD-5 | NEXTLINK COMMUNICATIONS INC | 03/20/2002 | 5th 3rd | | 60,000 | 500,000 | 60,000 | 60,000 | | | | | 0 | | | 6 |
| 669383-CX-7 | NORWEST FINL INC SR N | 02/15/2002 | MATURITY | | 1,000,000 | 1,000,000 | 1,010,770 | 1,000,687 | (687) | | | | 0 | 39,375 | | 1PE |
| 895953-AA-5 | TRICON GLOBAL RESTAURANT | 02/12/2002 | 5th 3rd | | 255,000 | 250,000 | 249,693 | 249,809 | 4 | | | 5,187 | 5,187 | 4,656 | | 3 |
| 911363-AG-4 | UNITED RENTAL COMP GUAR | 02/21/2002 | 5th 3rd | | 247,500 | 250,000 | 232,500 | 233,383 | 225 | | | 13,892 | 13,892 | 14,196 | | 4 |
| 969455-AB-0 | WILLIAMS COMMUNICATION GROUP INC | 02/28/2002 | 5th 3rd | | 63,750 | 500,000 | 508,125 | 205,000 | 301,856 | | | (443,106) | (443,106) | | | 5 |
| | United States | | | | 4,993,299 | 6,239,899 | 5,477,550 | 5,114,289 | 321,975 | 0 | 0 | (442,965) | (442,965) | 166,391 | 0 | XXX |
| 4599999. | Total - Bonds - Industrial & Miscellaneous | | | | 4,993,299 | 6,239,899 | 5,477,550 | 5,114,289 | 321,975 | 0 | 0 | (442,965) | (442,965) | 166,391 | 0 | XXX |
| 6099997. | Total - Bonds - Part 4 | | | | 8,118,626 | 9,354,211 | 8,540,621 | 8,187,354 | 318,245 | 0 | 0 | (386,973) | (386,973) | 259,689 | 0 | XXX |
| 6099999. | Total - Bonds | | | | 8,118,626 | 9,354,211 | 8,540,621 | 8,187,354 | 318,245 | 0 | 0 | (386,973) | (386,973) | 259,689 | 0 | XXX |
| **Common Stocks - Industrial and Miscellaneous** | | | | | | | | | | | | | | | | |
| **United States** | | | | | | | | | | | | | | | | |
| 31678R-10-6 | FEDERATED INVEST SER | 01/22/2002 | 5TH 3RD | | 998,950 | | 1,005,897 | 1,005,897 | | | | (6,948) | (6,948) | | 6,010 | L |
| 31678R-40-3 | FIFTH THIRD MICRO CAP | 02/25/2002 | 5TH 3RD | 25,901.003 | 162,917 | | 126,407 | 154,370 | (27,962) | | | 36,510 | 36,510 | | | L |
| 31678R-10-6 | FIFTH THIRD STRATEGIC | 01/18/2002 | 5TH 3RD | | 515,649 | | 474,059 | 474,059 | | | | 41,590 | 41,590 | | | |
| 922031-40-6 | VANGUARD FIXED | 01/18/2002 | 5TH 3RD | | 922,371 | | 901,570 | 901,570 | | | | 20,801 | 20,801 | | 4,394 | |
| 94769M-10-5 | WEBMD CORP | 03/26/2002 | VARIOUS REDEMPTION | 60,000.000 | 437,529 | | 255,600 | 423,600 | (168,000) | | | 181,929 | 181,929 | | | L |
| | United States | | | | 3,037,416 | XXX | 2,763,533 | 2,959,496 | (195,962) | 0 | 0 | 273,882 | 273,882 | 0 | 10,404 | XXX |
| 6899999. | Total - Common Stocks - Industrial & Miscellaneous | | | | 3,037,416 | XXX | 2,763,533 | 2,959,496 | (195,962) | 0 | 0 | 273,882 | 273,882 | 0 | 10,404 | XXX |
| 7099997. | Total - Common Stocks - Part 4 | | | | 3,037,416 | XXX | 2,763,533 | 2,959,496 | (195,962) | 0 | 0 | 273,882 | 273,882 | 0 | 10,404 | XXX |
| 7099998. | Total - Common Stocks - Summary Item for Common Stocks Bought and Sold This Quarter | | | | 10,451 | XXX | 10,404 | 10,404 | | | | 48 | 48 | | | XXX |
| 7099999. | Total - Common Stocks | | | | 3,047,867 | XXX | 2,773,937 | 2,969,900 | (195,962) | 0 | 0 | 273,930 | 273,930 | 0 | 10,404 | XXX |
| 7199999. | Total - Preferred and Common Stocks | | | | 3,047,867 | XXX | 2,773,937 | 2,969,900 | (195,962) | 0 | 0 | 273,930 | 273,930 | 0 | 10,404 | XXX |
| 7299999. | Total - Bonds, Preferred and Common Stocks | | | | 11,166,493 | XXX | 11,314,558 | 11,157,254 | 122,283 | 0 | 0 | (113,043) | (113,043) | 259,689 | 10,404 | XXX |

# SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of by the Company During the Current Quarte

| 1<br>CUSIP Identification | 2<br>Description | 3<br>Disposal Date | 4<br>Name of Purchaser | 5<br>Number of Shares of Stock | 6<br>Consideration | 7<br>Par Value | 8<br>Actual Cost | 9<br>Book/Adjusted Carrying Value At Disposal Date | 10<br>Increase (Decrease) by Adjustment | 11<br>Increase (Decrease) by Foreign Exchange Adjustment | 12<br>Foreign Exchange Gain (Loss) on Disposal | 13<br>Realized Gain (Loss) on Disposal | 14<br>Total Gain (Loss) on Disposal | 15<br>Interest on Bonds Received During Year | 16<br>Dividends on Stocks Received During Year | 17<br>NAIC Designation (a) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|

(a) For all common stock bearing the NAIC designation "U" provide: the number of such issues:...............0.

# Sch. DB-Part A-Section 1
NONE

# Sch. DB-Part B-Section 1
NONE

# Sch. DB-Part C-Section 1
NONE

# Sch. DB-Part D-Section 1
NONE

# SCHEDULE E - PART 1 - CASH

Month End Depository Balances

| 1 | 2 | 3 | 4 | Book Balance at End of Each Month During Current Quarter | | | 8 |
|---|---|---|---|---|---|---|---|
| | | Amount of | Amount of | 5 | 6 | 7 | |
| Depository | Rate of Interest | Interest Received During Current Quarter | Interest Accrued at Current Statement Date | First Month | Second Month | Third Month | * |
| **Open Depositories** | | | | | | | |
| NATIONAL CITY BANK CLEVELAND, OHIO | | | | (140,531) | 50,373 | (143,326) | |
| FIFTH THIRD BANK CLEVELAND, OHIO | | | | 17,250,696 | 19,119,260 | 28,557,161 | |
| NATIONAL CITY BANK ASLAND, OHIO | | | | (58,386,245) | (60,107,768) | (59,028,012) | |
| DREYFUS FUNDS | | 286,465 | 229,792 | 44,205,000 | 65,672,000 | 69,838,000 | |
| MONEY MARKET FUNDS | | 371,017 | 450,833 | 96,078,044 | 75,345,422 | 52,722,146 | |
| SHOREBANK DUE 5/01/02 | 4.000 | 2,689 | 993 | 100,000 | 100,000 | 100,000 | |
| STATE BANK DUE 10/27/02 | 3.850 | 583 | | 100,000 | 100,000 | 100,000 | |
| 0199999. Total Open Depositories | XXX | 660,754 | 681,618 | 99,206,964 | 100,279,287 | 92,145,969 | XXX |
| 0399999. Total Cash on Deposit | XXX | 660,754 | 681,618 | 99,206,964 | 100,279,287 | 92,145,969 | XXX |
| 0499999. Cash in Company's Office | XXX | XXX | XXX | 2,600 | 2,600 | 2,600 | XXX |
| 0599999. Total Cash | XXX | 660,754 | 681,618 | 99,209,564 | 100,281,887 | 92,148,569 | XXX |

## Supplement A to Sch. T
## NONE

## Supplement A to Sch. T
## NONE

## Supplement A to Sch. T
## NONE

## Supplement A to Sch. T
## NONE

## Supplement A to Sch. T
## NONE

## Overflow Page
## NONE

# Trusteed Surplus Statement-Title Page
NONE

# Trusteed Surplus Statement-Assets
NONE

# Trusteed Surplus Statement-Liabilities
NONE

# Trusteed Surplus Statement-Overflow Page
NONE